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OMB No 1545-0052

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.**2018**

Open to Public Inspection

For calendar year 2018 or tax year beginning

12/01, 2018, and ending

11/30, 2019

Name of foundation

THE GRIFFITH FOUNDATION

A Employer identification number

13-7436765

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

212-922-8319

C/O BNY MELLON, N.A. PO BOX 185

City or town, state or province, country, and ZIP or foreign postal code

PITTSBURGH, PA 15230-0185

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) \$ 4,945,392.

J Accounting method. ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here.

D 1 Foreign organizations, check here.

2 Foreign organizations meeting the 85% test, check here and attach computation.

E If private foundation status was terminated under section 507(b)(1)(A), check here.

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☒ if the foundation is not required to attach Sch. B.

3 Interest on savings and temporary cash investments.

4 Dividends and interest from securities

93,854.

94,112.

STMT 1

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

206,079.

b Gross sales price for all assets on line 6a

1,142,759.

7 Capital gain net income (from Part IV, line 2)

206,079.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

299,933.

300,191.

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

NONE

NONE

15 Pension plans, employee benefits

NONE

NONE

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

21,586.

12,952.

8,635.

17 Interest

18 Taxes (attach schedule) (see instructions)

37,514.

1,057.

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

NONE

NONE

22 Printing and publications

NONE

NONE

23 Other expenses (attach schedule)

272.

22.

250.

24 Total operating and administrative expenses

Add lines 13 through 23.

59,372.

14,031.

NONE

8,885.

25 Contributions, gifts, grants paid

252,000.

252,000.

26 Total expenses and disbursements Add lines 24 and 25

311,372.

14,031.

NONE

260,885.

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

-11,439.

b Net investment income (if negative, enter -0-)

286,160.

c Adjusted net income (if negative, enter -0-)

SCANNED OCT 20 2020

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	92,300.	40,300.	40,300.
	2	Savings and temporary cash investments	91,929.	10,869.	10,869.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less: accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)	3,498,054.	3,619,199.	4,894,223.
14		Land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	3,682,283.	3,670,368.	4,945,392.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐				
	and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	3,682,283.	3,670,368.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	3,682,283.	3,670,368.		
31	Total liabilities and net assets/fund balances (see instructions)	3,682,283.	3,670,368.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,682,283.
2	Enter amount from Part I, line 27a	2	-11,439.
3	Other increases not included in line 2 (itemize) ▶ PY PENDING SALE ADJUSTMENT	3	26.
4	Add lines 1, 2, and 3	4	3,670,870.
5	Decreases not included in line 2 (itemize) ▶ PY RETURN OF CAPITAL ADJUSTMENT	5	502.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,670,368.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a 1,142,759.		936,680.	206,079.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			206,079.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	206,079.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	446,760.	4,866,194.	0.091809
2016	415,210.	3,551,347.	0.116916
2015	468,159.	3,476,786.	0.134653
2014	448,084.	3,988,013.	0.112358
2013	306,250.	4,162,597.	0.073572
2 Total of line 1, column (d)			2 0.529308
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.105862
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 4,762,736.
5 Multiply line 4 by line 3.			5 504,193.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,862.
7 Add lines 5 and 6			7 507,055.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 260,885.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	5,723.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	NONE
3 Add lines 1 and 2		3	5,723.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	5,723.
6 Credits/Payments			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	7,560.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	7,560.	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,837.	
11 Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ 1,837. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions. ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ BNY MELLON, N.A. Telephone no. ▶ (212) 922-8319 Located at ▶ P.O. BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ 15 and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			5b
	Organizations relying on a current notice regarding disaster assistance, check here	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b
	If "Yes" to 6b, file Form 8870			X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes	☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALAN GRIFFITH 300 PINEY POINT FARM LANE, CENTREVILLE, MD 21617	TRUSTEE 1	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000	NONE
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services.** See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,684,330.
b	Average of monthly cash balances	1b	150,935.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	4,835,265.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d.	3	4,835,265.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	72,529.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,762,736.
6	Minimum investment return. Enter 5% of line 5	6	238,137.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	238,137.
2a	Tax on investment income for 2018 from Part VI, line 5 2a		5,723.
b	Income tax for 2018. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b.	2c	5,723.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	232,414.
4	Recoveries of amounts treated as qualifying distributions.	4	NONE
5	Add lines 3 and 4	5	232,414.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	232,414.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	260,885.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	260,885.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	260,885.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				232,414.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2018.				
a From 2013	105,096.			
b From 2014	253,024.			
c From 2015	298,502.			
d From 2016	243,723.			
e From 2017	235,387.			
f Total of lines 3a through e	1,135,732.			
4 Qualifying distributions for 2018 from Part XII, line 4. ► \$ 260,885.				
a Applied to 2017, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2018 distributable amount				232,414.
e Remaining amount distributed out of corpus	28,471.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,164,203.			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	105,096.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	1,059,107.			
10 Analysis of line 9				
a Excess from 2014	253,024.			
b Excess from 2015	298,502.			
c Excess from 2016	243,723.			
d Excess from 2017	235,387.			
e Excess from 2018	28,471.			

