

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 12-01-2018 , and ending 11-30-2019

Name of foundation The John C Griswold Family Foundation		A Employer identification number 13-2978937	
% Foundation Source		B Telephone number (see instructions) (800) 839-1754	
Number and street (or P.O. box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd	Room/suite	C If exemption application is pending, check here <input type="checkbox"/>	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377			
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 15,251,623		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1,671	1,671		
	4 Dividends and interest from securities	263,272	263,272		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	808,600			
	b Gross sales price for all assets on line 6a	4,926,550			
	7 Capital gain net income (from Part IV, line 2)		808,600		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	9,411	8,644			
12 Total. Add lines 1 through 11	1,082,954	1,082,187			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	117,447	117,447		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	13,548			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	23,692			23,692
	22 Printing and publications				
	23 Other expenses (attach schedule)	58,853	350		58,503
	24 Total operating and administrative expenses. Add lines 13 through 23	213,540	117,797		82,195
25 Contributions, gifts, grants paid	779,200			779,200	
26 Total expenses and disbursements. Add lines 24 and 25	992,740	117,797		861,395	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	90,214				
b Net investment income (if negative, enter -0-)		964,390			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	490,682	665,268	665,268
	3 Accounts receivable ▶ <u>1,981</u>			
	Less: allowance for doubtful accounts ▶ _____		1,981	1,981
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	1,593,711	1,749,420	1,769,308
	b Investments—corporate stock (attach schedule)	6,783,582	6,741,770	11,266,103
	c Investments—corporate bonds (attach schedule)	1,742,390	1,542,140	1,548,963
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,610,365	10,700,579	15,251,623	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	10,610,365	10,700,579		
30 Total net assets or fund balances (see instructions)	10,610,365	10,700,579		
31 Total liabilities and net assets/fund balances (see instructions) .	10,610,365	10,700,579		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,610,365
2 Enter amount from Part I, line 27a	2	90,214
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	10,700,579
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	10,700,579

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Publicly-traded Securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,926,550		4,117,950	808,600
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			808,600
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	808,600
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	1,110,357	14,429,393	0.076951
2016	947,842	14,016,421	0.067624
2015	953,940	13,531,222	0.070499
2014	904,914	14,680,392	0.061641
2013	835,392	14,819,530	0.056371

2 Total of line 1, column (d)	2	0.333086
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.066617
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	14,439,996
5 Multiply line 4 by line 3	5	961,949
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,644
7 Add lines 5 and 6	7	971,593
8 Enter qualifying distributions from Part XII, line 4	8	861,395

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	19,288
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	19,288
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	19,288
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	18,500
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,269
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	19,769
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	481
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax ▶ 481 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		No
b		No
c		No
d		
e		
2		No
3		No
4a		No
b		
5		No
6	Yes	
7	Yes	
8a		
b	Yes	
9		No
10		No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address 13 Yes
14 The books are in care of Foundation Source Telephone no. (800) 839-1754

Located at 501 Silverside Road Suite 123 Wilmington DE ZIP+4 198091377

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?. 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?. Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.) 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:			Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	No
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Foundation Source 55 Walls Drive 3rd floor Fairfield, CT 06824	Administrative	57,753
Morgan Stanley 7755 MONTGOMERY ROAD 2ND FLOOR CINCINNATI, OH 45236	Investment Mgt	57,215
CIBC Private Wealth Management 1177 6th avenue 42nd fl NEW YORK, NY 10036	investment mgt	60,232
Total number of others receiving over \$50,000 for professional services.		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	14,002,319
b	Average of monthly cash balances.	1b	657,575
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	14,659,894
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	14,659,894
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	219,898
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,439,996
6	Minimum investment return. Enter 5% of line 5.	6	722,000

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	722,000
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	19,288
b	Income tax for 2018. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	19,288
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	702,712
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	702,712
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	702,712

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	861,395
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	861,395
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	861,395

