

Form 990-PF

Return of Private Foundation

OMB No. 1545-0052

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation
► Do not enter social security numbers on this form as it may be made public.
► Go to www.irs.gov/Form990PF for instructions and the latest information.

1909

For calendar year 2018 or tax year beginning

10/01, 2018, and ending

09/30, 2019

Name of foundation

DEGENSTEIN CHARLES B FDN-CHAR TR

Number and street (or P O box number if mail is not delivered to street address)

PO BOX 185

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

PITTSBURGH, PA 15230-0185

215-553-1204

G Check all that apply. Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name changeH Check type of organization. Section 501(c)(3) exempt private foundation D4
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 2,013,949. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

| | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| 2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. | | | | |
| 3 Interest on savings and temporary cash investments. | | | | |
| 4 Dividends and interest from securities | 39,585. | 39,879. | | STMT 1 |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | 63,261. | | | |
| b Gross sales price for all assets on line 6a 705,252. | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 63,261. | | |
| 8 Net short-term capital gain. | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | |
| c Gross profit or (loss) (attach schedule) | | | | |
| 11 Other income (attach schedule) | | | | |
| 12 Total. Add lines 1 through 11 | 102,846. | 103,140. | | |
| 13 Compensation of officers, directors, trustees, etc | 16,159. | 9,695. | | 6,463. |
| 14 Other employee salaries and wages | | NONE | NONE | |
| 15 Pension plans, employee benefits | | NONE | NONE | |
| 16a Legal fees (attach schedule) | STMT 2 | 6,237. | NONE | 6,237. |
| b Accounting fees (attach schedule) | | | | |
| c Other professional fees (attach schedule) | | | | |
| 17 Interest | | | | |
| 18 Taxes (attach schedule) (see instructions) | STMT 3 | 5,970. | 1,074. | |
| 19 Depreciation (attach schedule) and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | NONE | NONE | |
| 22 Printing and publications | | NONE | NONE | |
| 23 Other expenses (attach schedule) | STMT 4 | 963. | | 963. |
| 24 Total operating and administrative expenses. | | | | |
| Add lines 13 through 23. | 29,329. | 10,769. | NONE | 13,663. |
| 25 Contributions, gifts, grants paid | 90,830. | | | 90,830. |
| 26 Total expenses and disbursements Add lines 24 and 25 | 120,159. | 10,769. | NONE | 104,493. |
| 27 Subtract line 26 from line 12 | | | | |
| a Excess of revenue over expenses and disbursements | -17,313. | | | |
| b Net investment income (if negative, enter -0-) | | 92,371. | | |
| c Adjusted net income (if negative, enter -0-) | | | | |

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SCANNED JUN 26 2020

Operating and Administrative Expenses

Revenue

JAN 29 2020

For Paperwork Reduction Act Notice, see instructions.
8E1410 1000

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.) | Beginning of year | End of year | | |
|---|--|---|------------------------|----------------|-----------------------|--|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 | Cash - non-interest-bearing | | | | |
| | 2 | Savings and temporary cash investments | 25,727. | 39,777. | 39,777. | |
| | 3 | Accounts receivable ► | | | | |
| | | Less allowance for doubtful accounts ► | | | | |
| | 4 | Pledges receivable ► | | | | |
| | | Less allowance for doubtful accounts ► | | | | |
| | 5 | Grants receivable | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 | Other notes and loans receivable (attach schedule) ► | | | | |
| | | Less allowance for doubtful accounts ► | NONE | | | |
| | 8 | Inventories for sale or use | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | |
| | 10a | Investments - U S and state government obligations (attach schedule) | | | | |
| | b | Investments - corporate stock (attach schedule) | | | | |
| | c | Investments - corporate bonds (attach schedule) | | | | |
| | 11 | Investments - land, buildings, and equipment basis ► | | | | |
| | Less accumulated depreciation ► (attach schedule) | | | | | |
| 12 | Investments - mortgage loans | | | | | |
| 13 | Investments - other (attach schedule) | STMT 5. | 1,695,126. | 1,663,969. | 1,974,172. | |
| 14 | Land, buildings, and equipment basis ► | | | | | |
| | Less accumulated depreciation ► (attach schedule) | | | | | |
| 15 | Other assets (describe ►) | | | | | |
| 16 | Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | | 1,720,853. | 1,703,746. | 2,013,949. | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | | |
| | 18 | Grants payable | | | | |
| | 19 | Deferred revenue | | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | |
| | 22 | Other liabilities (describe ►) | | | | |
| | 23 | Total liabilities (add lines 17 through 22) | | | NONE | |
| | Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ► <input type="checkbox"/> | | | | |
| | | and complete lines 24 through 26, and lines 30 and 31. | | | | |
| | | 24 | Unrestricted | | | |
| 25 | | Temporarily restricted | | | | |
| 26 | | Permanently restricted | | | | |
| Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> | | | | | | |
| and complete lines 27 through 31. | | | | | | |
| 27 | | Capital stock, trust principal, or current funds | | 1,720,853. | 1,703,746. | |
| 28 | | Paid-in or capital surplus, or land, bldg, and equipment fund | | | | |
| 29 | | Retained earnings, accumulated income, endowment, or other funds | | | | |
| 30 | Total net assets or fund balances (see instructions) | | 1,720,853. | 1,703,746. | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | | 1,720,853. | 1,703,746. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,720,853. |
| 2 | Enter amount from Part I, line 27a | 2 | -17,313. |
| 3 | Other increases not included in line 2 (itemize) ► SEE STATEMENT 9 | 3 | 362. |
| 4 | Add lines 1, 2, and 3 | 4 | 1,703,902. |
| 5 | Decreases not included in line 2 (itemize) ► PY RETURN OF CAPITAL ADJUSTMENT | 5 | 156. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 1,703,746. |

