Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 12-01-2018 , and ending 11-30-2019

Name of foundation
THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS

A Employer identification number
22-2285358

Number and street (or P.O. box number if mail is not delivered to street address): 204 SPRING STREET
Room/suite:

B Telephone number (see instructions)
(508) 748-0800

City or town, state or province, country, and ZIP or foreign postal code
MARION, MA 02738

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 35,890,612

J Accounting method: ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities . . .	681,182	815,517		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,752,337			
	b	Gross sales price for all assets on line 6a 17,512,933				
	7	Capital gain net income (from Part IV, line 2) . . .		4,904,850		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	7,441	-8,564		
	12	**Total.** Add lines 1 through 11	5,440,960	5,711,803		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	20,000	3,000		17,000
	14	Other employee salaries and wages	897,596	0		897,596
	15	Pension plans, employee benefits	129,583	0		129,583
	16a	Legal fees (attach schedule)	2,933	2,200		733
	b	Accounting fees (attach schedule)	56,031	42,024		14,007
	c	Other professional fees (attach schedule)	338,951	338,583		368
	17	Interest				
	18	Taxes (attach schedule) (see instructions) . . .	256,529	4,968		68,730
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy	50,362	0		50,362
	21	Travel, conferences, and meetings	36,528	0		36,528
	22	Printing and publications				
	23	Other expenses (attach schedule)	313,192	132,956		313,205
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,101,705	523,731		1,528,112
	25	Contributions, gifts, grants paid	3,407,925			3,407,925
	26	**Total expenses and disbursements.** Add lines 24 and 25	5,509,630	523,731		4,936,037
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-68,670			
	b	**Net investment income** (if negative, enter -0-)		5,188,072		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing	364,405	190,833	190,833
	2	Savings and temporary cash investments	280,607	1,064,163	1,064,163
	3	Accounts receivable ▶ 1,627			
		Less: allowance for doubtful accounts ▶	25,320	1,627	1,627
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	0	2,989,960	2,989,960
	b	Investments—corporate stock (attach schedule)	27,912,045	21,826,317	21,826,317
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	6,752,995	9,797,686	9,797,686
	14	Land, buildings, and equipment: basis ▶ 63,439			
		Less: accumulated depreciation (attach schedule) ▶ 48,663	7,309	14,776	14,776
	15	Other assets (describe ▶)	5,250	5,250	5,250
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	35,347,931	35,890,612	35,890,612
Liabilities	17	Accounts payable and accrued expenses	113,657	307,167	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	186,000	164,450	
	23	**Total liabilities**(add lines 17 through 22)	299,657	471,617	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	35,048,274	35,418,995	
	30	**Total net assets or fund balances** (see instructions)	35,048,274	35,418,995	
	31	**Total liabilities and net assets/fund balances** (see instructions)	35,347,931	35,890,612	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,048,274
2	Enter amount from Part I, line 27a	2	-68,670
3	Other increases not included in line 2 (itemize) ▶	3	1,370,563
4	Add lines 1, 2, and 3	4	36,350,167
5	Decreases not included in line 2 (itemize) ▶	5	931,172
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	35,418,995

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	**2**	4,904,850
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		**3**	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	**(b)** Adjusted qualifying distributions	**(c)** Net value of noncharitable-use assets	**(d)** Distribution ratio (col. (b) divided by col. (c))
2017	4,603,142	34,760,807	0.132423
2016	4,573,207	32,897,708	0.139013
2015	5,185,277	27,096,581	0.191363
2014	4,663,647	21,621,811	0.215692
2013	3,951,874	32,150,516	0.122918

2	**Total** of line 1, column (d)	**2**	0.801409
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	**3**	0.160282
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	**4**	33,603,644
5	Multiply line 4 by line 3	**5**	5,386,059
6	Enter 1% of net investment income (1% of Part I, line 27b)	**6**	51,881
7	Add lines 5 and 6	**7**	5,437,940
8	Enter qualifying distributions from Part XII, line 4 , ...	**8**	4,940,230