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				702,712
2 Undistributed income, if any, as of the end of 2018:				
a Enter amount for 2017 only.				
b Total for prior years: 2016, 2015, 2014				
3 Excess distributions carryover, if any, to 2018:				
a From 2013.	122,988			
b From 2014.	179,886			
c From 2015.	284,063			
d From 2016.	264,649			
e From 2017.	410,221			
f Total of lines 3a through e.	1,261,807			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ <u>861,395</u>				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				702,712
e Remaining amount distributed out of corpus	158,683			
5 Excess distributions carryover applied to 2018. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,420,490			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	122,988			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	1,297,502			
10 Analysis of line 9:				
a Excess from 2014.	179,886			
b Excess from 2015.	284,063			
c Excess from 2016.	264,649			
d Excess from 2017.	410,221			
e Excess from 2018.	158,683			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:











c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a
b <i>Approved for future payment</i>				
Total				▶ 3b

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Allyson Griswold Dayak  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1.0	0	0	0
David Earls  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1.0	0	0	0
Jeffrey W Earls  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1.0	0	0	0
John G Earls  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Treas 1.0	0	0	0
John V Earls  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee, Sec 1.0	0	0	0
Kit Earls  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1.0	0	0	0
Michael G Earls  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee, Pres 1.0	0	0	0
Nathaniel Earls  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1.0	0	0	0
Mark Griswold  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1.0	0	0	0
D Ross Griswold Jr  Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee, VP 1.0	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AIDS SERVICE FOUNDATION OF GREATER KANSAS CITY PO BOX 32192 KANSAS CITY, MO 64171	N/A	PC	General & Unrestricted	12,500
BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BEACH, CA 92649	N/A	PC	EONS (eyes on nest sites), Channel Cleanup, WOW (Windows to Our Wetlands) education program	25,000
BOON PHILANTHROPY INC 51 FRONT ST CUMBERLAND, RI 02864	N/A	PC	Friendship Fund	5,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BUYAMBA INC 3625 E THOUSAND OAKS BLVD STE 265 WESTLAKE VILLAGE, CA 91362	N/A	PC	General & Unrestricted	10,000
CARDEN CONSERVATORY PARENT SUPPORT GROUP INC 5702 CLARK DR HUNTINGTON BEACH, CA 92649	N/A	PC	General & Unrestricted	10,000
CENTER FOR CARBON REMOVAL 1111 BROADWAY FL 3 OAKLAND, CA 94607	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTER FOR THE DEVELOPMENTALLY DISABLED 9150 E 41ST TERRACE KANSAS CITY, MO 64133	N/A	PC	General & Unrestricted	25,000
CHORUS AMERICA ASSOCIATION 1200 18TH ST NW STE 1250 WASHINGTON, DC 20036	N/A	PC	General & Unrestricted	7,000
CINCINNATI MUSICAL FESTIVAL ASSOC 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	3,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	5,000
CINCINNATI PERMACULTURE INSTITUTE 3441 EVANSTON AVE CINCINNATI, OH 45207	N/A	PC	General & Unrestricted	20,000
CINCINNATI PLAYHOUSE IN THE PARK 962 MOUNT ADAMS CIR CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	3,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
CONSPIRARE INC 1812 CENTRE CREEK DR STE 110 AUSTIN, TX 78754	N/A	PC	General & Unrestricted	3,000
DEVELOPING POTENTIAL INC 251 NW EXECUTIVE WAY LEES SUMMIT, MO 64063	N/A	PC	General & Unrestricted	5,000
DIRECT CONNECTIONS TO AFRICA INC 1278 GLENNEYRE ST LAGUNA BEACH, CA 92651	N/A	PC	General & Unrestricted	40,700
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DRAGONFLY DEVELOPMENT RESOURCES INC 51 FRONT ST APT 327 CUMBERLAND, RI 02864	N/A	PF	expenditure responsibility grant	40,000
EQUUS EFFECT37 DRUM RD SHARON, CT 06069	N/A	PC	General & Unrestricted	2,000
FAMILY REENTRY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604	N/A	PC	John Mele's Transitions Program	1,500
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FAMILY REENTRY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604	N/A	PC	The Transitions Mentoring Program	1,000
GREATER AUSTIN PERFORMING ARTS CENTER 701 W RIVERSIDE DR AUSTIN, TX 78704	N/A	PC	General & Unrestricted	4,000
HEALTHY HOMES BLOCK BY BLOCK 2118 ST MICHAEL ST W ANX STE 2 CINCINNATI, OH 45204	N/A	PC	General & Unrestricted	2,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOPE COALITION 100 S MARKET ST HOLDEN, MO 64040	N/A	PC	community sports activities Program	12,500
IF I NEED HELPP PO BOX 803356 SANTA CLARITA, CA 91380	N/A	PC	General & Unrestricted	5,000
INSPIRE INC 25 FLEMING LN FAIRFIELD, CT 06824	N/A	PC	General & Unrestricted	11,500
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JDRF INTERNATIONAL 26 BROADWAY 14TH FL NEW YORK, NY 10004	N/A	PC	General & Unrestricted	1,000
JOBONE1085 S YUMA AVE INDEPENDENCE, MO 64056	N/A	PC	General & Unrestricted	12,500
KANSAS CITY ACTORS THEATRE INC PO BOX 414260 KANSAS CITY, MO 64141	N/A	PC	General & Unrestricted	12,500
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KLEIN MEMORIAL AUDITORIUM FOUNDATION INC 910 FAIRFIELD AVE BRIDGEPORT, CT 06605	N/A	PC	General & Unrestricted	3,500
KLEIN MEMORIAL AUDITORIUM FOUNDATION INC 910 FAIRFIELD AVE BRIDGEPORT, CT 06605	N/A	PC	Charitable Event	1,500
LINTON INCORPORATED 1241 ELM ST CINCINNATI MUSIC HALL CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	5,000
Total				779,200