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)

(b) How acquired
P - Purchase
D - Donation

(c) Date acquired
(mo, day, yr)

(d) Date sold
(mo, day, yr)

1 a PUBLICLY TRADED SECURITIES

| | | | |
|---|--|--|--|
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g)) |
|-----------------------|--|---|---|
| a 705,252. | | 641,991. | 63,261. |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|------------------------|--------------------------------------|---|--|
| a | | | 63,261. |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|---|---|---------|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | 63,261. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). See instructions If (loss), enter -0- in Part I, line 8 | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2017 | 75,634. | 2,068,433. | 0.036566 |
| 2016 | 102,912. | 1,923,671. | 0.053498 |
| 2015 | 97,977. | 1,823,482. | 0.053731 |
| 2014 | 97,805. | 1,953,616. | 0.050064 |
| 2013 | 103,659. | 1,994,191. | 0.051980 |

| | |
|--|--------------|
| 2 Total of line 1, column (d) | 2 0.245839 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | 3 0.049168 |
| 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | 4 1,941,366. |
| 5 Multiply line 4 by line 3 | 5 95,453. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 924. |
| 7 Add lines 5 and 6 | 7 96,377. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions. | 8 104,493. |

| Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions) | | | |
|--|--|----|--------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . . | 1 | 924. |
| | Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 2 | NONE |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | 3 | 924. |
| 3 | Add lines 1 and 2 | | |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | 4 | NONE |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | | |
| 6 | Credits/Payments | 5 | 924. |
| a | 2018 estimated tax payments and 2017 overpayment credited to 2018 | | |
| b | Exempt foreign organizations - tax withheld at source | 6a | 2,784. |
| c | Tax paid with application for extension of time to file (Form 8868) | 6b | NONE |
| d | Backup withholding erroneously withheld | 6c | NONE |
| 7 | Total credits and payments Add lines 6a through 6d | 6d | |
| 8 | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached | 7 | 2,784. |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ► 9 | 8 | |
| 10 | Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ► 10 | 9 | |
| 11 | Enter the amount of line 10 to be Credited to 2019 estimated tax ► 924. Refunded ► 11 | 10 | 1,860. |
| | | 11 | 936. |

Part VII-A Statements Regarding Activities

| | Yes | No |
|----|-----|----|
| 1a | | X |
| 1b | | X |
| 1c | | X |
| 2 | | X |
| 3 | | X |
| 4a | | X |
| 4b | | |
| 5 | | X |
| 6 | | X |
| 7 | | X |
| 8b | | X |
| 9 | | X |
| 10 | | X |

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____

2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered See instructions ► PA

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|---|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions | 11 | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A | 13 | X |
| 14 The books are in care of ► BNY MELLON, N.A. Telephone no ► (215) 553-1204 Located at ► P.O. BOX 185, PITTSBURGH, PA ZIP+4 ► 15230-0185 | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 | 15 | |
| 16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | 16 | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|--|-------------------------------------|--|
| 1a During the year, did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> | Yes <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> | Yes <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> | Yes <input checked="" type="checkbox"/> No |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input checked="" type="checkbox"/> | Yes <input type="checkbox"/> No |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> | Yes <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) | <input type="checkbox"/> | Yes <input checked="" type="checkbox"/> No |
| 1b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► | 1b | X |
| 1c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ► | <input type="checkbox"/> | Yes <input checked="" type="checkbox"/> No |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) | 2b | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> | Yes <input checked="" type="checkbox"/> No |
| b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) | 3b | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | | | | |
|---|---|------------------------------|--|------------------------------|--|----|
| 5a | During the year, did the foundation pay or incur any amount to | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | Yes | No |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | | | | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | | | | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | | | | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | | | | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | | | | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | |
| b | If any answer is "Yes" to 5a(1)-5, did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | | 5b | | |
| Organizations relying on a current notice regarding disaster assistance, check here ► | | | | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | | | |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d) | | | | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | 6b | X |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 7b | | |
| If "Yes" to 6b, file Form 8870 | | | | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | | 7b | | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

