

Form **990-PF**

OMB No 1545-0052

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation****2018**Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning 11/01, 2018, and ending 10/31, 2019

**ARIA FOUNDATION**  
C/O TG TAX & ACCOUNTING SERVICES, LLC  
26553 S JACOB DR  
CHANNAHON, IL 60410

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☒ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation **04**  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16) **\$ 32,479,941.**  
**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

**A** Employer identification number  
**13-3603275**

**B** Telephone number (see instructions)  
**(815) 467-5500**

**C** If exemption application is pending, check here ☐ **0**

**D 1** Foreign organizations, check here ☐

**2** Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

**1** Contributions, gifts, grants, etc., received (attach schedule)

86,500.

**2** Check ☐ if the foundation is not required to attach Sch B**3** Interest on savings and temporary cash investments**4** Dividends and interest from securities

924,848.

924,848.

**5a** Gross rents**b** Net rental income or (loss)**6a** Net gain or (loss) from sale of assets not on line 10

431,433.

**b** Gross sales price for all assets on line 6a **6,494,394.****7** Capital gain net income (from Part IV, line 2)

431,433.

**8** Net short-term capital gain**9** Income modifications**10a** Gross sales less returns and allowances**b** Less Cost of goods sold**c** Gross profit or (loss) (attach schedule)**11** Other income (attach schedule)

See Statement 1

2,002.

2,002.

**12 Total** Add lines 1 through 11

1,444,783.

1,358,283.

0.

**13** Compensation of officers, directors, trustees, etc

0.

**14** Other employee salaries and wages**15** Pension plans, employee benefits**16a** Legal fees (attach schedule)**b** Accounting fees (attach sch) See St 2

19,400.

9,700.

9,700.

**c** Other professional fees (attach sch) See St 3

112,374.

111,993.

381.

**17** Interest**18** Taxes (attach schedule)(see instrs)**19** Depreciation (attach schedule) and depletion**20** Occupancy**21** Travel, conferences, and meetings**22** Printing and publications**23** Other expenses (attach schedule)

See Statement 4

46,034.

46,034.

**24 Total operating and administrative expenses.** Add lines 13 through 23

177,808.

167,727.

10,081.

**25** Contributions, gifts, grants paid

2,050,067.

2,050,067.

**26 Total expenses and disbursements.** Add lines 24 and 25

2,227,875.

167,727.

0.

2,060,148.

**27** Subtract line 26 from line 12.**a** Excess of revenue over expenses and disbursements

-783,092.

**b** Net investment income (if negative, enter -0-)

1,190,556.

**c** Adjusted net income (if negative, enter -0-)

0.

g32

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	2,037,703.	2,379,120.	2,379,120.
	3	Accounts receivable ▶ 106.			
		Less allowance for doubtful accounts ▶	68.	106.	106.
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule)			
	c	Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment, basis ▶			
Liabilities		Less accumulated depreciation (attach schedule) ▶			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)	25,184,990.	24,060,443.	30,100,715.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	<b>Total assets</b> (to be completed by all filers — see the instructions Also, see page 1, item I)	27,222,761.	26,439,669.	32,479,941.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
Net Assets or Fund Balances	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
		<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31.</b> <input type="checkbox"/>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds	7,781,377.	7,781,377.	
Total	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	19,441,384.	18,658,292.	
	30	<b>Total net assets or fund balances</b> (see instructions)	27,222,761.	26,439,669.	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	27,222,761.	26,439,669.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	27,222,761.
2	Enter amount from Part I, line 27a	2	-783,092.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	26,439,669.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	26,439,669.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day , yr )	(d) Date sold (mo , day , yr )
<b>1a</b> See Statement 5				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)		<div style="border: 1px solid black; padding: 2px;">             [ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]           </div>		<div style="border: 1px solid black; padding: 2px;"> <b>2</b> 431,433.           </div>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		<div style="border: 1px solid black; padding: 2px;">             [ If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 ]           </div>		<div style="border: 1px solid black; padding: 2px;"> <b>3</b> 0.           </div>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	2,157,659.	33,030,013.	0.065324
2016	2,117,747.	31,891,630.	0.066404
2015	1,379,232.	32,073,741.	0.043002
2014	1,693,559.	33,311,550.	0.050840
2013	2,785,785.	35,630,765.	0.078185
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.303755
<b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.060751
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			<b>4</b> 31,534,896.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 1,915,776.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 11,906.
<b>7</b> Add lines 5 and 6			<b>7</b> 1,927,682.
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 2,060,148.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	11,906.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	11,906.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-		5	11,906.
6 Credits/Payments			
a 2018 estimated tax pmts and 2017 overpayment credited to 2018	6 a	35,718.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	35,718.	
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	23,812.	
11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input type="checkbox"/> 23,812.   Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered See instructions VT		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X
14 The books are in care of <u>TG TAX &amp; ACCOUNTING SVCS, LLC</u> Telephone no <u>(815) 467-5500</u> Located at <u>26553 S JACOB DR CHANNAHON IL</u> ZIP + 4 <u>60410</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15	N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country	16	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration

or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6				

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	30,094,082.
b	Average of monthly cash balances	1 b	1,921,041.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	32,015,123.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	32,015,123.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	480,227.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	31,534,896.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	1,576,745.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,576,745.
2a	Tax on investment income for 2018 from Part VI, line 5	2 a	11,906.
b	Income tax for 2018 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	11,906.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,564,839.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,564,839.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,564,839.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	2,060,148.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,060,148.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	11,906.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	2,048,242.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				1,564,839.
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only			0.	
<b>b</b> Total for prior years 20__, 20__, 20__		0.		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013	1,038,485.			
<b>b</b> From 2014	42,184.			
<b>c</b> From 2015				
<b>d</b> From 2016	552,563.			
<b>e</b> From 2017	526,440.			
<b>f</b> Total of lines 3a through e	2,159,672.			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 2,060,148.				
<b>a</b> Applied to 2017, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required — see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required — see instructions)	0.			
<b>d</b> Applied to 2018 distributable amount				1,564,839.
<b>e</b> Remaining amount distributed out of corpus	495,309.			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,654,981.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount — see instructions		0.		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	1,038,485.			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	1,616,496.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014	42,184.			
<b>b</b> Excess from 2015				
<b>c</b> Excess from 2016	552,563.			
<b>d</b> Excess from 2017	526,440.			
<b>e</b> Excess from 2018	495,309.			

---

N/A

1

[illegible]

**b** 85% of line 2a

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**3** Complete 3a, b, or c for the alternative test relied upon.

a 'Assets' alternative test – enter

**(1) Value of all assets**

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

### **1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ADAM & RACHEL ALBRIGHT

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest:

SEE STATEMENT 7

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year SEE STATEMENT 8				2,050,067.
<b>Total</b>			<b>3 a</b>	2,050,067.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3 b</b>	

<b>Part XVI-A</b>	<b>Analysis of Income-Producing Activities</b>
-------------------	--

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	924,848.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	431,433.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a	ALB VENT, LLC - 11F			14	201.	
b	EJF ARMADILLO FD - 11A			14	1,801.	
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)				1,358,283.	
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)				<b>13</b> 1,358,283.	

(See worksheet in line 13 instructions to verify calculations )

<b>Part XVI-B</b>	<b>Relationship of Activities to the Accomplishment of Exempt Purposes</b>
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[illegible]



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.**

OMB No 1545-0047

**2018**

Name of the organization **ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC**

Employer identification number  
**13-3603275**

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)( ) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering 'N/A' in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA** For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

**Schedule B (Form 990, 990-EZ, or 990-PF) (2018)**

Name of organization <b>ARIA FOUNDATION</b>	Employer identification number <b>13-3603275</b>
--	---

**Part II Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ADAM & RACHEL ALBRIGHT C/O TG TAX, 26553 S JACOB DR CHANNAHON, IL 60410	\$ 86,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Employer identification number

ARIA FOUNDATION

13-3603275

**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)



Name of organization

ARIA FOUNDATION

Employer identification number

13-3603275

**Part III** **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$            **N/A**  
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2018

## Federal Statements

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Client ALB06

ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC

13-3603275

2/20/20

03 07PM

Statement 1  
Form 990-PF, Part I, Line 11  
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
ALB VENT, LLC - 11F	\$ 201.	\$ 201.	
EJF ARMADILLO FD - 11A	1,801.	1,801.	
Total	<u>\$ 2,002.</u>	<u>\$ 2,002.</u>	<u>\$ 0.</u>

Statement 2  
Form 990-PF, Part I, Line 16b  
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	\$ 19,400.	\$ 9,700.		\$ 9,700.
Total	<u>\$ 19,400.</u>	<u>\$ 9,700.</u>	<u>\$ 0.</u>	<u>\$ 9,700.</u>

