

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning **DEC 1, 2018** and ending **NOV 30, 2019**

Name of foundation

CROSSWICKS FOUNDATION, LTD.

Number and street (or P.O. box number if mail is not delivered to street address)

C/O JOSEPHINE JONES, 93 WEST STREET

City or town, state or province, country, and ZIP or foreign postal code

GOSHEN, CT 06756

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationFair market value of all assets at end of year
(from Part II, col. (c), line 16)J Accounting method: ☐ Cash ☒ Accrual

Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

STMT 1

b Accounting fees

STMT 2

c Other professional fees

STMT 3

17 Interest

18 Taxes

STMT 4

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative expenses Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

A Employer identification number

13-2732197

B Telephone number

860-496-8119

C If exemption application is pending, check here

D 1 Foreign organizations, check here

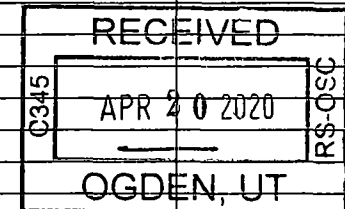
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

SCANNED FEB 10 2021 Revenue

Operating and Administrative Expenses



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	-1.	1.	1.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	896.	1,679.	1,679.
	10a Investments - U.S. and state government obligations STMT 5	239,843.	231,229.	242,019.
	b Investments - corporate stock STMT 6	1,979,772.	2,033,942.	2,336,890.
	c Investments - corporate bonds STMT 7	191,621.	173,213.	180,454.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	158,895.	168,545.	168,545.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,571,026.	2,608,609.	2,929,588.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	169,000.	157,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	169,000.	157,000.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,402,026.	2,451,609.	
	30 Total net assets or fund balances	2,402,026.	2,451,609.	
	31 Total liabilities and net assets/fund balances	2,571,026.	2,608,609.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,402,026.
2 Enter amount from Part I, line 27a	2	49,583.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,451,609.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,451,609.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 960,211.		779,207.	181,004.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			181,004.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	181,004.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	176,773.	2,898,739.	.060983
2016	167,498.	2,875,129.	.058258
2015	168,446.	2,741,005.	.061454
2014	166,875.	2,878,529.	.057972
2013	175,130.	2,988,267.	.058606

2 Total of line 1, column (d)	2	.297273
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.059455
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	2,764,889.
5 Multiply line 4 by line 3	5	164,386.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,066.
7 Add lines 5 and 6	7	166,452.
8 Enter qualifying distributions from Part XII, line 4	8	166,780.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES SEE ATTACHED	P	VARIOUS	VARIOUS
b	PUBLICLY TRADED SECURITIES SEE ATTACHED	P	VARIOUS	VARIOUS
c	DOW INC REG CASH IN LIEU OF SHARES	P	04/17/17	04/12/19
d	ALCON SA ACT NOM HOLDING CASH IN LIEU OF SHARES	P	04/17/17	04/26/19
e	DUPONT DE NEMOURS INC CASH IN LIEU	P	04/17/17	06/06/19
f	CVS HEALTH CORP CASH IN LIEU	P	12/06/18	12/06/18
g	CORTEVA INC REG SHS	P	06/06/19	06/06/19
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed - (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 265,723.		246,530.	19,193.
b 694,343.		532,677.	161,666.
c 19.			19.
d 22.			22.
e 24.			24.
f 71.			71.
g 9.			9.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			19,193.
b			161,666.
c			19.
d			22.
e			24.
f			71.
g			9.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	181,004.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2018 estimated tax payments and 2017 overpayment credited to 2018

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed**

10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**

11 Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ☒ **Refunded** ☐

1	2,066.
2	0.
3	2,066.
4	0.
5	2,066.
6a	1,680.
6b	0.
6c	0.
6d	0.
7	1,680.
8	0.
9	386.
10	
11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>PETROVITS, PATRICK, SMITH & COMPANY</u> Telephone no. ► <u>860-496-8119</u> Located at ► <u>173 PROSPECT STREET, TORRINGTON, CT</u> ZIP+4 ► <u>06790</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		☐
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	Yes	No
	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

(a) Name and address of each person paid more than \$50,000

(b) Type of service

(c) Compensation	
------------------	--

NONE

Total number of others receiving over \$50,000 for professional services

0

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A
---	-----

2

3

4

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A
---	-----

2

All other program-related investments. See instructions.

