

## Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

2018  
Open to Public Inspection

For calendar year 2018 or tax year beginning NOV 1, 2018, and ending OCT 31, 2019

Name of foundation

HARRY DENT FAMILY FOUNDATION, INC.

Number and street (or P.O. box number if mail is not delivered to street address)

369 FRANKLIN STREET

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

BUFFALO, NY 14202

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 5,575,212.

J Accounting method:

☒

Cash

☐

Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

16-0849923

B Telephone number

(716) 754-8276

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 2,623,038.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 3

c Other professional fees

STMT 4

17 Interest

18 Taxes

STMT 5

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 6

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements

Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

RECEIVED

FEB 24 2020

OGDEN, UT

SCANNED JUL 21 2020

| Part II Balance Sheets      |                                                | Attached schedules and amounts in the description column should be for end-of-year amounts only     |                                                    | Beginning of year | End of year    |                       |
|-----------------------------|------------------------------------------------|-----------------------------------------------------------------------------------------------------|----------------------------------------------------|-------------------|----------------|-----------------------|
|                             |                                                |                                                                                                     |                                                    | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                              | Cash - non-interest-bearing                                                                         |                                                    |                   |                |                       |
|                             | 2                                              | Savings and temporary cash investments                                                              |                                                    | 77,212.           | 266,498.       | 266,498.              |
|                             | 3                                              | Accounts receivable                                                                                 |                                                    |                   |                |                       |
|                             |                                                | Less: allowance for doubtful accounts                                                               |                                                    |                   |                |                       |
|                             | 4                                              | Pledges receivable                                                                                  |                                                    |                   |                |                       |
|                             |                                                | Less: allowance for doubtful accounts                                                               |                                                    |                   |                |                       |
|                             | 5                                              | Grants receivable                                                                                   |                                                    |                   |                |                       |
|                             | 6                                              | Receivables due from officers, directors, trustees, and other disqualified persons                  |                                                    |                   |                |                       |
|                             | 7                                              | Other notes and loans receivable                                                                    |                                                    |                   |                |                       |
|                             |                                                | Less: allowance for doubtful accounts                                                               |                                                    |                   |                |                       |
|                             | 8                                              | Inventories for sale or use                                                                         |                                                    |                   |                |                       |
|                             | 9                                              | Prepaid expenses and deferred charges                                                               |                                                    |                   |                |                       |
|                             | 10a                                            | Investments - U.S. and state government obligations                                                 | STMT 7                                             | 743,095.          | 787,755.       | 737,599.              |
|                             | b                                              | Investments - corporate stock                                                                       | STMT 8                                             | 2,255,310.        | 2,373,504.     | 2,783,732.            |
|                             | c                                              | Investments - corporate bonds                                                                       | STMT 9                                             | 912,253.          | 737,599.       | 768,048.              |
|                             | Liabilities                                    | 11                                                                                                  | Investments - land, buildings, and equipment basis |                   |                |                       |
|                             |                                                | Less: accumulated depreciation                                                                      |                                                    |                   |                |                       |
| 12                          |                                                | Investments - mortgage loans                                                                        |                                                    |                   |                |                       |
| 13                          |                                                | Investments - other                                                                                 | STMT 10                                            | 1,022,575.        | 939,374.       | 1,013,077.            |
| 14                          |                                                | Land, buildings, and equipment basis                                                                |                                                    |                   |                |                       |
|                             |                                                | Less: accumulated depreciation                                                                      |                                                    |                   |                |                       |
| 15                          |                                                | Other assets (describe <b>DUE FROM BROKER</b> )                                                     |                                                    | 0.                | 6,258.         | 6,258.                |
| 16                          |                                                | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)       |                                                    | 5,010,445.        | 5,110,988.     | 5,575,212.            |
| 17                          |                                                | Accounts payable and accrued expenses                                                               |                                                    |                   |                |                       |
| 18                          |                                                | Grants payable                                                                                      |                                                    |                   |                |                       |
| Net Assets or Fund Balances | 19                                             | Deferred revenue                                                                                    |                                                    |                   |                |                       |
|                             | 20                                             | Loans from officers, directors, trustees, and other disqualified persons                            |                                                    |                   |                |                       |
|                             | 21                                             | Mortgages and other notes payable                                                                   |                                                    |                   |                |                       |
|                             | 22                                             | Other liabilities (describe)                                                                        |                                                    |                   |                |                       |
|                             | 23                                             | Total liabilities (add lines 17 through 22)                                                         |                                                    | 0.                | 0.             |                       |
| Net Assets or Fund Balances | 24                                             | Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. | <input type="checkbox"/>                           |                   |                |                       |
|                             | 25                                             | Unrestricted                                                                                        |                                                    |                   |                |                       |
|                             | 26                                             | Temporarily restricted                                                                              |                                                    |                   |                |                       |
|                             | 26                                             | Permanently restricted                                                                              |                                                    |                   |                |                       |
|                             | 27                                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.               | <input checked="" type="checkbox"/>                |                   |                |                       |
|                             | 27                                             | Capital stock, trust principal, or current funds                                                    |                                                    | 6,856,683.        | 6,856,683.     |                       |
|                             | 28                                             | Paid-in or capital surplus, or land, bldg, and equipment fund                                       |                                                    | 0.                | 0.             |                       |
|                             | 29                                             | Retained earnings, accumulated income, endowment, or other funds                                    |                                                    | <1,846,238.>      | <1,745,695.>   |                       |
| 30                          | Total net assets or fund balances              |                                                                                                     | 5,010,445.                                         | 5,110,988.        |                |                       |
| 31                          | Total liabilities and net assets/fund balances |                                                                                                     | 5,010,445.                                         | 5,110,988.        |                |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                               |   |            |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 5,010,445. |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | 100,543.   |
| 3 | Other increases not included in line 2 (itemize)                                                                                                              | 3 | 0.         |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 5,110,988. |
| 5 | Decreases not included in line 2 (itemize)                                                                                                                    | 5 | 0.         |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 5,110,988. |

Form 990-PF (2018)

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a PUBLICLY TRADED SECURITIES</b>                                                                                                      | <b>P</b>                                         | <b>VARIOUS</b>                       | <b>VARIOUS</b>                   |
| <b>b LITIGATION PROCEEDS</b>                                                                                                              | <b>P</b>                                         | <b>VARIOUS</b>                       | <b>VARIOUS</b>                   |
| <b>c CAPITAL GAINS DIVIDENDS</b>                                                                                                          |                                                  |                                      |                                  |
| <b>d</b>                                                                                                                                  |                                                  |                                      |                                  |
| <b>e</b>                                                                                                                                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>((e) plus (f) minus (g)) |
|-----------------------|--------------------------------------------|-------------------------------------------------|------------------------------------------------|
| <b>a 2,622,199.</b>   |                                            | <b>2,205,920.</b>                               | <b>416,279.</b>                                |
| <b>b 67.</b>          |                                            |                                                 | <b>67.</b>                                     |
| <b>c 772.</b>         |                                            |                                                 | <b>772.</b>                                    |
| <b>d</b>              |                                            |                                                 |                                                |
| <b>e</b>              |                                            |                                                 |                                                |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. |                                      |                                                 | (i) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|----------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| (i) FMV as of 12/31/69                                                                       | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                 |
| <b>a</b>                                                                                     |                                      |                                                 | <b>416,279.</b>                                                                                 |
| <b>b</b>                                                                                     |                                      |                                                 | <b>67.</b>                                                                                      |
| <b>c</b>                                                                                     |                                      |                                                 | <b>772.</b>                                                                                     |
| <b>d</b>                                                                                     |                                      |                                                 |                                                                                                 |
| <b>e</b>                                                                                     |                                      |                                                 |                                                                                                 |

|                                                                                                                                                                                        |                                                                                     |          |                 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|----------|-----------------|
| <b>2</b> Capital gain net income or (net capital loss)                                                                                                                                 | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | <b>2</b> | <b>417,118.</b> |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | { }                                                                                 | <b>3</b> | <b>N/A</b>      |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2017                                                              | 223,309.                              | 5,762,444.                                | .038752                                                  |
| 2016                                                              | 318,781.                              | 5,577,955.                                | .057150                                                  |
| 2015                                                              | 276,073.                              | 5,316,415.                                | .051928                                                  |
| 2014                                                              | 279,235.                              | 5,632,857.                                | .049573                                                  |
| 2013                                                              | 248,624.                              | 5,721,744.                                | .043452                                                  |

|                                                                                                                                                                                                                                |          |                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------|
| <b>2</b> Total of line 1, column (d)                                                                                                                                                                                           | <b>2</b> | <b>.240855</b>    |
| <b>3</b> Average distribution ratio for the 5 year base period divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years                                          | <b>3</b> | <b>.048171</b>    |
| <b>4</b> Enter the net value of noncharitable use assets for 2018 from Part X, line 5                                                                                                                                          | <b>4</b> | <b>5,483,336.</b> |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                                                             | <b>5</b> | <b>264,138.</b>   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            | <b>6</b> | <b>5,041.</b>     |
| <b>7</b> Add lines 5 and 6                                                                                                                                                                                                     | <b>7</b> | <b>269,179.</b>   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. | <b>8</b> | <b>401,079.</b>   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.  
Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2018 estimated tax payments and 2017 overpayment credited to 2018

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2019 estimated tax ☐ Refunded ☐

|    |        |
|----|--------|
| 1  | 5,041. |
| 2  | 0.     |
| 3  | 5,041. |
| 4  | 0.     |
| 5  | 5,041. |
| 6a | 4,200. |
| 6b | 0.     |
| 6c | 0.     |
| 6d | 0.     |
| 7  | 4,200. |
| 8  | 0.     |
| 9  | 841.   |
| 10 |        |
| 11 |        |

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation. \$ 0. (2) On foundation managers \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  
If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions. NY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

|    | Yes | No |
|----|-----|----|
| 1a |     | X  |
| 1b |     | X  |
| 1c |     | X  |
| 2  |     | X  |
| 3  |     | X  |
| 4a |     | X  |
| 4b |     | X  |
| 5  |     | X  |
| 6  | X   |    |
| 7  | X   |    |
| 8a | X   |    |
| 8b | X   |    |
| 9  |     | X  |
| 10 |     | X  |

Form 990-PF (2018)

**Part VII-A** Statements Regarding Activities (continued)

|                                                                                                                                                                                                                                                                                                                                    | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions                                                                                                                                          |     | X  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions                                                                                                                                         |     | X  |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>N/A</u>                                                                                                                                                                             | X   |    |
| 14 The books are in care of ► <u>LUMSDEN &amp; MCCORMICK, LLP</u> Telephone no. ► <u>716-856-3300</u><br>Located at ► <u>369 FRANKLIN STREET, BUFFALO, NY</u> ZIP+4 ► <u>14202</u>                                                                                                                                                 |     |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year<br>► 15 <u>N/A</u>                                                                                                                          |     |    |
| 16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► |     | X  |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year, did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions<br>Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>                                                                                                                                                           |                                                                     | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?                                                                                                                                                                                                                                                                                                        |                                                                     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                 |                                                                     |    |
| a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?<br>If "Yes," list the years ► <u>N/A</u>                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                      |                                                                     |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here<br>► <u>N/A</u>                                                                                                                                                                                                                                                                                                                                                                |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) |                                                                     |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               |                                                                     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?                                                                                                                                                                                                                                                              |                                                                     | X  |

Form 990-PF (2018)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  
Organizations relying on a current notice regarding disaster assistance, check here

N/A

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  
If "Yes" to 6b, file Form 8870.☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 11     |                                                           | 500.                                      | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2018)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

|   |                                                                                                             |    |            |
|---|-------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average monthly fair market value of securities                                                             | 1a | 5,426,087. |
| b | Average of monthly cash balances                                                                            | 1b | 140,752.   |
| c | Fair market value of all other assets                                                                       | 1c |            |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                       | 1d | 5,566,839. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 5,566,839. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 83,503.    |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 5,483,336. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | 6  | 274,167.   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

|    |                                                                                                           |    |          |
|----|-----------------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 274,167. |
| 2a | Tax on investment income for 2018 from Part VI, line 5                                                    | 2a | 5,041.   |
| b  | Income tax for 2018. (This does not include the tax from Part VI.)                                        | 2b |          |
| c  | Add lines 2a and 2b                                                                                       | 2c | 5,041.   |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 269,126. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                         | 5  | 269,126. |
| 6  | Deduction from distributable amount (see instructions)                                                    | 6  | 0.       |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 269,126. |

**Part XII Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 401,079. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4                 | 4  | 401,079. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 5,041.   |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | 6  | 396,038. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2018)

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2017 | (c)<br>2017 | (d)<br>2018 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2018 from Part XI, line 7                                                                                                                       |               |                            |             | 269,126.    |
| 2 Undistributed income, if any, as of the end of 2018                                                                                                                      |               |                            |             |             |
| a Enter amount for 2017 only                                                                                                                                               |               |                            | 267,516.    |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2018:                                                                                                                         |               |                            |             |             |
| a From 2013                                                                                                                                                                |               |                            |             |             |
| b From 2014                                                                                                                                                                |               |                            |             |             |
| c From 2015                                                                                                                                                                |               |                            |             |             |
| d From 2016                                                                                                                                                                |               |                            |             |             |
| e From 2017                                                                                                                                                                |               |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| 4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ 401,079.                                                                                                   |               |                            |             |             |
| a Applied to 2017, but not more than line 2a                                                                                                                               |               |                            | 267,516.    |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2018 distributable amount                                                                                                                                     |               |                            |             | 133,563.    |
| e Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   | 0.            |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 0.            |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2017 Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                 |               |                            | 0.          |             |
| f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019                                                              |               |                            |             | 135,563.    |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2014                                                                                                                                                         |               |                            |             |             |
| b Excess from 2015                                                                                                                                                         |               |                            |             |             |
| c Excess from 2016                                                                                                                                                         |               |                            |             |             |
| d Excess from 2017                                                                                                                                                         |               |                            |             |             |
| e Excess from 2018                                                                                                                                                         |               |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE ATTACHMENT H

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT H

c Any submission deadlines

SEE ATTACHMENT H

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT H

**Part XV: Supplementary Information** (continued)

| <b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b> |                                                                                                                    |                                      |                                                             |                 |
|---------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|-----------------|
| Recipient<br>Name and address (home or business)                                      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                         | Amount          |
| <b>a Paid during the year</b>                                                         |                                                                                                                    |                                      |                                                             |                 |
| CANCER FOUNDATION FOR NEW MEXICO<br>P.O. BOX 5038<br>SANTA FE, NM 87502               | NONE                                                                                                               | PC                                   | 10/10 VISIONARY FUND<br>CHALLENGE 2018 &<br>CANCER RESEARCH | 5,000.          |
| CHILDREN'S DYSLEXIA CENTERS, INC.<br>20 NORTH ASHLAND AVENUE<br>LA GRANGE, IL 60525   | NONE                                                                                                               | PC                                   | CURRICULUM, SUPPLIES,<br>AND TECHNOLOGY                     | 5,000.          |
| DENT NEUROSCIENCES RESEARCH CTR INC<br>3980 SHERIDAN DR 6TH FL<br>AMHERST, NY 14226   | NONE                                                                                                               | PC                                   | MEDICAL RESEARCH                                            | 357,978.        |
| HUDSONIA LIMITED<br>P.O. BOX 5000, BARD COLLEGE<br>ANNANDALE, NY 12504                | NONE                                                                                                               | PC                                   | PROTECT THE NATURAL<br>HERITAGE OF THE HUDSON<br>VALLEY     | 2,500.          |
| LEWISTON COUNCIL ON THE ARTS<br>P.O. BOX 1<br>LEWISTON, NY 14092                      | NONE                                                                                                               | PC                                   | CULTURAL PROGRAMS                                           | 1,000.          |
| <b>Total</b>                                                                          | <b>SEE CONTINUATION SHEET(S)</b>                                                                                   |                                      |                                                             | <b>392,978.</b> |
| <b>b Approved for future payment</b>                                                  |                                                                                                                    |                                      |                                                             |                 |
| NONE                                                                                  |                                                                                                                    |                                      |                                                             |                 |
|                                                                                       |                                                                                                                    |                                      |                                                             |                 |
|                                                                                       |                                                                                                                    |                                      |                                                             |                 |
| <b>Total</b>                                                                          |                                                                                                                    |                                      |                                                             | <b>0.</b>       |

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                                       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                                                           | Amount  |
|--------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------------------------------------------------------------------------------|---------|
| MAKE-A-WISH FOUNDATION OF WESTERN NEW<br>YORK<br>100 SYLVAN PARKWAY, SUITE 101<br>AMHERST, NY 14228    | NONE                                                                                                               | PC                                   | WISH GRANTING                                                                                                 | 1,000.  |
| PLANNED PARENTHOOD OF THE MID-HUDSON<br>VALLEY INC<br>178 CHURCH STREET<br>POUGHKEEPSIE, NY 12601-4165 | NONE                                                                                                               | PC                                   | HEALTH AND HUMAN<br>SERVICES                                                                                  | 2,500.  |
| RONALD MCDONALD HOUSE OF WESTERN NEW<br>YORK INC.<br>780 W. FERRY STREET<br>BUFFALO, NY 14222-1617     | NONE                                                                                                               | PC                                   | SUPPORT HOME IN<br>BUFFALO, NY                                                                                | 7,500.  |
| THE SALVATION ARMY<br>960 MAIN STREET<br>BUFFALO, NY 14202                                             | NONE                                                                                                               | PC                                   | CHARITABLE -<br>UNRESTRICTED                                                                                  | 2,500.  |
| WESTERN NEW YORK HERITAGE PRESS, INC.<br>495 PINE RIDGE HERITAGE BLVD.<br>CHEEKTOWAGA, NY 14225        | NONE                                                                                                               | PC                                   | PRESERVE HERITAGE OF<br>EIGHT COUNTIES OF<br>WESTERN NEW YORK<br>THROUGH PUBLICATION OF<br>ORIGINAL RESEARCH. | 3,000.  |
| YOUNGSTOWN VOLUNTEER FIRE COMPANY<br>P.O. BOX 387<br>YOUNGSTOWN, NY 14174-0387                         | NONE                                                                                                               | PC                                   | THE DRIVE FOR LIFE<br>CAMPAIGN                                                                                | 2,000.  |
| BREAST CANCER CENTER OF WNY INC.<br>3297 WALDEN AVENUE<br>DEPEW, NY 14043                              | NONE                                                                                                               | PC                                   | TOTE BAGS FOR BREAST<br>CANCER PATIENTS                                                                       | 2,000.  |
| THE PINK PILLOW PROJECT<br>P.O. BOX 885<br>GETZVILLE, NY 14068                                         | NONE                                                                                                               | PC                                   | PINK PILLOWS FOR<br>BREAST CANCER PATIENTS                                                                    | 1,000.  |
|                                                                                                        |                                                                                                                    |                                      |                                                                                                               |         |
|                                                                                                        |                                                                                                                    |                                      |                                                                                                               |         |
| Total from continuation sheets                                                                         |                                                                                                                    |                                      |                                                                                                               | 21,500. |





## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| MONEY MARKET DIVIDENDS  | 286.                        | 286.                            |                               |
| TOTAL TO PART I, LINE 3 | 286.                        | 286.                            |                               |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                  | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| VARIOUS FUNDS-DIVIDENDS | 91,795.         | 772.                          | 91,023.                     | 91,023.                           |                               |
| VARIOUS FUNDS-INTEREST  | 51,663.         | 0.                            | 51,663.                     | 51,663.                           |                               |
| TO PART I, LINE 4       | 143,458.        | 772.                          | 142,686.                    | 142,686.                          |                               |

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES              | 15,000.                      | 7,500.                            |                               | 7,500.                        |
| TO FORM 990-PF, PG 1, LN 16B | 15,000.                      | 7,500.                            |                               | 7,500.                        |

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

| DESCRIPTION                   | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT AND CUSTODIAN FEES | 44,062.                      | 44,062.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C  | 44,062.                      | 44,062.                           |                               | 0.                            |

| FORM 990-PF                 | TAXES                        |                                   | STATEMENT 5                   |                               |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| FOREIGN TAXES               | 4,182.                       | 4,182.                            |                               | 0.                            |
| FEDERAL EXCISE TAX          | 2,474.                       | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 6,656.                       | 4,182.                            |                               | 0.                            |

| FORM 990-PF                 | OTHER EXPENSES               |                                   | STATEMENT 6                   |                               |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| NYS FILING FEE              | 250.                         | 0.                                |                               | 250.                          |
| MISCELLANEOUS               | 45.                          | 0.                                |                               | 45.                           |
| CONFERENCE CALL FEE         | 56.                          | 0.                                |                               | 56.                           |
| TO FORM 990-PF, PG 1, LN 23 | 351.                         | 0.                                |                               | 351.                          |

| FORM 990-PF                                       | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS |                |            | STATEMENT 7          |
|---------------------------------------------------|--------------------------------------------|----------------|------------|----------------------|
| DESCRIPTION                                       | U.S.<br>GOV'T                              | OTHER<br>GOV'T | BOOK VALUE | FAIR MARKET<br>VALUE |
| U.S. TREASURY BONDS UBS 22530-SEE<br>ATTACHMENT A | X                                          |                | 787,755.   | 737,599.             |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                 |                                            |                | 787,755.   | 737,599.             |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS  |                                            |                |            |                      |
| TOTAL TO FORM 990-PF, PART II, LINE 10A           |                                            |                | 787,755.   | 737,599.             |

| FORM 990-PF                                | CORPORATE STOCK | STATEMENT 8       |
|--------------------------------------------|-----------------|-------------------|
| DESCRIPTION                                | BOOK VALUE      | FAIR MARKET VALUE |
| CORPORATE STOCK UBS 22528-SEE ATTACHMENT D | 448,757.        | 468,874.          |
| CORPORATE STOCK UBS 22524-SEE ATTACHMENT E | 468,238.        | 516,821.          |
| CORPORATE STOCK UBS 22523-SEE ATTACHMENT C | 817,081.        | 1,115,509.        |
| CORPORATE STOCK UBS 22526-SEE ATTACHMENT F | 186,611.        | 218,719.          |
| CORPORATE STOCK UBS 26148-SEE ATTACHMENT G | 452,817.        | 463,809.          |
| TOTAL TO FORM 990-PF, PART II, LINE 10B    | 2,373,504.      | 2,783,732.        |

| FORM 990-PF                                | CORPORATE BONDS | STATEMENT 9       |
|--------------------------------------------|-----------------|-------------------|
| DESCRIPTION                                | BOOK VALUE      | FAIR MARKET VALUE |
| CORPORATE BONDS UBS 22530-SEE ATTACHMENT A | 737,599.        | 768,048.          |
| TOTAL TO FORM 990-PF, PART II, LINE 10C    | 737,599.        | 768,048.          |

| FORM 990-PF                                      |                  | OTHER INVESTMENTS | STATEMENT 10      |
|--------------------------------------------------|------------------|-------------------|-------------------|
| DESCRIPTION                                      | VALUATION METHOD | BOOK VALUE        | FAIR MARKET VALUE |
| EXCHANGE TRADED FUNDS UBS 26152-SEE ATTACHMENT B | COST             | 939,374.          | 1,013,077.        |
| TOTAL TO FORM 990-PF, PART II, LINE 13           |                  | 939,374.          | 1,013,077.        |

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

| NAME AND ADDRESS                                                 | TITLE AND<br>AVRG HRS/WK        | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN<br>CONTRIB | EXPENSE<br>ACCOUNT |
|------------------------------------------------------------------|---------------------------------|-------------------|---------------------------------|--------------------|
| HARRY M. DENT III<br>1809 N. CLEVELAND AVE<br>CHICAGO, IL 60614  | PRESIDENT/DIRECTOR<br>1.00      | 0.                | 0.                              | 0.                 |
| SUSAN L. KIMBERLY<br>56 LEXINGTON AVENUE<br>BUFFALO, NY 14222    | TREASURER/DIRECTOR<br>1.00      | 0.                | 0.                              | 0.                 |
| HEIDI A.H. DENT<br>3819 LOWER RIVER RD<br>YOUNGSTOWN, NY 14174   | VICE PRESIDENT/DIRECTOR<br>1.00 | 0.                | 0.                              | 0.                 |
| DALE B. DEMYANICK<br>369 FRANKLIN STREET<br>BUFFALO, NY 14202    | DIRECTOR<br>1.00                | 250.              | 0.                              | 0.                 |
| PETER H. DENT<br>P.O. BOX 4639<br>SANTA FE, NM 87505             | DIRECTOR<br>1.00                | 0.                | 0.                              | 0.                 |
| CHRISTOPHER D. LENAHA<br>112 WINGATE AVENUE<br>BUFFALO, NY 14216 | DIRECTOR<br>1.00                | 0.                | 0.                              | 0.                 |
| SCOTT STRAUSSER<br>806 WILSON LANE<br>HINSDALE, IL 60521         | DIRECTOR<br>1.00                | 250.              | 0.                              | 0.                 |
| GEORGIA D. KNISLEY<br>27 LAMOREE ROAD<br>RHINEBECK, NY 12572     | DIRECTOR<br>1.00                | 0.                | 0.                              | 0.                 |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII                     |                                 | 500.              | 0.                              | 0.                 |

**Fixed income**

**Corporate bonds and notes**

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

| Holding                          | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| UNITED TECHNOLOGIES CORP         |            |                                   |                     |                          |                      |                      |                              |                |
| +15BPS                           |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04 500% MATURES 04/15/20    |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$44.00         |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 913017BR9                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa1 S&P: BBB+            |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$495 Current yield 4.45%    |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$24,528.46 |            |                                   |                     |                          |                      |                      |                              |                |
|                                  | Nov 10, 14 | 22,000,000                        | 101,017             | 22,223.93                | 101,193              | 22,262.46            | 38,53                        | LT             |

continued next page

**Your assets • Fixed income • Corporate bonds and notes (continued)**

| Holding                          | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| <b>UNITEDHEALTH GROUP INC</b>    |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+15BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 02 700% MATURES 07/15/20    |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$135.15        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 91324PCM2                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A3 S&P: A+                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI: \$459 Current yield: 2.68%  |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$12,295.56 |            |                                   |                     |                          |                      |                      |                              |                |
|                                  | Apr 21, 17 | 12,000.000                        | 100.549             | 12,065.99                | 100.609              | 12,073.08            | 7.09                         | LT             |
|                                  | Oct 10, 18 | 5,000.000                         | 99.345              | 4,967.25                 | 100.609              | 5,030.45             | 63.20                        | LT             |
| Security total                   |            | 17,000.000                        |                     | 17,033.24                |                      | 17,103.53            | 70.29                        |                |
| <b>CHEVRON CORP NTS B/E</b>      |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+12BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 02 419% MATURES 11/17/20    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$264.47        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 166764AY6                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Aa2 S&P: AA               |            |                                   |                     |                          |                      |                      |                              |                |
| EAI: \$581 Current yield: 2.40%  |            |                                   |                     |                          |                      |                      |                              |                |
| BB&T CORP MED TERM NTS           |            |                                   |                     |                          |                      |                      |                              |                |
| B/E                              |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03 200% MATURES 09/03/21    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$128.89        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 05531FBD4                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A2 S&P: A-                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI: \$800 Current yield: 3.13%  |            |                                   |                     |                          |                      |                      |                              |                |
|                                  | Jun 04, 18 | 22,000.000                        | 99.702              | 21,934.44                | 102.186              | 22,480.92            | 546.48                       | LT             |
|                                  | Oct 10, 18 | 3,000.000                         | 99.624              | 2,988.72                 | 102.186              | 3,065.58             | 76.86                        | LT             |
| Security total                   |            | 25,000.000                        |                     | 24,923.16                |                      | 25,546.50            | 623.34                       |                |

continued next page

**Your assets • Fixed income • Corporate bonds and notes (continued)**

| Holding                          | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| <b>GILEAD SCIENCES INC NTS</b>   |            |                                   |                     |                          |                      |                      |                              |                |
| 408P                             |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04.400% MATURES 12/01/21    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$458.33        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 375558AU7                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A3 S&P: A                 |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$1,100 Current yield: 4.21% | Jul 11, 16 | 20,000,000                        | 105,372             | 21,074.58                | 104,472              | 20,894.40            | -180.18                      | LT             |
| Original cost basis: \$22,723.60 |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$5,151.25  | Oct 10, 18 | 5,000,000                         | 102,035             | 5,101.75                 | 104,472              | 5,223.60             | 121.85                       | LT             |
| Security total                   |            | 25,000,000                        |                     | 26,176.33                |                      | 26,118.00            | -58.33                       |                |
| <b>JOHN DEERE CPTL CORP</b>      |            |                                   |                     |                          |                      |                      |                              |                |
| MED TERM NTS                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 02.650% MATURES 01/06/22    |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$262.42        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 24422ETL3                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A2 S&P: A                 |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$822 Current yield: 2.60%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$31,180.58 | Mar 26, 19 | 31,000,000                        | 100,460             | 31,142.69                | 101,816              | 31,562.96            | 420.27                       | ST             |
| PNC FUNDING CORP NTS B/E         |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.300% MATURES 03/08/22    |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$77.73         |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 693476BN2                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A3 S&P: A-                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$528 Current yield: 3.20%   | Jan 10, 17 | 13,000,000                        | 101,466             | 13,190.69                | 103,009              | 13,391.17            | 200.48                       | LT             |
| Original cost basis: \$13,403.52 | Oct 10, 18 | 3,000,000                         | 99,259              | 2,977.77                 | 103,009              | 3,090.27             | 112.50                       | LT             |
| Security total                   |            | 16,000,000                        |                     | 16,168.46                |                      | 16,481.44            | 312.98                       |                |
| <b>WELLPOINT INC B/E</b>         |            |                                   |                     |                          |                      |                      |                              |                |
| 08P                              |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.125% MATURES 05/15/22    |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$216.14        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 94973VAX5                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa2 S&P: A               |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$469 Current yield: 3.05%   | Apr 15, 13 | 10,000,000                        | 100,628             | 10,062.88                | 102,594              | 10,259.40            | 196.52                       | LT             |
| Original cost basis: \$10,205.50 |            |                                   |                     |                          |                      |                      |                              |                |

continued next page

**Your assets • Fixed income • Corporate bonds and notes (continued)**

| Holding                          | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| Security total                   | Apr 01, 14 | 5,000,000                         | 97 100              | 4,855 00                 | 102 594              | 5,129 70             | 274 70                       | LT             |
| Security total                   |            | 15,000 000                        |                     | 14,917 88                |                      | 15,389 10            | 471 22                       |                |
| ORACLE CORP B/E                  |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+12 5BP                   |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 02 500% MATURES 10/15/22    |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$26.66         |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 68389XAPO                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A1 S&P A+                 |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$600 Current yield 2.46%    |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$24,170 64 |            |                                   |                     |                          |                      |                      |                              |                |
|                                  | Jul 09, 19 | 24,000 000                        | 100 645             | 24,154 88                | 101.819              | 24,436.56            | 281 68                       | ST             |
| ACE INA HOLDINGS INC NTS         |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+20BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 02 875% MATURES 11/03/22    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$213 22        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 00440EAU1                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A3 S&P A                  |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$431 Current yield 2.80%    |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$10,061 10  |            |                                   |                     |                          |                      |                      |                              |                |
|                                  | Jan 22, 16 | 10,000 000                        | 100 284             | 10,028 49                | 102 857              | 10,285 70            | 257 21                       | LT             |
|                                  | Oct 10, 18 | 5,000,000                         | 97 572              | 4,878.60                 | 102 857              | 5,142 85             | 264 25                       | LT             |
| Security total                   |            | 15,000 000                        |                     | 14,907 09                |                      | 15,428.55            | 521.46                       |                |
| VERIZON COMMUNICATIONS           |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+40BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 05 150% MATURES 09/15/23    |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$184 25        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 92343VBR4                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody Baa1 S&P- BBB+             |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$1,442 Current yield 4.61%  |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$18,341.30 |            |                                   |                     |                          |                      |                      |                              |                |
|                                  | Nov 06, 13 | 17,000,000                        | 103.484             | 17,592 40                | 111 688              | 18,986.96            | 1,394.56                     | LT             |
|                                  | Feb 20, 14 | 8,000 000                         | 103 993             | 8,319 48                 | 111 688              | 8,935 04             | 615.56                       | LT             |
|                                  | Oct 10, 18 | 3,000 000                         | 105 015             | 3,150 45                 | 111 688              | 3,350 64             | 200.19                       | LT             |
| Security total                   |            | 28,000,000                        |                     | 29,062.33                |                      | 31,272 64            | 2,210 31                     |                |

continued next page

**Your assets • Fixed income • Corporate bonds and notes (continued)**

| Holding                         | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| METLIFE INC B/E                 |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MMW+15 BP                  |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03 600% MATURES 04/10/24   |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$35.70        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 59156R8H0                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A3 S&P A-                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$612 Current yield 3.39%   | Apr 09, 14 | 14,000 000                        | 100 118             | 14,016 53                | 106 291              | 14,880.74            | 864 21                       | LT             |
| Original cost basis \$14,033 88 |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$3,003 27  | Oct 10, 18 | 3,000 000                         | 100 089             | 3,002 69                 | 106.291              | 3,188 73             | 186 04                       | LT             |
| Security total                  |            | 17,000 000                        |                     | 17,019.22                |                      | 18,069.47            | 1,050.25                     |                |
| NOBLE ENERGY INC B/E            |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MMW+25BP                   |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.900% MATURES 11/15/24   |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                        |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$269 74       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 655044AH8                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody Baa3 S&P BBB              |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$585 Current yield 3.70%   | Oct 08, 19 | 15,000 000                        | 105 493             | 15,824.05                | 105.360              | 15,804.00            | -20 05                       | ST             |
| Original cost basis \$15,833.85 |            |                                   |                     |                          |                      |                      |                              |                |
| CAPITAL ONE FINANCIAL CO        |            |                                   |                     |                          |                      |                      |                              |                |
| B/E                             |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.200% MATURES 02/05/25   |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                        |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$183 46       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 14040HBG9                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody Baa1 S&P BBB              |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$768 Current yield 3.09%   | Feb 02, 17 | 24,000 000                        | 97 132              | 23,311.68                | 103 514              | 24,843.36            | 1,531 68                     | LT             |
| ENTERPRISE PRODUCTS OPER        |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MMW+20BP                   |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.750% MATURES 02/15/25   |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                        |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$182 08       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 29379VBE2                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa1 S&P BBB+            |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$863 Current yield 3.52%   | Aug 30, 16 | 23,000 000                        | 103 388             | 23,779 24                | 106.626              | 24,523 98            | 744 74                       | LT             |
| Original cost basis \$24,190.02 |            |                                   |                     |                          |                      |                      |                              |                |

continued next page

## Your assets • Fixed income • Corporate bonds and notes (continued)

| Holding                          | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| MCDONALD'S CORP NTS B/E          |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+20BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03 375% MATURES 05/26/25    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$232.50        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 58013MEU4                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa1 S&P BBB+             |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$540 Current yield 3 18%    |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$16,970 40 | Sep 08, 16 | 16,000 000                        | 104 029             | 16,644 79                | 106 097              | 16,975 52            | 330.73                       | LT             |
| AMERICAN INTL GROUP B/E          |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+25BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.750% MATURES 07/10/25    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$173.43        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 026874DD6                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody Baa1 S&P BBB+              |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$563 Current yield: 3.51%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$15,086 70  | Aug 31, 15 | 15,000.000                        | 100 358             | 15,053.79                | 106 980              | 16,047 00            | 993 21                       | LT             |
| WALT DISNEY COMPANY/THE          |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+15BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.000% MATURES 02/13/26    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$214.50        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 25468PDK9                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A2 S&P A                  |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$990 Current yield 2 84%    | Dec 18, 18 | 33,000.000                        | 96 233              | 31,756 89                | 105 629              | 34,857 57            | 3,100 68                     | ST             |
| OCCIDENTAL PETROLEUM COR         |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+25BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.400% MATURES 04/15/26    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$22 66         |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 674599CH6                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa3 S&P BBB              |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$510 Current yield 3 34%    |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$15,223 35 | Nov 16, 16 | 15,000 000                        | 101 068             | 15,160.23                | 101 786              | 15,267 90            | 107 67                       | LT             |

continued next page

**Your assets • Fixed income • Corporate bonds and notes (continued)**

| Holding                         | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| <b>BANK OF AMER CORP NTS</b>    |            |                                   |                     |                          |                      |                      |                              |                |
| B/E                             |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.500% MATURES 04/19/26   |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$29.16        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 06051GFX2                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A2 S&P A-                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$875 Current yield 3.29%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$19,417.81 | Aug 29, 17 | 19,000,000                        | 101,701             | 19,323.26                | 106,332              | 20,203.08            | 879.82                       | LT             |
|                                 | Oct 10, 18 | 6,000,000                         | 95,538              | 5,732.28                 | 106,332              | 6,379.92             | 647.64                       | LT             |
| Security total                  |            | 25,000,000                        |                     | 25,055.54                |                      | 26,583.00            | 1,527.46                     |                |
| <b>WELLS FARGO &amp; CO B/E</b> |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.000% MATURES 10/23/26   |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$10.66        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 949746SH5                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A2 S&P A-                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$480 Current yield 2.92%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$16,164.80 | Jul 30, 19 | 16,000,000                        | 100,997             | 16,159.57                | 102,756              | 16,440.96            | 281.39                       | ST             |
| <b>US BANCORP MED TERM NTS</b>  |            |                                   |                     |                          |                      |                      |                              |                |
| B/E                             |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.150% MATURES 04/27/27   |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                        |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$8.05         |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 91159HHR4                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody A1 S&P A+                 |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$725 Current yield 2.98%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$13,096.33 | Oct 20, 17 | 13,000,000                        | 100,600             | 13,078.05                | 105,832              | 13,758.16            | 680.11                       | LT             |
| Original cost basis \$7,051.87  | Oct 20, 17 | 7,000,000                         | 100,600             | 7,042.04                 | 105,832              | 7,408.24             | 366.20                       | LT             |
|                                 | Oct 10, 18 | 3,000,000                         | 94,406              | 2,832.18                 | 105,832              | 3,174.96             | 342.78                       | LT             |
| Security total                  |            | 23,000,000                        |                     | 22,952.27                |                      | 24,341.36            | 1,389.09                     |                |

continued next page

## Your assets • Fixed income • Corporate bonds and notes (continued)

| Holding                         | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| AMERICAN EXPRESS CREDIT         |            |                                   |                     |                          |                      |                      |                              |                |
| B/E                             |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03.300% MATURES 05/03/27   |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                        |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$375.28       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 0258MOEL9                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A2 S&P: A-               |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$759 Current yield 3.07%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$16,354.56 | May 28, 19 | 16,000.000                        | 102.109             | 16,337.58                | 107.509              | 17,201.44            | 863.86                       | ST             |
| Original cost basis \$7,155.12  | May 28, 19 | 7,000.000                         | 102.110             | 7,147.74                 | 107.509              | 7,525.63             | 377.89                       | ST             |
| Security total                  |            | 23,000.000                        |                     | 23,485.32                |                      | 24,727.07            | 1,241.75                     |                |
| GENL ELEC CAP CORP              |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 06.750% MATURES 03/15/32   |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$189.75       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 36962GXZ2                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa1 S&P: BBB+           |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$1,485 Current yield 5.30% |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$5,087.40 | Feb 23, 10 | 5,000.000                         | 101.267             | 5,063.38                 | 127.379              | 6,368.95             | 1,305.57                     | LT             |
| Original cost basis: \$5,575.95 | Jun 29, 11 | 5,000.000                         | 108.412             | 5,420.63                 | 127.379              | 6,368.95             | 948.32                       | LT             |
| Original cost basis: \$8,825.18 | Feb 20, 14 | 7,000.000                         | 120.025             | 8,401.75                 | 127.379              | 8,916.53             | 514.78                       | LT             |
| Original cost basis: \$5,921.50 | Oct 10, 18 | 5,000.000                         | 117.367             | 5,868.39                 | 127.379              | 6,368.95             | 500.56                       | LT             |
| Security total                  |            | 22,000.000                        |                     | 24,754.15                |                      | 28,023.38            | 3,269.23                     |                |
| CVS HEALTH CORP                 |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+30BP                    |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04.780% MATURES 03/25/38   |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                        |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$66.92        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 126650CY4                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa2 S&P: BBB            |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$669 Current yield 4.31%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$15,232.28 | Sep 17, 19 | 14,000.000                        | 108.762             | 15,226.76                | 110.992              | 15,538.88            | 312.12                       | ST             |

