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C&E 904

EXTENDED TO OCTOBER 15, 2020

Form 990-PF Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

1911

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning DEC 1, 2018 , and ending NOV 30, 2019

Name of foundation
GREY ROCKS FOUNDATION, INC.
FKA MCLANE/HARPER CHARITABLE FOUNDATION

A Employer identification number
04-2944189

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
C/O PA MCLANE, 200 CLARENDON ST FL56

B Telephone number
(617) 574-6700

City or town, state or province, country, and ZIP or foreign postal code
BOSTON, MA 02116

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☒ Name change

D 1 Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 49,282,155.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	4,784,210.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	12,945.	12,755.		STATEMENT 2
	4 Dividends and interest from securities	654,436.	654,436.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,554,881.			STATEMENT 1
	b Gross sales price for all assets on line 6a 22,300,936.				
	7 Capital gain net income (from Part IV, line 2)		14,929,250.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	111,528.	15,748.		STATEMENT 4
	12 Total. Add lines 1 through 11	16,118,000.	15,612,189.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 5	53,886.	53,886.		0.
	17 Interest	57,479.	57,479.		0.
	18 Taxes STMT 6	54,965.	6,965.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	184,998.	182,751.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	351,328.	301,081.		0.
	25 Contributions, gifts, grants paid	2,340,121.			2,340,121.
	26 Total expenses and disbursements. Add lines 24 and 25	2,691,449.	301,081.		2,340,121.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	13,426,551.			
	b Net investment income (if negative, enter -0-)		15,311,108.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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823501 12-11-18 LHA For Paperwork Reduction Act Notice, see instructions. Form 990-PF (2018)

01181015 148004 MCLANE.MHCF 2018.06030 GREY ROCKS FOUNDATION, IN MCLANE.2

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,146,874.	447,126.	447,126.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 8	13,708,772.	30,477,806.	35,664,315.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	10,483,856.	7,723,521.	13,170,714.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	25,339,502.	38,648,453.	49,282,155.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ UBS - UNCLEARED CH)	822,500.	704,900.	
	23	**Total liabilities** (add lines 17 through 22)	822,500.	704,900.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	24,517,002.	37,943,553.	
	30	**Total net assets or fund balances**	24,517,002.	37,943,553.	
	31	**Total liabilities and net assets/fund balances**	25,339,502.	38,648,453.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	24,517,002.
2	Enter amount from Part I, line 27a	2	13,426,551.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	37,943,553.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	37,943,553.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	22,300,936.		7,371,686.	14,929,250.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				14,929,250.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 14,929,250.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	2,049,217.	38,431,617.	.053321
2016	1,694,387.	34,368,882.	.049300
2015	1,702,186.	34,031,510.	.050018
2014	1,527,414.	34,376,078.	.044432
2013	1,207,435.	33,403,489.	.036147

2	**Total** of line 1, column (d)	2	.233218
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.046644
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	43,671,949.
5	Multiply line 4 by line 3	5	2,037,034.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	153,111.
7	Add lines 5 and 6	7	2,190,145.
8	Enter qualifying distributions from Part XII, line 4	8	2,340,121.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HOTCHKIS & WILEY HIGH		08/31/18	08/14/19
b	HOTCHKIS & WILEY HIGH		09/28/18	08/14/19
c	HOTCHKIS & WILEY HIGH		10/31/18	08/14/19
d	HOTCHKIS & WILEY HIGH		11/30/18	08/14/19
e	HOTCHKIS & WILEY HIGH		12/31/18	08/14/19
f	HOTCHKIS & WILEY HIGH		01/31/19	08/14/19
g	HOTCHKIS & WILEY HIGH		02/28/19	08/14/19
h	HOTCHKIS & WILEY HIGH		03/29/19	08/14/19
i	HOTCHKIS & WILEY HIGH		04/30/19	08/14/19
j	HOTCHKIS & WILEY HIGH		05/31/19	08/14/19
k	HOTCHKIS & WILEY HIGH		06/28/19	08/14/19
l	HOTCHKIS & WILEY HIGH		07/31/19	08/14/19
m	INVESCO EUROPEAN GROWTH		01/31/18	12/20/18
n	INVESCO EUROPEAN GROWTH		12/14/18	12/20/18
o	INVESCO EUROPEAN SMALL		01/31/18	12/20/18

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,060.		2,163.	-103.
b	954.		1,001.	-47.
c	1,007.		1,033.	-26.
d	1,017.		1,027.	-10.
e	1,201.		1,176.	25.
f	1,099.		1,112.	-13.
g	942.		961.	-19.
h	1,028.		1,052.	-24.
i	1,047.		1,084.	-37.
j	1,060.		1,074.	-14.
k	979.		1,004.	-25.
l	1,100.		1,118.	-18.
m	481,593.		635,000.	-153,407.
n	10,237.		10,408.	-171.
o	196,190.		260,000.	-63,810.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-103.
b				-47.
c				-26.
d				-10.
e				25.
f				-13.
g				-19.
h				-24.
i				-37.
j				-14.
k				-25.
l				-18.
m				-153,407.
n				-171.
o				-63,810.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

