

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning **NOV 1, 2018**, and ending **OCT 31, 2019**

Name of foundation

A Employer identification number

JOHN BROWN COOK FOUNDATION**06-6022958**

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

4 WATER STREET, PO BOX 450

B Telephone number

860-526-4911

City or town, state or province, country, and ZIP or foreign postal code

CHESTER, CT 06412C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

04

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method

☒ Cash☐ AccrualF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col (c), line 16)

☐ Other (specify)▶ \$ **47,996,196.** (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		193,311.	193,311.		STATEMENT 2
4 Dividends and interest from securities		930,335.	930,335.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		772,732.			STATEMENT 1
b Gross sales price for all assets on line 6a 19,549,492.					
7 Capital gain net income (from Part IV, line 2)			772,779.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,896,378.	1,896,425.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 4		247,227.	247,227.		0.
17 Interest					
18 Taxes STMT 5		95,842.	42,942.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		1,300.	0.		1,300.
24 Total operating and administrative expenses. Add lines 13 through 23		344,369.	290,169.		1,300.
25 Contributions, gifts, grants paid		2,200,000.			2,200,000.
26 Total expenses and disbursements. Add lines 24 and 25		2,544,369.	290,169.		2,201,300.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-647,991.			
b Net investment income (if negative, enter -0-)			1,606,256.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	2,037,265.	2,256,706.	2,256,706.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S. and state government obligations			
b	Investments - corporate stock STMT 7	29,989,215.	29,452,529.	37,035,856.
c	Investments - corporate bonds STMT 8	7,578,336.	7,247,590.	8,703,634.
11	Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other			
14	Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
15	Other assets (describe ▶)			
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item i)	39,604,816.	38,956,825.	47,996,196.

Liabilities

17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.	

Net Assets or Fund Balances

	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26, and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds	39,604,816.	38,956,825.	
30	Total net assets or fund balances	39,604,816.	38,956,825.	
31	Total liabilities and net assets/fund balances	39,604,816.	38,956,825.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	39,604,816.
2	Enter amount from Part I, line 27a	2	-647,991.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	38,956,825.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	38,956,825.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 19,549,492.		18,776,713.	772,779.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			772,779.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	772,779.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,331,355.	45,596,643.	.029199
2016	1,344,959.	20,533,061.	.065502
2015	133,681.	3,281,847.	.040733
2014	63,826.	3,318,058.	.019236
2013	28,640.	3,073,027.	.009320

2 Total of line 1, column (d)	2	.163990
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.032798
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	46,816,022.
5 Multiply line 4 by line 3	5	1,535,472.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,063.
7 Add lines 5 and 6	7	1,551,535.
8 Enter qualifying distributions from Part XII, line 4	8	2,201,300.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CAPITAL GROUP AC #9400 - SEE ATTACHED	P	VARIOUS	VARIOUS
b	CAPITAL GROUP AC #9400 - SEE ATTACHED	P	VARIOUS	VARIOUS
c	CAPITAL GROUP AC #9401 - SEE ATTACHED	P	VARIOUS	VARIOUS
d	CAPITAL GROUP AC #9401 - SEE ATTACHED	P	VARIOUS	VARIOUS
e	CAPITAL GROUP AC #9402 - SEE ATTACHED	P	VARIOUS	VARIOUS
f	CAPITAL GROUP AC #9402 - SEE ATTACHED	P	VARIOUS	VARIOUS
g	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS
h	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS
i	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS
j	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS
k	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS
l	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS
m	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS
n	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS
o	NEUBERGER BERMAN AC #823 - SEE ATTACHED	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,719,606.	5,800,132.	-80,526.
b	3,302,997.	3,177,644.	125,353.
c	142,439.	181,427.	-38,988.
d	791,945.	624,395.	167,550.
e	526,542.	579,945.	-53,403.
f	1,426,615.	1,343,287.	83,328.
g	142,647.	140,829.	1,818.
h	502,961.	395,445.	107,516.
i	154,272.	58,891.	95,381.
j	526,803.	524,337.	2,466.
k	225,846.	224,647.	1,199.
l	163.	163.	0.
m	43,679.	46,134.	-2,455.
n	475,000.	472,259.	2,741.
o	33,000.	33,000.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-80,526.
b			125,353.
c			-38,988.
d			167,550.
e			-53,403.
f			83,328.
g			1,818.
h			107,516.
i			95,381.
j			2,466.
k			1,199.
l			0.
m			-2,455.
n			2,741.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NEUBERGER BERMAN AC #823 - SEE ATTACHED		P	VARIOUS	VARIOUS
b NEUBERGER BERMAN AC #823 - SEE ATTACHED		P	VARIOUS	VARIOUS
c NEUBERGER BERMAN AC #823 - SEE ATTACHED		P	VARIOUS	VARIOUS
d NEUBERGER BERMAN AC #823 - SEE ATTACHED		P	VARIOUS	VARIOUS
e LPL FINANCIAL AC #5940		P	VARIOUS	VARIOUS
f MORGAN STANLEY AC #6348		P	VARIOUS	VARIOUS
g BNY MELLON AC #2771 - SEE ATTACHED		P	VARIOUS	VARIOUS
h BNY MELLON AC #2771 - SEE ATTACHED		P	VARIOUS	VARIOUS
i BNY MELLON AC #2797 - SEE ATTACHED		P	VARIOUS	VARIOUS
j BNY MELLON AC #2797 - SEE ATTACHED		P	VARIOUS	VARIOUS
k BNY MELLON AC #2789 - SEE ATTACHED		P	VARIOUS	VARIOUS
l BNY MELLON AC #2789 - SEE ATTACHED		P	VARIOUS	VARIOUS
m CAPITAL GAINS DIVIDENDS				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,457.		12,866.	591.
b 598,355.		598,355.	0.
c 298,047.		298,047.	0.
d 51.			51.
e 340,327.		332,507.	7,820.
f 124,414.		113,631.	10,783.
g 1,771,048.		1,762,421.	8,627.
h 1,503,592.		1,202,849.	300,743.
i 361,421.		361,519.	-98.
j 132,096.		148,667.	-16,571.
k 28,377.		40,715.	-12,338.
l 263,420.		302,601.	-39,181.
m 100,372.			100,372.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			591.
b			0.
c			0.
d			51.
e			7,820.
f			10,783.
g			8,627.
h			300,743.
i			-98.
j			-16,571.
k			-12,338.
l			-39,181.
m			100,372.
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 if (loss), enter "-0-" in Part I, line 7 }	2	772,779.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	16,063.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	16,063.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	16,063.
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	32,920.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	32,920.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16,857.
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ☒ 16,857. Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☒ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► GREGORY COOK Telephone no ► 860-526-4911 Located at ► PO BOX 450, CHESTER, CT ZIP+4 ► 06412-0450		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No

Yes No

5b

6b

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2018)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

0

Part IX-A	Summary of Direct Charitable Activities
------------------	--

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Amount

1	N/A	
2		
All other program-related investments See instructions		
3		

0.

Form **990-PF** (2018)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	44,044,693.
b	Average of monthly cash balances	1b	3,484,263.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	47,528,956.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	47,528,956.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	712,934.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	46,816,022.
6	Minimum investment return. Enter 5% of line 5	6	2,340,801.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	2,340,801.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	16,063.
b	Income tax for 2018 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	16,063.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,324,738.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,324,738.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,324,738.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,201,300.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,201,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	16,063.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,185,237.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2018)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2,324,738.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			444,036.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 2,201,300.				
a Applied to 2017, but not more than line 2a			444,036.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				1,757,264.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019-				567,474.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

N/A

☐ 4942(i)(3) or ☐ 4942(i)(5)

(4) Gross investment income

[illegible]

NO

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
EPIDEMIC ANSWERS PO BOX 191 WEST SIMSBURY, CT 06092		PUBLIC CHARITY	GENERAL SUPPORT	250,000.
FRIENDS OF THE GARVAN INSTITUTE OF MEDICAL RESEARCH 150 E 58TH STREET NEW YORK, NY 10155		PUBLIC CHARITY	GENERAL SUPPORT	500,000.
HAND TO HEART PROJECT PO BOX 248 CORNISH FLAT, NH 03746		PUBLIC CHARITY	GENERAL SUPPORT	75,000.
INSTITUTE FOR JUSTICE 901 N GLEBE ROAD, SUITE 900 ARLINGTON, VA 22203		PUBLIC CHARITY	GENERAL SUPPORT	400,000.
MOVING PICTURE INSTITUTE 375 GREENWICH STREET NEW YORK, NY 10013		PUBLIC CHARITY	GENERAL SUPPORT	250,000.
Total	SEE CONTINUATION SHEET(S)			2,200,000.
b Approved for future payment				
NONE				
Total				0.