Statement 3  
Form 990-PF, Part I, Line 16c  
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BMO HARRIS BANK - AGENCY FEES	\$ 2,348.	\$ 2,348.		
MANAGEMENT / ADVISORY FEES	109,645.	109,645.		
REGISTERED AGENT FEE (VT)	381.			\$ 381.
Total	<u>\$ 112,374.</u>	<u>\$ 111,993.</u>	<u>\$ 0.</u>	<u>\$ 381.</u>

Statement 4  
Form 990-PF, Part I, Line 23  
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ALBRIGHT VENTURES, LLC - 13H & 18C	\$ 14,327.	\$ 14,327.		
EJF ARMADILLO FINL FD, LP - 13W	4,408.	4,408.		
JMC PLATFORM FUND II-B, LP - 13K	66.	66.		
MPM BIOVENTURES V, LP - 13K	27,233.	27,233.		
Total	<u>\$ 46,034.</u>	<u>\$ 46,034.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Client ALB06

ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC

13-3603275

2/20/20

03 07PM

**Statement 5**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	SCHWAB GAA - SEE STATEMENT ATTACHED	Purchased	Various	Various
2	SCHWAB GAA - SEE STATEMENT ATTACHED	Purchased	Various	Various
3	2.037 SHS LMSF 2015 SPC	Purchased	Various	Various
4	2,396.3813 SHS JABCAP EMEA	Purchased	Various	Various
5	DISTR IN EXCESS OF BASIS - ALB VENT LLC	Purchased	Various	Various
6	MPM BIOVENTURES V, LP	Purchased	Various	Various
7	MPM BIOVENTURES V, LP	Purchased	Various	Various
8	ALBRIGHT VENTURES, LLC	Purchased	Various	Various
9	EJF ARMADILLO FINANCIAL FUND, LP	Purchased	Various	Various
10	Capital Gain Dividends			

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	1440492.		1484559.	-44,067.				\$ -44,067.
2	3586552.		4122238.	-535,686.				-535,686.
3	3,800.		36,773.	-32,973.				-32,973.
4	527,324.		399,980.	127,344.				127,344.
5	348,951.		0.	348,951.				348,951.
6	180,367.		0.	180,367.				180,367.
7	127,613.		0.	127,613.				127,613.
8	67.		0.	67.				67.
9	0.		19,411.	-19,411.				-19,411.
10								279,228.
Total							\$	<u>431,433.</u>

[illegible]

2018

Federal Supplemental Information

Page 1

Client ALB06

ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC

13-3603275

2/20/20

03 07PM

Statement 7  
Form 990-PF, Part XV  
Information Regarding Managers Owning 10% Stock

ADAM, RACHEL & GARRETT ALBRIGHT AND MIKA RUEDA-ALBRIGHT COLLECTIVELY OWN GREATER THAN 10% OF ALBRIGHT VENTURES, LLC, A PARTNERSHIP CONSISTING OF POOLED INVESTORS. ARIA FOUNDATION IS ALSO INVESTED IN ALBRIGHT VENTURES, LLC, AND ALSO OWNS GREATER THAN 10% OF THAT ENTITY. ALBRIGHT VENTURES, LLC INVESTS PRIMARILY IN UNDERLYING VENTURE CAPITAL PARTNERSHIPS.