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			**1**	103,761
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			**2**	0
3	Add lines 1 and 2.			**3**	103,761
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			**4**	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			**5**	103,761
6	Credits/Payments:				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	**6a**	153,306		
b	Exempt foreign organizations—tax withheld at source	**6b**			
c	Tax paid with application for extension of time to file (Form 8868) . . .	**6c**	0		
d	Backup withholding erroneously withheld	**6d**	0		
7	Total credits and payments. Add lines 6a through 6d.			**7**	153,306
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.			**8**	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			**9**	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . . ▶			**10**	49,545
11	Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ 49,545 **Refunded** ▶			**11**	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	**1a**		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	**1b**		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	**1c**		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	**2**		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	**3**		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	**4a**	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	**4b**	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	**5**		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	**6**		No
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col. (c), and Part XV.*	**7**	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA, MA, NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	**8b**	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	**9**		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	**10**		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	**11**		**No**
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	**12**		**No**
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	**Yes**	

Website address ▶WWW. GARFIELDFOUNDATION.ORG

14 The books are in care of ▶BALDWIN BROTHERS Telephone no. ▶(508) 748-0800

Located at ▶204 SPRING STREET MARION MA ZIP+4 ▶02738

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**		**No**
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☑ **Yes** ☐ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ **Yes** ☐ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		**1b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.		**1c**		**No**
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?.	☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		**2b**		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)*.		**3b**		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		**4a**		**No**
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		**4b**		**No**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . . ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☐ **No** *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . *If "Yes" to 6b, file Form 8870.*	**6b**		**No**
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? . . . . ☐ **Yes** ☑ **No**			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BINA GARFIELD C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	VICE PRESIDENT/TRUSTEE 10.00	10,000	0	0
MICHAEL BALDWIN C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	PRESIDENT/TRUSTEE 10.00	10,000	0	0
TAYLOR BALDWIN C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	TREASURER 10.00	0	0	0
JENNIE CURTIS C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	SECRETARY 10.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JENNIE CURTIS C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	EXCUTIVE DIRECTOR 40.00	228,790	33,216	0
RUTH E ROMINGER C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	INFO & NTWK DESIGN D 40.00	191,654	20,211	0
JENNIFER BERMAN C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	TRAINING AND PARTNER 40.00	153,846	15,593	0
ELENI L SOTOS C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	PROGRAM OFFICER 40.00	144,000	21,447	0
MOTAZ ATTALLA C/O BALDWIN BROTHERS 204 SPRING ST MARION, MA 02738	PROG. COORDINATOR, C 40.00	110,000	30,253	0

Total number of other employees paid over $50,000. . . . . ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
BALDWIN BROTHERS INC 204 SPRING ST MARION, CA 02738	INVESTMENT MANAGEMENT	338,583
JESSICA CONRAD 705 N 50TH ST APT 206 SEATTLE, WA 98103	CONSULTANT	87,823
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 FUNDS RECEIVED ARE USED TO PAY ADMINISTRATION EXPENSES, AND THE BALANCE IS DISTRIBUTED TO QUALIFIED CHARITABLE ORGANIZATIONS UNDER THE INTERNAL RENVENUE CODE.THE ORGANIZATION'S PRIMARY ACITIVITY IS TO SUPPORT BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER 501 (C)(3) OF THE INTERNAL REVENUW CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIOS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS ETC. ARE MADE TO INDIVIDUALS.	3,407,925
2 COLLABORATIVE NETWORK PROJECT	281,078
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations,see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	31,795,771
b	Average of monthly cash balances.	1b	2,314,354
c	Fair market value of all other assets (see instructions).	1c	5,250
d	**Total** (add lines 1a, b, and c).	1d	34,115,375
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). **1e** 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	34,115,375
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	511,731
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	33,603,644
6	**Minimum investment return.** Enter 5% of line 5.	6	1,680,182

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	1,680,182
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	103,761		
b	Income tax for 2018. (This does not include the tax from Part VI.).	2b	45,726		
c	Add lines 2a and 2b.			2c	149,487
3	Distributable amount before adjustments. Subtract line 2c from line 1.			3	1,530,695
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	1,530,695
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.			7	1,530,695

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,936,037
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	4,193
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,940,230
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	4,940,230