3 Grants and Contributions Paid During the Year (Continuation)

823631
04-01-18

16

320903 755344 9275 2018.06020 JOHN BROWN COOK FOUNDATION 9275 1

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

19-9-20
Date

CHAIRMAN
Title

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JANET BARILLARI

Preparer's signature

Date _____

9/3/20

Check ☐ if
self-employed

PTIN	
------	--

P00236314

Firm's name ► **VENMAN & CO. LLC** CPA'S

Firm's EIN ▶	06-0674034
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Firm's address ▶ 375 BRIDGEPORT AVENUE
SHELTON, CT 06484

Phone no 203-929-9945

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GROUP AC #9400 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5,719,606.	5,800,179.	0.	0.	-80,573.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GROUP AC #9400 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,302,997.	3,177,644.	0.	0.	125,353.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GROUP AC #9401 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
142,439.	181,427.	0.	0.	-38,988.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
CAPITAL GROUP AC #9401 - SEE ATTACHED	791,945.	624,395.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
CAPITAL GROUP AC #9402 - SEE ATTACHED	526,542.	579,945.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
CAPITAL GROUP AC #9402 - SEE ATTACHED	1,426,615.	1,343,287.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	142,647.	140,829.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	502,961.	395,445.	0.	PURCHASED 0.	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	154,272.	58,891.	0.	PURCHASED 0.	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	526,803.	524,337.	0.	PURCHASED 0.	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	225,846.	224,647.	0.	PURCHASED 0.	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	163.	163.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	43,679.	46,134.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	475,000.	472,259.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
NEUBERGER BERMAN AC #823 - SEE ATTACHED	33,000.	33,000.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
NEUBERGER BERMAN AC #823 - SEE ATTACHED	13,457.	12,866.	0.	0.	591.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
NEUBERGER BERMAN AC #823 - SEE ATTACHED	598,355.	598,355.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
NEUBERGER BERMAN AC #823 - SEE ATTACHED	298,047.	298,047.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
NEUBERGER BERMAN AC #823 - SEE ATTACHED	51.	0.	0.	0.	51.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
LPL FINANCIAL AC #5940	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
340,327.	332,507.	0.	0.	7,820.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
MORGAN STANLEY AC #6348	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
124,414.	113,631.	0.	0.	10,783.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
BNY MELLON AC #2771 - SEE ATTACHED	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,771,048.	1,762,421.	0.	0.	8,627.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
BNY MELLON AC #2771 - SEE ATTACHED	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,503,592.	1,202,849.	0.	0.	300,743.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BNY MELLON AC #2797 - SEE ATTACHED					
	361,421.	361,519.	0.	0.	-98.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BNY MELLON AC #2797 - SEE ATTACHED					
	132,096.	148,667.	0.	0.	-16,571.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BNY MELLON AC #2789 - SEE ATTACHED					
	28,377.	40,715.	0.	0.	-12,338.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BNY MELLON AC #2789 - SEE ATTACHED					
	263,420.	302,601.	0.	0.	-39,181.

CAPITAL GAINS DIVIDENDS FROM PART IV	100,372.
TOTAL TO FORM 990-PF, PART I, LINE 6A	772,732.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON #2771	34,197.	34,197.	
CAPITAL GROUP #9400	131,201.	131,201.	
CAPITAL GROUP #9402	265.	265.	
LPL FINANCIAL #5940	1,017.	1,017.	
MORGAN STANLEY #034	53.	53.	
NEUBERGER BERMAN #823	26,578.	26,578.	
TOTAL TO PART I, LINE 3	193,311.	193,311.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON #2771	38,896.	0.	38,896.	38,896.	
BNY MELLON #2789	27,154.	0.	27,154.	27,154.	
BNY MELLON #2797	7,077.	116.	6,961.	6,961.	
CAPITAL GROUP #9400	356,145.	100,079.	256,066.	256,066.	
CAPITAL GROUP #9401	105,469.	0.	105,469.	105,469.	
CAPITAL GROUP #9402	165,365.	0.	165,365.	165,365.	
LPL FINANCIAL #5940	158,289.	0.	158,289.	158,289.	
MORGAN STANLEY #034	88,308.	177.	88,131.	88,131.	
NEUBERGER BERMAN #823	84,004.	0.	84,004.	84,004.	
TO PART I, LINE 4	1,030,707.	100,372.	930,335.	930,335.	

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	247,227.	247,227.		0.
TO FORM 990-PF, PG 1, LN 16C	247,227.	247,227.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	42,942.	42,942.		0.
FEDERAL TAX	52,900.	0.		0.
TO FORM 990-PF, PG 1, LN 18	95,842.	42,942.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER	1,300.	0.		1,300.
TO FORM 990-PF, PG 1, LN 23	1,300.	0.		1,300.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS-CORPORATE STOCK	29,452,529.	37,035,856.
TOTAL TO FORM 990-PF, PART II, LINE 10B	29,452,529.	37,035,856.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS-CORPORATE BOND	7,247,590.	8,703,634.
TOTAL TO FORM 990-PF, PART II, LINE 10C	7,247,590.	8,703,634.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
GREGORY M COOK PO BOX 450 CHESTER, CT 06142	TRUSTEE 5.00	0.	0.	0.
BRETT PHANEUF 87 NICHOLS ST WILMINGTON, MA 01887	TRUSTEE 1.00	0.	0.	0.
SHELLEY GREGORY PO BOX 450 CHESTER, CT 06142	TRUSTEE 1.00	0.	0.	0.
WALLIS GRAHAM 11 BURTON ST MOSMAN, AUSTRALIA	TRUSTEE 1.00	0.	0.	0.
KIRBY GRABOWSKI 17 PALMER LN RIVERSIDE, CT 06878	TRUSTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

JOHN BROWN COOK FOUNDATION INC.

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
820. AES CORP COM		06/04/2018	11/28/2018	12,642.84	10,621.79			2,021.05
8000. AT&T INC SR GIBL FL NT 0.0% 06/12/2024		08/16/2018	05/01/2019	8,049.68	8,000.00			49.68
100. AGIOS PHARMACEUTICALS INC		01/11/2018	12/07/2018	5,325.26	7,255.70			-1,930.44
255. AGIOS PHARMACEUTICALS INC		01/16/2018	12/10/2018	13,367.45	18,021.42			-4,653.97
10000. ALTRIA GROUP INC SR UNSECA.80% 02-14-29		02/12/2019	06/18/2019	10,713.60	9,971.70			741.90
100. AMERICA MOVIL S.A.B DE C.V.		01/16/2018	11/23/2018	1,309.97	1,742.38			-432.41
100. AMERICA MOVIL S.A.B DE C.V.		01/16/2018	11/23/2018	1,319.28	1,742.38			-423.10
100. AMERICA MOVIL S.A.B DE C.V.		01/16/2018	11/23/2018	1,319.19	1,742.38			-423.19
1175. AMERICA MOVIL S.A.B DE C.V.		01/16/2018	11/27/2018	14,426.34	20,406.61			-5,980.27
22690.1153 AMCAR 15-3 C 2.73% 03-08-21/05-08-19		02/14/2018	02/08/2019	2,309.88	2,318.95			-9.07
11000. ANHEUSER-BUSCH INBEV SR UNSEC 4.75% 01-23-29		01/10/2019	01/30/2019	11,354.31	10,965.35			388.96
29000. ASTRAZENCA PLC 3.5% 08/17/2023		08/14/2018	01/18/2019	28,886.90	28,905.17			-18.27
160000. G2SF 4.5 TBA12-01-48		08/22/2018	11/07/2018	163,987.50	165,784.38			-1,796.88
160000. G2SF 4.5 TBA12-01-48		10/18/2018	12/12/2018	165,350.00	163,837.50			1,512.50
160000. G2SF 4.5 TBA12-01-48		12/14/2018	12/14/2018	165,437.50	165,437.50			
30213.2177 DRIVE 2018-3-A2A 2.75% 10/15/2020		07/17/2018	11/15/2018	4,786.78	4,786.76			0.02
20967.6863 DRIVE 2018-3-A2A 2.75% 10/15/2020		07/17/2018	12/17/2018	9,245.53	9,245.49			0.04
13477.4343 DRIVE 2018-3-A2A 2.75% 10/15/2020		07/17/2018	01/15/2019	7,490.25	7,490.21			0.04
7379.1249 DRIVE 2018-3-A2A 2.75% 10/15/2020		07/17/2018	02/15/2019	6,098.31	6,098.28			0.03
1416.3538 DRIVE 2018-3-A2A 2.75% 10/15/2020		07/17/2018	03/15/2019	5,962.77	5,962.74			0.03
35000. DRIVE 2018-3-A2A 2.75% 10/15/2020		07/17/2018	04/15/2019	1,416.35	1,416.35			
9000. ENERGY TRANSFER LP 4.95% 06/15/2028		06/05/2018	01/28/2019	8,986.77	8,983.71			3.06
7000. ENERGY TRANSFER LP 4.95% 06/15/2028		06/05/2018	05/01/2019	7,398.37	6,987.33			411.04
255. FACEBOOK INC-A		08/16/2018	11/21/2018	34,659.40	49,615.38			-14,955.98
60913.0366 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	11/15/2018	421.04	417.35			3.69
60559.3521 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	12/17/2018	353.68	350.59			3.09
60232.5081 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	01/15/2019	326.84	323.98			2.86
59925.4071 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	02/15/2019	307.10	304.41			2.69
59575.7234 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	03/15/2019	349.68	346.62			3.06
59132.3727 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	04/15/2019	443.35	439.47			3.88
58606.0215 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	05/15/2019	526.35	521.75			4.60
58030.2119 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	06/17/2019	575.81	570.77			5.04
57370.0777 FILMC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	07/15/2019	660.13	654.36			5.77
Totals								

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JOHN BROWN COOK FOUNDATION INC.