| | | | | | |
|--|---|---|---|---------------------------------------|--|
| 1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions. | | | | | |
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances | |
| BNY Mellon, N.A PO BOX 185, PITTSBURGH, PA 15230-0185 | TRUSTEE | 23,372 | -0- | -0- | |
| BNY Mellon, N.A PO BOX 185, PITTSBURGH, PA 15230-0185 | FEE REIMBURSEMENT | -7,213 | -0- | -0- | |
| | | | | | |
| | | | | | |
| | | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| | | | | |
|---|---|------------------|---|---------------------------------------|
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total number of other employees paid over \$50,000 ► | | | | NONE |

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services ► NONE

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | | Expenses |
|---|------|----------|
| 1 | NONE | |
| 2 | | |
| 3 | | |
| 4 | | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 | NONE |
| 2 | |
| 3 | |
| All other program-related investments. See instructions | |
| Total. Add lines 1 through 3 ► | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | |
|---|----|------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a Average monthly fair market value of securities | 1a | 1,916,989. |
| b Average of monthly cash balances | 1b | 53,941. |
| c Fair market value of all other assets (see instructions) | 1c | NONE |
| d Total (add lines 1a, b, and c) | 1d | 1,970,930. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 Subtract line 2 from line 1d. | 3 | 1,970,930. |
| 4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 29,564. |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 1,941,366. |
| 6 Minimum investment return. Enter 5% of line 5 | 6 | 97,068. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ► and do not complete this part)

| | | |
|---|----|---------|
| 1 Minimum investment return from Part X, line 6 | 1 | 97,068. |
| 2a Tax on investment income for 2018 from Part VI, line 5 | 2a | 924. |
| b Income tax for 2018. (This does not include the tax from Part VI) | 2b | |
| c Add lines 2a and 2b. | 2c | 924. |
| 3 Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 96,144. |
| 4 Recoveries of amounts treated as qualifying distributions. | 4 | NONE |
| 5 Add lines 3 and 4 | 5 | 96,144. |
| 6 Deduction from distributable amount (see instructions) | 6 | NONE |
| 7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 96,144. |

Part XII Qualifying Distributions (see instructions)

| | | |
|---|----|----------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26. | 1a | 104,493. |
| b Program-related investments - total from Part IX-B. | 1b | |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | NONE |
| 3 Amounts set aside for specific charitable projects that satisfy the: | | |
| a Suitability test (prior IRS approval required) | 3a | NONE |
| b Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 104,493. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b. See instructions. | 5 | 924. |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 103,569. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | 96,144. |
| 2 Undistributed income, if any, as of the end of 2018 | | | | |
| a Enter amount for 2017 only. | | | NONE | |
| b Total for prior years 20 16 , 20 15 , 20 | | NONE | | |
| 3 Excess distributions carryover, if any, to 2018 | | | | |
| a From 2013 | NONE | | | |
| b From 2014 | NONE | | | |
| c From 2015 | NONE | | | |
| d From 2016 | 5,545. | | | |
| e From 2017 | NONE | | | |
| f Total of lines 3a through e | 5,545 | | | |
| 4 Qualifying distributions for 2018 from Part XII, line 4 ► \$ 104,493. | | | | |
| a Applied to 2017, but not more than line 2a | | | NONE | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see instructions) | NONE | | | |
| d Applied to 2018 distributable amount | | | | 96,144. |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).) | NONE | | | NONE |
| 6 Enter the net total of each column as indicated below: | 13,894. | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | NONE | | |
| e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions | | | NONE | |
| f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019. | | | | NONE |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | NONE | | | |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) | NONE | | | |
| 9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a | 13,894. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2014 | NONE | | | |
| b Excess from 2015 | NONE | | | |
| c Excess from 2016 | 5,545. | | | |
| d Excess from 2017 | NONE | | | |
| e Excess from 2018 | 8,349. | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