3

Total. Add lines 1 through 3

0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,806,994.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,806,994.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,806,994.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	42,105.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,764,889.
6	Minimum investment return. Enter 5% of line 5	6	138,244.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	138,244.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	2,066.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,066.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	136,178.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	136,178.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	136,178.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	157,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	9,780.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	166,780.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,066.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	164,714.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				136,178.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	29,457.			
b From 2014	28,199.			
c From 2015	34,504.			
d From 2016	28,826.			
e From 2017	35,162.			
f Total of lines 3a through e	156,148.			
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$	166,780.			
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				136,178.
e Remaining amount distributed out of corpus	30,602.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	186,750.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	29,457.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	157,293.			
10 Analysis of line 9:				
a Excess from 2014	28,199.			
b Excess from 2015	34,504.			
c Excess from 2016	28,826.			
d Excess from 2017	35,162.			
e Excess from 2018	30,602.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN MUSEUM OF NATUAL HISTORY CENTRAL PARK WEST & 70TH STREET NEW YORK, NY 10024	NONE	501C3	GENERAL OPERATIONS	10,000.
BEDFORD HILLS ELEMENTARY SCHOOL ASSOCIATION 123 BABBITT ROAD BEDFORD HILLS, NY 10507	NONE	501C3	GENERAL OPERATIONS	5,000.
BEDFORD HILLS FREE LIBRARY 26 MAIN STREET BEDFORD HILLS, NY 10507	NONE	501C3	GENERAL OPERATIONS	2,000.
BEHIND THE BOOK 216 W.135TH STREET,GROUND FLOOR NEW YORK, NY 10030	NONE	501C3	GENERAL OPERATIONS	2,000.
BROOKLYN COMMUNITY BAIL FUND 195 MONTAGUE ST., 14TH FLOOR SUITE 1414 BROOKLYN, NY 10030	NONE	501C3	GENERAL OPERATIONS	2,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	169,000.
b Approved for future payment				
BEDFORD HILLS ELEMENTARY SCHOOL ASSOCIATION 123 BABBITT ROAD BEDFORD HILLS, NY 10507	NONE	501C3	GENERAL OPERATIONS	5,000.
BEDFORD HILLS FREE LIBRARY 26 MAIN STREET BEDFORD HILLS, NY 10507	NONE	501C3	GENERAL OPERATIONS	2,000.
CENTER FOR MODERN PSYCHOANALYTIC STUDIES 17 W. 10TH STREET NEW YORK, NY 10011	NONE	501C3	GENERAL OPERATIONS	6,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3b	157,000.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR MODERN PSYCHOANALYTIC STUDIES 17 WEST 10TH STREET NEW YORK, NY 10011	NONE	501C3	GENERAL OPERATIONS	6,000.
CONNECTICUT CHORAL SOCIETY PO BOX 42 SOUTHBURY, CT 06488	NONE	501C3	GENERAL OPERATIONS	1,000.
CT FOREST & PARK ASSOC, INC. 16 MERIDAN RD. ROCK FALL, CT 06481-2961	NONE	501C3	GENERAL OPERATIONS	1,000.
EMERGENT DIPLOMACY 3250 LA PAZ LANE SANTA FE, NM 87507	NONE	501C3	GENERAL OPERATIONS	7,000.
FISH 332 SOUTH MAIN ST TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	2,000.
FLMSA 7 LOCKSLEY LANE BEDFORD HILLS, NY 10507	NONE	501C3	GENERAL OPERATIONS	7,000.
FOCUS CENTER FOR AUTISM 126 DOWD AVE. PO BOX 452 CANTON, CT 06019	NONE	501C3	GENERAL OPERATIONS	2,000.
FRACTURED ATLAS C/O JAMES KENNEDY 2736 WEST 35TH STREET, 10TH FLOOR NEW YORK, NY 10001	NONE	501C3	GENERAL OPERATIONS	10,000.
FRIENDLY HANDS FOOD BANK, INC. 50 KING STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	5,000.
GOSHEN HOSPICE PO BOX 202 GOSHEN 06756	NONE	501C3	GENERAL OPERATIONS	5,000.
Total from continuation sheets				148,000.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GOSHEN PLAYERS PO BOX 63 GOSHEN 06756	NONE	501C3	GENERAL OPERATIONS	1,000.
GREENWOODS COUNSELING CENTER PO BOX 1549 LITCHFIELD 06759	NONE	501C3	GENERAL OPERATIONS	3,000.
HUDSON VALLEY SHAKESPEARE 140 MAIN STREET COLD SPRING, NY 10516	NONE	501C3	GENERAL OPERATIONS	5,000.
KIDSPLAY CHILDREN'S MUSEUM 61 MAIN STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	1,000.
LARC 314 MAIN STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	3,000.
METROPOLIS ENSEMBLE 34-33 84TH STREET QUEENS, NY 11372	NONE	501C3	GENERAL OPERATIONS	10,000.
NEIGHBORS LINK 27 COLUMBUS AVE. MT KISCO, NY 10549	NONE	501C3	GENERAL OPERATIONS	7,000.
NEW VICTORY THEATRE 229 WEST 42ND ST 10TH FLR NEW YORK, NY 10036	NONE	501C3	GENERAL OPERATIONS	10,000.
NEW YORK PEACE INSTITUTE KAREN GAINES 111 JOHN STREET SUITE 600 NEW YORK, NY 10038	NONE	501C3	GENERAL OPERATIONS	10,000.
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM ST, 10TH FOOR NEW YORK, NY 10038	NONE	501C3	GENERAL OPERATIONS	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD 249 WINSTED ROAD SUITE 102 TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	5,000.
RAINBOW HEIGHTS CLUB 25 FLATBUSH AVENUE 4TH FLOOR NEW YORK, NY 11217	NONE	501C3	GENERAL OPERATIONS	6,000.
ST ANDREW SCHOOL 350 NOXONTOWN STREET MIDDLETOWN, DE 19709-1605	NONE	501C3	GENERAL OPERATIONS	2,000.
SUSAN B ANTHONY PROJECT FOR WOMEN, INC . 179 WATER STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	10,000.
THE RETREAT FOUNDATION 30 RIVER RD APT 7D NEW YORK, NY 10044	NONE	501C3	GENERAL OPERATIONS	10,000.
THE DOUGLAS LIBRARY 108 MAIN STREET NORTH CANAAN, CT 06018	NONE	501C3	GENERAL OPERATIONS	1,000.
WARNER THEATRE 68 MAIN STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	3,000.
WRITOTIA 155 WEST 81ST STREET NEW YORK, NY 10024	NONE	501C3	GENERAL OPERATIONS	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CT FOREST & PARK ASSOC, INC. 16 MERIDAN RD. ROCK FALL, CT 06481-2961	NONE	501C3	GENERAL OPERATIONS	1,000.
DELAWARE VALLEY OPERA PO BOX 505 CALICOON, NY 12723	NONE	501C3	GENERAL OPERATIONS	1,000.
DOCTORS WITHOUT BORDERS USA PO BOX 5023 HAGERSTOWN, MD 21741-5030	NONE	501C3	GENERAL OPERATIONS	5,000.
EMERGENT DIPLOMACY 3250 LA PAZ LANE SANTA FE, NM 87507	NONE	501C3	GENERAL OPERATIONS	7,000.
FISH 332 SOUTH MAIN ST TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	2,000.
FLMSA 105 OLD COURNER ROAD BEDFORD, NY 10506	NONE	501C3	GENERAL OPERATIONS	5,000.
FOCUS CENTER FOR AUTISM 126 DOWD AVE, PO BOX 452 CANTON, CT 06019	NONE	501C3	GENERAL OPERATIONS	2,000.
FRACTURED ATLAS C/O JAMES KENNEDY 2736 WEST 35TH STREET, 10TH FLOOR NEW YORK, NY 10001	NONE	501C3	GENERAL OPERATIONS	10,000.
FRIENDLY HANDS FOOD BANK, INC. 50 KING STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	5,000.
GOSHEN HOSPICE PO BOX 202 GOSHEN, CT 06756	NONE	501C3	GENERAL OPERATIONS	5,000.
Total from continuation sheets				144,000.

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GOSHEN PLAYERS PO BOX 63 GOSHEN, CT 06756	NONE	501C3	GENERAL OPERATIONS	1,000.
GREENWOODS COUNSELING CENTER PO BOX 1549 LITCHFIELD, CT 06759	NONE	501C3	GENERAL OPERATIONS	2,000.
HUDSON VALLEY SHAKESPEARE 140 MAIN STREET COLD SPRING, NY 10516	NONE	501C3	GENERAL OPERATIONS	7,000.
KIDSPRAY CHILDREN'S MUSEUM 61 MAIN STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	1,000.
LARC 314 MAIN STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	3,000.
METROPOLIS ENSEMBLE 34-33 84TH STREET QUEENS, NY 11372	NONE	501C3	GENERAL OPERATIONS	10,000.
NATIONAL WRITERS SERIES (SPARK) 1200 W 11TH ST, SUITE 231 TRAVERSE CITY, MI 49684	NONE	501C3	GENERAL OPERATIONS	3,000.
NEIGHBORS LINK 27 COLUMBUS AVE. MT, KISCO, NY 10549	NONE	501C3	GENERAL OPERATIONS	7,000.
NEW VICTORY THEATRE 229 W 42ND ST 10TH FLR NEW YORK, NY 10036	NONE	501C3	GENERAL OPERATIONS	5,000.
NEW YORK PEACE INSTITUTE KAREN GAINES 111 JOHN STREET SUITE 600 NEW YORK, NY 10038	NONE	501C3	GENERAL OPERATIONS	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM ST, 10TH FLOOR NY, NY 10038	NONE	501C3	GENERAL OPERATIONS	5,000.
PLANNED PARENTHOOD 249 WINSTED ROAD SUITE 102 TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	5,000.
RAINBOW HEIGHTS CLUB 25 FLATBUSH AVENUE 4TH FLOOR NEW YORK, NY 11217	NONE	501C3	GENERAL OPERATIONS	6,000.
ST ANDREW SCHOOL 350 NOXONTOWN ROAD MIDDLETOWN, DE 19709-1605	NONE	501C3	GENERAL OPERATIONS	2,000.
SUSAN B ANTHONY PROJECT FOR WOMEN, INC. 179 WATER STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	10,000.
THE DOUGLAS LIBRARY 108 MAIN STREET NORTH CANAAN, CT 06018	NONE	501C3	GENERAL OPERATIONS	1,000.
THE RETREAT FOUNDATION PO BOX 1235 STOVE, VT 05672	NONE	501C3	GENERAL OPERATIONS	10,000.
WARNER THEATRE 68 MAIN STREET TORRINGTON, CT 06790	NONE	501C3	GENERAL OPERATIONS	3,000.
WRITOTIA 155 WEST 81 STREET M NEW YORK, NY 10024	NONE	501C3	GENERAL OPERATIONS	10,000.
Total from continuation sheets				