823591
04-01-18

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INVESCO EUROPEAN SMALL		12/14/18	12/20/18
b	INVESCO EUROPEAN SMALL		12/14/18	12/20/18
c	INVESCO EUROPEAN SMALL		12/14/18	12/20/18
d	LORD ABBETT FLOATING		08/31/18	08/14/19
e	LORD ABBETT FLOATING		09/28/18	08/14/19
f	LORD ABBETT FLOATING		10/22/18	08/14/19
g	LORD ABBETT FLOATING		10/31/18	08/14/19
h	LORD ABBETT FLOATING		11/30/18	08/14/19
i	LORD ABBETT FLOATING		12/31/18	08/14/19
j	LORD ABBETT FLOATING		01/31/19	08/14/19
k	LORD ABBETT FLOATING		02/28/19	08/14/19
l	LORD ABBETT FLOATING		03/29/19	08/14/19
m	LORD ABBETT FLOATING		04/30/19	08/14/19
n	LORD ABBETT FLOATING		05/31/19	08/14/19
o	LORD ABBETT FLOATING		06/28/19	08/14/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,512.		1,524.	-12.
b	14,820.		14,944.	-124.
c	22,129.		22,313.	-184.
d	1,931.		1,998.	-67.
e	1,896.		1,967.	-71.
f	241,521.		250,000.	-8,479.
g	2,355.		2,425.	-70.
h	3,216.		3,264.	-48.
i	3,413.		3,359.	54.
j	3,490.		3,482.	8.
k	3,019.		3,050.	-31.
l	3,426.		3,438.	-12.
m	3,211.		3,262.	-51.
n	3,404.		3,427.	-23.
o	3,348.		3,367.	-19.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-12.
b				-124.
c				-184.
d				-67.
e				-71.
f				-8,479.
g				-70.
h				-48.
i				54.
j				8.
k				-31.
l				-12.
m				-51.
n				-23.
o				-19.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

823591
04-01-18

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LORD ABBETT FLOATING		07/31/19	08/14/19
b	PUTNAM ULTRA SHORT		10/22/18	12/06/18
c	PUTNAM ULTRA SHORT		10/31/18	12/06/18
d	PUTNAM ULTRA SHORT		11/30/18	12/06/18
e	UNITED STATES TREAS BILL		12/06/18	01/02/19
f	ADAGE CAPITAL PARTNERS, LP			12/31/18
g	HIGH STREET PARTNERS II, LP			12/31/18
h	LONE CASCADE LP			12/31/18
i	TA INVESTORS III LP			12/31/18
j	HOTCHKIS & WILEY HIGH		08/26/16	08/14/19
k	HOTCHKIS & WILEY HIGH		09/30/16	08/14/19
l	HOTCHKIS & WILEY HIGH		10/31/16	08/14/19
m	HOTCHKIS & WILEY HIGH		11/30/16	08/14/19
n	HOTCHKIS & WILEY HIGH		12/30/16	08/14/19
o	HOTCHKIS & WILEY HIGH		01/31/17	08/14/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,484.		3,512.	-28.
b	359,284.		360,000.	-716.
c	211.		211.	0.
d	771.		772.	-1.
e	300,000.		299,510.	490.
f	54,192.			54,192.
g			8,348.	-8,348.
h	129,479.			129,479.
i	345.			345.
j	97,585.		101,865.	-4,280.
k	10,617.		11,120.	-503.
l	10,443.		10,920.	-477.
m	9,613.		9,993.	-380.
n	11,317.		11,923.	-606.
o	10,314.		11,019.	-705.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-28.
b				-716.
c				0.
d				-1.
e				490.
f				54,192.
g				-8,348.
h				129,479.
i				345.
j				-4,280.
k				-503.
l				-477.
m				-380.
n				-606.
o				-705.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } 3

823591
04-01-18

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HOTCHKIS & WILEY HIGH		02/28/17	08/14/19
b	HOTCHKIS & WILEY HIGH		03/31/17	08/14/19
c	HOTCHKIS & WILEY HIGH		04/28/17	08/14/19
d	HOTCHKIS & WILEY HIGH		05/31/17	08/14/19
e	HOTCHKIS & WILEY HIGH		06/30/17	08/14/19
f	HOTCHKIS & WILEY HIGH		07/31/17	08/14/19
g	HOTCHKIS & WILEY HIGH		08/31/17	08/14/19
h	HOTCHKIS & WILEY HIGH		09/29/17	08/14/19
i	HOTCHKIS & WILEY HIGH		10/31/17	08/14/19
j	HOTCHKIS & WILEY HIGH		11/30/17	08/14/19
k	HOTCHKIS & WILEY HIGH		12/29/17	08/14/19
l	HOTCHKIS & WILEY HIGH		01/31/18	08/14/19
m	HOTCHKIS & WILEY HIGH		02/28/18	08/14/19
n	HOTCHKIS & WILEY HIGH		03/29/18	08/14/19
o	HOTCHKIS & WILEY HIGH		04/30/18	08/14/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,557.		9,255.	-698.
b	2,942.		3,151.	-209.
c	2,548.		2,746.	-198.
d	2,525.		2,736.	-211.
e	2,616.		2,813.	-197.
f	2,626.		2,848.	-222.
g	2,619.		2,814.	-195.
h	2,613.		2,821.	-208.
i	2,666.		2,874.	-208.
j	2,512.		2,693.	-181.
k	2,805.		3,015.	-210.
l	2,657.		2,859.	-202.
m	2,477.		2,635.	-158.
n	2,840.		2,989.	-149.
o	2,591.		2,728.	-137.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-698.
b				-209.
c				-198.
d				-211.
e				-197.
f				-222.
g				-195.
h				-208.
i				-208.
j				-181.
k				-210.
l				-202.
m				-158.
n				-149.
o				-137.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 |