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MANY MOTHERSPO BOX 23222 SANTA FE, NM 87502	N/A	PC	General & Unrestricted	5,000
MANY MOTHERSPO BOX 23222 SANTA FE, NM 87502	N/A	PC	To purchase underwriting	500
MICROGRID SYSTEMS LABORATORY PO BOX 789 MEDANALES, NM 87548	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MILLIKIN UNIVERSITY 1184 W MAIN ST DECATUR, IL 62522	N/A	PC	Griswold Physical Education Center	30,000
MILLIKIN UNIVERSITY 1184 W MAIN ST DECATUR, IL 62522	N/A	PC	John C. Griswold Presidential Scholarship fund, Lynn Louise Griswold Scholarship fund and David R. Griswold Scholarship fund	60,000
NATIONAL MULTIPLE SCLEROSIS SOCIETY 4440 LAKE FOREST DR STE 120 CINCINNATI, OH 45242	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NIMBUS ARTS649 MAIN ST SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	20,000
NORTHERN NEW MEXICO RADIO FOUNDATION 6401 S RICHARDS AVE SANTA FE, NM 87508	N/A	PC	General & Unrestricted	3,000
OC HABITATS 15333 CULVER DR STE 340-763 IRVINE, CA 92604	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OLD FRIENDS SENIOR DOGSPO BOX 93 MOUNT JULIET, TN 37121	N/A	PC	General & Unrestricted	7,500
PHOENIX ASSOCIATION INC5 RIVER RD WILTON, CT 06897	N/A	PC	General & Unrestricted	20,000
PIVOT MINISTRIES INC485 JANE ST BRIDGEPORT, CT 06608	N/A	PC	General & Unrestricted	2,500
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLAY ACTION FLAG FOOTBALL INC 1768 N BRIGANTINE LN VILLA PARK, CA 92867	N/A	PC	General Fund, Divison 1 49ers fund	1,000
POP WARNER LITTLE SCHOLARS INC - TUSTIN YOUTH FOOT PO BOX 1345 TUSTIN, CA 92780	N/A	PC	coach Mike Mallard Scholarship Fund	15,000
POWELL GARDENS INC 1609 NW US HWY 50 KINGSVILLE, MO 64061	N/A	PC	General & Unrestricted	25,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PROSPECT HOUSE INC 682 HAWTHORNE AVE CINCINNATI, OH 45205	N/A	PC	General & Unrestricted	20,000
READWORKS INC CPO BOX 24673 NEW YORK, NY 11202	N/A	PC	General & Unrestricted	2,500
RESTART INC 918 E 9TH ST KANSAS CITY, MO 64106	N/A	PC	General & Unrestricted	12,500
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAINT HELENA FORUM FOR INNOVATION AND CREATIVITY 2480 SPRING MOUNTAIN RD SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	2,500
SECRET LAND ARP695 VENTURA ST ALTADENA, CA 91001	N/A	PC	General & Unrestricted	25,000
SOUTHPORT CONGREGATIONAL CHURCH - UNITED CHURCH OF PO BOX 366 SOUTHPORT, CT 06890	N/A	PC	General & Unrestricted	25,000
Total				779,200