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
9062.9254	SCRT 17-4 MT3.5% 06-25-57	12/07/2017	11/26/2018	74.41	75.80			-1.39
8858.0969	SCRT 17-4 HT2.25% 06-25-57	12/07/2017	11/26/2018	67.39	67.01			0.38
14879.6061	SCRT 19-2 MA3.5% 08-25-58	05/08/2019	05/31/2019	120.39	122.24			-1.85
14774.9918	SCRT 19-2 MA3.5% 08-25-58	05/08/2019	06/25/2019	104.61	106.22			-1.61
14643.689	SCRT 19-2 MA3.5% 08-25-58	05/08/2019	07/25/2019	131.30	133.31			-2.01
14485.7034	SCRT 19-2 MA3.5% 08-25-58	05/08/2019	08/26/2019	157.99				157.99
159712.1152	G2SF 4.5 MA565212-20-48	12/12/2018	01/22/2019	287.88	297.80			-9.92
159362.9088	G2SF 4.5 MA565212-20-48	12/12/2018	02/20/2019	349.21	361.24			-12.03
158931.096	G2SF 4.5 MA565212-20-48	12/12/2018	03/20/2019	431.81	446.69			-14.88
158155.1856	G2SF 4.5 MA565212-20-48	12/12/2018	04/22/2019	775.91	802.64			-26.73
157131.464	G2SF 4.5 MA565212-20-48	12/12/2018	05/20/2019	1,023.72	1,058.99			-35.27
155643.5616	G2SF 4.5 MA565212-20-48	12/12/2018	06/20/2019	1,487.90	1,539.17			-51.27
160000.	G2SF 4.5 MA565212-20-48	12/12/2018	06/25/2019	159,476.25	161,005.97			-1,529.72
153238.9424	G2SF 4.5 MA565212-20-48	12/12/2018	07/22/2019	2,404.62				2,404.62
300.	GILEAD SCIENCES INC	06/04/2018	03/06/2019	18,887.72	21,081.24			-2,193.52
80.	GILEAD SCIENCES INC	06/04/2018	03/06/2019	5,029.60	5,621.66			-592.06
2000.	GOLDMAN SACHS GROUP SR UNSBC VAR 07-24-23	02/07/2019	04/10/2019	1,980.18	1,955.79			24.39
10000.	GOLDMAN SACHS GROUP INC VAR 05/01/29	04/18/2018	11/09/2018	9,708.70	10,000.00			-291.30
100.	HYUNDAI MTR CO SP ADR	06/29/2018	11/01/2018	3,090.81	4,105.82			-1,015.01
200.	HYUNDAI MTR CO SP ADR	07/02/2018	11/02/2018	6,249.82	8,044.62			-1,794.80
145.	HYUNDAI MTR CO SP ADR	07/04/2018	11/07/2018	4,495.15	5,586.52			-1,091.37
195.	JPMORGAN CHASE & CO	01/09/2018	12/06/2018	20,285.50	21,321.60			-1,036.10
40.	JOHNSON & JOHNSON COMMON STOCK	12/13/2018	02/06/2019	5,324.74	5,904.37			-579.63
85.	JOHNSON & JOHNSON COMMON STOCK	12/13/2018	02/06/2019	11,300.22	12,546.79			-1,246.57
59.	MULTICHOICE GROUP LIMITED SPON ADS ADR	10/18/2018	05/03/2019	525.26	342.49			182.77
25.	NASDAQ INC	07/31/2018	07/02/2019	2,460.05	2,280.43			179.62
75.	NASDAQ INC	07/31/2018	07/05/2019	7,571.13	6,841.30			729.83
135.	NORTHROP GRUMMAN CORP	09/28/2018	12/10/2018	36,362.61	41,897.71			-5,535.10
33000.	PFIZER INC SR UNSBC 2% 09-15-23	09/04/2018	03/06/2019	33,994.25	32,933.01			1,061.24
4000.	REYNOLDS AMERICAN INC SR UNSBC 5.70% 08-15-35	02/16/2018	01/28/2019	4,027.52	4,613.80			-586.28
6000.	REYNOLDS AMERICAN INC SR UNSBC 5.70% 08-15-35	02/16/2018	01/29/2019	6,057.06	6,920.70			-863.64
28000.	SHELL INTL FINANCE SR UNSBC 3.50% 11-13-23	11/27/2018	01/29/2019	28,538.72	27,871.51			667.21
40000.	SOUTHERN CAL EDISON SEC SER A4.20%	08/01/2019	08/02/2019	44,385.60	44,101.60			284.00
Totals								

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JOHN BROWN COOK FOUNDATION INC

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
195. STATE STREET CORP		02/15/2018	12/07/2018	12,723.67	19,472.77			-6,749.10
100. STATE STREET CORP		01/17/2019	07/12/2019	5,519.68	7,110.23			-1,590.55
360. STATE STREET CORP		01/17/2019	07/12/2019	19,873.06	25,548.77			-5,675.71
335. STEEL DYNAMICS INC		02/16/2018	12/07/2018	10,867.68	16,143.35			-5,275.67
230. TORONTO DOMINION BANK		06/20/2018	05/01/2019	13,112.32	13,293.20			-180.88
85000. US TREASURY NOTE 0.00% 01/15/2021		02/05/2018	02/01/2019	84,156.64	84,266.21			-109.57
241300. US TREASURY I/L FRN0.625% 04-15-23		08/23/2018	12/10/2018	241,249.27	244,413.66			-3,164.39
169300. US TREASURY I/L FRN0.625% 04-15-23		08/23/2018	03/20/2019	172,759.36	170,821.89			1,937.47
129000. US TREASURY NOTE 3.00% 10/31/2025		10/29/2018	11/30/2018	129,712.08	128,802.23			909.85
71000. US TREASURY NOTE 3.00% 10/31/2025		10/29/2018	11/30/2018	71,391.92	70,822.50			569.42
103000. US TREASURY NOTE 2.875% 10/31/2023		10/29/2018	11/30/2018	103,133.90	102,742.50			391.40
62000. US TREASURY NOTE 2.875% 10/31/2023		10/29/2018	11/30/2018	62,080.60	61,845.00			235.60
87500. US TREASURY NOTE 3.125% 11/15/2028		12/10/2018	02/01/2019	90,729.98	89,735.35			994.63
68000. US TREASURY NOTE 3.125% 11/15/2028		12/10/2018	02/08/2019	70,869.58	69,737.19			1,132.39
62600. US TREASURY NOTE 3.125% 11/15/2028		12/10/2018	02/08/2019	65,231.84	63,920.09			1,311.75
199000. US TREASURY NOTE2.875% 11-30-25		11/30/2018	12/28/2018	201,809.88	198,471.41			3,338.47
162000. US TREASURY NOTE2.875% 11-30-23		11/30/2018	12/28/2018	164,386.26	162,278.43			2,107.83
169000. US TREASURY NOTE2.625% 12-31-23		01/29/2019	02/08/2019	169,290.47	169,404.38			-113.91
17000. US TREASURY NOTE2.625% 12-31-23		12/28/2018	02/08/2019	17,140.20	17,038.52			101.68
17000. US TREASURY NOTE 2.5% 01/31/2024		02/08/2019	02/12/2019	17,001.33	17,048.48	W	47.15	
53000. US TREASURY NOTE 2.5% 01/31/2024		02/01/2019	02/12/2019	53,004.14	52,968.95			35.19
453000. US TREASURY NOTE 2.5% 01/31/2024		02/01/2019	03/01/2019	452,089.47	452,781.72			-692.25
207500. US TREASURY NOTE 2.5% 01/31/2024		02/08/2019	03/01/2019	207,082.93	206,850.02			232.91
139600. US TREASURY NOTE 2.625% 02/15/2029		04/01/2019	05/10/2019	141,238.62	139,607.03			1,631.59
213000. US TREASURY NOTE2.375% 02-29-24		03/20/2019	04/02/2019	215,013.53	212,356.07			2,657.46
445000. US TREASURY NOTE2.375% 02-29-24		03/01/2019	04/02/2019	449,206.64	441,558.20			7,648.44
100000. US TREASURY NOTE2.375% 02-29-24		03/01/2019	04/02/2019	100,943.75	99,226.56			1,717.19
139000. US TREASURY NOTE1.75% 06-30-24		07/01/2019	07/26/2019	138,324.46	138,674.22			-349.76
765000. US TREASURY NOTE2.125% 03-31-24		04/02/2019	04/29/2019	758,329.20	762,998.91			-4,669.71
140000. US TREASURY NOTE2.0% 05-31-24		06/17/2019	07/01/2019	141,381.80	140,989.84			391.96
100. WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP (DBA WABTEC CORP)		09/05/2018	12/06/2018	8,794.77	11,798.48			-3,003.71
70. WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP (DBA WABTEC CORP)		08/20/2018	12/07/2018	6,032.88	7,950.56			-1,917.68
200. WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP (DBA WABTEC CORP)		08/15/2018	12/10/2018	16,502.84	22,044.01			-5,541.17
Totals								

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

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JOHN BROWN COOK FOUNDATION INC.