continued next page

## Your assets • Fixed income • Corporate bonds and notes (continued)

| Holding                         | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| AT&T INC NTS B/E                |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@ MW+25BP                   |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 05.350% MATURES 09/01/40   |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$133.75       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 04650NAB0                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa2 S&P BBB             |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$803 Current yield 4.52%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$16,126.20 | Apr 27, 16 | 15,000.000                        | 106.883             | 16,032.48                | 118.452              | 17,767.80            | 1,735.32                     | LT             |
| JP MORGAN CHASE B/E             |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 05.400% MATURES 01/06/42   |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$327.75       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 481268AA1                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A2 S&P A-                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$1,026 Current yield 4.10% |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$2,398.82 | Apr 16, 13 | 2,000.000                         | 117.230             | 2,344.60                 | 131.710              | 2,634.20             | 289.60                       | LT             |
| Original cost basis \$3,145.95  | Nov 12, 13 | 3,000.000                         | 104.317             | 3,129.52                 | 131.710              | 3,951.30             | 821.78                       | LT             |
| Original cost basis: \$9,821.43 | Feb 20, 14 | 9,000.000                         | 108.098             | 9,728.82                 | 131.710              | 11,853.90            | 2,125.08                     | LT             |
| Original cost basis: \$5,516.05 | Oct 10, 18 | 5,000.000                         | 110.051             | 5,502.58                 | 131.710              | 6,585.50             | 1,082.92                     | LT             |
| Security total                  |            | 19,000.000                        |                     | 20,705.52                |                      | 25,024.90            | 4,319.38                     |                |
| COMCAST CORP NEW B/E            |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+30BP                    |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04.650% MATURES 07/15/42   |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$301.21       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 20030NBEO                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A3 S&P A-                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$1,023 Current yield 3.89% |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$5,315.80  | May 10, 13 | 5,000.000                         | 105.498             | 5,274.91                 | 119.384              | 5,969.20             | 694.29                       | LT             |
|                                 | Jul 15, 13 | 8,000.000                         | 98.326              | 7,866.08                 | 119.384              | 9,550.72             | 1,684.64                     | LT             |
|                                 | Feb 20, 14 | 6,000.000                         | 98.706              | 5,922.36                 | 119.384              | 7,163.04             | 1,240.68                     | LT             |
|                                 | Oct 10, 18 | 3,000.000                         | 96.875              | 2,906.25                 | 119.384              | 3,581.52             | 675.27                       | LT             |
| Security total                  |            | 22,000.000                        |                     | 21,969.60                |                      | 26,264.48            | 4,294.88                     |                |

continued next page

**Your assets • Fixed income • Corporate bonds and notes (continued)**

| Holding                          | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| <b>HOME DEPOT INC B/E</b>        |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+20BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04.200% MATURES 04/01/43    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$49.00         |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 437076BA9                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody A2 S&P A                   |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$588 Current yield 3.59%    | Jan 22, 16 | 11,000,000                        | 99,850              | 10,983.50                | 117.136              | 12,884.96            | 1,901.46                     | LT             |
|                                  | Oct 10, 18 | 3,000,000                         | 98,212              | 2,946.36                 | 117.136              | 3,514.08             | 567.72                       | LT             |
| Security total                   |            | 14,000,000                        |                     | 13,929.86                |                      | 16,399.04            | 2,469.18                     |                |
| <b>PRUDENTIAL FINL INC</b>       |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+20BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04.600% MATURES 05/15/44    |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$296.95        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 74432QCA1                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody A3 S&P A                   |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$644 Current yield 3.89%    |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$14,350.28  |            |                                   |                     |                          |                      |                      |                              |                |
|                                  | Sep 03, 14 | 14,000,000                        | 102,265             | 14,317.12                | 118.177              | 16,544.78            | 2,227.66                     | LT             |
| <b>GOLDMAN SACHS GROUP INC</b>   |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+25BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04.800% MATURES 07/08/44    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$301.33        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 38141EC31                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody A3 S&P BBB+                |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$960 Current yield 3.95%    |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis: \$16,699.65 |            |                                   |                     |                          |                      |                      |                              |                |
|                                  | Nov 14, 17 | 15,000,000                        | 110,848             | 16,627.20                | 121.596              | 18,239.40            | 1,612.20                     | LT             |
|                                  | Oct 10, 18 | 5,000,000                         | 99,073              | 4,953.65                 | 121.596              | 6,079.80             | 1,126.15                     | LT             |
| Security total                   |            | 20,000,000                        |                     | 21,580.85                |                      | 24,319.20            | 2,738.35                     |                |

continued next page

**Your assets • Fixed income • Corporate bonds and notes (continued)**

| Holding                          | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| EXXON MOBIL CORP                 |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+15BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 03 567% MATURES 03/06/45    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$81 74         |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 30231GAN2                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Aaa S&P AA+               |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$535 Current yield 3 30%    | Feb 21, 18 | 12,000 000                        | 94,915              | 11,389 80                | 108.108              | 12,972 96            | 1,583.16                     | LT             |
|                                  | Oct 10, 18 | 3,000.000                         | 90 341              | 2,710 23                 | 108 108              | 3,243 24             | 533.01                       | LT             |
| Security total                   |            | 15,000.000                        |                     | 14,100.03                |                      | 16,216.20            | 2,116 17                     |                |
| FEDEX CORP NTS B/E               |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+30BP                     |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04 750% MATURES 11/15/45    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$328 54        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 31428XBES                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Baa2 S&P BBB              |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$713 Current yield 4 41%    | Jan 22, 18 | 10,000.000                        | 111 304             | 11,130.43                | 107.822              | 10,782 20            | -348.23                      | LT             |
| Original cost basis: \$11,172 70 | Oct 10, 18 | 5,000.000                         | 97 877              | 4,893.85                 | 107.822              | 5,391.10             | 497 25                       | LT             |
| Security total                   |            | 15,000 000                        |                     | 16,024 28                |                      | 16,173.30            | 149 02                       |                |
| VISA INC B/E                     |            |                                   |                     |                          |                      |                      |                              |                |
| OBP                              |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04 300% MATURES 12/14/45    |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                         |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$212 73        |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 92826CAF9                  |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Aa3 S&P AA-               |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$559 Current yield 3 47%    | Aug 20, 19 | 13,000 000                        | 126 126             | 16,396.50                | 123 966              | 16,115 58            | -280 92                      | ST             |
| Original cost basis \$16,413 80  |            |                                   |                     |                          |                      |                      |                              |                |

continued next page

**Your assets • Fixed income • Corporate bonds and notes (continued)**

| Holding                         | Trade date | Total face value at maturity (\$) | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|-----------------------------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| MICROSOFT CORP B/E              |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+20BP                    |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04 250% MATURES 02/06/47   |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                        |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$140.48       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 594918CA0                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: Aaa S&P: AAA             |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$595 Current yield 3.42%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$16,504.46 | Jul 30, 19 | 14,000.000                        | 117,786             | 16,490.07                | 124,187              | 17,386.18            | 896.11                       | ST             |
| BURLINGTON NORTH SANTA FE       |            |                                   |                     |                          |                      |                      |                              |                |
| CALL@MW+15BP                    |            |                                   |                     |                          |                      |                      |                              |                |
| RATE 04 050% MATURES 06/15/48   |            |                                   |                     |                          |                      |                      |                              |                |
| CALLABLE                        |            |                                   |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$321.30       |            |                                   |                     |                          |                      |                      |                              |                |
| CUSIP 12189LBC4                 |            |                                   |                     |                          |                      |                      |                              |                |
| Moody: A3 S&P: A+               |            |                                   |                     |                          |                      |                      |                              |                |
| EAI \$851 Current yield 3.54%   |            |                                   |                     |                          |                      |                      |                              |                |
| Original cost basis \$24,870.93 | Aug 29, 19 | 21,000.000                        | 118,363             | 24,856.38                | 114,467              | 24,038.07            | -818.31                      | ST             |
| <b>Total</b>                    |            | <b>\$705,000.000</b>              |                     | <b>\$726,999.54</b>      |                      | <b>\$768,048.08</b>  | <b>\$41,048.54</b>           |                |

**Total accrued interest: \$6,499.93****Total estimated annual income: \$26,448****Total original cost: \$737,598.55****Asset backed securities**

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments, and if issued at a discount, accreted original issue discount (OID).

| Holding                         | Trade date | Quantity   | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| FNMA PL MA3278                  |            |            |                     |                          |                      |                      |                              |                |
| RATE 04.5000% MATURES 02/01/48  |            |            |                     |                          |                      |                      |                              |                |
| CURRENT PAR VALUE 45,252        |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$169.69       |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 31418CUC6                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$2,036 Current yield 4.27% | Mar 15, 18 | 70,000.000 | 105,156             | 47,585.33                | 105,353              | 47,674.33            | 89.00                        | LT             |

continued next page

**Your assets • Fixed income • Asset backed securities (continued)**

| Holding                          | Trade date | Quantity           | Purchase price(\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|--------------------|--------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| FNMA PL MA3448                   |            |                    |                    |                          |                      |                      |                              |                |
| RATE 05.0000% MATURES 08/01/48   |            |                    |                    |                          |                      |                      |                              |                |
| CURRENT PAR VALUE 30,636         |            |                    |                    |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$127.64        |            |                    |                    |                          |                      |                      |                              |                |
| CUSIP 31418CZN7                  |            |                    |                    |                          |                      |                      |                              |                |
| EAI \$1,532 Current yield .4 68% | Nov 28, 18 | 32,000 000         | 104 734            | 22,816 61                | 106 872              | 23,282.69            | 466 08                       | ST             |
|                                  | Aug 28, 19 | 13,000 000         | 107 312            | 9,497 41                 | 106 872              | 9,458.59             | -38.82                       | ST             |
| Security total                   |            | 45,000 000         |                    | 32,314.02                |                      | 32,741 30            | 427 26                       |                |
| FNMA PL MA3521                   |            |                    |                    |                          |                      |                      |                              |                |
| RATE 04.0000% MATURES 11/01/48   |            |                    |                    |                          |                      |                      |                              |                |
| CURRENT PAR VALUE 39,525         |            |                    |                    |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$131 74        |            |                    |                    |                          |                      |                      |                              |                |
| CUSIP 31418C4F8                  |            |                    |                    |                          |                      |                      |                              |                |
| EAI \$1,581 Current yield 3 85%  | May 14, 19 | 41,000 000         | 103 031            | 33,392 62                | 103 814              | 33,646 65            | 254 03                       | ST             |
|                                  | Jun 11, 19 | 5,000 000          | 103 531            | 4,092 03                 | 103 814              | 4,103 25             | 11 22                        | ST             |
|                                  | Oct 09, 19 | 4,000 000          | 104 312            | 3,298.32                 | 103 814              | 3,282 60             | -15 72                       | ST             |
| Security total                   |            | 50,000 000         |                    | 40,782.97                |                      | 41,032 48            | 249 53                       |                |
| <b>Total</b>                     |            | <b>165,000 000</b> |                    | <b>\$120,682.32</b>      |                      | <b>\$121,448.11</b>  | <b>\$765.79</b>              |                |

**Total accrued interest: \$429.07****Total estimated annual income: \$5,149****Total original cost: \$122,620.90** **(1)****Government securities**

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

| Holding                          | Trade date | Quantity   | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| U S TREASURY NOTE                |            |            |                     |                          |                      |                      |                              |                |
| RATE 2.6250% MATURES 08/31/20    |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$146.08        |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 9128284Y3                  |            |            |                     |                          |                      |                      |                              |                |
| EAI \$840 Current yield 2.60%    | Nov 19, 18 | 1,000 000  | 99.668              | 996 68                   | 100.836              | 1,008 36             | 11.68                        | ST             |
| Original cost basis: \$15,007 03 | Jan 17, 19 | 15,000 000 | 100.024             | 15,003 64                | 100 836              | 15,125 40            | 121 76                       | ST             |
| Original cost basis: \$16,112 50 | Jul 09, 19 | 16,000 000 | 100 510             | 16,081.75                | 100.836              | 16,133 76            | 52.01                        | ST             |
| Security total                   |            | 32,000 000 |                     | 32,082.07                |                      | 32,267 52            | 185 45                       |                |

continued next page

**Your assets • Fixed income • Government securities (continued)**

| Holding                         | Trade date | Quantity   | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| <b>U S TREASURY NOTE</b>        |            |            |                     |                          |                      |                      |                              |                |
| RATE 3 1250% MATURES 05/15/21   |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$459.23       |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828QN3                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$1,000 Current yield 3.05% |            |            |                     |                          |                      |                      |                              |                |
| Original cost basis: \$8,638.44 | Mar 10, 16 | 8,000,000  | 102.434             | 8,194.73                 | 102.316              | 8,185.28             | -9.45                        | LT             |
| Original cost basis \$6,025.78  | Oct 10, 18 | 6,000,000  | 100.258             | 6,015.49                 | 102.316              | 6,138.96             | 123.47                       | LT             |
| Original cost basis \$18,394.45 | Aug 01, 19 | 18,000,000 | 101.888             | 18,339.98                | 102.316              | 18,416.88            | 76.90                        | ST             |
| Security total                  |            | 32,000,000 |                     | 32,550.20                |                      | 32,741.12            | 190.92                       |                |
| <b>U S TREASURY NOTE</b>        |            |            |                     |                          |                      |                      |                              |                |
| RATE 1.2500% MATURES 10/31/21   |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828T67                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$500 Current yield 1.26%   | Dec 02, 16 | 2,000,000  | 97.199              | 1,943.98                 | 99.383               | 1,987.66             | 43.68                        | LT             |
|                                 | Mar 13, 17 | 16,000,000 | 96.293              | 15,406.88                | 99.383               | 15,901.28            | 494.40                       | LT             |
|                                 | Mar 23, 17 | 16,000,000 | 97.105              | 15,536.88                | 99.383               | 15,901.28            | 364.40                       | LT             |
|                                 | Oct 10, 18 | 6,000,000  | 94.961              | 5,697.66                 | 99.383               | 5,962.98             | 265.32                       | LT             |
| Security total                  |            | 40,000,000 |                     | 38,585.40                |                      | 39,753.20            | 1,167.80                     |                |
| <b>U S TREASURY NOTE</b>        |            |            |                     |                          |                      |                      |                              |                |
| RATE 2.1250% MATURES 06/30/22   |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$454.56       |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828XG0                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$1,360 Current yield 2.09% | Aug 15, 18 | 42,000,000 | 97.941              | 41,135.39                | 101.578              | 42,662.76            | 1,527.37                     | LT             |
|                                 | Oct 10, 18 | 5,000,000  | 96.890              | 4,844.53                 | 101.578              | 5,078.90             | 234.37                       | LT             |
|                                 | Mar 27, 19 | 17,000,000 | 99.902              | 16,983.40                | 101.578              | 17,268.26            | 284.86                       | ST             |
| Security total                  |            | 64,000,000 |                     | 62,963.32                |                      | 65,009.92            | 2,046.60                     |                |
| <b>U S TREASURY NOTE</b>        |            |            |                     |                          |                      |                      |                              |                |
| RATE 1.7500% MATURES 05/15/23   |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$522.38       |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828VB3                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$1,138 Current yield 1.74% | Oct 03, 17 | 12,000,000 | 98.574              | 11,828.91                | 100.758              | 12,090.96            | 262.05                       | LT             |
|                                 | Feb 14, 18 | 16,000,000 | 95.488              | 15,278.12                | 100.758              | 16,121.28            | 843.16                       | LT             |
|                                 | Jun 27, 18 | 30,000,000 | 95.558              | 28,667.58                | 100.758              | 30,227.40            | 1,559.82                     | LT             |
|                                 | Oct 10, 18 | 7,000,000  | 94.437              | 6,610.63                 | 100.758              | 7,053.06             | 442.43                       | LT             |
| Security total                  |            | 65,000,000 |                     | 62,385.24                |                      | 65,492.70            | 3,107.46                     |                |

continued next page

**Your assets • Fixed income • Government securities (continued)**

| Holding                          | Trade date | Quantity   | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|----------------------------------|------------|------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| <b>U S TREASURY NOTE</b>         |            |            |                     |                          |                      |                      |                              |                |
| RATE 2 2500% MATURES 11/15/24    |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$485.64        |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828G38                  |            |            |                     |                          |                      |                      |                              |                |
| EAI \$1,058 Current yield 2 18%  | Feb 01, 19 | 1,000 000  | 98.418              | 984 18                   | 103.379              | 1,033 79             | 49.61                        | ST             |
|                                  | Apr 18, 19 | 15,000 000 | 99 175              | 15,090.10 <sup>2</sup>   | 103 379              | 15,506 85            | 416.75                       | LT             |
| Original cost basis \$16,346.25  | Jun 18, 19 | 16,000 000 | 102.022             | 16,323 63                | 103.379              | 16,540.64            | 217.01                       | ST             |
| Original cost basis \$15,418.36  | Aug 02, 19 | 15,000 000 | 102 663             | 15,399 57                | 103.379              | 15,506.85            | 107.28                       | ST             |
| Security total                   |            | 47,000 000 |                     | 47,797.48                |                      | 48,588 13            | 790 65                       |                |
| <b>U S TREASURY NOTE</b>         |            |            |                     |                          |                      |                      |                              |                |
| RATE 2 0000% MATURES 02/15/25    |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$167.39        |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828J27                  |            |            |                     |                          |                      |                      |                              |                |
| EAI \$800 Current yield 1 96%    | Mar 28, 18 | 21,000 000 | 95 675              | 20,091.91                | 102.234              | 21,469.14            | 1,377 23                     | LT             |
|                                  | Oct 10, 18 | 3,000 000  | 93 465              | 2,803 95                 | 102.234              | 3,067.02             | 263 07                       | LT             |
|                                  | May 06, 19 | 16,000 000 | 98 199              | 15,711 88                | 102 234              | 16,357.44            | 645 56                       | ST             |
| Security total                   |            | 40,000 000 |                     | 38,607.74                |                      | 40,893 60            | 2,285.86                     |                |
| <b>FANNIE MAE NTS</b>            |            |            |                     |                          |                      |                      |                              |                |
| RATE 2.1250% MATURES 04/24/26    |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$25.20         |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 3135GOK36                  |            |            |                     |                          |                      |                      |                              |                |
| EAI \$1,296 Current yield. 2 06% | Jun 08, 16 | 22,000 000 | 100 588             | 22,129.37                | 102.908              | 22,639 76            | 510 39                       | LT             |
| Original cost basis \$22,190.74  | Sep 14, 17 | 2,000 000  | 98 534              | 2,035 42 <sup>2</sup>    | 102 908              | 2,058 16             | 22.74                        | LT             |
|                                  | Jul 23, 18 | 30,000 000 | 93 583              | 28,074 90                | 102 908              | 30,872 40            | 2,797 50                     | LT             |
|                                  | Oct 10, 18 | 7,000 000  | 92.290              | 6,460 30                 | 102.908              | 7,203 56             | 743 26                       | LT             |
| Security total                   |            | 61,000 000 |                     | 58,699.99                |                      | 62,773.88            | 4,073 89                     |                |
| <b>U S TREASURY NOTE</b>         |            |            |                     |                          |                      |                      |                              |                |
| RATE 2.0000% MATURES 11/15/26    |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$431.68        |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828U24                  |            |            |                     |                          |                      |                      |                              |                |
| EAI \$940 Current yield 1 95%    | Jan 25, 17 | 26,000 000 | 95 695              | 24,880 78                | 102 637              | 26,685 62            | 1,804 84                     | LT             |
|                                  | Oct 10, 18 | 5,000 000  | 91 464              | 4,573.24                 | 102.637              | 5,131 85             | 558 61                       | LT             |
|                                  | May 06, 19 | 16,000 000 | 97.144              | 15,543 12                | 102.637              | 16,421.92            | 878 80                       | ST             |

continued next page

**Your assets • Fixed income • Government securities (continued)**

| Holding                         | Trade date | Quantity   | Purchase price (\$) | Adjusted cost basis (\$) | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|------------|---------------------|--------------------------|----------------------|----------------------|------------------------------|----------------|
| Security total                  |            | 47,000,000 |                     | 44,997,14                |                      | 48,239,39            | 3,242,25                     |                |
| U S TREASURY NOTE               |            |            |                     |                          |                      |                      |                              |                |
| RATE 2.2500% MATURES 02/15/27   |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$150.65       |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828V98                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$720 Current yield 2.16%   | Sep 29, 17 | 12,000,000 | 99,636              | 11,956.41                | 104,406              | 12,528.72            | 572.31                       | LT             |
|                                 | Mar 29, 18 | 15,000,000 | 95,984              | 14,397.66                | 104,406              | 15,660.90            | 1,263.24                     | LT             |
|                                 | Oct 10, 18 | 5,000,000  | 93,019              | 4,650.98                 | 104,406              | 5,220.30             | 569.32                       | LT             |
| Security total                  |            | 32,000,000 |                     | 31,005.05                |                      | 33,409.92            | 2,404.87                     |                |
| U S TREASURY NOTE               |            |            |                     |                          |                      |                      |                              |                |
| RATE 2.6250% MATURES 02/15/29   |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$329.55       |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912828681                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$1,575 Current yield 2.43% | Jul 17, 19 | 15,000,000 | 104,546             | 15,681.92                | 108,117              | 16,217.55            | 535.63                       | ST             |
| Original cost basis \$15,701.37 | Sep 26, 19 | 30,000,000 | 108,042             | 32,412.79                | 108,117              | 32,435.10            | 22.31                        | ST             |
| Original cost basis \$32,436.33 | Oct 18, 19 | 15,000,000 | 107,561             | 16,134.18                | 108,117              | 16,217.55            | 83.37                        | ST             |
| Original cost basis \$16,134.48 |            | 60,000,000 |                     | 64,228.89                |                      | 64,870.20            | 641.31                       |                |
| Security total                  |            |            |                     |                          |                      |                      |                              |                |
| UNITED STATES T                 |            |            |                     |                          |                      |                      |                              |                |
| RATE 3.3750% MATURES 05/15/44   |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$712.96       |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912810RG5                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$1,553 Current yield 2.74% | Apr 05, 16 | 12,000,000 | 115,876             | 13,905.12                | 123,191              | 14,782.92            | 877.80                       | LT             |
| Original cost basis \$14,097.66 | Oct 18, 16 | 22,000,000 | 116,250             | 25,575.04                | 123,191              | 27,102.02            | 1,526.98                     | LT             |
| Original cost basis \$25,885.23 | Sep 14, 17 | 1,000,000  | 111,130             | 1,111.30                 | 123,191              | 1,231.91             | 120.61                       | LT             |
| Original cost basis \$1,117.89  | Oct 10, 18 | 11,000,000 | 99,628              | 11,503.00 <sup>2</sup>   | 123,191              | 13,551.01            | 2,048.01                     | LT             |
| Security total                  |            | 46,000,000 |                     | 52,094.46                |                      | 56,667.86            | 4,573.40                     |                |
| UNITED STATES T                 |            |            |                     |                          |                      |                      |                              |                |
| RATE 2.7500% MATURES 11/15/47   |            |            |                     |                          |                      |                      |                              |                |
| ACCRUED INTEREST \$833.52       |            |            |                     |                          |                      |                      |                              |                |
| CUSIP 912810RZ3                 |            |            |                     |                          |                      |                      |                              |                |
| EAI \$1,815 Current yield 2.46% | Apr 01, 19 | 13,000,000 | 97,484              | 12,672.97                | 111,977              | 14,557.01            | 1,884.04                     | ST             |
| Original cost basis \$15,325.78 | Jul 16, 19 | 15,000,000 | 102,156             | 15,323.49                | 111,977              | 16,796.55            | 1,473.06                     | ST             |
| Original cost basis \$16,375.00 | Jul 25, 19 | 16,000,000 | 102,328             | 16,372.58                | 111,977              | 17,916.32            | 1,543.74                     | ST             |

continued next page

**Your assets • Fixed income • Government securities (continued)**

| Holding                               | Trade date          | Quantity           | Purchase price (\$) | Adjusted cost basis (\$)           | Price on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------------|---------------------|--------------------|---------------------|------------------------------------|----------------------|----------------------|------------------------------|----------------|
| Original cost basis                   | \$24,310.00         |                    |                     |                                    |                      |                      |                              |                |
| Security total                        |                     | 22,000,000         | 110,489             | 24,307.74                          | 111,977              | 24,634.94            | 327.20                       | ST             |
| US TSY INFL PROT BOND                 |                     | 66,000,000         |                     | 68,676.78                          |                      | 73,904.82            | 5,228.04                     |                |
| RATE 1.0000% DUE 02/15/48             |                     |                    |                     |                                    |                      |                      |                              |                |
| CURRENT PAR VALUE                     | 13,525              |                    |                     |                                    |                      |                      |                              |                |
| ACCRUED INTEREST                      | \$28.29             |                    |                     |                                    |                      |                      |                              |                |
| CUSIP 912810S85                       |                     |                    |                     |                                    |                      |                      |                              |                |
| EAI \$135 Current yield 0.90%         |                     |                    |                     |                                    |                      |                      |                              |                |
| Original cost basis                   | \$13,488.08         |                    |                     |                                    |                      |                      |                              |                |
| Original cost basis                   |                     | 13,000,000         | 105,351             | 13,695.75                          | 111,718              | 15,109.85            | 1,414.10                     | ST             |
| UNITED STATES T                       |                     |                    |                     |                                    |                      |                      |                              |                |
| RATE 3.0000% MATURES 08/15/48         |                     |                    |                     |                                    |                      |                      |                              |                |
| ACCRUED INTEREST                      | \$87.88             |                    |                     |                                    |                      |                      |                              |                |
| CUSIP 912810SD1                       |                     |                    |                     |                                    |                      |                      |                              |                |
| EAI \$420 Current yield 2.55%         |                     |                    |                     |                                    |                      |                      |                              |                |
| Original cost basis                   | \$16,682.42         |                    |                     |                                    |                      |                      |                              |                |
| Original cost basis                   |                     | 14,000,000         | 119,091             | 16,672.85                          | 117,551              | 16,457.14            | -215.71                      | ST             |
| <b>Total</b>                          |                     | <b>659,000,000</b> |                     | <b>\$665,042.36</b>                |                      | <b>\$696,179.25</b>  | <b>\$31,136.89</b>           |                |
| <b>Total accrued interest:</b>        | <b>\$4,835.01</b>   |                    |                     |                                    |                      | <b>(2)</b>           |                              |                |
| <b>Total estimated annual income:</b> | <b>\$15,150</b>     |                    |                     |                                    |                      |                      |                              |                |
| <b>Total original cost:</b>           | <b>\$665,133.89</b> | <b>(1)</b>         |                     |                                    |                      |                      |                              |                |
|                                       |                     |                    | <b>Σ (1)</b>        | <b>Original cost: \$787,754.79</b> |                      | <b>Σ (2)</b>         | <b>FMV: \$737,598.55</b>     |                |

## Equities

### Closed end funds & Exchange traded products

*Total reinvested* is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

*Cost basis* is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

*Unrealized (tax) gain or loss* is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

*Investment return* is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

| Holding                          | Number of shares | Purchase price/ Average price per share (\$) | Client investment (\$) | Cost basis (\$) | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized (tax) gain or loss (\$) | Investment return (\$) | Holding period |
|----------------------------------|------------------|----------------------------------------------|------------------------|-----------------|--------------------------------|----------------------|------------------------------------|------------------------|----------------|
| ISHARES CORE S&P MIDCAP          |                  |                                              |                        |                 |                                |                      |                                    |                        |                |
| ETF                              |                  |                                              |                        |                 |                                |                      |                                    |                        |                |
| Symbol IJH                       |                  |                                              |                        |                 |                                |                      |                                    |                        |                |
| Trade date Oct 31, 17            | 570,000          | 182.875                                      | 104,239.13             | 104,239.13      | 195.310                        | 111,326.70           | 7,087.57                           |                        | LT             |
| Trade date Jun 28, 18            | 25,000           | 193.354                                      | 4,833.86               | 4,833.86        | 195.310                        | 4,882.75             | 48.89                              |                        | LT             |
| EAI \$1,726 Current yield: 1.49% |                  |                                              |                        |                 |                                |                      |                                    |                        |                |
| Security total                   | 595,000          | 183.316                                      | 109,072.99             | 109,072.99      |                                | 116,209.45           | 7,136.46                           | 7,136.46               |                |

continued next page

**Your assets • Equities • Closed end funds & Exchange traded products (continued)**

| Holding                                       | Number<br>of shares | Purchase price/<br>Average price<br>per share (\$) | Client<br>investment (\$) | Cost<br>basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized (tax)<br>gain or loss (\$) | Investment<br>return (\$) | Holding<br>period |
|-----------------------------------------------|---------------------|----------------------------------------------------|---------------------------|--------------------|-----------------------------------|-------------------------|---------------------------------------|---------------------------|-------------------|
| <b>ISHARES CORE S&amp;P SMALL-CAP ETF</b>     |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Symbol IJR                                    |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Trade date Oct 31, 17                         | 650 000             | 74 789                                             | 48,612.85                 | 48,612.85          | 79 420                            | 51,623.00               | 3,010.15                              |                           | LT                |
| Trade date Sep 12, 19                         | 35 000              | 79 689                                             | 2,789.12                  | 2,789.12           | 79 420                            | 2,779.70                | -9.42                                 |                           | ST                |
| EAI \$766 Current yield 1.41%                 |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Security total                                | 685 000             | 75 039                                             | 51,401.97                 | 51,401.97          |                                   | 54,402.70               | 3,000.73                              | 3,000.73                  |                   |
| <b>ISHARES S&amp;P 500 VALUE ETF</b>          |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Symbol IVE                                    |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Trade date Oct 31, 17                         | 1,520 000           | 109 247                                            | 166,055.44                | 166,055.44         | 122 280                           | 185,865.60              | 19,810.16                             |                           | LT                |
| Trade date Jun 28, 18                         | 420 000             | 109 579                                            | 46,023.53                 | 46,023.53          | 122 280                           | 51,357.60               | 5,334.07                              |                           | LT                |
| Trade date Jan 10, 19                         | 150 000             | 104 353                                            | 15,652.96                 | 15,652.96          | 122 280                           | 18,342.00               | 2,689.04                              |                           | ST                |
| Trade date Sep 12, 19                         | 105 000             | 120 640                                            | 12,667.20                 | 12,667.20          | 122 280                           | 12,839.40               | 172.20                                |                           | ST                |
| EAI \$6,019 Current yield 2.24%               |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Security total                                | 2,195 000           | 109 521                                            | 240,399.13                | 240,399.13         |                                   | 268,404.60              | 28,005.47                             | 28,005.47                 |                   |
| <b>ISHARES S&amp;P 500 GROWTH ETF</b>         |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Symbol IVW                                    |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Trade date Oct 31, 17                         | 1,045 000           | 148 199                                            | 154,868.55                | 154,868.55         | 183 120                           | 191,360.40              | 36,491.85                             |                           | LT                |
| Trade date Jun 28, 18                         | 170 000             | 161 489                                            | 27,453.16                 | 27,453.16          | 183 120                           | 31,130.40               | 3,677.24                              |                           | LT                |
| Trade date Jan 10, 19                         | 130 000             | 155 203                                            | 20,176.49                 | 20,176.49          | 183 120                           | 23,805.60               | 3,629.11                              |                           | ST                |
| Trade date Mar 21, 19                         | 100 000             | 173 325                                            | 17,332.56                 | 17,332.56          | 183 120                           | 18,312.00               | 979.44                                |                           | ST                |
| EAI \$3,560 Current yield 1.35%               |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Security total                                | 1,445 000           | 152 132                                            | 219,830.76                | 219,830.76         |                                   | 264,608.40              | 44,777.64                             | 44,777.64                 |                   |
| <b>ISHARES CORE MSCI EAFE ETF</b>             |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Symbol IEFA                                   |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Trade date Oct 31, 17                         | 2,180 000           | 65 220                                             | 142,179.60                | 142,179.60         | 63 230                            | 137,841.40              | -4,338.20                             |                           | LT                |
| Trade date Aug 29, 18                         | 15 000              | 64 698                                             | 970.47                    | 970.47             | 63 230                            | 948.45                  | -22.02                                |                           | LT                |
| EAI \$4,278 Current yield 3.08%               |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Security total                                | 2,195 000           | 65 216                                             | 143,150.07                | 143,150.07         |                                   | 138,789.85              | -4,360.22                             | -4,360.22                 |                   |
| <b>ISHARES CORE MSCI EMERGING MARKETS ETF</b> |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |
| Symbol IEMG                                   |                     |                                                    |                           |                    |                                   |                         |                                       |                           |                   |

continued next page

## Your assets • Equities • Closed end funds &amp; Exchange traded products (continued)

| Holding                      | Trade date            | Oct 31, 17 | Number of shares | Purchase price/<br>Average price per share (\$) | Client investment (\$) | Cost basis (\$) | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized (tax) gain or loss (\$) | Investment return (\$) | Holding period |
|------------------------------|-----------------------|------------|------------------|-------------------------------------------------|------------------------|-----------------|--------------------------------|----------------------|------------------------------------|------------------------|----------------|
| Trade date                   | Oct 31, 17            | 1,405,000  | 55,725           | 78,293.63                                       | 78,293.63              | 51 170          | 71,893.85                      | -6,399.78            |                                    |                        | LT             |
| Trade date                   | Jun 28, 18            | 250,000    | 51 186           | 12,858.78                                       | 12,858.78 <sup>2</sup> | 51 170          | 12,792.50                      | -66.28               |                                    |                        | LT             |
| Trade date                   | Aug 29, 18            | 20,000     | 53 268           | 1,065.36                                        | 1,065.36               | 51 170          | 1,023.40                       | -41.96               |                                    |                        | LT             |
| Trade date                   | Jan 10, 19            | 125,000    | 48.982           | 6,122.79                                        | 6,122.79               | 51 170          | 6,396.25                       | 273.46               |                                    |                        | ST             |
| Trade date                   | Mar 21, 19            | 60,000     | 52 509           | 3,150.56                                        | 3,150.56               | 51 170          | 3,070.20                       | -80.36               |                                    |                        | ST             |
| Trade date                   | Sep 12, 19            | 155,000    | 50 377           | 7,808.51                                        | 7,808.51               | 51 170          | 7,931.35                       | 122.84               |                                    |                        | ST             |
| EAI                          | \$2,720 Current yield | 2.64%      |                  |                                                 |                        |                 |                                |                      |                                    |                        |                |
| Security total               |                       | 2,015,000  | 54 243           | 109,299.63                                      | 109,299.63             |                 | 103,107.55                     | -6,192.08            |                                    | -6,192.08              |                |
| ISHARES CURRENCY HEDGED MSCI |                       |            |                  |                                                 |                        |                 |                                |                      |                                    |                        |                |
| EAFE ETF                     |                       |            |                  |                                                 |                        |                 |                                |                      |                                    |                        |                |
| Symbol                       | HEFA                  |            |                  |                                                 |                        |                 |                                |                      |                                    |                        |                |
| Trade date                   | Oct 31, 17            | 2,195,000  | 29.827           | 65,471.58                                       | 65,471.58              | 30.430          | 66,793.85                      | 1,322.27             |                                    |                        | LT             |
| Trade date                   | Aug 29, 18            | 25,000     | 29.897           | 747.43                                          | 747.43                 | 30.430          | 760.75                         | 13.32                |                                    |                        | LT             |
| EAI                          | \$2,014 Current yield | 2.98%      |                  |                                                 |                        |                 |                                |                      |                                    |                        |                |
| Security total               |                       | 2,220,000  | 29 828           | 66,219.01                                       | 66,219.01              |                 | 67,554.60                      | 1,335.59             |                                    | 1,335.59               |                |
| <b>Total</b>                 |                       |            |                  | <b>\$939,373.56</b>                             | <b>\$939,373.56</b>    |                 | <b>\$1,013,077.15</b>          | <b>\$73,703.59</b>   |                                    | <b>\$73,703.59</b>     |                |

**Total estimated annual income: \$21,083**<sup>2</sup> Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

**Equities****Common stock**

| Holding                     | Trade date | Number of shares | Purchase price/<br>Average price per share (\$) | Cost basis (\$) | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|-----------------------------|------------|------------------|-------------------------------------------------|-----------------|--------------------------------|----------------------|------------------------------|----------------|
| ALCON INC CHF               |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol ALC Exchange NYSE    |            |                  |                                                 |                 |                                |                      |                              |                |
| CHF Exchange rate 0.98675   | Oct 27, 15 | 32,000           | 55.029                                          | 1,760.95        | 59.270                         | 1,896.64             | 135.69                       | LT             |
| ALIBABA GROUP HLDG LTD SPON |            |                  |                                                 |                 |                                |                      |                              |                |
| ADR                         |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol BABA Exchange NYSE   | Oct 27, 15 | 201,000          | 79.485                                          | 15,976.55       | 176.670                        | 35,510.67            | 19,534.12                    | LT             |
|                             | Jan 7, 16  | 55,000           | 72.807                                          | 4,004.40        | 176.670                        | 9,716.85             | 5,712.45                     | LT             |
|                             | Sep 24, 19 | 85,000           | 174.079                                         | 14,796.79       | 176.670                        | 15,016.95            | 220.16                       | ST             |
| Security total              |            | 341,000          | 101.988                                         | 34,777.74       |                                | 60,244.47            | 25,466.73                    |                |
| ALPHABET INC CL A           |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol GOOGL Exchange OTC   | Aug 14, 14 | 2,000            | 582.955                                         | 1,165.91        | 1,258.800                      | 2,517.60             | 1,351.69                     | LT             |
|                             | Jan 15, 15 | 1,000            | 505.800                                         | 505.80          | 1,258.800                      | 1,258.80             | 753.00                       | LT             |
|                             | Jan 23, 15 | 2,000            | 543.085                                         | 1,086.17        | 1,258.800                      | 2,517.60             | 1,431.43                     | LT             |

continued next page

**Your assets › Equities › Common stock (continued)**

| Holding           | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                   | Jul 14, 15 | 6,000            | 588.105                                            | 3,528.63        | 1,258.800                         | 7,552.80                | 4,024.17                        | LT                |
|                   | Jul 14, 15 | 4,000            | 586.852                                            | 2,347.41        | 1,258.800                         | 5,035.20                | 2,687.79                        | LT                |
|                   | Jul 22, 15 | 4,000            | 711.400                                            | 2,845.60        | 1,258.800                         | 5,035.20                | 2,189.60                        | LT                |
|                   | Sep 24, 19 | 8,000            | 1,232.798                                          | 9,862.39        | 1,258.800                         | 10,070.40               | 208.01                          | ST                |
| Security total    |            | 27,000           | 790.441                                            | 21,341.91       |                                   | 33,987.60               | 12,645.69                       |                   |
| ALPHABET INC CL C |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol            | GOOG       | Exchange         | OTC                                                |                 |                                   |                         |                                 |                   |
|                   | Jan 15, 15 | 2,000            | 501.600                                            | 1,003.20        | 1,260.110                         | 2,520.22                | 1,517.02                        | LT                |
|                   | Jan 23, 15 | 2,000            | 540.360                                            | 1,080.72        | 1,260.110                         | 2,520.22                | 1,439.50                        | LT                |
|                   | Jul 14, 15 | 6,000            | 561.391                                            | 3,368.35        | 1,260.110                         | 7,560.66                | 4,192.31                        | LT                |
|                   | Jul 14, 15 | 5,000            | 564.348                                            | 2,821.74        | 1,260.110                         | 6,300.55                | 3,478.81                        | LT                |
|                   | Jul 22, 15 | 4,000            | 676.227                                            | 2,704.91        | 1,260.110                         | 5,040.44                | 2,335.53                        | LT                |
|                   | Sep 24, 19 | 8,000            | 1,233.080                                          | 9,864.64        | 1,260.110                         | 10,080.88               | 216.24                          | ST                |
| Security total    |            | 27,000           | 771.984                                            | 20,843.56       |                                   | 34,022.97               | 13,179.41                       |                   |
| AMAZON COM INC    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol            | AMZN       | Exchange         | OTC                                                |                 |                                   |                         |                                 |                   |
|                   | Dec 19, 14 | 2,000            | 300.455                                            | 600.91          | 1,776.660                         | 3,553.32                | 2,952.41                        | LT                |
|                   | Apr 27, 15 | 2,000            | 442.380                                            | 884.76          | 1,776.660                         | 3,553.32                | 2,668.56                        | LT                |
|                   | Oct 27, 15 | 24,000           | 614.590                                            | 14,750.16       | 1,776.660                         | 42,639.84               | 27,889.68                       | LT                |
|                   | Jul 25, 16 | 1,000            | 738.200                                            | 738.20          | 1,776.660                         | 1,776.66                | 1,038.46                        | LT                |
|                   | Sep 24, 19 | 11,000           | 1,764.317                                          | 19,407.49       | 1,776.660                         | 19,543.26               | 135.77                          | ST                |
| Security total    |            | 40,000           | 909.538                                            | 36,381.52       |                                   | 71,066.40               | 34,684.88                       |                   |
| AMER EXPRESS CO   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol            | AXP        | Exchange         | NYSE                                               |                 |                                   |                         |                                 |                   |
| EAI               | \$81       | Current yield    | 1.47%                                              |                 |                                   |                         |                                 |                   |
|                   | Sep 8, 16  | 17,000           | 66.500                                             | 1,130.51        | 117.280                           | 1,993.76                | 863.25                          | LT                |
|                   | Sep 24, 19 | 30,000           | 118.948                                            | 3,568.44        | 117.280                           | 3,518.40                | -50.04                          | ST                |
| Security total    |            | 47,000           | 99.978                                             | 4,698.95        |                                   | 5,512.16                | 813.21                          |                   |
| AMGEN INC         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol            | AMGN       | Exchange         | OTC                                                |                 |                                   |                         |                                 |                   |
| EAI               | \$545      | Current yield    | 2.72%                                              |                 |                                   |                         |                                 |                   |
|                   | Oct 27, 15 | 69,000           | 159.795                                            | 11,025.89       | 213.250                           | 14,714.25               | 3,688.36                        | LT                |
|                   | Sep 24, 19 | 25,000           | 197.731                                            | 4,943.28        | 213.250                           | 5,331.25                | 387.97                          | ST                |
| Security total    |            | 94,000           | 169.885                                            | 15,969.17       |                                   | 20,045.50               | 4,076.33                        |                   |
| AUTODESK INC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol            | ADSK       | Exchange         | OTC                                                |                 |                                   |                         |                                 |                   |
|                   | Oct 27, 15 | 219,000          | 53.926                                             | 11,809.84       | 147.360                           | 32,271.84               | 20,462.00                       | LT                |
|                   | Sep 24, 19 | 73,000           | 149.901                                            | 10,942.82       | 147.360                           | 10,757.28               | -185.54                         | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                |            | 292,000          | 77,920                                             | 22,752.66       |                                   | 43,029.12               | 20,276.46                       |                   |
| AUTOMATIC DATA PROCESSING INC |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ADP Exchange OTC       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$215 Current yield 1.95% | Oct 27, 15 | 50,000           | 89.776                                             | 4,488.83        | 162.230                           | 8,111.50                | 3,622.67                        | LT                |
|                               | Sep 24, 19 | 18,000           | 160.190                                            | 2,883.42        | 162.230                           | 2,920.14                | 36.72                           | ST                |
| Security total                |            | 68,000           | 108.415                                            | 7,372.25        |                                   | 11,031.64               | 3,659.39                        |                   |
| CERNER CORP                   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CERN Exchange OTC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$251 Current yield 1.07% | Dec 3, 15  | 29,000           | 59.481                                             | 1,724.96        | 67.120                            | 1,946.48                | 221.52                          | LT                |
|                               | Dec 4, 15  | 21,000           | 60.007                                             | 1,260.16        | 67.120                            | 1,409.52                | 149.36                          | LT                |
|                               | Dec 4, 15  | 2,000            | 60.080                                             | 120.16          | 67.120                            | 134.24                  | 14.08                           | LT                |
|                               | Dec 7, 15  | 10,000           | 59.740                                             | 597.40          | 67.120                            | 671.20                  | 73.80                           | LT                |
|                               | Dec 7, 15  | 1,000            | 59.640                                             | 59.64           | 67.120                            | 67.12                   | 7.48                            | LT                |
|                               | Dec 8, 15  | 8,000            | 60.125                                             | 481.00          | 67.120                            | 536.96                  | 55.96                           | LT                |
|                               | Dec 9, 15  | 10,000           | 59.971                                             | 599.71          | 67.120                            | 671.20                  | 71.49                           | LT                |
|                               | Dec 9, 15  | 5,000            | 60.548                                             | 302.74          | 67.120                            | 335.60                  | 32.86                           | LT                |
|                               | Dec 9, 15  | 3,000            | 60.570                                             | 181.71          | 67.120                            | 201.36                  | 19.65                           | LT                |
|                               | Dec 10, 15 | 7,000            | 60.072                                             | 420.51          | 67.120                            | 469.84                  | 49.33                           | LT                |
|                               | Feb 17, 16 | 54,000           | 51.561                                             | 2,784.32        | 67.120                            | 3,624.48                | 840.16                          | LT                |
|                               | Feb 17, 16 | 16,000           | 50.976                                             | 815.63          | 67.120                            | 1,073.92                | 258.29                          | LT                |
|                               | Feb 18, 16 | 14,000           | 52.026                                             | 728.37          | 67.120                            | 939.68                  | 211.31                          | LT                |
|                               | Feb 18, 16 | 3,000            | 52.373                                             | 157.12          | 67.120                            | 201.36                  | 44.24                           | LT                |
|                               | Apr 18, 16 | 6,000            | 58.053                                             | 348.32          | 67.120                            | 402.72                  | 54.40                           | LT                |
|                               | Apr 19, 16 | 13,000           | 58.003                                             | 754.05          | 67.120                            | 872.56                  | 118.51                          | LT                |
|                               | Apr 20, 16 | 4,000            | 58.385                                             | 233.54          | 67.120                            | 268.48                  | 34.94                           | LT                |
|                               | Apr 20, 16 | 2,000            | 58.395                                             | 116.79          | 67.120                            | 134.24                  | 17.45                           | LT                |
|                               | Apr 21, 16 | 8,000            | 58.276                                             | 466.21          | 67.120                            | 536.96                  | 70.75                           | LT                |
|                               | May 2, 16  | 13,000           | 56.292                                             | 731.80          | 67.120                            | 872.56                  | 140.76                          | LT                |
|                               | May 2, 16  | 1,000            | 56.310                                             | 56.31           | 67.120                            | 67.12                   | 10.81                           | LT                |
|                               | May 3, 16  | 7,000            | 56.584                                             | 396.09          | 67.120                            | 469.84                  | 73.75                           | LT                |
|                               | May 3, 16  | 1,000            | 56.410                                             | 56.41           | 67.120                            | 67.12                   | 10.71                           | LT                |
|                               | Feb 7, 18  | 29,000           | 63.356                                             | 1,837.34        | 67.120                            | 1,946.48                | 109.14                          | LT                |

