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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning **NOV 1, 2018**, and ending **OCT 31, 2019**

Name of foundation
Helmut Wolfgang Schumann Foundation
Ethan Frechette/Stebbins Bradley, PA

A Employer identification number

22-2573793

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number

603-643-3737

City or town, state or province, country, and ZIP or foreign postal code

Hanover, NH 03755-0382C If exemption application is pending, check here ☐ **le**

- G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☒ Name change

D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

- H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation **04**

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

- I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 2,589,987.**
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,761.	1,761.		Statement 1
4 Dividends and interest from securities		47,836.	47,836.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		267,463.			
b Gross sales price for all assets on line 6a 1,371,102.					
7 Capital gain net income (from Part IV, line 2)			267,463.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		663.	663.		Statement 3
12 Total Add lines 1 through 11		317,723.	317,723.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees Stmt 4		6,082.	0.		0.
b Accounting fees Stmt 5		3,700.	0.		0.
c Other professional fees Stmt 6		18,189.	18,189.		0.
17 Interest					
18 Taxes Stmt 7		9,862.	493.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 8		182.	0.		0.
24 Total operating and administrative expenses Add lines 13 through 23		38,015.	18,682.		0.
25 Contributions, gifts, grants paid		97,250.			97,250.
26 Total expenses and disbursements Add lines 24 and 25		135,265.	18,682.		97,250.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		182,458.			
b Net investment income (if negative, enter -0-)			299,041.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Ethan Frechette/Stebbins Bradley, PA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	9,156.	42,670.	42,670.
	2 Savings and temporary cash investments	63,888.	49,554.	49,554.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 10	1,427,668.	1,606,801.	1,798,231.
	c Investments - corporate bonds Stmt 11	683,978.	674,130.	699,532.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,184,690.	2,373,155.	2,589,987.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	2,184,690.	2,373,155.	Statement 9	
30 Total net assets or fund balances	2,184,690.	2,373,155.		
31 Total liabilities and net assets/fund balances	2,184,690.	2,373,155.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	2,184,690.
2 Enter amount from Part I, line 27a	182,458.
3 Other increases not included in line 2 (itemize) ▶ Miscellaneous Adjustments	6,007.
4 Add lines 1, 2, and 3	2,373,155.
5 Decreases not included in line 2 (itemize) ▶	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	2,373,155.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly Traded Securities - ST	P	Various	Various
b Publicly Traded Securities - LT	P	Various	Various
c Capital Gains Dividends			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 437,533.		439,623.	<2,090.>
b 886,721.		664,016.	222,705.
c 46,848.			46,848.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<2,090.>
b			222,705.
c			46,848.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	267,463.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	116,670.	2,536,582.	.045995
2016	138,715.	2,396,347.	.057886
2015	115,323.	2,253,263.	.051180
2014	53,300.	2,356,563.	.022618
2013	115,051.	2,363,377.	.048681

2 Total of line 1, column (d)	2	.226360
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.045272
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	2,473,742.
5 Multiply line 4 by line 3	5	111,991.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,990.
7 Add lines 5 and 6	7	114,981.
8 Enter qualifying distributions from Part XII, line 4	8	97,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	5,981.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	5,981.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,981.
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	5,720.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	5,720.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	261.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
 c Did the foundation file Form 1120-POL for this year?
 d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
 (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.
 e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.
 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
 If "Yes," attach a detailed description of the activities.
 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.
 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
 b If "Yes," has it filed a tax return on Form 990-T for this year?
 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
 If "Yes," attach the statement required by General Instruction T.
 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
 • By language in the governing instrument, or
 • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV
 8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☐ NH
 b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation
 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV
 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ▶ N/A		
14 The books are in care of ▶ Ethen Frechette, Atty Telephone no. ▶ 603-643-3737		
Located at ▶ 41 South Park Street, Hanover, NH ZIP+4 ▶ 03755		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ▶ N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ▶ N/A	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
Organizations relying on a current notice regarding disaster assistance, check here

N/A

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No

Yes No

5b

6b

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
 (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services
 0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 None	
	0.
2	
3	
4	

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3 None	
	0.