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST HELENA MONTESSORI SCHOOL INC 880 COLLEGE AVE SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	58,000
THE HEART OF AMERICA SHAKESPEARE FESTIVAL 3732 MAIN ST KANSAS CITY, MO 64111	N/A	PC	General & Unrestricted	25,000
THE HEART OF AMERICA SHAKESPEARE FESTIVAL 3732 MAIN ST KANSAS CITY, MO 64111	N/A	PC	Marilyn Strauss Legacy Fund	25,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE RIVERBROOK REGIONAL YOUNG MENS CHRISTIAN ASSOC 404 DANBURY RD WILTON, CT 06897	N/A	PC	the Paddle Program Improvement Campaign	1,500
TIKKUN FARM INC7941 ELIZABETH ST CINCINNATI, OH 45231	N/A	PC	General & Unrestricted	10,000
UNIVERSITY OF SAN DIEGO 5998 ALCALA PARK SAN DIEGO, CA 92110	N/A	PC	Daniel Burkett Memorial Scholarship fund	5,000
Total ▶ 3a				779,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF UTAH 201 PRESIDENTS CIR RM 203 SALT LAKE CTY, UT 84112	N/A	PC	John C Griswold Scholarship Fund	3,000
VITAL OPERA INC 8710 34TH AVE APT 2B JACKSON HTS, NY 11372	N/A	PC	General & Unrestricted	10,000
VOCAL ARTS ENSEMBLE OF CINCINNATI 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	55,000
Total ▶ 3a				779,200

TY 2018 Compensation Explanation**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Person Name	Explanation
David Earls	*REMOVED FROM FOUNDATION IN 2019

TY 2018 Contractor Compensation Explanation**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Contractor	Explanation
Morgan Stanley	investment mgt
CIBC Private Wealth Management	investment mgt

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Expenditure Responsibility Statement

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
IL CUORE DI GIAMPY	VIE SEMPIONE 21 INT 12 ARESE, MILANO 20020 IT	2018-02-28	5,000	TO SUPPORT THE INFANT CARE TRAINING PROJECT	2,400	NO	7/10/19		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2018-03-02	40,000	TO SUPPORT THE BOON PROJECT	40,000	NO	7/10/19		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2018-03-20	5,000	TO SUPPORT THE BOON PROJECT	5,000	NO	7/10/19		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2018-07-06	5,000	TO SUPPORT THE BOON PROJECT	5,000	NO	7/10/19		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2019-03-07	40,000	To support the boon project	0	NO	expected in 2020		none necessary

