990-PF

EXTENSION GRANTED TO 9/15/2020 Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the Treasury Internal Revenue Service

▶Do not enter social security numbers on this form as it may be made public. ▶Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

F	or c	alend	dar year 2018 or tax year beginning 11/01/18	and ending 10/3	1/19					
	Nam	e of fou	undation			A Emple	oyer identificat	ion number		
	m r	•	UNDOUBLE ERVILLY EQUIDATION			27	-42515	21		
_			HARSHAW FAMILY FOUNDATION d street (or P O box number if mail is not delivered to street address)	T Re	oom/suite		hone number (s		us)	
			REGAL SPRINGS DR	""	Johnson	, coop	none namber (e		,	
_			state or province, country, and ZIP or foreign postal code						-1	
_	LC	UI	SVILLE KY 40205			C If exer	mption applicati	on is penaing	, cneck nere	
G	Cr	neck a	all that apply Initial return Initial retu	rn of a former public cl	harity	D 1 Fo	oreign organizat	ions, check h	ere	▶ [
			Final return Amended	return		2 F	oreign organizat	ions meeting	the	
			Address change Name cha	ange		85	i% test, check h	ere and attac	h computation	▶ _
, н	Cł	neck i	type of organization X Section 501(c)(3) exempt private	foundation	0.1	E If priva	ate foundation s	tatus was ter	minated under	_
				le private foundation	04	sectio	n 507(b)(1)(A),	check here		▶ _
<u> </u>			rket value of all assets at J Accounting method	X Cash Acc	rual	F If the f	foundation is in	a 60-month te	ermination	
	end	d of y	ear (from Part II, col. (c), Other (specify)			under	section 507(b)(1)(B), check I	here	▶
	line	16)	▶ \$ 10,095,906 (Part I, column (d) must	be on cash basis)						
	Pa	rt I	Analysis of Revenue and Expenses (The total of	(a) Revenue and	(b) Net inv	estment	(c) Adjus	ted net		oursements naritable
			amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	expenses per books	incor		inco		pui	poses
_		1	Contributions, gifts, grants, etc., received (attach schedule)	1,500,000					(cash t	pasis only)
		2	Check ► If the foundation is not required to attach Sch B	2,000,000					<u> </u>	
	ı	3	Interest on savings and temporary cash investments	26,662		26,662				
		4	Dividends and interest from securities	55,501	†	55,501				
		5а	Gross rents	, , , , , , , , , , , , , , , , , , , ,				DE	CEN/I	
	.	b	Net rental income or (loss)					L DE	PEIV	-U
	∄	6a	Net gain or (loss) from sale of assets not on line 10	109,728			12			000
	Kevenue	b	Gross sales price for all assets on line 6a 116, 959				14	APR	2 4 20	20 191
ć	8	7	Capital gain net income (from Part IV, line 2)		1	09,728	100	_		8
	_	8	Net short-term capital gain					OCP	LVI	
		9	Income modifications					OUL	LIV,	
		10a	Gross sales less returns and allowances					•		!
		b	Less Cost of goods sold							
		С	Gross profit or (loss) (attach schedule)							
		11	Other income (attach schedule)							
_	_	12	Total. Add lines 1 through 11	1,691,891	1:	91,891		0	<u> </u>	
9	<u>ر</u> ا	13	Compensation of officers, directors, trustees, etc	0						
	Sellec	14	Other employee salaries and wages				· · · -		ļ	
i	5	15	Pension plans, employee benefits					· · · · · · · · · · · · · · · · · · ·		
Ĺ	<u> </u>	16a	Legal fees (attach schedule)							
n. I	<u>.</u>	b	Accounting fees (attach schedule) STMT 1	4,575	}	137				4,438
CANNED OF 0 1 2020		C	Other professional fees (attach schedule) STMT 2	6,765		6,765				
Α,	<u> </u>	17	Interest Taxes (attach schedule) (see instructions) STMT 3	2,832		2,817			-	15
	ËΙ	18	, , ,	2,832		2,61/				15
a I		19	Depreciation (attach schedule) and depletion							
ij	₹	20 21	Occupancy Travel conformed and most need						 	·····
Ξ }	2	22	Travel, conferences, and meetings Printing and publications	209					1	209
-7 :	<u>a</u>	23	Other expenses (att sch.) STMT 4	8						8
)	Ĕ	24	Total operating and administrative expenses.						<u> </u>	<u>-</u>
-^ }	9		Add lines 13 through 23	14,389		9,719		0		4,670
22	3	25	Contributions, gifts, grants paid	505,000		.,			+	505,000
20	۱ د	26	Total expenses and disbursements. Add lines 24 and 25	519,389		9,719		0	+	509,670
_	-1	27	Subtract line 26 from line 12						<u> </u>	1
	}	 а	Excess of revenue over expenses and disbursements	1,172,502					1	
		b	Net investment income (if negative, enter -0-)	· · · · ·	10	32,172				<u> </u>
		С	Adjusted net income (if negative enter -0-)					0		