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

MICHELLE S
FRANKLAND

Preparer's signature

Michelle Frankland

Date

04/06/20

Check ☐ self-employed

PTIN

P00382742

Firm's name ▶ **PETROVITS, PATRICK, SMITH & COMPANY, LLC**

Firm's EIN ► 06-1633412

Firm's address ► 173 PROSPECT STREET
TORRINGTON, CT 06790

Phone no. 860-496-8119

Form **990-PF** (2018)

SCHEDULE OF REALIZED GAINS AND LOSSES

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
BRIGHTHOUSE FINL INC REG	8/28/2014	12/10/2018	262.13	132.82		(129.31)
BRIGHTHOUSE FINL INC REG	7/9/2013	12/10/2018	117.38	66.41		(50.97)
BRIGHTHOUSE FINL INC REG	8/29/2014	12/10/2018	724.59	365.26		(359.33)
BRIGHTHOUSE FINL INC REG	8/29/2014	12/11/2018	197.62	99.36		(98.26)
BRIGHTHOUSE FINL INC REG	9/2/2014	12/11/2018	730.28	364.35		(365.93)
BRIGHTHOUSE FINL INC REG	1/10/2017	12/11/2018	65.25	33.12		(32.13)
BRIGHTHOUSE FINL INC REG	1/11/2017	12/11/2018	260.97	132.49		(128.48)
BRIGHTHOUSE FINL INC REG	6/22/2017	12/11/2018	315.16	165.62		(149.54)
BRIGHTHOUSE FINL INC REG	6/23/2017	12/11/2018	126.93	66.25		(60.68)
COMCAST CORP NEW CL A	2/21/2013	12/10/2018	1,762.72	3,468.04		1,705.32
HONEYWELL INTL INC DEL	4/6/2011	12/10/2018	1,857.48	4,551.80		2,694.32
JPMORGAN CHASE & CO	10/10/2008	12/10/2018	1,301.53	3,552.56		2,251.03
JPMORGAN CHASE & CO	10/10/2008	12/13/2018	1,673.40	4,544.37		2,870.97
MCKESSON CORPORATION COM	6/22/2017	12/10/2018	4,485.89	3,309.35		(1,176.54)
PFIZER INC	10/19/2009	12/13/2018	1,226.05	3,105.40		1,879.35
SUNTRUST BKS INC COM	5/9/2013	12/13/2018	30.77	53.01		22.24
SUNTRUST BKS INC COM	1/15/2014	12/13/2018	3,576.47	4,930.85		1,354.38
SUNTRUST BKS INC COM	1/15/2014	12/14/2018	461.48	630.50		169.02
SUNTRUST BKS INC COM	9/16/2014	12/14/2018	1,614.93	2,154.21		539.28
US BANCORP	10/29/2008	12/13/2018	2,565.58	4,175.12		1,609.54
US BANCORP	10/29/2008	12/10/2018	2,022.28	3,387.31		1,365.03
US BANCORP	10/29/2008	12/20/2018	2,535.39	3,856.85		1,321.46
NEXTERA ENERGY INC SHS	12/17/2008	1/14/2019	571.44	2,051.54		1,480.10
NEXTERA ENERGY INC SHS	1/21/2003	1/14/2019	265.99	1,538.64		1,272.65
NOVO NORDISK A S ADR	10/17/2017	1/7/2019	2,418.25	2,340.35		(77.90)
NOVO NORDISK A S ADR	10/18/2017	1/7/2019	1,259.33	1,194.06		(65.27)
NOVO NORDISK A S ADR	10/18/2017	1/8/2019	805.97	771.06		(34.91)
PFIZER INC	10/19/2009	1/7/2019	1,120.96	2,768.34		1,647.38
PUB SVC ENTERPRISE GRP	9/28/2015	1/7/2019	365.95	464.60		98.65
PUB SVC ENTERPRISE GRP	9/25/2015	1/7/2019	2,124.82	2,684.33		559.51
SUNTRUST BKS INC COM	9/16/2014	1/7/2019	7,050.56	9,760.27		2,709.71
UNITEDHEALTH GROUP INC	1/20/2015	1/7/2019	1,680.39	3,843.47		2,163.08
US TSY 1.875% AUG 31 2024	1/18/2018	2/11/2019	966.32	967.66		1.34
US TSY 1.875% AUG 31 2024	10/26/2017	2/11/2019	7,803.46	7,741.23		(62.23)
CISCO SYSTEMS INC COM	1/29/2018	2/25/2019	4,029.77	4,772.45		742.68
DIAGEO PLC SP5D ADR NEW	12/17/2008	1/31/2019	874.75	2,285.66		1,410.91
DIAGEO PLC SP5D ADR NEW	12/17/2008	2/1/2019	641.49	1,666.43		1,024.94
HESS CORP	2/12/2016	2/6/2019	2,977.26	4,128.28		1,151.02
HESS CORP	11/18/2016	2/25/2019	1,582.97	1,783.62		200.65
HESS CORP	11/17/2016	2/25/2019	913.50	1,035.64		122.14
HESS CORP	2/12/2016	2/25/2019	1,032.12	1,495.93		463.81
KELLOGG CO	11/8/2017	2/13/2019	4,604.14	4,201.70		(402.44)
MERCK AND CO INC SHS	1/5/2010	2/8/2019	3,235.84	6,730.34		3,494.50
MERCK AND CO INC SHS	1/5/2010	2/13/2019	2,082.84	4,419.07		2,336.23