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 | 3 |

823591
04-01-18

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HOTCHKIS & WILEY HIGH		05/31/18	08/14/19
b	HOTCHKIS & WILEY HIGH		06/29/18	08/14/19
c	HOTCHKIS & WILEY HIGH		07/31/18	08/14/19
d	INVESCO EUROPEAN GROWTH		08/28/15	12/20/18
e	INVESCO EUROPEAN GROWTH		12/11/15	12/20/18
f	INVESCO EUROPEAN GROWTH		12/11/15	12/20/18
g	INVESCO EUROPEAN GROWTH		12/13/16	12/20/18
h	INVESCO EUROPEAN GROWTH		12/13/17	12/20/18
i	INVESCO EUROPEAN SMALL		08/28/13	12/20/18
j	INVESCO EUROPEAN SMALL		11/27/13	12/20/18
k	INVESCO EUROPEAN SMALL		12/13/13	12/20/18
l	INVESCO EUROPEAN SMALL		12/13/13	12/20/18
m	INVESCO EUROPEAN SMALL		12/13/13	12/20/18
n	INVESCO EUROPEAN SMALL		12/12/14	12/20/18
o	INVESCO EUROPEAN SMALL		12/12/14	12/20/18

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,540.		2,654.	-114.
b	2,649.		2,765.	-116.
c	2,727.		2,861.	-134.
d	93,401.		101,725.	-8,324.
e	2,950.		3,061.	-111.
f	6,660.		6,911.	-251.
g	1,644.		1,655.	-11.
h	2,215.		2,733.	-518.
i	183,296.		190,005.	-6,709.
j	29,857.		34,025.	-4,168.
k	82.		90.	-8.
l	1,037.		1,143.	-106.
m	3,593.		3,960.	-367.
n	5,202.		4,869.	333.
o	21,446.		20,072.	1,374.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-114.
b				-116.
c				-134.
d				-8,324.
e				-111.
f				-251.
g				-11.
h				-518.
i				-6,709.
j				-4,168.
k				-8.
l				-106.
m				-367.
n				333.
o				1,374.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

823591
04-01-18

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INVESCO EUROPEAN SMALL		12/11/15	12/20/18
b	INVESCO EUROPEAN SMALL		12/11/15	12/20/18
c	INVESCO EUROPEAN SMALL		08/26/16	12/20/18
d	INVESCO EUROPEAN SMALL		12/13/16	12/20/18
e	INVESCO EUROPEAN SMALL		12/13/17	12/20/18
f	INVESCO EUROPEAN SMALL		12/13/17	12/20/18
g	ISHARES CORE S&P 500 ETF		11/20/17	01/10/19
h	LORD ABBETT FLOATING		02/02/18	08/14/19
i	LORD ABBETT FLOATING		02/28/18	08/14/19
j	LORD ABBETT FLOATING		03/29/18	08/14/19
k	LORD ABBETT FLOATING		04/30/18	08/14/19
l	LORD ABBETT FLOATING		05/31/18	08/14/19
m	LORD ABBETT FLOATING		06/29/18	08/14/19
n	LORD ABBETT FLOATING		07/31/18	08/14/19
o	TEMPUR SEALY INTL INC		11/01/02	02/20/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,757.		3,611.	146.
b	9,932.		9,546.	386.
c	108,206.		110,000.	-1,794.
d	8,669.		8,695.	-26.
e	6,002.		7,385.	-1,383.
f	7,268.		8,943.	-1,675.
g	70,169.		70,177.	-8.
h	420,932.		440,000.	-19,068.
i	1,348.		1,405.	-57.
j	1,761.		1,829.	-68.
k	1,796.		1,867.	-71.
l	1,855.		1,922.	-67.
m	1,842.		1,902.	-60.
n	1,916.		1,983.	-67.
o	8,766,124.		22,050.	8,744,074.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				146.
b				386.
c				-1,794.
d				-26.
e				-1,383.
f				-1,675.
g				-8.
h				-19,068.
i				-57.
j				-68.
k				-71.
l				-67.
m				-60.
n				-67.
o				8,744,074.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TEMPUR SEALY INTL INC		11/01/02	07/24/19
b	TEMPUR SEALY INTL INC		11/01/02	07/24/19
c	TEMPUR SEALY INTL INC		11/01/02	07/24/19
d	TEMPUR SEALY INTL INC		11/01/02	11/04/19
e	LONE CASCADE LP			12/31/18
f	NYES LEDGE CAPITAL OFFSHORE LTD			12/31/18
g	ADAGE CAPITAL PARTNERS, LP			12/31/18
h	LONE CASCADE LP			12/31/18
i	TA INVESTORS III LP			12/31/18
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	36,653.		70.	36,583.
b	1,281,531.		2,441.	1,279,090.
c	1,779,929.		3,391.	1,776,538.
d	2,212,500.		3,594.	2,208,906.
e	4,170,901.		3,587,660.	583,241.
f	679,720.		382,177.	297,543.
g	267,921.			267,921.
h			203,306.	-203,306.
i	21,138.			21,138.
j	22,313.			22,313.
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				36,583.
b				1,279,090.
c				1,776,538.
d				2,208,906.
e				583,241.
f				297,543.
g				267,921.
h				-203,306.
i				21,138.
j				22,313.
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	14,929,250.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	153,111.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3 Add lines 1 and 2			3	153,111.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	153,111.
6 Credits/Payments:				
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	54,398.		
b Exempt foreign organizations - tax withheld at source	6b	0.		
c Tax paid with application for extension of time to file (Form 8868)	6c	120,000.		
d Backup withholding erroneously withheld	6d	0.		
7 Total credits and payments. Add lines 6a through 6d			7	174,398.
8 Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached			8	0.
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	21,287.
11 Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶		21,287. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X	
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ MA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? N/A	13		