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with		
	end-of-year figure reported on prior year's return)	1	8,716,853
2	Enter amount from Part I, line 27a	2	1,172,502
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	9,889,355
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	9,889,355

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Part IV Capital Gains a	nd Losses for Tax on Investme	ent Income				
	the kind(s) of property sold (for example, real estate, arehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date ad (mo , day		(d) Date sold (mo , day, yr)
1a SEE WORKSHEET						
b						
С						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	-	or other basis pense of sale		(h) Gain (((e) plus (f)	• •
_a		-				
_b						
c				•		
d		"				
Complete only for appete above	a soun in column (h) and award by the fe	undation on 12/31	/60			
Complete only for assets showin	ng gain in column (h) and owned by the fo					h) gain minus ess than -0-) or
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69		ess of col (i) ol (j), if any		Losses (froi	
_a						
	,					
<u>C</u>	" - " - "					
Capital gain net income or (net or	capital loss) If gain, also enter in If (loss), enter -0- in			2		109,728
· •	ss) as defined in sections 1222(5) and (6	s) _				
Part I, line 8	3, column (c) See instructions If (loss), e	inter -o- in		3		50
	nder Section 4940(e) for Reduc	ed Tay on Not	Investment Inco			
If "Yes," the foundation doesn't quali	ction 4942 tax on the distributable amoun fy under section 4940(e) Do not complet	e this part	·			Yes X No
	each column for each year, see the instr	uctions before mai	king any entries			(d)
(a) Base period years Calendar year (or tax year beginning in			(c) ue of noncharitable-use assets			(d) bution ratio vided by col (c))
2017		,366	9,303,4			0.054213
2016		, 982	8,795,5			0.057300
2015		,745	7,794,			0.052952
2014		,087	7,213,8			0.048946
2013	303	,431	5,552,6	390	T	0.054646
2 Total of line 1, column (d)				2		0.268057
-	5-year base period – divide the total on l ion has been in existence if less than 5 y	-		3		0.053611
4 Enter the net value of noncharita	able-use assets for 2018 from Part X, line	5		4		9,889,785
5 Multiply line 4 by line 3				5		530,201
6 Enter 1% of net investment incom	me (1% of Part I, line 27b)			6		1,822
7 Add lines 5 and 6				7		532,023
8 Enter qualifying distributions from If line 8 is equal to or greater tha	n Part XII, line 4 in line 7, check the box in Part VI, line 1b	, and complete tha	it part using a 1% tax ra	8 ate See the		509,670
Part VI instructions			<u>-</u>			Form 990-PF (2019)

Form	990-PF (2018) THE HARSHAW FAMILY FOUNDATION 27-4251521				Р	age 4	4
	rt VI . Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)					_
	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1	l		۽ ءَ			•
	Date of ruling or determination letter (attach copy of letter if necessary—see instructions)	,, and to a				<u> </u>	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check	_1_			3,	64 3	3
	here ▶ ☐ and enter 1% of Part I, line 27b						
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of	 			· .		
	Part I, line 12, col (b)						
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2					<u>)</u>
3	Add lines 1 and 2	3_			3,	<u>643</u>	<u>3</u>
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4					<u>)</u>
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	ļ		3,	643	<u>}</u>
6	Credits/Payments	' '	1	-	•	•	
а	2018 estimated tax payments and 2017 overpayment credited to 2018 6a 2,400		ļ; . ·				
b	Exempt foreign organizations – tax withheld at source	'					
С	Tax paid with application for extension of time to file (Form 8868) 6c 4,960	1	. , .	_	, ,		
d	Backup withholding erroneously withheld 6d 5		. 5		<u> </u>		_
7	Total credits and payments Add lines 6a through 6d	7			7,	360	=
8	Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached	8				17	<u>_</u>
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9					_
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	ļ <u>-</u>		3,	700	<u>)</u>
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ▶ 3,700 Refunded ▶	11	<u> </u>		-		_
	rt.VII-A. Statements Regarding Activities						-
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it			<u> </u>	Yes	No	
	participate or intervene in any political campaign?			_1a_		Х	-
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the			4.		v	
	instructions for the definition			1b		X	-
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials			, ,	-		
_	published or distributed by the foundation in connection with the activities					x	
C	Did the foundation file Form 1120-POL for this year?			1c	,	-	7
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ (2) On foundation managers ▶ \$;			,
_	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed	_		,			
е	on foundation managers > \$			l. '		;	ŧ
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2		X	•
-	If "Yes," attach a detailed description of the activities				,		-
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of			•			
-	incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3	-	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?			4a		X	-
b	If "Yes," has it filed a tax return on Form 990-T for this year?		N/A	4b			_
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5		X	_
	If "Yes," attach the statement required by General Instruction T						
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			.	, A .	, ,	
	● By language in the governing instrument, or				. ,		
	By state legislation that effectively amends the governing instrument so that no mandatory directions that			٠	,		
	conflict with the state law remain in the governing instrument?			6	X		_
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Pa	rt XV		7	Х		_
8a	Enter the states to which the foundation reports or with which it is registered. See instructions XY			ر ودوس ر ودوس	<i>,</i>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General						
	(or designate) of each state as required by General Instruction G? If "No," attach explanation			8b	X		_
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or						,
	4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See instructions for Part XIV If "Yes,"						1
	complete Part XIV			9_		X	_ 4
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their	_					
	names and addresses STMT	7		10	X		_