Detail Supporting Line 1a

SCHEDULE OF REALIZED GAINS AND LOSSES

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
MOTOROLA SOLUTIONS INC	10/2/2013	2/13/2019	1,450.57	3,301.68		1,851.11
MOTOROLA SOLUTIONS INC	10/2/2013	2/14/2019	543.96	1,235.78		691.82
PFIZER INC	10/19/2009	2/8/2019	2,171.86	5,200.49		3,028.63
PUB SVC ENTERPRISE GRP	5/3/2017	2/6/2019	1,876.97	2,364.34		487.37
PUB SVC ENTERPRISE GRP	9/28/2015	2/6/2019	1,626.47	2,199.37		572.90
SUNCOR ENERGY INC NEW	6/7/2016	2/25/2019	2,934.01	3,504.57		570.56
UNITEDHEALTH GROUP INC	1/21/2015	2/6/2019	1,625.51	4,023.28		2,397.77
UNITEDHEALTH GROUP INC	1/20/2015	2/6/2019	315.07	804.65		489.58
UNION PACIFIC CORP	1/15/2014	2/25/2019	2,555.71	5,091.20		2,535.49
APPLE INC 2.85%MAY11 24	5/8/2017	3/8/2019	2,002.74	1,996.34		(6.40)
CITIGROUP INC 4.50% 2022	11/17/2011	3/8/2019	988.02	1,039.28		51.26
CISCO SYSTEMS INC 4.45% 20	11/17/2009	3/8/2019	2,001.79	2,027.98		26.19
GOLDMAN SACHS GRO 5.75% 22	8/1/2012	3/8/2019	1,034.77	1,069.78		35.01
VISA INC 2.80%DEC14 22	12/11/2015	3/8/2019	2,003.83	2,006.18		2.35
AMERICAN EXPRESS CREDIT	3/27/2017	3/8/2019	2,001.25	1,988.70		(12.55)
AMERICAN EXPRESS CREDIT	3/27/2017	3/26/2019	14,008.32	13,930.55		(77.77)
AMERICAN EXPRESS CREDIT	1/19/2018	3/26/2019	995.05	995.05		--
BB&T CORPORATION	3/27/2017	3/8/2019	2,008.91	1,992.14		(16.77)
FEDERAL NATL MTG ASSOC	6/14/2017	3/8/2019	1,924.46	1,883.72		(40.74)
US TSY 3.125% FEB 15 2042	9/7/2012	3/8/2019	1,063.99	1,027.30		(36.69)
US TSY 2.250% FEB 15 2027	12/18/2017	3/8/2019	1,980.94	1,955.39		(25.55)
US TSY 1.750% MAY 31 2022	8/17/2017	3/8/2019	1,998.68	1,957.97		(40.71)
US TSY 2.250% AUG 15 2046	8/24/2016	3/8/2019	1,001.77	857.93		(143.84)
US TSY 3.500% MAY 15 2020	6/24/2010	3/8/2019	1,004.67	1,011.29		6.62
US TSY 4.250% MAY 15 2039	11/17/2009	3/8/2019	996.84	1,214.96		218.12
US TSY 3.125% AUG 15 2044	9/17/2014	3/8/2019	1,919.07	2,045.46		126.39
U.S. TREASURY NOTE	1/22/2018	3/8/2019	1,930.31	1,940.46		10.15
ALTRIA GROUP INC	12/13/2017	3/8/2019	2,241.25	1,713.04	528.21	--
ASTRAZENECA PLC SPND ADR	4/6/2016	3/8/2019	207.67	290.52		82.85
ASTRAZENECA PLC SPND ADR	4/7/2016	3/8/2019	2,352.51	3,278.83		926.32
ASTRAZENECA PLC SPND ADR	4/7/2016	3/19/2019	3,245.87	4,670.05		1,424.18
AMERICAN INTERNATIONAL	2/18/2015	3/8/2019	2,251.11	1,715.20		(535.91)
BP PLC SPON ADR	1/23/2018	3/8/2019	346.15	335.72	10.43	--
BP PLC SPON ADR	1/22/2018	3/8/2019	1,516.90	1,468.74	48.16	--
COMCAST CORP NEW CL A	2/21/2013	3/8/2019	928.75	1,864.43		935.68
CARDINAL HEALTH INC OHIO	1/16/2018	3/8/2019	290.33	191.84		(98.49)
CARDINAL HEALTH INC OHIO	1/12/2018	3/8/2019	141.64	95.91		(45.73)
CARDINAL HEALTH INC OHIO	1/16/2018	3/19/2019	2,685.55	1,869.48		(816.07)
CARDINAL HEALTH INC OHIO	1/16/2018	3/20/2019	1,306.49	889.94		(416.55)
CARDINAL HEALTH INC OHIO	1/17/2018	3/20/2019	361.87	247.21		(114.66)
CARDINAL HEALTH INC OHIO	1/17/2018	3/21/2019	3,112.04	2,147.71		(964.33)
COGNIZANT TECH SOLUTNS A	6/13/2018	3/8/2019	1,332.12	1,210.04		--
CRH PLC ADR	12/1/2017	3/8/2019	1,212.01	1,082.29	122.08	(129.72)
CVS HEALTH CORP	11/30/2018	3/8/2019	1,130.01	739.61	390.40	--
CITIGROUP INC COM NEW	5/9/2013	3/8/2019	3,460.93	4,377.81		916.88

Detail Supporting Line 1a

SCHEDULE OF REALIZED GAINS AND LOSSES

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
CISCO SYSTEMS INC COM	1/29/2018	3/8/2019	600.18	712.77		112.59
CONAGRA BRANDS INC	2/13/2019	3/8/2019	589.13	558.49	30.64	--
DOLLAR GENERAL CORP	10/30/2015	3/8/2019	544.78	947.02		402.24
FIRSTENERGY CORP	7/21/2017	3/8/2019	1,833.65	2,366.58		532.93
ISHARES J.P. MORGAN EM	2/7/2013	3/8/2019	11,200.27	9,232.58		(1,967.69)
GOLDMAN SACHS GROUP INC	10/3/2014	3/8/2019	749.01	776.95		27.94
GOLDMAN SACHS GROUP INC	10/2/2014	3/8/2019	1,098.83	1,165.42		66.59
HONEYWELL INTL INC DEL	4/6/2011	3/8/2019	1,970.05	5,290.11		3,320.06
HESS CORP	11/18/2016	3/8/2019	817.01	875.66		58.65
HESS CORP	3/14/2017	3/8/2019	2,484.81	2,900.67		415.86
HESS CORP	3/13/2017	3/8/2019	1,097.53	1,258.77		161.24
HUMANA INC	1/23/2018	3/8/2019	1,412.87	1,344.13	68.74	--
INTL PAPER CO	7/16/2013	3/8/2019	618.03	589.73		(28.30)
JPMORGAN CHASE & CO	10/10/2008	3/8/2019	2,417.13	6,667.19		4,250.06
KONINKL PHIL NV SH NEW	3/21/2017	3/8/2019	1,454.73	1,804.24		349.51
KELLOGG CO	11/8/2017	3/8/2019	622.18	545.84		(76.34)
LOWE'S COMPANIES INC	9/18/2017	3/8/2019	779.23	992.92		213.69
MORGAN STANLEY	8/25/2014	3/8/2019	790.84	946.44		155.60
MORGAN STANLEY	9/18/2014	3/8/2019	1,916.09	2,180.93		264.84
MCKESSON CORPORATION COM	6/22/2017	3/8/2019	1,661.44	1,117.27		(544.17)
MCKESSON CORPORATION COM	6/22/2017	3/19/2019	3,821.31	2,809.77		(1,011.54)
MCKESSON CORPORATION COM	6/22/2017	3/19/2019	1,096.00	732.98		(363.02)
MCKESSON CORPORATION COM	6/23/2017	3/19/2019	1,155.45	855.16		(300.29)
MERCK AND CO INC SHS	4/19/2013	3/1/2019	6,385.80	11,028.00		4,642.20
MERCK AND CO INC SHS	1/5/2010	3/1/2019	1,078.62	2,368.97		1,290.35
MERCK AND CO INC SHS	4/19/2013	3/8/2019	946.04	1,588.47		642.43
MERCK AND CO INC SHS	1/15/2014	3/8/2019	2,048.16	3,097.53		1,049.37
MOTOROLA SOLUTIONS INC	10/3/2013	3/8/2019	424.19	969.58		545.39
MARSH & MCLENNAN COS INC	8/10/2016	3/8/2019	804.58	1,073.87		269.29
MATTEL INC COM	7/31/2017	3/8/2019	725.50	534.27		(191.23)
NEXTERA ENERGY INC SHS	12/17/2008	3/8/2019	238.10	942.18		704.08
NORTHROP GRUMMAN CORP	12/17/2008	3/8/2019	151.60	1,096.27		944.67
NOVO NORDISK A S ADR	10/18/2017	3/8/2019	1,158.59	1,139.24		(19.35)
ONEOK INC (OKLAHOMA)	8/3/2018	3/8/2019	1,248.62	1,241.82	6.80	--
ONEOK INC (OKLAHOMA)	8/6/2018	3/8/2019	66.61	65.36	1.25	--
PFIZER INC	4/18/2013	3/1/2019	3,679.91	5,207.52		1,527.61
PFIZER INC	10/19/2009	3/1/2019	788.18	1,952.82		1,164.64
PFIZER INC	1/15/2014	3/1/2019	2,008.50	2,820.75		812.25
PUB SVC ENTERPRISE GRP	5/3/2017	3/8/2019	742.06	997.26		255.20
QUALCOMM INC	2/2/2015	3/8/2019	415.92	373.79		(42.13)
QUALCOMM INC	2/2/2015	3/8/2019	1,197.26	1,067.99		(129.27)
QUALCOMM INC	2/2/2015	3/8/2019	60.30	53.40		(6.90)
SCHWAB CHARLES CORP NEW	10/11/2017	3/8/2019	768.48	735.32		(33.16)
SUNCOR ENERGY INC NEW	6/7/2016	3/21/2019	2,079.44	2,521.90		442.46
SUNCOR ENERGY INC NEW	6/7/2016	3/22/2019	3,218.86	3,799.35		580.49