Client ALB06

ARIA FOUNDATION

13-3603275

## Statement 6

Form 990-PF, Part VIII, Line 1

List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compensation	Contribution to EBP & DC	Expense Account / Other
ADAM ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 26553 S JACOB DR CHANNAHON, IL 60410	PRESIDENT PART-TIME	\$ -	\$ -	\$ -
RACHEL ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 26553 S JACOB DR CHANNAHON, IL 60410	VICE PRESIDENT PART-TIME	\$ -	\$ -	\$ -
MIKA RUEDA-ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 26553 S JACOB DR CHANNAHON, IL 60410	SECRETARY PART-TIME	\$ -	\$ -	\$ -
GARRETT ALBRIGHT C/O TG TAX & ACCOUNTING SVCS, LLC 26553 S JACOB DR CHANNAHON, IL 60410	TREASURER PART-TIME	\$ -	\$ -	\$ -
TROY J GASS C/O TG TAX & ACCOUNTING SVCS, LLC 26553 S JACOB DR CHANNAHON, IL 60410	ASSISTANT TREASURER PART-TIME	\$ -	\$ -	\$ -
GRAND TOTAL		<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
Amazon Conservation Team 4211 North Fairfax Drive Arlington, VA 22203	NONE PUBLIC	GENERAL	\$ 19,000.00
Beulah's Place PO Box 518 Redmond, OR 97756	NONE PUBLIC	GENERAL	\$ 40,000.00
Carey School One Carey School Lane San Mateo, CA 94403	NONE PUBLIC	GENERAL	\$ 20,000.00
Celebrate Bend Foundation 1090 NW Greenwood, Suite 101 Bend, OR 97703	NONE PUBLIC	GENERAL	\$ 10,000.00
Center for International Environmental Law 1101 15th St, NW, Suite 1100 Washington, DC 20005	NONE PUBLIC	GENERAL	\$ 3,000.00
Central Oregon Landwatch 50 SW Bond St., Suite 4 Bend, OR 97702	NONE PUBLIC	GENERAL	\$ 171,000.00
CHO Global Natural Farming - Hawaii PO Box 1380 Pahoa, HI 96778	NONE PUBLIC	GENERAL	\$ 15,000.00
Deschutes River Conservancy 700 NW Hill Street Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 10,000.00
Doctors Without Borders 40 Rector St., 16th Floor New York, NY 10006-1705	NONE PUBLIC	GENERAL	\$ 100,000.00
Drug Policy Alliance 131 West 33rd Street, 15th Floor New York, NY 10001	NONE PUBLIC	GENERAL	\$ 80,000.00
Earthjustice 50 California St, Suite 500 San Francisco, CA 94111	NONE PUBLIC	GENERAL	\$ 3,500.00
Earthworks 1612 K St, NW, Suite 808 Washington, DC 20006	NONE PUBLIC	GENERAL	\$ 24,000.00

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>		<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
	<u>NONE</u>	<u>PUBLIC</u>		
Environmental Defense Fund 1875 Connecticut Ave., NW Washington, DC 20009	NONE	PUBLIC	GENERAL	\$ 10,000.00
Environmental Law Alliance Worldwide 1412 Pearl St Eugene, OR 97401	NONE	PUBLIC	GENERAL	\$ 6,527.00
Equal Justice Initiative 122 Commerce St Montgomery, AL 36104	NONE	PUBLIC	GENERAL	\$ 50,000.00
Family Access Network Foundation 2125 NE Dagget Lane Bend, OR 97701	NONE	PUBLIC	GENERAL	\$ 40,000.00
Global Fund For Women 800 Market St., 7th Floor San Francisco, CA 94102	NONE	PUBLIC	GENERAL	\$ 54,540.00
Global Greengrants Fund 2840 Wilderness Place, Suite E Boulder, CO 80301	NONE	PUBLIC	GENERAL	\$ 25,500.00
Guardian Group 20380 Halfway Rd, Suite 1 Bend, OR 97703	NONE	PUBLIC	GENERAL	\$ 13,000.00
High Country News Research Fund PO Box 1090 Paonia, CO 81428	NONE	PUBLIC	GENERAL	\$ 20,000.00
Human Rights Watch 350 Fifth Ave, 34th Floor New York, NY 10118	NONE	PUBLIC	GENERAL	\$ 15,000.00
ICLEI 1536 Wynkoop St., #901 Denver, CO 80202	NONE	PUBLIC	GENERAL	\$ 11,500.00
Institute for Sustainable Communities 535 Stone Cutters Way Montpelier, VT 05602	NONE	PUBLIC	GENERAL	\$ 50,000.00
League of Conservation Voters Education Fund 1920 L Street, NW, Suite 800 Washington, DC 20036	NONE	PUBLIC	GENERAL	\$ 19,000.00



## ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
Marine Conservation Institute 4010 Stone Way N, Suite 210 Seattle, WA 98103	NONE PUBLIC	GENERAL	\$ 3,000.00
Mountain Star Family Relief Nursery 2125 NE Daggett Ln Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 40,000.00
Natural Resources Defense Council 40 West 20th Street New York, NY 10011	NONE PUBLIC	GENERAL	\$ 23,000.00
NeighborImpact 2303 SW 1st Street Redmond, OR 97756	NONE PUBLIC	GENERAL	\$ 30,000.00
Northwest Network of Bisexual, Transgender, Lesbian and Gay Survivors of Abuse PO Box 18436 Seattle, WA 98118	NONE PUBLIC	GENERAL	\$ 5,000.00
Oceana 1350 Connecticut Ave, NW, 5th Floor Washington, DC 20036	NONE PUBLIC	GENERAL	\$ 22,500.00
Oil Change International 714 G St, SE #202 Washington, DC 20003	NONE PUBLIC	GENERAL	\$ 20,000.00
Oregon Environmental Council 222 NW Davis St, Suite 309 Portland, OR 97209	NONE PUBLIC	GENERAL	\$ 30,000.00
Oregon Natural Desert Association 50 SW Bond St., Suite 4 Bend OR 97702	NONE PUBLIC	GENERAL	\$ 50,000.00
Oregon State Parks Foundation 888 SW Fifth Ave., Suite 1600 Portland, OR 97204	NONE PUBLIC	GENERAL	\$ 1,000.00
Oregon Wild 5825 North Greeley Portland, OR 97217-4145	NONE PUBLIC	GENERAL	\$ 20,000.00
Pacific Environment 473 Pine St, 3rd Floor San Francisco, CA 94104	NONE PUBLIC	GENERAL	\$ 3,500.00