Pa	art VII-A Statements Regarding Activities (continued)					
				12 7 22	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the					
	meaning of section 512(b)(13)? If "Yes," attach schedule See instructions			11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified					
-	person had advisory privileges? If "Yes," attach statement. See instructions			12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption applic	cation?		13	X	
	Website address ▶ N/A				·	
14		phone no	502-2	259~	960	0
	6104 REGAL SPRINGS DR					
	Located at ▶ LOUISVILLE KY	ZIP+4 ▶	4020	5		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here					▶ [
	and enter the amount of tax-exempt interest received or accrued during the year	▶	15			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority	,			Yes	No
-	over a bank, securities, or other financial account in a foreign country?			16		Х
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of			14.7	2.2	r
	the foreign country ▶			V.A.		
۶ [‡] Pa	art VII-Bii Statements Regarding Activities for Which Form 4720 May Be Required	1		_		
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			13.1	Yes	No
1a	During the year, did the foundation (either directly or indirectly)			Geas.	4-14	3 × 5 × 5
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes	X No	1	***	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a			17.14	1	*****
	disqualified person?	Yes	X No		19	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes	X No	(A.)	1	\$ 1
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes	X No	1.04	10 T	- L
	(5) Transfer any income or assets to a disqualified person (or make any of either available for		_	100	4	7.
	the benefit or use of a disqualified person)?	Yes	X No	N. 1	·3.	****
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			2, 2	4	- A-
	foundation agreed to make a grant to or to employ the official for a period after					
	termination of government service, if terminating within 90 days)	Yes	X No	E M.	A	1
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regula	ations	_		7.00°	
	section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here		▶ 🗌	المالية وا	4	
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			1 74		"- '
	were not corrected before the first day of the tax year beginning in 2018?		N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			***	33	4,04
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))			7	2.3	*,4
а	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and		_		7	
	6e, Part XIII) for tax year(s) beginning before 2018?	Yes	X No	11.0	77.5	, marie
	If "Yes," list the years ▶ 20 , 20 , 20			irê _j .	7. mar. 1.	ļ., 4
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)				35.5	1
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to)	/-	1	* ***	1
	all years listed, answer "No" and attach statement – see instructions)		N/A	2b	7 1 7 2	2 74 9
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			6	50.00	3
	▶ 20 , 20 , 20					- 3
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise					1
	at any time during the year?	Yes	X No		133) i
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or				4	
	disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the			- F		est.
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the section 4943(c)(7) to dispose of holdings acquired by gift or bequest.	f		4.24		1 3 m
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the		/-	- 22-1	أحلنت	100
	foundation had excess business holdings in 2018)	_	N/A	3b	}	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purpose			4a	ا بور تا پوچة	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize it			27.70	<u> 1935</u>	لتبت
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in	2018?		4b		<u> </u>
				4U	ロリーピト	/20101