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
500. ABS CORP COM		10/17/2016	11/27/2018	7,664.30	5,822.20			1,842.10
1540. ABS CORP COM		10/17/2016	11/28/2018	23,743.87	17,918.08			5,825.79
1225. ABS CORP COM		12/19/2017	02/26/2019	21,125.94	13,383.73			7,742.21
19. ALPHABET INC CL A		02/23/2017	02/26/2019	21,136.71	16,142.89			4,993.82
275. DIAGEO PLC		06/29/2016	07/04/2019	11,979.12	7,332.79			4,646.33
20252.159 AMCAR 15-3 C 2.73% 03-08-21/05-08-19		02/14/2018	03/08/2019	2,437.96	2,447.53			-9.57
17707.3553 AMCAR 15-3 C 2.73% 03-08-21/05-08-19		02/14/2018	04/08/2019	2,544.80	2,554.79			-9.99
15369.8508 AMCAR 15-3 C 2.73% 03-08-21/05-08-19		02/14/2018	05/08/2019	2,337.50	2,346.68			-9.18
12745.8098 AMCAR 15-3 C 2.73% 03-08-21/05-08-19		02/14/2018	06/10/2019	2,624.04	2,634.34			-10.30
10301.7015 AMCAR 15-3 C 2.73% 03-08-21/05-08-19		02/14/2018	07/09/2019	2,444.11	2,453.70			-9.59
7889.519 AMCAR 15-3 C 2.73% 03-08-21/05-08-19		02/14/2018	08/08/2019	2,412.18	2,421.65			-9.47
25000. ANADARKO PETROLEUM CORP SR UNSEC 5.55% 03-15-26		09/27/2017	05/01/2019	28,231.25	28,044.24			187.01
5000. ANHEUSER-BUSCH INBEV SR UNSEC 2.65% 02-01-21		09/27/2017	12/14/2018	4,786.00	5,075.60			-289.60
5000. ANHEUSER-BUSCH INBEV SR UNSEC 2.65% 02-01-21		09/27/2017	01/11/2019	4,955.80	5,075.60			-119.80
500. PRUDENTIAL PLC		07/07/2017	07/23/2019	10,792.63	11,434.07			-641.44
200. PRUDENTIAL PLC		07/07/2017	07/23/2019	4,318.30	4,573.63			-255.33
100. PRUDENTIAL PLC		07/07/2017	07/23/2019	2,162.22	2,286.82			-124.60
221. RIO TINTO PLC REG		01/13/2014	02/26/2019	12,847.27	11,532.18			1,315.09
41305. LLOYDS TSB GROUP PLC		03/31/2014	11/07/2018	31,658.96	49,266.93			-17,607.97
.803 CIGNA CORP NEW COM		11/29/2011	01/23/2019	157.97	142.18			15.79
100. CME GROUP INC		12/08/2017	01/17/2019	18,137.81	15,194.81			2,943.00
405. CME GROUP INC		12/08/2017	01/17/2019	73,487.58	38,807.42			34,680.16
65. CME GROUP INC		10/02/2012	03/28/2019	10,532.95	3,704.85			6,828.10
6408.7047 CRART 15-1 A4 1.82% 09-15-20/05-15-19		09/27/2017	11/15/2018	1,263.11	1,264.01			-0.90
5349.2337 CRART 15-1 A4 1.82% 09-15-20/05-15-19		09/27/2017	12/17/2018	1,059.47	1,060.23			-0.76
4316.652 CRART 15-1 A4 1.82% 09-15-20/05-15-19		09/27/2017	01/15/2019	1,032.58	1,033.32			-0.74
3254.34 CRART 15-1 A4 1.82% 09-15-20/05-15-19		09/27/2017	02/15/2019	1,062.31	1,063.07			-0.76
2263.2525 CRART 15-1 A4 1.82% 09-15-20/05-15-19		09/27/2017	03/15/2019	991.09	991.79			-0.70
1180.3857 CRART 15-1 A4 1.82% 09-15-20/05-15-19		09/27/2017	04/15/2019	1,082.87	1,083.64			-0.77
230.5368 CRART 15-1 A4 1.82% 09-15-20/05-15-19		09/27/2017	05/15/2019	949.85	950.52			-0.67
30000. CRART 15-1 A4 1.82% 09-15-20/05-15-19		09/27/2017	06/21/2019	230.54	230.70			-0.16
8972.835 CRART 15-1 B 2.51% 02-16-21/05-15-19		09/27/2017	06/17/2019	1,027.17	1,030.69			-3.52
10000. CRART 15-1 B 2.51% 02-16-21/05-15-19		09/27/2017	07/15/2019	8,972.84	9,003.61			-30.77
Totals								

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JOHN BROWN COOK FOUNDATION INC.

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
55. CHEVRON CORP		10/22/2009	04/12/2019	6,600.55	4,025.95			2,574.60
15000. COMCAST CORP SR UNSEC2.35% 01-15-27		09/27/2017	06/18/2019	14,502.75	14,219.97			282.78
55. DEERE & CO		10/24/2017	12/03/2018	8,922.37	7,206.16			1,716.21
107. DEERE & CO		10/24/2017	02/26/2019	17,499.46	14,019.24			3,480.22
1065. ENBRIDGE INC C\$		06/08/2018	06/20/2019	37,756.30	33,334.72			4,421.58
100. ENBRIDGE INC C\$		06/06/2018	06/20/2019	3,550.50	3,111.59			438.91
10000. ENLINK MIDSTREAM PARTNERS SR UNSEC 4.4% 04-01-2024		09/27/2017	01/29/2019	9,500.00	10,303.35			-803.35
295. EXPRESS SCRIPTS HOLDING CO		11/29/2011	12/21/2018	27,094.97	12,713.67			14,381.30
56545.1263 FHMFC PC GOLD POOL# GO-8792 3.5 % 12/01/2047		08/08/2018	08/15/2019	824.95				824.95
155000. FHS X057 A2 (NFI)2.57% 07-25-26		09/27/2017	06/04/2019	156,077.73	152,965.89			3,111.84
32551.9089 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	11/26/2018	71.66	78.37			-6.71
32476.4895 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	12/26/2018	75.42	82.49			-7.07
32403.3455 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	01/25/2019	73.14	80.00			-6.86
32334.3678 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	02/25/2019	68.98	75.44			-6.46
32261.3928 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	03/25/2019	72.98	79.81			-6.83
32188.1732 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	04/25/2019	73.22	80.08			-6.86
32114.1324 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	05/28/2019	74.04	80.98			-6.94
32036.2403 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	06/25/2019	77.89	85.19			-7.30
31964.9075 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	07/25/2019	71.33	78.02			-6.69
31889.696 FNCL 5.0 AT5236 MHA 06-01-41		09/27/2017	08/26/2019	75.21				75.21
160678.6467 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	11/26/2018	1,390.26	1,428.92			-38.66
160092.7978 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	12/26/2018	585.85	602.14			-16.29
158329.5612 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	01/25/2019	1,763.24	1,812.28			-49.04
157379.1864 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	02/25/2019	950.37	976.81			-26.44
156360.9306 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	03/25/2019	1,018.26	1,046.58			-28.32
155649.2069 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	04/25/2019	711.72	731.52			-19.80
154447.4276 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	05/28/2019	1,201.78	1,235.20			-33.42
152470.9192 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	06/25/2019	1,976.51	2,031.48			-54.97
251237.0456 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	07/25/2019	1,233.87	1,268.19			-34.32
150308.9204 FNCL 3.5 CA0487 LTV10-01-47		11/16/2017	08/26/2019	928.13				928.13
65000. FIRSTENERGY CORP SR UNSEC4.25% 03-15-23		09/27/2017	04/25/2019	67,582.45	68,704.68			-1,122.23
10000. FORDP 16-3 AI 1.70% 07-15-21		09/27/2017	07/15/2019	10,000.00	9,950.30			49.70
9004.691 SCTR 17-4 MT3.5% 06-25-57		12/07/2017	12/26/2018	62.01	63.15			-1.14
Totals								

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JOHN BROWN COOK FOUNDATION INC.

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
8970.158 SCRT 17-4 MT3.5% 06-25-57	12/07/2017	01/25/2019	38.32	39.01			-0.69
8898.2551 SCRT 17-4 MT3.5% 06-25-57	12/07/2017	02/25/2019	75.70	77.02			-1.32
8846.7421 SCRT 17-4 MT3.5% 06-25-57	12/07/2017	03/25/2019	55.32	56.26			-0.94
8810.0775 SCRT 17-4 MT3.5% 06-25-57	12/07/2017	04/25/2019	40.48	41.16			-0.68
8733.9539 SCRT 17-4 MT3.5% 06-25-57	12/07/2017	05/28/2019	79.95	81.25			-1.30
8656.9803 SCRT 17-4 MT3.5% 06-25-57	12/07/2017	06/25/2019	80.82	82.08			-1.26
8565.7857 SCRT 17-4 MT3.5% 06-25-57	12/07/2017	07/25/2019	95.05	96.50			-1.45
8486.8128 SCRT 17-4 MT3.5% 06-25-57	12/07/2017	08/26/2019	82.84				82.84
8792.8421 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	12/26/2018	68.19	67.78			0.41
8725.2928 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	01/25/2019	70.49	70.04			0.45
8660.217 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	02/25/2019	68.02	67.57			0.45
8573.9633 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	03/25/2019	89.21	88.58			0.63
8498.7482 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	04/25/2019	78.18	77.60			0.58
8423.9821 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	05/28/2019	77.73	77.13			0.60
8327.1377 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	06/25/2019	100.09	99.27			0.82
8231.4234 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	07/25/2019	98.97	98.12			0.85
8166.8135 SCRT 17-4 HT2.25% 06-25-57	12/07/2017	08/26/2019	67.87				67.87
7034.2295 G2RF 6.64 AA7554 07-20-64	09/27/2017	11/20/2018	342.93	356.13			-13.20
6861.8623 G2RF 6.64 AA7554 07-20-64	09/27/2017	01/22/2019	211.29	219.38			-8.09
6164.981 G2RF 6.64 AA7554 07-20-64	09/27/2017	02/20/2019	696.88	723.55			-26.67
5987.9434 G2RF 6.64 AA7554 07-20-64	09/27/2017	03/20/2019	177.04	183.81			-6.77
4769.3202 G2RF 6.64 AA7554 07-20-64	09/27/2017	04/22/2019	1,218.62	1,265.26			-46.64
4389.5103 G2RF 6.64 AA7554 07-20-64	09/27/2017	05/20/2019	379.81	394.34			-14.53
4183.2225 G2RF 6.64 AA7554 07-20-64	09/27/2017	06/20/2019	206.29	214.18			-7.89
3857.1062 G2RF 6.64 AA7554 07-20-64	09/27/2017	07/22/2019	326.12	338.60			-12.48
3720.3261 G2RF 6.64 AA7554 07-20-64	09/27/2017	08/20/2019	136.78				136.78
120. GILRAD SCIENCES INC	09/11/2017	03/06/2019	7,544.41	10,146.54			-2,602.13
100. GILRAD SCIENCES INC	09/11/2017	03/07/2019	6,290.93	8,455.45			-2,164.52
115. GILRAD SCIENCES INC	09/11/2017	03/07/2019	7,175.19	9,723.77			-2,548.58
45. GODADDY INC CL A	11/09/2017	02/26/2019	3,381.52	2,151.16			1,230.36
20000. GOLDMAN SACHS GROUP SR UNSBC VAR 07-24-23	07/19/2017	04/10/2019	19,801.80	20,007.90			-206.10
25. JWMH MOET HENNESSY VUITTON SE	10/21/2016	06/19/2019	10,246.76	4,304.41			5,942.35
235. HELMERICH & PAYNE	01/05/2018	01/16/2019	12,506.24	15,630.33			-3,124.09
Totals							