| | | | | |
|----|---|--|---------------|------------|
| 1a | If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ► | | | |
| b | Check box to indicate whether the foundation is a private operating foundation described in section | | 4942(j)(3) or | 4942(j)(5) |
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. | | | |
| | | | | |
| b | 85% of line 2a | | | |
| c | Qualifying distributions from Part XII, line 4 for each year listed . | | | |
| d | Amounts included in line 2c not used directly for active conduct of exempt activities | | | |
| e | Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | |
| a | "Assets" alternative test - enter | | | |
| | (1) Value of all assets. | | | |
| | (2) Value of assets qualifying under section 4942(j)(3)(B)(i). | | | |
| b | "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . | | | |
| c | "Support" alternative test - enter | | | |
| | (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | |
| | (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | |
| | (3) Largest amount of support from an exempt organization. | | | |
| | (4) Gross investment income . | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--------------------------------------|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| SEE STATEMENT 23 | | | | 90,830. |
| Total | | | ► 3a | 90,830. |
| b Approved for future payment | | | | |
| Total | | | ► 3b | |

Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments . | | | | | |
| 4 Dividends and interest from securities | | | 14 | 39,585. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 18 | 63,261. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | |
| 9 Net income or (loss) from special events . . . | | | | | |
| 10 Gross profit or (loss) from sales of inventory . . | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 102,846. | |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 | 102,846. |

Part 1: VII B. Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions.</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;"></th> <th style="width: 50%;"></th> </tr> <tr> <th style="width: 50%; text-align: center;">Yes</th> <th style="width: 50%; text-align: center;">No</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1a(1)</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1a(2)</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(1)</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(2)</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(3)</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(4)</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(5)</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1b(6)</td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;">1c</td> <td style="text-align: center;">X</td> </tr> </tbody> </table> | | | Yes | No | 1a(1) | X | 1a(2) | X | 1b(1) | X | 1b(2) | X | 1b(3) | X | 1b(4) | X | 1b(5) | X | 1b(6) | X | 1c | X |
|---|--|--|--|-----|----|-------|---|-------|---|-------|---|-------|---|-------|---|-------|---|-------|---|-------|---|----|---|
| | | | | | | | | | | | | | | | | | | | | | | | |
| Yes | No | | | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | X | | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | X | | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | X | | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | X | | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | X | | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | X | | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | X | | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | X | | | | | | | | | | | | | | | | | | | | | | |
| 1c | X | | | | | | | | | | | | | | | | | | | | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign
Here**


Signature of officer or trustee

01/12/2020

Date

► VICE PRESIDENT

Title

May the IRS discuss this return
with the preparer shown below?

| | | | | | |
|---------------------------------------|--|---|---------------------------------|--|--------------------------|
| MICHAEL STAGIS | | | | | |
| Paid Preparer Use Only | Print/Type preparer's name SHAWN P. HANLON | Preparer's signature  | Date 01/12/2020 | Check <input checked="" type="checkbox"/> if self-employed | PTIN P00965923 |
| | Firm's name ► PRICEWATERHOUSECOOPERS LLP | | | Firm's EIN ► 13-4008324 | |
| | Firm's address ► 600 GRANT STREET PITTSBURGH, PA | 15219 | Phone no 412-355-6000 | | |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|-----------------------------|
| USGI REPORTED AS NONQUALIFIED DIVIDENDS | 314. | 314. |
| FOREIGN DIVIDENDS | 6,780. | 6,780. |
| NONDIVIDEND DISTRIBUTIONS | 39. | |
| DOMESTIC DIVIDENDS | 10,991. | 10,991. |
| NONQUALIFIED FOREIGN DIVIDENDS | 215. | 215. |
| NONQUALIFIED DOMESTIC DIVIDENDS | 20,645. | 20,645. |
| SECTION 199A DIVIDENDS | 69. | 69. |
| OTHER INTEREST | 865. | 865. |
| MUTUAL FUND TIMING ADJUSTMENT | -333. | |
| TOTAL | 39,585. | 39,879. |

FORM 990PF, PART I - LEGAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|--------------------------|---|-----------------------------|---------------------------|------------------------|
| NON-ALLOCABLE LEGAL FEES | 6,237. | | | 6,237. |
| TOTALS | 6,237. | NONE | NONE | 6,237. |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|--------------------------------|---|-----------------------------|
| FOREIGN TAXES | 1,061. | 1,061. |
| FEDERAL TAX PAYMENT - PRIOR YE | 2,112. | |
| FEDERAL ESTIMATES - INCOME | 2,784. | |
| FOREIGN TAXES ON NONQUALIFIED | 13. | |
| TOTALS | 5,970. | 1,074. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | CHARITABLE PURPOSES |
|-------------------------------|---|------------------------|
| OTHER NON-ALLOCABLE EXPENSE - | 963. | 963. |
| TOTALS | 963. | 963. |