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Pa	irt VII-B 🕯 Statements Regardin	g Activities for Which Fo	<u>rm 4720 May Be R</u>	Required (cont	inued)			
5a	, ,					1 1	Yes	No
	(1) Carry on propaganda, or otherwise att	empt to influence legislation (sec	tion 4945(e))?	_ \	∕es X No	, ,		. *
	(2) Influence the outcome of any specific	public election (see section 4955)), or to carry on,	_	_		• ;	-
	directly or indirectly, any voter registra	tion drive?		\ \\	∕es X No	•	,	
	(3) Provide a grant to an individual for trav	rel, study, or other similar purposi	es?	\	res 🗓 No	7	4	1
	(4) Provide a grant to an organization other	er than a charitable, etc., organiza	ation described in				•	
	section 4945(d)(4)(A)? See instruction	s		\ \ \	res 🔀 No		,	٠.
	(5) Provide for any purpose other than reli	gious, charitable, scientific, litera	ry, or educational			, "	,	, :
	purposes, or for the prevention of crue	lty to children or animals?		\ \ \	res 🔀 No			ء ساد د
b	If any answer is "Yes" to 5a(1)-(5), did any	of the transactions fail to qualify	under the exceptions d	escribed in	_	`	_ `.	
	Regulations section 53 4945 or in a curren	t notice regarding disaster assist	ance? See instructions		N/A	<u>5b</u>		<u>.</u>
	Organizations relying on a current notice re				▶ 📋	آڻ ا	• ;	. 4
С	If the answer is "Yes" to question 5a(4), do	es the foundation claim exemption	on from the tax		_			
	because it maintained expenditure respons			N/A 🗌 Y	′es 🗌 No	"	• 4	•
	If "Yes," attach the statement required by I		1		_	"	ħ.,	. '
6a	Did the foundation, during the year, receive							'
	on a personal benefit contract?		, , ,	□ Y	es X No		*	
b	Did the foundation, during the year, pay pr	emiums, directly or indirectly, on	a personal benefit contr	act?	_	6b		X
	If "Yes" to 6b, file Form 8870		•			,, ,	,	
7a	At any time during the tax year, was the fo	undation a party to a prohibited ta	ax shelter transaction?	□ Y	es X No	•	•	
b	If "Yes," did the foundation receive any pro				N/A	7b		
8	Is the foundation subject to the section 496	•				1		1
•	remuneration or excess parachute paymer	• • • • • •		Y	′es X No	1.		
··Pa		cers, Directors, Trustees	Foundation Mana	agers, Highly	Paid Employ	ees.		
	and Contractors	,	•	J. , J ,		,		
1 L	ist all officers, directors, trustees, and fo	oundation managers and their	compensation. See ins	structions.				
			(b) Title, and average	(c) Compensation	(d) Contributions to	Τ		
	(a) Name and add	ress	hours per week	(If not paid,	employee benefit plans and deferred		oense ad r allowa	
			devoted to position	enter -0-)	compensation	Othe	i allowal	lices
W	FRANK HARSHAW	LOUISVILLE	PRESIDENT					
61	04 REGAL SPRINGS DR	KY 40205	0.00	o	c			,
	RGINIA K. HARSHAW	NAPLES	SECRETARY/TR					
12	123 COLLIER'S RESERVE DRIVE	FL 34110	0.00	o	c)		,
	OMAS J. BECK	LOUISVILLE	DIRECTOR					
	42 BRECKENRIDGE LN	KY 40220	0.00	ol	c			(
						<u> </u>		
2	Compensation of five highest-paid emp	loyees (other than those include	led on line 1 - see inst	tructions). If non-	e, enter	·		
	"NONE."	· ·		•				
			(b) Title, and average		(d) Contributions to	T		
	(a) Name and address of each employee par	d more than \$50,000	hours per week	(c) Compensation	employee benefit plans and deferred		ense ac	,
			devoted to position		compensation	Other	r allowar	ices
NO	NE							
				!				
								-
						1		
							•	
						 		
otal	number of other employees paid over \$50,	000			•			C
	i i interavana kara arai agai					orm 99		

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Part VIII Information About Officers, Directors, Trustees, Founda	ation Managers, Highly Paid Em	
and Contractors (continued)		
3 Five highest-paid independent contractors for professional services. See instruc		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		
Total number of others receiving over \$50,000 for professional services		<u> </u>
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information sucorganizations and other beneficiaries served, conferences convened, research papers produced, etc.	ch as the number of	Expenses
1 N/A		
2		
		· -
3		
4		
Part IX-B Summary of Program-Related Investments (see Instruc	tions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1 N/A		
2		

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All other program-related investments. See instructions

Total. Add lines 1 through 3

qualifies for the section 4940(e) reduction of tax in those years

	990-PF (2018) THE HARSHAW FAMILII FOUNDATION 27 4251521	no for	indations
Pa	Int X Minimum Investment Return (All domestic foundations must complete this part. Foreign	yı 101	inualions,
	see instructions) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.	,	
1			
	purposes	1a	2,361,433
	Average monthly fair market value of securities	1b	6,678,958
	Average of monthly cash balances	1c	1,000,000
С	Fair market value of all other assets (see instructions)	1d	10,040,391
d	Total (add lines 1a, b, and c)	10	10,040,331
е	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
	To (all as it seems of seems of seems)		0
2	Acquisition indebtedness applicable to line 1 assets	2	10,040,391
3	Subtract line 2 from line 1d	3	10,040,391
4	Cash deemed held for charitable activities Enter 11/2% of line 3 (for greater amount, see		150 606
	instructions)	4	150,606
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	9,889,785
6	Minimum investment return. Enter 5% of line 5	6_	494,489
Pá	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating	tour	dations
	and certain foreign organizations, check here ▶ and do not complete this part)		404 400
1	Minimum investment return from Part X, line 6	1_	494,489
2a	Tax on investment income for 2018 from Part VI, line 5		
b	Income tax for 2018 (This does not include the tax from Part VI)		0.640
C	Add lines 2a and 2b	2c	3,643
3	Distributable amount before adjustments Subtract line 2c from line 1	3	490,846
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	490,846
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII,		
	line 1	7	490,846
Pá	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	509,670
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.		
-	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	509,670
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
5	Enter 1% of Part I, line 27b See instructions	5	٥
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	509,670
0	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the found		200/010
	110te The amount on line o will be used in Fait 4, column (b), in subsequent years when calculating whether the found	GUOII	