NOT APPLICABLE

4942(1)(5)

(4) Gross investment income .

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ST. PAUL'S EPISCOPAL CHURCH 301 S LIBERTY ST PO BOX 278 CENTREVILLE MD 2	NONE	PC	GENERAL OPERATING PURPOSES	147,000.
SOUTHERN VERMONT ARTS CENTER 930 SOUTHERN VERMONT ARTS CENTER DR MANCHEST	NONE	PC	GENERAL OPERATING PURPOSES	50,000.
ALS ASSOCIATION GREATER NEW YORK 42 BROADWAY #1724 NEW YORK NY 10004	NONE	PC	GENERAL OPERATING PURPOSES	55,000.
Total			3a	252,000.
b Approved for future payment				
Total			3b	

(e)
**Related or exempt
function income**
(See instructions.)

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Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|--|-------|----|
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

► Alan R. Gelfand

Date _____

Title

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

SHAWN P. HANLON

Preparer's signature _____

Date

Check	Y	if	PTIN
-------	---	----	------

☐ self-employed

P00965923

Firm's name ► PRICEWATERHOUSECOOPERS LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET

Phone no 412-355-6000

PITTSBURGH, PA

15219

412-355-6000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
USGI REPORTED AS NONQUALIFIED DIVIDENDS	700.	700.
FOREIGN DIVIDENDS	7,703.	7,703.
DOMESTIC DIVIDENDS	36,685.	36,685.
US GOVERNMENT INTEREST REPORTED AS QUALI	3.	3.
NONQUALIFIED FOREIGN DIVIDENDS	3,404.	3,404.
NONQUALIFIED DOMESTIC DIVIDENDS	42,486.	42,486.
SECTION 199A DIVIDENDS	154.	154.
OTHER INTEREST	2,977.	2,977.
MUTUAL FUND TIMING ADJUSTMENT	-258.	
CORPORATE INTEREST		
	-----	-----
TOTAL	93,854.	94,112.
	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
INVESTMENT MANAGEMENT FEES	21,586.	12,952.	8,635.
TOTALS	21,586.	12,952.	8,635.
	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	844.	844.
FEDERAL TAX PAYMENT - PRIOR YE	28,897.	
FEDERAL ESTIMATES - INCOME	7,560.	
FOREIGN TAXES ON NONQUALIFIED	213.	213.
	-----	-----
TOTALS	37,514.	1,057.
	=====	=====

THE GRIFFITH FOUNDATION

13-7436765

FORM 990PF, PART I - OTHER EXPENSES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
STATE INCOME TAXES - INCOME	250.		250.
INVESTMENT EXPENSES-DIVIDEND I	22.	22.	
TOTALS	----- 272. =====	----- 22. =====	----- 250. =====

THE GRIFFITH FOUNDATION

13-7436765

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	----
20030N101 COMCAST CORP NEW CL	C	11,351.	21,192.
200340107 COMERICA INC COM	C		
254687106 DISNEY (WALT) COMPAN	C	11,554.	27,133.
26078J100 DOWDUPONT INC	C		
261986277 DREYFUS GLOBAL REAL	C		
478160104 JOHNSON & JOHNSON CO	C	13,262.	13,612.
717081103 PFIZER INC COM	C	6,443.	6,934.
87165B103 SYNCHRONY FINANCIAL	C	16,187.	21,324.
882508104 TEXAS INSTRUMENTS IN	C	5,537.	6,612.
91324P102 UNITED HEALTH GROUP	C	19,917.	29,106.
929089100 VOYA FINANCIAL INC	C	8,100.	16,901.
G47791101 INGERSOLL-RAND PLC	C	6,568.	27,664.
126650100 CVS CORP	C	20,511.	22,581.
244199105 DEERE & COMPANY	C	14,123.	18,486.
30161N101 EXELON CORP	C	19,764.	24,420.
30303M102 FACEBOOK INC	C	19,138.	40,126.
31402QSK8 FEDERAL NAT'L MTGE A	C	2,577.	2,914.
31402RCY3 FEDERAL NAT'L MTGE A	C	1,565.	1,735.
452327109 ILLUMINA INC	C		
747525103 QUALCOMM INC	C	10,502.	21,094.
452308109 ILLINOIS TOOL WORKS	C	12,099.	20,051.
58933Y105 MERCK & CO INC	C		
670346105 NUCOR CORP	C	10,220.	9,204.
723787107 PIONEER NAT RES CO	C	5,065.	6,284.
872590104 T -MOBILE US INC	C		
92343V104 VERIZON COMMUNICATIO	C	15,430.	37,271.
92826C839 VISA INC	C	1,760.	14,238.
00724F101 ADOBE SYS INC COM	C		
007565120 DREYFUS GLOBAL REAL	C		