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					1,530,695
2 Undistributed income, if any, as of the end of 2018:					
a Enter amount for 2017 only.				0	
b Total for prior years: 20____ , 20____ , 20____			0		
3 Excess distributions carryover, if any, to 2018:					
a From 2013.	2,382,133				
b From 2014.	3,633,602				
c From 2015.	3,847,318				
d From 2016.	3,054,975				
e From 2017.	2,946,552				
f **Total** of lines 3a through e.		15,864,580			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 4,940,230					
a Applied to 2017, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2018 distributable amount.					1,530,695
e Remaining amount distributed out of corpus		3,409,535			
5 Excess distributions carryover applied to 2018. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		19,274,115			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .		2,382,133			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		16,891,982			
10 Analysis of line 9:					
a Excess from 2014. . . .	3,633,602				
b Excess from 2015. . . .	3,847,318				
c Excess from 2016. . . .	3,054,975				
d Excess from 2017. . . .	2,946,552				
e Excess from 2018. . . .	3,409,535				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ELENI SOTOS PROGRAM ASSISTANT
936 DEWING AVENUE STE 1
LAFAYETTE, CA 94549
(925) 385-0314

b The form in which applications should be submitted and information and materials they should include:

QUALIFYING NONPROFIT ORGANIZATIONS WISHING TO INQUIRE ABOUT APPLYING FOR A GRANT MUST SEND A BRIEF EMAIL SUMMARIZING THE PROJECT CONCEPT TO INQUIRY@GARFIELDFOUNDATION.ORG. IF THE ORGANIZATION IS INVITED TO APPLY FOR A GRANT, THE FOUNDATION WILL PROVIDE SPECIFIC PROPOSAL GUIDELINES.

c Any submission deadlines:

PROPOSAL SUBMISSION DEADLINES VARY PER APPLICANT.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE ORGANIZATIONS AWARDS GRANTS IN TWO KEY AREAS: ENVIRONMENTAL SUSTAINABILITY AND COMMUNITY REVITALIZATION, AND SUPPORTS PROJECTS PRIMARILY WITHIN THE UNITED STATES, WITH THE EXCEPTION OF ITS BIODIVERSITY CONSERVATION PROGRAMS.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment* MERCURY POLICY PROJECTTIDES 1420 NORTH STREET MONTGOMERY, VT 05602	NOT RELATED	PC	EXEMPT PURPOSES	60,000
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	NOT RELATED	PC	EXEMPT PURPOSES	50,000
CONSUMERS FOR DENTAL CHOICE 316 F ST NE 210 WASHINGTON, DC 20002	NOT RELATED	PC	EXEMPT PURPOSES	38,000
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		**(e)** Related or exempt function income (See instructions.)
1 Program service revenue:	**(a)** Business code	**(b)** Amount	**(c)** Exclusion code	**(d)** Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	681,182	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			01	2,759	
8 Gain or (loss) from sales of assets other than inventory			18	4,752,337	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: **a** MISC INCOME			01	2,641	
b FEDERAL TAX REFUND					1,468
c STATE TAX REFUND					573
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		0		5,438,919	2,041

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 5,440,960

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
11B	FEDERAL TAX REFUND
11C	STATE TAX REFUND

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date	Title
******	2020-09-24	******

May the IRS discuss this return with the preparer shown below (see instr.)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
HARRY A KALAJIAN JR CPA		2020-09-28		P00464296

Firm's name ▶ WOLF & COMPANY PC

Firm's EIN ▶04-2689883

Firm's address ▶ 99 HIGH STREET 21ST FLOOR
BOSTON, MA 02110

Phone no. (617) 439-9700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
1 LONG TERM INVESTMENTS	P		
1 SHORT TERM INVESTMENTS	P		
BLACKSTONE GROUP	P		
EXCALIBUR	P		
NET ST CAP GAINS	P		
NET LT CAP GAINS	P		
UNRECAPTURED 1250	P		
SEC 1231	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
14,028,536		9,819,095	4,209,441
2,242,933		1,966,490	276,443
992,605		699,451	293,154
		90,455	-90,455
		32,592	-32,592
24,455			24,455
330			330
222,657			222,657
1,417			1,417