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|--------------------------------|------------------------|----------------------|---------------|
| 254687106 DISNEY (WALT) COMPAN | C | 3,493. | 6,646. |
| 452308109 ILLINOIS TOOL WORKS | C | 3,472. | 6,260. |
| 78486Q101 SVB FINL GROUP | C | 3,193. | 3,761. |
| 808513105 SCHWAB CHARLES CORP | C | 2,549. | 3,346. |
| 87165B103 SYNCHRONY FINANCIAL | C | 4,376. | 5,454. |
| 874039100 TAIWAN SEMICONDUCTOR | C | 3,315. | 3,718. |
| N6596X109 NXP SEMICONDUCTORS N | C | 1,870. | 1,855. |
| 00724F101 ADOBE SYS INC COM | C | 930. | 5,249. |
| 037833100 APPLE COMPUTER INC C | C | 4,227. | 10,975. |
| 101137107 BOSTON SCIENTIFIC CO | C | 4,404. | 4,883. |
| 29444U700 EQUINIX INC | C | 2,999. | 4,038. |
| 30303M102 FACEBOOK INC | C | 6,621. | 11,575. |
| 46141Q865 361 GLOBAL LNG/SHRT | C | 120,000. | 121,288. |
| 609207105 MONDELEZ INTERNATION | C | 6,143. | 8,298. |
| 63872T729 ASG MANAGED FUTURES | C | 24,335. | 23,008. |
| 64110L106 NETFLIX COM INC | C | 1,771. | 1,338. |
| 026874784 AMERICAN INTERNATION | C | 5,532. | 6,684. |
| 05569M301 BNY MELLON INCOME ST | C | 47,567. | 53,884. |
| 05569M368 BNY MELLON CORP BOND | C | 80,091. | 84,319. |
| 166764100 CHEVRONTEXACO CORP | C | 7,650. | 8,658. |
| 852234103 SQUARE INC - A | C | 4,363. | 3,717. |
| G29183103 EATON CORP PLC | C | 2,348. | 4,906. |
| 882508104 TEXAS INSTRUMENTS IN | C | 4,923. | 5,816. |
| 91324P102 UNITED HEALTH GROUP | C | 2,665. | 4,346. |
| 00287Y109 ABBVIE INC | C | 3,641. | 3,786. |
| 040413106 ARISTA NETWORKS INC | C | 1,977. | 1,911. |
| 05588D811 BNYM DIV EMG MKTS-Y | C | 28,793. | 28,034. |
| 110122108 BRISTOL MYERS SQUIBB | C | 3,717. | 4,057. |
| 20030N101 COMCAST CORP NEW CL | C | 5,989. | 9,467. |

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|--------------------------------|------------------------|----------------------|---------------|
| 78409V104 S & P GLOBAL INC | C | 6,117. | 6,614. |
| 22160K105 COSTCO WHSL CORP NEW | C | 1,757. | 5,186. |
| 256746108 DOLLAR TREE INC | C | 4,972. | 5,708. |
| 30231G102 EXXON MOBIL CORP | C | 6,117. | 5,649. |
| 367829884 GATEWAY TR | C | 80,000. | 81,702. |
| 46625H100 J P MORGAN CHASE & C | C | 9,828. | 11,298. |
| 58933Y105 MERCK & CO INC | C | 4,446. | 6,734. |
| 65339F101 NEXTERA ENERGY INC | C | 6,423. | 8,854. |
| 79466L302 SALESFORCE COM INC | C | 1,871. | 4,899. |
| 999256743 MELLON OPTIMA L/S FD | C | 4,048. | 4,048. |
| 883556102 THERMO ELECTRON CORP | C | 4,753. | 5,243. |
| 92826C839 VISA INC | C | 5,437. | 12,213. |
| G5960L103 MEDTRONIC PLC | C | 4,235. | 4,997. |
| 00206R102 AT&T INC | C | 7,408. | 7,568. |
| 05569M475 BNY MELLON FOCUSED E | C | 50,503. | 73,614. |
| 05569M509 BNY MELLON MID CAP S | C | 139,776. | 165,827. |
| 05569M855 BNY MELLON EMERGING | C | 51,143. | 87,365. |
| 05587K824 BNYM SEL MGR S/C VAL | C | 27,931. | 25,880. |
| 05587K857 BNYM INTL STCK-Y | C | 63,995. | 103,543. |
| 060505104 BANK AMER CORP | C | 4,452. | 7,876. |
| 21036P108 CONSTELLATION BRANDS | C | 2,974. | 4,560. |
| 26614N102 DUPONT DE NEMOURS IN | C | 1,661. | 1,640. |
| 30161N101 EXELON CORP | C | 5,245. | 7,247. |
| 594918104 MICROSOFT CORP COM | C | 13,172. | 19,186. |
| 742718109 PROCTER & GAMBLE CO | C | 4,779. | 6,468. |
| 872590104 T -MOBILE US INC | C | 1,901. | 2,363. |
| G06242104 ATLASSIAN CORP PLC-C | C | 2,533. | 3,889. |
| G1151C101 ACCENTURE PLC IRELAN | C | 3,891. | 4,232. |
| G47791101 INGERSOLL-RAND PLC | C | 2,635. | 8,501. |