TY 2018 Investments Corporate Bonds Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
APPLE INC - 3.250% - 02/23/202	75,212	79,690
AT&T INC GLBL NT - 3.000% - 02	149,646	153,024
BLACKROCK INC FR - 3.375% - 06	159,685	155,539
CAPITAL ONE CC TR SER 2015-2A	98,511	100,092
CITIBANK CCIT SER 2014-A1 CL A	99,626	101,152
CITIGROUP INC - 1.875% - 03/31	50,168	50,739
CITIGROUP INC BND - 3.300% - 0	52,042	52,164
COMCAST CORP BOND - 2.850% - 0	149,684	153,860
DEERE JOHN CAP CORP - 2.800% -	95,850	102,423
GOLDMAN SACHS GROUP INC - 3.85	106,456	106,531
JPMORGAN CHASE & CO - 4.350% -	108,388	103,880
MORGAN STANLEY BD - 5.500% - 0	86,186	79,211
ORACLE CORP - 3.400% - 07/08/2	131,613	131,690
PFIZER INC NT - 3.400% - 05/15	52,866	52,942
WELLS FARGO & COMPANY - 2.600%	50,005	50,205
WELLS FARGO - 3.000% - 01/22/2	76,202	75,821

TY 2018 Investments Corporate Stock Schedule

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE SYSTEMS, INC	46,506	92,859
AIA GROUP LTD ADR	26,030	30,335
ALCON INC	19,178	18,522
ALEXION PHARMACEUTICALS, INC	30,646	27,573
ALIBABA GROUP HOLDING LTD	23,310	29,000
ALPHABET INC CL A	10,079	45,643
ALPHABET INC CL C	110,123	287,091
ALPS CLEAN ENERGY ETF	70,717	71,214
AMADEUS IT HLDS SA	24,717	21,086
AMAZON COM	117,921	334,950
AMERICAN TOWER REIT INC	55,980	89,679
AMERIPRISE FINANCIAL INC	20,067	46,375
AMETEK INC	6,731	40,792
AMPHENOL CORPORATION	9,422	35,256
ANALOG DEVICES INC	65,060	87,423
ANSYS INC	5,961	49,665
APPLE INC	19,262	222,619
APTIV PLC	23,451	32,295
ASHLAND GLOBAL HOLDINGS	13,452	15,846
ASML HOLDING NV NY REG SHS	16,155	23,003
ASSA ABLOY UNSP/ADR	18,314	21,181
AUTODESK, INC	37,350	60,059
AUTOMATIC DATA PROCESSING INC	41,277	112,886
AUTOZONE INC	6,516	88,344
BAE SYSTEMS PLC	20,503	19,297
BANCO SANTANDER CEN	25,477	19,493
BARCLAYS ETN PLUS SELECT MLP	286,134	250,965
BERRY PLASTICS GROUP INC	9,996	27,454
BHP BILLITON LIMITED	25,683	26,435
BLACKROCK INC	75,244	106,901

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BOEING CO	14,982	73,236
BORG WARNER INC	17,625	17,619
BRIGHT HORIZONS FAMILY SOLUTIO	13,084	24,836
BROOKFIELD ASSET MANAGEMENT CL	10,673	14,077
BROWN FORMAN CORP CL B	3,798	18,108
CANADIAN NATL RAILWAY CO	15,362	15,850
CAPITAL ONE FINANCIAL CORP	41,421	52,905
CARTER'S INC	25,251	27,894
CDW CORP	13,955	16,206
CHARLES SCHWAB CORP	83,044	94,149
CHEVRON CORP	79,613	77,657
CHUBB LIMITED	20,133	22,116
CHURCH & DWIGHT	6,396	29,852
CIGNA CORPORATION	105,611	132,147
CINTAS CRP	21,957	21,850
CISCO SYSTEMS INC	54,021	123,243
CITIGROUP INC	94,012	129,507
CITRIX SYSTEMS INC	7,009	16,922
COMCAST CORP	57,084	109,006
CONCHO RESOURCES INC	13,073	13,859
CONTL AG SPONS ADR	15,617	11,023
COOPER COMPANIES INC	20,159	25,360
COSTAR GROUP, INC	13,932	24,514
CROWN HOLDINGS INC	19,185	37,950
DAIKIN INDS LTD ADR	23,432	24,978
DANAHER CORP	57,680	172,694
DBS GROUP HLDGS SPON ADR	20,048	19,444
DEUTSCHE BOERSE ADR	11,580	12,905
DIAGEO PLC ADS	14,926	17,325
DOLLAR GENERAL CORP	21,446	68,137