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Detail Supporting Line 1a

SCHEDULE OF REALIZED GAINS AND LOSSES

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
SUNCOR ENERGY INC NEW	6/7/2016	3/25/2019	455.77	529.14		73.37
SUNCOR ENERGY INC NEW	8/9/2016	3/25/2019	763.83	926.01		162.18
SUNCOR ENERGY INC NEW	10/17/2016	3/25/2019	1,484.30	1,719.75		235.45
SUNCOR ENERGY INC NEW	10/14/2016	3/25/2019	1,282.47	1,488.23		205.76
SUNCOR ENERGY INC NEW	8/11/2016	3/25/2019	2,374.15	2,811.10		436.95
SUNCOR ENERGY INC NEW	8/10/2016	3/25/2019	990.83	1,190.58		199.75
SUNCOR ENERGY INC NEW	10/17/2016	3/26/2019	85.63	99.98		14.35
SUNCOR ENERGY INC NEW	10/18/2016	3/26/2019	1,758.95	2,033.01		274.06
SUNCOR ENERGY INC NEW	2/22/2017	3/26/2019	1,288.13	1,333.12		44.99
SUNCOR ENERGY INC NEW	7/31/2017	3/26/2019	937.71	966.53		28.82
SUNCOR ENERGY INC NEW	7/28/2017	3/26/2019	942.11	966.52		24.41
SUNCOR ENERGY INC NEW	2/24/2017	3/26/2019	610.18	633.24		23.06
SUNCOR ENERGY INC NEW	2/23/2017	3/26/2019	1,367.32	1,399.78		32.46
ANTHEM INC	1/21/2015	3/1/2019	2,091.97	4,607.45		2,515.48
ANTHEM INC	1/20/2015	3/1/2019	3,281.27	7,371.90		4,090.63
ANTHEM INC	1/21/2015	3/8/2019	1,115.72	2,355.64		1,239.92
WILLIS TOWERS WATSON PLC	2/11/2019	3/8/2019	846.01	844.86	1.15	--
TOTAL S.A. SP ADR	1/20/2015	3/8/2019	454.84	508.96		54.12
TOTAL S.A. SP ADR	1/16/2015	3/8/2019	101.07	113.10		12.03
TAIWAN S MANUFACTURING ADR	1/23/2017	3/8/2019	1,304.37	1,647.31		342.94
3M COMPANY	6/30/2009	3/8/2019	359.54	1,199.56		840.02
TRAVELERS COS INC	3/11/2008	3/8/2019	524.95	1,437.88		912.93
UNILEVER NV NY REG SHS	8/10/2016	3/8/2019	780.00	933.12		153.12
UNITEDHEALTH GROUP INC	1/21/2015	3/8/2019	325.10	713.93		388.83
US BANCORP	10/29/2008	3/8/2019	422.57	708.98		286.41
WELLS FARGO & CO NEW DEL	7/29/2008	3/8/2019	3,767.44	6,307.04		2,539.60
US TSY 1.750% MAY 31 2022	1/18/2018	4/11/2019	1,963.26	1,966.72		3.46
US TSY 1.750% MAY 31 2022	8/17/2017	4/11/2019	10,992.71	10,816.93		(175.78)
GOLDMAN SACHS GROUP INC	4/1/2015	4/16/2019	383.77	403.51		19.74
GOLDMAN SACHS GROUP INC	10/3/2014	4/16/2019	6,179.33	6,657.87		478.54
MERCK AND CO INC SHS	9/18/2017	4/23/2019	4,221.13	4,778.84		557.71
MERCK AND CO INC SHS	1/15/2014	4/23/2019	2,940.94	4,181.47		1,240.53
PFIZER INC	10/8/2014	4/16/2019	3,193.60	4,458.56		1,264.96
PFIZER INC	1/15/2014	4/16/2019	2,781.00	3,681.37		900.37
PFIZER INC	8/6/2015	4/17/2019	1,618.70	1,833.57		214.87
PFIZER INC	10/8/2014	4/17/2019	1,054.77	1,434.96		380.19
MICROSOFT CORP	1/15/2014	5/20/2019	2,237.20	7,700.33		5,463.13
ORACLE CORP \$0.01 DEL	8/31/2015	5/20/2019	2,003.60	2,897.50		893.90
ORACLE CORP \$0.01 DEL	8/28/2015	5/20/2019	1,898.51	2,736.52		838.01
ORACLE CORP \$0.01 DEL	7/16/2015	5/20/2019	1,442.64	2,038.97		596.33
3M COMPANY	6/30/2009	5/7/2019	1,617.96	4,841.93		3,223.97
ANADARKO PETE CORP	5/21/2018	6/4/2019	5,158.50	5,081.32		(77.18)
MARSH & MCLENNAN COS INC	8/10/2016	6/20/2019	1,609.17	2,336.97		727.80
MARSH & MCLENNAN COS INC	8/11/2016	6/21/2019	1,347.95	1,949.47		601.52
MARSH & MCLENNAN COS INC	8/11/2016	6/24/2019	1,954.52	2,843.64		889.12