Website address ▶ N/A

14 The books are in care of ▶ DAVID H. HOPFENBERG Telephone no. ▶ (401) 667-0504

Located at ▶ 31 HOME DEPOT DRIVE #294, PLYMOUTH, MA ZIP+4 ▶ 02360

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions N/A	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
P. ANDREWS MCLANE 77 DEAN ROAD WESTON, MA 02493	PRESIDENT 0.00	0.	0.	0.
LINDA MCLANE 77 DEAN ROAD WESTON, MA 02493	TREASURER 0.00	0.	0.	0.
DAVID H HOPFENBERG 122 NORTH ROAD SAUNDERSTOWN, RI 02874	CLERK 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	32,040,809.
b	Average of monthly cash balances	1b	245,931.
c	Fair market value of all other assets	1c	12,050,264.
d	**Total** (add lines 1a, b, and c)	1d	44,337,004.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	44,337,004.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	665,055.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	43,671,949.
6	**Minimum investment return** Enter 5% of line 5	6	2,183,597.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,183,597.
2a	Tax on investment income for 2018 from Part VI, line 5 2a 153,111.		
b	Income tax for 2018. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	153,111.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,030,486.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,030,486.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,030,486.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,340,121.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	2,340,121.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	153,111.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	2,187,010.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2,030,486.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			1,402,242.	
b Total for prior years ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 2,340,121.				
a Applied to 2017, but not more than line 2a			1,402,242.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				937,879.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				1,092,607.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

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b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ABILITY PLUS 100 JETPLEX BLVD HUNTSVILLE, AL 35824	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	500.
ALICE FURGUSON FOUNDATION 2001 BRYAN POINT RD ACCOKEEK, MD 20607	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	5,000.
ANIMAL RESCUE LEAGUE OF BOSTON 10 CHANDLER STREET BOSTON, MA 02116	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	15,000.
APPALACHIAN MOUNTAIN CLUB 5 JOY STREET BOSTON, MA 02108	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	145,000.
BOYS AND GIRLS CLUB (BOSTON) 50 CONGRESS STREET BOSTON, MA 02109	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	2,340,121.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BREAST CANCER RESEARCH FOUND 28 WEST 44TH STREET, SUITE 609 NEW YORK CITY, NY 10036	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,500.
BULL SUGAR 2336 SE OCEAN BLVD. #142 STUART, FL 34996	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,500.
CAMP LOCHEARN 1061 ROBINSON HILL RD POST MILLS, VT 05058	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,000.
CAMP TECUMSEH 975 MOULTONBORO NECK RD MOULTONBOROUGH, NH 03254	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,000.
CHARLES RIVER WATERSHED ASSN 190 PARK ROAD WESTON, MA 02493	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	117,000.
CHILD ADVOCACY AND PROTECTION 1 MEDICAL CENTER DRIVE LEBANON, NH 03756	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	10,000.
CIRCLE PROGRAM 85 MAIN STREET, P.O. BOX 815 PLYMOUTH, NH 03264	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	15,000.
CITY YEAR 287 COLUMBUS AVENUE BOSTON, MA 02116	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	7,500.
CLAN MACLEAN ASSOCIATION PO BOX 61066 RALEIGH, NC 27661-1066	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	63,200.
COMMUNITY ROWING 20 NONANTUM ROAD BRIGHTON, MA 02135	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,000.
Total from continuation sheets				2,172,621.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CURE ALZHEIMERS FUND 34 WASHINGTON STREET, SUITE 200 WELLESLEY HILLS, MA 02481	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	6,000.
CURRIER GALLERY 150 ASH STREET MANCHESTER, NH 03104	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,500.
DANA FARBER CANCER INSTITUTE 450 BROOKLINE AVENUE BOSTON, MA 02215	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	9,500.
DARTMOUTH COLLEGE 6068 BLUNT ALUMNI CENTER, STE 103 HANOVER, NH 03755	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	530,000.
DOCTORS WITHOUT BORDERS 40 RECTOR STREET, 16TH FLOOR NEW YORK, NY 10006	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,500.
FENN SCHOOL 516 MONUMENT STREET CONCORD, MA 01742	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	7,500.
FESSENDEN SCHOOL 250 WALTHAM STREET NEWTON, MA 02465	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	102,493.
FIRST PARISH CHURCH 349 BOSTON POST ROAD WESTON, MA 02493	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	4,000.
FRIENDS OF DARTMOUTH SKIING 6068 BLUNT ALUMNI CENTER, STE 103 HANOVER, NH 03755	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,000.
FRIENDS OF HARVARD ROWING 65 N. HARVARD STREET BOSTON, MA 02163	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GONZAGA UNIVERSITY 502 E BOONE AVENUE SPOKANE, WA 99202	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,500.
HARVARD UNIVERSITY 1350 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,000.
HYDE SCHOOL 616 HIGH STREET BATH, ME 04530	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,500.
INNER CITY SCHOLARSHIP FUND 280 CONGRESS ST. SUITE 1300 BOSTON, MA 02110	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	5,000.
INTERNATIONAL SKI HISTORY ASSOC. PO BOX 1064 MANCHESTER CENTER, VT 05255	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,000.
JACKSON NH HISTORICAL SOCIETY PO BOX 8 JACKSON, NH 03846	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	10,000.
KIMBALL UNION ACADEMY 7 CAMPUS CENTER, PO BOX 188 MERIDEN, NH 03770	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,000.
LAKE REGION CONSERVATION TRUST 156 DANE ROAD CENTER HARBOR, NH 03226	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	10,000.
LANDMARK COLLEGE 19 RIVER RD S PUTNEY, VT 05346	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	500.
LOON PRESERVATION COMMITTEE PO BOX 604 - LEE'S MILLS RD MOULTONBOROUGH, NH 03254	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LUMIND RESEARCH DOWN SYNDROME FOUNDATION 20 BURLINGTON MALL ROAD SUITE 200 BURLINGTON, MA 01803	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,000.
MAYHEW PROGRAM 293 WEST SHORE ROAD BRISTOL, NH 03222	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	12,000.
MIDDLESEX SCHOOL 1400 LOWELL ROAD CONCORD, MA 01742	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	25,000.
MILL REEF FUND C/O JPM CHASE, DEPT. 781682, PO BOX 78000 DETROIT, MI 48278	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	19,500.
MONTANA LAND RELIANCE 324 FULLER AVE HELENA, MT 59624	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	22,000.
MSPCA 350 SOUTH HUNTINGTON AVENUE JAMAICA PLAIN, MA 02130	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	7,500.
MT WASHINGTON OBSERVATORY 2779 WHITE MOUNTAIN HWY NORTH CONWAY, NH 03860	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,000.
MUSEUM OF FINE ARTS, BOSTON 465 HUNTINGTON AVENUE BOSTON, MA 02115	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	50,000.
MUSEUM OF SCIENCE 1 SCIENCE PARK BOSTON, MA 02114	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	12,400.
MUSEUM OF THE WHITE MOUNTAINS 34 HIGHLAND STREET PLYMOUTH, NH 03264	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL ROWING FOUNDATION 67 MYSTIC ROAD NORTH STONINGTON, CT 06359	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	55,000.
NEW ENGLAND AQUARIUM 1 CENTRAL WHARF BOSTON, MA 02110	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,000.
NEW ENGLAND SKI MUSEUM 135 TRAMWAY DRIVE FRANCONIA, NH 03580	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	500.
NEWFOUND LAKE REGION ASSOCIATION 10 NORTH MAIN STREET, UNIT 1 BRISTOL, NH 03222	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	55,000.
NEWFOUND PATHWAYS P.O. BOX 112 HEBRON, NH 03241	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	500.
NH AUDUBON SOCIETY 84 SILK FARM ROAD CONCORD, NH 03301	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	29,000.
NH HISTORICAL SOCIETY 30 PARK STREET CONCORD, MA 03301	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	55,000.
NY CARES 65 BROADWAY 19TH FLOOR NEW YORK CITY, NY 10006	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,000.
PHILLIPS EXETER ACADEMY 20 MAIN STREET EXETER, NH 03933	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	50,000.
PLYMOUTH STATE UNIVERSITY 17 HIGH STREET PLYMOUTH, NH 03264	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
POLO HISTORICAL SOCIETY 113 N FRANKLIN AVE # B POLO, IL 61064	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	200.
RIGHT TO PLAY 49 WEST 27TH STREET, SUITE 930 NEW YORK, NY 10001	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	50,000.
RIVERSIDE MONTESSORI 202 RIVERSIDE DRIVE NEW YORK, NY 10025	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	5,000.
ROW NEW YORK 252 WEST 37TH STREET, 4TH FLOOR NEW YORK, NY 10018	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,500.
SOCIETY FOR PROTECTION OF NH FORESTS 54 PORTSMOUTH STREET CONCORD, NH 03301	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	4,000.
SQUASHBUSTERS INC 795 COLUMBUS AVENUE ROXBURY CROSSING, MA 02120	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	5,000.
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	94,861.
STEPPINGSTONE FOUNDATION 155 FEDERAL STREET #800 BOSTON, MA 02110	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	10,000.
STRAY PETS IN NEED 5 OVERBROOK TERRACE NATICK, MA 01760	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,000.
TEACH FOR AMERICA 60 CANAL STREET BOSTON, MA 02114	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	6,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE HOLDERNESS SCHOOL 33 CHAPEL LANE HOLDERNESS, NH 03245	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	38,000.
THE INTERNATIONAL MUSEUM OF WORLD WAR II 46 ELLIOT STREET NATICK, MA 01760	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	5,000.
THE PILCHUCK GLASS SCHOOL 240 2ND AVE. S. SUITE 100 SEATTLE, WA 98104	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	50,000.
THE PINE SCHOOL 12350 S.E. FEDERAL HIGHWAY HOBE SOUND, FL 33455	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	200,000.
THE WHITE MOUNTAIN SCHOOL 371 W. FARM ROAD BETHLEHEM, NH 03574	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,500.
THOMPSON ISLAND OUTWARD BOUND PO BOX 127 BOSTON, MA 02127	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,000.
TROUT UNLIMITED 1777 N. KENT STREET, SUITE 100 ARLINGTON, VA 22209	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,000.
TUCK SCHOOL AT DARTMOUTH 6068 BLUNT ALUMNI CENTER, STE 103 HANOVER, NH 03755	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	55,500.
TURTLE RIDGE FOUNDATION 461 MAIN STREET #6A FRANCONIA, NH 03580	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	9,000.
UNIVERSITY OF MICHIGAN 500 S STATE STREET ANN ARBOR, MI 48109	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	65,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
US SKI AND SNOWBOARD FOUNDATION 1 VICTORY LANE, BOX 100 PARK CITY , UT 84060	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	74,667.
VANDERBILT UNIVERSITY 2201 WEST END AVENUE NASHVILLE, TN 37235	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	2,500.
WALNUT HILL SCHOOL FOR THE ARTS 12 HIGHLAND STREET NATICK, MA 01760	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	10,000.
WELLESLEY EDUCATION FOUNDATION PO BOX 812321 WELLESLEY, MA 02482	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,000.
WELLESLEY SCHOLARSHIP FUND PO BOX 81207 WELLESLEY, MA 02481	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	1,000.
WESTON HISTORICAL SOCIETY 104 WESTON RD WESTON, CT 06883	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	300.
WHEATON COLLEGE 26 E MAIN STREET NORTON, MA 02766	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	20,000.
WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD, SUITE 300 JACKSONVILLE, FL 32256	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,500.
YMCA CAMP BELKNAP 11 CHASE POINT RD MIRROR LAKE, NH 03853	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	110,500.
YOUTH ENRICHMENT SERVICES 412 MASSAVHUSETTS AVE. BOSTON, MA 02118	NONE	PUBLIC CHARITY	UNRESTRICTED DONATION	3,500.
Total from continuation sheets				

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12,945.	
4 Dividends and interest from securities			14	654,436.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	111,528.	
8 Gain or (loss) from sales of assets other than inventory			18	10,554,881.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		11,333,790.	0.

13 **Total** Add line 12, columns (b), (d), and (e) 13 11,333,790.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 10/15/2020 | Title: CLERK

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DAVID H HOPFENBERG	DAVID H HOPFENBER	10/15/20		P00287396

Firm's name ▶ VENTURE TAX SERVICES, INC. — Firm's EIN ▶ 20-8031866

Firm's address ▶ 31 HOME DEPOT DRIVE PMB 294
PLYMOUTH, MA 02360 — Phone no. (617) 553-1497

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization	Employer identification number
GREY ROCKS FOUNDATION, INC. FKA MCLANE/HARPER CHARITABLE FOUNDATION	04-2944189

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
GREY ROCKS FOUNDATION, INC.
FKA MCLANE/HARPER CHARITABLE FOUNDATION

Employer identification number
04-2944189

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	P. ANDREWS MCLANE 77 DEAN ROAD WESTON, MA 02493	$ 1,307,253.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
2	ARBORETUM, LP 77 DEAN ROAD WESTON, MA 02493	$ 3,476,957.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
GREY ROCKS FOUNDATION, INC. FKA MCLANE/HARPER CHARITABLE FOUNDATION	04-2944189

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	MICROSOFT CORP. - 10,074 SHARES	$ 1,307,253.	04/29/19

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	TEMPUR SEALY - 59,721 SHARES	$ 3,476,957.	02/20/19

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization: GREY ROCKS FOUNDATION, INC. FKA MCLANE/HARPER CHARITABLE FOUNDATION

Employer identification number: 04-2944189

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		08/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,060.	2,163.	0.	0.	-103.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		09/28/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
954.	1,001.	0.	0.	-47.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		10/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,007.	1,033.	0.	0.	-26.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		11/30/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,017.	1,027.	0.	0.	-10.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		12/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,201.	1,176.	0.	0.	25.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		01/31/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,099.	1,112.	0.	0.	-13.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		02/28/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
942.	961.	0.	0.	-19.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		03/29/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,028.	1,052.	0.	0.	-24.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		04/30/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,047.	1,084.	0.	0.	-37.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		05/31/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,060.	1,074.	0.	0.	-14.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		06/28/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
979.	1,004.	0.	0.	-25.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		07/31/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,100.	1,118.	0.	0.	-18.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN GROWTH		01/31/18	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
481,593.	635,000.	0.	0.	-153,407.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN GROWTH		12/14/18	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
10,237.	10,408.	0.	0.	-171.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		01/31/18	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
196,190.	260,000.	0.	0.	-63,810.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/14/18	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,512.	1,524.	0.	0.	-12.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/14/18	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
14,820.	14,944.	0.	0.	-124.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/14/18	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
22,129.	22,313.	0.	0.	-184.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		08/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,931.	1,998.	0.	0.	-67.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		09/28/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,896.	1,967.	0.	0.	-71.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		10/22/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
241,521.	250,000.	0.	0.	-8,479.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		10/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,355.	2,425.	0.	0.	-70.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		11/30/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,216.	3,264.	0.	0.	-48.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		12/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,413.	3,359.	0.	0.	54.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		01/31/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,490.	3,482.	0.	0.	8.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		02/28/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,019.	3,050.	0.	0.	-31.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		03/29/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,426.	3,438.	0.	0.	-12.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		04/30/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,211.	3,262.	0.	0.	-51.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		05/31/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,404.	3,427.	0.	0.	-23.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		06/28/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,348.	3,367.	0.	0.	-19.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		07/31/19	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,484.	3,512.	0.	0.	-28.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PUTNAM ULTRA SHORT		10/22/18	12/06/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
359,284.	360,000.	0.	0.	-716.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PUTNAM ULTRA SHORT		10/31/18	12/06/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
211.	211.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PUTNAM ULTRA SHORT		11/30/18	12/06/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
771.	772.	0.	0.	-1.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
UNITED STATES TREAS BILL		12/06/18	01/02/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
300,000.	299,510.	0.	0.	490.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ADAGE CAPITAL PARTNERS, LP			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
54,192.	0.	0.	0.	54,192.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HIGH STREET PARTNERS II, LP			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	8,348.	0.	0.	-8,348.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LONE CASCADE LP			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
129,479.	0.	0.	0.	129,479.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
TA INVESTORS III LP			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
345.	0.	0.	0.	345.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		08/26/16	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
97,585.	101,865.	0.	0.	-4,280.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		09/30/16	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
10,617.	11,120.	0.	0.	-503.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		10/31/16	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
10,443.	10,920.	0.	0.	-477.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		11/30/16	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
9,613.	9,993.	0.	0.	-380.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		12/30/16	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
11,317.	11,923.	0.	0.	-606.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		01/31/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
10,314.	11,019.	0.	0.	-705.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		02/28/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,557.	9,255.	0.	0.	-698.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		03/31/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,942.	3,151.	0.	0.	-209.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		04/28/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,548.	2,746.	0.	0.	-198.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		05/31/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,525.	2,736.	0.	0.	-211.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		06/30/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,616.	2,813.	0.	0.	-197.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		07/31/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,626.	2,848.	0.	0.	-222.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		08/31/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,619.	2,814.	0.	0.	-195.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		09/29/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,613.	2,821.	0.	0.	-208.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		10/31/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,666.	2,874.	0.	0.	-208.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		11/30/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,512.	2,693.	0.	0.	-181.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		12/29/17	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,805.	3,015.	0.	0.	-210.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		01/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,657.	2,859.	0.	0.	-202.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		02/28/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,477.	2,635.	0.	0.	-158.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		03/29/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,840.	2,989.	0.	0.	-149.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		04/30/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,591.	2,728.	0.	0.	-137.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		05/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,540.	2,654.	0.	0.	-114.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		06/29/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,649.	2,765.	0.	0.	-116.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HOTCHKIS & WILEY HIGH		07/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,727.	2,861.	0.	0.	-134.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN GROWTH		08/28/15	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
93,401.	101,725.	0.	0.	-8,324.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN GROWTH		12/11/15	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,950.	3,061.	0.	0.	-111.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN GROWTH		12/11/15	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,660.	6,911.	0.	0.	-251.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN GROWTH		12/13/16	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,644.	1,655.	0.	0.	-11.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN GROWTH		12/13/17	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,215.	2,733.	0.	0.	-518.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		08/28/13	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
183,296.	190,005.	0.	0.	-6,709.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		11/27/13	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
29,857.	34,025.	0.	0.	-4,168.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/13/13	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
82.	90.	0.	0.	-8.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/13/13	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,037.	1,143.	0.	0.	-106.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/13/13	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,593.	3,960.	0.	0.	-367.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/12/14	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5,202.	4,869.	0.	0.	333.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/12/14	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
21,446.	20,072.	0.	0.	1,374.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/11/15	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,757.	3,611.	0.	0.	146.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/11/15	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
9,932.	9,546.	0.	0.	386.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		08/26/16	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
108,206.	110,000.	0.	0.	-1,794.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/13/16	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,669.	8,695.	0.	0.	-26.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/13/17	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,002.	7,385.	0.	0.	-1,383.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESCO EUROPEAN SMALL		12/13/17	12/20/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
7,268.	8,943.	0.	0.	-1,675.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ISHARES CORE S&P 500 ETF		11/20/17	01/10/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
70,169.	70,177.	0.	0.	-8.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		02/02/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
420,932.	440,000.	0.	0.	-19,068.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		02/28/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,348.	1,405.	0.	0.	-57.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		03/29/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,761.	1,829.	0.	0.	-68.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		04/30/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,796.	1,867.	0.	0.	-71.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		05/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,855.	1,922.	0.	0.	-67.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		06/29/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,842.	1,902.	0.	0.	-60.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LORD ABBETT FLOATING		07/31/18	08/14/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,916.	1,983.	0.	0.	-67.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
TEMPUR SEALY INTL INC		11/01/02	02/20/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,766,124.	3,079,638.	0.	0.	5,686,486.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
TEMPUR SEALY INTL INC		11/01/02	07/24/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
36,653.	9,752.	0.	0.	26,901.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
TEMPUR SEALY INTL INC		11/01/02	07/24/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,281,531.	340,978.	0.	0.	940,553.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
TEMPUR SEALY INTL INC		11/01/02	07/24/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,779,929.	473,587.	0.	0.	1,306,342.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
TEMPUR SEALY INTL INC		11/01/02	11/04/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,212,500.	501,960.	0.	0.	1,710,540.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LONE CASCADE LP			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,170,901.	3,587,660.	0.	0.	583,241.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
NYES LEDGE CAPITAL OFFSHORE LTD			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
679,720.	382,177.	0.	0.	297,543.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ADAGE CAPITAL PARTNERS, LP			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
267,921.	0.	0.	0.	267,921.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LONE CASCADE LP			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	203,306.	0.	0.	-203,306.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
TA INVESTORS III LP			12/31/18