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DOLLAR TREE INC	5,733	43,992
DOMINOS PIZZA INC	23,578	25,898
DU PONT DE NEMOURS	40,613	31,822
ECOLAB INC	71,139	81,761
EDWARDS LIFESCIENCES	19,871	56,091
ENCOMPASS HEALTH CORPORATION	17,194	47,659
EOG RESOURCES INC	81,172	63,952
EPAM SYSTEMS INC	14,325	89,401
EQUINIX, INC	8,520	48,749
EURONET WORLDWIDE INC	52,979	96,043
EXACT SCIENCES CORPORATION	22,836	35,563
F M C CP	15,273	37,029
FANUC LIMITED UNSPONSORED	19,510	18,593
FIDELITY NATIONAL INFORMATION	95,422	256,959
FISERV INC	47,337	237,013
FLEETCOR TECHNOLOGIES INC	21,387	42,048
FOMENTO ECONOMICO MEXICANO	17,702	16,822
GENPACT LIMITED	21,952	23,362
GLOBAL PAYMENTS INC	42,950	90,369
GRIFOLS SA REP 1/2 CL B	24,934	27,273
HCA INC	16,443	33,001
HD SUPPLY HLDGS INC	18,908	26,281
HDFC BANK LTD ADR	24,817	33,592
HEXAGON	9,345	10,562
HEXCEL CP DELAWARE	20,136	30,737
HOME DEPOT INC	50,576	117,752
HONEYWELL INTL	118,551	161,409
HSBC HOLDINGS PLC	21,684	17,959
HUBBELL INCORPORATED	28,630	38,372
HUMANA INC	4,250	34,805

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
IAA INC	21,517	25,657
ICON PLC - AMERICAN DEPOSITARY	25,429	27,574
IHS MARKIT LTD	20,119	38,940
INTERCONTINENTAL EXCHANGE, INC	134,134	180,712
INTERCONTINENTAL HOTELS GROUP	19,596	19,354
INTERXION HOLDING N.V	15,557	19,559
ISHARES MSCI PACIFIC EX-JAPAN	317,496	438,521
ISHARES TRUST- ISHARES MSCI AL	75,811	76,615
JAZZ PHARMACEUTICALS INC	25,825	25,086
JOHNSON & JOHNSON	119,949	164,576
JONES LANG LASALLE	9,580	22,621
JP MORGAN CHASE	55,125	172,210
JULIUS BAER GROUP LTD	22,176	19,572
KANSAS CITY SOUTHERN	12,245	33,380
KAR AUCTION SERVICES INC	16,365	14,594
KEYSIGHT TECHNOLOGIES INC	37,828	46,344
KINDER MORGAN INC	35,273	40,926
KLA TENCOR CORP	15,645	15,894
L'AIR LIQUIDE ADR OTC	19,900	22,801
L3HARRIS TECHNOLOGIES	28,398	27,348
LAM RESEARCH CORP	30,605	42,159
LAS VEGAS SANDS CORP	15,683	16,315
LINDE PLC COM	84,670	106,817
LIVENT CORPORATION	11,579	8,151
LLOYDS BANKING GROUP PLC	16,196	16,356
LONDON STK EXCHANGE GROUP	11,606	16,874
LVMH MOET HENN UNSP	23,588	29,208
MARRIOTT INTERNATIONAL INC. CL	9,147	38,739
MARRIOTT VACATIONS WORLDWIDE C	15,313	19,286
MASCO CORP	27,430	33,795

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MEDTRONIC PLC	107,307	151,825
MERCADOLIBRE, INC	5,769	10,450
MERCK & CO INC	24,466	61,898
MICROCHIP TECHNOLOGY INC	13,662	52,375
MICROSOFT CORP	67,752	328,798
MOHAWK INDS INC	8,601	16,167
MONSTER BEVERAGE CORP	1,877	27,996
MSCI INC	10,444	32,399
NEW ORIENTAL ED & TECHNOLOGY G	8,233	16,951
NEXTERA ENERGY, INC	19,300	43,724
NIDEC CORPORATION ADR	26,300	26,631
NOVARTIS AG ADR	22,152	27,321
NTT DOCOMO	16,212	16,470
O'REILLY AUTOMOTIVE INC	21,469	38,478
OCCIDENTAL PETROLEUM CORP	57,175	28,812
ORACLE CORP	26,388	48,561
PENTAIR INC. COM	11,116	12,551
PEPSICO INC	72,313	112,739
PIONEER NAT RES CO	95,974	77,215
PRA HEALTH SCIENCES INC COM	26,199	34,819
PTC INC	25,837	43,892
QUALCOMM INC	91,955	123,738
RAYTHEON CO	55,039	70,444
REXNORD CORP	12,145	17,280
RITCHIE BROS AUCTIONEERS	20,966	24,633
ROPER INDUSTRIES	12,014	51,173
ROSS STORES, INC	14,338	53,081
ROYAL DUTCH SHELL PLC SPONS ADR	22,339	18,205
SBA COMMUNICATIONS CORP	14,156	58,408
SCHLUMBERGER LTD	22,286	13,032

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEVEN & I HOLDINGS C	18,059	16,163
SIEMENS AG	21,351	22,425
SKYWORKS SOLUTIONS, INC	5,477	22,806
SMITH & NEPHEW PLC ADR	15,151	18,405
SPROUTS FARMERS MARKET INC	21,144	18,909
STRYKER CORPORATION	16,576	69,857
SUNCOR ENERGY INC	18,103	14,318
SYMRISE AG UNSPONSORED	19,209	20,425
SYSMEX CORP UNSP ASDR	24,402	19,306
T-MOBILE US INC	89,173	112,327
TAIWAN SEMICONDUCTOR MFG CO LT	21,451	25,802
TE CONNECTIVITY LTD	9,809	14,555
TELEFLEX INC	25,873	39,927
TENCENT HOLDINGS LIMITED	25,150	25,501
TEXAS INSTRUMENTS INC	47,208	44,237
THE BLACKSTONE GROUP INC CL A	87,215	95,861
THERMO FISHER SCIENTIFIC INC	48,120	113,964
TJX COMPANIES INC	66,384	102,454
TOKIO MARINE HOLDINGS INC	13,963	15,323
TRACTOR SUPPLY CO COM	10,538	23,138
TRANSUNION COM USD0.01	19,784	40,997
ULTA SALON, COSMETICS & FRAGRA	10,100	15,201
UNION PACIFIC	46,108	102,602
UNITED TECHNOLOGIES CORP	89,847	137,511
UNITEDHEALTH GROUP INC	26,954	167,082
UNIVERSAL HLTH SVC B	20,329	30,967
US BANCORP	99,518	145,032
V F CORP	35,559	79,155
VISA INC	94,557	243,184
WALT DISNEY HOLDINGS CO	49,326	56,994

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WASTE MANAGEMENT INC	51,050	52,164
WELLS FARGO & CO	60,164	77,006
WESTERN ALLIANCE BANCORPORATIO	35,757	32,965
WEX, INC	11,957	34,192
XILINX INC	20,041	26,164
XYLEM INC	22,371	23,486
YUM CHINA HOLDINGS INC	18,282	23,551
ZOETIS INC	63,003	87,618

TY 2018 Investments Government Obligations Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937**US Government Securities - End
of Year Book Value:**

1,749,420

**US Government Securities - End
of Year Fair Market Value:**

1,769,308

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2018 Other Expenses Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	57,753			57,753
Bank Charges	350	350		
State or Local Filing Fees	750			750

TY 2018 Other Income Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Federal Tax Refund	767		
Foreign Tax Refund	8,644	8,644	

TY 2018 Other Professional Fees Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	117,447	117,447		

TY 2018 Taxes Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2019	7,800			
990-PF Extension for 2018	5,748			