Form **990-PF** (2018)

Page 9

	n 990-PF (2018) THE HARSHAW FAMI art XIII Undistributed Income (see I		DATION	27-42515) <u> </u>	Page
	art XIII Undistributed income (see)	inductions)	(a)	(b)	(c)	(d)
1	Distributable amount for 2018 from Part XI,		Corpus	Years prior to 2017	2017	2018
2	line 7				, ,	450,64
2	Undistributed income, if any, as of the end of 201 Enter amount for 2017 only	0			Acad	
	Total for prior years 20, 20, 20, 20	-		· · · · · · · · · · · · · · · · · · ·		
3	Excess distributions carryover, if any, to 2018					
	From 2013	26,614				
b	From 2014					
c	From 2015	27,104				
	From 2016	65,793		_		
	From 2017	41,215				
	Total of lines 3a through e		160,726	•	1 · 3	
4	Qualifying distributions for 2018 from Part XII,	<u> </u>		•	, -	
	line 4 > \$ 509,670			,		
а	Applied to 2017, but not more than line 2a	L				
b	Applied to undistributed income of prior years	ſ				
	(Election required – see instructions)	L				
С	Treated as distributions out of corpus (Election	1				
	required - see instructions)	Ĺ				
d	Applied to 2018 distributable amount					490,840
е	Remaining amount distributed out of corpus	_	18,824			
5	Excess distributions carryover applied to 2018					
	(if an amount appears in column (d), the same					
	amount must be shown in column (a))					
6	Enter the net total of each column as					
	indicated below:					
	Corpus Add lines 3f, 4c, and 4e Subtract line 5	-	179,550	···	ļ	
b	Prior years' undistributed income Subtract					
	line 4b from line 2b	-				
С	Enter the amount of prior years' undistributed					
	income for which a notice of deficiency has					
	been issued, or on which the section 4942(a)					
a	tax has been previously assessed Subtract line 6c from line 6b Taxable	 				
u	amount – see instructions					
_	Undistributed income for 2017 Subtract line	-				
·	4a from line 2a Taxable amount – see	į				
	instructions					
f	Undistributed income for 2018 Subtract lines	-				
•	4d and 5 from line 1. This amount must be	Ì]	
	distributed in 2019					
7	Amounts treated as distributions out of corpus					
	to satisfy requirements imposed by section					
	170(b)(1)(F) or 4942(g)(3) (Election may be					
	required—see instructions)					
8	Excess distributions carryover from 2013 not					
	applied on line 5 or line 7 (see instructions)	L	26,614			
9	Excess distributions carryover to 2019.					
	Subtract lines 7 and 8 from line 6a		152,936			
10	Analysis of line 9			-	•	
а	Excess from 2014					
b	Excess from 2015	27,104			,	
C	Excess from 2016	65,793			, 3	
d	Excess from 2017	41,215				
<u>e</u>	Excess from 2018	18,824		· · · · · · · · · · · · · · · · · · ·	<u> </u>	