Total. Add lines 1 through 3
 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,450,573.
b Average of monthly cash balances	1b	60,840.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	2,511,413.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,511,413.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	37,671.
5 Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,473,742.
6 Minimum investment return Enter 5% of line 5	6	123,687.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	123,687.
2a Tax on investment income for 2018 from Part VI, line 5	2a	5,981.
b Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	5,981.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	117,706.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	117,706.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	117,706.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	97,250.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	97,250.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	97,250.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				117,706.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			92,583.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018.				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 97,250.				
a Applied to 2017, but not more than line 2a			92,583.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				4,667.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				113,039.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

Tax year

(a) 2018

(b) 2017

Prior 3 years

(c) 2016

(d) 2015

(e) Total

3 Subtract line 2d from line 2c
Complete 3a, b, or c for the
alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
A Place to Be	None			5,000.
Aldo Leopold Nature Center	None			1,500.
Antique Gas and Steam Engine Museum	None			400.
ANZA Borrego Foundation	None			300.
ArtSpan	None			1,500.
Total	See continuation sheet(s)			97,250.
b Approved for future payment				
None				
Total				0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Bethany Mennonite Church	None			5,000.
Billings Farm & Museum	None			1,000.
Blue Ridge Middle School PTO	None			1,000.
Bridgewater Fire Department	None			100.
Classics for Kids	None			300.
Cystic Fibrosis	None			1,000.
Dartmouth Hitchcock - NCCC	None			200.
Doctors Without Borders	None			2,500.
Express Yourself, Inc Milwaukee	None			1,000.
Fine Arts Museum of San Francisco	None			300.
Total from continuation sheets				88,550.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Gathering Waters Conservancy	None			7,000.
Harvard College Fund	None			1,000.
International Children Assistance Network	None			250.
International Rescue Committee	None			2,000.
Jerabek Elementary School FFO	None			1,500.
KCRW	None			150.
Kellogg Annual Fund Northwestern	None			1,000.
KPBS	None			2,500.
KPCC Southern California Public Radio	None			1,500.
Land Trust Alliance	None			2,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Loudon Valley Community Center	None			2,000.
Lucy MacKenzie Humane Society	None			3,000.
Marshall Middle School - MMD	None			1,500.
McCormick School of Engineering Northwestern	None			1,000.
MI Art Center	None			1,500.
NPR	None			5,000.
Ocean Shore School	None			2,800.
Orange County Rescue Mission	None			300.
Pacifica Education Foundation	None			4,000.
Pacifica Resource Center	None			500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Public Broadcasting Corporation	None			2,500.
River Revitalization Foundation	None			1,000.
San Diego Natural History Museum	None			200.
San Diego Public Library Foundation	None			150.
San Diego Quail Botanical Gardens	None			300.
San Diego Rescue Mission	None			1,000.
San Francisco Museum of Modern Art	None			300.
Sanchez Art Center	None			1,000.
Scripps Ranch Civic Association 501(c)4	None			300.
Shelburne Museum	None			1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Skinny Gene Project	None			1,000.
SOMArts Cultural Center	None			500.
SS United States Conservancy	None			500.
St Paul's School	None			350.
Terra Nova Band Boosters	None			1,000.
Terra Nova Education Foundation	None			3,000.
The Salvation Army	None			300.
Tree and Seeds	None			3,450.
Union Rescue Mission	None			250.
USA for UNHCR	None			500.
Total from continuation sheets				

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Vermont Food Bank	None			3,000.
Vermont Public Television	None			2,350.
Vision Forward	None			3,500.
WBEZ	None			150.
WI Humane Society	None			2,000.
Woodgrove Music and Arts Association	None			3,000.
Yerba Buena Center for Arts	None			1,000.
YMCA Live Strong Program	None			1,500.
Zoological Society of San Diego	None			50.
Total from continuation sheets				