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PCI Media Impact 777 United Nations Plaza, 5th Floor New York, NY 10017	NONE PUBLIC	GENERAL	\$ 323,000.00
Rainforest Alliance 233 Broadway, 28th Floor New York, NY 10279	NONE PUBLIC	GENERAL	\$ 76,000.00
Rocky Mountain Institute 2190 Junction Place, Suite 200 Boulder, CO 80301	NONE PUBLIC	GENERAL	\$ 70,000.00
San Francisco Baykeepers 1736 Franklin St., Suite 800 San Francisco, CA 94104	NONE PUBLIC	GENERAL	\$ 2,000.00
Saving Grace 1004 NW Milwaukee, Suite 100 Bend, OR 97701	NONE PUBLIC	GENERAL	\$ 40,000.00
SF LGBT Center 1800 Market St San Francisco, CA 94102	NONE PUBLIC	GENERAL	\$ 275,000.00
Southern Environmental Law Center 201 West Main St, Suite 14 Charlottesville, VA 22902	NONE PUBLIC	GENERAL	\$ 3,500.00
Sustainable Northwest 1130 SW Morrison St., Suite 510 Portland, OR 97205	NONE PUBLIC	GENERAL	\$ 50,000.00
Union of Concerned Scientists 2 Brattle Square Cambridge, MA 02138	NONE PUBLIC	GENERAL	\$ 41,500.00
Upper Deschutes Watershed Council PO Box 1812 Bend, OR 97709	NONE PUBLIC	GENERAL	\$ 5,000.00
WaterWatch of Oregon 213 SW Ash St., Suite 208 Portland, OR 97204	NONE PUBLIC	GENERAL	\$ 40,000.00
Western Environmental Law Center PO Box 10947 Eugene, OR 97440	NONE PUBLIC	GENERAL	\$ 40,000.00

ARIA FOUNDATION

13-3603275

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
Woods Hole Research Center 149 Woods Hole Road Falmouth, MA 02540	NONE PUBLIC	GENERAL	\$ 25,500.00
<b>TOTAL CHARITABLE CONTRIBUTIONS</b>			<u>\$ 2,050,067.00</u>

2018

Federal Supporting Detail

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Client ALB06

ARIA FOUNDATION  
C/O TG TAX & ACCOUNTING SERVICES, LLC

13-3603275

2/20/20

03 39PM

**Dispositions  
Excluded (2a)**

CHARLES SCHWAB - GAA  
EJF TRUST PREFERRED FUND LTD

	\$	249,098.
		30,130.
Total	\$	<u>279,228.</u>

**Balance Sheet  
Other (Form 990-PF)[O]**

SEE STATEMENT ATTACHED

	\$	24,060,443.
Total	\$	<u>24,060,443.</u>

**FMV of Assets (990-PF)  
Other [O]**

SEE STATEMENT ATTACHED

	\$	30,100,715.
Total	\$	<u>30,100,715.</u>

ARIA FOUNDATION Y/E 10/31/19 13-3603275		
	<b>BASIS END</b>	<b>MKT VAL</b>
<b>FORM 990-PF PART II LINE 13, INVESTMENTS - OTHER</b>	<b>OF YEAR</b>	<b>END OF YEAR</b>
CHARLES SCHWAB GAA ACCOUNT (SEE STATEMENT ATTACHED)	22,124,688 50	26,813,961 30
EJF TRUST PREFERRED LTD	357,338 00	408,230 00
CRESTLINE OPPORTUNITY FUND III (EUROPE) CAYMAN LTD	36,954 00	27,365 00
NB GREATER CHINA LONG/SHORT EQUITY FUND LTD	305,622 22	617,819 00
ALBRIGHT VENTURES, LLC	-	95,881 88
EJF ARMADILLO FUND, LP	149,187 00	75,480 00
JMC PLATFORM FUND II-B, LP	296,214 00	479,531 00
MPM BIOVENTURES V, LP	790,438 93	1,582,447 00
	24,060,442 65	30,100,715 18