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JOHN BROWN COOK FOUNDATION INC.

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
100. HEXCEL CORP		01/28/2016	03/21/2019	6,898.91	4,061.25			2,837.66
65. HEXCEL CORP		01/28/2016	03/21/2019	4,495.37	2,639.81			1,855.56
15000. HOME DEPOT INC SR UNSEC 1.8% 06-05-20		09/27/2017	02/27/2019	14,841.15	15,007.64			-166.49
100. HUNTINGTON BANCSHARES INC		12/08/2017	03/07/2019	1,372.67	1,480.27			-107.60
300. HUNTINGTON BANCSHARES INC		12/08/2017	03/07/2019	4,110.27	4,440.81			-330.54
1585. HUNTINGTON BANCSHARES INC		12/08/2017	03/07/2019	21,687.27	23,462.28			-1,775.01
1415. HUNTINGTON BANCSHARES INC		12/08/2017	03/12/2019	19,417.64	19,059.39			358.25
37. KBC GROEP NV		07/07/2017	11/12/2018	2,581.57	2,860.67			-279.10
7. KBC GROEP NV		07/07/2017	11/15/2018	491.08	541.21			-50.13
100. IDEX CORP		12/05/2012	04/16/2019	15,661.05	4,468.78			11,192.27
100. IDEX CORP		12/05/2012	04/18/2019	15,476.53	4,214.07			11,262.46
15. IDEX CORP		11/16/2012	04/23/2019	2,331.59	625.38			1,706.21
15000. ILLINOIS ST TAXABLE 5.10% 06/01/2033		02/14/2018	05/10/2019	15,197.85	14,303.40			894.45
340. JPMORGAN CHASE & CO		10/17/2016	12/06/2018	35,369.60	22,838.07			12,531.53
15. JOHNSON & JOHNSON COMMON STOCK		09/27/2016	02/06/2019	1,994.16	1,786.42			207.74
100. JOHNSON & JOHNSON COMMON STOCK		02/21/2018	02/25/2019	13,668.26	13,148.75			519.51
70. JOHNSON & JOHNSON COMMON STOCK		02/21/2018	02/26/2019	9,543.75	9,204.13			339.62
35. JOHNSON & JOHNSON COMMON STOCK		02/21/2018	03/28/2019	4,870.51	4,602.06			268.45
17000. KROGER CO SR UNSEC 2 0% 01-15-19		09/27/2017	01/15/2019	17,000.00	17,030.04			-30.04
566. LAS VEGAS SANDS CORP		10/24/2016	02/26/2019	34,839.23	32,610.96			2,228.27
593. SAMPO OYJ A SHS		07/07/2017	07/12/2019	27,878.62	30,985.85			-3,107.23
87. SAMPO OYJ A SHS		07/07/2017	07/15/2019	4,093.34	4,545.99			-452.65
70. SAMPO OYJ A SHS		07/07/2017	07/23/2019	3,143.15	3,657.68			-514.53
286. SAMPO OYJ A SHS		07/07/2017	07/24/2019	12,520.02	14,944.28			-2,424.26
116. SAMPO OYJ A SHS		07/07/2017	07/25/2019	5,026.36	6,061.31			-1,034.95
169. MARSH & MCLENNAN COMPANIES INC COMMON STOCK		09/06/2011	02/26/2019	15,588.86	4,781.55			10,807.31
10000. McDONALD S CORP SR UNSEC3.5% 03-01-27		09/27/2017	01/24/2019	9,776.10	10,267.34			-491.24
391. ERICSSON (LM) B		11/22/2017	01/21/2019	3,298.56	2,535.32			763.24
512. ERICSSON (LM) B		11/22/2017	01/22/2019	4,334.75	3,319.91			1,014.84
367. ERICSSON (LM) B		11/22/2017	01/22/2019	3,105.79	2,379.70			726.09
593. ERICSSON (LM) B		11/22/2017	01/23/2019	5,032.80	3,845.14			1,187.66
1931. ERICSSON (LM) B		11/29/2017	01/24/2019	16,482.85	12,517.06			3,965.79
364. ERICSSON (LM) B		11/29/2017	01/24/2019	3,144.50	2,332.81			811.69
Totals								

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JOHN BROWN COOK FOUNDATION INC.

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
2158. ERICSSON (LM) B		11/30/2017	01/25/2019	19,235.14	13,259.94			5,975.20
598. ERICSSON (LM) B		11/15/2017	01/29/2019	5,270.14	3,573.08			1,697.06
338. ERICSSON (LM) B		11/15/2017	01/30/2019	2,978.50	1,997.55			980.95
308. ERICSSON (LM) B		11/15/2017	01/31/2019	2,749.37	1,820.25			929.12
535. ERICSSON (LM) B		11/15/2017	01/31/2019	4,773.32	3,161.79			1,611.53
100. MONDELEZ INTERNATIONAL INC		02/01/2018	05/30/2019	5,123.68	4,588.66			535.02
270. MONDELEZ INTERNATIONAL INC		01/30/2018	05/30/2019	13,828.87	11,993.64			1,835.23
100. MONDELEZ INTERNATIONAL INC		01/30/2018	07/11/2019	5,462.18	4,439.56			1,022.62
55. MONDELEZ INTERNATIONAL INC		01/30/2018	07/11/2019	3,000.09	2,441.16			558.93
100. MONDELEZ INTERNATIONAL INC		01/30/2018	07/11/2019	5,519.09	4,438.47			1,080.62
200. NTT DOCOMO		07/28/2015	04/01/2019	4,416.21	3,957.50			458.71
300. NTT DOCOMO		07/27/2015	04/02/2019	6,499.17	5,902.86			596.31
200. NTT DOCOMO		07/27/2015	04/03/2019	4,291.59	3,935.24			356.35
130. NTT DOCOMO		07/27/2015	04/04/2019	2,793.09	2,557.91			235.18
65. MOODYS CORP		03/27/2015	05/30/2019	12,162.20	6,662.13			5,500.07
4. MULTICHOICE GROUP LIMITED SPON ADS ADR		10/18/2016	03/04/2019	3.38	1.95			1.43
100. MULTICHOICE GROUP LIMITED SPON ADS ADR		10/18/2016	04/12/2019	922.92	487.24			435.68
100. MULTICHOICE GROUP LIMITED SPON ADS ADR		10/18/2016	04/17/2019	893.07	487.24			405.83
200. MULTICHOICE GROUP LIMITED SPON ADS ADR		10/18/2016	04/18/2019	1,783.84	974.49			809.35
44. MULTICHOICE GROUP LIMITED SPON ADS ADR		10/18/2016	05/03/2019	391.72	214.39			177.33
75. NASDAQ INC		06/27/2018	07/02/2019	7,380.14	6,839.24			540.90
560. NASPERS LTD SPON ADR		10/18/2016	02/22/2019	24,946.32	18,260.37			6,685.95
176. JARDINE MATHESON		07/07/2017	02/26/2019	12,196.27	11,375.96			820.31
600. SOFTBANK GROUP CORP		10/17/2016	11/28/2018	48,679.30	38,930.42			9,748.88
100. SOFTBANK GROUP CORP		10/17/2016	04/17/2019	10,417.88	6,488.40			3,929.48
25000. ORACLE CORP SR UNSRC 2.375% 01-15-19		09/27/2017	01/15/2019	25,000.00	25,262.50			-262.50
1500. VTECH HOLDINGS LTD		07/07/2017	11/22/2018	14,931.06	23,046.39			-8,115.33
400. VTECH HOLDINGS LTD		07/07/2017	11/26/2018	3,824.88	6,145.71			-2,320.83
600. VTECH HOLDINGS LTD		07/07/2017	11/28/2018	5,686.89	9,218.56			-3,531.67
300. VTECH HOLDINGS LTD		07/07/2017	11/29/2018	2,840.12	4,609.28			-1,769.16
1000. VTECH HOLDINGS LTD		07/07/2017	11/30/2018	9,448.76	15,364.26			-5,915.50
300. VTECH HOLDINGS LTD		07/07/2017	12/04/2018	2,834.08	4,609.28			-1,775.20
300. VTECH HOLDINGS LTD		07/07/2017	12/07/2018	2,726.02	4,609.28			-1,883.26
Totals								

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JOHN BROWN COOK FOUNDATION INC.