THE GRIFFITH FOUNDATION

13-7436765

FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION -----	COST/ FMV C OR F -----	ENDING BOOK VALUE -----	ENDING FMV -----
032511107 ANADARKO PETE CORP	C		
05569M830 BNY MELLON BOND FD C	C	395,000.	400,312.
126408103 CSX CORP COM	C	11,217.	20,747.
261980759 DREYFUS PREM LT TRM	C		
31408AEN6 FEDERAL NAT'L MTGE A	C	643.	724.
46141Q865 361 GLOBAL LNG/SHRT	C	90,000.	88,807.
552953101 MGM MIRAGE	C	20,835.	21,087.
56585A102 MARATHON PETROLEUM C	C		
595112103 MICRON TECHNOLOGY	C	16,770.	20,429.
02079K305 ALPHABET INC/CA	C	17,831.	45,643.
03027X100 AMERICAN TOWER CORP-	C	3,920.	8,989.
78486Q101 SVB FINL GROUP	C		
808513105 SCHWAB CHARLES CORP	C	7,965.	12,375.
857477103 STATE ST CORP COM	C		
86271F545 STRATEGIC GLBL STOCK	C		
3128K9LT9 FED'L HOME LOAN MTGE	C	1,666.	1,878.
007565146 ADVANTAGE DYN TOTAL	C		
30063P105 EXACT SCIENCES CORP	C	2,860.	4,699.
3128M1C23 FED'L HOME LOAN MTGE	C	317.	320.
31402CU67 FEDERAL NAT'L MTGE A	C	2,252.	2,485.
367829884 GATEWAY TR	C	95,000.	98,933.
46625H100 J P MORGAN CHASE & C	C	29,494.	38,737.
654106103 NIKE INC CL B	C	17,344.	27,299.
718172109 PHILIP MORRIS INTERN	C	19,812.	25,957.
988498101 YUM BRANDS INC	C	5,996.	12,785.
45866F104 INTERCONTINENTALEXCH	C		
609207105 MONDELEZ INTERNATIONAL	C	12,689.	16,813.
64110L106 NETFLIX COM INC	C	5,308.	4,720.
74925K581 ROBECO BP LNG/SHRT R	C	90,000.	89,105.

THE GRIFFITH FOUNDATION

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FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION -----	COST/ FMV C OR F -----	ENDING BOOK VALUE -----	ENDING FMV ----
91913Y100 VALERO ENERGY CORP N	C	2,490.	11,172.
981558109 WORLDPAY INC	C		
G0177J108 ALLERGAN PLC	C		
G5876H105 MARVELL TECHNOLOGY G	C	8,086.	11,075.
007568108 DREYFUS GLOBAL DYNAM	C		
037833100 APPLE COMPUTER INC C	C	30,714.	65,476.
09062X103 BIOGEN IDEC INC	C		
101137107 BOSTON SCIENTIFIC CO	C	12,821.	15,138.
150870103 CELANESE CORP DEL	C	5,615.	19,714.
29444U700 EQUINIX INC	C	8,893.	11,904.
3128MJAD2 FED'L HOME LOAN MTGE	C	1,237.	1,417.
437076102 HOME DEPOT INC USD 0	C	3,570.	15,215.
594918104 MICROSOFT CORP COM	C	46,714.	75,084.
79466L302 SALESFORCE COM INC	C	2,799.	13,683.
G06242104 ATLASSIAN CORP PLC-C	C	3,610.	8,771.
G29183103 EATON CORP PLC	C	5,870.	13,875.
G5960L103 MEDTRONIC PLC	C	13,475.	16,597.
016255101 ALIGN TECHNOLOGY INC	C	4,401.	8,320.
05569M509 BNY MELLON MID CAP S	C	176,409.	443,214.
110122108 BRISTOL MYERS SQUIBB	C	12,113.	14,804.
22160K105 COSTCO WHSL CORP NEW	C	5,084.	16,789.
26201F777 DREYFUS INTL SMALL C	C		
31371LNE5 FEDERAL NAT'L MTGE A	C	4,185.	4,620.
31402QTS0 FEDERAL NAT'L MTGE A	C	2,482.	2,830.
002824100 ABBOTT LABORATORIES	C	6,007.	12,818.
05569M806 BNY MELLON SMALL CAP	C	90,932.	205,990.
166764100 CHEVRONTXACO CORP	C	22,518.	26,237.
219350105 CORNING INC COM	C		
26203E646 DREYFUS DIVERSIFIED	C		