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		Yes	No
1a(1)			X
1a(2)			X
1b(1)			X
1b(2)			X
1b(3)			X
1b(4)			X
1b(5)			X
1b(6)			X
1c			X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below? See instructions. ☒ Yes ☐ No
	Signature of officer or trustee <i>Peter B Valiante</i>		Date <i>1/24/20</i>	Title <i>Trustee</i>	
Paid Preparer Use Only	Print/Type preparer's name Peter B Valiante CPA	Preparer's signature Peter B Valiante	Date 01/02/20	Check ☐ if self-employed	PTIN P00048481
	Firm's name Tyler, Simms & St. Sauveur CPAs, P.C.			Firm's EIN 02-0476956	
	Firm's address 19 Morgan Drive Lebanon, NH 03766			Phone no. 603-653-0044	

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Johnson Bank - Checking Account	98.	98.	
Johnson Bank - MM Account	1,663.	1,663.	
Total to Part I, line 3	1,761.	1,761.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Johnson Bank	94,684.	46,848.	47,836.	47,836.	
To Part I, line 4	94,684.	46,848.	47,836.	47,836.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Additional Mutual Fund Income	663.	663.	
Total to Form 990-PF, Part I, line 11	663.	663.	

Form 990-PF Legal Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal Fees	6,082.	0.		0.
To Fm 990-PF, Pg 1, ln 16a	6,082.	0.		0.

Form 990-PF	Accounting Fees		Statement 5	
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting Fees	3,700.	0.		0.
To Form 990-PF, Pg 1, ln 16b	3,700.	0.		0.

Form 990-PF	Other Professional Fees		Statement 6	
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Mgmt Fees	18,189.	18,189.		0.
Trustee Fees	0.	0.		0.
To Form 990-PF, Pg 1, ln 16c	18,189.	18,189.		0.

Form 990-PF	Taxes		Statement 7	
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
State of New Hampshire Fee	75.	0.		0.
Federal Taxes Paid	9,294.	0.		0.
Foreign Tax Paid	493.	493.		0.
To Form 990-PF, Pg 1, ln 18	9,862.	493.		0.

Form 990-PF	Other Expenses		Statement 8	
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank Service Charges	135.	0.		0.
Miscellaneous	47.	0.		0.
To Form 990-PF, Pg 1, ln 23	182.	0.		0.

Form 990-PF	Other Funds	Statement 9
Description	(A) Beginning of Year	(B) End of Year
Endowment Fund	2,184,690.	2,373,155.
Total to Form 990-PF, Part II, line 29	2,184,690.	2,373,155.

Form 990-PF	Corporate Stock	Statement 10
Description	Book Value	Fair Market Value
See Attached Schedule - Domestic Corporate Stocks	1,123,034.	1,236,555.
See Attached Schedule - International Corporate Stocks	483,767.	561,676.
Total to Form 990-PF, Part II, line 10b	1,606,801.	1,798,231.

Form 990-PF	Corporate Bonds	Statement 11
Description	Book Value	Fair Market Value
See Attached Schedule - Fixed Income	674,130.	699,532.
Total to Form 990-PF, Part II, line 10c	674,130.	699,532.