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
1000. VTECH HOLDINGS LTD		07/07/2017	12/21/2018	8,441.78	15,364.26			-6,922.48
600. VTECH HOLDINGS LTD		07/07/2017	12/24/2018	5,008.32	9,218.56			-4,210.24
600. VTECH HOLDINGS LTD		07/07/2017	12/27/2018	5,015.61	9,218.56			-4,202.95
400. VTECH HOLDINGS LTD		07/07/2017	01/04/2019	3,241.17	6,145.70			-2,904.53
1000. VTECH HOLDINGS LTD		07/07/2017	01/07/2019	8,284.46	15,364.26			-7,079.80
1000. VTECH HOLDINGS LTD		07/07/2017	01/08/2019	8,344.74	15,364.26			-7,019.52
800. VTECH HOLDINGS LTD		07/07/2017	01/08/2019	6,676.82	12,291.41			-5,614.59
166. NESTLE SA REG		10/14/2015	02/26/2019	15,095.45	13,026.38			2,069.07
5000. PEPISCO INC SR UNSEC 1.7% 10-06-21		08/03/2017	01/23/2019	4,849.75	4,947.45			-97.70
20000. PEPISCO INC SR UNSEC 1.7% 10-06-21		08/03/2017	01/29/2019	19,400.60	19,789.80			-389.20
30000. PEPISCO INC SR UNSEC2.0% 04-15-21		10/05/2017	11/08/2018	29,117.40	29,994.00			-876.60
2512. ENEL SPA		11/24/2017	02/26/2019	15,079.24	15,348.13			-268.89
140. PHILIP MORRIS INTERNATIONAL INC		02/08/2018	03/14/2019	12,516.66	14,137.79			-1,621.13
456. BNP PARIBAS		09/14/2016	11/20/2018	23,160.27	23,668.90			-508.63
140. PRAXAIR INC		01/28/2015	11/01/2018	22,883.70	18,058.40			4,825.30
25000. PROGRESS ENERGY INC SR UNSEC 7.05% 03-15-19		09/27/2017	03/15/2019	25,000.00	26,822.55			-1,822.55
305. QUALCOMM INC		09/06/2017	01/17/2019	16,694.53	15,014.26			1,680.27
100. QUALCOMM INC		09/06/2017	04/09/2019	5,737.99	4,922.71			815.28
80. QUALCOMM INC		09/06/2017	04/10/2019	4,589.87	3,938.17			651.70
20000. REYNOLDS AMERICAN INC SR UNSEC 4.45% 06-12-25		02/16/2018	06/18/2019	21,056.60	20,725.40			331.20
23694.8394 SDART 15-3 C2.74% 01-15-21		09/27/2017	11/15/2018	4,535.42	4,568.70			-33.28
19568.8558 SDART 15-3 C2.74% 01-15-21		09/27/2017	12/17/2018	4,125.98	4,156.26			-30.28
15579.6823 SDART 15-3 C2.74% 01-15-21		09/27/2017	01/15/2019	3,989.17	4,018.45			-29.28
11343.0018 SDART 15-3 C2.74% 01-15-21		09/27/2017	02/15/2019	4,236.68	4,267.77			-31.09
7472.4208 SDART 15-3 C2.74% 01-15-21		09/27/2017	03/15/2019	3,870.58	3,898.98			-28.40
3425.4649 SDART 15-3 C2.74% 01-15-21		09/27/2017	04/15/2019	4,046.96	4,076.66			-29.70
65000. SDART 15-3 C2.74% 01-15-21		09/27/2017	05/20/2019	3,425.46	3,450.60			-25.14
4762.2227 SDART 16-2 C 2.66% 11-15-21		09/27/2017	02/15/2019	237.78	239.87			-2.09
4330.4934 SDART 16-2 C 2.66% 11-15-21		09/27/2017	03/15/2019	431.73	435.53			-3.80
3860.4458 SDART 16-2 C 2.66% 11-15-21		09/27/2017	04/15/2019	470.05	474.18			-4.13
3438.8436 SDART 16-2 C 2.66% 11-15-21		09/27/2017	05/15/2019	421.60	425.31			-3.71
3038.776 SDART 16-2 C 2.66% 11-15-21		09/27/2017	06/17/2019	400.07	403.59			-3.52
2673.4536 SDART 16-2 C 2.66% 11-15-21		09/27/2017	07/15/2019	365.32	368.54			-3.22
Totals								

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JOHN BROWN COOK FOUNDATION INC.

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
200. SCHLUMBERGER LTD		01/18/2018	04/29/2019	8,699.45	15,403.14			-6,703.69
100. SCHLUMBERGER LTD		01/18/2018	04/29/2019	4,359.20	7,612.23			-3,253.03
200. SCHLUMBERGER LTD		01/10/2018	04/30/2019	8,506.74	15,128.24			-6,621.50
300. STARBUCKS CORP		11/28/2012	02/22/2019	21,346.79	7,603.95			13,742.84
100. STARBUCKS CORP		11/28/2012	02/25/2019	7,160.90	2,534.65			4,626.25
200. STARBUCKS CORP		11/28/2012	03/05/2019	14,306.79	5,069.30			9,237.49
10000. STARBUCKS CORP SR UNSRC 2.0% 12-05-18		09/27/2017	12/05/2018	10,000.00	10,044.12			-44.12
140. STATE STREET CORP		12/20/2017	07/12/2019	7,728.41	13,632.91			-5,904.50
20. STATE STREET CORP		12/20/2017	07/15/2019	1,105.14	1,944.74			-839.60
50. TORONTO DOMINION BANK		04/17/2017	05/01/2019	2,850.50	2,477.56			372.94
14000. UTD MEX ST GVT GLOBAL 4.0% 10-02-23		02/14/2018	07/24/2019	14,693.84	14,224.00			469.84
85000. US TREASURY NOTE 0.00% 01/15/2021		01/08/2018	02/01/2019	84,156.64	84,814.40			-657.76
165000. US TREASURY NOTE1.25% 06-30-19		07/14/2017	02/27/2019	164,329.69	164,748.63			-418.94
848400. US TREASURY NOTE1.25% 06-30-19		07/14/2017	07/01/2019	848,400.00	847,107.53			1,292.47
71900. US TREASURY NOTE2.0% 06-30-24		07/14/2017	01/08/2019	69,728.96	71,520.84			-1,791.88
10000. VODAFONE GROUP PLC SNR 3.75% 01/16/2024		05/23/2018	07/29/2019	10,475.90	9,915.30			560.60
10000. VODAFONE GROUP PLC SNR 4.125% 05/30/2025		05/23/2018	07/29/2019	10,729.40	9,902.90			826.50
635. WALGREENS BOOTS ALLIANCE INC		06/28/2018	07/11/2019	35,313.76	39,407.19			-4,093.43
360. WELLS FARGO & CO		02/02/2015	01/28/2019	17,780.12	18,897.92			-1,117.80
520. WELLS FARGO & CO		02/02/2015	02/20/2019	25,773.20	27,215.96			-1,442.76
100. WELLS FARGO & CO		11/10/2016	04/10/2019	4,768.26	5,197.96			-429.70
45. WELLS FARGO & CO		11/10/2016	04/10/2019	2,149.48	2,244.17			-94.69
30000. WELLS FARGO CO SR UNSRC2.625% 07-22-22		07/17/2017	06/27/2019	30,222.30	29,973.60			248.70
588. SES FDR CL A (PARIS)		07/26/2016	02/26/2019	12,091.25	12,752.47			-661.22
233. SES FDR CL A (PARIS)		07/20/2016	03/11/2019	3,967.90	5,052.82			-1,084.92
283. SES FDR CL A (PARIS)		08/05/2016	03/13/2019	4,912.93	6,116.99			-1,204.06
149. SES FDR CL A (PARIS)		07/14/2016	03/14/2019	2,608.35	3,222.24			-613.89
273. SES FDR CL A (PARIS)		07/14/2016	03/15/2019	4,731.20	5,932.80			-1,201.60
164. SES FDR CL A (PARIS)		11/19/2009	04/02/2019	2,564.24	3,602.09			-1,037.85
294. MODERN TIMES GROUP B		11/01/2016	07/04/2019	3,335.05	2,821.19			513.86
35. RECKITT BENCKISER GROUP PLC		03/17/2017	01/17/2019	2,649.19	3,222.60			-573.41
1562. IBERDROLA SA		07/18/2017	02/26/2019	13,000.01	12,525.37			474.64
300. HOSHIZAKI CORP		10/17/2016	12/18/2018	17,396.97	26,513.71			-9,116.74
Totals								

USA
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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

JSA
XG900 3 000

JOHN BROWN COOK FND INC - USE

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
20. AMERICAN TOWER CORP		04/28/2008	04/16/2019	3,895.80	868.69			3,027.11
17. BOOKING HLDGS INC COM		07/13/2017	01/08/2019	29,000.00	29,366.14			-366.14
49. C H ROBINSON WORLDWIDE INC		07/13/2017	11/01/2018	4,407.43	3,367.62			1,039.81
313. CIGNA CORP NEW COM		07/13/2017	01/23/2019	61.57	57.02			4.55
100. CME GROUP INC		09/17/2012	06/11/2019	19,997.69	5,868.68			14,129.01
85. CME GROUP INC		09/10/2012	06/21/2019	17,029.64	4,979.11			12,050.53
5. CHEVRON CORP		11/26/2014	04/16/2019	601.59	576.02			25.57
1085. COMCAST CORP CL A (NEW)		09/27/2010	02/20/2019	40,906.56	10,037.10			30,869.46
30. COSTCO WHOLESALE CORP		05/15/2018	05/16/2019	7,450.73	5,885.28			1,565.45
93. DERE & CO		02/10/2017	12/06/2018	14,143.95	10,257.17			3,886.78
9. DERE & CO		02/27/2017	01/04/2019	1,354.10	991.04			363.06
120. DIAGEO PLC SPONSORED ADR		07/13/2017	06/28/2019	20,601.10	14,403.90			6,197.20
40. DIAGEO PLC SPONSORED ADR		07/13/2017	07/08/2019	6,926.24	4,801.30			2,124.94
100. DIAGEO PLC SPONSORED ADR		07/13/2017	08/01/2019	16,949.36	12,003.25			4,946.11
160. DIAGEO PLC SPONSORED ADR		07/13/2017	08/02/2019	26,662.82	19,205.20			7,457.62
445. EXPRESS SCRIPTS HOLDING CO		07/13/2017	12/21/2018	41,426.80	27,503.62			13,923.18
535. HALLIBURTON CO		07/13/2017	11/20/2018	17,052.52	23,411.55			-6,359.03
290. HALLIBURTON CO		07/13/2017	05/30/2019	6,405.38	11,391.71			-4,986.33
225. HELMERICH & PAYNE		01/05/2018	06/04/2019	11,339.99	14,077.67			-2,737.68
100. HEXCEL CORP		01/13/2014	03/21/2019	6,898.91	4,532.37			2,366.54
145. HEXCEL CORP		01/13/2014	03/21/2019	10,028.13	6,571.94			3,456.19
100. HEXCEL CORP		01/13/2014	04/12/2019	6,899.27	4,532.37			2,366.90
100. HEXCEL CORP		01/13/2014	04/12/2019	6,912.20	4,532.37			2,379.83
5. HEXCEL CORP		01/13/2014	04/17/2019	341.12	226.62			114.50
100. IDEX CORP		03/31/2014	04/16/2019	15,661.05	7,169.73			8,491.32
100. IDEX CORP		04/07/2014	04/18/2019	15,476.53	7,142.46			8,334.07
44. IDEX CORP		04/07/2014	04/23/2019	6,826.54	3,142.01			3,684.53
100. IRON MOUNTAIN INCORPORATED		07/13/2017	02/20/2019	3,564.98	3,401.61			163.37
100. IRON MOUNTAIN INCORPORATED		07/13/2017	02/21/2019	3,580.45	3,401.61			178.84
300. IRON MOUNTAIN INCORPORATED		07/13/2017	02/21/2019	10,758.04	10,204.83			553.21
100. IRON MOUNTAIN INCORPORATED		11/20/2015	02/22/2019	3,587.04	2,691.01			896.03
100. IRON MOUNTAIN INCORPORATED		11/20/2015	02/25/2019	3,504.11	2,436.86			1,067.25
85. IRON MOUNTAIN INCORPORATED		10/20/2008	02/26/2019	2,976.74	1,782.89			1,193.85
Totals								

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
2400. AES CORP COM		05/03/2018	11/02/2018	35,138.66	29,310.61			5,828.05
1120. AES CORP COM		05/02/2018	11/05/2018	16,590.79	13,677.78			2,913.01
100. AES CORP COM		05/02/2018	02/15/2019	1,702.99	1,221.23			481.76
100. AES CORP COM		05/02/2018	02/19/2019	1,708.38	1,221.23			487.15
105. AES CORP COM		05/02/2018	02/20/2019	1,802.11	1,282.29			519.82
860. BRITISH AMERICAN TOBACCO PLC		11/02/2018	12/03/2018	30,532.65	40,957.03			-10,424.38
45. PRUDENTIAL PLC		11/02/2018	12/03/2018	917.86	953.49			-35.63
5. CROWN CASTLE INTL CORP CV PFD SER A7.0%		11/02/2017	11/02/2018	5,201.62	5,485.21			-283.59
255. DELTA ELECTRONICS INC		11/02/2017	11/02/2018	5,275.96	6,003.72			-727.76
525. EXELON CORPORATION		11/02/2018	01/03/2019	23,222.70	22,701.01			521.69
300. HUNTINGTON BANCSHARES INC		11/02/2018	03/15/2019	4,118.94	4,254.39			-135.45
700. HUNTINGTON BANCSHARES INC		11/02/2018	03/15/2019	9,687.38	9,926.91			-239.53
1100. HUNTINGTON BANCSHARES INC		11/02/2018	03/18/2019	15,282.98	15,599.43			-316.45
200. HUNTINGTON BANCSHARES INC		11/02/2018	03/21/2019	2,533.28	2,836.26			-302.98
100. HUNTINGTON BANCSHARES INC		11/02/2018	04/04/2019	1,330.92	1,418.13			-87.21
260. HUNTINGTON BANCSHARES INC		11/02/2018	04/08/2019	3,465.07	3,687.14			-222.07
100. JOHNSON & JOHNSON COMMON STOCK		11/02/2018	02/01/2019	13,416.41	14,063.21			-646.80
55. JOHNSON & JOHNSON COMMON STOCK		11/02/2018	02/05/2019	7,315.85	7,734.77			-418.92
100. JOHNSON & JOHNSON COMMON STOCK		11/02/2018	02/06/2019	13,294.38	14,063.21			-768.83
70. JOHNSON & JOHNSON COMMON STOCK		11/02/2018	02/06/2019	9,318.28	9,844.25			-525.97
225. SAMP OYJ A SHS		05/18/2018	11/02/2018	10,394.27	11,525.28			-1,131.01
183. SOCIETE GENERALE		02/27/2018	11/02/2018	6,961.72	10,350.97			-3,389.25
1400. ASAHI KASEI CORP		02/27/2018	11/02/2018	16,345.87	18,226.54			-1,880.67
200. NTT DOCOMO		11/05/2018	04/01/2019	4,416.21	4,476.18			-59.97
400. NTT DOCOMO		11/05/2018	04/02/2019	8,665.56	8,919.31			-253.75
200. NTT DOCOMO		11/02/2018	04/03/2019	4,291.59	4,443.14			-151.55
100. NTT DOCOMO		11/02/2018	04/04/2019	2,148.53	2,221.57			-73.04
100. ROYAL DUTCH SHELL CL B ADR		11/13/2017	11/02/2018	6,466.12	6,589.57			-123.45
30. ROYAL DUTCH SHELL CL B ADR		11/15/2017	11/02/2018	1,928.97	1,955.64			-26.67
460. SCHLUMBERGER LTD		11/02/2018	12/04/2018	20,853.82	28,156.06			-7,302.24
100. VERIZON COMMUNICATIONS COMMON STOCK		11/05/2018	07/30/2019	5,683.00	5,716.06			-33.06
100. VERIZON COMMUNICATIONS COMMON STOCK		11/05/2018	07/31/2019	5,555.10	5,716.06			-160.96
10. VERIZON COMMUNICATIONS COMMON STOCK		11/05/2018	08/06/2019	548.27	571.61			-23.34
Totals								

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
70. WALGREENS BOOTS ALLIANCE INC		11/02/2018	04/11/2019	3,741.63	5,586.06			-1,844.43
295. WELLS FARGO & CO		01/31/2018	12/03/2018	16,147.47	19,402.21			-3,254.74
70. WELLS FARGO & CO		01/04/2019	01/31/2019	3,427.21	3,329.66			97.55
100. WELLS FARGO & CO		01/04/2019	01/31/2019	4,881.89	4,756.65			125.24
200. WELLS FARGO & CO		01/04/2019	02/01/2019	9,782.61	9,513.30			269.31
100. WELLS FARGO & CO		01/04/2019	02/01/2019	4,898.49	4,756.65			141.84
300. WELLS FARGO & CO		01/04/2019	02/04/2019	14,702.17	14,269.95			432.22
15. WELLS FARGO & CO		01/04/2019	02/04/2019	735.92	713.50			22.42
171. SES FDR CL A (PARIS)		11/02/2018	04/23/2019	2,813.03	3,685.42			-872.39
304. SES FDR CL A (PARIS)		11/02/2018	04/26/2019	5,121.54	6,551.85			-1,430.31
3200. INMARSAT PIC		06/26/2018	11/22/2018	16,962.45	25,595.49			-8,633.04
500. INMARSAT PIC		06/26/2018	11/23/2018	2,652.24	3,921.01			-1,268.77
30. INMARSAT PIC		06/26/2018	11/26/2018	158.82	232.96			-74.14
66. OCBK BANK ORD		10/08/2018	11/05/2018	545.92	483.87			62.05
60. KUEHNE & NAGEL INTERNATIONAL AG		02/07/2018	11/02/2018	8,267.95	10,508.93			-2,240.98
58. KUEHNE & NAGEL INTERNATIONAL AG		02/08/2018	01/15/2019	7,486.94	10,163.33			-2,676.39
48. KUEHNE & NAGEL INTERNATIONAL AG		02/08/2018	01/16/2019	6,393.10	8,417.72			-2,024.62
49. KUEHNE & NAGEL INTERNATIONAL AG		02/08/2018	01/17/2019	6,508.70	8,593.08			-2,084.38
506. MODERN TIMES GROUP B		11/02/2018	06/05/2019	5,907.59	7,101.55			-1,193.96
249. MODERN TIMES GROUP B		11/02/2018	06/19/2019	2,825.97	3,494.63			-668.66
360. VINCI SA		04/09/2018	11/02/2018	32,205.32	36,018.00			-3,812.68
.721 IBERDROLA SA		11/02/2018	08/01/2019	6.89	5.17			1.72
4000. SANDS CHINA LTD		08/30/2018	11/02/2018	17,336.39	19,732.12			-2,395.73
.916 NATIONAL GRID PIC		08/14/2019	08/21/2019	9.44	8.72			0.72
.75 INTERCONTL HOTELS ORD		11/02/2018	01/14/2019	41.53	43.13			-1.60
451. NORDIC ENTERTAINM SER'B'NPV		11/02/2018	06/12/2019	10,891.63	11,453.39			-561.76
134. NORDIC ENTERTAINM SER'B'NPV		11/02/2018	06/12/2019	3,236.42	3,403.00			-166.58
170. NORDIC ENTERTAINM SER'B'NPV		11/02/2018	07/18/2019	4,135.91	4,317.24			-181.33
5105. IBERDROLA SA RTS EXP 01/23/2019		11/02/2018	01/16/2019	892.18				892.18
1300. BCA MARKETPLACE PIC		09/20/2018	06/26/2019	3,975.19	3,634.30			340.89
1900. BCA MARKETPLACE PIC		09/20/2018	06/26/2019	5,812.53	5,311.68			500.85
2500. BCA MARKETPLACE PIC		09/20/2018	06/27/2019	7,626.55	6,989.05			637.50
3200. BCA MARKETPLACE PIC		09/20/2018	06/27/2019	9,789.72	8,945.97			843.75
Totals								

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
800. AES CORP COM		05/02/2018	05/03/2019	13,619.23	9,769.84			3,849.39
500. AES CORP COM		05/02/2018	05/06/2019	8,397.22	6,100.96			2,296.26
200. AES CORP COM		05/01/2018	05/15/2019	3,247.53	2,438.54			808.99
1100. AES CORP COM		05/01/2018	05/16/2019	17,978.46	13,411.97			4,566.49
200. AES CORP COM		05/01/2018	05/20/2019	3,268.19	2,438.54			829.65
600. AES CORP COM		05/01/2018	05/21/2019	9,884.13	7,315.62			2,568.51
300. AES CORP COM		05/01/2018	05/22/2019	4,954.39	3,657.81			1,296.58
400. AES CORP COM		05/01/2018	05/23/2019	6,669.94	4,877.08			1,792.86
135. AES CORP COM		05/01/2018	06/03/2019	2,143.00	1,646.01			496.99
300. AES CORP COM		05/01/2018	06/04/2019	4,793.90	3,657.81			1,136.09
1480. DIAGEO PLC		07/17/2017	11/02/2018	50,809.37	44,574.66			6,234.71
50. BRITISH AMERICAN TOBACCO PLC		07/17/2017	12/03/2018	1,775.15	3,486.36			-1,711.21
105. AMERICAN TOWER CORP		07/14/2017	11/02/2018	15,815.42	14,837.94			977.48
20. AMERICAN TOWER CORP		07/14/2017	07/01/2019	4,030.43	2,825.50			1,204.93
255. PRUDENTIAL PLC		07/14/2017	12/03/2018	5,201.23	6,006.14			-804.91
200. PRUDENTIAL PLC		07/14/2017	12/05/2018	3,846.85	4,710.69			-863.84
300. PRUDENTIAL PLC		07/14/2017	12/05/2018	5,786.58	7,066.04			-1,279.46
400. PRUDENTIAL PLC		07/17/2017	12/06/2018	7,300.13	9,338.75			-2,038.62
190. PRUDENTIAL PLC		07/17/2017	12/07/2018	3,490.72	4,400.39			-909.67
420. RIO TINTO PLC REG		01/13/2014	11/02/2018	21,386.53	21,916.35			-529.82
845. SCOTTISH & SOUTHERN ENERGY		07/17/2017	11/02/2018	12,625.61	16,385.46			-3,759.85
009. SCOTTISH & SOUTHERN ENERGY		07/14/2017	04/03/2019	0.14	0.17			-0.03
585. SCOTTISH & SOUTHERN ENERGY		07/14/2017	04/05/2019	8,784.41	11,341.28			-2,556.87
3990. TATE & LYLE PLC		07/17/2017	11/02/2018	34,656.15	35,449.38			-793.23
120. BORG CO		07/14/2017	11/02/2018	44,309.34	7,720.55			36,588.78
350. ASTRAZENECA PLC (GBP)		09/20/2017	11/02/2018	26,670.25	22,722.60			3,947.65
760. CME GROUP INC		07/14/2017	11/02/2018	140,065.11	67,098.14			72,966.97
100. CME GROUP INC		09/19/2012	01/07/2019	18,411.37	5,830.69			12,580.68
55. CME GROUP INC		09/19/2012	01/09/2019	9,846.01	3,182.53			6,663.48
75. CORTEVA INC COM		01/04/2012	07/30/2019	2,173.64	1,206.97			966.67
55. CROWN CASTLE INTERNATIONAL CORP		02/26/2018	06/28/2019	7,163.63	6,068.06			1,095.57
3. CROWN CASTLE INTL CORP CV PFD SER A7 0%		10/10/2017	11/02/2018	3,120.97	3,272.78			-151.81
380. DELTA ELECTRONICS INC		07/17/2017	11/02/2018	7,862.22	10,203.00			-2,340.78
Totals								

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
135. DOWDUPONT INC		03/27/2014	11/02/2018	7,812.15	6,304.13			1,508.02
285. GILRAD SCIENCES INC		09/01/2017	11/02/2018	19,861.45	23,629.20			-3,767.75
300. GILRAD SCIENCES INC		09/05/2017	03/14/2019	19,384.91	24,417.96			-5,033.05
120. GILRAD SCIENCES INC		08/30/2017	03/15/2019	7,830.48	9,598.02			-1,767.54
155. BOUTYGUES		07/14/2017	11/02/2018	5,836.58	6,783.92			-947.34
5954. INTERA SANPAOLO SPA		09/29/2017	11/02/2018	13,666.65	19,026.25			-5,359.60
330. HELMERICH & PAYNE		06/01/2016	11/02/2018	20,300.25	20,130.17			170.08
300. IRON MOUNTAIN INCORPORATED		07/14/2017	11/02/2018	9,312.80	10,271.10			-958.30
645. LAS VEGAS SANDS CORP		04/17/2015	11/02/2018	36,249.49	36,379.07			-129.58
210. SIEMENS AG REG		07/14/2017	11/02/2018	24,784.47	29,033.44			-4,248.97
1490. TPG IMMOBILIEN AG		07/14/2017	11/02/2018	34,441.87	23,508.50			10,933.37
375. MICROSOFT CORPORATION COMMON STOCK		07/14/2017	11/02/2018	39,808.76	27,088.88			12,719.88
1015. KONINKLIJKE KPN NV		09/20/2017	11/02/2018	2,714.73	3,667.01			-952.28
382. SOCIETE GENERALE		07/14/2018	03/15/2019	11,740.86	21,606.96			-9,866.10
1300. ASAHI KASEI CORP		07/18/2017	11/02/2018	15,178.31	14,282.64			895.67
400. NUCOR CORP		07/14/2017	11/02/2018	24,085.56	24,143.30			-57.74
700. VTECH HOLDINGS LTD		07/14/2017	12/10/2018	6,146.94	10,308.23			-4,161.29
700. VTECH HOLDINGS LTD		07/14/2017	12/11/2018	6,141.03	10,308.24			-4,165.21
1000. VTECH HOLDINGS LTD		07/14/2017	12/11/2018	8,773.06	14,726.05			-5,952.99
290. ROCHE HOLDING AG GENUSSCHEIN		04/16/2015	11/02/2018	70,157.65	84,160.11			-14,002.46
180. PFIZER INC COMMON STOCK		07/14/2017	11/02/2018	7,686.26	6,011.54			1,674.72
125. BNP PARIBAS		04/03/2016	11/02/2018	6,684.07	6,122.14			561.93
148. BNP PARIBAS		04/06/2016	01/07/2019	6,875.68	7,285.32			-409.64
42. BNP PARIBAS		04/05/2016	01/08/2019	1,968.99	2,060.30			-91.31
520. ROYAL DUTCH SHELL CL B ADR		06/13/2016	11/02/2018	33,435.56	26,411.67			7,023.89
890. SUNCOR ENERGY INC C\$		07/14/2017	11/02/2018	30,385.65	26,397.18			3,988.47
905. TAIWAN SEMICONDUCTOR SPONS ADR		02/05/2015	11/02/2018	35,035.80	21,429.13			13,606.67
135. TEXAS INSTRUMENTS INC COMMON STOCK		07/14/2017	07/30/2019	17,303.10	10,929.19			6,373.91
30. WALGREENS BOOTS ALLIANCE INC		03/27/2018	04/11/2019	1,603.56	2,003.78			-400.22
160. WALGREENS BOOTS ALLIANCE INC		03/23/2018	04/12/2019	8,592.22	10,584.57			-1,992.35
100. WALGREENS BOOTS ALLIANCE INC		03/23/2018	06/10/2019	5,245.70	6,615.36			-1,369.66
35. WALGREENS BOOTS ALLIANCE INC		03/23/2018	06/17/2019	1,856.40	2,315.38			-458.98
13000. WEATHERFORD INTERNATIONAL PLC CVSR UNSEC 5.875% 07-01-21		07/14/2017	11/02/2018	9,977.50	13,455.00			-3,477.50
Totals								

USA
XG900 3 000

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

JSA
XG900 3 000