continued next page

**Your assets › Equities › Common stock (continued)**

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                               | Feb 7, 18  | 2 000            | 63.445                                             | 126.89          | 67.120                            | 134.24                  | 7.35                            | LT                |
|                               | Sep 24, 19 | 80 000           | 68.370                                             | 5,469.62        | 67.120                            | 5,369.60                | -100.02                         | ST                |
| Security total                |            | 349 000          | 59.676                                             | 20,826.80       |                                   | 23,424.88               | 2,598.08                        |                   |
| CISCO SYSTEMS INC             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CSCO Exchange OTC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$889 Current yield 2.95% | Oct 27, 15 | 478 000          | 28.929                                             | 13,828.49       | 47.510                            | 22,709.78               | 8,881.29                        | LT                |
|                               | Sep 24, 19 | 157 000          | 49.630                                             | 7,791.91        | 47.510                            | 7,459.07                | -332.84                         | ST                |
| Security total                |            | 635 000          | 34.048                                             | 21,620.40       |                                   | 30,168.85               | 8,548.45                        |                   |
| COCA COLA CO COM              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol KO Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$869 Current yield 2.94% | Oct 27, 15 | 409 000          | 42.608                                             | 17,426.88       | 54.430                            | 22,261.87               | 4,834.99                        | LT                |
|                               | Sep 24, 19 | 134 000          | 54.308                                             | 7,277.38        | 54.430                            | 7,293.62                | 16.24                           | ST                |
| Security total                |            | 543 000          | 45.496                                             | 24,704.26       |                                   | 29,555.49               | 4,851.23                        |                   |
| COLGATE PALMOLIVE CO          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CL Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$514 Current yield 2.51% | Mar 29, 18 | 10 000           | 71.851                                             | 718.51          | 68.600                            | 686.00                  | -32.51                          | LT                |
|                               | Apr 2, 18  | 9 000            | 70.485                                             | 634.37          | 68.600                            | 617.40                  | -16.97                          | LT                |
|                               | Apr 2, 18  | 7 000            | 70.495                                             | 493.47          | 68.600                            | 480.20                  | -13.27                          | LT                |
|                               | Apr 3, 18  | 8 000            | 70.530                                             | 564.24          | 68.600                            | 548.80                  | -15.44                          | LT                |
|                               | Apr 3, 18  | 6 000            | 70.713                                             | 424.28          | 68.600                            | 411.60                  | -12.68                          | LT                |
|                               | Apr 4, 18  | 7 000            | 71.491                                             | 500.44          | 68.600                            | 480.20                  | -20.24                          | LT                |
|                               | Apr 4, 18  | 6 000            | 71.676                                             | 430.06          | 68.600                            | 411.60                  | -18.46                          | LT                |
|                               | Apr 5, 18  | 13 000           | 71.909                                             | 934.82          | 68.600                            | 891.80                  | -43.02                          | LT                |
|                               | Apr 5, 18  | 3 000            | 71.856                                             | 215.57          | 68.600                            | 205.80                  | -9.77                           | LT                |
|                               | Apr 6, 18  | 11 000           | 71.624                                             | 787.87          | 68.600                            | 754.60                  | -33.27                          | LT                |
|                               | Apr 6, 18  | 3 000            | 71.670                                             | 215.01          | 68.600                            | 205.80                  | -9.21                           | LT                |
|                               | Apr 9, 18  | 9 000            | 71.578                                             | 644.21          | 68.600                            | 617.40                  | -26.81                          | LT                |
|                               | Apr 9, 18  | 2 000            | 71.575                                             | 143.15          | 68.600                            | 137.20                  | -5.95                           | LT                |
|                               | Apr 9, 18  | 1 000            | 71.820                                             | 71.82           | 68.600                            | 68.60                   | -3.22                           | LT                |
|                               | Apr 10, 18 | 8 000            | 71.641                                             | 573.13          | 68.600                            | 548.80                  | -24.33                          | LT                |
|                               | Apr 10, 18 | 3 000            | 71.660                                             | 214.98          | 68.600                            | 205.80                  | -9.18                           | LT                |
|                               | Apr 11, 18 | 16 000           | 71.386                                             | 1,142.19        | 68.600                            | 1,097.60                | -44.59                          | LT                |
|                               | Apr 11, 18 | 1 000            | 71.410                                             | 71.41           | 68.600                            | 68.60                   | -2.81                           | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                         | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                                 | Apr 12, 18 | 9,000            | 71 212                                             | 640.91          | 68.600                            | 617.40                  | -23 51                          | LT                |
|                                 | Apr 12, 18 | 3,000            | 71 220                                             | 213.66          | 68.600                            | 205.80                  | -7 86                           | LT                |
|                                 | Apr 13, 18 | 8,000            | 71 486                                             | 571.89          | 68.600                            | 548.80                  | -23 09                          | LT                |
|                                 | Apr 13, 18 | 5,000            | 71 402                                             | 357.01          | 68.600                            | 343.00                  | -14 01                          | LT                |
|                                 | Apr 16, 18 | 6,000            | 72 291                                             | 433.75          | 68.600                            | 411.60                  | -22 15                          | LT                |
|                                 | Apr 16, 18 | 5,000            | 72 230                                             | 361 15          | 68.600                            | 343.00                  | -18 15                          | LT                |
|                                 | Apr 17, 18 | 6,000            | 72 148                                             | 432.89          | 68.600                            | 411.60                  | -21 29                          | LT                |
|                                 | Apr 17, 18 | 3,000            | 72 103                                             | 216 31          | 68.600                            | 205.80                  | -10 51                          | LT                |
|                                 | Apr 17, 18 | 3,000            | 72 130                                             | 216.39          | 68.600                            | 205.80                  | -10 59                          | LT                |
|                                 | Apr 17, 18 | 2,000            | 72 120                                             | 144.24          | 68.600                            | 137 20                  | -7 04                           | LT                |
|                                 | Apr 18, 18 | 10,000           | 72 256                                             | 722 56          | 68.600                            | 686 00                  | -36 56                          | LT                |
|                                 | Apr 18, 18 | 5,000            | 72 250                                             | 361 25          | 68.600                            | 343.00                  | -18 25                          | LT                |
|                                 | Apr 19, 18 | 11,000           | 69 898                                             | 768.88          | 68.600                            | 754.60                  | -14.28                          | LT                |
|                                 | Apr 19, 18 | 8,000            | 70 001                                             | 560 01          | 68.600                            | 548.80                  | -11 21                          | LT                |
|                                 | Apr 19, 18 | 6,000            | 69 798                                             | 418 79          | 68.600                            | 411.60                  | -7 19                           | LT                |
|                                 | Apr 20, 18 | 7,000            | 68 255                                             | 477 79          | 68.600                            | 480 20                  | 2 41                            | LT                |
|                                 | Sep 24, 19 | 79,000           | 72 697                                             | 5,743 11        | 68.600                            | 5,419.40                | -323 71                         | ST                |
| Security total                  |            | 299,000          | 71 639                                             | 21,420 12       |                                   | 20,511 40               | -908.72                         |                   |
| DANONE SPON ADR                 |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: DANON Exchange: OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$528 Current yield: 1.72% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | Oct 27, 15 | 1,318,000        | 13 966                                             | 18,408.06       | 16 500                            | 21,747 00               | 3,338 94                        | LT                |
|                                 | Jul 25, 17 | 53,000           | 15 080                                             | 799 25          | 16 500                            | 874.50                  | 75 25                           | LT                |
|                                 | Sep 24, 19 | 488,000          | 17 813                                             | 8,692 83        | 16 500                            | 8,052.00                | -640 83                         | ST                |
| Security total                  |            | 1,859,000        | 15 008                                             | 27,900.14       |                                   | 30,673 50               | 2,773 36                        |                   |
| DEERE AND CO                    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: DE Exchange: NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$535 Current yield: 1.75% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | Sep 27, 16 | 8,000            | 83 182                                             | 665.46          | 174 140                           | 1,393 12                | 727 66                          | LT                |
|                                 | Sep 28, 16 | 24,000           | 83 470                                             | 2,003 28        | 174.140                           | 4,179.36                | 2,176 08                        | LT                |
|                                 | Sep 28, 16 | 19,000           | 83 694                                             | 1,590.19        | 174.140                           | 3,308.66                | 1,718 47                        | LT                |
|                                 | Sep 29, 16 | 21,000           | 84 381                                             | 1,772 01        | 174 140                           | 3,656 94                | 1,884 93                        | LT                |
|                                 | Sep 29, 16 | 7,000            | 84 820                                             | 593 74          | 174.140                           | 1,218.98                | 625 24                          | LT                |
|                                 | Sep 30, 16 | 23,000           | 85 122                                             | 1,957 81        | 174.140                           | 4,005.22                | 2,047 41                        | LT                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                               | Sep 30, 16 | 5 000            | 85 092                                             | 425.46          | 174 140                           | 870 70                  | 445 24                          | LT                |
|                               | Oct 3, 16  | 9 000            | 85 304                                             | 767.74          | 174 140                           | 1,567 26                | 799 52                          | LT                |
|                               | Oct 3, 16  | 9 000            | 85 440                                             | 768 96          | 174 140                           | 1,567 26                | 798 30                          | LT                |
|                               | Oct 3, 16  | 7 000            | 85 374                                             | 597 62          | 174 140                           | 1,218 98                | 621 36                          | LT                |
|                               | Sep 24, 19 | 44 000           | 165 312                                            | 7,273.76        | 174 140                           | 7,662 16                | 388 40                          | ST                |
| Security total                |            | 176 000          | 104 637                                            | 18,416.03       |                                   | 30,648 64               | 12,232 61                       |                   |
| EXPEDITORS INTL WASH INC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol EXPD Exchange OTC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$409 Current yield 1.37% | Oct 27, 15 | 301 000          | 50 504                                             | 15,201 92       | 72 940                            | 21,954.94               | 6,753 02                        | LT                |
|                               | Sep 24, 19 | 108 000          | 72 192                                             | 7,796.80        | 72 940                            | 7,877 52                | 80 72                           | ST                |
| Security total                |            | 409 000          | 56 232                                             | 22,998 72       |                                   | 29,832 46               | 6,833 74                        |                   |
| FACEBOOK INC CL A             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol FB Exchange OTC        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Jun 12, 13 | 13 000           | 23 994                                             | 311.93          | 191.650                           | 2,491 45                | 2,179 52                        | LT                |
|                               | Nov 13, 13 | 14 000           | 47 842                                             | 669.80          | 191.650                           | 2,683.10                | 2,013 30                        | LT                |
|                               | Nov 13, 13 | 13 000           | 48 099                                             | 625.29          | 191.650                           | 2,491 45                | 1,866 16                        | LT                |
|                               | Feb 20, 14 | 21 000           | 69 268                                             | 1,454.64        | 191.650                           | 4,024.65                | 2,570.01                        | LT                |
|                               | Feb 20, 14 | 20 000           | 66 555                                             | 1,331.11        | 191 650                           | 3,833 00                | 2,501 89                        | LT                |
|                               | Jun 19, 14 | 34 000           | 64 759                                             | 2,201.82        | 191 650                           | 6,516 10                | 4,314 28                        | LT                |
|                               | Jul 28, 14 | 12 000           | 74 328                                             | 891 94          | 191 650                           | 2,299 80                | 1,407 86                        | LT                |
|                               | Jul 30, 14 | 13 000           | 74 789                                             | 972 26          | 191.650                           | 2,491 45                | 1,519 19                        | LT                |
|                               | Jul 30, 14 | 12 000           | 74 890                                             | 898.68          | 191 650                           | 2,299.80                | 1,401 12                        | LT                |
|                               | Aug 14, 14 | 9 000            | 74 186                                             | 667.68          | 191.650                           | 1,724.85                | 1,057 17                        | LT                |
|                               | Oct 27, 15 | 102 000          | 103 922                                            | 10,600.07       | 191 650                           | 19,548.30               | 8,948 23                        | LT                |
|                               | Sep 24, 19 | 88 000           | 185,019                                            | 16,281.75       | 191.650                           | 16,865.20               | 583 45                          | ST                |
| Security total                |            | 351 000          | 105 148                                            | 36,906.97       |                                   | 67,269.15               | 30,362 18                       |                   |
| FACTSET RESH SYSTEMS INC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol FDS Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$222 Current yield 1.14% | Oct 27, 15 | 57 000           | 173 345                                            | 9,880.69        | 253 520                           | 14,450.64               | 4,569 95                        | LT                |
|                               | Sep 24, 19 | 20 000           | 272,571                                            | 5,451 42        | 253 520                           | 5,070 40                | -381 02                         | ST                |
| Security total                |            | 77 000           | 199 118                                            | 15,332.11       |                                   | 19,521 04               | 4,188 93                        |                   |
| MERCCK & CO INC NEW COM       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MRK Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$354 Current yield 2.54% | Oct 27, 15 | 130 000          | 53 446                                             | 6,948 10        | 86 660                            | 11,265 80               | 4,317 70                        | LT                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                              | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                       | Sep 24, 19 | 31 000           | 84 710                                             | 2,626.01        | 86.660                            | 2,686.46                | 60.45                           | ST                |
| Security total                       |            | 161,000          | 59 467                                             | 9,574.11        |                                   | 13,952.26               | 4,378.15                        |                   |
| <b>MICROSOFT CORP</b>                |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MSFT Exchange: OTC            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$636 Current yield 1.42%        | Oct 27, 15 | 234 000          | 53.729                                             | 12,572.62       | 143.370                           | 33,548.58               | 20,975.96                       | LT                |
|                                      | Sep 24, 19 | 78,000           | 138.957                                            | 10,838.65       | 143.370                           | 11,182.86               | 344.21                          | ST                |
| Security total                       |            | 312 000          | 75.036                                             | 23,411.27       |                                   | 44,731.44               | 21,320.17                       |                   |
| <b>MONSTER BEVERAGE CORP NEW COM</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MNST Exchange: OTC            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                      | Oct 27, 15 | 459 000          | 46.791                                             | 21,477.51       | 56.130                            | 25,763.67               | 4,286.16                        | LT                |
|                                      | Aug 8, 19  | 1 000            | 57.980                                             | 57.98           | 56.130                            | 56.13                   | -1.85                           | ST                |
|                                      | Aug 8, 19  | 1 000            | 59.950                                             | 59.95           | 56.130                            | 56.13                   | -3.82                           | ST                |
|                                      | Sep 24, 19 | 195,000          | 58.757                                             | 11,457.69       | 56.130                            | 10,945.35               | -512.34                         | ST                |
| Security total                       |            | 656,000          | 50.386                                             | 33,053.13       |                                   | 36,821.28               | 3,768.15                        |                   |
| <b>NOVARTIS AG SPON ADR</b>          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol NVS Exchange: NYSE            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$381 Current yield 2.10%        | Oct 27, 15 | 163 000          | 79.083                                             | 12,890.69       | 87.440                            | 14,252.72               | 1,362.03                        | LT                |
|                                      | Sep 24, 19 | 44 000           | 86.938                                             | 3,825.28        | 87.440                            | 3,847.36                | 22.08                           | ST                |
| Security total                       |            | 207,000          | 80.753                                             | 16,715.97       |                                   | 18,100.08               | 1,384.11                        |                   |
| <b>NOVO NORDISK ADR DENMARK ADR</b>  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol NVO Exchange: NYSE            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$508 Current yield 1.58%        | Oct 27, 15 | 232 000          | 55.984                                             | 12,988.43       | 55.220                            | 12,811.04               | -177.39                         | LT                |
|                                      | Aug 5, 16  | 36 000           | 49.459                                             | 1,780.54        | 55.220                            | 1,987.92                | 207.38                          | LT                |
|                                      | Aug 16, 16 | 36 000           | 46.949                                             | 1,690.18        | 55.220                            | 1,987.92                | 297.74                          | LT                |
|                                      | Aug 17, 16 | 24 000           | 46.943                                             | 1,126.65        | 55.220                            | 1,325.28                | 198.63                          | LT                |
|                                      | Oct 28, 16 | 23 000           | 35.606                                             | 818.96          | 55.220                            | 1,270.06                | 451.10                          | LT                |
|                                      | Oct 28, 16 | 21 000           | 35.279                                             | 740.86          | 55.220                            | 1,159.62                | 418.76                          | LT                |
|                                      | Oct 28, 16 | 5 000            | 35.014                                             | 175.07          | 55.220                            | 276.10                  | 101.03                          | LT                |
|                                      | Oct 31, 16 | 53 000           | 35.579                                             | 1,885.73        | 55.220                            | 2,926.66                | 1,040.93                        | LT                |
|                                      | Sep 24, 19 | 153,000          | 52.960                                             | 8,102.88        | 55.220                            | 8,448.66                | 345.78                          | ST                |
| Security total                       |            | 583,000          | 50.273                                             | 29,309.30       |                                   | 32,193.26               | 2,883.96                        |                   |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                        | Trade date | Number of shares | Purchase price/<br>Average price per share (\$) | Cost basis (\$) | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|--------------------------------|------------|------------------|-------------------------------------------------|-----------------|--------------------------------|----------------------|------------------------------|----------------|
| <b>NVIDIA CORP</b>             |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol NVDA Exchange OTC       |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI \$96 Current yield 0.32%   |            |                  |                                                 |                 |                                |                      |                              |                |
|                                | Jan 10, 19 | 2 000            | 144.470                                         | 288.94          | 201.020                        | 402.04               | 113.10                       | ST             |
|                                | Jan 10, 19 | 2 000            | 144.930                                         | 289.86          | 201.020                        | 402.04               | 112.18                       | ST             |
|                                | Jan 11, 19 | 34,000           | 148.608                                         | 5,052.68        | 201.020                        | 6,834.68             | 1,782.00                     | ST             |
|                                | Jan 11, 19 | 2,000            | 145.885                                         | 291.77          | 201.020                        | 402.04               | 110.27                       | ST             |
|                                | Jan 14, 19 | 30,000           | 149.458                                         | 4,483.76        | 201.020                        | 6,030.60             | 1,546.84                     | ST             |
|                                | Jan 15, 19 | 14 000           | 151.741                                         | 2,124.38        | 201.020                        | 2,814.28             | 689.90                       | ST             |
|                                | Jan 16, 19 | 1 000            | 149.580                                         | 149.58          | 201.020                        | 201.02               | 51.44                        | ST             |
|                                | Jun 24, 19 | 2 000            | 153.165                                         | 306.33          | 201.020                        | 402.04               | 95.71                        | ST             |
|                                | Jun 25, 19 | 7,000            | 153.644                                         | 1,075.51        | 201.020                        | 1,407.14             | 331.63                       | ST             |
|                                | Jun 27, 19 | 11 000           | 163.050                                         | 1,793.56        | 201.020                        | 2,211.22             | 417.66                       | ST             |
|                                | Sep 24, 19 | 45 000           | 173.553                                         | 7,809.89        | 201.020                        | 9,045.90             | 1,236.01                     | ST             |
| Security total                 |            | 150 000          | 157.775                                         | 23,666.26       |                                | 30,153.00            | 6,486.74                     |                |
| <b>ORACLE CORP</b>             |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol ORCL Exchange NYSE      |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI \$969 Current yield 1.76%  |            |                  |                                                 |                 |                                |                      |                              |                |
|                                | Oct 27, 15 | 476,000          | 38.208                                          | 18,187.15       | 54.490                         | 25,937.24            | 7,750.09                     | LT             |
|                                | Jan 7, 16  | 59,000           | 35.130                                          | 2,072.68        | 54.490                         | 3,214.91             | 1,142.23                     | LT             |
|                                | Oct 20, 17 | 27 000           | 49.395                                          | 1,333.67        | 54.490                         | 1,471.23             | 137.56                       | LT             |
|                                | Oct 20, 17 | 7 000            | 49.297                                          | 345.08          | 54.490                         | 381.43               | 36.35                        | LT             |
|                                | Oct 23, 17 | 63 000           | 49.413                                          | 3,113.03        | 54.490                         | 3,432.87             | 319.84                       | LT             |
|                                | Oct 23, 17 | 23,000           | 49.478                                          | 1,138.00        | 54.490                         | 1,253.27             | 115.27                       | LT             |
|                                | Dec 15, 17 | 43,000           | 47.952                                          | 2,061.97        | 54.490                         | 2,343.07             | 281.10                       | LT             |
|                                | Dec 15, 17 | 13,000           | 47.997                                          | 623.97          | 54.490                         | 708.37               | 84.40                        | LT             |
|                                | Jun 21, 18 | 2 000            | 43.140                                          | 86.28           | 54.490                         | 108.98               | 22.70                        | LT             |
|                                | Jun 22, 18 | 46,000           | 43.677                                          | 2,009.17        | 54.490                         | 2,506.54             | 497.37                       | LT             |
|                                | Sep 24, 19 | 250 000          | 53.857                                          | 13,464.25       | 54.490                         | 13,622.50            | 158.25                       | ST             |
| Security total                 |            | 1,009,000        | 44.039                                          | 44,435.25       |                                | 54,980.41            | 10,545.16                    |                |
| <b>PROCTER &amp; GAMBLE CO</b> |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol PG Exchange NYSE        |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI \$907 Current yield 2.40%  |            |                  |                                                 |                 |                                |                      |                              |                |
|                                | Oct 27, 15 | 207,000          | 77.085                                          | 15,956.78       | 124.510                        | 25,773.57            | 9,816.79                     | LT             |
|                                | Mar 28, 18 | 13,000           | 78.892                                          | 1,025.60        | 124.510                        | 1,618.63             | 593.03                       | LT             |
|                                | Mar 28, 18 | 5 000            | 78.880                                          | 394.40          | 124.510                        | 622.55               | 228.15                       | LT             |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                         | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                                 | Mar 29, 18 | 3 000            | 79 940                                             | 239.82              | 124 510                           | 373 53                  | 133 71                          | LT                |
|                                 | Sep 24, 19 | 76 000           | 124 309                                            | 9,447.55            | 124 510                           | 9,462.76                | 15.21                           | ST                |
| Security total                  |            | 304 000          | 89 027                                             | 27,064 15           |                                   | 37,851.04               | 10,786 89                       |                   |
| QUALCOMM INC                    |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol QCOM Exchange OTC        |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$1,158 Current yield 3.08% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                 | Oct 27, 15 | 255 000          | 56 743                                             | 14,469 64           | 80 440                            | 20,512 20               | 6,042 56                        | LT                |
|                                 | Nov 6, 15  | 63 000           | 50 354                                             | 3,172 36            | 80 440                            | 5,067.72                | 1,895 36                        | LT                |
|                                 | Apr 19, 16 | 26 000           | 49 247                                             | 1,280 44            | 80 440                            | 2,091.44                | 811 00                          | LT                |
|                                 | Sep 24, 19 | 123 000          | 76 109                                             | 9,361 46            | 80 440                            | 9,894.12                | 532.66                          | ST                |
| Security total                  |            | 467 000          | 60 565                                             | 28,283 90           |                                   | 37,565 48               | 9,281 58                        |                   |
| REGENERON PHARMACEUTICALS INC   |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol REGN Exchange OTC        |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                 | Aug 1, 16  | 2 000            | 433.300                                            | 866 60              | 306.280                           | 612 56                  | -254.04                         | LT                |
|                                 | Aug 2, 16  | 9 000            | 430 964                                            | 3,878.68            | 306 280                           | 2,756 52                | -1,122 16                       | LT                |
|                                 | Aug 2, 16  | 1 000            | 428 610                                            | 428.61              | 306.280                           | 306 28                  | -122 33                         | LT                |
|                                 | Aug 3, 16  | 6 000            | 438 495                                            | 2,630.97            | 306 280                           | 1,837 68                | -793 29                         | LT                |
|                                 | Sep 6, 16  | 4 000            | 398 070                                            | 1,592 28            | 306 280                           | 1,225 12                | -367 16                         | LT                |
|                                 | Sep 6, 16  | 2 000            | 395 245                                            | 790.49              | 306.280                           | 612.56                  | -177 93                         | LT                |
|                                 | Sep 6, 16  | 1 000            | 396 260                                            | 396.26              | 306 280                           | 306.28                  | -89 98                          | LT                |
|                                 | Sep 7, 16  | 5 000            | 398 796                                            | 1,993 98            | 306 280                           | 1,531 40                | -462 58                         | LT                |
|                                 | Sep 7, 16  | 1 000            | 399 610                                            | 399 61              | 306.280                           | 306.28                  | -93 33                          | LT                |
|                                 | Sep 8, 16  | 1 000            | 401 630                                            | 401 63              | 306 280                           | 306 28                  | -95 35                          | LT                |
|                                 | Nov 7, 16  | 2 000            | 362.255                                            | 724.51              | 306 280                           | 612 56                  | -111 95                         | LT                |
|                                 | Dec 7, 16  | 1 000            | 359 030                                            | 359.03              | 306 280                           | 306 28                  | -52 75                          | LT                |
|                                 | Dec 7, 16  | 1 000            | 359 640                                            | 359.64              | 306 280                           | 306.28                  | -53 36                          | LT                |
|                                 | Dec 8, 16  | 3 000            | 365 366                                            | 1,096.10            | 306 280                           | 918 84                  | -177.26                         | LT                |
|                                 | Dec 9, 16  | 1 000            | 376.860                                            | 376.86              | 306 280                           | 306.28                  | -70 58                          | LT                |
|                                 | Jan 3, 17  | 5 000            | 381 390                                            | 1,906.95            | 306 280                           | 1,531 40                | -375.55                         | LT                |
|                                 | Oct 23, 17 | 1 000            | 433 110                                            | 437 43 <sup>2</sup> | 306 280                           | 306 28                  | -131 15                         | LT                |
|                                 | Oct 23, 17 | 1 000            | 433 240                                            | 437 55 <sup>2</sup> | 306.280                           | 306.28                  | -131.27                         | LT                |
|                                 | Oct 23, 17 | 1 000            | 433 500                                            | 437.81 <sup>2</sup> | 306 280                           | 306 28                  | -131 53                         | LT                |
|                                 | Feb 9, 18  | 3 000            | 332 256                                            | 996.77              | 306.280                           | 918 84                  | -77 93                          | LT                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                        | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                                | Feb 12, 18 | 2,000            | 341.705                                            | 683.41          | 306.280                           | 612.56                  | -70.85                          | LT                |
|                                | Feb 14, 18 | 1,000            | 325.260                                            | 325.26          | 306.280                           | 306.28                  | -18.98                          | LT                |
|                                | Feb 14, 18 | 1,000            | 330.140                                            | 330.14          | 306.280                           | 306.28                  | -23.86                          | LT                |
|                                | Feb 15, 18 | 1,000            | 330.300                                            | 330.30          | 306.280                           | 306.28                  | -24.02                          | LT                |
|                                | Feb 15, 18 | 1,000            | 331.190                                            | 331.19          | 306.280                           | 306.28                  | -24.91                          | LT                |
|                                | Feb 16, 18 | 1,000            | 332.650                                            | 332.65          | 306.280                           | 306.28                  | -26.37                          | LT                |
|                                | Mar 28, 18 | 1,000            | 338.520                                            | 338.52          | 306.280                           | 306.28                  | -32.24                          | LT                |
|                                | Mar 29, 18 | 3,000            | 343.983                                            | 1,031.95        | 306.280                           | 918.84                  | -113.11                         | LT                |
|                                | Apr 2, 18  | 1,000            | 334.070                                            | 334.07          | 306.280                           | 306.28                  | -27.79                          | LT                |
|                                | Jul 16, 18 | 3,000            | 364.810                                            | 1,094.43        | 306.280                           | 918.84                  | -175.59                         | LT                |
|                                | Sep 24, 19 | 30,000           | 289.919                                            | 8,697.57        | 306.280                           | 9,188.40                | 490.83                          | ST                |
| Security total                 |            | 96,000           | 357.721                                            | 34,341.25       |                                   | 29,402.88               | -4,938.37                       |                   |
| ROCHE HLDG LTD SPONS ADR SWITZ |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| ADR                            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: RHHBY Exchange: OTC    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$459 Current yield 1.80%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                | Sep 26, 19 | 94,000           | 36.020                                             | 3,385.91        | 37.620                            | 3,536.28                | 150.37                          | ST                |
|                                | Sep 27, 19 | 74,000           | 36.356                                             | 2,690.37        | 37.620                            | 2,783.88                | 93.51                           | ST                |
|                                | Sep 30, 19 | 70,000           | 36.442                                             | 2,550.94        | 37.620                            | 2,633.40                | 82.46                           | ST                |
|                                | Oct 1, 19  | 96,000           | 36.220                                             | 3,477.19        | 37.620                            | 3,611.52                | 134.33                          | ST                |
|                                | Oct 2, 19  | 133,000          | 35.519                                             | 4,724.07        | 37.620                            | 5,003.46                | 279.39                          | ST                |
|                                | Oct 3, 19  | 78,000           | 35.317                                             | 2,754.76        | 37.620                            | 2,934.36                | 179.60                          | ST                |
|                                | Oct 4, 19  | 51,000           | 35.917                                             | 1,831.79        | 37.620                            | 1,918.62                | 86.83                           | ST                |
|                                | Oct 7, 19  | 56,000           | 36.308                                             | 2,033.25        | 37.620                            | 2,106.72                | 73.47                           | ST                |
|                                | Oct 8, 19  | 25,000           | 36.129                                             | 903.24          | 37.620                            | 940.50                  | 37.26                           | ST                |
| Security total                 |            | 677,000          | 35.970                                             | 24,351.52       |                                   | 25,468.74               | 1,117.22                        |                   |
| SCHLUMBERGER LTD NETHERLANDS   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| ANTILLES                       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol SLB Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$832 Current yield 6.12%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                | Oct 27, 15 | 132,000          | 76.940                                             | 10,156.21       | 32.690                            | 4,315.08                | -5,841.13                       | LT                |
|                                | Jul 27, 17 | 12,000           | 67.545                                             | 810.55          | 32.690                            | 392.28                  | -418.27                         | LT                |
|                                | Jul 28, 17 | 56,000           | 68.370                                             | 3,828.77        | 32.690                            | 1,830.64                | -1,998.13                       | LT                |
|                                | Jul 28, 17 | 7,000            | 68.127                                             | 476.89          | 32.690                            | 228.83                  | -248.06                         | LT                |
|                                | Jul 31, 17 | 47,000           | 68.360                                             | 3,212.94        | 32.690                            | 1,536.43                | -1,676.51                       | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                               | Aug 1, 17  | 44,000           | 68.670                                             | 3,021.49              | 32.690                            | 1,438.36                | -1,583.13                       | LT                |
|                               | Oct 20, 17 | 24,000           | 62.952                                             | 1,868.67 <sup>2</sup> | 32.690                            | 784.56                  | -1,084.11                       | LT                |
|                               | Oct 20, 17 | 3,000            | 62.840                                             | 233.25 <sup>2</sup>   | 32.690                            | 98.07                   | -135.18                         | LT                |
|                               | Oct 23, 17 | 4,000            | 62.400                                             | 309.24 <sup>2</sup>   | 32.690                            | 130.76                  | -178.48                         | LT                |
|                               | Sep 24, 19 | 87,000           | 36.157                                             | 3,145.71              | 32.690                            | 2,844.03                | -301.68                         | ST                |
| Security total                |            | 416,000          | 65.057                                             | 27,063.72             |                                   | 13,599.04               | -13,464.68                      |                   |
| SEI INVESTMENTS CO            |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol: SEIC Exchange OTC     |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$280 Current yield 1 10% | Oct 27, 15 | 319,000          | 51.113                                             | 16,305.25             | 59.920                            | 19,114.48               | 2,809.23                        | LT                |
|                               | Sep 24, 19 | 105,000          | 59.315                                             | 6,228.16              | 59.920                            | 6,291.60                | 63.44                           | ST                |
| Security total                |            | 424,000          | 53.145                                             | 22,533.41             |                                   | 25,406.08               | 2,872.67                        |                   |
| STARBUCKS CORP                |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol SBUX Exchange OTC      |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$508 Current yield 1 94% | Apr 3, 18  | 17,000           | 57.658                                             | 980.20                | 84.560                            | 1,437.52                | 457.32                          | LT                |
|                               | Apr 3, 18  | 8,000            | 57.415                                             | 459.32                | 84.560                            | 676.48                  | 217.16                          | LT                |
|                               | Apr 3, 18  | 5,000            | 57.518                                             | 287.59                | 84.560                            | 422.80                  | 135.21                          | LT                |
|                               | Apr 3, 18  | 1,000            | 57.880                                             | 57.88                 | 84.560                            | 84.56                   | 26.68                           | LT                |
|                               | Apr 4, 18  | 15,000           | 58.257                                             | 873.86                | 84.560                            | 1,268.40                | 394.54                          | LT                |
|                               | Apr 4, 18  | 5,000            | 58.446                                             | 292.23                | 84.560                            | 422.80                  | 130.57                          | LT                |
|                               | Apr 4, 18  | 4,000            | 57.985                                             | 231.94                | 84.560                            | 338.24                  | 106.30                          | LT                |
|                               | Apr 4, 18  | 4,000            | 58.745                                             | 234.98                | 84.560                            | 338.24                  | 103.26                          | LT                |
|                               | Apr 5, 18  | 10,000           | 59.055                                             | 590.55                | 84.560                            | 845.60                  | 255.05                          | LT                |
|                               | Apr 5, 18  | 9,000            | 59.124                                             | 532.12                | 84.560                            | 761.04                  | 228.92                          | LT                |
|                               | Apr 5, 18  | 4,000            | 59.130                                             | 236.52                | 84.560                            | 338.24                  | 101.72                          | LT                |
|                               | Apr 6, 18  | 25,000           | 58.479                                             | 1,461.98              | 84.560                            | 2,114.00                | 652.02                          | LT                |
|                               | Apr 6, 18  | 8,000            | 58.567                                             | 468.54                | 84.560                            | 676.48                  | 207.94                          | LT                |
|                               | Apr 9, 18  | 11,000           | 58.959                                             | 648.55                | 84.560                            | 930.16                  | 281.61                          | LT                |
|                               | Apr 9, 18  | 10,000           | 58.970                                             | 589.70                | 84.560                            | 845.60                  | 255.90                          | LT                |
|                               | Apr 9, 18  | 6,000            | 58.946                                             | 353.68                | 84.560                            | 507.36                  | 153.68                          | LT                |
|                               | Apr 10, 18 | 12,000           | 59.238                                             | 710.86                | 84.560                            | 1,014.72                | 303.86                          | LT                |
|                               | Apr 10, 18 | 12,000           | 59.264                                             | 711.17                | 84.560                            | 1,014.72                | 303.55                          | LT                |
|                               | Apr 11, 18 | 5,000            | 59.432                                             | 297.16                | 84.560                            | 422.80                  | 125.64                          | LT                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                    | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|----------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                            | Jun 21, 18 | 5,000            | 50.626                                             | 253.13          | 84.560                            | 422.80                  | 169.67                          | LT                |
|                            | Jun 22, 18 | 12,000           | 51.317                                             | 615.81          | 84.560                            | 1,014.72                | 398.91                          | LT                |
|                            | Jun 22, 18 | 1,000            | 51.020                                             | 51.02           | 84.560                            | 84.56                   | 33.54                           | LT                |
|                            | Jun 22, 18 | 1,000            | 51.260                                             | 51.26           | 84.560                            | 84.56                   | 33.30                           | LT                |
|                            | Sep 24, 19 | 120,000          | 90.050                                             | 10,806.00       | 84.560                            | 10,147.20               | -658.80                         | ST                |
| Security total             |            | 310,000          | 70.310                                             | 21,796.05       |                                   | 26,213.60               | 4,417.55                        |                   |
| VARIAN MEDICAL SYSTEMS INC |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: VAR                | Oct 27, 15 | 72,000           | 66.769                                             | 4,807.40        | 120.810                           | 8,698.32                | 3,890.92                        | LT                |
| Exchange: NYSE             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Security total             | Sep 24, 19 | 33,000           | 117.282                                            | 3,870.31        | 120.810                           | 3,986.73                | 116.42                          | ST                |
|                            |            | 105,000          |                                                    | 8,677.71        |                                   | 12,685.05               |                                 |                   |
| VISA INC CL A              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: V                  | Nov 9, 12  | 7,000            | 35.662                                             | 249.64          | 178.860                           | 1,252.02                | 1,002.38                        | LT                |
| Exchange: NYSE             | Jun 10, 13 | 16,000           | 45.716                                             | 731.46          | 178.860                           | 2,861.76                | 2,130.30                        | LT                |
| EAI \$534                  | Jun 11, 13 | 4,000            | 45.125                                             | 180.50          | 178.860                           | 715.44                  | 534.94                          | LT                |
| Current yield 0.67%        | Sep 17, 13 | 24,000           | 47.400                                             | 1,137.60        | 178.860                           | 4,292.64                | 3,155.04                        | LT                |
|                            | Dec 13, 13 | 24,000           | 51.133                                             | 1,227.21        | 178.860                           | 4,292.64                | 3,065.43                        | LT                |
|                            | Jul 27, 15 | 22,000           | 74.202                                             | 1,632.45        | 178.860                           | 3,934.92                | 2,302.47                        | LT                |
|                            | Jul 27, 15 | 21,000           | 74.024                                             | 1,554.51        | 178.860                           | 3,756.06                | 2,201.55                        | LT                |
|                            | Oct 27, 15 | 210,000          | 77.326                                             | 16,238.54       | 178.860                           | 37,560.60               | 21,322.06                       | LT                |
|                            | Sep 24, 19 | 117,000          | 175.440                                            | 20,526.48       | 178.860                           | 20,926.62               | 400.14                          | ST                |
| Security total             |            | 445,000          | 97.704                                             | 43,478.39       |                                   | 79,592.70               | 36,114.31                       |                   |
| YUM CHINA HLDGS INC        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: YUMC               | Oct 27, 15 | 131,000          | 22.020                                             | 2,884.64        | 42.500                            | 5,567.50                | 2,682.86                        | LT                |
| Exchange: NYSE             | Nov 1, 16  | 93,000           | 25.879                                             | 2,406.75        | 42.500                            | 3,952.50                | 1,545.75                        | LT                |
| EAI \$159                  | Nov 1, 16  | 14,000           | 25.990                                             | 363.86          | 42.500                            | 595.00                  | 231.14                          | LT                |
| Current yield 1.13%        | Nov 2, 16  | 1,000            | 26.540                                             | 26.54           | 42.500                            | 42.50                   | 15.96                           | LT                |
|                            | Sep 24, 19 | 93,000           | 44.834                                             | 4,169.60        | 42.500                            | 3,952.50                | -217.10                         | ST                |
| Security total             |            | 332,000          | 29.673                                             | 9,851.39        |                                   | 14,110.00               | 4,258.61                        |                   |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding         | Symbol              | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-----------------|---------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| YUM! BRANDS INC |                     |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                 | YUM Exchange NYSE   |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$334       | Current yield 1.65% | Oct 27, 15 | 146,000          | 51.027                                             | 7,449.97            | 101.710                           | 14,849.66               | 7,399.69                        | LT                |
|                 |                     | Sep 24, 19 | 53,000           | 113.209                                            | 6,000.12            | 101.710                           | 5,390.63                | -609.49                         | ST                |
| Security total  |                     |            | 199,000          | 67.588                                             | 13,450.09           |                                   | 20,240.29               | 6,790.20                        |                   |
| <b>Total</b>    |                     |            |                  |                                                    | <b>\$817,081.13</b> |                                   | <b>\$1,115,508.54</b>   | <b>\$298,697.62</b>             |                   |

**Total estimated annual income: \$13,173**<sup>2</sup> Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

**Equities****Common stock**

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price per share (\$) | Cost basis (\$) | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|-------------------------------|------------|------------------|-------------------------------------------------|-----------------|--------------------------------|----------------------|------------------------------|----------------|
| ABB LTD SPON ADR              |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol ABB Exchange NYSE      | Feb 13, 14 | 6,000            | 25 051                                          | 150 31          | 20 990                         | 125 94               | -24 37                       | LT             |
| EAI \$420 Current yield 3.05% | Mar 25, 14 | 147 000          | 24 989                                          | 3,673.49        | 20 990                         | 3,085.53             | -587 96                      | LT             |
|                               | Jun 13, 14 | 188 000          | 23 219                                          | 4,365.19        | 20 990                         | 3,946.12             | -419 07                      | LT             |
|                               | Aug 11, 15 | 62,000           | 20 346                                          | 1,261.50        | 20 990                         | 1,301.38             | 39 88                        | LT             |
|                               | Aug 12, 15 | 115 000          | 20 141                                          | 2,316 24        | 20 990                         | 2,413.85             | 97 61                        | LT             |
|                               | Jan 17, 19 | 137 000          | 18 990                                          | 2,601 68        | 20 990                         | 2,875.63             | 273 95                       | ST             |
| Security total                |            | 655,000          | 21 937                                          | 14,368 41       |                                | 13,748 45            | -619 96                      |                |
| ALLIANZ SE ADR                |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol AZSEY Exchange OTC     | Aug 28, 15 | 188,000          | 15.934                                          | 2,995.74        | 24.410                         | 4,589 08             | 1,593 34                     | LT             |
| EAI \$386 Current yield 3.05% | Jun 10, 16 | 180 000          | 15 220                                          | 2,739 62        | 24 410                         | 4,393 80             | 1,654 18                     | LT             |

continued next page

Your assets ▸ **Equities** ▸ **Common stock** (continued)

| Holding                      | Trade date                | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|------------------------------|---------------------------|------------------|----------------------------------------------------|-----------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total               | May 30, 17                | 151 000          | 19 162                                             | 2,893.58              | 24 410                            | 3,685.91                | 792 33                          | LT                |
| Security total               |                           | 519 000          | 16 626                                             | 8,628.94              |                                   | 12,668.79               | 4,039 85                        |                   |
| BANCO SANTANDER S.A SPON ADR |                           |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol                       | SAN Exchange NYSE         |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI                          | \$926 Current yield 6 39% |                  |                                                    |                       |                                   |                         |                                 |                   |
|                              | Apr 13, 12                | 63 000           | 5 558                                              | 350 19                | 3 960                             | 249 48                  | -100 71                         | LT                |
|                              | May 31, 13                | 561 000          | 6 632                                              | 3,720.74              | 3 960                             | 2,221 56                | -1,499 18                       | LT                |
|                              | Jun 19, 13                | 572 000          | 6 461                                              | 3,695.90              | 3 960                             | 2,265 12                | -1,430 78                       | LT                |
|                              | Jun 20, 13                | 110 000          | 5 796                                              | 637.62                | 3 960                             | 435 60                  | -202 02                         | LT                |
|                              | Oct 20, 14                | 49 000           | 7 137                                              | 349.74                | 3 960                             | 194.04                  | -155 70                         | LT                |
|                              | Jul 26, 18                | 386 000          | 5 544                                              | 2,140 33              | 3 960                             | 1,528 56                | -611 77                         | LT                |
|                              | Nov 12, 18                | 484 000          | 4 745                                              | 2,296 63              | 3 960                             | 1,916.64                | -379 99                         | ST                |
|                              | Feb 27, 19                | 513 000          | 4 798                                              | 2,461 63              | 3 960                             | 2,031 48                | -430 15                         | ST                |
|                              | Jun 6, 19                 | 395 000          | 4 441                                              | 1,754 35              | 3 960                             | 1,564 20                | -190 15                         | ST                |
|                              | Aug 1, 19                 | 459 000          | 4 205                                              | 1,930.51              | 3 960                             | 1,817.64                | -112 87                         | ST                |
|                              | Earnings                  | 69 000           | 5 331                                              | 367 84                | 3 960                             | 273.24                  | -94 60                          |                   |
| Security total               |                           | 3,661 000        | 5 383                                              | 19,705.48             |                                   | 14,497 56               | -5,207 92                       |                   |
| BP PLC SPON ADR              |                           |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol                       | BP Exchange NYSE          |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI                          | \$825 Current yield 6 44% |                  |                                                    |                       |                                   |                         |                                 |                   |
|                              | May 10, 11                | 83 000           | 44 866                                             | 3,723.91              | 37 910                            | 3,146 53                | -577 38                         | LT                |
|                              | May 13, 11                | 6 000            | 42 760                                             | 256.56                | 37 910                            | 227 46                  | -29 10                          | LT                |
|                              | Dec 20, 13                | 5 000            | 46 280                                             | 231 40                | 37 910                            | 189 55                  | -41 85                          | LT                |
|                              | Jun 19, 15                | 6 000            | 42 650                                             | 255.90                | 37 910                            | 227.46                  | -28 44                          | LT                |
|                              | Jul 21, 15                | 88 000           | 38 772                                             | 3,412.01              | 37 910                            | 3,336.08                | -75 93                          | LT                |
|                              | Aug 10, 15                | 68 000           | 36 057                                             | 2,451.94              | 37 910                            | 2,577 88                | 125 94                          | LT                |
|                              | Aug 11, 15                | 40 000           | 35 817                                             | 1,432 69              | 37 910                            | 1,516 40                | 83 71                           | LT                |
|                              | Earnings                  | 42 000           | 35 845                                             | 1,505 51 <sup>2</sup> | 37 910                            | 1,592 22                | 86 71                           |                   |
| Security total               |                           | 338 000          | 39 260                                             | 13,269.92             |                                   | 12,813 58               | -456 34                         |                   |
| CHINA MOBILE LTD SPON ADR    |                           |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol                       | CHL Exchange NYSE         |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI                          | \$463 Current yield 4 15% |                  |                                                    |                       |                                   |                         |                                 |                   |
|                              | Jul 5, 13                 | 37 000           | 51 318                                             | 1,898 78              | 40 380                            | 1,494.06                | -404 72                         | LT                |
|                              | Dec 12, 16                | 57 000           | 53 252                                             | 3,035 42              | 40 380                            | 2,301 66                | -733 76                         | LT                |
|                              | Feb 24, 17                | 57 000           | 56 015                                             | 3,192.88              | 40 380                            | 2,301 66                | -891 22                         | LT                |
|                              | Mar 24, 17                | 69 000           | 56 016                                             | 3,865.17              | 40 380                            | 2,786 22                | -1,078 95                       | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                | Nov 6, 17  | 56 000           | 50 440                                             | 2,937,302       | 40.380                            | 2,261 28                | -676 02                         | LT                |
| Security total                |            | 276 000          | 54.093                                             | 14,929.55       |                                   | 11,144 88               | -3,784 67                       |                   |
| CK HUTCHISON HLDGS LTD        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| UNSPONSORED ADR               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CKHUY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$602 Current yield 3 88% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Aug 29, 17 | 66 000           | 12 770                                             | 842 82          | 9.160                             | 604.56                  | -238 26                         | LT                |
|                               | Sep 15, 17 | 273 000          | 12 793                                             | 3,492.60        | 9.160                             | 2,500 68                | -991 92                         | LT                |
|                               | Oct 19, 17 | 251 000          | 12 948                                             | 3,250.10        | 9.160                             | 2,299 16                | -950.94                         | LT                |
|                               | Nov 9, 17  | 201 000          | 12 621                                             | 2,536 98        | 9.160                             | 1,841.16                | -695 82                         | LT                |
|                               | Jan 2, 18  | 195 000          | 12 584                                             | 2,453 90        | 9.160                             | 1,786.20                | -667 70                         | LT                |
|                               | Feb 2, 18  | 218 000          | 13 429                                             | 2,927 54        | 9.160                             | 1,996 88                | -930 66                         | LT                |
|                               | May 31, 18 | 247 000          | 11 246                                             | 2,777 89        | 9.160                             | 2,262.52                | -515 37                         | LT                |
|                               | Oct 14, 19 | 244 000          | 9 086                                              | 2,217 18        | 9.160                             | 2,235.04                | 17 86                           | ST                |
| Security total                |            | 1,695 000        | 12 094                                             | 20,499.01       |                                   | 15,526 20               | -4,972.81                       |                   |
| COMPAGNIE DE SAINT-GOBAIN ADR |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CODYY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$317 Current yield 2 62% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Aug 3, 18  | 132 000          | 8 611                                              | 1,136 67        | 8 090                             | 1,067 88                | -68 79                          | LT                |
|                               | Aug 15, 18 | 318 000          | 8 061                                              | 2,563.56        | 8 090                             | 2,572.62                | 9.06                            | LT                |
|                               | Nov 2, 18  | 161 000          | 7 521                                              | 1,210.91        | 8 090                             | 1,302.49                | 91 58                           | ST                |
|                               | Nov 12, 18 | 182 000          | 7 056                                              | 1,284.32        | 8 090                             | 1,472 38                | 188 06                          | ST                |
|                               | Dec 4, 18  | 339 000          | 7 305                                              | 2,476.55        | 8 090                             | 2,742 51                | 265 96                          | ST                |
|                               | Dec 19, 18 | 363 000          | 6 616                                              | 2,401 93        | 8 090                             | 2,936.67                | 534 74                          | ST                |
| Security total                |            | 1,495 000        | 7 407                                              | 11,073 94       |                                   | 12,094.55               | 1,020 61                        |                   |
| CONTL AG-SPONS ADR ADR        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CTTAY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$190 Current yield 2 79% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Oct 30, 19 | 177 000          | 13 486                                             | 2,387 02        |                                   | 2,387 02                |                                 |                   |
|                               | Aug 9, 19  | 170 000          | 12 908                                             | 2,194.46        | 13 350                            | 2,269 50                | 75 04                           | ST                |
|                               | Aug 20, 19 | 187 000          | 11 777                                             | 2,202.36        | 13 350                            | 2,496.45                | 294 09                          | ST                |
|                               | Oct 3, 19  | 153 000          | 12 686                                             | 1,941 07        | 13 350                            | 2,042 55                | 101 48                          | ST                |
| Security total                |            | 687 000          |                                                    | 6,337 89        |                                   | 9,195 52                |                                 |                   |
| DAILMER AG UNSPONSORED ADR    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol DMLRY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$654 Current yield 4 24% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Apr 22, 13 | 10 000           | 12.899                                             | 128 99          | 14.590                            | 145.90                  | 16.91                           | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                               | Feb 19, 16 | 148,000          | 17 502                                             | 2,590 38        | 14 590                            | 2,159 32                | -431 06                         | LT                |
|                               | Jun 9, 17  | 144 000          | 18 318                                             | 2,637.91        | 14 590                            | 2,100 96                | -536 95                         | LT                |
|                               | Jul 25, 17 | 204,000          | 17 809                                             | 3,633.06        | 14,590                            | 2,976 36                | -656 70                         | LT                |
|                               | Aug 17, 17 | 204 000          | 17 714                                             | 3,613 73        | 14,590                            | 2,976 36                | -637 37                         | LT                |
|                               | Jun 22, 18 | 145,000          | 16 712                                             | 2,423.28        | 14,590                            | 2,115 55                | -307 73                         | LT                |
|                               | Jun 27, 19 | 201,000          | 13 713                                             | 2,756.49        | 14 590                            | 2,932.59                | 176 10                          | ST                |
| Security total                |            | 1,056 000        | 16 841                                             | 17,783.84       |                                   | 15,407 04               | -2,376 80                       |                   |
| DEUTSCHE TELEKOM AG DE SPON   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| ADR                           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: DTEGY Exchange OTC    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$416 Current yield 4 28% | Oct 8, 10  | 184 000          | 13 790                                             | 2,537.36        | 17 590                            | 3,236.56                | 699 20                          | LT                |
|                               | Feb 20, 13 | 368,000          | 10 857                                             | 3,995 56        | 17 590                            | 6,473.12                | 2,477 56                        | LT                |
| Security total                |            | 552 000          | 11 835                                             | 6,532 92        |                                   | 9,709 68                | 3,176 76                        |                   |
| ENEL SPA ADR                  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ENLAY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$317 Current yield 2.29% | Feb 2, 17  | 493 000          | 4 174                                              | 2,057 87        | 7 730                             | 3,810 89                | 1,753 02                        | LT                |
|                               | May 30, 17 | 660 000          | 5 230                                              | 3,452 35        | 7 730                             | 5,101 80                | 1,649.45                        | LT                |
|                               | Jun 29, 17 | 639 000          | 5 379                                              | 3,437.74        | 7 730                             | 4,939.47                | 1,501.73                        | LT                |
| Security total                |            | 1,792 000        | 4 993                                              | 8,947 96        |                                   | 13,852.16               | 4,904.20                        |                   |
| ENI SPA IT SPON ADR           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol E Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$577 Current yield 4 40% | Jul 22, 13 | 38 000           | 44 102                                             | 1,675.89        | 30,290                            | 1,151.02                | -524 87                         | LT                |
|                               | Apr 17, 15 | 114 000          | 36 405                                             | 4,150 17        | 30,290                            | 3,453.06                | -697.11                         | LT                |
|                               | Oct 28, 15 | 68,000           | 33 413                                             | 2,272.11        | 30,290                            | 2,059.72                | -212 39                         | LT                |
|                               | Nov 5, 15  | 34,000           | 32 036                                             | 1,089.25        | 30 290                            | 1,029 86                | -59 39                          | LT                |
|                               | Nov 2, 16  | 86,000           | 28 648                                             | 2,463.78        | 30,290                            | 2,604 94                | 141 16                          | LT                |
|                               | Apr 20, 17 | 93,000           | 31 697                                             | 2,947 86        | 30,290                            | 2,816.97                | -130 89                         | LT                |
| Security total                |            | 433 000          | 33 716                                             | 14,599 06       |                                   | 13,115 57               | -1,483 49                       |                   |
| FUJI FILM HOLDINGS CORP ADR   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol FUJIY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$139 Current yield 1 16% | Dec 20, 17 | 38,000           | 41.334                                             | 1,570 70        | 43,910                            | 1,668.58                | 97 88                           | LT                |
|                               | Jun 14, 18 | 69,000           | 38 910                                             | 2,684 84        | 43 910                            | 3,029.79                | 344 95                          | LT                |
|                               | Oct 9, 18  | 51 000           | 44 759                                             | 2,282 73        | 43,910                            | 2,239.41                | -43 32                          | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                               | Dec 27, 18 | 61,000           | 38.404                                             | 2,342.65        | 43.910                            | 2,678.51                | 335.86                          | ST                |
|                               | Jan 18, 19 | 20,000           | 42.190                                             | 843.80          | 43.910                            | 878.20                  | 34.40                           | ST                |
|                               | Jan 22, 19 | 35,000           | 41.683                                             | 1,458.93        | 43.910                            | 1,536.85                | 77.92                           | ST                |
| Security total                |            | 274,000          | 40.816                                             | 11,183.65       |                                   | 12,031.34               | 847.69                          |                   |
| GLAXO SMITH-KLINE PLC ADR     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol GSK Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$654 Current yield 4.37% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Oct 8, 10  | 81,000           | 41.710                                             | 3,378.51        | 45.800                            | 3,709.80                | 331.29                          | LT                |
|                               | Dec 4, 13  | 55,000           | 52.112                                             | 2,866.19        | 45.800                            | 2,519.00                | -347.19                         | LT                |
|                               | Jul 24, 14 | 99,000           | 50.039                                             | 4,953.93        | 45.800                            | 4,534.20                | -419.73                         | LT                |
|                               | Jun 18, 15 | 23,000           | 43.010                                             | 989.24          | 45.800                            | 1,053.40                | 64.16                           | LT                |
|                               | Jun 19, 15 | 69,000           | 43.089                                             | 2,973.17        | 45.800                            | 3,160.20                | 187.03                          | LT                |
| Security total                |            | 327,000          | 46.364                                             | 15,161.04       |                                   | 14,976.60               | -184.44                         |                   |
| HONDA MOTOR CO ADR JAPAN ADR  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol HMC Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$441 Current yield 3.26% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Nov 10, 14 | 23,000           | 31.516                                             | 724.88          | 26.970                            | 620.31                  | -104.57                         | LT                |
|                               | Dec 4, 14  | 128,000          | 31.084                                             | 3,978.84        | 26.970                            | 3,452.16                | -526.68                         | LT                |
|                               | Feb 20, 15 | 64,000           | 33.358                                             | 2,134.93        | 26.970                            | 1,726.08                | -408.85                         | LT                |
|                               | Feb 23, 15 | 38,000           | 33.187                                             | 1,261.13        | 26.970                            | 1,024.86                | -236.27                         | LT                |
|                               | Feb 24, 17 | 137,000          | 31.386                                             | 4,299.98        | 26.970                            | 3,694.89                | -605.09                         | LT                |
|                               | May 11, 17 | 112,000          | 28.538                                             | 3,196.30        | 26.970                            | 3,020.64                | -175.66                         | LT                |
| Security total                |            | 502,000          | 31.068                                             | 15,596.06       |                                   | 13,538.94               | -2,057.12                       |                   |
| ISUZU MOTOR CO LTD ADR ADR    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ISUZU Exchange: OTC    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$87 Current yield 2.06%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Aug 17, 17 | 204,000          | 12.928                                             | 2,637.50        | 11.545                            | 2,355.18                | -282.32                         | LT                |
|                               | Mar 22, 19 | 161,000          | 13.446                                             | 2,164.93        | 11.545                            | 1,858.74                | -306.19                         | ST                |
| Security total                |            | 365,000          | 13.157                                             | 4,802.43        |                                   | 4,213.92                | -588.51                         |                   |
| KINGFISHER PLC NEW SPON ADR   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol KGFHY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$413 Current yield 4.44% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Mar 3, 17  | 35,000           | 8.133                                              | 284.68          | 5.360                             | 187.60                  | -97.08                          | LT                |
|                               | Apr 5, 17  | 433,000          | 8.059                                              | 3,489.94        | 5.360                             | 2,320.88                | -1,169.06                       | LT                |
|                               | Jun 1, 17  | 401,000          | 8.611                                              | 3,453.17        | 5.360                             | 2,149.36                | -1,303.81                       | LT                |
|                               | Jul 11, 17 | 442,000          | 7.915                                              | 3,498.74        | 5.360                             | 2,369.12                | -1,129.62                       | LT                |

continued next page

**Your assets ▸ Equities ▸ Common stock (continued)**

| Holding                         | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                  | Oct 9, 17  | 423,000          | 8,174                                              | 3,931,392       | 5.360                             | 2,267.28                | -1,664.11                       | LT                |
|                                 |            | 1,734,000        | 8,453                                              | 14,657.92       |                                   | 9,294.24                | -5,363.68                       |                   |
| KONINKLUKE AHOLD DELHAIZE NV    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| SPON ADR                        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: ADRNY Exchange: OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$334 Current yield: 3.71% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | Aug 25, 11 | 94,000           | 12,840                                             | 1,207.01        | 24,890                            | 2,339.66                | 1,132.65                        | LT                |
|                                 | Sep 4, 14  | 64,000           | 17,701                                             | 1,132.87        | 24,890                            | 1,592.96                | 460.09                          | LT                |
|                                 | Jun 29, 17 | 204,000          | 19,443                                             | 3,966.56        | 24,890                            | 5,077.56                | 1,111.00                        | LT                |
| Security total                  |            | 362,000          | 17,421                                             | 6,306.44        |                                   | 9,010.18                | 2,703.74                        |                   |
| KYOCERA CORP ADR JAPAN ADR      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: KYOCY Exchange: OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$239 Current yield: 1.73% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | May 10, 19 | 38,000           | 62,589                                             | 2,378.39        | 65,420                            | 2,485.96                | 107.57                          | ST                |
|                                 | Jun 14, 19 | 37,000           | 64,089                                             | 2,371.32        | 65,420                            | 2,420.54                | 49.22                           | ST                |
|                                 | Jul 1, 19  | 35,000           | 66,122                                             | 2,314.28        | 65,420                            | 2,289.70                | -24.58                          | ST                |
|                                 | Aug 5, 19  | 33,000           | 62,445                                             | 2,060.71        | 65,420                            | 2,158.86                | 98.15                           | ST                |
|                                 | Aug 21, 19 | 38,000           | 60,328                                             | 2,292.49        | 65,420                            | 2,485.96                | 193.47                          | ST                |
|                                 | Oct 15, 19 | 30,000           | 63,156                                             | 1,894.68        | 65,420                            | 1,962.60                | 67.92                           | ST                |
| Security total                  |            | 211,000          | 63,089                                             | 13,311.87       |                                   | 13,803.62               | 491.75                          |                   |
| LLOYDS BANKING GROUP PLC SPON   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| ADR                             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: LYG Exchange: NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$743 Current yield: 5.66% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | Jan 26, 16 | 836,000          | 3,803                                              | 3,179.31        | 2,900                             | 2,424.40                | -754.91                         | LT                |
|                                 | Mar 31, 16 | 870,000          | 3,964                                              | 3,448.85        | 2,900                             | 2,523.00                | -925.85                         | LT                |
|                                 | Apr 12, 16 | 868,000          | 3,850                                              | 3,342.41        | 2,900                             | 2,517.20                | -825.21                         | LT                |
|                                 | Jul 5, 16  | 1,141,000        | 2,734                                              | 3,120.52        | 2,900                             | 3,308.90                | 188.38                          | LT                |
|                                 | Sep 26, 16 | 813,000          | 2,872                                              | 2,335.02        | 2,900                             | 2,357.70                | 22.68                           | LT                |
| Security total                  |            | 4,528,000        | 3,407                                              | 15,426.11       |                                   | 13,131.20               | -2,294.91                       |                   |
| MITSUBISHI ELEC CORP ADR JAPAN  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| ADR                             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: MIELY Exchange: OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$233 Current yield: 1.93% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | May 29, 18 | 46,000           | 28,949                                             | 1,331.69        | 28,610                            | 1,316.06                | -15.63                          | LT                |
|                                 | Jul 9, 18  | 101,000          | 25,871                                             | 2,612.98        | 28,610                            | 2,889.61                | 276.63                          | LT                |
|                                 | Aug 3, 18  | 88,000           | 26,831                                             | 2,361.15        | 28,610                            | 2,517.68                | 156.53                          | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                              | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                                      | Sep 6, 18  | 90,000           | 26.216                                             | 2,359.49        | 28.610                            | 2,574.90                | 215.41                          | LT                |
|                                      | Dec 14, 18 | 97,000           | 22.984                                             | 2,229.48        | 28.610                            | 2,775.17                | 545.69                          | ST                |
| Security total                       |            | 422,000          | 25.817                                             | 10,894.79       |                                   | 12,073.42               | 1,178.63                        |                   |
| NATIONAL GRID PLC SPON ADR           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol NGG Exchange: NYSE            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$486 Current yield: 5.24%       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                      | Jan 16, 13 | 6,167            | 59.482                                             | 366.81          | 58.370                            | 359.95                  | -6.86                           | LT                |
|                                      | Jan 17, 13 | 22,000           | 59.774                                             | 1,315.03        | 58.370                            | 1,284.14                | -30.89                          | LT                |
|                                      | Feb 20, 13 | 51,333           | 58.301                                             | 2,992.81        | 58.370                            | 2,996.32                | 3.51                            | LT                |
|                                      | Feb 21, 13 | 16,500           | 49.058                                             | 809.47          | 58.370                            | 963.11                  | 153.64                          | LT                |
|                                      | Aug 19, 15 | 15,583           | 74.992                                             | 1,168.64        | 58.370                            | 909.60                  | -259.04                         | LT                |
|                                      | Aug 20, 15 | 39,417           | 74.915                                             | 2,952.91        | 58.370                            | 2,300.75                | -652.16                         | LT                |
|                                      | Earnings   | 8,000            | 52.086                                             | 416.69          | 58.370                            | 466.96                  | 50.27                           |                   |
| Security total                       |            | 159,000          | 63.034                                             | 10,022.36       |                                   | 9,280.83                | -741.53                         |                   |
| NIPPON TELEGRAPH & TEL CORP SPON ADR |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol NTTY Exchange: OTC            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$245 Current yield: 2.70%       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                      | Feb 5, 19  | 57,000           | 42.771                                             | 2,437.99        | 49.650                            | 2,830.05                | 392.06                          | ST                |
|                                      | Mar 22, 19 | 62,000           | 43.444                                             | 2,693.54        | 49.650                            | 3,078.30                | 384.76                          | ST                |
|                                      | Apr 22, 19 | 30,000           | 40.966                                             | 1,229.00        | 49.650                            | 1,489.50                | 260.50                          | ST                |
|                                      | Apr 25, 19 | 34,000           | 41.325                                             | 1,405.07        | 49.650                            | 1,688.10                | 283.03                          | ST                |
| Security total                       |            | 183,000          | 42.435                                             | 7,765.60        |                                   | 9,085.95                | 1,320.35                        |                   |
| NOVARTIS AG SPON ADR                 |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol NVS Exchange: NYSE            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$263 Current yield: 2.10%       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                      | Oct 8, 10  | 72,000           | 51.114                                             | 3,680.25        | 87.440                            | 6,295.68                | 2,615.43                        | LT                |
|                                      | Apr 1, 16  | 23,000           | 62.120                                             | 1,428.78        | 87.440                            | 2,011.12                | 582.34                          | LT                |
|                                      | May 26, 17 | 48,000           | 70.994                                             | 3,407.73        | 87.440                            | 4,197.12                | 789.39                          | LT                |
| Security total                       |            | 143,000          | 59.558                                             | 8,516.76        |                                   | 12,503.92               | 3,987.16                        |                   |
| NTT DOCOMO INC ECH REPSTG            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| 1/200TH COM SHS SPON ADR             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol DCMY Exchange: OTC            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$74 Current yield: 2.88%        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                      | Mar 20, 14 | 94,000           | 15.262                                             | 1,434.63        | 27.380                            | 2,573.72                | 1,139.09                        | LT                |

continued next page

Your assets • **Equities** • **Common stock** (continued)

| Holding                         | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------|------------|------------------|----------------------------------------------------|-----------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| QBE INS GROUP LTD SPON ADR      |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol: QBEY Exchange OTC       |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$372 Current yield 4.02%   | May 2, 16  | 217 000          | 8 399                                              | 1,822 73              | 8 670                             | 1,881 39                | 58 66                           | LT                |
|                                 | Jul 6, 16  | 392 000          | 7 527                                              | 2,950 66              | 8 670                             | 3,398 64                | 447 98                          | LT                |
|                                 | Sep 25, 17 | 458 000          | 8 343                                              | 5,049 63 <sup>2</sup> | 8 670                             | 3,970 86                | -1,078 77                       | LT                |
| Security total                  |            | 1,067 000        | 9,206                                              | 9,823 02              |                                   | 9,250 89                | -572 13                         |                   |
| ROYAL DUTCH SHELL PLC ADS       |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| REPSTG 2 CL B ORD SHS SPON ADR  |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol RDS.B Exchange: NYSE     |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$902 Current yield 6.45%   | Feb 2, 16  | 92 000           | 41 471                                             | 3,815 38              | 58 290                            | 5,362 68                | 1,547 30                        | LT                |
|                                 | Feb 16, 16 | 112 000          | 44 887                                             | 5,027 41              | 58 290                            | 6,528 48                | 1,501 07                        | LT                |
|                                 | Oct 16, 19 | 36 000           | 58 040                                             | 2,089 45              | 58 290                            | 2,098 44                | 8 99                            | ST                |
| Security total                  |            | 240 000          | 45 551                                             | 10,932 24             |                                   | 13,989 60               | 3,057 36                        |                   |
| SANOFI SPON ADR                 |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol SNY Exchange OTC         |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$375 Current yield 2.51%   | Oct 8, 10  | 21 000           | 34 238                                             | 719 00                | 46 080                            | 967 68                  | 248 68                          | LT                |
|                                 | May 13, 11 | 28 000           | 40 170                                             | 1,124 76              | 46 080                            | 1,290 24                | 165 48                          | LT                |
|                                 | Nov 5, 14  | 13 000           | 45 778                                             | 595 12                | 46 080                            | 599 04                  | 3 92                            | LT                |
|                                 | Nov 7, 14  | 54 000           | 45 343                                             | 2,448 55              | 46 080                            | 2,488 32                | 39 77                           | LT                |
|                                 | Dec 15, 14 | 30 000           | 44 341                                             | 1,330 24              | 46 080                            | 1,382 40                | 52 16                           | LT                |
|                                 | Aug 26, 16 | 113 000          | 38 784                                             | 4,382 67              | 46 080                            | 5,207 04                | 824 37                          | LT                |
|                                 | Mar 12, 18 | 65 000           | 40 700                                             | 2,645 53              | 46 080                            | 2,995 20                | 349 67                          | LT                |
| Security total                  |            | 324 000          | 40 882                                             | 13,245 87             |                                   | 14,929 92               | 1,684 05                        |                   |
| SINGAPORE TELECOM LTD NEW 2006  |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| SPON ADR                        |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol SGAPY Exchange OTC       |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$411 Current yield 5.10%   | Oct 8, 10  | 209 000          | 23 740                                             | 4,961 66              | 24 130                            | 5,043 17                | 81 51                           | LT                |
|                                 | Jan 17, 14 | 73 000           | 27 634                                             | 2,017 30              | 24 130                            | 1,761 49                | -255 81                         | LT                |
|                                 | Jan 21, 14 | 52 000           | 27 663                                             | 1,438 48              | 24 130                            | 1,254 76                | -183 72                         | LT                |
| Security total                  |            | 334 000          | 25 202                                             | 8,417 44              |                                   | 8,059 42                | -358 02                         |                   |
| SSE PLC SPON ADR                |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol SSEZY Exchange OTC       |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$1,243 Current yield 7.17% | Apr 4, 18  | 152 000          | 18 103                                             | 2,751 73              | 16 750                            | 2,546 00                | -205 73                         | LT                |

continued next page

Your assets ▶ **Equities** ▶ **Common stock** (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                               | May 9, 18  | 146,000          | 19.151                                             | 2,796.09        | 16.750                            | 2,445.50                | -350.59                         | LT                |
|                               | May 30, 18 | 144,000          | 18.525                                             | 2,667.73        | 16.750                            | 2,412.00                | -255.73                         | LT                |
|                               | Oct 25, 18 | 145,000          | 14.865                                             | 2,155.45        | 16.750                            | 2,428.75                | 273.30                          | LT                |
|                               | Jan 30, 19 | 159,000          | 15.422                                             | 2,452.23        | 16.750                            | 2,663.25                | 211.02                          | ST                |
|                               | May 9, 19  | 140,000          | 14.794                                             | 2,071.22        | 16.750                            | 2,345.00                | 273.78                          | ST                |
|                               | Jul 25, 19 | 149,000          | 13.752                                             | 2,049.14        | 16.750                            | 2,495.75                | 446.61                          | ST                |
| Security total                |            | 1,035,000        | 16.371                                             | 16,943.59       |                                   | 17,336.25               | 392.66                          |                   |
| TAIWAN SEMICONDUCTOR MFG CO   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| LTD ADR                       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol TSM Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$470 Current yield 3.08% | Oct 8, 10  | 296,000          | 10.359                                             | 3,066.55        | 51.630                            | 15,282.48               | 12,215.93                       | LT                |
| TAKEDA PHARMACEUTICAL CO LTD  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| SPON ADR                      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol TAK Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$552 Current yield 3.47% | Jun 24, 11 | 48,000           | 22.652                                             | 1,087.33        | 17.970                            | 862.56                  | -224.77                         | LT                |
|                               | Dec 14, 11 | 63,000           | 21.051                                             | 1,326.23        | 17.970                            | 1,132.11                | -194.12                         | LT                |
|                               | Jul 9, 14  | 178,000          | 23.014                                             | 4,096.65        | 17.970                            | 3,198.66                | -897.99                         | LT                |
|                               | Jul 2, 15  | 147,000          | 24.302                                             | 3,572.50        | 17.970                            | 2,641.59                | -930.91                         | LT                |
|                               | Jul 28, 16 | 147,000          | 21.655                                             | 3,183.36        | 17.970                            | 2,641.59                | -541.77                         | LT                |
|                               | May 10, 18 | 172,000          | 21.148                                             | 3,637.49        | 17.970                            | 3,090.84                | -546.65                         | LT                |
|                               | May 29, 19 | 129,000          | 17.573                                             | 2,267.03        | 17.970                            | 2,318.13                | 51.10                           | ST                |
| Security total                |            | 884,000          | 21.686                                             | 19,170.59       |                                   | 15,885.48               | -3,285.11                       |                   |
| TELIA CO AB ADR               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol TLSNY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$544 Current yield 4.03% | Mar 6, 15  | 165,000          | 12.358                                             | 2,039.23        | 8.780                             | 1,448.70                | -590.53                         | LT                |
|                               | Apr 17, 15 | 159,000          | 11.724                                             | 1,864.13        | 8.780                             | 1,396.02                | -468.11                         | LT                |
|                               | Apr 20, 15 | 60,000           | 11.735                                             | 704.12          | 8.780                             | 526.80                  | -177.32                         | LT                |
|                               | Apr 21, 15 | 118,000          | 11.584                                             | 1,366.97        | 8.780                             | 1,036.04                | -330.93                         | LT                |
|                               | Jun 16, 15 | 111,000          | 11.807                                             | 1,310.58        | 8.780                             | 974.58                  | -336.00                         | LT                |
|                               | Jun 17, 15 | 170,000          | 11.815                                             | 2,008.57        | 8.780                             | 1,492.60                | -515.97                         | LT                |
|                               | Mar 1, 17  | 338,000          | 8.043                                              | 2,718.84        | 8.780                             | 2,967.64                | 248.80                          | LT                |
|                               | Apr 26, 17 | 415,000          | 8.341                                              | 3,461.64        | 8.780                             | 3,643.70                | 182.06                          | LT                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                                      | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|----------------------------------------------|------------|------------------|----------------------------------------------------|-----------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                               |            | 1,536,000        | 10.074                                             | 15,474.08             |                                   | 13,486.08               | -1,988.00                       |                   |
| TESCO PLC SPONS ADR                          |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| UNITED KINGDOM                               |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol TSCDY Exchange OTC                    |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$364 Current yield 2.77%                | Jan 26, 16 | 381,000          | 6.932                                              | 2,641.44              | 9.170                             | 3,493.77                | 852.33                          | LT                |
|                                              | Apr 13, 17 | 550,000          | 6.881                                              | 6,764.65 <sup>2</sup> | 9.170                             | 5,043.50                | -1,721.15                       | LT                |
|                                              | Jun 30, 17 | 502,000          | 6.750                                              | 3,388.60              | 9.170                             | 4,603.34                | 1,214.74                        | LT                |
| Security total                               |            | 1,433,000        | 8.929                                              | 12,794.69             |                                   | 13,140.61               | 345.92                          |                   |
| TOKIO MARINE HOLDINGS INC SPON ADR           |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol TKOMY Exchange OTC                    |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$394 Current yield 2.49%                | Oct 8, 10  | 92,000           | 28.550                                             | 2,626.60              | 53.870                            | 4,956.04                | 2,329.44                        | LT                |
|                                              | Oct 31, 11 | 128,000          | 23.902                                             | 3,059.51              | 53.870                            | 6,895.36                | 3,835.85                        | LT                |
|                                              | Oct 10, 17 | 74,000           | 39.033                                             | 2,888.45              | 53.870                            | 3,986.38                | 1,097.93                        | LT                |
| Security total                               |            | 294,000          | 29.165                                             | 8,574.56              |                                   | 15,837.78               | 7,263.22                        |                   |
| UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol UOVEY Exchange OTC                    |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$531 Current yield 3.87%                | Apr 15, 15 | 81,000           | 35.194                                             | 2,850.72              | 39.280                            | 3,181.68                | 330.96                          | LT                |
|                                              | Aug 26, 15 | 20,000           | 27.482                                             | 549.64                | 39.280                            | 785.60                  | 235.96                          | LT                |
|                                              | Aug 27, 15 | 79,000           | 28.128                                             | 2,222.16              | 39.280                            | 3,103.12                | 880.96                          | LT                |
|                                              | May 31, 16 | 140,000          | 26.527                                             | 3,713.86              | 39.280                            | 5,499.20                | 1,785.34                        | LT                |
|                                              | Earnings   | 29,000           | 25.256                                             | 732.44                | 39.280                            | 1,139.12                | 406.68                          |                   |
| Security total                               |            | 349,000          | 28.850                                             | 10,068.82             |                                   | 13,708.72               | 3,639.90                        |                   |
| WH GROUP LTD SPON ADR                        |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol WHGLY Exchange OTC                    |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$204 Current yield 2.25%                | Aug 22, 18 | 124,000          | 15.237                                             | 1,889.45              | 21.130                            | 2,620.12                | 730.67                          | LT                |
|                                              | Oct 25, 18 | 153,000          | 14.819                                             | 2,267.41              | 21.130                            | 3,232.89                | 965.48                          | LT                |
|                                              | Dec 18, 18 | 152,000          | 15.239                                             | 2,316.34              | 21.130                            | 3,211.76                | 895.42                          | ST                |
| Security total                               |            | 429,000          | 15.089                                             | 6,473.20              |                                   | 9,064.77                | 2,591.57                        |                   |
| WPP PLC NEW SPON ADR                         |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol WPP Exchange NYSE                     |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$634 Current yield 6.01%                | Oct 23, 17 | 23,000           | 90.501                                             | 2,081.53              | 62.440                            | 1,436.12                | -645.41                         | LT                |
|                                              | Nov 13, 17 | 22,000           | 84.775                                             | 1,865.07              | 62.440                            | 1,373.68                | -491.39                         | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                               | Trade date          | Number of shares | Purchase price/<br>Average price per share (\$) | Cost basis (\$)     | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------------|---------------------|------------------|-------------------------------------------------|---------------------|--------------------------------|----------------------|------------------------------|----------------|
|                                       | Jan 25, 18          | 33,000           | 92.889                                          | 3,065.34            | 62.440                         | 2,060.52             | -1,004.82                    | LT             |
|                                       | Mar 8, 18           | 31,000           | 85.216                                          | 2,641.71            | 62.440                         | 1,935.64             | -706.07                      | LT             |
|                                       | Mar 22, 18          | 33,000           | 77.642                                          | 2,562.20            | 62.440                         | 2,060.52             | -501.68                      | LT             |
|                                       | Nov 5, 18           | 27,000           | 58.347                                          | 1,575.39            | 62.440                         | 1,685.88             | 110.49                       | ST             |
| Security total                        |                     | 169,000          | 81.605                                          | 13,791.24           |                                | 10,552.36            | -3,238.88                    |                |
| ZURICH INS GROUP LTD SPON ADR         |                     |                  |                                                 |                     |                                |                      |                              |                |
| Symbol ZURVY                          | Exchange OTC        |                  |                                                 |                     |                                |                      |                              |                |
| EAI \$367                             | Current yield 4.05% |                  |                                                 |                     |                                |                      |                              |                |
|                                       | Jul 8, 11           | 4,000            | 24.812                                          | 99.25               | 39.210                         | 156.84               | 57.59                        | LT             |
|                                       | Jul 11, 11          | 91,000           | 24.356                                          | 2,216.44            | 39.210                         | 3,568.11             | 1,351.67                     | LT             |
|                                       | Dec 4, 15           | 136,000          | 25.896                                          | 3,521.94            | 39.210                         | 5,332.56             | 1,810.62                     | LT             |
| Security total                        |                     | 231,000          | 25.271                                          | 5,837.63            |                                | 9,057.51             | 3,219.88                     |                |
| <b>Total</b>                          |                     |                  |                                                 | <b>\$448,757.12</b> |                                | <b>\$468,873.73</b>  | <b>\$20,116.61</b>           |                |
| <b>Total estimated annual income:</b> |                     | <b>\$17,807</b>  |                                                 |                     |                                |                      |                              |                |

<sup>2</sup> Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

**Equities****Common stock**

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price per share (\$) | Cost basis (\$) | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|-------------------------------|------------|------------------|-------------------------------------------------|-----------------|--------------------------------|----------------------|------------------------------|----------------|
| AGILENT TECHNOLOGIES INC      |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol A Exchange NYSE        |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI \$43 Current yield 0.86%  | Jul 22, 19 | 50,000           | 69.265                                          | 3,463.28        | 75.750                         | 3,787.50             | 324.22                       | ST             |
|                               | Sep 24, 19 | 16,000           | 76.045                                          | 1,216.72        | 75.750                         | 1,212.00             | -4.72                        | ST             |
| Security total                |            | 66,000           | 70.909                                          | 4,680.00        |                                | 4,999.50             | 319.50                       |                |
| ALEXANDRIA REAL ESTATE        |            |                  |                                                 |                 |                                |                      |                              |                |
| EQUITIES                      |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol ARE Exchange NYSE      |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI \$132 Current yield 2.52% | Jul 9, 19  | 26,000           | 147.464                                         | 3,834.07        | 158.750                        | 4,127.50             | 293.43                       | ST             |
|                               | Sep 24, 19 | 7,000            | 153.375                                         | 1,073.63        | 158.750                        | 1,111.25             | 37.62                        | ST             |
| Security total                |            | 33,000           | 148.718                                         | 4,907.70        |                                | 5,238.75             | 331.05                       |                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                              | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct. 31 (\$) | Value on<br>Oct. 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------------|------------|------------------|----------------------------------------------------|-----------------|------------------------------------|--------------------------|---------------------------------|-------------------|
| <b>ALLSTATE CORP</b>                 |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol. ALL Exchange NYSE            |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$94 Current yield 1.88%         | Nov 17, 17 | 36 000           | 99 983                                             | 3,599 40        | 106.420                            | 3,831 12                 | 231 72                          | LT                |
|                                      | Sep 24, 19 | 11 000           | 107 807                                            | 1,185 88        | 106.420                            | 1,170.62                 | -15 26                          | ST                |
| Security total                       |            | 47 000           | 101 814                                            | 4,785 28        |                                    | 5,001 74                 | 216 46                          |                   |
| <b>AMERIPRISE FINANCIAL INC</b>      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol AMP Exchange NYSE             |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$136 Current yield. 2.58%       | Jul 26, 19 | 26 000           | 149 641                                            | 3,890 68        | 150.890                            | 3,923.14                 | 32.46                           | ST                |
|                                      | Sep 12, 19 | 1 000            | 146 780                                            | 146 78          | 150.890                            | 150 89                   | 4 11                            | ST                |
|                                      | Sep 24, 19 | 8 000            | 144 415                                            | 1,155 32        | 150.890                            | 1,207.12                 | 51 80                           | ST                |
| Security total                       |            | 35 000           | 148 365                                            | 5,192.78        |                                    | 5,281 15                 | 88 37                           |                   |
| <b>AT&amp;T INC</b>                  |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol T Exchange NYSE               |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$561 Current yield 5.30%        | Mar 20, 14 | 78 359           | 33 868                                             | 2,653 87        | 38.490                             | 3,016.04                 | 362 17                          | LT                |
|                                      | May 18, 18 | 133 641          | 32 540                                             | 4,348.68        | 38 490                             | 5,143.84                 | 795 16                          | LT                |
|                                      | Sep 24, 19 | 63 000           | 37 459                                             | 2,359 97        | 38 490                             | 2,424.87                 | 64.90                           | ST                |
| Security total                       |            | 275 000          | 34 046                                             | 9,362 52        |                                    | 10,584.75                | 1,222 23                        |                   |
| <b>AUTOMATIC DATA PROCESSING INC</b> |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol. ADP Exchange OTC             |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$98 Current yield 1.95%         | Oct 16, 19 | 31 000           | 163 976                                            | 5,083 28        | 162 230                            | 5,029 13                 | -54 15                          | ST                |
| <b>AVERY DENNISON CORP</b>           |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol AVY Exchange NYSE             |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$104 Current yield. 1.81%       | Jun 28, 19 | 34 000           | 114 966                                            | 3,908 86        | 127 860                            | 4,347 24                 | 438 38                          | ST                |
|                                      | Sep 24, 19 | 11 000           | 113 049                                            | 1,243 54        | 127.860                            | 1,406 46                 | 162 92                          | ST                |
| Security total                       |            | 45 000           | 114 498                                            | 5,152 40        |                                    | 5,753 70                 | 601 30                          | -                 |
| <b>BANK OF AMER CORP</b>             |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol BAC Exchange NYSE             |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$258 Current yield 2.30%        | Jul 24, 17 | 275 000          | 24 005                                             | 6,601 49        | 31.270                             | 8,599.25                 | 1,997 76                        | LT                |
|                                      | Sep 24, 19 | 83 000           | 28 907                                             | 2,399.33        | 31.270                             | 2,595.41                 | 196 08                          | ST                |
| Security total                       |            | 358 000          | 25 142                                             | 9,000 82        |                                    | 11,194 66                | 2,193 84                        |                   |
| <b>BROADCOM INC</b>                  |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol AVGO Exchange OTC             |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$191 Current yield 3.62%        | Mar 27, 19 | 14 000           | 294 441                                            | 4,122 18        | 292.850                            | 4,099.90                 | -22 28                          | ST                |
|                                      | Sep 24, 19 | 4 000            | 282 267                                            | 1,129 07        | 292.850                            | 1,171 40                 | 42 33                           | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                         | Trade date | Number of shares | Purchase price/<br>Average price per share (\$) | Cost basis (\$) | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|------------------|-------------------------------------------------|-----------------|--------------------------------|----------------------|------------------------------|----------------|
| Security total                  |            | 18,000           | 291.736                                         | 5,251.25        |                                | 5,271.30             | 20.05                        |                |
| CELANESE CORP NEW               |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol: CE Exchange: NYSE       |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI: \$102 Current yield: 2.05% | Nov 23, 18 | 31,000           | 102.977                                         | 3,192.31        | 121.150                        | 3,755.65             | 563.34                       | ST             |
| Security total                  | Sep 24, 19 | 10,000           | 121.490                                         | 1,214.90        | 121.150                        | 1,211.50             | -3.40                        | ST             |
|                                 |            | 41,000           | 107.493                                         | 4,407.21        |                                | 4,967.15             | 559.94                       |                |
| CHEVRON CORP                    |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol: CVX Exchange: NYSE      |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI: \$795 Current yield: 4.10% | Jun 5, 19  | 32,000           | 117.292                                         | 3,753.35        | 116.140                        | 3,716.48             | -36.87                       | ST             |
|                                 | Jul 5, 19  | 33,000           | 123.566                                         | 4,077.70        | 116.140                        | 3,832.62             | -245.08                      | ST             |
|                                 | Aug 1, 19  | 34,000           | 122.977                                         | 4,181.25        | 116.140                        | 3,948.76             | -232.49                      | ST             |
|                                 | Aug 2, 19  | 31,000           | 120.476                                         | 3,734.77        | 116.140                        | 3,600.34             | -134.43                      | ST             |
|                                 | Sep 24, 19 | 37,000           | 123.722                                         | 4,577.74        | 116.140                        | 4,297.18             | -280.56                      | ST             |
| Security total                  |            | 167,000          | 121.705                                         | 20,324.81       |                                | 19,395.38            | -929.43                      |                |
| CISCO SYSTEMS INC               |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol: CSCO Exchange: OTC      |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI: \$143 Current yield: 2.95% | May 22, 12 | 51,000           | 16.698                                          | 851.62          | 47.510                         | 2,423.01             | 1,571.39                     | LT             |
|                                 | May 30, 12 | 17,000           | 16.447                                          | 279.61          | 47.510                         | 807.67               | 528.06                       | LT             |
|                                 | Sep 12, 19 | 11,000           | 49.950                                          | 549.45          | 47.510                         | 522.61               | -26.84                       | ST             |
|                                 | Sep 24, 19 | 23,000           | 49.177                                          | 1,131.08        | 47.510                         | 1,092.73             | -38.35                       | ST             |
| Security total                  |            | 102,000          | 27.566                                          | 2,811.76        |                                | 4,846.02             | 2,034.26                     |                |
| CITIGROUP INC                   |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol: C Exchange: NYSE        |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI: \$310 Current yield: 2.84% | Oct 25, 13 | 12,000           | 49.999                                          | 599.99          | 71.860                         | 862.32               | 262.33                       | LT             |
|                                 | Oct 29, 13 | 30,000           | 50.282                                          | 1,508.47        | 71.860                         | 2,155.80             | 647.33                       | LT             |
|                                 | Sep 28, 17 | 73,000           | 72.667                                          | 5,304.71        | 71.860                         | 5,245.78             | -58.93                       | LT             |
|                                 | Apr 16, 19 | 3,000            | 68.476                                          | 205.43          | 71.860                         | 215.58               | 10.15                        | ST             |
|                                 | Sep 24, 19 | 34,000           | 67.770                                          | 2,304.18        | 71.860                         | 2,443.24             | 139.06                       | ST             |
| Security total                  |            | 152,000          | 65.281                                          | 9,922.78        |                                | 10,922.72            | 999.94                       |                |
| CITIZENS FINANCIAL GROUP INC    |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol: CFG Exchange: NYSE      |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI: \$194 Current yield: 3.86% | Oct 24, 17 | 111,000          | 38.647                                          | 4,289.85        | 35.160                         | 3,902.76             | -387.09                      | LT             |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                | Sep 24, 19 | 32,000           | 35.219                                             | 1,127.01        | 35.160                            | 1,125.12                | -1.89                           | ST                |
| COLUMBIA SPORTSWEAR CO        |            | 143,000          | 37.880                                             | 5,416.86        |                                   | 5,027.88                | -388.98                         |                   |
| Symbol COLM Exchange OTC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$51 Current yield 1.06%  | Jul 26, 19 | 38,000           | 104.234                                            | 3,960.91        | 90.450                            | 3,437.10                | -523.81                         | ST                |
| Security total                | Sep 24, 19 | 15,000           | 95.365                                             | 1,430.48        | 90.450                            | 1,356.75                | -73.73                          | ST                |
|                               |            | 53,000           | 101.724                                            | 5,391.39        |                                   | 4,793.85                | -597.54                         |                   |
| COMCAST CORP NEW CL A         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CMCSA Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$287 Current yield 1.87% | Jun 29, 18 | 180,000          | 33.087                                             | 5,955.68        | 44.820                            | 8,067.60                | 2,111.92                        | LT                |
| Security total                | May 3, 19  | 79,000           | 43.409                                             | 3,429.37        | 44.820                            | 3,540.78                | 111.41                          | ST                |
|                               | Sep 24, 19 | 83,000           | 45.609                                             | 3,785.56        | 44.820                            | 3,720.06                | -65.50                          | ST                |
|                               |            | 342,000          | 38.511                                             | 13,170.61       |                                   | 15,328.44               | 2,157.83                        |                   |
| COMERICA INC                  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CMA Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$209 Current yield 4.10% | Jul 30, 18 | 41,000           | 96.689                                             | 3,964.28        | 65.420                            | 2,682.22                | -1,282.06                       | LT                |
| Security total                | Apr 16, 19 | 14,000           | 78.839                                             | 1,103.75        | 65.420                            | 915.88                  | -187.87                         | ST                |
|                               | Sep 12, 19 | 5,000            | 64.992                                             | 324.96          | 65.420                            | 327.10                  | 2.14                            | ST                |
|                               | Sep 24, 19 | 18,000           | 64.359                                             | 1,158.47        | 65.420                            | 1,177.56                | 19.09                           | ST                |
|                               |            | 78,000           | 83.993                                             | 6,551.46        |                                   | 5,102.76                | -1,448.70                       |                   |
| CONOCOPHILLIPS                |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol COP Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$296 Current yield 3.05% | Aug 30, 17 | 113,000          | 43.315                                             | 4,894.69        | 55.200                            | 6,237.60                | 1,342.91                        | LT                |
| Security total                | Apr 16, 19 | 9,000            | 66.237                                             | 596.14          | 55.200                            | 496.80                  | -99.34                          | ST                |
|                               | Jul 24, 19 | 10,000           | 60.507                                             | 605.07          | 55.200                            | 552.00                  | -53.07                          | ST                |
|                               | Sep 12, 19 | 10,000           | 56.646                                             | 566.46          | 55.200                            | 552.00                  | -14.46                          | ST                |
|                               | Sep 24, 19 | 34,000           | 58.705                                             | 1,995.97        | 55.200                            | 1,876.80                | -119.17                         | ST                |
|                               |            | 176,000          | 49.195                                             | 8,658.33        |                                   | 9,715.20                | 1,056.87                        |                   |
| CORESITE RLTY CORP COM REIT   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol COR Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$205 Current yield 4.15% | Aug 2, 19  | 35,000           | 108.476                                            | 3,796.67        | 117.500                           | 4,112.50                | 315.83                          | ST                |
| Security total                | Sep 24, 19 | 7,000            | 118.260                                            | 827.82          | 117.500                           | 822.50                  | -5.32                           | ST                |
|                               |            | 42,000           | 110.107                                            | 4,624.49        |                                   | 4,935.00                | 310.51                          |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                            | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|------------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>CRANE CO</b>                    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CR Exchange NYSE            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$100 Current yield 2.04%      | Oct 14, 19 | 64 000           | 78 978                                             | 5,054 63        | 76 520                            | 4,897 28                | -157 35                         | ST                |
| <b>CROWN CASTLE INTL CORP REIT</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CCI Exchange: NYSE          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$168 Current yield 3.46%      | Jul 23, 19 | 28 000           | 127 177                                            | 3,560 98        | 138 790                           | 3,886 12                | 325 14                          | ST                |
|                                    | Sep 24, 19 | 7 000            | 142 370                                            | 996 59          | 138 790                           | 971 53                  | -25 06                          | ST                |
| Security total                     |            | 35 000           | 130 216                                            | 4,557 57        |                                   | 4,857 65                | 300 08                          |                   |
| <b>CURTISS WRIGHT CORP</b>         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CW Exchange NYSE            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$27 Current yield 0.51%       | Jun 27, 19 | 28 000           | 122 268                                            | 3,423 53        | 135 250                           | 3,787 00                | 363 47                          | ST                |
|                                    | Sep 24, 19 | 11 000           | 128 450                                            | 1,412.95        | 135 250                           | 1,487.75                | 74 80                           | ST                |
| Security total                     |            | 39 000           | 124 012                                            | 4,836 48        |                                   | 5,274 75                | 438 27                          |                   |
| <b>DARDEN RESTAURANTS INC</b>      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol DRI Exchange: NYSE          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$148 Current yield 3.14%      | Aug 9, 19  | 31 000           | 122 607                                            | 3,800 83        | 112 270                           | 3,480 37                | -320 46                         | ST                |
|                                    | Sep 24, 19 | 11 000           | 118 188                                            | 1,300 07        | 112 270                           | 1,234 97                | -65 10                          | ST                |
| Security total                     |            | 42 000           | 121 450                                            | 5,100 90        |                                   | 4,715 34                | -385 56                         |                   |
| <b>DIAGEO PLC NEW GB SPON ADR</b>  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol DEO Exchange NYSE           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$106 Current yield 2.09%      | Jul 29, 19 | 23 000           | 167 637                                            | 3,855 67        | 163 870                           | 3,769.01                | -86 66                          | ST                |
|                                    | Sep 24, 19 | 8 000            | 162 315                                            | 1,298 52        | 163 870                           | 1,310 96                | 12 44                           | ST                |
| Security total                     |            | 31 000           | 166 264                                            | 5,154 19        |                                   | 5,079 97                | -74 22                          |                   |
| <b>DOW INC</b>                     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol DOW Exchange NYSE           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$305 Current yield 5.54%      | Apr 4, 19  | 66 000           | 59 915                                             | 3,954 44        | 50 490                            | 3,332 34                | -622 10                         | ST                |
|                                    | Sep 12, 19 | 18 000           | 46 969                                             | 845 45          | 50 490                            | 908.82                  | 63 37                           | ST                |
|                                    | Sep 24, 19 | 25 000           | 46 195                                             | 1,154 88        | 50 490                            | 1,262 25                | 107 37                          | ST                |
| Security total                     |            | 109 000          | 54 631                                             | 5,954.77        |                                   | 5,503 41                | -451 36                         |                   |
| <b>EATON CORP PLC</b>              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ETN Exchange NYSE           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$176 Current yield 3.26%      | Oct 28, 15 | 28 000           | 45 800                                             | 1,282.40        | 87 110                            | 2,439 08                | 1,156.68                        | LT                |
|                                    | Sep 24, 19 | 34 000           | 82 244                                             | 2,796.32        | 87 110                            | 2,961 74                | 165.42                          | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                         | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>Security total</b>           |            | <b>62,000</b>    | <b>65 786</b>                                      | <b>4,078.72</b> |                                   | <b>5,400 82</b>         | <b>1,322 10</b>                 |                   |
| <b>EMCOR GROUP INC</b>          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: EME Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$19 Current yield 0.37%    | Aug 29, 19 | 44,000           | 85 275                                             | 3,752.13        | 87 710                            | 3,859.24                | 107 11                          | ST                |
|                                 | Sep 24, 19 | 15,000           | 85 600                                             | 1,284.00        | 87 710                            | 1,315.65                | 31.65                           | ST                |
| <b>Security total</b>           |            | <b>59,000</b>    | <b>85 358</b>                                      | <b>5,036 13</b> |                                   | <b>5,174 89</b>         | <b>138 76</b>                   |                   |
| <b>EOG RESOURCES INC</b>        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: EOG Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$74 Current yield 1.67%    | Sep 11, 19 | 49,000           | 80 988                                             | 3,968.46        | 69 310                            | 3,396.19                | -572 27                         | ST                |
|                                 | Sep 24, 19 | 15,000           | 78 230                                             | 1,173.45        | 69 310                            | 1,039.65                | -133 80                         | ST                |
| <b>Security total</b>           |            | <b>64,000</b>    | <b>80 342</b>                                      | <b>5,141.91</b> |                                   | <b>4,435 84</b>         | <b>-706 07</b>                  |                   |
| <b>EXELON CORP</b>              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: EXC Exchange OTC        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$307 Current yield 3.18%   | Oct 26, 18 | 89,000           | 43 898                                             | 3,906.98        | 45 490                            | 4,048.61                | 141 63                          | LT                |
|                                 | Dec 6, 18  | 84,000           | 46 253                                             | 3,885.27        | 45 490                            | 3,821.16                | -64 11                          | ST                |
|                                 | Sep 24, 19 | 39,000           | 48 605                                             | 1,895.60        | 45 490                            | 1,774.11                | -121 49                         | ST                |
| <b>Security total</b>           |            | <b>212,000</b>   | <b>45 697</b>                                      | <b>9,687 85</b> |                                   | <b>9,643 88</b>         | <b>-43 97</b>                   |                   |
| <b>EXPEDITORS INTL WASH INC</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: EXPD Exchange: OTC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$67 Current yield 1.37%    | Sep 30, 19 | 32,000           | 74 113                                             | 2,371.64        | 72 940                            | 2,334.08                | -37 56                          | ST                |
|                                 | Oct 15, 19 | 35,000           | 74 963                                             | 2,623.71        | 72 940                            | 2,552.90                | -70 81                          | ST                |
| <b>Security total</b>           |            | <b>67,000</b>    | <b>74 557</b>                                      | <b>4,995 35</b> |                                   | <b>4,886 98</b>         | <b>-108 37</b>                  |                   |
| <b>GENERAL MOTORS CO</b>        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: GM Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$208 Current yield 4.09%   | Nov 4, 15  | 100,000          | 35 466                                             | 3,546.68        | 37 160                            | 3,716.00                | 169 32                          | LT                |
|                                 | Sep 24, 19 | 37,000           | 36 710                                             | 1,358.27        | 37 160                            | 1,374.92                | 16 65                           | ST                |
| <b>Security total</b>           |            | <b>137,000</b>   | <b>35 803</b>                                      | <b>4,904 95</b> |                                   | <b>5,090 92</b>         | <b>185 97</b>                   |                   |
| <b>GENL DYNAMICS CORP</b>       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: GD Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$57 Current yield 2.30%    | Oct 29, 19 | 14,000           | 176 840                                            | 2,475.76        | 176 800                           | 2,475.20                | -0 56                           | ST                |
| <b>GENL ELECTRIC CO</b>         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: GE Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$11 Current yield 0.42%    | Mar 22, 19 | 202,000          | 10 184                                             | 2,057.19        | 9 980                             | 2,015.96                | -41 23                          | ST                |
|                                 | Sep 24, 19 | 62,000           | 9 015                                              | 558.93          | 9 980                             | 618.76                  | 59 83                           | ST                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                          | Trade date | Number of Shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|----------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                   |            | 264,000          | 9 910                                              | 2,616 12        |                                   | 2,634.72                | 18 60                           |                   |
| <b>HILL ROM HOLDINGS INC</b>     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: HRC Exchange: NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$39 Current yield: 0.79%   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                  | Jul 15, 19 | 37 000           | 105 918                                            | 3,918 98        | 104.690                           | 3,873.53                | -45 45                          | ST                |
|                                  | Sep 24, 19 | 10 000           | 106 110                                            | 1,061 10        | 104.690                           | 1,046 90                | -14.20                          | ST                |
| Security total                   |            | 47 000           | 105 959                                            | 4,980.08        |                                   | 4,920 43                | -59 65                          |                   |
| <b>HONEYWELL INTL INC</b>        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: HON Exchange: NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$108 Current yield: 2.08%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                  | Nov 30, 16 | 23 000           | 109 471                                            | 2,517 85        | 172.730                           | 3,972 79                | 1,454 94                        | LT                |
|                                  | Sep 24, 19 | 7 000            | 165.475                                            | 1,158 33        | 172.730                           | 1,209.11                | 50.78                           | ST                |
| Security total                   |            | 30 000           | 122 539                                            | 3,676 18        |                                   | 5,181 90                | 1,505 72                        |                   |
| <b>HUMANA INC</b>                |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: HUM Exchange: NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$40 Current yield: 0.76%   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                  | Jun 28, 19 | 15 000           | 265 044                                            | 3,975 66        | 294 200                           | 4,413 00                | 437 34                          | ST                |
|                                  | Sep 24, 19 | 3 000            | 274 480                                            | 823 44          | 294 200                           | 882 60                  | 59 16                           | ST                |
| Security total                   |            | 18 000           | 266 617                                            | 4,799 10        |                                   | 5,295.60                | 496 50                          |                   |
| <b>INTEL CORP</b>                |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: INTC Exchange: OTC       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$261 Current yield: 2.23%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                  | Sep 13, 12 | 41 000           | 23.098                                             | 947.03          | 56.530                            | 2,317 73                | 1,370.70                        | LT                |
|                                  | Oct 4, 13  | 120 000          | 22 729                                             | 2,727.52        | 56.530                            | 6,783 60                | 4,056 08                        | LT                |
|                                  | Sep 24, 19 | 46 000           | 49.835                                             | 2,292.41        | 56.530                            | 2,600 38                | 307 97                          | ST                |
| Security total                   |            | 207 000          | 28 826                                             | 5,966 96        |                                   | 11,701 71               | 5,734 75                        |                   |
| <b>JOHNSON &amp; JOHNSON COM</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol: JNJ Exchange: NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI: \$593 Current yield: 2.88%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                  | May 15, 09 | 17 000           | 55 290                                             | 939 93          | 132 040                           | 2,244 68                | 1,304 75                        | LT                |
|                                  | Mar 21, 11 | 41 000           | 58 897                                             | 2,414.81        | 132 040                           | 5,413 64                | 2,998 83                        | LT                |
|                                  | Apr 16, 19 | 30 000           | 139 207                                            | 4,176 23        | 132 040                           | 3,961 20                | -215 03                         | ST                |
|                                  | Jul 30, 19 | 20 000           | 132 076                                            | 2,641 52        | 132 040                           | 2,640.80                | -0 72                           | ST                |
|                                  | Aug 1, 19  | 14 000           | 129 372                                            | 1,811 21        | 132 040                           | 1,848 56                | 37 35                           | ST                |
|                                  | Sep 24, 19 | 34 000           | 131 532                                            | 4,472 11        | 132.040                           | 4,489.36                | 17 25                           | ST                |
| Security total                   |            | 156 000          | 105 486                                            | 16,455.81       |                                   | 20,598 24               | 4,142 43                        |                   |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                        | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>JPMORGAN CHASE &amp; CO</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol JPM Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$634 Current yield 2.88%  | Nov 4, 11  | 44,000           | 33 754                                             | 1,485.18        | 124.920                           | 5,496.48                | 4,011.30                        | LT                |
|                                | Jun 8, 12  | 91,000           | 33 591                                             | 3,056.87        | 124.920                           | 11,367.72               | 8,310.85                        | LT                |
|                                | Sep 24, 19 | 41,000           | 117 480                                            | 4,816.68        | 124.920                           | 5,121.72                | 305.04                          | ST                |
| Security total                 |            | 176,000          | 53 175                                             | 9,358.73        |                                   | 21,985.92               | 12,627.19                       |                   |
| <b>KANSAS CITY STHN NEW</b>    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol KSU Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$55 Current yield 1.03%   | Jun 8, 17  | 33,000           | 98 318                                             | 3,244.52        | 140 780                           | 4,645.74                | 1,401.22                        | LT                |
|                                | Sep 24, 19 | 5,000            | 132 648                                            | 663.24          | 140 780                           | 703.90                  | 40.66                           | ST                |
| Security total                 |            | 38,000           | 102 836                                            | 3,907.76        |                                   | 5,349.64                | 1,441.88                        |                   |
| <b>LAMB WESTON HLDGS INC</b>   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol LW Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$54 Current yield 1.02%   | Jul 9, 19  | 54,000           | 64 884                                             | 3,503.75        | 78 040                            | 4,214.16                | 710.41                          | ST                |
|                                | Sep 24, 19 | 14,000           | 74 030                                             | 1,036.42        | 78 040                            | 1,092.56                | 56.14                           | ST                |
| Security total                 |            | 68,000           | 66 767                                             | 4,540.17        |                                   | 5,306.72                | 766.55                          |                   |
| <b>LOCKHEED MARTIN CORP</b>    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol LMT Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$259 Current yield 2.55%  | Jul 13, 18 | 22,000           | 317 265                                            | 6,979.83        | 376 680                           | 8,286.96                | 1,307.13                        | LT                |
|                                | Sep 24, 19 | 5,000            | 388 680                                            | 1,943.40        | 376.680                           | 1,883.40                | -60.00                          | ST                |
| Security total                 |            | 27,000           | 330 490                                            | 8,923.23        |                                   | 10,170.36               | 1,247.13                        |                   |
| <b>LOWES COMPANIES INC</b>     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol LOW Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$205 Current yield 1.98%  | Jun 3, 19  | 39,000           | 94 322                                             | 3,678.56        | 111.610                           | 4,352.79                | 674.23                          | ST                |
|                                | Aug 21, 19 | 32,000           | 107 999                                            | 3,455.98        | 111 610                           | 3,571.52                | 115.54                          | ST                |
|                                | Sep 24, 19 | 22,000           | 110 717                                            | 2,435.79        | 111.610                           | 2,455.42                | 19.63                           | ST                |
| Security total                 |            | 93,000           | 102 907                                            | 9,570.33        |                                   | 10,379.73               | 809.40                          |                   |
| <b>M &amp; T BANK CORP</b>     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MTB Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$132 Current yield 2.56%  | Jul 15, 19 | 23,000           | 172 837                                            | 3,975.26        | 156.530                           | 3,600.19                | -375.07                         | ST                |
|                                | Jul 24, 19 | 1,000            | 161 190                                            | 161.19          | 156.530                           | 156.53                  | -4.66                           | ST                |
|                                | Sep 12, 19 | 1,000            | 157 970                                            | 157.97          | 156.530                           | 156.53                  | -1.44                           | ST                |
|                                | Sep 24, 19 | 8,000            | 154 700                                            | 1,237.60        | 156.530                           | 1,252.24                | 14.64                           | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                |            | 33,000           | 167.637                                            | 5,532.02        |                                   | 5,165.49                | -366.53                         |                   |
| <b>MEDTRONIC PLC</b>          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MDT Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$201 Current yield 1.98% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Jul 25, 17 | 65,000           | 85.852                                             | 5,580.44        | 108.900                           | 7,078.50                | 1,498.06                        | LT                |
|                               | Apr 16, 19 | 8,000            | 87.005                                             | 696.04          | 108.900                           | 871.20                  | 175.16                          | ST                |
|                               | Sep 24, 19 | 20,000           | 110.548                                            | 2,210.96        | 108.900                           | 2,178.00                | -32.96                          | ST                |
| Security total                |            | 93,000           | 91.263                                             | 8,487.44        |                                   | 10,127.70               | 1,640.26                        |                   |
| <b>METLIFE INC</b>            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MET Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$187 Current yield 3.77% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Nov 27, 13 | 29,000           | 46.959                                             | 1,361.82        | 46.790                            | 1,356.91                | -4.91                           | LT                |
|                               | Nov 29, 13 | 21,000           | 46.922                                             | 985.38          | 46.790                            | 982.59                  | -2.79                           | LT                |
|                               | Mar 8, 16  | 30,000           | 37.645                                             | 1,129.37        | 46.790                            | 1,403.70                | 274.33                          | LT                |
|                               | Sep 22, 17 | 1,000            | 50.830                                             | 50.83           | 46.790                            | 46.79                   | -4.04                           | LT                |
|                               | Sep 24, 19 | 25,000           | 47.219                                             | 1,180.48        | 46.790                            | 1,169.75                | -10.73                          | ST                |
| Security total                |            | 106,000          | 44.414                                             | 4,707.88        |                                   | 4,959.74                | 251.86                          |                   |
| <b>MONDELEZ INTL INC</b>      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MDLZ Exchange OTC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$104 Current yield 2.18% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Mar 2, 18  | 71,000           | 44.271                                             | 3,143.29        | 52.450                            | 3,723.95                | 580.66                          | LT                |
|                               | Sep 24, 19 | 20,000           | 55.008                                             | 1,100.16        | 52.450                            | 1,049.00                | -51.16                          | ST                |
| Security total                |            | 91,000           | 46.631                                             | 4,243.45        |                                   | 4,772.95                | 529.50                          |                   |
| <b>MORGAN STANLEY</b>         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MS Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$339 Current yield 3.04% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Mar 6, 17  | 164,000          | 46.320                                             | 7,596.52        | 46.050                            | 7,552.20                | -44.32                          | LT                |
|                               | Jul 24, 19 | 13,000           | 45.300                                             | 588.90          | 46.050                            | 598.65                  | 9.75                            | ST                |
|                               | Sep 24, 19 | 65,000           | 42.558                                             | 2,766.28        | 46.050                            | 2,993.25                | 226.97                          | ST                |
| Security total                |            | 242,000          | 45.255                                             | 10,951.70       |                                   | 11,144.10               | 192.40                          |                   |
| <b>ORACLE CORP</b>            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ORCL Exchange NYSE     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$185 Current yield 1.76% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Jan 17, 19 | 56,000           | 48.566                                             | 2,719.70        | 54.490                            | 3,051.44                | 331.74                          | ST                |
|                               | Feb 21, 19 | 80,000           | 52.006                                             | 4,160.54        | 54.490                            | 4,359.20                | 198.66                          | ST                |
|                               | Sep 24, 19 | 57,000           | 53.389                                             | 3,043.22        | 54.490                            | 3,105.93                | 62.71                           | ST                |
| Security total                |            | 193,000          | 51.417                                             | 9,923.46        |                                   | 10,516.57               | 593.11                          |                   |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                             | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------------|------------|------------------|----------------------------------------------------|-----------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>PFIZER INC</b>                   |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol PFE Exchange NYSE            |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$200 Current yield 3.75%       | Nov 30, 16 | 107,000          | 31.959                                             | 3,419.70              | 38.370                            | 4,105.59                | 685.89                          | LT                |
|                                     | Sep 24, 19 | 32,000           | 36.235                                             | 1,159.52              | 38.370                            | 1,227.84                | 68.32                           | ST                |
| Security total                      |            | 139,000          | 32.944                                             | 4,579.22              |                                   | 5,333.43                | 754.21                          |                   |
| <b>PHILIP MORRIS INTL INC</b>       |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol PM Exchange NYSE             |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$459 Current yield 5.75%       | Feb 21, 19 | 5,000            | 85.418                                             | 427.09                | 81.440                            | 407.20                  | -19.89                          | ST                |
|                                     | Mar 22, 19 | 39,000           | 92.157                                             | 3,594.16              | 81.440                            | 3,176.16                | -418.00                         | ST                |
|                                     | Apr 16, 19 | 7,000            | 86.115                                             | 602.81                | 81.440                            | 570.08                  | -32.73                          | ST                |
|                                     | Sep 24, 19 | 19,000           | 71.535                                             | 1,614.12 <sup>2</sup> | 81.440                            | 1,547.36                | -66.76                          | ST                |
|                                     | Oct 8, 19  | 28,000           | 76.654                                             | 2,146.33              | 81.440                            | 2,280.32                | 133.99                          | ST                |
| Security total                      |            | 98,000           | 85.556                                             | 8,384.51              |                                   | 7,981.12                | -403.39                         |                   |
| <b>PINNACLE WEST CAP CORP</b>       |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol PNW Exchange NYSE            |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$160 Current yield 3.33%       | Aug 16, 19 | 39,000           | 94.275                                             | 3,676.76              | 94.120                            | 3,670.68                | -6.08                           | ST                |
|                                     | Sep 24, 19 | 12,000           | 98.009                                             | 1,176.11              | 94.120                            | 1,129.44                | -46.67                          | ST                |
| Security total                      |            | 51,000           | 95.154                                             | 4,852.87              |                                   | 4,800.12                | -52.75                          |                   |
| <b>PNC FINANCIAL SERVICES GROUP</b> |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol PNC Exchange NYSE            |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$166 Current yield 3.14%       | Jul 15, 19 | 28,000           | 141.030                                            | 3,948.84              | 146.700                           | 4,107.60                | 158.76                          | ST                |
|                                     | Sep 24, 19 | 8,000            | 140.320                                            | 1,122.56              | 146.700                           | 1,173.60                | 51.04                           | ST                |
| Security total                      |            | 36,000           | 140.872                                            | 5,071.40              |                                   | 5,281.20                | 209.80                          |                   |
| <b>PRIMERICA INC</b>                |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol PRI Exchange NYSE            |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$53 Current yield 1.08%        | Aug 16, 19 | 32,000           | 115.831                                            | 3,706.60              | 126.180                           | 4,037.76                | 331.16                          | ST                |
|                                     | Sep 24, 19 | 7,000            | 129.168                                            | 904.18                | 126.180                           | 883.26                  | -20.92                          | ST                |
| Security total                      |            | 39,000           | 118.225                                            | 4,610.78              |                                   | 4,921.02                | 310.24                          |                   |
| <b>PROGRESSIVE CORP OHIO</b>        |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol PGR Exchange NYSE            |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$54 Current yield 0.57%        | Jun 4, 19  | 46,000           | 81.207                                             | 3,735.53              | 69.700                            | 3,206.20                | -529.33                         | ST                |
|                                     | Jul 24, 19 | 3,000            | 80.136                                             | 240.41                | 69.700                            | 209.10                  | -31.31                          | ST                |
|                                     | Aug 9, 19  | 47,000           | 80.832                                             | 3,799.14              | 69.700                            | 3,275.90                | -523.24                         | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                                | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|----------------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                         | Sep 24, 19 | 39 000           | 76 248                                             | 2,973.68        | 69 700                            | 2,718.30                | -255.38                         | ST                |
| PUBLIC SERVICE ENTERPRISE<br>GROUP INC |            | 135 000          | 79 620                                             | 10,748 76       |                                   | 9,409 50                | -1,339 26                       |                   |
| Symbol PEG Exchange NYSE               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$152 Current yield 2 96%          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                        | Oct 7, 14  | 4 000            | 37 350                                             | 149 40          | 63 310                            | 253 24                  | 103 84                          | LT                |
|                                        | Oct 9, 14  | 43 000           | 37 876                                             | 1,628.70        | 63 310                            | 2,722.33                | 1,093 63                        | LT                |
|                                        | Sep 24, 19 | 34 000           | 62.168                                             | 2,113.72        | 63.310                            | 2,152 54                | 38 82                           | ST                |
| Security total                         |            | 81 000           | 48 047                                             | 3,891 82        |                                   | 5,128 11                | 1,236 29                        |                   |
| QUEST DIAGNOSTICS INC                  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol DGX Exchange NYSE               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$100 Current yield 2 10%          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                        | Jan 7, 16  | 39 000           | 68 362                                             | 2,666 15        | 101.250                           | 3,948.75                | 1,282 60                        | LT                |
|                                        | Sep 24, 19 | 8 000            | 106 297                                            | 850 38          | 101 250                           | 810.00                  | -40 38                          | ST                |
| Security total                         |            | 47 000           | 74 820                                             | 3,516.53        |                                   | 4,758 75                | 1,242 22                        |                   |
| REINSURANCE GROUP AMER INC NEW         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol RGA Exchange NYSE               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$182 Current yield 1 72%          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                        | Nov 24, 17 | 54 000           | 155 955                                            | 8,421 60        | 162 470                           | 8,773.38                | 351 78                          | LT                |
|                                        | Sep 24, 19 | 11 000           | 159 480                                            | 1,754.28        | 162.470                           | 1,787.17                | 32 89                           | ST                |
| Security total                         |            | 65 000           | 156.552                                            | 10,175.88       |                                   | 10,560 55               | 384 67                          |                   |
| RELANCE STEEL & ALUMINUM CO            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol RS Exchange NYSE                |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$112 Current yield 1 89%          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                        | Jun 27, 19 | 38 000           | 92 748                                             | 3,524 45        | 116.040                           | 4,409 52                | 885 07                          | ST                |
|                                        | Sep 24, 19 | 13 000           | 98 280                                             | 1,277 64        | 116 040                           | 1,508.52                | 230 88                          | ST                |
| Security total                         |            | 51 000           | 94 159                                             | 4,802 09        |                                   | 5,918.04                | 1,115 95                        |                   |
| ROCHE HLDG LTD SPONS ADR SWITZ<br>ADR  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol RHHBY Exchange OTC              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$95 Current yield 1 80%           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                        | Feb 8, 19  | 109 000          | 33 734                                             | 3,677 02        | 37 620                            | 4,100 58                | 423.56                          | ST                |
|                                        | Apr 16, 19 | 2 000            | 33 475                                             | 66.95           | 37 620                            | 75.24                   | 8 29                            | ST                |
|                                        | Sep 24, 19 | 29 000           | 35 780                                             | 1,037.62        | 37 620                            | 1,090 98                | 53 36                           | ST                |
| Security total                         |            | 140 000          | 34 154                                             | 4,781 59        |                                   | 5,266 80                | 485 21                          |                   |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                                   | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct. 31 (\$) | Value on<br>Oct. 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------------------|------------|------------------|----------------------------------------------------|-----------------------|------------------------------------|--------------------------|---------------------------------|-------------------|
| <b>ROYAL CARIBBEAN CRUISES LTD</b>        |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| <b>LIBERIA ORD</b>                        |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol: RCL Exchange: NYSE                |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$144 Current yield 2.88%             | Jul 15, 19 | 35 000           | 113 035                                            | 3,956.23              | 108 830                            | 3,809.05                 | -147.18                         | ST                |
|                                           | Sep 24, 19 | 11 000           | 108 680                                            | 1,195.48              | 108 830                            | 1,197.13                 | 1.65                            | ST                |
| Security total                            |            | 46 000           | 111 994                                            | 5,151.71              |                                    | 5,006.18                 | -145.53                         |                   |
| <b>ROYAL DUTCH SHELL PLC CLA</b>          |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| <b>SPON ADR</b>                           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol: RDSA Exchange: NYSE               |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$275 Current yield 5.52%             | Oct 28, 15 | 34 000           | 53 376                                             | 1,814.79              | 57 970                             | 1,970.98                 | 156.19                          | LT                |
|                                           | Mar 18, 16 | 20 000           | 49 464                                             | 989.29                | 57 970                             | 1,159.40                 | 170.11                          | LT                |
|                                           | Sep 24, 19 | 32 000           | 57 798                                             | 2,123.33 <sup>2</sup> | 57 970                             | 1,855.04                 | -268.29                         | LT                |
| Security total                            |            | 86 000           | 57 295                                             | 4,927.41              |                                    | 4,985.42                 | 58.01                           |                   |
| <b>STANLEY BLACK &amp; DECKER INC COM</b> |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol: SWK Exchange: NYSE                |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$99 Current yield 1.82%              | Sep 6, 19  | 28 000           | 137 993                                            | 3,863.81              | 151 330                            | 4,237.24                 | 373.43                          | ST                |
|                                           | Sep 24, 19 | 8 000            | 138 790                                            | 1,110.32              | 151 330                            | 1,210.64                 | 100.32                          | ST                |
| Security total                            |            | 36 000           | 138 170                                            | 4,974.13              |                                    | 5,447.88                 | 473.75                          |                   |
| <b>SYSCO CORP</b>                         |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol: SYY Exchange: NYSE                |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$100 Current yield 1.96%             | Jul 15, 19 | 48 000           | 72 897                                             | 3,499.07              | 79 870                             | 3,833.76                 | 334.69                          | ST                |
|                                           | Sep 24, 19 | 16 000           | 78 680                                             | 1,258.88              | 79 870                             | 1,277.92                 | 19.04                           | ST                |
| Security total                            |            | 64 000           | 74 343                                             | 4,757.95              |                                    | 5,111.68                 | 353.73                          |                   |
| <b>TC ENERGY CORP CAD</b>                 |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol: TRP Exchange: NYSE                |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$221 Current yield 4.53%             | Feb 21, 19 | 7 000            | 44 228                                             | 309.60                | 50 330                             | 352.31                   | 42.71                           | ST                |
| CAD Exchange rate 1.31435                 | May 21, 19 | 70 000           | 49 017                                             | 3,431.21              | 50 330                             | 3,523.10                 | 91.89                           | ST                |
|                                           | Sep 24, 19 | 20 000           | 51 688                                             | 1,033.76              | 50 330                             | 1,006.60                 | -27.16                          | ST                |
| Security total                            |            | 97 000           | 49 222                                             | 4,774.57              |                                    | 4,882.01                 | 107.44                          |                   |
| <b>TJX COS INC NEW</b>                    |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol: TJX Exchange: NYSE                |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$84 Current yield 1.60%              | Aug 22, 19 | 36 000           | 54 882                                             | 1,975.77              | 57 650                             | 2,075.40                 | 99.63                           | ST                |
|                                           | Aug 22, 19 | 33 000           | 54 155                                             | 1,787.13              | 57 650                             | 1,902.45                 | 115.32                          | ST                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                        | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                 | Sep 24, 19 | 22,000           | 55,237                                             | 1,215.23        | 57,650                            | 1,268.30                | 53.07                           | ST                |
| TORO CO                        |            | 91,000           | 54,705                                             | 4,978.13        |                                   | 5,246.15                | 268.02                          |                   |
| Symbol TTC Exchange. NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$62 Current yield 1.16%   | Jul 17, 19 | 56,000           | 69,403                                             | 3,886.57        | 77,130                            | 4,319.28                | 432.71                          | ST                |
|                                | Sep 24, 19 | 13,000           | 72,715                                             | 945.30          | 77,130                            | 1,002.69                | 57.39                           | ST                |
| Security total                 |            | 69,000           | 70,027                                             | 4,831.87        |                                   | 5,321.97                | 490.10                          |                   |
| TRACTOR SUPPLY COMPANY         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol TSCO Exchange OTC       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$77 Current yield 1.47%   | Oct 2, 19  | 55,000           | 90,772                                             | 4,992.51        | 95,020                            | 5,226.10                | 233.59                          | ST                |
| UNILEVER NV N Y SHS NEW        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| NETHERLANDS SPON SPON ADR      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol UN Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$264 Current yield 2.61%  | Aug 13, 19 | 65,000           | 57,694                                             | 3,750.15        | 59,240                            | 3,850.60                | 100.45                          | ST                |
|                                | Aug 16, 19 | 62,000           | 59,528                                             | 3,690.78        | 59,240                            | 3,672.88                | -17.90                          | ST                |
|                                | Sep 24, 19 | 44,000           | 60,180                                             | 2,647.95        | 59,240                            | 2,606.56                | -41.39                          | ST                |
| Security total                 |            | 171,000          | 58,999                                             | 10,088.88       |                                   | 10,130.04               | 41.16                           |                   |
| UNITD TECHNOLOGIES CORP        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol UTX Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$162 Current yield 2.05%  | Aug 11, 15 | 10,000           | 98,323                                             | 983.23          | 143,580                           | 1,435.80                | 452.57                          | LT                |
|                                | Jun 27, 19 | 31,000           | 128,981                                            | 3,998.44        | 143,580                           | 4,450.98                | 452.54                          | ST                |
|                                | Sep 24, 19 | 14,000           | 135,040                                            | 1,890.57        | 143,580                           | 2,010.12                | 119.55                          | ST                |
| Security total                 |            | 55,000           | 124,950                                            | 6,872.24        |                                   | 7,896.90                | 1,024.66                        |                   |
| US BANCORP DEL (NEW)           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol USB Exchange. NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$153 Current yield. 2.95% | Aug 16, 16 | 63,000           | 43,101                                             | 2,715.39        | 57,020                            | 3,592.26                | 876.87                          | LT                |
|                                | Apr 16, 19 | 8,000            | 49,827                                             | 398.62          | 57,020                            | 456.16                  | 57.54                           | ST                |
|                                | Sep 24, 19 | 20,000           | 55,269                                             | 1,105.38        | 57,020                            | 1,140.40                | 35.02                           | ST                |
| Security total                 |            | 91,000           | 46,367                                             | 4,219.39        |                                   | 5,188.82                | 969.43                          |                   |
| VALERO ENERGY CORP NEW         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol VLO Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$446 Current yield 3.71%  | Apr 25, 18 | 38,000           | 108,506                                            | 4,123.23        | 96,980                            | 3,685.24                | -437.99                         | LT                |
|                                | Apr 26, 18 | 39,000           | 110,738                                            | 4,318.80        | 96,980                            | 3,782.22                | -536.58                         | LT                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                               | Sep 12, 19 | 19,000           | 84.438                                             | 1,604.34              | 96.980                            | 1,842.62                | 238.28                          | ST                |
|                               | Sep 24, 19 | 28,000           | 83.210                                             | 2,329.88              | 96.980                            | 2,715.44                | 385.56                          | ST                |
| Security total                |            | 124,000          | 99.808                                             | 12,376.25             |                                   | 12,025.52               | -350.73                         |                   |
| VERIZON COMMUNICATIONS INC    |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol VZ Exchange NYSE       |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$204 Current yield 4.06% | Apr 8, 14  | 36,000           | 47.697                                             | 1,717.10              | 60.470                            | 2,176.92                | 459.82                          | LT                |
|                               | Apr 9, 14  | 29,000           | 48.108                                             | 1,395.16              | 60.470                            | 1,753.63                | 358.47                          | LT                |
|                               | Sep 24, 19 | 18,000           | 60.555                                             | 1,089.99              | 60.470                            | 1,088.46                | -1.53                           | ST                |
| Security total                |            | 83,000           | 50.630                                             | 4,202.25              |                                   | 5,019.01                | 816.76                          |                   |
| WALT DISNEY CO (HOLDING CO)   |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| DISNEY COM                    |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol DIS Exchange NYSE      |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$67 Current yield 1.36%  | Jul 11, 19 | 15,000           | 144.037                                            | 2,160.56              | 129.920                           | 1,948.80                | -211.76                         | ST                |
|                               | Jul 24, 19 | 2,000            | 141.095                                            | 282.19                | 129.920                           | 259.84                  | -22.35                          | ST                |
|                               | Sep 24, 19 | 21,000           | 131.825                                            | 2,917.26 <sup>2</sup> | 129.920                           | 2,728.32                | -188.94                         | ST                |
| Security total                |            | 38,000           | 141.053                                            | 5,360.01              |                                   | 4,936.96                | -423.05                         |                   |
| <b>Total</b>                  |            |                  |                                                    | <b>\$468,238.11</b>   |                                   | <b>\$516,820.81</b>     | <b>\$48,582.70</b>              |                   |

**Total estimated annual income: \$13,469**<sup>2</sup> Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

**Equities****Common stock**

| Holding                         | Trade date | Number of shares | Purchase price/<br>Average price per share (\$) | Cost basis (\$) | Price per share on Oct. 31 (\$) | Value on Oct. 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|---------------------------------|------------|------------------|-------------------------------------------------|-----------------|---------------------------------|-----------------------|------------------------------|----------------|
| ALIBABA GROUP HLDG LTD SPON ADR |            |                  |                                                 |                 |                                 |                       |                              |                |
| Symbol BABA Exchange NYSE       | Nov 16, 15 | 35 000           | 78.374                                          | 2,743.11        | 176.670                         | 6,183.45              | 3,440.34                     | LT             |
|                                 | May 19, 16 | 29,000           | 78.296                                          | 2,270.61        | 176.670                         | 5,123.43              | 2,852.82                     | LT             |
|                                 | Jul 17, 18 | 4,000            | 190.360                                         | 761.44          | 176.670                         | 706.68                | -54.76                       | LT             |
|                                 | Jun 24, 19 | 10,000           | 167.682                                         | 1,676.82        | 176.670                         | 1,766.70              | 89.88                        | ST             |
| Security total                  |            | 78 000           | 95.538                                          | 7,451.98        |                                 | 13,780.26             | 6,328.28                     |                |
| AMBEV SA SPON ADR               |            |                  |                                                 |                 |                                 |                       |                              |                |
| Symbol ABEV Exchange NYSE       |            |                  |                                                 |                 |                                 |                       |                              |                |
| EAI \$96 Current yield 3.12%    | Jan 11, 19 | 714 000          | 4.586                                           | 3,274.62        | 4.310                           | 3,077.34              | -197.28                      | ST             |
| ANHUI CONCH CEM CO LTD ADR      |            |                  |                                                 |                 |                                 |                       |                              |                |
| Symbol AHCHY Exchange OTC       |            |                  |                                                 |                 |                                 |                       |                              |                |
| EAI-\$187 Current yield 3.56%   | Jul 27, 16 | 177,000          | 13.408                                          | 2,373.38        | 29.693                          | 5,255.66              | 2,882.28                     | LT             |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                        | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct. 31 (\$) | Value on<br>Oct. 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------|------------|------------------|----------------------------------------------------|-----------------|------------------------------------|--------------------------|---------------------------------|-------------------|
| ARCOS DORADOS HOLDINGS INC     |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| CL A                           |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol ARCO Exchange NYSE      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$11 Current yield 0.82%   | Jan 10, 19 | 180,000          | 8.434                                              | 1,518.19        | 7.470                              | 1,344.60                 | -173.59                         | ST                |
| BANCO LATINOAMERICANO DE       |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| COMERCIO EXTERIOR, S.A. ORD    |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol BLX Exchange NYSE       |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$151 Current yield: 7.47% | Nov 29, 18 | 98,000           | 18.361                                             | 1,799.41        | 20.630                             | 2,021.74                 | 222.33                          | ST                |
| BB SEGURIDADE PARTICIPACOES    |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| SPON ADR                       |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol BBSEY Exchange OTC      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$259 Current yield 8.18%  | Sep 22, 16 | 148,000          | 9.558                                              | 1,414.59        | 8.355                              | 1,236.54                 | -178.05                         | LT                |
|                                | Dec 20, 16 | 231,000          | 7.873                                              | 1,818.80        | 8.355                              | 1,930.00                 | 111.20                          | LT                |
| Security total                 |            | 379,000          | 8.531                                              | 3,233.39        |                                    | 3,166.54                 | -66.85                          |                   |
| CHINA CONSTR BK CORP ADR       |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol CICHY Exchange OTC      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$253 Current yield 4.73%  | Feb 22, 17 | 75,000           | 16.523                                             | 1,239.23        | 15.920                             | 1,194.00                 | -45.23                          | LT                |
|                                | Mar 7, 17  | 109,000          | 15.898                                             | 1,732.95        | 15.920                             | 1,735.28                 | 2.33                            | LT                |
|                                | Oct 10, 17 | 152,000          | 17.616                                             | 2,677.74        | 15.920                             | 2,419.84                 | -257.90                         | LT                |
| Security total                 |            | 336,000          | 16.815                                             | 5,649.92        |                                    | 5,349.12                 | -300.80                         |                   |
| CHINA MOBILE LTD SPON ADR      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol CHL Exchange NYSE       |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$149 Current yield 4.15%  | Jul 12, 18 | 62,000           | 44.599                                             | 2,765.18        | 40.380                             | 2,503.56                 | -261.62                         | LT                |
|                                | May 24, 19 | 27,000           | 45.637                                             | 1,232.20        | 40.380                             | 1,090.26                 | -141.94                         | ST                |
| Security total                 |            | 89,000           | 44.914                                             | 3,997.38        |                                    | 3,593.82                 | -403.56                         |                   |
| CHINA OVERSEAS LD & INVT LTD   |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| ADR                            |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol CAOYV Exchange OTC      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$59 Current yield 3.28%   | May 24, 19 | 116,000          | 17.471                                             | 2,026.72        | 15.500                             | 1,798.00                 | -228.72                         | ST                |
| CHINA PETROLEUM & CHEMICAL     |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| CORP SPON ADR                  |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol. SNP Exchange NYSE      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$374 Current yield 8.83%  | Mar 18, 16 | 75,000           | 63.915                                             | 4,793.65        | 56.490                             | 4,236.75                 | -556.90                         | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| CHINA SHENHUA ENERGY CO LTD   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| ADR                           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CSUAY Exchange OTC     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$107 Current yield 5.26% | Sep 26, 18 | 254,000          | 9.401                                              | 2,388.01        | 8.010                             | 2,034.54                | -353.47                         | LT                |
| COGNIZANT TECH SOLUTIONS CRP  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CTSX Exchange OTC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$50 Current yield 1.30%  | Feb 16, 18 | 29,000           | 81.273                                             | 2,356.94        | 60.940                            | 1,767.26                | -589.68                         | LT                |
|                               | Apr 27, 18 | 19,000           | 81.810                                             | 1,554.39        | 60.940                            | 1,157.86                | -396.53                         | LT                |
|                               | Jul 17, 18 | 15,000           | 82.110                                             | 1,231.65        | 60.940                            | 914.10                  | -317.55                         | LT                |
| Security total                |            | 63,000           | 81.635                                             | 5,142.98        |                                   | 3,839.22                | -1,303.76                       |                   |
| COMPANHIA BRASILEIRA DE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| DISTRIBUICAO GRUPO PAO DE     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| ACUCAR SPON ADR               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CBD Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$49 Current yield 1.66%  | Mar 8, 17  | 93,000           | 18.010                                             | 1,674.99        | 20.620                            | 1,917.66                | 242.67                          | LT                |
|                               | Jun 24, 19 | 50,000           | 22.900                                             | 1,145.03        | 20.620                            | 1,031.00                | -114.03                         | ST                |
| Security total                |            | 143,000          | 19.720                                             | 2,820.02        |                                   | 2,948.66                | 128.64                          |                   |
| CREDICORP LTD                 |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol BAP Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$61 Current yield 2.85%  | Aug 20, 19 | 10,000           | 206.021                                            | 2,060.21        | 214.040                           | 2,140.40                | 80.19                           | ST                |
| CTRP.COM INTL LTD ADS         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CTRP Exchange OTC      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Aug 2, 17  | 10,000           | 57.750                                             | 577.50          | 32.990                            | 329.90                  | -247.60                         | LT                |
|                               | Sep 11, 17 | 69,000           | 52.760                                             | 3,640.50        | 32.990                            | 2,276.31                | -1,364.19                       | LT                |
| Security total                |            | 79,000           | 53.392                                             | 4,218.00        |                                   | 2,606.21                | -1,611.79                       |                   |
| ENEL AMERICAS SA SPON ADR     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ENIA Exchange NYSE     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Jan 10, 19 | 198,000          | 9.735                                              | 1,927.63        | 9.560                             | 1,892.88                | -34.75                          | ST                |
| ENEL CHILE SA SPON ADR        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ENIC Exchange NYSE     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$104 Current yield 4.27% | Jun 25, 19 | 587,000          | 4.877                                              | 2,862.80        | 4.150                             | 2,436.05                | -426.75                         | ST                |
| FOMENTO ECONOMICO MEXICANO    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| S.A.B. DE CV SPON ADR         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol FMX Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$37 Current yield 1.66%  | Aug 20, 19 | 25,000           | 88.800                                             | 2,220.00        | 89.020                            | 2,225.50                | 5.50                            | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                                   | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>GEELY AUTOMOBILE HLDGS LTD ADR</b>     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol GELY Exchange OTC                  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$47 Current yield 2.23%              | Jun 13, 18 | 18,000           | 61.308                                             | 1,103.56        | 37.610                            | 676.98                  | -426.58                         | LT                |
|                                           | Sep 26, 18 | 38,000           | 40.649                                             | 1,544.68        | 37.610                            | 1,429.18                | -115.50                         | LT                |
| Security total                            |            | 56,000           | 47.290                                             | 2,648.24        |                                   | 2,106.16                | -542.08                         |                   |
| <b>GERDAU S A SPON ADR</b>                |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol GGB Exchange NYSE                  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$37 Current yield 2.09%              | Jan 29, 19 | 537,000          | 4.224                                              | 2,268.61        | 3.290                             | 1,766.73                | -501.88                         | ST                |
| <b>GRUPO FINANCIERO BANORTE SPON ADR</b>  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol GBOOY Exchange OTC                 |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$290 Current yield 5.22%             | Oct 27, 15 | 193,000          | 26.950                                             | 5,201.35        | 27.250                            | 5,259.25                | 57.90                           | LT                |
|                                           | Feb 1, 19  | 11,000           | 28.400                                             | 312.40          | 27.250                            | 299.75                  | -12.65                          | ST                |
| Security total                            |            | 204,000          | 27.028                                             | 5,513.75        |                                   | 5,559.00                | 45.25                           |                   |
| <b>HDFC BANK LTD ADR REPSTG 3 ORD SHS</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol HDB Exchange NYSE                  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$20 Current yield 0.53%              | Jan 10, 19 | 62,000           | 51.347                                             | 3,183.52        | 61.090                            | 3,787.58                | 604.06                          | ST                |
| <b>ICICI BANK LTD SPON ADR</b>            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol IBN Exchange NYSE                  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$8 Current yield 0.21%               | Oct 3, 16  | 37,000           | 6.880                                              | 254.56          | 13.030                            | 482.11                  | 227.55                          | LT                |
|                                           | Oct 10, 17 | 163,000          | 8.365                                              | 1,363.59        | 13.030                            | 2,123.89                | 760.30                          | LT                |
|                                           | Aug 20, 19 | 90,000           | 11.510                                             | 1,035.91        | 13.030                            | 1,172.70                | 136.79                          | ST                |
| Security total                            |            | 290,000          | 9.152                                              | 2,654.06        |                                   | 3,778.70                | 1,124.64                        |                   |
| <b>INFOSYS LTD SPON ADR</b>               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol INFY Exchange OTC                  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$144 Current yield 2.54%             | Oct 27, 15 | 591,000          | 9.210                                              | 5,443.11        | 9.590                             | 5,667.69                | 224.58                          | LT                |
| <b>ITAU UNIBANCO HLDG SA ADR</b>          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ITUB Exchange NYSE                 |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$231 Current yield 5.02%             | Oct 27, 15 | 510,000          | 4.078                                              | 2,080.15        | 9.030                             | 4,605.30                | 2,525.15                        | LT                |
| <b>KB FINL GROUP INC SPON ADR</b>         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol KB Exchange NYSE                   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$129 Current yield 3.84%             | Sep 11, 17 | 94,000           | 46.824                                             | 4,401.48        | 35.720                            | 3,357.68                | -1,043.80                       | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-----------------------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>KOC HOLDINGS ADR</b>                       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol KHOLY Exchange OTC                     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$43 Current yield 1.61%                  | Aug 10, 16 | 59,000           | 22.399                                             | 1,321.58        | 16.371                            | 965.89                  | -355.69                         | LT                |
|                                               | Dec 20, 16 | 96,000           | 18.685                                             | 1,793.85        | 16.371                            | 1,571.61                | -222.24                         | LT                |
|                                               | Feb 1, 19  | 8,000            | 16.420                                             | 131.36          | 16.371                            | 130.97                  | -0.39                           | ST                |
| Security total                                |            | 163,000          | 19.919                                             | 3,246.79        |                                   | 2,668.47                | -578.32                         |                   |
| <b>LUKOIL PJSC SPON ADR</b>                   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol LUKOY Exchange OTC                     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$296 Current yield 3.53%                 | Dec 20, 16 | 61,000           | 55.492                                             | 3,385.04        | 92.060                            | 5,615.66                | 2,230.62                        | LT                |
|                                               | Mar 28, 17 | 30,000           | 53.405                                             | 1,602.15        | 92.060                            | 2,761.80                | 1,159.65                        | LT                |
| Security total                                |            | 91,000           | 54.804                                             | 4,987.19        |                                   | 8,377.46                | 3,390.27                        |                   |
| <b>MOBILE TELESYSTEMS PJSC SPON ADR</b>       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MBT Exchange NYSE                      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$209 Current yield 8.08%                 | Aug 4, 17  | 289,000          | 8.703                                              | 2,515.28        | 8.950                             | 2,586.55                | 71.27                           | LT                |
| <b>MOMO INC UNSPONSORED ADR</b>               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol MOMO Exchange: OTC                     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$53 Current yield 1.80%                  | Oct 10, 17 | 88,000           | 31.916                                             | 2,808.69        | 33.520                            | 2,949.76                | 141.07                          | LT                |
| <b>NASPERS LTD SPON ADR</b>                   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol NPSNY Exchange OTC                     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$11 Current yield 0.25%                  | Jun 16, 17 | 4,000            | 39.692                                             | 158.77          | 28.250                            | 113.00                  | -45.77                          | LT                |
|                                               | Sep 11, 17 | 77,000           | 46.235                                             | 3,560.11        | 28.250                            | 2,175.25                | -1,384.86                       | LT                |
|                                               | Oct 10, 17 | 75,000           | 47.015                                             | 3,526.17        | 28.250                            | 2,118.75                | -1,407.42                       | LT                |
| Security total                                |            | 156,000          | 46.443                                             | 7,245.05        |                                   | 4,407.00                | -2,838.05                       |                   |
| <b>NEDBANK GROUP LTD SPON ADR</b>             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol NDBKY Exchange OTC                     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$152 Current yield 4.99%                 | Sep 11, 17 | 200,000          | 15.986                                             | 3,197.32        | 15.232                            | 3,046.40                | -150.92                         | LT                |
| <b>P.T. TELEKOMUNIKASI INDONESIA SPON ADR</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol TLK Exchange NYSE                      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$55 Current yield 2.19%                  | Jan 10, 19 | 53,000           | 27.389                                             | 1,451.66        | 28.880                            | 1,530.64                | 78.98                           | ST                |
|                                               | Jun 24, 19 | 34,000           | 28.097                                             | 955.32          | 28.880                            | 981.92                  | 26.60                           | ST                |
| Security total                                |            | 87,000           | 27.666                                             | 2,406.98        |                                   | 2,512.56                | 105.58                          |                   |

continued next page

Your assets › **Equities** › **Common stock** (continued)

| Holding                                                            | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct. 31 (\$) | Value on<br>Oct. 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------------------------------------------|------------|------------------|----------------------------------------------------|-----------------|------------------------------------|--------------------------|---------------------------------|-------------------|
| PETROLEO BRASILEIRO SA SPON ADR                                    |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol: PBR Exchange: NYSE                                         |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$50 Current yield 1.05%                                       | Sep 11, 17 | 292,000          | 9.986                                              | 2,915.97        | 16.240                             | 4,742.08                 | 1,826.11                        | LT                |
| PING AN INSURANCE (GROUP) CO OF CHINA LTD REPSTG 20 H SHS SPON ADR |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol: PINGAY Exchange: OTC                                       |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$131 Current yield 1.94%                                      | Oct 27, 15 | 28,000           | 11.460                                             | 320.88          | 23.030                             | 644.84                   | 323.96                          | LT                |
|                                                                    | Nov 17, 15 | 265,000          | 11.531                                             | 3,055.87        | 23.030                             | 6,102.95                 | 3,047.08                        | LT                |
| Security total                                                     |            | 293,000          | 11.525                                             | 3,376.75        |                                    | 6,747.79                 | 3,371.04                        |                   |
| POSCO SPON ADR                                                     |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol: PKX Exchange: NYSE                                         |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$121 Current yield 4.28%                                      | Feb 21, 17 | 31,000           | 62.483                                             | 1,936.98        | 44.910                             | 1,392.21                 | -544.77                         | LT                |
|                                                                    | Mar 8, 17  | 32,000           | 62.825                                             | 2,010.40        | 44.910                             | 1,437.12                 | -573.28                         | LT                |
| Security total                                                     |            | 63,000           | 62.657                                             | 3,947.38        |                                    | 2,829.33                 | -1,118.05                       |                   |
| PROSUS N V SPON ADR                                                |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol: PROSY Exchange: OTC                                        |            |                  |                                                    |                 |                                    |                          |                                 |                   |
|                                                                    | Sep 17, 19 | 77,000           | 15.985                                             | 1,230.85        | 13.840                             | 1,065.68                 | -165.17                         | ST                |
|                                                                    | Sep 17, 19 | 75,000           | 15.984                                             | 1,198.87        | 13.840                             | 1,038.00                 | -160.87                         | ST                |
|                                                                    | Sep 17, 19 | 4,000            | 15.985                                             | 63.94           | 13.840                             | 55.36                    | -8.58                           | ST                |
| Security total                                                     |            | 156,000          | 15.985                                             | 2,493.66        |                                    | 2,159.04                 | -334.62                         |                   |
| PT BK MANDIRI PERSERO TBK ADR                                      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol: PPERY Exchange: OTC                                        |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$51 Current yield 2.43%                                       | Aug 20, 19 | 213,000          | 10.371                                             | 2,209.09        | 9.850                              | 2,098.05                 | -111.04                         | ST                |
| PT BK RAKYAT ADR                                                   |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol: BKRYK Exchange: OTC                                        |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$103 Current yield 2.19%                                      | Oct 10, 17 | 317,000          | 11.370                                             | 3,604.60        | 14.822                             | 4,698.57                 | 1,093.97                        | LT                |
| SBERBANK SPON ADR                                                  |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol: SBRCY Exchange: OTC                                        |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$267 Current yield 5.68%                                      | Jan 26, 17 | 68,000           | 11.702                                             | 795.74          | 14.702                             | 999.74                   | 204.00                          | LT                |
|                                                                    | Mar 8, 17  | 252,000          | 11.317                                             | 2,852.01        | 14.702                             | 3,704.90                 | 852.89                          | LT                |
| Security total                                                     |            | 320,000          | 11.399                                             | 3,647.75        |                                    | 4,704.64                 | 1,056.89                        |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                             | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct. 31 (\$) | Value on<br>Oct. 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------------|------------|------------------|----------------------------------------------------|-----------------------|------------------------------------|--------------------------|---------------------------------|-------------------|
| SHINHAN FINANCIAL HLDG CO SPON ADR  |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol: SHG Exchange NYSE           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$91 Current yield 2.93%        | Oct 10, 17 | 57,000           | 44,730                                             | 2,549.66              | 36.090                             | 2,057.13                 | -492.53                         | LT                |
|                                     | Nov 20, 17 | 29,000           | 44,139                                             | 1,290.38 <sup>2</sup> | 36.090                             | 1,046.61                 | -243.77                         | LT                |
| Security total                      |            | 86,000           | 44,652                                             | 3,840.04              |                                    | 3,103.74                 | -736.30                         |                   |
| SINA CORP                           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol SINA Exchange OTC            | Jun 11, 18 | 26,000           | 94,251                                             | 2,450.53              | 39,600                             | 1,029.60                 | -1,420.93                       | LT                |
| SOUTHERN COPPER CORP                |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol SCCO Exchange NYSE           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$115 Current yield 4.49%       | Mar 20, 19 | 72,000           | 38,995                                             | 2,807.67              | 35,580                             | 2,561.76                 | -245.91                         | ST                |
| STONECO LTD CL A                    |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol STNE Exchange OTC            | Aug 20, 19 | 34,000           | 31,663                                             | 1,076.57              | 36,790                             | 1,250.86                 | 174.29                          | ST                |
| SUNNY OPTICAL TECH UNSPONSORED ADR  |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol SOTGY Exchange OTC           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$32 Current yield 1.11%        | Dec 20, 18 | 18,000           | 85,873                                             | 1,545.73              | 159,454                            | 2,870.17                 | 1,324.44                        | ST                |
| SUZANO SA SPON ADR                  |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol SUZ Exchange NYSE            |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$29 Current yield 1.29%        | Jun 25, 19 | 278,000          | 9,056                                              | 2,517.73              | 8,080                              | 2,246.24                 | -271.49                         | ST                |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol TSM Exchange NYSE            |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$518 Current yield 3.08%       | Oct 8, 10  | 160,000          | 10,319                                             | 1,651.04              | 51,630                             | 8,260.80                 | 6,609.76                        | LT                |
|                                     | Aug 18, 14 | 22,000           | 20,454                                             | 449.99                | 51,630                             | 1,135.86                 | 685.87                          | LT                |
|                                     | Sep 11, 17 | 77,000           | 37,702                                             | 2,903.08              | 51,630                             | 3,975.51                 | 1,072.43                        | LT                |
|                                     | Nov 20, 17 | 36,000           | 42,008                                             | 1,512.29              | 51,630                             | 1,858.68                 | 346.39                          | LT                |
|                                     | Jun 12, 18 | 31,000           | 39,105                                             | 1,212.28              | 51,630                             | 1,600.53                 | 388.25                          | LT                |
| Security total                      |            | 326,000          | 23,708                                             | 7,728.68              |                                    | 16,831.38                | 9,102.70                        |                   |
| TECHTRONIC INDS SPON ADR            |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| HONG KONG                           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol TTNDY Exchange OTC           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$138 Current yield 1.45%       | Nov 17, 15 | 199,000          | 19,724                                             | 3,925.24              | 39,160                             | 7,792.84                 | 3,867.60                        | LT                |
|                                     | Nov 30, 15 | 44,000           | 20,508                                             | 902.39                | 39,160                             | 1,723.04                 | 820.65                          | LT                |

continued next page

# Your assets • Equities • Common stock (continued)

| Holding                            | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|------------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                     |            | 243,000          | 19 867                                             | 4,827 63            |                                   | 9,515.88                | 4,688 25                        |                   |
| TENCENT HLDGS LTD ADR              |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol TCEHY Exchange OTC          |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$34 Current yield 0.29%       | Oct 27, 15 | 160,000          | 19 189                                             | 3,070 27            | 40 500                            | 6,480.00                | 3,409.73                        | LT                |
|                                    | Nov 16, 15 | 81,000           | 19 598                                             | 1,587 49            | 40 500                            | 3,280.50                | 1,693 01                        | LT                |
|                                    | Apr 27, 18 | 52,000           | 49 489                                             | 2,573 46            | 40 500                            | 2,106 00                | -467 46                         | LT                |
| Security total                     |            | 293,000          | 24 680                                             | 7,231.22            |                                   | 11,866.50               | 4,635 28                        |                   |
| TURKCELL ILETISIM HIZMETLERI       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| A S NEW ADR                        |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol TKC Exchange NYSE           |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$72 Current yield 4.72%       | Jun 16, 17 | 279,000          | 8 149                                              | 2,273 71            | 5 470                             | 1,526.13                | -747 58                         | LT                |
| VEDANTA LTD SPON ADR               |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol VEDL Exchange NYSE          |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$298 Current yield 12.36%     | Sep 24, 18 | 145,000          | 12 720                                             | 1,844.52            | 8 340                             | 1,209 30                | -635 22                         | LT                |
|                                    | Jun 24, 19 | 144,000          | 9 861                                              | 1,420.03            | 8 340                             | 1,200 96                | -219 07                         | ST                |
| Security total                     |            | 289,000          | 11 296                                             | 3,264 55            |                                   | 2,410 26                | -854 29                         |                   |
| WNS HOLDINGS LTD SPON ADR          |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol WNS Exchange NYSE           | Jan 10, 19 | 47,000           | 44 844                                             | 2,107 70            | 61.840                            | 2,906 48                | 798 78                          | ST                |
| WOORI FINANCIAL GROUP INC SPON ADR |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol WF Exchange NYSE            |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$80 Current yield 3.61%       | Sep 30, 19 | 74,000           | 31 109                                             | 2,302.12            | 29 920                            | 2,214.08                | -88 04                          | ST                |
| YY INC UNSPONSORED CL A ADR        |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol YY Exchange OTC             | Feb 21, 17 | 9,000            | 46 570                                             | 419.13              | 56.840                            | 511 56                  | 92 43                           | LT                |
|                                    | May 4, 17  | 27,000           | 50 255                                             | 1,356.91            | 56.840                            | 1,534 68                | 177 77                          | LT                |
| Security total                     |            | 36,000           | 49 334                                             | 1,776 04            |                                   | 2,046 24                | 270 20                          |                   |
| ZTO EXPRESS CAYMAN INC SPON ADR    |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol ZTO Exchange NYSE           | Mar 8, 17  | 249,000          | 12 392                                             | 3,085 81            | 22 000                            | 5,478.00                | 2,392.19                        | LT                |
|                                    | May 4, 17  | 89,000           | 13 719                                             | 1,221.07            | 22 000                            | 1,958 00                | 736 93                          | LT                |
| Security total                     |            | 338,000          | 12 742                                             | 4,306 88            |                                   | 7,436 00                | 3,129 12                        |                   |
| <b>Total</b>                       |            |                  |                                                    | <b>\$186,610.51</b> |                                   | <b>\$218,719.15</b>     | <b>\$32,108.64</b>              |                   |

Total estimated annual income: \$5,802

\* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

## Equities

### Common stock

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>ACUSHNET HLDGS CORP</b>    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol GOLF Exchange NYSE     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$172 Current yield 1.97% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 165,000          | 18.329                                             | 3,024.30        | 28.450                            | 4,694.25                | 1,669.95                        | LT                |
|                               | Mar 8, 18  | 10,000           | 22.555                                             | 225.55          | 28.450                            | 284.50                  | 58.95                           | LT                |
|                               | Mar 9, 18  | 11,000           | 22.959                                             | 252.55          | 28.450                            | 312.95                  | 60.40                           | LT                |
|                               | Jan 4, 19  | 7,000            | 21.804                                             | 152.63          | 28.450                            | 199.15                  | 46.52                           | ST                |
|                               | Sep 24, 19 | 114,000          | 26.852                                             | 3,061.22        | 28.450                            | 3,243.30                | 182.08                          | ST                |
| Security total                |            | 307,000          | 21.877                                             | 6,716.25        |                                   | 8,734.15                | 2,017.90                        |                   |
| <b>AGREE REALTY CORP</b>      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ADC Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$125 Current yield 2.89% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 25,000           | 47.296                                             | 1,182.40        | 78.770                            | 1,969.25                | 786.85                          | LT                |
|                               | Mar 20, 18 | 9,000            | 46.771                                             | 420.94          | 78.770                            | 708.93                  | 287.99                          | LT                |
|                               | Mar 21, 18 | 1,000            | 46.740                                             | 46.74           | 78.770                            | 78.77                   | 32.03                           | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                | Sep 24, 19 | 20,000           | 73.210                                             | 1,464.20        | 78.770                            | 1,575.40                | 111.20                          | ST                |
| Security total                |            | 55,000           | 56.623                                             | 3,114.28        |                                   | 4,332.35                | 1,218.07                        |                   |
| ALASKA AIR GROUP INC          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ALK Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$190 Current yield 2.01% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 30,000           | 70.940                                             | 2,128.20        | 69.430                            | 2,082.90                | -45.30                          | LT                |
|                               | Nov 7, 17  | 20,000           | 63.339                                             | 1,266.79        | 69.430                            | 1,388.60                | 121.81                          | LT                |
|                               | Nov 20, 17 | 11,000           | 65.286                                             | 718.15          | 69.430                            | 763.73                  | 45.58                           | LT                |
|                               | Dec 6, 17  | 15,000           | 68.244                                             | 1,023.66        | 69.430                            | 1,041.45                | 17.79                           | LT                |
|                               | Feb 9, 18  | 4,000            | 64.147                                             | 256.59          | 69.430                            | 277.72                  | 21.13                           | LT                |
|                               | Sep 18, 19 | 5,000            | 64.432                                             | 322.16          | 69.430                            | 347.15                  | 24.99                           | ST                |
|                               | Sep 24, 19 | 51,000           | 64.433                                             | 3,286.13        | 69.430                            | 3,540.93                | 254.80                          | ST                |
| Security total                |            | 136,000          | 66.189                                             | 9,001.68        |                                   | 9,442.48                | 440.80                          |                   |
| ALBANY INTL CORP NEW CLA      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol AIN Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$32 Current yield 0.87%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 20,000           | 57.549                                             | 1,150.98        | 83.980                            | 1,679.60                | 528.62                          | LT                |
|                               | May 31, 18 | 8,000            | 61.640                                             | 493.12          | 83.980                            | 671.84                  | 178.72                          | LT                |
|                               | Sep 24, 19 | 16,000           | 87.458                                             | 1,399.33        | 83.980                            | 1,343.68                | -55.65                          | ST                |
| Security total                |            | 44,000           | 69.169                                             | 3,043.43        |                                   | 3,695.12                | 651.69                          |                   |
| ALBEMARLE CORP                |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ALB Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$212 Current yield 2.42% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Apr 3, 18  | 5,000            | 89.684                                             | 448.42          | 60.740                            | 303.70                  | -144.72                         | LT                |
|                               | Apr 27, 18 | 5,000            | 96.708                                             | 483.54          | 60.740                            | 303.70                  | -179.84                         | LT                |
|                               | Nov 2, 18  | 5,000            | 107.194                                            | 535.97          | 60.740                            | 303.70                  | -232.27                         | ST                |
|                               | Dec 13, 18 | 7,000            | 88.412                                             | 618.89          | 60.740                            | 425.18                  | -193.71                         | ST                |
|                               | Jan 4, 19  | 6,000            | 76.530                                             | 459.18          | 60.740                            | 364.44                  | -94.74                          | ST                |
|                               | Jan 23, 19 | 10,000           | 74.117                                             | 741.17          | 60.740                            | 607.40                  | -133.77                         | ST                |
|                               | May 8, 19  | 28,000           | 72.574                                             | 2,032.08        | 60.740                            | 1,700.72                | -331.36                         | ST                |
|                               | Jul 12, 19 | 17,000           | 72.562                                             | 1,233.56        | 60.740                            | 1,032.58                | -200.98                         |                   |
|                               | Sep 18, 19 | 7,000            | 67.150                                             | 470.05          | 60.740                            | 425.18                  | -44.87                          | ST                |
|                               | Sep 24, 19 | 42,000           | 67.417                                             | 2,831.53        | 60.740                            | 2,551.08                | -280.45                         | ST                |
|                               | Sep 24, 19 | 12,000           | 67.417                                             | 809.01          | 60.740                            | 728.88                  | -80.13                          | ST                |
| Security total                |            | 144,000          | 74.051                                             | 10,663.40       |                                   | 8,746.56                | -1,916.84                       |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>ALLETE INC NEW</b>         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol ALE Exchange NYSE      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$186 Current yield 2.74% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 41 000           | 78 850                                             | 3,232 85            | 86 060                            | 3,528.46                | 295.61                          | LT                |
|                               | Jan 26, 18 | 7 000            | 72 671                                             | 508 70              | 86 060                            | 602.42                  | 93 72                           | LT                |
|                               | Aug 14, 18 | 8 000            | 78 173                                             | 629 44 <sup>2</sup> | 86 060                            | 688.48                  | 59 04                           | LT                |
|                               | Sep 24, 19 | 23 000           | 87 510                                             | 2,012 73            | 86 060                            | 1,979.38                | -33 35                          | ST                |
| Security total                |            | 79 000           | 80 807                                             | 6,383 72            |                                   | 6,798.74                | 415 02                          |                   |
| <b>ALLIANT ENERGY CORP</b>    |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol LNT Exchange OTC       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$233 Current yield 2.66% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 79 000           | 43 176                                             | 3,410.94            | 53 340                            | 4,213.86                | 802.92                          | LT                |
|                               | Apr 18, 18 | 10 000           | 42 237                                             | 422.37              | 53 340                            | 533.40                  | 111 03                          | LT                |
|                               | Jun 19, 18 | 9 000            | 40 936                                             | 369.09 <sup>2</sup> | 53 340                            | 480.06                  | 110 97                          | LT                |
|                               | Jun 20, 18 | 16 000           | 41 076                                             | 657 22              | 53 340                            | 853.44                  | 196 22                          | LT                |
|                               | Sep 24, 19 | 50 000           | 53 928                                             | 2,696 43            | 53 340                            | 2,667 00                | -29 43                          | ST                |
| Security total                |            | 164 000          | 46 073                                             | 7,556 05            |                                   | 8,747.76                | 1,191 71                        |                   |
| <b>AMDOCS LTD GBP</b>         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol DOX Exchange OTC       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$83 Current yield 1.74%  |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| GBP Exchange rate 0.77279     |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                               | May 24, 19 | 8 000            | 60.502                                             | 484.02              | 65 200                            | 521 60                  | 37 58                           | ST                |
|                               | May 28, 19 | 12 000           | 60 115                                             | 721 39              | 65 200                            | 782.40                  | 61 01                           | ST                |
|                               | May 29, 19 | 15 000           | 59 074                                             | 886 12              | 65 200                            | 978.00                  | 91 88                           | ST                |
|                               | Aug 19, 19 | 3 000            | 64 290                                             | 192 87              | 65 200                            | 195.60                  | 2 73                            | ST                |
|                               | Aug 20, 19 | 8 000            | 64 552                                             | 516 42              | 65 200                            | 521 60                  | 5 18                            | ST                |
|                               | Sep 24, 19 | 27 000           | 65 903                                             | 1,779.39            | 65 200                            | 1,760 40                | -18 99                          | ST                |
| Security total                |            | 73 000           | 62 743                                             | 4,580 21            |                                   | 4,759 60                | 179 39                          |                   |
| <b>ASSURED GUARANTY LTD</b>   |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol AGO Exchange NYSE      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$160 Current yield 1.54% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                               | Mar 1, 18  | 57 000           | 34 801                                             | 1,983.66            | 46 920                            | 2,674.44                | 690 78                          | LT                |
|                               | Mar 15, 18 | 35 000           | 35 149                                             | 1,230 22            | 46 920                            | 1,642.20                | 411 98                          | LT                |
|                               | Apr 27, 18 | 19 000           | 36 855                                             | 700.26              | 46 920                            | 891 48                  | 191 22                          | LT                |
|                               | May 31, 18 | 30 000           | 35 568                                             | 1,067 04            | 46 920                            | 1,407 60                | 340 56                          | LT                |
|                               | Sep 24, 19 | 81 000           | 45 405                                             | 3,677 81            | 46 920                            | 3,800.52                | 122 71                          | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                         | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| Security total                  |            | 222,000          | 39,004                                             | 8,658.99        |                                   | 10,416.24               | 1,757.25                        |                   |
| <b>AUTOLIV INC</b>              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol ALV Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$387 Current yield 3.19%   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | Oct 25, 17 | 21,000           | 88.350                                             | 1,855.37        | 77.840                            | 1,634.64                | -220.73                         | LT                |
|                                 | Jun 19, 18 | 4,000            | 112.110                                            | 448.44          | 77.840                            | 311.36                  | -137.08                         | LT                |
|                                 | Jun 20, 18 | 5,000            | 113.018                                            | 565.09          | 77.840                            | 389.20                  | -175.89                         | LT                |
|                                 | Jun 27, 18 | 5,000            | 106.292                                            | 531.46          | 77.840                            | 389.20                  | -142.26                         | LT                |
|                                 | Aug 1, 18  | 17,000           | 98.593                                             | 1,676.09        | 77.840                            | 1,323.28                | -352.81                         | LT                |
|                                 | Aug 20, 18 | 6,000            | 93.430                                             | 560.58          | 77.840                            | 467.04                  | -93.54                          | LT                |
|                                 | Aug 21, 18 | 7,000            | 93.092                                             | 651.65          | 77.840                            | 544.88                  | -106.77                         | LT                |
|                                 | Oct 10, 18 | 6,000            | 81.143                                             | 486.86          | 77.840                            | 467.04                  | -19.82                          | LT                |
|                                 | Nov 20, 18 | 6,000            | 83.895                                             | 503.37          | 77.840                            | 467.04                  | -36.33                          | ST                |
|                                 | Dec 3, 18  | 2,000            | 89.655                                             | 179.31          | 77.840                            | 155.68                  | -23.63                          | ST                |
|                                 | Dec 4, 18  | 4,000            | 86.337                                             | 345.35          | 77.840                            | 311.36                  | -33.99                          | ST                |
|                                 | May 7, 19  | 6,000            | 75.003                                             | 450.02          | 77.840                            | 467.04                  | 17.02                           | ST                |
|                                 | Aug 19, 19 | 7,000            | 66.351                                             | 464.46          | 77.840                            | 544.88                  | 80.42                           | ST                |
|                                 | Aug 20, 19 | 1,000            | 64.440                                             | 64.44           | 77.840                            | 77.84                   | 13.40                           | ST                |
|                                 | Sep 24, 19 | 59,000           | 76.800                                             | 4,531.21        | 77.840                            | 4,592.56                | 61.35                           | ST                |
| Security total                  |            | 156,000          | 85,344                                             | 13,313.70       |                                   | 12,143.04               | -1,170.66                       |                   |
| <b>EVERETT DENNISON CORP</b>    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol AVY Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$128 Current yield 1.82%   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | Oct 25, 17 | 17,000           | 103.820                                            | 1,764.94        | 127.860                           | 2,173.62                | 408.68                          | LT                |
|                                 | Apr 18, 18 | 6,000            | 108.696                                            | 652.18          | 127.860                           | 767.16                  | 114.98                          | LT                |
|                                 | Dec 7, 18  | 8,000            | 93.546                                             | 748.37          | 127.860                           | 1,022.88                | 274.51                          | ST                |
|                                 | Jan 4, 19  | 4,000            | 90.075                                             | 360.30          | 127.860                           | 511.44                  | 151.14                          | ST                |
|                                 | Sep 24, 19 | 20,000           | 112.658                                            | 2,253.16        | 127.860                           | 2,557.20                | 304.04                          | ST                |
| Security total                  |            | 55,000           | 105,072                                            | 5,778.95        |                                   | 7,032.30                | 1,253.35                        |                   |
| <b>BANCO LATINOAMERICANO DE</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| COMERCIO EXTERIOR, S.A. ORD     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol BLX Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$371 Current yield 7.46%   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                 | Oct 25, 17 | 153,000          | 29.059                                             | 4,446.03        | 20.630                            | 3,156.39                | -1,289.64                       | LT                |
|                                 | Sep 24, 19 | 88,000           | 19.330                                             | 1,701.04        | 20.630                            | 1,815.44                | 114.40                          | ST                |
| Security total                  |            | 241,000          | 25,507                                             | 6,147.07        |                                   | 4,971.83                | -1,175.24                       |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                            | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|------------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>BANK OZK</b>                    |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol: OZK Exchange: OTC          |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI: \$397 Current yield: 3.56%    |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                    | Oct 25, 17 | 147,000          | 46.479                                             | 6,832.46            | 28.060                            | 4,124.82                | -2,707.64                       | LT                |
|                                    | Aug 20, 18 | 4,000            | 40.937                                             | 163.75              | 28.060                            | 112.24                  | -51.51                          | LT                |
|                                    | Aug 21, 18 | 7,000            | 41.568                                             | 290.98              | 28.060                            | 196.42                  | -94.56                          | LT                |
|                                    | Oct 2, 18  | 18,000           | 37.720                                             | 678.97              | 28.060                            | 505.08                  | -173.89                         | LT                |
|                                    | Oct 23, 18 | 12,000           | 25.315                                             | 303.79              | 28.060                            | 336.72                  | 32.93                           | LT                |
|                                    | Dec 13, 18 | 21,000           | 22.630                                             | 475.23              | 28.060                            | 589.26                  | 114.03                          | ST                |
|                                    | Jan 4, 19  | 21,000           | 24.481                                             | 514.11              | 28.060                            | 589.26                  | 75.15                           | ST                |
|                                    | Aug 19, 19 | 11,000           | 26.056                                             | 286.62              | 28.060                            | 308.66                  | 22.04                           | ST                |
|                                    | Aug 20, 19 | 9,000            | 25.538                                             | 229.85              | 28.060                            | 252.54                  | 22.69                           | ST                |
|                                    | Sep 24, 19 | 147,000          | 27.166                                             | 3,993.53            | 28.060                            | 4,124.82                | 131.29                          | ST                |
| Security total                     |            | 397,000          | 34.683                                             | 13,769.29           |                                   | 11,139.82               | -2,629.47                       |                   |
| <b>BGC PARTNERS INC CL A</b>       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol: BGCP Exchange: OTC         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI: \$1,038 Current yield: 10.77% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                    | Oct 25, 17 | 258,000          | 9.935                                              | 2,563.40            | 5.200                             | 1,341.60                | -1,221.80                       | LT                |
|                                    | Jan 26, 18 | 34,000           | 9.147                                              | 311.03              | 5.200                             | 176.80                  | -134.23                         | LT                |
|                                    | Mar 15, 18 | 76,000           | 8.578                                              | 651.95              | 5.200                             | 395.20                  | -256.75                         | LT                |
|                                    | May 10, 18 | 101,000          | 7.633                                              | 770.98              | 5.200                             | 525.20                  | -245.78                         | LT                |
|                                    | May 11, 18 | 17,000           | 7.661                                              | 130.24              | 5.200                             | 88.40                   | -41.84                          | LT                |
|                                    | May 31, 18 | 39,000           | 7.198                                              | 280.74              | 5.200                             | 202.80                  | -77.94                          | LT                |
|                                    | Jul 6, 18  | 48,000           | 7.085                                              | 373.37 <sup>2</sup> | 5.200                             | 249.60                  | -123.77                         | LT                |
|                                    | Aug 14, 18 | 51,000           | 7.263                                              | 370.42              | 5.200                             | 265.20                  | -105.22                         | LT                |
|                                    | Nov 20, 18 | 34,000           | 6.337                                              | 215.49              | 5.200                             | 176.80                  | -38.69                          | ST                |
|                                    | Nov 21, 18 | 17,000           | 6.404                                              | 108.87              | 5.200                             | 88.40                   | -20.47                          | ST                |
|                                    | Dec 13, 18 | 231,000          | 5.699                                              | 1,316.68            | 5.200                             | 1,201.20                | -115.48                         | ST                |
|                                    | Jan 4, 19  | 107,000          | 5.643                                              | 603.85              | 5.200                             | 556.40                  | -47.45                          | ST                |
|                                    | May 7, 19  | 53,000           | 5.514                                              | 292.29              | 5.200                             | 275.60                  | -16.69                          | ST                |
|                                    | May 8, 19  | 16,000           | 5.408                                              | 86.53               | 5.200                             | 83.20                   | -3.33                           | ST                |
|                                    | Aug 19, 19 | 11,000           | 5.118                                              | 56.30               | 5.200                             | 57.20                   | 0.90                            | ST                |
|                                    | Aug 20, 19 | 24,000           | 5.035                                              | 120.86              | 5.200                             | 124.80                  | 3.94                            | ST                |
|                                    | Aug 21, 19 | 28,000           | 5.035                                              | 140.98              | 5.200                             | 145.60                  | 4.62                            | ST                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                               | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                                       | Aug 22, 19 | 29 000           | 5 125                                              | 148.65          | 5 200                             | 150.80                  | 2 15                            | ST                |
|                                       | Aug 23, 19 | 5 000            | 5 110                                              | 25.55           | 5 200                             | 26 00                   | 0 45                            | ST                |
|                                       | Sep 24, 19 | 674 000          | 5 555                                              | 3,744.34        | 5 200                             | 3,504.80                | -239 54                         | ST                |
| Security total                        |            | 1,853 000        | 6.645                                              | 12,312.52       |                                   | 9,635 60                | -2,676 92                       |                   |
| <b>BOK FINANCIAL CORP NEW</b>         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol BOKF Exchange OTC              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$116 Current yield 2.59%         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                       | Jan 19, 17 | 1 000            | 81 550                                             | 81.55           | 77 150                            | 77.15                   | -4 40                           | LT                |
|                                       | Feb 1, 17  | 14 000           | 82 530                                             | 1,155 43        | 77 150                            | 1,080.10                | -75 33                          | LT                |
|                                       | Mar 21, 17 | 17 000           | 76 677                                             | 1,303 51        | 77 150                            | 1,311 55                | 8.04                            | LT                |
|                                       | May 7, 19  | 4 000            | 86 787                                             | 347.15          | 77 150                            | 308 60                  | -38 55                          | ST                |
|                                       | Sep 24, 19 | 22 000           | 79 420                                             | 1,747 24        | 77 150                            | 1,697 30                | -49 94                          | ST                |
| Security total                        |            | 58 000           | 79 912                                             | 4,634 88        |                                   | 4,474 70                | -160 18                         |                   |
| <b>BROADRIDGE FINANCIAL SOLUTIONS</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| INC                                   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol BR Exchange NYSE               |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$28 Current yield 1 72%          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                       | Oct 25, 17 | 8 000            | 84 358                                             | 674 87          | 125 220                           | 1,001 76                | 326 89                          | LT                |
|                                       | Sep 24, 19 | 5 000            | 125 370                                            | 626.85          | 125.220                           | 626 10                  | -0 75                           | ST                |
| Security total                        |            | 13 000           | 100.132                                            | 1,301.72        |                                   | 1,627 86                | 326.14                          |                   |
| <b>CANTEL MEDICAL CORP</b>            |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CMD Exchange NYSE              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$5 Current yield 0 30%           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                       | Oct 22, 19 | 8 000            | 70 955                                             | 567.64          | 72 890                            | 583 12                  | 15 48                           | ST                |
|                                       | Oct 23, 19 | 10 000           | 70 883                                             | 708.83          | 72 890                            | 728.90                  | 20 07                           | ST                |
|                                       | Oct 24, 19 | 5 000            | 71 292                                             | 356.46          | 72 890                            | 364.45                  | 7.99                            | ST                |
| Security total                        |            | 23 000           | 70 997                                             | 1,632.93        |                                   | 1,676.47                | 43.54                           |                   |
| <b>CATHAY GENIL BANCORP</b>           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol CATY Exchange OTC              |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$201 Current yield 3 49%         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                       | Jan 24, 19 | 16 000           | 37 165                                             | 594 65          | 35 570                            | 569.12                  | -25 53                          | ST                |
|                                       | Feb 4, 19  | 11 000           | 37 690                                             | 414.60          | 35 570                            | 391.27                  | -23 33                          | ST                |
|                                       | Feb 5, 19  | 18 000           | 37 830                                             | 680 95          | 35 570                            | 640.26                  | -40 69                          | ST                |
|                                       | Mar 27, 19 | 32 000           | 33 648                                             | 1,076 74        | 35 570                            | 1,138 24                | 61 50                           | ST                |
|                                       | May 7, 19  | 8 000            | 36 970                                             | 295.76          | 35 570                            | 284.56                  | -11 20                          | ST                |
|                                       | May 8, 19  | 3 000            | 37 070                                             | 111.21          | 35 570                            | 106.71                  | -4 50                           | ST                |
|                                       | Aug 19, 19 | 4 000            | 34 380                                             | 137.52          | 35 570                            | 142.28                  | 4 76                            | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct. 31 (\$) | Value on<br>Oct. 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------------|------------------------------------|--------------------------|---------------------------------|-------------------|
|                               | Aug 20, 19 | 5,000            | 33.920                                             | 169.60                | 35.570                             | 177.85                   | 8.25                            | ST                |
|                               | Aug 21, 19 | 4,000            | 34.077                                             | 136.31                | 35.570                             | 142.28                   | 5.97                            | ST                |
|                               | Sep 24, 19 | 61,000           | 34.394                                             | 2,098.08              | 35.570                             | 2,169.77                 | 71.69                           | ST                |
| Security total                |            | 162,000          | 35.280                                             | 5,715.42              |                                    | 5,762.34                 | 46.92                           |                   |
| CONMED CORP                   |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol CNMD Exchange OTC      |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$34 Current yield 0.72%  |            |                  |                                                    |                       |                                    |                          |                                 |                   |
|                               | Feb 15, 19 | 11,000           | 71.792                                             | 789.72                | 110.020                            | 1,210.22                 | 420.50                          | ST                |
|                               | Mar 27, 19 | 8,000            | 80.731                                             | 645.85                | 110.020                            | 880.16                   | 234.31                          | ST                |
|                               | May 7, 19  | 4,000            | 80.682                                             | 322.73                | 110.020                            | 440.08                   | 117.35                          | ST                |
|                               | May 8, 19  | 4,000            | 80.995                                             | 323.98                | 110.020                            | 440.08                   | 116.10                          | ST                |
|                               | Sep 24, 19 | 16,000           | 98.070                                             | 1,569.13              | 110.020                            | 1,760.32                 | 191.19                          | ST                |
| Security total                |            | 43,000           | 84.917                                             | 3,651.41              |                                    | 4,730.86                 | 1,079.45                        |                   |
| CRANE CO                      |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol CR Exchange NYSE       |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$147 Current yield 2.04% |            |                  |                                                    |                       |                                    |                          |                                 |                   |
|                               | Oct 25, 17 | 39,000           | 85.040                                             | 3,316.56              | 76.520                             | 2,984.28                 | -332.28                         | LT                |
|                               | Jan 9, 18  | 6,000            | 91.795                                             | 550.77                | 76.520                             | 459.12                   | -91.65                          | LT                |
|                               | May 13, 19 | 5,000            | 84.414                                             | 422.07                | 76.520                             | 382.60                   | -39.47                          | ST                |
|                               | Jul 2, 19  | 9,000            | 84.296                                             | 759.29 <sup>2</sup>   | 76.520                             | 688.68                   | -70.61                          |                   |
|                               | Sep 24, 19 | 35,000           | 80.440                                             | 2,815.40              | 76.520                             | 2,678.20                 | -137.20                         | ST                |
| Security total                |            | 94,000           | 83.661                                             | 7,864.09              |                                    | 7,192.88                 | -671.21                         |                   |
| CULLEN FROST BANKERS INC      |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol CFR Exchange NYSE      |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$224 Current yield 3.15% |            |                  |                                                    |                       |                                    |                          |                                 |                   |
|                               | Oct 25, 17 | 42,000           | 99.999                                             | 4,199.96              | 90.080                             | 3,783.36                 | -416.60                         | LT                |
|                               | Jan 4, 19  | 3,000            | 91.916                                             | 275.75                | 90.080                             | 270.24                   | -5.51                           | ST                |
|                               | Aug 19, 19 | 4,000            | 85.785                                             | 343.14                | 90.080                             | 360.32                   | 17.18                           | ST                |
|                               | Aug 20, 19 | 1,000            | 84.780                                             | 84.78                 | 90.080                             | 90.08                    | 5.30                            | ST                |
|                               | Sep 24, 19 | 29,000           | 87.124                                             | 2,526.61              | 90.080                             | 2,612.32                 | 85.71                           | ST                |
| Security total                |            | 79,000           | 94.054                                             | 7,430.24              |                                    | 7,116.32                 | -313.92                         |                   |
| CYRUSONE INC REIT             |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| Symbol CONE Exchange OTC      |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAI \$426 Current yield 2.81% |            |                  |                                                    |                       |                                    |                          |                                 |                   |
|                               | Jul 6, 18  | 12,000           | 61.580                                             | 738.97                | 71.280                             | 855.36                   | 116.39                          | LT                |
|                               | Jul 9, 18  | 18,000           | 61.041                                             | 1,099.53 <sup>2</sup> | 71.280                             | 1,283.04                 | 183.51                          | LT                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                                                                                  | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct. 31 (\$) | Value on<br>Oct. 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|------------------------------------------------------------------------------------------|------------|------------------|----------------------------------------------------|-----------------------|------------------------------------|--------------------------|---------------------------------|-------------------|
| DELEK US HLDGS INC NEW COM<br>Symbol: DK Exchange: NYSE<br>EAI \$251 Current yield 2.76% | Jul 10, 18 | 27 000           | 61 537                                             | 1,661.51              | 71 280                             | 1,924.56                 | 263 05                          | LT                |
|                                                                                          | Oct 10, 18 | 13 000           | 61 880                                             | 804.45                | 71 280                             | 926.64                   | 122 19                          | LT                |
|                                                                                          | Nov 2, 18  | 28 000           | 54 490                                             | 1,525.72              | 71 280                             | 1,995.84                 | 470 12                          | ST                |
|                                                                                          | Nov 20, 18 | 14 000           | 52 222                                             | 731 11                | 71 280                             | 997 92                   | 266 81                          | ST                |
|                                                                                          | Dec 7, 18  | 10 000           | 57 638                                             | 576.38                | 71 280                             | 712.80                   | 136 42                          | ST                |
|                                                                                          | Jan 4, 19  | 14 000           | 51 627                                             | 722 78                | 71 280                             | 997 92                   | 275 14                          | ST                |
|                                                                                          | Sep 24, 19 | 77 000           | 77 030                                             | 5,931 31              | 71 280                             | 5,488.56                 | -442 75                         | ST                |
|                                                                                          |            | 213 000          | 64 750                                             | 13,791 76             |                                    | 15,182.64                | 1,390 88                        |                   |
| Security total                                                                           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| DONALDSON CO INC<br>Symbol: DCI Exchange: NYSE<br>EAI \$47 Current yield 1.59%           | Oct 23, 18 | 20 000           | 37 408                                             | 748 16                | 39.950                             | 799.00                   | 50 84                           | LT                |
|                                                                                          | Oct 24, 18 | 17 000           | 36 768                                             | 625 06                | 39.950                             | 679.15                   | 54 09                           | LT                |
|                                                                                          | Nov 20, 18 | 27 000           | 37 818                                             | 1,021.11              | 39.950                             | 1,078.65                 | 57 54                           | ST                |
|                                                                                          | Nov 21, 18 | 7 000            | 38 360                                             | 268.52                | 39.950                             | 279 65                   | 11 13                           | ST                |
|                                                                                          | May 16, 19 | 26 000           | 37 356                                             | 971 26                | 39.950                             | 1,038 70                 | 67 44                           | ST                |
|                                                                                          | May 17, 19 | 14 000           | 37 179                                             | 520 51                | 39.950                             | 559.30                   | 38 79                           | ST                |
|                                                                                          | Jun 7, 19  | 20 000           | 35 693                                             | 713.87                | 39.950                             | 799.00                   | 85.13                           | ST                |
|                                                                                          | Aug 12, 19 | 13 000           | 32 861                                             | 427 20                | 39.950                             | 519 35                   | 92 15                           | ST                |
|                                                                                          | Sep 24, 19 | 84 000           | 36 526                                             | 3,068 24              | 39.950                             | 3,355.80                 | 287 56                          | ST                |
|                                                                                          |            | 228 000          | 36 684                                             | 8,363 93              |                                    | 9,108 60                 | 744 67                          |                   |
| Security total                                                                           |            |                  |                                                    |                       |                                    |                          |                                 |                   |
| EAST WEST BANCORP INC<br>Symbol: EWBC Exchange: OTC<br>EAI \$263 Current yield 2.56%     | Oct 25, 17 | 35 000           | 47 799                                             | 1,672 97              | 52 740                             | 1,845 90                 | 172 93                          | LT                |
|                                                                                          | Sep 24, 19 | 21 000           | 51 207                                             | 1,075 36              | 52 740                             | 1,107.54                 | 32 18                           | ST                |
|                                                                                          |            | 56 000           | 49 077                                             | 2,748 33              |                                    | 2,953 44                 | 205 11                          |                   |
|                                                                                          |            |                  |                                                    |                       |                                    |                          |                                 |                   |
|                                                                                          | Oct 25, 17 | 62 000           | 60 649                                             | 3,760.29              | 42.920                             | 2,661.04                 | -1,099.25                       | LT                |
|                                                                                          | Jan 4, 19  | 9 000            | 45.888                                             | 413 00                | 42.920                             | 386 28                   | -26 72                          | ST                |
|                                                                                          | Jan 23, 19 | 21 000           | 50 034                                             | 1,050 72              | 42 920                             | 901 32                   | -149.40                         | ST                |
|                                                                                          | Mar 27, 19 | 29 000           | 47 223                                             | 1,394 12 <sup>2</sup> | 42 920                             | 1,244 68                 | -149 44                         |                   |
|                                                                                          | Jul 12, 19 | 9 000            | 46 593                                             | 462 16 <sup>2</sup>   | 42 920                             | 386.28                   | -75 88                          |                   |
|                                                                                          | Aug 19, 19 | 9 000            | 40.037                                             | 360 34                | 42 920                             | 386 28                   | 25 94                           | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                          | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|----------------------------------|------------|------------------|----------------------------------------------------|-----------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                                  | Aug 20, 19 | 1,000            | 39.490                                             | 39.49                 | 42.920                            | 42.92                   | 3.43                            | ST                |
|                                  | Sep 18, 19 | 11,000           | 45.363                                             | 499.00                | 42.920                            | 472.12                  | -26.88                          | ST                |
|                                  | Sep 24, 19 | 88,000           | 44.292                                             | 3,897.75              | 42.920                            | 3,776.96                | -120.79                         | ST                |
| Security total                   |            | 239,000          | 49.694                                             | 11,876.87             |                                   | 10,257.88               | -1,618.99                       |                   |
| EASTGROUP PROPERTIES INC         |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| (MARYLAND CORP)                  |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol EGP Exchange NYSE         |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI: \$75 Current yield: 2.24%   |            |                  |                                                    |                       |                                   |                         |                                 |                   |
|                                  | Oct 25, 17 | 16,000           | 88.771                                             | 1,420.35              | 133.950                           | 2,143.20                | 722.85                          | LT                |
|                                  | Sep 24, 19 | 9,000            | 125.607                                            | 1,130.47              | 133.950                           | 1,205.55                | 75.08                           | ST                |
| Security total                   |            | 25,000           | 102.033                                            | 2,550.82              |                                   | 3,348.75                | 797.93                          |                   |
| ENERGIZER HLDGS INC              |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol ENR Exchange: NYSE        |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI: \$145 Current yield: 2.82%  |            |                  |                                                    |                       |                                   |                         |                                 |                   |
|                                  | Feb 6, 18  | 20,000           | 55.849                                             | 1,116.98              | 42.490                            | 849.80                  | -267.18                         | LT                |
|                                  | Jul 31, 19 | 30,000           | 42.496                                             | 1,291.09 <sup>2</sup> | 42.490                            | 1,274.70                | -16.39                          |                   |
|                                  | Aug 1, 19  | 21,000           | 42.328                                             | 888.89                | 42.490                            | 892.29                  | 3.40                            | ST                |
|                                  | Aug 2, 19  | 5,000            | 40.892                                             | 204.46                | 42.490                            | 212.45                  | 7.99                            | ST                |
|                                  | Sep 24, 19 | 45,000           | 43.050                                             | 1,937.25              | 42.490                            | 1,912.05                | -25.20                          | ST                |
| Security total                   |            | 121,000          | 44.948                                             | 5,438.67              |                                   | 5,141.29                | -297.38                         |                   |
| ENLINK MIDSTREAM LLC             |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol. ENLC Exchange NYSE       |            |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI: \$900 Current yield: 18.11% |            |                  |                                                    |                       |                                   |                         |                                 |                   |
|                                  | Oct 25, 17 | 293,000          | 13.711                                             | 4,017.42              | 6.250                             | 1,831.25                | -2,186.17                       | LT                |
|                                  | Nov 7, 17  | 20,000           | 14.961                                             | 299.22                | 6.250                             | 125.00                  | -174.22                         | LT                |
|                                  | Feb 9, 18  | 39,000           | 15.075                                             | 587.95                | 6.250                             | 243.75                  | -344.20                         | LT                |
|                                  | Mar 15, 18 | 19,000           | 13.500                                             | 256.50                | 6.250                             | 118.75                  | -137.75                         | LT                |
|                                  | Mar 16, 18 | 13,000           | 13.913                                             | 180.87                | 6.250                             | 81.25                   | -99.62                          | LT                |
|                                  | Jul 16, 18 | 52,000           | 14.435                                             | 750.63                | 6.250                             | 325.00                  | -425.63                         | LT                |
|                                  | Dec 3, 18  | 15,000           | 11.319                                             | 169.79                | 6.250                             | 93.75                   | -76.04                          | ST                |
|                                  | Aug 19, 19 | 31,000           | 7.995                                              | 247.86                | 6.250                             | 193.75                  | -54.11                          | ST                |
|                                  | Aug 20, 19 | 19,000           | 8.083                                              | 153.59                | 6.250                             | 118.75                  | -34.84                          | ST                |
|                                  | Sep 24, 19 | 294,000          | 8.423                                              | 2,476.45              | 6.250                             | 1,837.50                | -638.95                         | ST                |
| Security total                   |            | 795,000          | 11.497                                             | 9,140.28              |                                   | 4,968.75                | -4,171.53                       |                   |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                              | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>ENPRO INDUSTRIES INC</b>          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol NPO Exchange NYSE             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$17 Current yield 1 44%         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                      | Oct 2, 19  | 2 000            | 65 425                                             | 130.85          | 69 550                            | 139 10                  | 8 25                            | ST                |
|                                      | Oct 3, 19  | 3 000            | 65 006                                             | 195 02          | 69 550                            | 208 65                  | 13 63                           | ST                |
|                                      | Oct 4, 19  | 2 000            | 65 505                                             | 131 01          | 69 550                            | 139 10                  | 8 09                            | ST                |
|                                      | Oct 7, 19  | 2 000            | 65 200                                             | 130.40          | 69 550                            | 139 10                  | 8 70                            | ST                |
|                                      | Oct 8, 19  | 4 000            | 63 347                                             | 253 39          | 69 550                            | 278 20                  | 24 81                           | ST                |
|                                      | Oct 9, 19  | 4 000            | 63 535                                             | 254 14          | 69 550                            | 278 20                  | 24 06                           | ST                |
| Security total                       |            | 17 000           | 64 401                                             | 1,094.81        | -                                 | 1,182.35                | 87 54                           |                   |
| <b>EPR PROPERTIES REIT</b>           |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol EPR Exchange NYSE             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$603 Current yield: 5.78%       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                      | Oct 25, 17 | 28 000           | 69 223                                             | 1,938 26        | 77 790                            | 2,178 12                | 239 86                          | LT                |
|                                      | Jan 25, 18 | 24 000           | 61 533                                             | 1,476 80        | 77 790                            | 1,866 96                | 390 16                          | LT                |
|                                      | Feb 9, 18  | 10 000           | 55 678                                             | 556 78          | 77 790                            | 777 90                  | 221 12                          | LT                |
|                                      | Mar 8, 18  | 12 000           | 55 762                                             | 669 15          | 77 790                            | 933 48                  | 264 33                          | LT                |
|                                      | Mar 9, 18  | 4 000            | 55 740                                             | 222 96          | 77 790                            | 311 16                  | 88 20                           | LT                |
|                                      | May 18, 18 | 7 000            | 58 382                                             | 408 68          | 77 790                            | 544 53                  | 135 85                          | LT                |
|                                      | Sep 24, 19 | 49 000           | 76 627                                             | 3,754 77        | 77 790                            | 3,811 71                | 56 94                           | ST                |
| Security total                       |            | 134 000          | 67 369                                             | 9,027.40        |                                   | 10,423.86               | 1,396.46                        |                   |
| <b>FEDERAL AGRICULTURAL MTG CORP</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| CL C NON VTG                         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol AGM Exchange NYSE             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$48 Current yield 3 33%         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                      | Sep 3, 19  | 1 000            | 80 520                                             | 80.52           | 84 690                            | 84 69                   | 4 17                            | ST                |
|                                      | Sep 4, 19  | 1 000            | 80 040                                             | 80.04           | 84 690                            | 84 69                   | 4 65                            | ST                |
|                                      | Sep 5, 19  | 1 000            | 81 750                                             | 81 75           | 84 690                            | 84 69                   | 2 94                            | ST                |
|                                      | Sep 6, 19  | 1 000            | 81 140                                             | 81 14           | 84 690                            | 84 69                   | 3 55                            | ST                |
|                                      | Sep 9, 19  | 1 000            | 83 120                                             | 83 12           | 84 690                            | 84 69                   | 1 57                            | ST                |
|                                      | Sep 10, 19 | 1 000            | 83 880                                             | 83 88           | 84 690                            | 84 69                   | 0 81                            | ST                |
|                                      | Sep 11, 19 | 3 000            | 84 383                                             | 253 15          | 84 690                            | 254 07                  | 0 92                            | ST                |
|                                      | Sep 12, 19 | 1 000            | 85 120                                             | 85 12           | 84 690                            | 84 69                   | -0 43                           | ST                |
|                                      | Sep 13, 19 | 1 000            | 85 640                                             | 85 64           | 84 690                            | 84 69                   | -0 95                           | ST                |
|                                      | Sep 24, 19 | 6 000            | 83 190                                             | 499 14          | 84 690                            | 508 14                  | 9 00                            | ST                |
| Security total                       |            | 17 000           | 83 147                                             | 1,413 50        |                                   | 1,439 73                | 26 23                           |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                        | Trade date | Number of shares | Purchase price/<br>Average price per share (\$) | Cost basis (\$) | Price per share on Oct 31 (\$) | Value on Oct 31 (\$) | Unrealized gain or loss (\$) | Holding period |
|--------------------------------|------------|------------------|-------------------------------------------------|-----------------|--------------------------------|----------------------|------------------------------|----------------|
| FIDELITY NATIONAL FINANCIAL IN |            |                  |                                                 |                 |                                |                      |                              |                |
| COM                            |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol: FNF Exchange: NYSE     |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI \$322 Current yield 2.88%  |            |                  |                                                 |                 |                                |                      |                              |                |
|                                | Oct 5, 16  | 24,000           | 26.747                                          | 641.93          | 45.840                         | 1,100.16             | 458.23                       | LT             |
|                                | Oct 28, 16 | 27,000           | 25.024                                          | 702.67          | 45.840                         | 1,237.68             | 535.01                       | LT             |
|                                | Nov 4, 16  | 26,000           | 24.875                                          | 646.77          | 45.840                         | 1,191.84             | 545.07                       | LT             |
|                                | Oct 25, 17 | 29,000           | 35.200                                          | 1,020.80        | 45.840                         | 1,329.36             | 308.56                       | LT             |
|                                | Nov 2, 18  | 10,000           | 33.493                                          | 334.93          | 45.840                         | 458.40               | 123.47                       | ST             |
|                                | Jan 4, 19  | 30,000           | 32.332                                          | 969.96          | 45.840                         | 1,375.20             | 405.24                       | ST             |
|                                | May 7, 19  | 11,000           | 39.240                                          | 431.65          | 45.840                         | 504.24               | 72.59                        | ST             |
|                                | Sep 24, 19 | 87,000           | 44.229                                          | 3,847.96        | 45.840                         | 3,988.08             | 140.12                       | ST             |
| Security total                 |            | 244,000          | 35.232                                          | 8,596.67        |                                | 11,184.96            | 2,588.29                     |                |
| FOUR CORNERS PPTY TRUST        |            |                  |                                                 |                 |                                |                      |                              |                |
| REIT                           |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol: FCPT Exchange: NYSE    |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI \$143 Current yield .403%  |            |                  |                                                 |                 |                                |                      |                              |                |
|                                | Jul 30, 18 | 7,000            | 24.868                                          | 174.08          | 28.650                         | 200.55               | 26.47                        | LT             |
|                                | Jul 31, 18 | 23,000           | 24.880                                          | 572.24          | 28.650                         | 658.95               | 86.71                        | LT             |
|                                | Aug 1, 18  | 11,000           | 24.964                                          | 274.61          | 28.650                         | 315.15               | 40.54                        | LT             |
|                                | Aug 2, 18  | 19,000           | 25.743                                          | 489.12          | 28.650                         | 544.35               | 55.23                        | LT             |
|                                | May 7, 19  | 7,000            | 28.518                                          | 199.63          | 28.650                         | 200.55               | 0.92                         | ST             |
|                                | May 8, 19  | 7,000            | 28.592                                          | 200.15          | 28.650                         | 200.55               | 0.40                         | ST             |
|                                | May 9, 19  | 4,000            | 28.410                                          | 113.64          | 28.650                         | 114.60               | 0.96                         | ST             |
|                                | Sep 24, 19 | 46,000           | 28.030                                          | 1,289.39        | 28.650                         | 1,317.90             | 28.51                        | ST             |
| Security total                 |            | 124,000          | 26.717                                          | 3,312.86        |                                | 3,552.60             | 239.74                       |                |
| GARMIN LTD SHS CHF             |            |                  |                                                 |                 |                                |                      |                              |                |
| Symbol: GRMN Exchange: OTC     |            |                  |                                                 |                 |                                |                      |                              |                |
| EAI \$112 Current yield 2.44%  |            |                  |                                                 |                 |                                |                      |                              |                |
| CHF Exchange rate 0.98675      |            |                  |                                                 |                 |                                |                      |                              |                |
|                                | Oct 25, 17 | 25,000           | 56.429                                          | 1,410.73        | 93.750                         | 2,343.75             | 933.02                       | LT             |
|                                | Jan 4, 19  | 6,000            | 63.806                                          | 382.84          | 93.750                         | 562.50               | 179.66                       | ST             |
|                                | Sep 24, 19 | 18,000           | 83.820                                          | 1,508.76        | 93.750                         | 1,687.50             | 178.74                       | ST             |
| Security total                 |            | 49,000           | 67.394                                          | 3,302.33        |                                | 4,593.75             | 1,291.42                     |                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>H B FULLER CO</b>          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol FUL Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$65 Current yield 1 31%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Oct 30, 17 | 14,000           | 56 953                                             | 797 35          | 48.800                            | 683.20                  | -114 15                         | LT                |
|                               | Nov 16, 17 | 17 000           | 54 201                                             | 921 42          | 48.800                            | 829.60                  | -91 82                          | LT                |
|                               | Feb 6, 18  | 15 000           | 48 793                                             | 731 90          | 48.800                            | 732.00                  | 0.10                            | LT                |
|                               | Mar 8, 18  | 10 000           | 53 001                                             | 530.01          | 48.800                            | 488 00                  | -42 01                          | LT                |
|                               | Jul 12, 19 | 4 000            | 46 975                                             | 187 90          | 48.800                            | 195.20                  | 7 30                            | ST                |
|                               | Jul 15, 19 | 4 000            | 46 762                                             | 187.05          | 48.800                            | 195 20                  | 8 15                            | ST                |
|                               | Sep 24, 19 | 38,000           | 46 879                                             | 1,781 41        | 48.800                            | 1,854 40                | 72 99                           | ST                |
| Security total                |            | 102 000          | 50 363                                             | 5,137 04        |                                   | 4,977 60                | -159 44                         |                   |
| <b>HASBRO INC</b>             |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol HAS Exchange OTC       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$253 Current yield 2 80% |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 3 000            | 94 350                                             | 283 05          | 97 310                            | 291.93                  | 8 88                            | LT                |
|                               | Oct 26, 17 | 21 000           | 94 881                                             | 1,992 51        | 97 310                            | 2,043.51                | 51 00                           | LT                |
|                               | Nov 16, 17 | 9 000            | 95 785                                             | 862.07          | 97 310                            | 875.79                  | 13 72                           | LT                |
|                               | Feb 26, 18 | 5 000            | 98.408                                             | 492.04          | 97.310                            | 486.55                  | -5 49                           | LT                |
|                               | May 10, 18 | 6 000            | 87 355                                             | 524 13          | 97 310                            | 583 86                  | 59 73                           | LT                |
|                               | Nov 20, 18 | 3,000            | 94 046                                             | 282.14          | 97 310                            | 291.93                  | 9.79                            | ST                |
|                               | Dec 3, 18  | 8,000            | 89 841                                             | 718 73          | 97 310                            | 778 48                  | 59 75                           | ST                |
|                               | Jan 29, 19 | 4 000            | 90 752                                             | 363 01          | 97 310                            | 389.24                  | 26 23                           | ST                |
|                               | Sep 24, 19 | 34 000           | 117 027                                            | 3,978 92        | 97 310                            | 3,308 54                | -670 38                         | ST                |
| Security total                |            | 93 000           | 102 114                                            | 9,496.60        |                                   | 9,049.83                | -446 77                         |                   |
| <b>HAWAIIAN HOLDINGS INC</b>  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol HA Exchange OTC        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$83 Current yield 1 69%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                               | Nov 9, 17  | 44,000           | 33 039                                             | 1,453.75        | 28.610                            | 1,258 84                | -194 91                         | LT                |
|                               | Jan 18, 18 | 45,000           | 38 160                                             | 1,717 23        | 28.610                            | 1,287 45                | -429 78                         | LT                |
|                               | Jun 19, 18 | 10,000           | 38 460                                             | 384 60          | 28.610                            | 286 10                  | -98 50                          | LT                |
|                               | Jun 20, 18 | 1,000            | 39 010                                             | 39.01           | 28.610                            | 28.61                   | -10 40                          | LT                |
|                               | Jan 29, 19 | 8,000            | 33 467                                             | 267 74          | 28 610                            | 228 88                  | -38.86                          | ST                |
|                               | Sep 24, 19 | 64,000           | 27 068                                             | 1,732 37        | 28 610                            | 1,831 04                | 98 67                           | ST                |
| Security total                |            | 172 000          | 32 527                                             | 5,594 70        |                                   | 4,920.92                | -673 78                         |                   |

continued next page

Your assets • **Equities** • **Common stock** (continued)

| Holding                              | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>HOPE BANCORP INC COM</b>          |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol HOPE Exchange OTC             |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$203 Current yield 3.92%        |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                      | Oct 25, 17 | 166,000          | 18.540                                             | 3,077.72            | 14.270                            | 2,368.82                | -708.90                         | LT                |
|                                      | Dec 13, 18 | 30,000           | 13.013                                             | 390.40              | 14.270                            | 428.10                  | 37.70                           | ST                |
|                                      | May 7, 19  | 11,000           | 14.266                                             | 156.93              | 14.270                            | 156.97                  | 0.04                            | ST                |
|                                      | May 8, 19  | 14,000           | 14.249                                             | 199.49              | 14.270                            | 199.78                  | 0.29                            | ST                |
|                                      | May 9, 19  | 6,000            | 14.146                                             | 84.88               | 14.270                            | 85.62                   | 0.74                            | ST                |
|                                      | Sep 24, 19 | 136,000          | 14.318                                             | 1,947.36            | 14.270                            | 1,940.72                | -6.64                           | ST                |
| Security total                       |            | 363,000          | 16.134                                             | 5,856.78            |                                   | 5,180.01                | -676.77                         |                   |
| <b>HUBBELL INC</b>                   |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol HUBB Exchange NYSE            |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$109 Current yield 2.56%        |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                      | Oct 25, 17 | 19,000           | 125.798                                            | 2,390.18            | 141.700                           | 2,692.30                | 302.12                          | LT                |
|                                      | Sep 24, 19 | 11,000           | 131.646                                            | 1,448.11            | 141.700                           | 1,558.70                | 110.59                          | ST                |
| Security total                       |            | 30,000           | 127.943                                            | 3,838.29            |                                   | 4,251.00                | 412.71                          |                   |
| <b>HUNTSMAN CORP</b>                 |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol HUN Exchange NYSE             |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$269 Current yield 2.94%        |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                      | Oct 25, 17 | 127,000          | 29.738                                             | 3,776.85            | 22.130                            | 2,810.51                | -966.34                         | LT                |
|                                      | May 10, 18 | 10,000           | 31.614                                             | 316.14              | 22.130                            | 221.30                  | -94.84                          | LT                |
|                                      | May 7, 19  | 17,000           | 20.480                                             | 348.17              | 22.130                            | 376.21                  | 28.04                           | ST                |
|                                      | Jul 15, 19 | 26,000           | 20.113                                             | 532.25 <sup>2</sup> | 22.130                            | 575.38                  | 43.13                           |                   |
|                                      | Jul 16, 19 | 44,000           | 20.618                                             | 907.20              | 22.130                            | 973.72                  | 66.52                           | ST                |
|                                      | Jul 17, 19 | 20,000           | 20.348                                             | 406.97              | 22.130                            | 442.60                  | 35.63                           | ST                |
|                                      | Aug 19, 19 | 16,000           | 19.763                                             | 316.21              | 22.130                            | 354.08                  | 37.87                           | ST                |
|                                      | Aug 20, 19 | 4,000            | 19.515                                             | 78.06               | 22.130                            | 88.52                   | 10.46                           | ST                |
|                                      | Sep 24, 19 | 150,000          | 22.348                                             | 3,352.26            | 22.130                            | 3,319.50                | -32.76                          | ST                |
| Security total                       |            | 414,000          | 24.237                                             | 10,034.11           |                                   | 9,161.82                | -872.29                         |                   |
| <b>IDACORP INC (HOLDING COMPANY)</b> |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol IDA Exchange NYSE             |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$29 Current yield 2.45%         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                      | Sep 24, 19 | 10,000           | 112.035                                            | 1,120.35            | 107.620                           | 1,076.20                | -44.15                          | ST                |
|                                      | Sep 25, 19 | 1,000            | 112.720                                            | 112.72              | 107.620                           | 107.62                  | -5.10                           | ST                |
| Security total                       |            | 11,000           | 112.097                                            | 1,233.07            |                                   | 1,183.82                | -49.25                          |                   |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                          | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|----------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>INGREDION INC COM</b>         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol INGR Exchange NYSE        |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$244 Current yield 3 18%    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                  | Oct 25, 17 | 24 000           | 124 488                                            | 2,987 73        | 79.000                            | 1,896.00                | -1,091 73                       | LT                |
|                                  | Jun 19, 18 | 7 000            | 115 022                                            | 805 16          | 79.000                            | 553.00                  | -252 16                         | LT                |
|                                  | May 16, 19 | 7 000            | 85 122                                             | 595 86          | 79 000                            | 553.00                  | -42 86                          | ST                |
|                                  | May 17, 19 | 18 000           | 83 641                                             | 1,505.54        | 79 000                            | 1,422 00                | -83 54                          | ST                |
|                                  | Aug 19, 19 | 4 000            | 76 610                                             | 306 44          | 79 000                            | 316 00                  | 9 56                            | ST                |
|                                  | Sep 24, 19 | 37 000           | 79 480                                             | 2,940 79        | 79.000                            | 2,923.00                | -17 79                          | ST                |
| Security total                   |            | 97 000           | 94 242                                             | 9,141 52        |                                   | 7,663 00                | -1,478 52                       |                   |
| <b>INTER PARFUMS INC</b>         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol IPAR Exchange OTC         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$19 Current yield 1 44%     |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                  | Oct 4, 19  | 2 000            | 70 890                                             | 141 78          | 77 430                            | 154.86                  | 13 08                           | ST                |
|                                  | Oct 7, 19  | 3 000            | 70 596                                             | 211 79          | 77 430                            | 232 29                  | 20 50                           | ST                |
|                                  | Oct 8, 19  | 3 000            | 68 676                                             | 206.03          | 77 430                            | 232.29                  | 26.26                           | ST                |
|                                  | Oct 9, 19  | 2 000            | 68 955                                             | 137.91          | 77 430                            | 154.86                  | 16.95                           | ST                |
|                                  | Oct 10, 19 | 7 000            | 69 494                                             | 486.46          | 77 430                            | 542.01                  | 55 55                           | ST                |
| Security total                   |            | 17 000           | 69 645                                             | 1,183 97        |                                   | 1,316.31                | 132 34                          |                   |
| <b>JAMES RIV GROUP HLDGS LTD</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol JRVR Exchange OTC         |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$257 Current yield 3 35%    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                  | Oct 25, 17 | 21 000           | 42 569                                             | 893 95          | 35.810                            | 752 01                  | -141 94                         | LT                |
|                                  | Nov 21, 17 | 14 000           | 38 686                                             | 541 61          | 35.810                            | 501 34                  | -40 27                          | LT                |
|                                  | Nov 22, 17 | 9 000            | 38 756                                             | 348.81          | 35 810                            | 322.29                  | -26 52                          | LT                |
|                                  | Dec 12, 17 | 2 000            | 39 775                                             | 79 55           | 35 810                            | 71 62                   | -7 93                           | LT                |
|                                  | Dec 13, 17 | 17 000           | 40 359                                             | 686.11          | 35.810                            | 608.77                  | -77 34                          | LT                |
|                                  | Feb 6, 18  | 6 000            | 36 826                                             | 220.96          | 35.810                            | 214 86                  | -6 10                           | LT                |
|                                  | Feb 7, 18  | 25 000           | 40 374                                             | 1,009.37        | 35 810                            | 895 25                  | -114 12                         | LT                |
|                                  | Feb 26, 18 | 16 000           | 33 880                                             | 542 08          | 35.810                            | 572.96                  | 30 88                           | LT                |
|                                  | May 10, 18 | 5 000            | 38 404                                             | 192 02          | 35.810                            | 179.05                  | -12 97                          | LT                |
|                                  | May 11, 18 | 7 000            | 37 182                                             | 260 28          | 35.810                            | 250 67                  | -9 61                           | LT                |
|                                  | May 31, 18 | 13 000           | 37 995                                             | 493 94          | 35.810                            | 465 53                  | -28 41                          | LT                |
|                                  | Sep 24, 19 | 79 000           | 50 495                                             | 3,989.13        | 35.810                            | 2,828 99                | -1,160 14                       | ST                |
| Security total                   |            | 214 000          | 43 261                                             | 9,257.81        |                                   | 7,663 34                | -1,594 47                       |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                        | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------|------------|------------------|----------------------------------------------------|-----------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>KAR AUCTION SVCS INC</b>    |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol KAR Exchange NYSE       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$97 Current yield 3.05%   |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                | Aug 31, 17 | 30,000           | 17.709                                             | 531.28          | 24.860                            | 745.80                  | 214.52                          | LT                |
|                                | Sep 14, 17 | 23,000           | 18.020                                             | 414.47          | 24.860                            | 571.78                  | 157.31                          | LT                |
|                                | Oct 17, 17 | 23,000           | 18.837                                             | 433.26          | 24.860                            | 571.78                  | 138.52                          | LT                |
|                                | Dec 18, 17 | 9,000            | 20.056                                             | 180.51          | 24.860                            | 223.74                  | 43.23                           | LT                |
|                                | Sep 24, 19 | 43,000           | 24.885                                             | 1,070.06        | 24.860                            | 1,068.98                | -1.08                           | ST                |
| Security total                 |            | 128,000          | 20.544                                             | 2,629.58        |                                   | 3,182.08                | 552.50                          |                   |
| <b>KULICKE &amp; SOFFA IND</b> |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol KLIC Exchange OTC       |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$174 Current yield 2.02%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                | Jul 26, 18 | 8,000            | 26.428                                             | 211.43          | 23.745                            | 189.96                  | -21.47                          | LT                |
|                                | Jul 27, 18 | 18,000           | 26.844                                             | 483.20          | 23.745                            | 427.41                  | -55.79                          | LT                |
|                                | Jul 30, 18 | 35,000           | 26.380                                             | 923.33          | 23.745                            | 831.07                  | -92.26                          | LT                |
|                                | Jul 31, 18 | 19,000           | 26.369                                             | 501.02          | 23.745                            | 451.15                  | -49.87                          | LT                |
|                                | Sep 13, 18 | 9,000            | 24.684                                             | 222.16          | 23.745                            | 213.70                  | -8.46                           | LT                |
|                                | Sep 14, 18 | 22,000           | 25.178                                             | 553.93          | 23.745                            | 522.39                  | -31.54                          | LT                |
|                                | Sep 17, 18 | 14,000           | 25.028                                             | 350.40          | 23.745                            | 332.43                  | -17.97                          | LT                |
|                                | Sep 18, 18 | 6,000            | 25.205                                             | 151.23          | 23.745                            | 142.47                  | -8.76                           | LT                |
|                                | Oct 16, 18 | 17,000           | 21.941                                             | 373.00          | 23.745                            | 403.66                  | 30.66                           | LT                |
|                                | Oct 17, 18 | 14,000           | 21.957                                             | 307.40          | 23.745                            | 332.43                  | 25.03                           | LT                |
|                                | Nov 20, 18 | 13,000           | 21.468                                             | 279.09          | 23.745                            | 308.68                  | 29.59                           | ST                |
|                                | Nov 21, 18 | 6,000            | 20.106                                             | 120.64          | 23.745                            | 142.47                  | 21.83                           | ST                |
|                                | Dec 26, 18 | 30,000           | 18.627                                             | 558.81          | 23.745                            | 712.35                  | 153.54                          | ST                |
|                                | Sep 18, 19 | 15,000           | 23.639                                             | 354.59          | 23.745                            | 356.17                  | 1.58                            | ST                |
|                                | Sep 19, 19 | 7,000            | 23.997                                             | 167.98          | 23.745                            | 166.21                  | -1.77                           | ST                |
|                                | Sep 24, 19 | 130,000          | 24.177                                             | 3,143.09        | 23.745                            | 3,086.85                | -56.24                          | ST                |
| Security total                 |            | 363,000          | 23.971                                             | 8,701.30        |                                   | 8,619.43                | -81.90                          |                   |
| <b>LCI INDUSTRIES</b>          |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| Symbol LCII Exchange NYSE      |            |                  |                                                    |                 |                                   |                         |                                 |                   |
| EAI \$216 Current yield 2.68%  |            |                  |                                                    |                 |                                   |                         |                                 |                   |
|                                | Jul 16, 18 | 24,000           | 93.090                                             | 2,234.16        | 97.120                            | 2,330.88                | 96.72                           | LT                |
|                                | Dec 3, 18  | 9,000            | 79.945                                             | 719.51          | 97.120                            | 874.08                  | 154.57                          | ST                |
|                                | Dec 26, 18 | 11,000           | 62.379                                             | 686.17          | 97.120                            | 1,068.32                | 382.15                          | ST                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                       | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                               | Jan 4, 19  | 9 000            | 70 966                                             | 638.70              | 97.120                            | 874.08                  | 235.38                          | ST                |
|                               | Sep 24, 19 | 30 000           | 91 908                                             | 2,757.24            | 97.120                            | 2,913.60                | 156.36                          | ST                |
| Security total                |            | 83 000           | 84 768                                             | 7,035.78            |                                   | 8,060.96                | 1,025.18                        |                   |
| LEIDOS HLDGS INC              |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol LDOS Exchange NYSE     |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$133 Current yield 1 57% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 49 000           | 63 039                                             | 3,088.95            | 86.230                            | 4,225.27                | 1,136.32                        | LT                |
|                               | Apr 27, 18 | 6 000            | 65 806                                             | 394.84              | 86.230                            | 517.38                  | 122.54                          | LT                |
|                               | Jan 23, 19 | 6 000            | 56 203                                             | 337.22              | 86.230                            | 517.38                  | 180.16                          | ST                |
|                               | Sep 24, 19 | 37 000           | 85 478                                             | 3,162.69            | 86.230                            | 3,190.51                | 27.82                           | ST                |
| Security total                |            | 98 000           | 71 262                                             | 6,983.70            |                                   | 8,450.54                | 1,466.84                        |                   |
| LINCOLN ELEC HOLDINGS NEW     |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol LECO Exchange OTC      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$145 Current yield 2 19% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 22 000           | 96 890                                             | 2,131.58            | 89.570                            | 1,970.54                | -161.04                         | LT                |
|                               | May 31, 18 | 6 000            | 89 510                                             | 537.06              | 89.570                            | 537.42                  | 0.36                            | LT                |
|                               | Dec 13, 18 | 5 000            | 81 524                                             | 407.62              | 89.570                            | 447.85                  | 40.23                           | ST                |
|                               | May 13, 19 | 5 000            | 81 050                                             | 405.25              | 89.570                            | 447.85                  | 42.60                           | ST                |
|                               | Jul 2, 19  | 9 000            | 81 645                                             | 749.66 <sup>2</sup> | 89.570                            | 806.13                  | 56.47                           |                   |
|                               | Sep 24, 19 | 27 000           | 85 313                                             | 2,303.46            | 89.570                            | 2,418.39                | 114.93                          | ST                |
| Security total                |            | 74 000           | 88 306                                             | 6,534.63            |                                   | 6,628.18                | 93.55                           |                   |
| LITTELFUSE INC                |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol LFUS Exchange OTC      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$109 Current yield 1 09% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                               | Oct 25, 17 | 15 000           | 209 358                                            | 3,140.38            | 175.570                           | 2,633.55                | -506.83                         | LT                |
|                               | Mar 8, 18  | 2 000            | 211 625                                            | 423.25              | 175.570                           | 351.14                  | -72.11                          | LT                |
|                               | May 10, 18 | 5 000            | 218 784                                            | 1,093.92            | 175.570                           | 877.85                  | -216.07                         | LT                |
|                               | May 11, 18 | 2 000            | 216 680                                            | 433.36              | 175.570                           | 351.14                  | -82.22                          | LT                |
|                               | Oct 10, 18 | 2 000            | 178 775                                            | 357.55              | 175.570                           | 351.14                  | -6.41                           | LT                |
|                               | Dec 26, 18 | 8 000            | 162 223                                            | 1,297.79            | 175.570                           | 1,404.56                | 106.77                          | ST                |
|                               | Jul 12, 19 | 2 000            | 170 580                                            | 373.33 <sup>2</sup> | 175.570                           | 351.14                  | -22.19                          |                   |
|                               | Sep 24, 19 | 21 000           | 175 538                                            | 3,686.30            | 175.570                           | 3,686.97                | 0.67                            | ST                |
| Security total                |            | 57 000           | 189 577                                            | 10,805.88           |                                   | 10,007.49               | -798.39                         |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                             | Trade date           | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)       | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------------|----------------------|------------------|----------------------------------------------------|-----------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>MEDICAL PROPERTIES TRUST INC</b> |                      |                  |                                                    |                       |                                   |                         |                                 |                   |
| <b>REIT</b>                         |                      |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol MPW                          | Exchange: NYSE       |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$473                           | Current yield: 5.01% |                  |                                                    |                       |                                   |                         |                                 |                   |
|                                     | Oct 25, 17           | 222,000          | 13.186                                             | 2,927.51              | 20.730                            | 4,602.06                | 1,674.55                        | LT                |
|                                     | Feb 6, 18            | 63,000           | 12.194                                             | 768.26                | 20.730                            | 1,305.99                | 537.73                          | LT                |
|                                     | Sep 24, 19           | 170,000          | 19.286                                             | 3,278.71              | 20.730                            | 3,524.10                | 245.39                          | ST                |
| Security total                      |                      | 455,000          | 15.329                                             | 6,974.48              |                                   | 9,432.15                | 2,457.67                        |                   |
| <b>MKS INSTRUMENTS INC</b>          |                      |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol MKSI                         | Exchange: OTC        |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$102                           | Current yield: 0.74% |                  |                                                    |                       |                                   |                         |                                 |                   |
|                                     | Jan 30, 18           | 1,000            | 102.130                                            | 102.13                | 108.220                           | 108.22                  | 6.09                            | LT                |
|                                     | Apr 6, 18            | 5,000            | 112.046                                            | 560.23                | 108.220                           | 541.10                  | -19.13                          | LT                |
|                                     | Apr 27, 18           | 13,000           | 104.680                                            | 1,360.85              | 108.220                           | 1,406.86                | 46.01                           | LT                |
|                                     | May 10, 18           | 10,000           | 115.234                                            | 1,152.34              | 108.220                           | 1,082.20                | -70.14                          | LT                |
|                                     | Jun 19, 18           | 5,000            | 103.906                                            | 519.53                | 108.220                           | 541.10                  | 21.57                           | LT                |
|                                     | Aug 20, 18           | 3,000            | 89.480                                             | 268.44                | 108.220                           | 324.66                  | 56.22                           | LT                |
|                                     | Aug 21, 18           | 4,000            | 91.430                                             | 365.72                | 108.220                           | 432.88                  | 67.16                           | LT                |
|                                     | Sep 17, 18           | 11,000           | 83.330                                             | 916.64                | 108.220                           | 1,190.42                | 273.78                          | LT                |
|                                     | Oct 16, 18           | 7,000            | 77.065                                             | 539.46                | 108.220                           | 757.54                  | 218.08                          | LT                |
|                                     | Nov 20, 18           | 4,000            | 72.140                                             | 288.56                | 108.220                           | 432.88                  | 144.32                          | ST                |
|                                     | Nov 21, 18           | 3,000            | 73.506                                             | 220.52                | 108.220                           | 324.66                  | 104.14                          | ST                |
|                                     | Jan 4, 19            | 6,000            | 65.978                                             | 395.87                | 108.220                           | 649.32                  | 253.45                          | ST                |
|                                     | Sep 24, 19           | 55,000           | 93.222                                             | 5,419.64 <sup>2</sup> | 108.220                           | 5,952.10                | 532.46                          |                   |
| Security total                      |                      | 127,000          | 95.354                                             | 12,109.93             |                                   | 13,743.94               | 1,634.01                        |                   |
| <b>MSA SAFETY INC COM</b>           |                      |                  |                                                    |                       |                                   |                         |                                 |                   |
| Symbol MSA                          | Exchange: NYSE       |                  |                                                    |                       |                                   |                         |                                 |                   |
| EAI \$108                           | Current yield: 1.41% |                  |                                                    |                       |                                   |                         |                                 |                   |
|                                     | Oct 25, 17           | 38,000           | 79.360                                             | 3,015.68              | 120.070                           | 4,562.66                | 1,546.98                        | LT                |
|                                     | May 1, 19            | 3,000            | 110.136                                            | 330.41                | 120.070                           | 360.21                  | 29.80                           | ST                |
|                                     | Sep 24, 19           | 23,000           | 107.350                                            | 2,469.05              | 120.070                           | 2,761.61                | 292.56                          | ST                |
| Security total                      |                      | 64,000           | 90.862                                             | 5,815.14              |                                   | 7,684.48                | 1,869.34                        |                   |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                               | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>NATIONAL RETAIL PROPERTIES INC</b> |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| <b>REIT</b>                           |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol NNN Exchange NYSE              |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$183 Current yield 3.49%         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                       | Jun 19, 18 | 10 000           | 42.105                                             | 421.05              | 58.910                            | 589.10                  | 168.05                          | LT                |
|                                       | Jun 20, 18 | 37 000           | 42.426                                             | 1,569.77            | 58.910                            | 2,179.67                | 609.90                          | LT                |
|                                       | May 7, 19  | 9 000            | 52.470                                             | 472.23              | 58.910                            | 530.19                  | 57.96                           | ST                |
|                                       | Sep 24, 19 | 33 000           | 55.725                                             | 1,838.93            | 58.910                            | 1,944.03                | 105.10                          | ST                |
| Security total                        |            | 89 000           | 48.337                                             | 4,301.98            |                                   | 5,242.99                | 941.01                          |                   |
| <b>NATL HEALTH INVESTORS INC</b>      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol NHI Exchange NYSE              |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$260 Current yield 4.89%         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                       | Mar 23, 18 | 17 000           | 65.815                                             | 1,118.86            | 85.790                            | 1,458.43                | 339.57                          | LT                |
|                                       | Mar 26, 18 | 11 000           | 65.447                                             | 719.92              | 85.790                            | 943.69                  | 223.77                          | LT                |
|                                       | Dec 7, 18  | 6 000            | 79.918                                             | 479.51              | 85.790                            | 514.74                  | 35.23                           | ST                |
|                                       | May 7, 19  | 5 000            | 74.820                                             | 374.10              | 85.790                            | 428.95                  | 54.85                           | ST                |
|                                       | Sep 24, 19 | 23 000           | 83.205                                             | 1,913.72            | 85.790                            | 1,973.17                | 59.45                           | ST                |
| Security total                        |            | 62 000           | 74.292                                             | 4,606.11            |                                   | 5,318.98                | 712.87                          |                   |
| <b>NEWMARK GROUP INC CL A</b>         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol NMRK Exchange OTC              |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$336 Current yield 3.76%         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                       | Oct 25, 17 | 130.556          | 12.770                                             | 1,667.22            | 10.620                            | 1,386.50                | -280.72                         | LT                |
|                                       | Jan 26, 18 | 15 772           | 11.757                                             | 185.45              | 10.620                            | 167.50                  | -17.95                          | LT                |
|                                       | Mar 15, 18 | 35 256           | 11.025                                             | 388.72              | 10.620                            | 374.42                  | -14.30                          | LT                |
|                                       | May 10, 18 | 46.853           | 9.811                                              | 459.69              | 10.620                            | 497.58                  | 37.89                           | LT                |
|                                       | May 11, 18 | 7 886            | 9.847                                              | 77.66               | 10.620                            | 83.75                   | 6.09                            | LT                |
|                                       | May 31, 18 | 18.092           | 9.251                                              | 167.38              | 10.620                            | 192.14                  | 24.76                           | LT                |
|                                       | Jul 6, 18  | 22 267           | 9.107                                              | 222.63 <sup>2</sup> | 10.620                            | 236.48                  | 13.85                           | LT                |
|                                       | Aug 14, 18 | 23 659           | 9.335                                              | 220.86              | 10.620                            | 251.25                  | 30.39                           | LT                |
|                                       | Nov 20, 18 | 15 773           | 8.145                                              | 128.48              | 10.620                            | 167.50                  | 39.02                           | ST                |
|                                       | Nov 21, 18 | 7.886            | 8.230                                              | 64.91               | 10.620                            | 83.75                   | 18.84                           | ST                |
|                                       | Dec 13, 18 | 56 000           | 9.902                                              | 556.00 <sup>2</sup> | 10.620                            | 594.72                  | 38.72                           |                   |
|                                       | Mar 12, 19 | 56 000           | 8.863                                              | 565.30 <sup>2</sup> | 10.620                            | 594.72                  | 29.42                           |                   |
|                                       | Mar 13, 19 | 18 000           | 8.859                                              | 159.47              | 10.620                            | 191.16                  | 31.69                           | ST                |
|                                       | Mar 14, 19 | 27 000           | 8.930                                              | 241.13              | 10.620                            | 286.74                  | 45.61                           | ST                |
|                                       | May 1, 19  | 18 000           | 8.676                                              | 156.18              | 10.620                            | 191.16                  | 34.98                           | ST                |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                       | Trade date                           | Number of shares            | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)             | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$)      | Unrealized<br>gain or loss (\$) | Holding<br>period |
|-------------------------------|--------------------------------------|-----------------------------|----------------------------------------------------|-----------------------------|-----------------------------------|------------------------------|---------------------------------|-------------------|
| Security total                | May 2, 19<br>May 3, 19<br>Sep 24, 19 | 20,000<br>10,000<br>312,000 | 8.391<br>8.547<br>9.119                            | 167.83<br>85.47<br>2,845.19 | 10.620<br>10.620<br>10.620        | 212.40<br>106.20<br>3,313.44 | 44.57<br>20.73<br>468.25        | ST<br>ST<br>ST    |
| 841,000                       |                                      |                             | 9.940                                              | 8,359.57                    |                                   | 8,931.42                     | 571.84                          |                   |
| NORDSON CORP                  |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
| Symbol NDSN Exchange OTC      |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
| EAI \$85 Current yield 0.97%  |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
|                               | Oct 25, 17                           | 24,000                      | 125.225                                            | 3,005.40                    | 156.810                           | 3,763.44                     | 758.04                          | LT                |
|                               | Apr 27, 18                           | 4,000                       | 130.887                                            | 523.55                      | 156.810                           | 627.24                       | 103.69                          | LT                |
|                               | Aug 14, 18                           | 5,000                       | 134.120                                            | 670.60                      | 156.810                           | 784.05                       | 113.45                          | LT                |
|                               | Dec 26, 18                           | 2,000                       | 114.230                                            | 228.46                      | 156.810                           | 313.62                       | 85.16                           | ST                |
|                               | Sep 24, 19                           | 21,000                      | 143.908                                            | 3,022.07                    | 156.810                           | 3,293.01                     | 270.94                          | ST                |
| Security total                |                                      | 56,000                      | 133.037                                            | 7,450.08                    |                                   | 8,781.36                     | 1,331.28                        |                   |
| NVENT ELEC PLC                |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
| Symbol NVT Exchange NYSE      |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
| EAI \$156 Current yield 3.03% |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
|                               | Sep 30, 19                           | 29,000                      | 22.048                                             | 639.42                      | 23.060                            | 668.74                       | 29.32                           | ST                |
|                               | Oct 1, 19                            | 99,000                      | 20.372                                             | 2,016.84                    | 23.060                            | 2,282.94                     | 266.10                          | ST                |
|                               | Oct 2, 19                            | 94,000                      | 19.859                                             | 1,866.79                    | 23.060                            | 2,167.64                     | 300.85                          | ST                |
|                               | Oct 3, 19                            | 1,000                       | 19.540                                             | 19.54                       | 23.060                            | 23.06                        | 3.52                            | ST                |
| Security total                |                                      | 223,000                     | 20.370                                             | 4,542.59                    |                                   | 5,142.38                     | 599.79                          |                   |
| ONE GAS INC                   |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
| Symbol OGS Exchange NYSE      |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
| EAI \$26 Current yield 2.15%  |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
|                               | Sep 30, 19                           | 12,000                      | 96.007                                             | 1,152.09                    | 92.840                            | 1,114.08                     | -38.01                          | ST                |
|                               | Oct 1, 19                            | 1,000                       | 95.980                                             | 95.98                       | 92.840                            | 92.84                        | -3.14                           | ST                |
| Security total                |                                      | 13,000                      | 96.005                                             | 1,248.07                    |                                   | 1,206.92                     | -41.15                          |                   |
| PACKAGING CORP OF AMERICA     |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
| Symbol PKG Exchange NYSE      |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
| EAI \$161 Current yield 2.88% |                                      |                             |                                                    |                             |                                   |                              |                                 |                   |
|                               | Oct 25, 17                           | 25,000                      | 117.920                                            | 2,948.00                    | 109.460                           | 2,736.50                     | -211.50                         | LT                |
|                               | Nov 2, 18                            | 7,000                       | 95.308                                             | 667.16                      | 109.460                           | 766.22                       | 99.06                           | ST                |
|                               | Sep 24, 19                           | 19,000                      | 103.102                                            | 1,958.95                    | 109.460                           | 2,079.74                     | 120.79                          | ST                |
| Security total                |                                      | 51,000                      | 109.296                                            | 5,574.11                    |                                   | 5,582.46                     | 8.35                            |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                               | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$) | Price per share<br>on Oct. 31 (\$) | Value on<br>Oct. 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|---------------------------------------|------------|------------------|----------------------------------------------------|-----------------|------------------------------------|--------------------------|---------------------------------|-------------------|
| <b>PACWEST BANCORP</b>                |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol PACW Exchange OTC              |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$809 Current yield 6.49%         | Oct 30, 15 | 79,000           | 44.849                                             | 3,543.14        | 36.990                             | 2,922.21                 | -620.93                         | LT                |
|                                       | Feb 26, 16 | 24,000           | 33.249                                             | 797.98          | 36.990                             | 887.76                   | 89.78                           | LT                |
|                                       | Mar 28, 17 | 12,000           | 51.405                                             | 616.87          | 36.990                             | 443.88                   | -172.99                         | LT                |
|                                       | Oct 25, 17 | 6,000            | 48.218                                             | 289.31          | 36.990                             | 221.94                   | -67.37                          | LT                |
|                                       | Dec 18, 17 | 11,000           | 49.248                                             | 541.73          | 36.990                             | 406.89                   | -134.84                         | LT                |
|                                       | Apr 27, 18 | 9,000            | 52.192                                             | 469.73          | 36.990                             | 332.91                   | -136.82                         | LT                |
|                                       | Oct 2, 18  | 11,000           | 46.846                                             | 515.31          | 36.990                             | 406.89                   | -108.42                         | LT                |
|                                       | Nov 20, 18 | 11,000           | 38.886                                             | 427.75          | 36.990                             | 406.89                   | -20.86                          | ST                |
|                                       | Nov 21, 18 | 3,000            | 39.633                                             | 118.90          | 36.990                             | 110.97                   | -7.93                           | ST                |
|                                       | Dec 7, 18  | 16,000           | 37.308                                             | 596.94          | 36.990                             | 591.84                   | -5.10                           | ST                |
|                                       | Jan 4, 19  | 19,000           | 34.472                                             | 654.98          | 36.990                             | 702.81                   | 47.83                           | ST                |
|                                       | Aug 19, 19 | 9,000            | 33.536                                             | 301.83          | 36.990                             | 332.91                   | 31.08                           | ST                |
|                                       | Aug 20, 19 | 2,000            | 33.085                                             | 66.17           | 36.990                             | 73.98                    | 7.81                            | ST                |
|                                       | Sep 24, 19 | 125,000          | 35.859                                             | 4,482.38        | 36.990                             | 4,623.75                 | 141.37                          | ST                |
| Security total                        |            | 337,000          | 39.831                                             | 13,423.02       |                                    | 12,465.63                | -957.39                         |                   |
| <b>PNM RESOURCES INC (HOLDING CO)</b> |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol PNM Exchange NYSE              |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$52 Current yield 2.22%          | Oct 25, 17 | 29,000           | 42.550                                             | 1,233.95        | 52.150                             | 1,512.35                 | 278.40                          | LT                |
|                                       | Sep 24, 19 | 16,000           | 51.808                                             | 828.94          | 52.150                             | 834.40                   | 5.46                            | ST                |
| Security total                        |            | 45,000           | 45.842                                             | 2,062.89        |                                    | 2,346.75                 | 283.86                          |                   |
| <b>PORTLAND GENL ELEC CO NEW</b>      |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol POR Exchange NYSE              |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$68 Current yield 2.72%          | Feb 28, 19 | 28,000           | 50.221                                             | 1,406.19        | 56.880                             | 1,592.64                 | 186.45                          | ST                |
|                                       | Sep 24, 19 | 16,000           | 56.550                                             | 904.80          | 56.880                             | 910.08                   | 5.28                            | ST                |
| Security total                        |            | 44,000           | 52.523                                             | 2,310.99        |                                    | 2,502.72                 | 191.73                          |                   |
| <b>RPM INTL INC (DELA)</b>            |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| Symbol RPM Exchange NYSE              |            |                  |                                                    |                 |                                    |                          |                                 |                   |
| EAI \$108 Current yield 1.99%         | Oct 25, 17 | 40,000           | 52.349                                             | 2,093.99        | 72.430                             | 2,897.20                 | 803.21                          | LT                |
|                                       | Jan 29, 19 | 7,000            | 55.781                                             | 390.47          | 72.430                             | 507.01                   | 116.54                          | ST                |
|                                       | Sep 24, 19 | 28,000           | 67.005                                             | 1,876.16        | 72.430                             | 2,028.04                 | 151.88                          | ST                |
| Security total                        |            | 75,000           | 58.142                                             | 4,360.62        |                                    | 5,432.25                 | 1,071.63                        |                   |

continued next page

## Your assets • Equities • Common stock (continued)

| Holding                            | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|------------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>SNAP ON INC</b>                 |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol SNA Exchange NYSE           |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$171 Current yield 2.34%      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                    | Oct 25, 17 | 20,000           | 160.469                                            | 3,209.38            | 162.670                           | 3,253.40                | 44.02                           | LT                |
|                                    | Mar 8, 18  | 5,000            | 155.274                                            | 776.37              | 162.670                           | 813.35                  | 36.98                           | LT                |
|                                    | Apr 27, 18 | 4,000            | 147.312                                            | 589.25              | 162.670                           | 650.68                  | 61.43                           | LT                |
|                                    | Sep 24, 19 | 16,000           | 153.253                                            | 2,452.05            | 162.670                           | 2,602.72                | 150.67                          | ST                |
| Security total                     |            | 45,000           | 156.157                                            | 7,027.05            |                                   | 7,320.15                | 293.10                          |                   |
| <b>SPIRE INC</b>                   |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol SR Exchange NYSE            |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$36 Current yield 2.86%       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                    | Sep 30, 19 | 12,000           | 87.156                                             | 1,045.88            | 84.060                            | 1,008.72                | -37.16                          | ST                |
|                                    | Oct 1, 19  | 3,000            | 86.503                                             | 259.51              | 84.060                            | 252.18                  | -7.33                           | ST                |
| Security total                     |            | 15,000           | 87.026                                             | 1,305.39            |                                   | 1,260.90                | -44.49                          |                   |
| <b>STERIS PLC</b>                  |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol STE Exchange NYSE           |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$84 Current yield 1.04%       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                    | Oct 25, 17 | 35,000           | 90.859                                             | 3,180.07            | 141.570                           | 4,954.95                | 1,774.88                        | LT                |
|                                    | Sep 24, 19 | 22,000           | 146.390                                            | 3,220.58            | 141.570                           | 3,114.54                | -106.04                         | ST                |
| Security total                     |            | 57,000           | 112.292                                            | 6,400.65            |                                   | 8,069.49                | 1,668.84                        |                   |
| <b>STORE CAP CORP REIT</b>         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol STOR Exchange NYSE          |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$227 Current yield 3.46%      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                    | May 28, 19 | 25,000           | 34.418                                             | 860.47              | 40.500                            | 1,012.50                | 152.03                          | ST                |
|                                    | May 29, 19 | 15,000           | 33.979                                             | 509.69              | 40.500                            | 607.50                  | 97.81                           | ST                |
|                                    | Jul 2, 19  | 21,000           | 33.895                                             | 711.90 <sup>2</sup> | 40.500                            | 850.50                  | 138.60                          | ST                |
|                                    | Aug 21, 19 | 35,000           | 36.431                                             | 1,275.10            | 40.500                            | 1,417.50                | 142.40                          | ST                |
|                                    | Aug 22, 19 | 8,000            | 36.565                                             | 292.52              | 40.500                            | 324.00                  | 31.48                           | ST                |
|                                    | Sep 24, 19 | 58,000           | 37.427                                             | 2,170.80            | 40.500                            | 2,349.00                | 178.20                          | ST                |
| Security total                     |            | 162,000          | 35.929                                             | 5,820.48            |                                   | 6,561.00                | 740.52                          |                   |
| <b>TARGA RESOURCES INVESTMENTS</b> |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol TRGP Exchange NYSE          |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$692 Current yield 9.37%      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                    | Oct 25, 17 | 63,000           | 36.613                                             | 2,306.67            | 38.880                            | 2,449.44                | 142.77                          | LT                |
|                                    | Nov 7, 17  | 10,000           | 40.978                                             | 409.78              | 38.880                            | 388.80                  | -20.98                          | LT                |
|                                    | Nov 20, 17 | 20,000           | 38.972                                             | 779.44              | 38.880                            | 777.60                  | -1.84                           | LT                |
|                                    | Jul 16, 18 | 14,000           | 50.092                                             | 701.30              | 38.880                            | 544.32                  | -156.98                         | LT                |

continued next page

**Your assets • Equities • Common stock (continued)**

| Holding                        | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------------------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
|                                | Sep 18, 19 | 15 000           | 41 597                                             | 623 96              | 38 880                            | 583 20                  | -40 76                          | ST                |
|                                | Sep 24, 19 | 68 000           | 40 242                                             | 2,736 50            | 38 880                            | 2,643.84                | -92 66                          | ST                |
| Security total                 |            | 190,000          | 39 777                                             | 7,557 65            |                                   | 7,387 20                | -170 45                         |                   |
| TELEFLEX INC                   |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol TFX Exchange NYSE       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$26 Current yield 0.39%   |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                | Oct 25, 17 | 11,000           | 242.440                                            | 2,666.84            | 347 410                           | 3,821 51                | 1,154 67                        | LT                |
|                                | Sep 24, 19 | 8,000            | 343 876                                            | 2,751.01            | 347 410                           | 2,779 28                | 28 27                           | ST                |
| Security total                 |            | 19,000           | 285 150                                            | 5,417 85            |                                   | 6,600 79                | 1,182 94                        |                   |
| VERMILION ENERGY INC CAD       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol VET Exchange NYSE       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$751 Current yield 15.77% |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| CAD Exchange rate 1 31435      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                | Oct 25, 17 | 150 000          | 32 787                                             | 4,918.14            | 13 230                            | 1,984 50                | -2,933 64                       | LT                |
|                                | Feb 7, 18  | 11,000           | 34.825                                             | 383.08              | 13 230                            | 145 53                  | -237 55                         | LT                |
|                                | Mar 15, 18 | 20,000           | 30.583                                             | 611.66              | 13 230                            | 264 60                  | -347 06                         | LT                |
|                                | Nov 2, 18  | 15 000           | 25 814                                             | 387 21              | 13 230                            | 198 45                  | -188 76                         | ST                |
|                                | Nov 20, 18 | 3,000            | 24 176                                             | 72.53               | 13 230                            | 39.69                   | -32 84                          | ST                |
|                                | Nov 21, 18 | 11 000           | 25 542                                             | 280.97              | 13,230                            | 145 53                  | -135 44                         | ST                |
|                                | Feb 4, 19  | 18 000           | 24 453                                             | 499 55 <sup>2</sup> | 13 230                            | 238.14                  | -261 41                         | ST                |
|                                | Sep 24, 19 | 132 000          | 17 039                                             | 2,249.23            | 13 230                            | 1,746.36                | -502 87                         | ST                |
| Security total                 |            | 360 000          | 26 118                                             | 9,402 37            |                                   | 4,762.80                | -4,639 57                       |                   |
| WASH TRUST BANCORP INC         |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol WASH Exchange OTC       |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$204 Current yield 3.99%  |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                | Oct 25, 17 | 51 000           | 55.400                                             | 2,825 40            | 51,090                            | 2,605 59                | -219 81                         | LT                |
|                                | May 7, 19  | 1,000            | 53 430                                             | 53 43               | 51,090                            | 51.09                   | -2 34                           | ST                |
|                                | May 8, 19  | 1 000            | 53 130                                             | 53 13               | 51,090                            | 51 09                   | -2 04                           | ST                |
|                                | May 9, 19  | 1 000            | 52 690                                             | 52 69               | 51 090                            | 51.09                   | -1 60                           | ST                |
|                                | May 10, 19 | 9,000            | 53 707                                             | 483 37              | 51,090                            | 459.81                  | -23 56                          | ST                |
|                                | Sep 24, 19 | 37 000           | 48 925                                             | 1,810 24            | 51 090                            | 1,890 33                | 80 09                           | ST                |
| Security total                 |            | 100 000          | 52 783                                             | 5,278 26            |                                   | 5,109 00                | -169 26                         |                   |
| WILEY JOHN & SONS INC CL A     |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| Symbol JW.A Exchange NYSE      |            |                  |                                                    |                     |                                   |                         |                                 |                   |
| EAI \$143 Current yield 2.96%  |            |                  |                                                    |                     |                                   |                         |                                 |                   |
|                                | Sep 24, 19 | 105 000          | 45 119                                             | 4,737 54            | 46 070                            | 4,837 35                | 99 81                           | ST                |

continued next page

Your assets › Equities › Common stock (continued)

| Holding      | Trade date | Number of shares | Purchase price/<br>Average price<br>per share (\$) | Cost basis (\$)     | Price per share<br>on Oct 31 (\$) | Value on<br>Oct 31 (\$) | Unrealized<br>gain or loss (\$) | Holding<br>period |
|--------------|------------|------------------|----------------------------------------------------|---------------------|-----------------------------------|-------------------------|---------------------------------|-------------------|
| <b>Total</b> |            |                  |                                                    | <b>\$452,816.64</b> |                                   | <b>\$463,808.50</b>     | <b>\$9,074.98</b>               |                   |

**Total estimated annual income: \$15,487**

<sup>z</sup> Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

**HARRY DENT FAMILY FOUNDATION, INC.**  
**ID NO. 16-0849923**  
**SUPPLEMENTAL INFORMATION**

Information regarding Grant and Loan Programs

Line 2) (a) Applications should be addressed to:

Harry Dent Family Foundation, Inc.  
Cyclorama Building, 369 Franklin St.  
Buffalo, NY 14202  
Tel: 716 754-8276

(b) The form on which applications should be submitted and information they should include:

Applications by any exempt organization dedicated to the furtherance of education, science, musical arts, literary or religious purposes may be submitted in letter form, either containing or accompanied by information which includes the name of the organization, whether or not it is exempt under the rules of the Internal Revenue Service, its current budget, summary of last year's receipts and disbursements and information regarding application of the funds being requested.

(c) No submission deadlines are imposed.

(d) This corporation is limited by its Charter to granting funds to exempt organizations dedicated to the furtherance of education, science, musical arts, charitable, benevolent and religious uses and purposes for the moral, ethical, physical, mental and intellectual well-being and progress of mankind.

The territory in which the operations of the corporation are principally conducted is the County of Erie and County of Niagara in the State of New York but may be carried on elsewhere throughout the United States of America.