Form 990-PF

Part VIII - List of Officers, Directors
Trustees and Foundation Managers

Statement 12

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	
Eric R Schumann 7312 Douglas Avenue, Racine, WI 53402	Trustee 0.00	0.	0.	0.
Mary Schumann Drewek PO Box 253, Bridgewater Corners, VT 05035	Trustee 0.00	0.	0.	0.
Claudia Jacobson 40034 Featherbed Lane Lovettsville, VA 20180	Trustee 0.00	0.	0.	0.
Petra Schumann 510 Goodman Road, Pacifica, CA 94044	Trustee 0.00	0.	0.	0.
Alexandra Schumann Patchan 3242 Huntersworth Way, Glenwood, MD 21738	Trustee 0.00	0.	0.	0.
Daniel Schumann 12363 Sycamore Ridge Ct, San Diego, CA 92131	Trustee 0.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
5127.922 AMERICAN BEACON LON INC E-IS		09/08/2017	10/04/2019	94,456.33	82,149.30			12,307.03
163. AMERICAN TOWER CORP REIT		VAR	02/01/2019	28,047.30	18,839.85			9,207.45
78. AMGEN INC COM		10/29/2014	02/01/2019	14,483.06	12,370.04			2,113.02
277. ANALOG DEVICES INC COMMON STOCK		VAR	02/01/2019	27,425.24	17,659.07			9,766.17
47. APPLE COMPUTER INC COM		09/16/2016	01/07/2019	6,916.18	5,377.33			1,538.85
79. APPLE COMPUTER INC COM		09/16/2016	02/01/2019	13,219.68	9,038.48			4,181.20
172. BB&T CORPORATION		01/04/2017	02/01/2019	8,401.23	8,148.96			252.27
492.623 BAIRD INTERMEDIATE BD-INSTL		07/12/2017	11/20/2018	5,275.99	5,463.19			-187.20
113. BECTON DICKINSON & CO COM		01/22/2015	02/01/2019	27,912.38	16,161.00			11,751.38
28. BLACKROCK INC		11/04/2011	11/09/2018	11,677.39	4,400.45			7,276.94
202.774 BLACKROCK STRAT INC OPP-INST		09/08/2017	11/20/2018	1,950.69	2,015.22			-64.53
333.615 BROWN CAP MGMT SM COMP-INST		VAR	04/26/2019	35,623.40	27,136.26			8,487.14
610. CISCO SYS INC COM		VAR	02/01/2019	28,797.72	16,656.13			12,141.59
453. COMCAST CORP-CL A		02/15/2012	02/01/2019	16,602.23	6,474.02			10,128.21
104. DISNEY WALT CO COM		02/08/2017	02/01/2019	11,564.10	11,402.66			161.44
149. EASTMAN CHEMICAL CO		01/04/2018	02/01/2019	11,808.60	14,371.71			-2,563.11
221.449 FEDERATED ULTRA SHORT FD-INS		09/08/2017	11/20/2018	2,008.54	2,019.61			-11.07
157. HASBRO INC		10/07/2013	02/01/2019	14,174.95	7,371.05			6,803.90
71. HOME DEPOT INC COM		06/13/2016	02/01/2019	13,081.48	9,109.09			3,972.39
107. HONEYWELL INTL INC		11/04/2011	02/01/2019	15,607.11	5,475.57			10,131.54
393. ISHARES INTERMEDIATE GOV/CR ETF		03/05/2018	05/15/2019	43,380.40	42,403.13			977.27
516. ISHARES INTERMEDIATE GOV/CR ETF		VAR	06/10/2019	57,488.62	55,659.78			1,828.84
267. JP MORGAN CHASE & CO		VAR	02/01/2019	27,883.86	17,111.56			10,772.30
34. LOCKHEED MARTIN CORP COM		01/13/2014	02/01/2019	9,876.51	5,229.29			4,647.22
225. LOWES COS INC		08/17/2012	02/01/2019	21,822.26	6,217.90			15,604.36
296. MAXIM INTEGRATED PRODS INC COM		03/06/2017	02/01/2019	16,093.99	13,178.40			2,915.59
574.989 METROPOLITAN WEST T/R BOND-I		09/08/2017	11/20/2018	5,882.14	6,192.63			-310.49
747.343 METROPOLITAN WEST T/R BOND-I		09/08/2017	10/22/2019	8,243.19	8,048.89			194.30
256. MICROSOFT CORP COM		VAR	02/01/2019	26,501.13	4,739.45			21,761.68
126. RAYTHEON COMPANY		VAR	02/01/2019	20,994.63	6,730.14			14,264.49
3333 RESIDEO TECHNOLOGIES INC		02/04/2013	11/12/2018	7.13	4.07			3.06
27. RESIDEO TECHNOLOGIES INC		VAR	11/12/2018	586.10	267.37			318.73
109. STRYKER CORP COM		VAR	02/01/2019	19,298.03	15,639.57			3,658.46
Totals								

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