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|--------------------------------|------------------------|----------------------|---------------|
| 05569M814 BNY MELLON INTERMEDI | C | 122,454. | 126,756. |
| 05588D753 BNY MELLON INTL EQTY | C | 30,519. | 30,053. |
| 05588F709 BNYM GBL RL EST SEC | C | 32,224. | 38,184. |
| 097023105 BOEING COMPANY | C | 3,883. | 4,185. |
| 126650100 CVS CORP | C | 6,948. | 6,307. |
| 191216100 COCA-COLA CO USD | C | 5,390. | 5,444. |
| 244199105 DEERE & COMPANY | C | 5,014. | 6,579. |
| 260557103 DOW INC. | C | 2,243. | 2,049. |
| 717081103 PFIZER INC COM | C | 2,158. | 2,156. |
| 723787107 PIONEER NAT RES CO | C | 3,633. | 3,144. |
| 988498101 YUM BRANDS INC | C | 2,560. | 6,012. |
| 05569M806 BNY MELLON SMALL CAP | C | 10,624. | 10,951. |
| 05569M871 BNY MELLON INTL FD C | C | 91,718. | 114,505. |
| 05587N794 BNYM GBL RL RTRN -Y | C | 20,000. | 20,250. |
| 12572Q105 CME GROUP INC | C | 5,722. | 6,763. |
| 150870103 CELANESE CORP DEL | C | 2,372. | 6,726. |
| 478160104 JOHNSON & JOHNSON CO | C | 4,303. | 4,140. |
| 70450Y103 PAYPAL HOLDINGS INC | C | 6,925. | 6,630. |
| 929089100 VOYA FINANCIAL INC | C | 2,881. | 5,444. |
| 02079K305 ALPHABET INC/CA | C | 5,101. | 12,211. |
| G5876H105 MARVELL TECHNOLOGY G | C | 4,631. | 5,743. |
| 002824100 ABBOTT LABORATORIES | C | 2,219. | 4,184. |
| 031162100 AMGEN INC | C | 3,471. | 3,290. |
| 05569M830 BNY MELLON BOND FD C | C | 147,540. | 156,848. |
| 05587K865 BNYM SELECT MGR S/C | C | 34,963. | 33,955. |
| 05587N505 BNYM DYN TTL RTRN-Y | C | 23,456. | 28,173. |
| 05588E850 BNY MELLON HIGH YIEL | C | 43,432. | 39,355. |
| 126408103 CSX CORP COM | C | 3,481. | 6,234. |
| 30063P105 EXACT SCIENCES CORP | C | 3,986. | 1,807. |

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV --- |
|--------------------------------|------------------------|----------------------|----------------------|
| 437076102 HOME DEPOT INC USD 0 | C | 1,446. | 5,336. |
| 438516106 HONEYWELL INTL INC | C | 2,652. | 7,614. |
| 552953101 MGM MIRAGE | C | 6,188. | 5,267. |
| 595112103 MICRON TECHNOLOGY | C | 6,481. | 6,856. |
| 654106103 NIKE INC CL B | C | 6,499. | 9,580. |
| 718172109 PHILIP MORRIS INTERN | C | 6,197. | 6,834. |
| 91913Y100 VALERO ENERGY CORP N | C | 1,223. | 3,495. |
| 921075297 VAN ECK CM COMMODITY | C | 30,000. | 27,006. |
| 92343V104 VERIZON COMMUNICATIO | C | 2,145. | 2,414. |
| 92532F100 VERTEX PHARMACEUTICA | C | 2,796. | 2,711. |
| 007903107 ADVANCED MICRO DEVIC | C | 2,374. | 2,899. |
| 016255101 ALIGN TECHNOLOGY INC | C | 1,465. | 1,809. |
| 023135106 AMAZON COM INC | C | 1,608. | 13,887. |
| 03027X100 AMERICAN TOWER CORP- | C | 1,782. | 4,201. |
| 05589K400 BNYM FILTNG RT INC-Y | C | 39,623. | 38,051. |
| 17275R102 CISCO SYS INC | C | 3,682. | 3,953. |
| TOTALS | | 1,663,969. | 1,974,172. |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|--------------------------------------|--------|
| PY PENDING SALE ADJUSTMENT | 12. |
| REFUND FOR CHECKS DEPOSITED IN ERROR | 350. |
| TOTAL | 362. |

STATEMENT 9

DEGENSTEIN CHARLES B FDN-CHAR TR
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

23-6971532

RECIPIENT NAME:
HAND UP FOUNDATION
ADDRESS:
262 WILLOW STREET
MILTON, PA 17847
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
CITY OF SUNBURY
ADDRESS:
225 MARKET STREET
SUNBURY, PA 17801
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 6,500.