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
			4,209,441
			276,443
			293,154
			-90,455
			-32,592
			24,455
			330
			222,657
			1,417

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACADEMY FOR SYSTEMS CHANGE PO BOX 4593 BURLINGTON, VT 05406	NOT RELATED	PC	EXEMPT PURPOSES	50,000
LABOR INSTITUTE THE 817 BROADWAY FL 6 NEW YORK, NY 10003	NOT RELATED	PC	EXEMPT PURPOSES	202,436
LIONHEART FOUNDATION THE PO BOX 170115 DEDHAM, MA 02117	NOT RELATED	PC	EXEMPT PURPOSES	1,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LOS ANGELES ALLIANCE FOR A NEW ECONOMY 464 LUCAS AVENUE SUITE 202 LOS ANGELES, CA 90017	NOT RELATED	PC	EXEMPT PURPOSES	35,000
MARION INSTITUTE THE202 SPRING ST MARION, MA 02738	NOT RELATED	PC	EXEMPT PURPOSES	3,500
MCCONNELL FOUNDATION 800 SHASTA VIEW DR REDDING, CA 96003	NOT RELATED	PC	EXEMPT PURPOSES	55,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a *Paid during the year*				
MERCURY POLICY PROJECTTIDES 1420 NORTH STREET MONTPELIER, VT 05602	NOT RELATED	PC	EXEMPT PURPOSES	135,000
MINNEAPOLIS FOUNDATION THE 800 IDS CENTER 80 SOUTH EIGHTH STREET MINNEAPOLIS, MN 55402	NOT RELATED	PC	EXEMPT PURPOSES	450,000
MUSTARD SEED THE29 JANES AVE MEDFIELD, MA 02052	NOT RELATED	PC	EXEMPT PURPOSES	10,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NATIVITY PREPARATORY SCHOOL 66 SPRING STREET NEW BEDFORD, MA 02740	NOT RELATED	PC	EXEMPT PURPOSES	5,000
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	NOT RELATED	PC	EXEMPT PURPOSES	125,000
NEW BEDFORD SYMPHONY ORCHESTRA 128 UNION STREET SUITE 2 NEW BEDFORD, MA 02740	NOT RELATED	PC	EXEMPT PURPOSES	10,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OUR SISTERS SCHOOL 145 BROWNELL AVE NEW BEDFORD, MA 02740	NOT RELATED	PC	EXEMPT PURPOSES	2,500
PACOIMA BEAUTIFUL 13520 VAN NUYS BLVD STE 200 PACOIMA, CA 91331	NOT RELATED	PC	EXEMPT PURPOSES	25,000
PASADENA SENIOR CENTER 85 EAST HOLLY STREET PASADENA, CA 91103	NOT RELATED	PC	EXEMPT PURPOSES	2,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PLANNED PARENTHOOD LOS ANGELES 400 WEST 30TH STREET LOS ANGELES, CA 90007	NOT RELATED	PC	EXEMPT PURPOSES	10,000
RAINFOREST ACTION NETWORK 425 BUSH STREET SUITE 300 SAN FRANCISCO, CA 94108	NOT RELATED	PC	EXEMPT PURPOSES	5,000
SAVE THE COLORADO RIVER PO BOX 1066 FORT COLLINS, CO 80522	NOT RELATED	PC	EXEMPT PURPOSES	1,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a *Paid during the year*				
WILDLIFE WAYSTATION (CLOSED) 14831 LITTLE TUJUNGA CANYON RD ANGELES NATIONAL FORES, CA 91342	NOT RELATED	PC	EXEMPT PURPOSES	11,000
WILDLANDS RESTORATION VOLUNTEERS 3012 STERLING CIR LONGMONT, CO 80501	NOT RELATED	PC	EXEMPT PURPOSES	1,000
WATER FOUNDATION 1970 BROADWAY STE 1070 OAKLAND, CA 94612	NOT RELATED	PC	EXEMPT PURPOSES	150,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
VIRGINIA ORGANIZING INC 12320 PARKLAWN DRIVE ROCKVILLE, MD 20852	NOT RELATED	PC	EXEMPT PURPOSES	107,000
URBAN SUSTAINABILITY DIRECTORS NETWORK 1624 VIOLA CIR PORT WASHINGTON, WI 53074	NOT RELATED	PC	EXEMPT PURPOSES	75,000
UNIVERSITY OF COLORADO FOUNDATION 1800 N GRANT ST STE 725 DENVER, CO 80203	NOT RELATED	PC	EXEMPT PURPOSES	40,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INT'L HONORS PROGRAMWORLD LEARNING 19 BRADDOCK PARK BOSTON, MA 02116	NOT RELATED	PC	EXEMPT PURPOSES	2,500
UNIV OF ARIZONA FDTN SPECIAL COLLECTIONS 1111 NORTH CHERRY AVENUE TUCSON, AZ 85721	NOT RELATED	PC	EXEMPT PURPOSES	30,000
SUSTAINABLE CAPE 8 TRURO CENTER ROAD PO BOX 1004 TRURO, MA 026661004	NOT RELATED	PC	EXEMPT PURPOSES	2,500
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SUSAN LOVE BREAST CANCER FOUNDATION 16133 VENTURA BLVD SUITE 1000 ENCINO, CA 91436	NOT RELATED	PC	EXEMPT PURPOSES	8,000
STORY OF STUFF PROJECT THE 1442 A WALNUT STREET SUITE 272 BERKELEY, CA 94709	NOT RELATED	PC	EXEMPT PURPOSES	1,000
SOUTHCOAST HEALTH 101 PAGE STREET NEW BEDFORD, MA 02740	NOT RELATED	PC	EXEMPT PURPOSES	10,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a *Paid during the year*				
SO POVERTY LAW CENTER 400 WASHINGTON AVENUE MONTGOMERY, AL 36104	NOT RELATED	PC	EXEMPT PURPOSES	10,000
SIPPICAN HISTORICAL SOCIETY PO BOX 541 MARION, MA 02738	NOT RELATED	PC	EXEMPT PURPOSES	25,000
TREE PEOPLE INC 12601 MULHOLLAND DRIVE BEVERLY HILLS, CA 90210	NOT RELATED	PC	EXEMPT PURPOSES	50,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WINROCK INTERNATIONAL 2101 RIVERFRONT DRIVE LITTLE ROCK, AZ 72202	NOT RELATED	PC	EXEMPT PURPOSES	75,000
INTERACTION INSTITUTE FOR SOCIAL CHANGE 89 SOUTH ST BOSTON, MA 02111	NOT RELATED	PC	EXEMPT PURPOSES	1,000
HUNTINGTON CANCER CENTER OFFICE OF PHILANTHROPY HUNTINGTON HOSPITAL PASADENA, CA 91105	NOT RELATED	PC	EXEMPT PURPOSES	3,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COMMUNITY FOUNDATION OF BOULDER COUNTY 1123 SPRUCE ST BOULDER, CO 80302	NOT RELATED	PC	EXEMPT PURPOSES	23,000
CLEAN PRODUCTION ACTION 1310 BROADWAY SUITE 101 SOMERVILLE, MA 02144	NOT RELATED	PC	EXEMPT PURPOSES	612,692
CHILDREN'S ENVIRONMENTAL HEALTH NETWORK 110 MARYLAND AVE NE STE 404 WASHINGTON, DC 20002	NOT RELATED	PC	EXEMPT PURPOSES	202,436
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTER FOR ENVIRONMENTAL HEALTH 2201 BROADWAY SUITE 302 OAKLAND, CA 94612	NOT RELATED	PC	EXEMPT PURPOSES	182,436
CENTER FOR EARTH ENERGY & DEMOCRACY 110 MARYLAND AVENUE NE SUITE 404 WASHINGTON, DC 20002	NOT RELATED	PC	EXEMPT PURPOSES	60,000
CANCER SUPPORT COMMUNITY PASADENA 76 E DEL MARK BOULEVARD SUITE 215 PASADENA, CA 91105	NOT RELATED	PC	EXEMPT PURPOSES	5,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COMMUNITY PARTNERS 1000 N ALAMEDA ST STE 240 LOS ANGELES, CA 90012	NOT RELATED	PC	EXEMPT PURPOSES	40,000
CAMBODIAN LIVING ARTS 228 PARK AVE S 49331 NEW YORK, NY 10003	NOT RELATED	PC	EXEMPT PURPOSES	2,500
BUDDHAYANA FOUNDATION 204 SPRING ST MARION, MA 02738	NOT RELATED	PC	EXEMPT PURPOSES	1,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BIONEERS1014 TORNEY AVENUE SAN FRANCISCO, CA 94129	NOT RELATED	PC	EXEMPT PURPOSES	1,500
BIODIVERSITY FUNDERS GROUP PO BOX 29361 SAN FRANCISCO, CA 94129	NOT RELATED	PC	EXEMPT PURPOSES	7,000
BIG CITY MOUNTAINEERS 710 10TH AVE STE 120 GOLDEN, CO 80401	NOT RELATED	PC	EXEMPT PURPOSES	1,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a *Paid during the year*				
ANTI-DEFAMATION LEAGUE OF LOS ANGELES 605 THIRD AVENUE NEW YORK, NY 101583560	NOT RELATED	PC	EXEMPT PURPOSES	2,000
ACLU FOUNDATION 125 BROAD STREET 18TH FL NEW YORK, NY 10004	NOT RELATED	PC	EXEMPT PURPOSES	3,000
BUZZARDS BAY COALITION 114 FRONT STREET NEW BEDFORD, MA 02740	NOT RELATED	PC	EXEMPT PURPOSES	15,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INSTITUTE FOR STRATEGIC CLARITY 540 ABBOT HILL RD WILTON, NH 03086	NOT RELATED	PC	EXEMPT PURPOSES	75,000
CONSUMERS FOR DENTAL CHOICE 316 F ST NE 210 WASHINGTON, DC 20002	NOT RELATED	PC	EXEMPT PURPOSES	82,000
EARTH RIGHTS INTERNATIONAL 1612 K ST NW 401 WASHINGTON, DC 20006	NOT RELATED	PC	EXEMPT PURPOSES	1,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HONOR THE EARTH 607 MAIN AVENUE PO BOX 63 CALLAWAY, MN 56521	NOT RELATED	PC	EXEMPT PURPOSES	500
HEALTH AND ENVIRONMENTAL FOUNDERS NETWORK 4500 EAST WEST HIGHWAY SUITE 125 BETHESDA, MD 20814	NOT RELATED	PC	EXEMPT PURPOSES	3,750
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1310 L STREET NW SUITE 650 WASHINGTON, DC 20005	NOT RELATED	PC	EXEMPT PURPOSES	1,390
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GOV'T ACCOUNTABILITY PROJECT (GAP) 1612 K STREET NW SUITE 1100 WASHINGTON, DC 20006	NOT RELATED	PC	EXEMPT PURPOSES	3,000
GLOBAL GREENGRANTS FUND 2840 WILDERNESS PLACE SUITE A BOULDER, CO 80301	NOT RELATED	PC	EXEMPT PURPOSES	1,500
GIRAFFE PROJECT 197 SECOND STREET PO BOX 759 LANGLEY, WA 98260	NOT RELATED	PC	EXEMPT PURPOSES	3,500
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONVALESCENT AID SOCIETY 3255 E FOOTHILL BLVD PADADENA, CA 91107	NOT RELATED	PC	EXEMPT PURPOSES	2,500
FUNDERS' NETWORK FOR SMART GROWTH 81 PROSPECT ST BROOKLYN, NY 11201	NOT RELATED	PC	EXEMPT PURPOSES	7,000
FORBES FUNDS THE FIVE PPG PLACE SUITE 250 PITTSBURGH, PA 15222	NOT RELATED	PC	EXEMPT PURPOSES	50,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ENVIRONMENTAL GRANTMAKERS ASSOCIATION 475 RIVERSIDE DRIVE STE 960 NEW YORK, NY 10115	NOT RELATED	PC	EXEMPT PURPOSES	8,785
ENNEAGRAM STUDIESPO BOX 4114 BOULDER, CO 80306	NOT RELATED	PC	EXEMPT PURPOSES	5,000
ENERGY FOUNDATION 301 BATTERY STREET 5TH FLOOR SAN FRANCISCO, CA 94111	NOT RELATED	PC	EXEMPT PURPOSES	55,000
Total . . . . ▶ **3a**				3,407,925

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ENERGY ACTION NETWORK 17 STATE STREET SUITE 205 MONTPELIER, VT 05602	NOT RELATED	PC	EXEMPT PURPOSES	60,000
FORUM FOR THE FUTURE US 81 PROSPECT STREET BROOKLYN, NY 11215	NOT RELATED	PC	EXEMPT PURPOSES	150,000
WORLD WOMEN WORK8 COLE LANE SANTA FE, NM 87508	NOT RELATED	PC	EXEMPT PURPOSES	10,000
Total . . . . ▶ **3a**				3,407,925

TY 2018 Accounting Fees Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACOUNTING FEES	38,281	28,711		9,570
BOOKKEEPING	17,750	13,313		4,437

TY 2018 Investments Corporate Stock Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS	12,074,869	12,074,869
PREFERRED STOCKS	2,200,492	2,200,492
PRIVATE EQUITY - MARLETTE FUNDING	212,572	212,572
EQUITY FUND	7,338,384	7,338,384

TY 2018 Investments Government Obligations Schedule

Name:	THE GARFIELD FOUNDATION C/O BALDWIN BROTHERS
EIN:	22-2285358
US Government Securities - End of Year Book Value:	2,989,960
US Government Securities - End of Year Fair Market Value:	2,989,960
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

TY 2018 Investments - Other Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PARTNERSHIP INVESTMENTS	AT COST	3,657,439	3,657,439
MUTUAL BOND FUND	AT COST	6,140,247	6,140,247

TY 2018 Legal Fees Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	2,933	2,200		733

TY 2018 Other Assets Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	5,250	5,250	5,250

TY 2018 Other Decreases Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Description	Amount
PRIOR PERIOD ADJ TO OPENNING BALANCES	931,172

TY 2018 Other Expenses Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	625	0		625
CA CT REPRESENTATION FEES	937	0		937
CA STATE FILING FEES	35	0		35
COLABORATIVE NETWORKS	281,078	0		281,078
DUES & SUBSCRIPTIONS	95	0		95
IDC	0	348		0
INSURANCE	17,537	0		17,537
INVESTMENT INTEREST EXPENSES - PASSTHRU	0	65,165		0
MA STATE FILING FEES	609	0		609
ORGANIZATION PLANNING & MONITORS	22,522	0		22,522

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER PORTFOLIO DEDUCTION - PASSTHRU	0	67,377		0
REIMBURSED EXPENSES	-10,256	0		-10,256
CT FILLING FEE	10	0		10
ROYALTY DEDUCTION	0	66		0
K-1 CHARITABLE CONTRIBUTIONS	0	0		13

TY 2018 Other Income Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LITAGATION INCOME	2,759	2,759	2,759
ROYALTY	0	241	0
RENTAL INCOME	0	-11,564	0
MISC INCOME	2,641		2,641
FEDERAL TAX REFUND	1,468		1,468
STATE TAX REFUND	573		573

TY 2018 Other Increases Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Description	Amount
CHANGE IN UNREALIZED G/L	1,370,563

TY 2018 Other Liabilities Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAXES	186,000	164,450

TY 2018 Other Professional Fees Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	338,583	338,583		0
PAYROLL FEES	368	0		368

TY 2018 Taxes Schedule

Name: THE GARFIELD FOUNDATION
C/O BALDWIN BROTHERS
EIN: 22-2285358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CA UBI TAXES	150	150		0
FEDERAL EXCISE TAXES	161,367	0		0
FEDERAL UBI TAXES	25,582	0		0
K-1 FOREIGN TAXES	0	4,117		0
K-1 WITHHOLD TAXES	0	1		0
MA UBI TAXES	200	200		0
NY UBI TAXES	500	500		0
PAYROLL TAXES	68,730	0		68,730