	rt XIV Private Operating Fou		structions and Par	t VII-A, question 9	3)	
	If the foundation has received a ruling or				<u></u>	
10	foundation, and the ruling is effective for		\	y		
b	Check box to indicate whether the foundation		•	hed in section 1	942(j)(3) or 494	2(j)(5)
2a	Enter the lesser of the adjusted net	Tax year	illing routidation descri	Prior 3 years	342(j)(0) 01 10 th	
Za	income from Part I or the minimum	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
	investment return from Part X for	(4) 2010	(0) = 0	(0, 000	(=/ =	
	each year listed				<u></u>	
b	85% of line 2a					/
С	Qualifying distributions from Part XII,					
	line 4 for each year listed					
d	Amounts included in line 2c not used directly					
	for active conduct of exempt activities					
е	Qualifying distributions made directly					
	for active conduct of exempt activities			X		
_	Subtract line 2d from line 2c		*			
3	Complete 3a, b, or c for the					
	alternative test relied upon			/		
а	"Assets" alternative test – enter					
	(1) Value of all assets				 \	
	(2) Value of assets qualifying under					
	section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test – enter 2/3					
	of minimum investment return shown in	/				
	Part X, line 6 for each year listed	·				
С	"Support" alternative test – enter			,	1 \	
	(1) Total support other than gross				\ \	
	investment income (interest, dividends, rents, payments on					
	securities loans (section				`	
	512(a)(5)), or royalties)					
	(2) Support from general public					
	and 5 or more exempt					
	organizations as provided in					
	section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from					
	an exempt organization					
	(4) Gross investment income Int XV Supplementary Inform	ation (Complete	this part only if	the foundation h	ad \$5,000 or more	in accete at
Г	any time during the ye	•	•	ine loulidation in	au #5,000 or more	e iii assets at ,
1	Information Regarding Foundation Ma		110113.7			
' a	List any managers of the foundation who	•	e than 2% of the total	contributions received	by the foundation	
a	before the close of any tax year (but only				•	
	W. FRANK HARSHAW	ii tiley have contribute	sa more man 45,000)	(500 3001011 507 (4)(2)		1,500,000
b	List any managers of the foundation who	own 10% or more of t	he stock of a corporati	on (or an equally large		
~	ownership of a partnership or other entity			· · · · · · · · ·	portion of the	
	N/A	, or winds the loandat	1011 1140 to 1070 or grou	ioi intoroot		
2	Information Regarding Contribution, G	irant, Gift, Loan, Sch	olarship, etc., Progra	ams:		
-	Check here ► X if the foundation only				loes not accept	
	unsolicited requests for funds If the found		•	<u>₹</u>	· · · · · · · · · · · · · · · · · · ·	
	complete items 2a, b, c, and d See instru		,,	• • • • • • • • • • • • • • • • • • •		
	The name, address, and telephone numb		f the person to whom a	applications should be	addressed	_
_	N/A		ролоон то нист			
	,					
b	The form in which applications should be	submitted and inform	ation and materials the	ev should include		
-	N/A			,		
С	Any submission deadlines				<u> </u>	
٠	N/A					
	Any restrictions or limitations on awards,	such as by geographi	cal areas charitable fi	elds, kinds of institution	ns. or other	,
u	factors	Jabii do by googiapili	ca. areas, enamable in	or montation	, 0. 001	
	N/A					
DAA						Form 990-PF (2018)

Supplementary Information (co	ontinuea)			
3 Grants and Contributions Paid During		or Future Payment	· · · · · · · · · · · · · · · · · · ·	·
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	contribution	Allount
a Paid during the year FRIENDS OF METRO ANIMAL SET 3528 NEWBURG RD LOUISVILLE KY 40218 YOUTH HAVEN		CHARITY	DONATIONS	500,000
5867 WHITAKER RD NAPLES FL 34112-2963		CHARITY	DONATIONS	5,000
;				
Total b Approved for future payment			▶ 3a	505,000
N/A				
1				
,				
Total		<u> </u>	▶ 3b	

Form 990-PF (2018) THE HARSHAW FAMILY FOUNDATION 27-4251521

Enter gross am	nounts unless otherwise indicated	Unrelated b	usiness income	Exclude	d by section 512, 513, or 514	(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions.)
-	ervice revenue					(ood mendenone)
		-		<u> </u>		
				†		
		-		` <u> </u>		
f						
g Fees a	nd contracts from government agencies					
2 Membersh	p dues and assessments					
3 Interest on	savings and temporary cash investments			14	26,662	
4 Dividends	and interest from securities	ļ		14	55,501	
	ncome or (loss) from real estate		r 6	1 144 4	The state of the s	apath Attu
	nanced property	ļ		 -		
	bt-financed property					
	ncome or (loss) from personal property	 		 		
	stment income	 		14	109,728	
	ss) from sales of assets other than inventory e or (loss) from special events			1.3	109,728	
	t or (loss) from sales of inventory					
	nue a			 		
				 -		
е		T				
·						
	dd columns (b), (d), and (e)			0 .	191,891	0
2 Subtotal A 3 Total. Add	line 12, columns (b), (d), and (e)			0 .	191,891	0 191,891
2 Subtotal A 3 Total Add See workshee	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations)				13	
2 Subtotal A 3 Total. Add See workshee Part XVI-E	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A		it of Exempt f	urpose	13	
2 Subtotal A 3 Total Add See workshee	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
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2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
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2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
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2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
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2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891
2 Subtotal A 3 Total. Add See workshee Part XVI-E Line No.	line 12, columns (b), (d), and (e) t in line 13 instructions to verify calculations) Relationship of Activities to the A Explain below how each activity for which incom	ie is reported in colu	it of Exempt F mn (e) of Part XV	urpose	13	191,891