RECIPIENT NAME:
SUSQUEHANNA VALLEY INTERFAITH COUNCIL
ADDRESS:
640 NORTH AVENUE
NORTHUMBERLAND, PA 17857
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

STATEMENT 10

DEGENSTEIN CHARLES B FDN-CHAR TR
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

23-6971532

RECIPIENT NAME:
JOHN R. KAUFFMAN JR. PUBLIC LIBRARY
ADDRESS:
40 S FIFTH STREET
SUNBURY, PA 17801-2861
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
DANVILLE CHILD DEVELOPMENT CENTER
ADDRESS:
986 WALL ST
DANVILLE, PA 17821
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
CENTRAL PENNSYLVANIA ROWING ASSOCIATION
ADDRESS:
MARINA ROAD
SUNBURY, PA 17801
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

STATEMENT 11

DEGENSTEIN CHARLES B FDN-CHAR TR 23-6971532
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:
CAMP ENERGY INC.
ADDRESS:
MILLVILLE
MILLVILLE, PA 17846
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
A COMMUNITY CLINIC
ADDRESS:
344 MARKET ST
SUNBURY, PA 17801
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
MCCLURE AREA QUICK RESPONSE
ADDRESS:
PO BOX 430
MCCLURE, PA 17841
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,500.

DEGENSTEIN CHARLES B FDN-CHAR TR 23-6971532
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME: NORTHUMBERLAND COUNTY COUNCIL FOR ARTS
ADDRESS: 101 N 8TH ST
SHAMOKIN, PA 17872
RELATIONSHIP: NONE
PURPOSE OF GRANT: GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT: PC
AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME: RCAA HEALTH SERVICES INC.
ADDRESS: 11603 STATE ROUTE 61
MOUNT CARMEL, PA 17851
RELATIONSHIP: NONE
PURPOSE OF GRANT: GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT: PC
AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME: THE SALVATION ARMY
ADDRESS: 40 S 4TH ST
SUNBURY, PA 17801
RELATIONSHIP: NONE
PURPOSE OF GRANT: GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT: PC
AMOUNT OF GRANT PAID 5,000.

DEGENSTEIN CHARLES B FDN-CHAR TR
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

23-6971532

=====

RECIPIENT NAME:
SPREADING ANTLERS CHILDRENS FOUNDATION
ADDRESS:
26 S 2ND ST
SUNBURY, PA 17801
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:
SUDDEN IMPACT 12U SOFTBALL
ADDRESS:
C/O BNY MELLON PO BOX 185
PITTSBURGH, PA 15230-0185
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:
SUSQUEHANNA VALLEY CHORALE
ADDRESS:
PO BOX 172
LEWISBURG, PA 17837
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 3,000.

STATEMENT 14

DEGENSTEIN CHARLES B FDN-CHAR TR
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

23-6971532

=====

RECIPIENT NAME:
BUFFALO VALLEY REGIONAL TRAINING CENTER
ADDRESS:
701 MOORE AVE
LEWISBURG, PA 17837
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
SHIKELLAMY LACROSSE FOUNDATION
ADDRESS:
600 WALNUT ST
SUNBURY, PA 17801
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
THE IMPROVED MILTON EXPERIENCE
ADDRESS:
139 S FRONT ST
MILTON, PA 17847
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

STATEMENT 15

DEGENSTEIN CHARLES B FDN-CHAR TR 23-6971532
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:
SNYDER COUNTY COALITION
ADDRESS:
315 N WATER STREET
SELINSGROVE, PA 17870
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
SNYDER COUNTY LIBRARIES INC.
ADDRESS:
1 N HIGH STREET
SELINSGROVE, PA 17870
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
BLOOMSBURG AREA YOUNG MEN'S CHRISTIAN
ACADEMY
ADDRESS:
30 E 7TH ST
BLOOMSBURG, PA 17815
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 3,000.