SCHEDULE OF REALIZED GAINS AND LOSSES

Detail Supporting Line 1a

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
MARSH & MCLENNAN COS INC	8/12/2016	6/24/2019	943.38	1,372.80		429.42
ORACLE CORP \$0.01 DEL	8/31/2015	6/19/2019	519.45	734.98		215.53
ORACLE CORP \$0.01 DEL	1/22/2016	6/19/2019	4,925.20	7,454.83		2,529.63
PROCTER & GAMBLE CO	8/22/2014	5/31/2019	1,001.17	1,249.90		248.73
PROCTER & GAMBLE CO	12/17/2008	5/31/2019	2,023.06	3,437.21		1,414.15
PUB SVC ENTERPRISE GRP	5/3/2017	6/26/2019	480.15	651.39		171.24
SUNCOR ENERGY INC NEW	9/5/2017	6/7/2019	729.05	702.72		(26.33)
SUNCOR ENERGY INC NEW	9/1/2017	6/7/2019	1,853.94	1,772.06		(81.88)
SUNCOR ENERGY INC NEW	8/1/2017	6/7/2019	1,353.48	1,283.21		(70.27)
SUNCOR ENERGY INC NEW	7/31/2017	6/7/2019	485.02	458.29		(26.73)
US BANCORP	12/17/2008	6/26/2019	383.84	780.41		396.57
US BANCORP	10/29/2008	6/26/2019	120.73	208.10		87.37
CISCO SYSTEMS INC COM	1/29/2018	7/26/2019	9,259.90	12,202.73		2,942.83
DUPONT DE NEMOURS INC	2/22/2017	6/28/2019	189.20	148.88		(40.32)
DUPONT DE NEMOURS INC	3/23/2017	6/28/2019	755.14	595.53		(159.61)
DUPONT DE NEMOURS INC	3/22/2017	6/28/2019	1,676.62	1,339.95		(336.67)
DUPONT DE NEMOURS INC	4/13/2017	6/28/2019	1,459.56	1,191.07		(268.49)
DUPONT DE NEMOURS INC	4/17/2017	6/28/2019	1,003.90	818.87		(185.03)
DIAGEO PLC SPSP ADR NEW	8/26/2016	7/1/2019	1,496.45	2,219.18		722.73
DIAGEO PLC SPSP ADR NEW	12/17/2008	7/1/2019	758.12	2,219.17		1,461.05
INTL PAPER CO	8/29/2014	6/28/2019	2,172.46	1,953.03		(219.43)
INTL PAPER CO	8/28/2014	6/28/2019	3,863.49	3,472.03		(391.46)
INTL PAPER CO	7/16/2013	6/28/2019	998.36	911.40		(86.96)
ORACLE CORP \$0.01 DEL	2/12/2016	7/1/2019	4,063.63	6,728.67		2,665.04
PUB SVC ENTERPRISE GRP	5/17/2017	6/27/2019	1,617.11	2,177.45		560.34
PUB SVC ENTERPRISE GRP	5/3/2017	6/27/2019	1,178.56	1,588.94		410.38
QUALCOMM INC	5/20/2015	7/1/2019	1,221.44	1,395.20		173.76
QUALCOMM INC	4/1/2015	7/1/2019	4,328.38	4,960.68		632.30
QUALCOMM INC	2/2/2015	7/1/2019	844.16	1,085.15		240.99
US BANCORP	4/5/2016	6/27/2019	3,736.45	4,830.95		1,094.50
US BANCORP	12/17/2008	6/27/2019	895.64	1,818.09		922.45
DIAGEO PLC SPSP ADR NEW	2/1/2017	8/8/2019	335.91	489.28		153.37
DIAGEO PLC SPSP ADR NEW	8/26/2016	8/8/2019	2,532.45	3,588.09		1,055.64
DIAGEO PLC SPSP ADR NEW	2/2/2017	8/21/2019	2,226.26	3,371.29		1,145.03
DIAGEO PLC SPSP ADR NEW	2/1/2017	8/21/2019	1,119.68	1,685.65		565.97
DIAGEO PLC SPSP ADR NEW	2/2/2017	8/22/2019	111.31	167.48		56.17
HONEYWELL INTL INC DEL	5/20/2015	8/20/2019	4,268.08	6,895.05		2,626.97
HONEYWELL INTL INC DEL	2/7/2013	8/20/2019	2,678.33	6,566.71		3,888.38
HONEYWELL INTL INC DEL	4/6/2011	8/20/2019	281.43	820.84		539.41
KONINKL PHIL NV SH NEW	3/21/2017	8/22/2019	158.12	233.22		75.10
KONINKL PHIL NV SH NEW	3/21/2017	8/23/2019	3,099.20	4,561.17		1,461.97
LOCKHEED MARTIN CORP	2/10/2015	8/21/2019	1,946.64	3,827.19		1,880.55
MICROSOFT CORP	8/14/2014	8/8/2019	177.13	549.05		371.92
MICROSOFT CORP	1/15/2014	8/8/2019	843.54	3,157.01		2,313.47
NORTHROP GRUMMAN CORP	12/17/2008	8/21/2019	454.80	4,426.20		3,971.40

Detail Supporting Line 1a

SCHEDULE OF REALIZED GAINS AND LOSSES

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
ORACLE CORP \$0.01 DEL	8/8/2016	8/8/2019	1,688.70	2,228.11		539.41
ORACLE CORP \$0.01 DEL	3/2/2016	8/8/2019	752.20	1,086.88		334.68
ORACLE CORP \$0.01 DEL	2/12/2016	8/8/2019	140.13	217.38		77.25
SUNCOR ENERGY INC NEW	5/8/2018	8/20/2019	2,444.73	1,804.64		(640.09)
SUNCOR ENERGY INC NEW	9/5/2017	8/20/2019	2,345.66	2,086.62		(259.04)
SUNCOR ENERGY INC NEW	5/8/2018	8/21/2019	687.58	511.99		(175.59)
TAIWAN S MANUFCTRING ADR	1/23/2017	8/14/2019	3,124.41	4,165.47		1,041.06
TAIWAN S MANUFCTRING ADR	3/8/2017	8/15/2019	2,348.39	2,934.50		586.11
TAIWAN S MANUFCTRING ADR	3/7/2017	8/15/2019	1,409.28	1,760.70		351.42
TAIWAN S MANUFCTRING ADR	1/23/2017	8/15/2019	2,760.40	3,560.53		800.13
TAIWAN S MANUFCTRING ADR	3/13/2017	8/20/2019	345.67	462.80		117.13
TAIWAN S MANUFCTRING ADR	3/10/2017	8/20/2019	2,417.51	3,281.67		864.16
TAIWAN S MANUFCTRING ADR	3/9/2017	8/20/2019	2,446.01	3,323.74		877.73
TAIWAN S MANUFCTRING ADR	3/8/2017	8/20/2019	31.31	42.07		10.76
3M COMPANY	5/8/2018	8/20/2019	402.66	323.05		(79.61)
3M COMPANY	3/10/2017	8/20/2019	3,052.28	2,584.43		(467.85)
3M COMPANY	6/30/2009	8/20/2019	479.39	1,292.22		812.83
ONEOK INC (OKLAHOMA)	8/7/2018	8/21/2019	1,697.79	1,778.06		80.27
ONEOK INC (OKLAHOMA)	8/6/2018	8/21/2019	67.56	71.12		3.56
ONEOK INC (OKLAHOMA)	8/6/2018	8/21/2019	2,221.70	2,347.03		125.33
US TSY 4.250% MAY 15 2039	1/18/2018	9/6/2019	2,438.48	2,798.44		359.96
US TSY 4.250% MAY 15 2039	3/2/2012	9/6/2019	8,271.80	9,794.53		1,522.73
US TSY 4.250% MAY 15 2039	11/5/2010	9/6/2019	2,043.43	2,798.44		755.01
US TSY 2.000% AUG 15 2025	1/22/2018	9/24/2019	14,518.41	15,342.75		824.34
MCKESSON CORPORATION COM	7/20/2017	9/24/2019	3,483.41	2,948.14		(535.27)
MCKESSON CORPORATION COM	6/23/2017	9/24/2019	990.38	842.33		(148.05)
MARATHON PETROLEUM CORP	12/17/2008	9/24/2019	492.42	2,496.70		2,004.28
MARATHON PETROLEUM CORP	5/20/2015	9/25/2019	1,510.63	1,696.33		185.70
MARATHON PETROLEUM CORP	12/17/2008	9/25/2019	120.37	643.43		523.06
MARSH & MCLENNAN COS INC	8/15/2016	9/25/2019	202.39	301.49		99.10
MARSH & MCLENNAN COS INC	8/12/2016	9/25/2019	1,684.61	2,512.40		827.79
MARSH & MCLENNAN COS INC	8/12/2016	9/24/2019	875.99	1,305.96		429.97
ONEOK INC (OKLAHOMA)	8/7/2018	9/24/2019	1,561.97	1,705.35		143.38
ONEOK INC (OKLAHOMA)	8/7/2018	9/25/2019	2,512.73	2,724.32		211.59
PEPSICO INC	6/13/2018	9/24/2019	4,416.91	5,682.99		1,266.08
PFIZER INC	9/10/2015	9/24/2019	1,464.47	1,620.63		156.16
PFIZER INC	8/6/2015	9/24/2019	2,815.13	2,881.11		65.98
QUALCOMM INC	8/16/2017	9/24/2019	688.85	977.50		288.65
QUALCOMM INC	5/20/2015	9/24/2019	2,646.46	2,932.49		286.03
JOHNSON CONTROLS INTER	8/7/2018	9/5/2019	1,522.77	1,717.72		194.95
JOHNSON CONTROLS INTER	8/8/2018	9/6/2019	1,545.04	1,760.03		214.99
JOHNSON CONTROLS INTER	8/7/2018	9/6/2019	723.31	815.62		92.31
JOHNSON CONTROLS INTER	8/8/2018	9/24/2019	1,921.87	2,217.51		295.64
JOHNSON CONTROLS INTER	8/8/2018	9/25/2019	2,637.87	3,053.23		415.36
ASTRAZENECA PLC SPND ADR	4/7/2016	11/18/2019	387.12	623.04		235.92