Form 990-PF (2018) THE HARSHAW FAMILY FOUNDATION 27-4251521 Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash 1a(1) (2) Other assets 1a(2) **b** Other transactions 1b(1) (1) Sales of assets to a noncharitable exempt organization 1b(2) (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets 1b(3) 1b(4) (4) Reimbursement arrangements 1b(5) (5) Loans or loan guarantees 1b(6) (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees 1c d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received (a) Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions and sharing arrangements N/A 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes X No b If "Yes," complete the following schedule (a) Name of organization (b) Type of organization (c) Description of relationship N/A Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge May the IRS discuss this return with the preparer shown below? Sign X Yes See instructions Here Preparer's signature Print/Type preparer's name Date Check

> 502-491-5550 Form 990-PF (2018)

P01067362

61-1036216

PTIN

Firm's EIN ▶

Phone no

self-employed

Paid

Preparer

Use Only

THOMAS J. BECK, CPA

Firm's name ▶

Firm's address

WILLIAM S.

LOUISVILLE,

3042 BRECKENRIDGE LN

WETTERER

KY

CO.,

æ

40220-2102

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2018

Name of the organization

► Go to www.irs.gov/Form990 for the latest information.

Employer identification number

THE HARSHAW	FAMILY FOUNDATION	27-4251521					
Organization type (check	one)						
Filers of.	Section:						
Form 990 or 990-EZ	501(c)() (enter number) organization						
	4947(a)(1) nonexempt charitable trust not treated as	s a private foundation					
	527 political organization						
Form 990-PF	X 501(c)(3) exempt private foundation						
	4947(a)(1) nonexempt charitable trust treated as a private foundation						
	501(c)(3) taxable private foundation						
	is covered by the General Rule or a Special Rule . (7), (8), or (10) organization can check boxes for both the Gen	neral Rule and a Special Rule See					
General Rule							
or more (in money	For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.						
Special Rules							
For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 ¹ / ₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II							
For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering) "N/A" in column (b) instead of the contributor name and address), II, and III							
contributor, during to contributions totale during the year for General Rule appli	For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year.						
990-EZ, or 990-PF), but it n	hat isn't covered by the General Rule and/or the Special Rules nust answer "No" on Part IV, line 2, of its Form 990, or check to certify that it doesn't meet the filing requirements of Schedule.	the box on line H of its Form 990-EZ or on its					

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

ame of	organization			Employer identification number
THE	HARSHAW	FAMILY	FOUNDATION	27-4251521
Darti	Contrib	utors (see	nstructions). Lise duplicate copies of Part	t Lif additional space is needed

Part I	Contributors (see instructions) Use du	uplicate copies of F	Part I if additional space is n	eeded
(a) No.	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
1	W. FRANK HARSHAW 6104 REGAL SPRINGS DRIVE LOUISVILLE KY	40205	s 1,500,000	Person X Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
			\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
			\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
			\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
			\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4		(c) Total contributions	(d) Type of contribution
			\$	Person Payroll Noncash (Complete Part II for noncash contributions)

Capital Gains and Losses for Tax on Investment Income

Form 990-PF

2018

Form 330-PF	For calendar year 2018, or tax year beginning	11/01/1	8 , and ending	10/31/19	2010
L Name	For Calendar year 2016, or tax year beginning	11/01/1	o , and ending		ntification Number
	FAMILY FOUNDATION			27-4251	1521
	st and describe the kind(s) of property sold, e.g., real estate, story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P-Purchase	(C) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
			D-Donation		
	PITAL CORP - FRAC SHS	,	P P	VARIOUS VARIOUS	12/26/18 VARIOUS
2) SEI LARGE 3) SEI SMALL	CAP FUND		P	VARIOUS	VARIOUS
- /	EQUITY FUND		P	VARIOUS	VARIOUS
	ING MARKETS FUND		P	VARIOUS	VARIOUS
6) HILLIARD I		LIEU	P	VARIOUS	02/26/19
7) SEI					
8) CNL HEALTI	HCARE				ļ
9)					
10)				 	·
<u>11)</u>				 	
12) 13)				-	1
14)					
15)					
サルス 八角 語	加加加州州西部市市市市大学的公司的公司的公司的公司			erenew characters	LINKARAMEN
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or oth plus expense	er basis of sale	(h) Gair (e) plus (f	n or (loss)) minus (g)
1)	5	piac onpones		(0) p. 10 (1)	5
2) 4,2			4,574		-317
4	61		1,194		-233
1,1			1,105		27
· · · · · · · · · · · · · · · · · · ·	79		358		21
'	45				45
7) 69,0					69,080
3) 41,1	00			 	41,100
9)					
10) 11)					
12)					
13)					
14)					
15)	A STATE OF THE STA	STUDENIES OF WILL PROVE TO AL AL	ATRIANE I FINANINEL TREET	COLUMN S. GEORET THEORY SPENSON TO THE C. LEWIS	to Tourn Tie de F FUFF pai France
14. " · · · · · · · · · · · · · · · · · ·				Programment of the second	Company appropriate of
	ets showing gain in column (h) and owned by the			(I) Gains (Co	ol (h) gain minus
(I) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of over col (j)		col` (k), but not le: Losses (from	ol (h) gain minus ss than -0-) Or n col (h))
1)					5
2)					-317
3)				ļ	-233
4)			-		27
5)				<u> </u>	21 45
6)					69,080
7) 3)					41,100
9)					
10)					
11)					
12)					
13)				-	
14)				 	
15)			_	l	