DEGENSTEIN CHARLES B FDN-CHAR TR 23-6971532
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:
PTA PENNSYLVANIA CONGRESS
ADDRESS:
4804 DERRY ST
HARRISBURG, PA 17111
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:
ORANGEVILLE PUBLIC LIBRARY INC
ADDRESS:
301 MILL STREET
ORANGEVILLE, PA 17859
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
SHAMOKIN AREA SCHOOL DISTRICT
ADDRESS:
2000 W STATE ST
COAL TOWNSHIP, PA 17866
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 500.

DEGENSTEIN CHARLES B FDN-CHAR TR 23-6971532
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:
SHAPE OF JUSTICE INC.
ADDRESS:
265A POINT TOWNSHIP DRIVE
NORTHUMBERLAND, PA 17857
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
SUNCOM INDUSTRIES INC
ADDRESS:
164 W 9TH STREET
BLOOMSBURG, PA 17815
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
SUNBURY'S REVITALIZATION INC.
ADDRESS:
353 MARKET ST
SUNBURY, PA 17801
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 5,000.

DEGENSTEIN CHARLES B FDN-CHAR TR

23-6971532

• FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:

NORTHERN MONTOUR RECREATION ASSOCIATION

ADDRESS:

PO BOX 241

TURBOTVILLE, PA 17772

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING PURPOSES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

RECIPIENT NAME:

NICHOLAS WOLFF FOUNDATION INC

ADDRESS:

PO BOX 810

MILLVILLE, PA 17846

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING PURPOSES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

GETTYSBURG COLLEGE

ADDRESS:

300 N WASHINGTON ST

GETTYSBURG, PA 17325

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING PURPOSES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 500.

STATEMENT 19

DEGENSTEIN CHARLES B FDN-CHAR TR
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

23-6971532

=====

RECIPIENT NAME:
CATAWISSA BOROUGH
ADDRESS:
307 MAIN ST
CATAWISSA, PA 17820
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
AB CHILDREN'S THEATRE
ADDRESS:
2503 CAPTAIN BLOOM ROAD
SUNBURY, PA 17801
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,330.

RECIPIENT NAME:
CAMPS FOR SPIFFY-KYDS INC.
ADDRESS:
196 ROSE LN
PORT TREVORTON, PA 17864
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,000.

STATEMENT 20

DEGENSTEIN CHARLES B FDN-CHAR TR
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

23-6971532

RECIPIENT NAME:
MOUNT CARMEL CELEBRATION OF SPECIAL
ATHLETES
ADDRESS:
THE SILVER BOWL
MOUNT CARMEL, PA 17851
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
UNION COUNTY VETERANS INC
ADDRESS:
10 ELIZABETHTOWN PLAZA
ELIZABETH, NJ 07202
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
REGIONAL ENGAGEMENT CENTER
ADDRESS:
429 8TH ST
SELINSGROVE, PA 17870
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 2,500.

STATEMENT 21

DEGENSTEIN CHARLES B FDN-CHAR TR 23-6971532
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME: GIRLS ON THE RUN OF GREATER SUSQUEHANNA
ADDRESS: 484 ALEXIS DR
WILLIAMSPORT, PA 17701
RELATIONSHIP: NONE
PURPOSE OF GRANT: GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT: PC
AMOUNT OF GRANT PAID 1,500.

RECIPIENT NAME: EOS THERAPEUTIC RIDING CENTER
ADDRESS: 288 DAHL RD
BLOOMSBURG, PA 17815
RELATIONSHIP: NONE
PURPOSE OF GRANT: GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT: PC
AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME: BLOOMSBURG TOWN PARK IMPROVEMENT ASSN
ADDRESS: MARKET STREET
BLOOMSBURG, PA 17815
RELATIONSHIP: NONE
PURPOSE OF GRANT: GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT: PC
AMOUNT OF GRANT PAID 3,500.

DEGENSTEIN CHARLES B FDN-CHAR TR 23-6971532
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:

SHIKELLAMY BAND ASSOCIATION INC

ADDRESS:

600 WALNUT ST
SUNBURY, PA 17801

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING PURPOSES

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 2,500.

TOTAL GRANTS PAID: 90,830.

=====

STATEMENT 23

FEDERAL FOOTNOTES

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ATTACHMENT TO FORM 990PF PART VIII, COLUMN (B) TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION THE COMPENSATION REPORTED IN COLUMN (B) PAID TO BNY MELLON, N.A. AS CORPORATE TRUSTEE IS CALCULATED BASED ON MARKET VALUE AND CURRENT FEE SCHEDULE. IT IS NOT DETERMINED ON AN HOURLY BASIS. CORPORATE TRUSTEE SERVICES INCLUDE ADMINISTRATIVE RESPONSIBILITIES, GRANT REQUIREMENTS, RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, STATEMENT AND ACCOUNTING SERVICES, AND REGULATORY REPORTING.