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Detail Supporting Line 1a

SCHEDULE OF REALIZED GAINS AND LOSSES

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
ASTRAZENECA PLC SPND ADR	4/7/2016	11/19/2019	1,399.59	2,242.89		843.30
ASTRAZENECA PLC SPND ADR	5/19/2016	11/19/2019	113.53	190.88		77.35
ASTRAZENECA PLC SPND ADR	5/4/2016	11/19/2019	3,899.74	6,585.52		2,685.78
DOW INC REG	2/22/2017	11/18/2019	123.97	108.82		(15.15)
DOW INC REG	3/22/2017	11/18/2019	1,098.60	979.34		(119.26)
DOW INC REG	4/13/2017	11/18/2019	836.82	761.70		(75.12)
DOW INC REG	3/23/2017	11/18/2019	494.80	435.26		(59.54)
DOW INC REG	4/13/2017	11/19/2019	119.55	106.48		(13.07)
DOW INC REG	4/17/2017	11/19/2019	1,674.41	1,490.67		(183.74)
GOLDMAN SACHS GROUP INC	5/17/2017	11/19/2019	3,868.01	3,954.54		86.53
GOLDMAN SACHS GROUP INC	5/3/2017	11/19/2019	4,300.96	4,174.24		(126.72)
GOLDMAN SACHS GROUP INC	4/2/2015	11/19/2019	192.37	219.70		27.33
GOLDMAN SACHS GROUP INC	4/1/2015	11/19/2019	1,343.19	1,537.88		194.69
GOLDMAN SACHS GROUP INC	4/1/2015	11/18/2019	2,686.37	3,076.34		389.97
MATTEL INC COM	8/2/2017	10/30/2019	822.81	496.96		(325.85)
MATTEL INC COM	8/1/2017	10/30/2019	2,401.31	1,443.56		(957.75)
MATTEL INC COM	7/31/2017	10/30/2019	725.50	437.80		(287.70)
MATTEL INC COM	8/3/2017	10/31/2019	2,284.66	1,421.92		(862.74)
MATTEL INC COM	8/2/2017	10/31/2019	1,586.86	959.80		(627.06)
NOVO NORDISK A S ADR	10/18/2017	11/20/2019	3,072.77	3,392.09		319.32
NOVO NORDISK A S ADR	10/18/2017	11/19/2019	1,057.84	1,196.77		138.93
ORACLE CORP \$0.01 DEL	11/17/2016	11/18/2019	643.21	898.74		255.53
ORACLE CORP \$0.01 DEL	8/8/2016	11/18/2019	2,841.96	3,875.84		1,033.88
ORACLE CORP \$0.01 DEL	11/17/2016	11/19/2019	361.80	508.55		146.75
PROCTER & GAMBLE CO	11/11/2014	11/18/2019	3,048.81	4,142.96		1,094.15
PROCTER & GAMBLE CO	8/22/2014	11/18/2019	3,170.36	4,630.36		1,460.00
PROCTER & GAMBLE CO	11/11/2014	11/19/2019	1,255.39	1,707.64		452.25
QUALCOMM INC	8/16/2017	11/14/2019	2,649.42	4,522.95		1,873.53
Total Long Term Gain			532,677.21	693,135.17	1,207.86	161,665.82
Security	Acquisition Date	Liquidation Date	COST	SALES AMOUNT		Gain/Loss
US TSY 2.250% FEB 29 2020	4/26/2018	12/19/2018	10,955.33	10,944.98		(10.35)
CDW CORP	2/2/2018	12/10/2018	532.30	635.21		102.91
CDW CORP	2/2/2018	12/11/2018	2,813.56	3,373.02		559.46
CDW CORP	2/2/2018	12/13/2018	836.47	988.57		152.10
CDW CORP	2/2/2018	12/14/2018	380.21	443.85		63.64
CDW CORP	2/2/2018	12/14/2018	903.57	976.48		72.91
CDW CORP	2/21/2018	12/14/2018	716.36	887.71		171.35
CDW CORP	2/21/2018	12/17/2018	501.45	605.53		104.08
CDW CORP	2/22/2018	1/23/2019	431.26	488.69		57.43
CDW CORP	2/21/2018	1/23/2019	1,074.53	1,221.70		147.17
CDW CORP	2/22/2018	1/24/2019	1,868.80	2,116.41		247.61

Detail Supporting Line 1a

SCHEDULE OF REALIZED GAINS AND LOSSES

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
CDW CORP	3/15/2018	1/24/2019	1,119.43	1,221.01		101.58
CDW CORP	3/13/2018	1/24/2019	529.60	569.80		40.20
CDW CORP	3/15/2018	1/25/2019	2,164.22	2,384.68		220.46
DEVON ENERGY CORP NEW	5/8/2018	2/20/2019	1,276.72	1,003.46		(273.26)
DEVON ENERGY CORP NEW	5/8/2018	2/21/2019	3,946.22	3,070.62		(875.60)
GENL MTRS FINL 3.55% 2021	4/10/2018	3/8/2019	2,005.13	2,004.22		(0.91)
MORGAN STANLEY 4.37% 2047	8/29/2018	3/8/2019	990.16	998.19		8.03
UNION PACIFIC COR 3.95% 28	6/7/2018	3/8/2019	1,000.45	1,023.94		23.49
WALMART INC 3.40%JUN26 23	6/21/2018	3/8/2019	2,002.24	2,045.82		43.58
ROYAL BANK OF CANADA	4/26/2018	3/8/2019	999.72	1,007.98		8.26
US TSY PRN STRP 0%FEB15 31	4/26/2018	3/8/2019	1,398.81	1,455.66		56.85
US TSY 2.750% AUG 31 2023	9/13/2018	3/8/2019	3,980.16	4,054.52		74.36
AXA EQUITABLE HLDGS INC	12/10/2018	3/8/2019	552.95	632.45		79.50
APPLE INC	12/20/2018	3/8/2019	639.72	687.74		48.02
CONSTELLATION BRANDS INC	1/31/2019	3/8/2019	519.70	500.21		(19.49)
DEVON ENERGY CORP NEW	5/9/2018	3/1/2019	5,549.15	4,129.04		(1,420.11)
DEVON ENERGY CORP NEW	5/8/2018	3/1/2019	3,520.64	2,722.76		(797.88)
GALLAGHER ARTHUR J & CO	10/12/2018	3/8/2019	920.97	1,007.94		86.97
MARVELL TECHNOLOGY GROUP	1/18/2019	3/8/2019	475.38	523.17		47.79
MONDELEZ INTERNATIONAL	8/2/2018	3/8/2019	690.51	746.71		56.20
MASCO CORP	1/7/2019	3/8/2019	822.73	1,015.44		192.71
NOVARTIS ADR	10/16/2018	3/8/2019	1,622.42	1,697.11		74.69
STATE STREET CORP	10/5/2018	3/8/2019	2,256.49	1,761.87		(494.62)
WILLIAMS COMPANIES DEL	5/21/2018	3/8/2019	3,072.16	2,978.13		(94.03)
WILLIAMS COMPANIES DEL	5/18/2018	3/8/2019	500.35	482.93		(17.42)
ANADARKO PETE CORP	5/18/2018	4/12/2019	5,286.71	4,636.60		(650.11)
ANADARKO PETE CORP	5/21/2018	4/12/2019	1,576.21	1,360.07		(216.14)
APPLE INC	12/20/2018	4/23/2019	7,356.73	9,541.66		2,184.93
APPLE INC	3/1/2019	4/23/2019	4,023.28	4,770.83		747.55
MASCO CORP	1/7/2019	4/17/2019	1,708.74	2,164.49		455.75
MASCO CORP	1/7/2019	4/18/2019	2,056.81	2,600.03		543.22
MASCO CORP	1/9/2019	4/22/2019	2,847.42	3,484.28		636.86
MASCO CORP	1/9/2019	4/23/2019	1,164.85	1,438.10		273.25
NOVARTIS ADR	10/17/2018	4/16/2019	299.38	317.72		18.34
NOVARTIS ADR	10/16/2018	4/16/2019	525.11	555.99		30.88
NOVARTIS ADR	10/18/2018	4/17/2019	454.17	465.88		11.71
NOVARTIS ADR	10/17/2018	4/17/2019	2,844.13	2,950.54		106.41
NOVARTIS ADR	10/18/2018	4/18/2019	2,270.86	2,291.41		20.55
US TSY 2.750% AUG 15 2021	8/29/2018	5/14/2019	16,001.94	16,193.10		191.16
JOHNSON CONTROLS INTER	8/7/2018	5/28/2019	3,959.20	4,009.01		49.81
JOHNSON CONTROLS INTER	8/6/2018	5/28/2019	1,017.16	1,040.80		23.64
US TSY 2.750% AUG 15 2021	8/29/2018	6/26/2019	16,001.84	16,326.85		325.01
US TSY 2.750% AUG 31 2023	9/27/2018	6/10/2019	10,896.46	11,376.39		479.93
US TSY 2.750% AUG 31 2023	9/13/2018	6/10/2019	14,925.61	15,513.25		587.64
ANADARKO PETE CORP	2/25/2019	6/4/2019	1,678.99	2,681.81		1,002.82