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
21,138.	0.	0.	0.	21,138.

CAPITAL GAINS DIVIDENDS FROM PART IV	22,313.
TOTAL TO FORM 990-PF, PART I, LINE 6A	10,554,881.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ADAGE CAPITAL PARTNERS, LP - SCHEDULE K-1	2,489.	2,489.	
LONE CASCADE LP - SCHEDULE K-1	1,477.	1,477.	
TA INVESTORS III LP - SCHEDULE K-1	8,281.	8,091.	
UBS (20292)	304.	304.	
UBS (B0674)	394.	394.	
TOTAL TO PART I, LINE 3	12,945.	12,755.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ADAGE CAPITAL PARTNERS, LP - SCHEDULE K-1	99,806.	0.	99,806.	99,806.	
HIGH STREET PARTNERS II, LP	14,960.	0.	14,960.	14,960.	
LONE CASCADE LP - SCHEDULE K-1	39,703.	0.	39,703.	39,703.	
TA INVESTORS III LP - SCHEDULE K-1	204.	0.	204.	204.	
UBS (20292)	345,086.	22,313.	322,773.	322,773.	
UBS (B0674)	176,990.	0.	176,990.	176,990.	
TO PART I, LINE 4	676,749.	22,313.	654,436.	654,436.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LONE CASCADE LP - SCHEDULE K-1 - ORDINARY INCOME	12,415.	12,415.	
TA INVESTORS III LP - SCHEDULE K-1 - ORDINARY INCOME	279.	279.	
ADAGE CAPITAL PARTNERS, LP - SCHEDULE K-1 - ORDINARY INCOME	3,054.	3,054.	
UBS (ACCT 20292) - ORDINARY INCOME	0.	0.	
UBS (ACCT B0674) - ORDINARY INCOME	0.	0.	
UBS (ACCT B0674) - TIMING DIFFERENCES	95,780.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	111,528.	15,748.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS	52,119.	52,119.		0.
SCS	1,767.	1,767.		0.
TO FORM 990-PF, PG 1, LN 16C	53,886.	53,886.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	48,000.	0.		0.
FOREIGN TAXES	6,465.	6,465.		0.
STATE TAXES	500.	500.		0.
TO FORM 990-PF, PG 1, LN 18	54,965.	6,965.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	19.	19.		0.
LONE CASCADE LP - SCHEDULE K-1 - PORTFOLIO DEDUCTIONS	74,052.	74,052.		0.
TA INVESTORS III LP - SCHEDULE K-1 - PORTFOLIO DEDUCTIONS	3,881.	3,881.		0.
ADAGE CAPITAL PARTNERS, LP - SCHEDULE K-1 - PORTFOLIO DEDUCTIONS	43,691.	43,691.		0.
HIGH STREET PARTNERS II, LP	639.	639.		0.
LONGPOINT REALITY	60,469.	60,469.		0.
TA INVESTORS III LP - SCHEDULE K-1 - NON-DEDUCTIBLE EXPENSES	22.	0.		0.
LONE CASCADE LP - SCHEDULE K-1 - NON-DEDUCTIBLE EXPENSES	2,225.	0.		0.
TO FORM 990-PF, PG 1, LN 23	184,998.	182,751.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS (ACCOUNT PW 20292)	15,359,422.	16,145,737.
UBS (ACCOUNT PW B0674)	15,118,384.	19,518,578.
TOTAL TO FORM 990-PF, PART II, LINE 10B	30,477,806.	35,664,315.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
TA INVESTORS III LP	COST	69,203.	78,168.
VIKING LONG III	COST	2,819,107.	7,082,544.
ADAGE CAPITAL PARTNERS, LP	COST	3,858,925.	5,011,776.
HIGH STREET PARTNERS II, LP	COST	5,973.	0.
LONGPOINT REALITY	COST	970,313.	998,226.
TOTAL TO FORM 990-PF, PART II, LINE 13		7,723,521.	13,170,714.

FORM 990-PF OTHER LIABILITIES STATEMENT 10

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
UBS - UNCLEARED CHECKS	822,500.	704,900.
TOTAL TO FORM 990-PF, PART II, LINE 22	822,500.	704,900.