Other Notes and Loans Receivable

990-PF

THE HARSHAW FAMILY FOUNDATION

For calendar year 2018, or tax year beginning 11/01/18, and ending

10/31/19

2018

Name

Employer Identification Number

27-4251521

FORM 990-PF, PART II, LINE 7 - ADDITIONAL INFORMA	RMATIO	INF	ADDITIONAL	_	: 7	LINE	II.	PART	990-PF.	FORM
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1 0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	<u> </u>
Name of borrower	Relationship to disqualified person
1) HERO NEXT DOOR PRODUCTIONS LLC	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	

	Original amount borrowed	Date of loan	Maturity date	Repayment terms	Interest rate
(1)	1,000,000	03/25/15			8.000
2)					
3)	<u> </u>				
4)					
5)					
6)					
7)					
8)				··	
9)					
10)					

	Security provided by borrower	Purpose of loan
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		

	Consideration furnished by lender	Balance due at beginning of year	Balance due at end of year	Fair market value
(1)		1,000,000	1,000,000	1,000,000
(2)				
(3)				·
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				
Totals		1,000,000	1,000,000	1,000,000

1060015 THE HARSHAW FAMILY FOUNDATION 27-4251521

Federal Statements

FYE: 10/31/2019

Fees
ounting
b - Acc
Line 16
Part I,
90-PF,
Form 9
,
Statement

Charitable Purpose	\$ 4,438		Charitable Purpose	\$ \$		Charitable Purpose	\$ 15
Adjusted Net	\$	ofessional Fees	Adjusted Net	\$ \$	Taxes	Adjusted Net	\$
Net Investment	\$ 137 \$ 137	990-PF, Part I, Line 16c - Other Professional Fees	Net Investment	\$ 6,765	nt 3 - Form 990-PF, Part I, Line 18 - Taxes	Net Investment	\$ 2.817
Total	\$ 4,575 \$ 4,575		Total	\$ 6,765	Statement 3 - Form 990	Total	\$ 15
Description	ACCOUNTANT TOTAL	Statement 2 - Form	Description	INVESTMENT FEES TOTAL		Description	FILING FEE - SOS EXCISE TAX BASED ON INVESTMENT I

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

0|

2,817

2,832

TOTAL

Charitable Purpose	S		8	\$
Adjusted Net	⟨v}			\$
Net Investment	ψ.			\$
Total	v >		8	\$
Description		EXPENSES	POSTAGE & DELIVERY	TOTAL

1060015 THE HARSHAW FAMILY FOUNDATION

Federal Statements

FYE: 10/31/2019

27-4251521

nents
Invest
Stock
orporate
10b - C
, Line
Part II
990-PF, Part
- Form
Statement 5

Description of Year		End of Year	Basis of Valuation	Fair Market Value
CNL CORPORATE CAPITAL TRUST CNL HEALTHCARE TRUST SEI PRIVATE TRUST HILLIARD LYONS BAIRD TOTAL	l	94,667 254,412 954,354 1,146 1,147,333 2,451,912	MARKET MARKET MARKET MARKET MARKET	\$ 94,667 254,412 954,354 1,146 1,147,333 \$ 2,451,912

1060015 THE HARSHAW FAMILY FOUNDATION

27-4251521

Federal Statements

FYE: 10/31/2019

Statement 6 - Form 990-PF, Part II, Line 22 - Other Liabilities

Description	 Beginning of Year		End of Year	
UNREALIZED GAIN/LOSS	\$ 280,003	\$	206,551	
TOTAL	\$ 280,003	\$	206,551	

City, State, Zip Statement 7 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors LOUISVILLE KY 40205 **Federal Statements** Address 6104 REGAL SPRINGS DR 1060015 THE HARSHAW FAMILY FOUNDATION Name W. FRANK HARSHAW FYE: 10/31/2019 27-4251521

1060015 THE HARSHAW FAMILY FOUNDATION
27-4251521 Federal Statements

27-4251521

FYE: 10/31/2019

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

		Name of Manager		Amount
W.	FRANK HARSHAW		\$_	1,500,000
	TOTAL		\$_	1,500,000