THE GRIFFITH FOUNDATION

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FORM 990PF, PART II - OTHER INVESTMENTS

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DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	----
31401CWN9 FEDERAL NAT'L MTGE A	C	1,397.	1,560.
31402CVZ2 FEDERAL NAT'L MTGE A	C	2,466.	2,721.
438516106 HONEYWELL INTL INC	C	7,239.	24,461.
512807108 LAM RESH CORP COM	C		
61174X109 MONSTER BEVERAGE COR	C		
63872T729 ASG MANAGED FUTURES	C	112,500.	107,178.
65339F101 NEXTERA ENERGY INC	C	12,721.	18,004.
848637104 SPLUNK INC	C		
05569M814 BNY MELLON INTERMEDI	C	315,000.	320,148.
17275R102 CISCO SYS INC	C	11,957.	11,781.
21036P108 CONSTELLATION BRANDS	C	8,156.	11,908.
261949739 DREYFUS FLOATING RAT	C		
285512109 ELECTRONIC ARTS	C		
3128M1PA1 FED'L HOME LOAN MTGE	C	476.	482.
31402C4H2 FEDERAL NAT'L MTGE A	C	902.	1,020.
31402DGM6 FEDERAL NAT'L MTGE A	C	1,836.	2,090.
31403CYT2 FEDERAL NAT'L MTGE A	C	256.	256.
375558103 GILEAD SCIENCES INC	C		
023135106 AMAZON COM INC	C	4,972.	45,020.
060505104 BANK AMER CORP	C	11,375.	22,991.
097023105 BOEING COMPANY	C		
05588E850 BNY MELLON HIGH YIEL	C	75,000.	71,373.
260557103 DOW INC.	C	6,231.	6,565.
007903107 ADVANCED MICRO DEVIC	C	7,031.	12,528.
05589K400 BNYM FLTNG RT INC-Y	C	60,000.	57,122.
09661F701 BNYM INTL SMALL CAP-	C	75,000.	62,281.
30231G102 EXXON MOBIL CORP	C	18,351.	16,351.
G1151C101 ACCENTURE PLC IRELAN	C	4,851.	5,632.
031162100 AMGEN INC	C	11,228.	12,910.

THE GRIFFITH FOUNDATION

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FORM 990PF, PART II - OTHER INVESTMENTS

=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	----
12572Q105 CME GROUP INC	C	20,222.	22,706.
742718109 PROCTER & GAMBLE CO	C	14,400.	19,285.
874039100 TAIWAN SEMICONDUCTOR	C	14,348.	17,520.
913017109 UNITED TECHNOLOGIES	C	12,455.	13,351.
N6596X109 NXP SEMICONDUCTORS N	C	5,721.	6,010.
026874784 AMERICAN INTERNATION	C	19,605.	22,117.
482480100 KLA TENCOR CORP	C	15,217.	14,584.
852234103 SQUARE INC - A	C	14,496.	13,824.
92532F100 VERTEX PHARMACEUTICA	C	10,128.	12,862.
00206R102 AT&T INC	C	22,573.	22,802.
05587K840 BNYM GLOBAL STOCK-Y	C	310,571.	639,581.
191216100 COCA-COLA CO USD	C	19,964.	19,758.
05588D811 BNYM DIV EMG MKTS-Y	C	234,583.	250,953.
461202103 INTUIT INC COM	C	4,506.	4,401.
256746108 DOLLAR TREE INC	C	16,145.	14,999.
26614N102 DUPONT DE NEMOURS IN	C	6,726.	6,027.
883556102 THERMO ELECTRON CORP	C	12,794.	15,384.
05587N851 BNYM GLBL DYN BND IN	C	125,000.	129,916.
70450Y103 PAYPAL HOLDINGS INC	C	24,938.	24,950.
78409V104 S & P GLOBAL INC	C	18,493.	21,966.
00287Y109 ABBVIE INC	C	10,912.	13,160.
05587N505 BNYM DYN TTL RTRN-Y	C	112,500.	116,616.
05587N794 BNYM GLBL RL RTRN -Y	C	200,000.	216,379.
05588F709 BNYM GLBL RL EST SEC	C	57,560.	76,511.
867914103 SUNTRUST BANKS INC	C	17,780.	17,710.
		-----	-----
TOTALS		3,619,199.	4,894,223.
		=====	=====