Detail Supporting Line 1a

SCHEDULE OF REALIZED GAINS AND LOSSES

Security	Acquisition Date	Liquidation Date	COST	SALES PRICE	Wash sale	Gain/Loss
ANADARKO PETE CORP	3/1/2019	6/6/2019	44.08	70.19		26.11
ANADARKO PETE CORP	2/25/2019	6/6/2019	2,606.85	4,140.61		1,533.76
ANADARKO PETE CORP	3/1/2019	6/19/2019	6,788.92	10,785.79		3,996.87
ONEOK INC (OKLAHOMA)	8/3/2018	6/19/2019	800.00	794.32		(5.68)
ONEOK INC (OKLAHOMA)	8/6/2018	6/20/2019	875.21	878.04		2.83
ONEOK INC (OKLAHOMA)	8/3/2018	6/20/2019	466.67	472.78		6.11
ONEOK INC (OKLAHOMA)	8/6/2018	6/21/2019	2,692.96	2,745.85		52.89
US TSY 2.750% AUG 15 2021	12/19/2018	7/17/2019	8,015.76	8,145.92		130.16
NOVARTIS ADR	10/19/2018	7/26/2019	1,763.83	2,140.69		376.86
NOVARTIS ADR	10/18/2018	7/26/2019	1,816.68	2,233.76		417.08
US TSY 2.375% MAY 15 2029	6/10/2019	8/2/2019	9,186.24	9,398.65		212.41
DIAGEO PLC SPSD ADR NEW	3/8/2019	8/22/2019	3,169.47	3,349.62		180.15
NOVARTIS ADR	11/5/2018	8/20/2019	1,958.53	2,233.54		275.01
NOVARTIS ADR	10/19/2018	8/20/2019	230.06	268.03		37.97
NOVARTIS ADR	12/14/2018	8/21/2019	2,538.27	2,967.85		429.58
NOVARTIS ADR	12/13/2018	8/21/2019	1,552.86	1,798.70		245.84
NOVARTIS ADR	11/5/2018	8/21/2019	1,880.19	2,158.44		278.25
SUNCOR ENERGY INC NEW	10/5/2018	8/21/2019	2,457.13	1,763.54		(693.59)
SUNCOR ENERGY INC NEW	10/4/2018	8/21/2019	1,035.43	739.55		(295.88)
TAIWAN S MANUFACTURING ADR	12/13/2018	8/20/2019	3,732.43	4,207.26		474.83
MARVELL TECHNOLOGY GROUP	1/18/2019	9/5/2019	3,140.87	4,593.43		1,452.56
MARVELL TECHNOLOGY GROUP	1/18/2019	9/6/2019	1,324.26	1,942.87		618.61
MARVELL TECHNOLOGY GROUP	1/22/2019	9/6/2019	3,461.69	5,205.89		1,744.20
JOHNSON CONTROLS INTER	11/5/2018	9/25/2019	2,450.33	3,227.70		777.37
US TSY 1.375% DEC 15 2019	6/10/2019	10/23/2019	8,989.69	8,997.52		7.83
DOW INC REG	3/26/2019	11/19/2019	1,303.82	1,330.95		27.13
DOW INC REG	3/8/2019	11/19/2019	1,005.88	1,011.52		5.64
DOW INC REG	3/1/2019	11/19/2019	1,354.43	1,384.19		29.76
SONY CORP ADR NEW	7/5/2019	11/19/2019	219.16	247.39		28.23
SONY CORP ADR NEW	7/3/2019	11/19/2019	1,203.64	1,360.65		157.01
SONY CORP ADR NEW	7/5/2019	11/20/2019	7,999.34	9,002.01		1,002.67
	Total Short Term Gain		246,530.15	265,723.61		19,193.46

FORM 990-PF	LEGAL FEES			STATEMENT	1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	11,123.	11,123.			0.
TO FM 990-PF, PG 1, LN 16A	11,123.	11,123.			0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING	11,932.	11,932.			0.
TO FORM 990-PF, PG 1, LN 16B	11,932.	11,932.			0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TRUST FEES	36,970.	36,970.			0.
TO FORM 990-PF, PG 1, LN 16C	36,970.	36,970.			0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NYC ANNUAL REPORT OF CHARITABLE ORG INVEST	250.	250.			0.
FOREIGN DIVIDEND TAX	868.	868.			0.
TO FORM 990-PF, PG 1, LN 18	1,118.	1,118.			0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	X		231,229.	242,019.
TOTAL U.S. GOVERNMENT OBLIGATIONS			231,229.	242,019.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			231,229.	242,019.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	2,033,942.	2,336,890.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,033,942.	2,336,890.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	173,213.	180,454.
TOTAL TO FORM 990-PF, PART II, LINE 10C	173,213.	180,454.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	COST	168,545.	168,545.
TOTAL TO FORM 990-PF, PART II, LINE 13		168,545.	168,545.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JOSEPHINE F JONES 93 WEST STREET GOSHEN, CT 06756	PRESIDENT 0.00	0.	0.	0.
MADELEINE J. ROY 79 HARRIS ROAD KATONAH, NY 10536	TREASURER 0.00	0.	0.	0.
ATTY MORTON L. PRICE 260 MADISON AVE. NEW YORK, NY 10016	SECRETARY 0.00	0.	0.	0.
EDWARD A. JONES 400 EAST 14TH STREET #3D NEW YORK, NY 10009	DIRECTOR 0.00	0.	0.	0.
CHARLOTTE JONES 211 WEST 107TH ST APT 1W NEW YORK, NY 10025	VICE PRESIDENT 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CROSSWICK'S FOUNDATION, LTD. C/O JOSEPHINE JONES
73 WEST STREET
GOSHEN, CT 06756

TELEPHONE NUMBER

860-496-8119

EMAIL ADDRESS

JJONES4625@GMAIL.COM

FORM AND CONTENT OF APPLICATIONS

WRITTEN REQUEST

ANY SUBMISSION DEADLINES

NOVEMBER 1

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE