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EXTENDED TO AUGUST 17, 2020

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

1909

OMB No 1545-0052 **2018** Open to Public Inspection

For calendar year 2018 or tax year beginning OCT 1, 2018 , and ending SEP 30, 2019

Name of foundation: AJA CHARITABLE FUND

A Employer identification number: 20-2054545

Number and street (or P O box number if mail is not delivered to street address): 3605 TOWN CENTER DRIVE Room/suite: A

B Telephone number: 702-365-6699

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City or town, state or province, country, and ZIP or foreign postal code: LAS VEGAS, NV 89135

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

04

03/04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 21,780,009.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	825,000.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	4,366.	4,366.		STATEMENT 2
	4 Dividends and interest from securities	247,451.	553,736.		STATEMENT 3
	5a Gross rents		213.		
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-202,138.			STATEMENT 1
	b Gross sales price for all assets on line 6a 42,895,565.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	40,259.	17,179.		STATEMENT 4
	12 **Total.** Add lines 1 through 11	914,938.	575,494.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 5	41,118.	14,344.		14,344.
	c Other professional fees STMT 6	96,759.	79,890.		0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	26,092.	3,101.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	163,969.	97,335.		14,344.
	25 Contributions, gifts, grants paid	1,048,000.			1,048,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,211,969.	97,335.		1,062,344.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-297,031.			
	b **Net investment income** (if negative, enter -0-)		478,159.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	3,244,758.	4,547,887.	4,547,887.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	9,495,080.	7,632,635.	17,232,122.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	12,739,838.	12,180,522.	21,780,009.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26, and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	12,739,838.	12,180,522.	
	30 29 **Total net assets or fund balances**	12,739,838.	12,180,522.	
	31 30 **Total liabilities and net assets/fund balances**	12,739,838.	12,180,522.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,739,838.
2	Enter amount from Part I, line 27a	2	-297,031.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	12,442,807.
5	Decreases not included in line 2 (itemize) ▶ CASH BASIS ADJUSTMENT - NO TAX IMPACT	5	262,285.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,180,522.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	42,895,565.		43,162,117.	-334,311.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				-334,311.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -334,311.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	939,626.	21,511,098.	.043681
2016	767,075.	19,146,995.	.040062
2015	804,554.	15,262,646.	.052714
2014	820,290.	16,755,868.	.048955
2013	574,562.	16,593,744.	.034625

2	**Total** of line 1, column (d)	2	.220037
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.044007
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	21,405,001.
5	Multiply line 4 by line 3	5	941,970.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,782.
7	Add lines 5 and 6	7	946,752.
8	Enter qualifying distributions from Part XII, line 4	8	1,062,344.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES	P		
b	PASSTHROUGH CAPITAL GAIN INCOME FOR COLUMN B ONLY	P		
c	LESS: GAIN ON SALE OF SECTION 751 PROPERTY REPORT	P		
d	ADJUSTMENT TO BASIS OF PARTNERSHIP	P		
e	SALES OF OTHER ASSETS - MOKA	P		
f	SALES OF OTHER ASSETS - WEST SIDE	P		
g	ADJUSTMENTS TO TAX BASIS GAIN & LOSS - COLUMN B O	P		
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	42,895,565.		43,314,699.	-419,134.
b			-57,687.	57,687.
c				-67,759.
d			-84,662.	84,662.
e			64,865.	-64,865.
f			-264,958.	264,958.
g			189,860.	-189,860.
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-419,134.
b				57,687.
c				-67,759.
d				84,662.
e				-64,865.
f				264,958.
g				-189,860.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-334,311.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	4,782.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3	Add lines 1 and 2			3	4,782.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	4,782.
6	Credits/Payments:				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	16,000.		
b	Exempt foreign organizations - tax withheld at source	6b	0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d	Backup withholding erroneously withheld	6d	0.		
7	Total credits and payments. Add lines 6a through 6d			7	16,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached			8	73.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	11,145.
11	Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ 11,145. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ NV			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation SEE STATEMENT 10	8b		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ANDREW J. ASTRACHAN Telephone no. ▶ 702-365-6699

Located at ▶ 3605 TOWN CENTER DRIVE, SUITE A, LAS VEGAS, NV ZIP+4 ▶ 89135

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDREW J. ASTRACHAN 3605 TOWN CENTER DRIVE, SUITE A LAS VEGAS, NV 89135	PRESIDENT 1.25	0.	0.	0.
CATHY HUNTER DANIELS 3605 TOWN CENTER DRIVE, SUITE A LAS VEGAS, NV 89135	SECRETARY 1.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	17,763,752.
b	Average of monthly cash balances	1b	3,967,213.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	21,730,965.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,730,965.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	325,964.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,405,001.
6	**Minimum investment return.** Enter 5% of line 5	6	1,070,250.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,070,250.
2a	Tax on investment income for 2018 from Part VI, line 5 — 2a: 4,782.		
b	Income tax for 2018. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	4,782.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,065,468.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,065,468.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,065,468.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,062,344.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,062,344.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,782.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,057,562.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,065,468.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			1,043,193.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 1,062,344.				
a Applied to 2017, but not more than line 2a			1,043,193.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				19,151.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				1,046,317.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ANDREW J. ASTRACHAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED	NONE			1,048,000.
Total			▶ **3a**	1,048,000.
b *Approved for future payment*				
NONE				
Total			▶ **3b**	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4,366.	
4 Dividends and interest from securities			14	247,451.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-202,138.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PASSTHROUGH INVESTMENT					
b INCOME FOR COLUMN A ONLY	523000	-249,313.	14	272,393.	
c PASSTHROUGH INVESTMENT					
d INCOME FOR COLUMN B ONLY			14	17,179.	
e					
12 Subtotal. Add columns (b), (d), and (e)		-249,313.		339,251.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 89,938.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
1	NONE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 07-29-20 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
BRYCE FALCON	BRYCE FALCON	07/28/20		P01519612

Firm's name ▶ MOSS ADAMS LLP Firm's EIN ▶ 91-0189318

Firm's address ▶ 10960 WILSHIRE BLVD SUITE 1100
LOS ANGELES, CA 90024 Phone no. 310-477-0450

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization	Employer identification number
AJA CHARITABLE FUND	20-2054545

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
AJA CHARITABLE FUND	20-2054545

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANDREW ASTRACHAN 3605 TOWN CENTER DRIVE, SUITE A LAS VEGAS, NV 89135	$ 825,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization: AJA CHARITABLE FUND

Employer identification number: 20-2054545

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	
		$	
		$	
		$	
		$	
		$	

Name of organization: AJA CHARITABLE FUND

Employer identification number: 20-2054545

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this info. once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PUBLICLY TRADED SECURITIES					

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
42,895,565.	43,314,699.	0.	0.	-419,134.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PASSTHROUGH CAPITAL GAIN INCOME FOR COLUMN B ONLY	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LESS: GAIN ON SALE OF SECTION 751 PROPERTY REPORTED ON FROM 990-T	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-67,759.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ADJUSTMENT TO BASIS OF PARTNERSHIP	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	-84,662.	0.	0.	84,662.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALES OF OTHER ASSETS - MOKA		PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	64,865.	0.	0.	-64,865.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALES OF OTHER ASSETS - WEST SIDE		PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	-264,958.	0.	0.	264,958.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
ADJUSTMENTS TO TAX BASIS GAIN & LOSS - COLUMN B ONLY		PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	-202,138.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA - 0771	4,366.	4,366.	
TOTAL TO PART I, LINE 3	4,366.	4,366.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BERMAN 00170	186,517.	0.	186,517.	186,517.	
FIDELITY	35,217.	0.	35,217.	35,217.	
MERRILL LYNCH 02004	25,717.	0.	25,717.	25,717.	
PASSTHROUGH	152,657.	0.	152,657.	152,657.	
PASSTHROUGH	-152,657.	0.	-152,657.	0.	
TO PART I, LINE 4	247,451.	0.	247,451.	400,108.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH INVESTMENT INCOME FOR COLUMN A ONLY	23,080.	0.	
PASSTHROUGH INVESTMENT INCOME FOR COLUMN B ONLY	17,179.	17,179.	
TOTAL TO FORM 990-PF, PART I, LINE 11	40,259.	17,179.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	41,118.	14,344.		14,344.
TO FORM 990-PF, PG 1, LN 16B	41,118.	14,344.		14,344.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES - BERMAN	55,809.	38,940.		0.
INVESTMENT MANAGEMENT FEES - MERRILL LYNCH	150.	150.		0.
INVESTMENT MANAGEMENT FEES - FIDELITY	40,800.	40,800.		0.
TO FORM 990-PF, PG 1, LN 16C	96,759.	79,890.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	25,892.	0.		0.
BANK CHARGES	200.	0.		0.
PASSTHROUGH 1231 LOSSES FOR COLUMN B ONLY	0.	3,101.		0.
TO FORM 990-PF, PG 1, LN 23	26,092.	3,101.		0.

FOOTNOTES STATEMENT 8

PART VII-B, LINE 1A(3)
AJA CHARITABLE FUND USES OFFICE FACILITIES FREE OF RENT BY ANDREW J. ASTRACHAN, A DISQUALIFIED PERSON.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NEUBERGER BERMAN (SEE ATTACHMENT)	COST	3,313,312.	5,453,798.
CLARION II	COST	-421,109.	463,129.
CORBEL	COST	-40,712.	370,904.
DORCHESTER CAP III	COST	-211,009.	111,760.
FIDELITY (SEE ATTACHMENT)	COST	382,026.	2,078,698.
IREO INDIA LAND	COST	327,899.	1,389,344.
MERRILL LYNCH (SEE ATTACHMENT)	COST	296,674.	706,463.
UCM MIDAS FUND, LTD	COST	2,207,121.	3,747,356.
RIGHTSOURCE	COST	-59,542.	310,173.
DORCHESTER CAP IV	COST	1,325,470.	1,970,201.
CORBEL II	COST	386,149.	530,807.
CLARION III	COST	126,356.	99,489.
TOTAL TO FORM 990-PF, PART II, LINE 13		7,632,635.	17,232,122.

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 8B STATEMENT 10

EXPLANATION

STATE OF NEVADA DOES NOT REQUIRE A COPY OF THE 990-PF TO BE SUBMITTED.

AJA CHARITABLE FUND CHARITY LIST 10/01/2018 - 09/30/2019 20-2054545
PART XV

PAYEE	Foundation Status	Purpose	AMOUNT
Prostate Cancer Foundation 1250 Fourth St Santa Monica, CA 90401 Tax ID #95-4418411	PC	Biomedical research funding to end prostate cancer	763,000 00
Friends of the Uffizi Gallery 205 Worth Ave Ste 201, Palm Beach FL 33480 Tax ID #20-1892526	PC	Art restoration and preservation of the Uffizi Gallery	5,000 00
Innocence Project 100 Fifth Ave 3rd floor NY NY 10011 Tax ID #32-0077563	PC	To assist prisoners who could be proven innocent through DNA testing	15,000 00
Mercy Ships PO Box 2020, Garden Valley, TX 75771-2020 Tax ID #95-3793975	PC	To bring medical, relief and developmental assistance to the poor and needy in third world developing nations	25 000 00
Mr Holland's Opus 15165 Ventura Blvd #220 Sherman Oaks CA 91403 Tax ID #95-4604927	PC	Donates new and refurbished musical instruments to underserved schools to be better students and inspire creativity and expression through playing music	25 000 00
Planned Parenthood 434 W 33rd St , NY, NY 10001 Tax ID #13-1644147	PC	Provide comprehensive reproductive and complementary health-care services	25,000 00
Southern Poverty Law Center 400 Washington Ave , Montgomery AL 36104 Tax ID #63-0598743	PC	Fighting hate and bigotry and to seeking justice for the most vulnerable members of our society	10 000 00
Three Angels Children's Relief 25876 The Old Road #285 Stevenson Ranch CA 91381 Tax ID #16-1619784	PC	Human Services / (Emergency Assistance (Food, Clothing, Cash))	15 000 00
Harlem Grown PO BOX 895, New York, NY 10037 Tax ID #27-4250636	PC	Advance mission of food justice, healthy nutrition, physical fitness and extra curricular activities to the less fortunate	10,000 00
Friends of the Hospital for Sick Kids Foundation 1725 I Street, NW, Suite 300 Washington, DC 20006 Tax ID # 52-1432586	PC	Provide medical services to children	1,500 00
ACLU Foundation 125 Broad St, 18th floor, New York, NY 10033 Tax ID #13-6213516	Section 170(b)(1)(A)(vi)	devoted to protecting the basic civil liberties of all people in the United States	25 000 00
Bikur Chilom of Palm Springs PO Box 2934, Palm Springs CA 92263 Tax ID #33-0693570	PC	Temple	2,000 00
A Chance In Life/Boys' & Girls' Town of Italy 250 East 63rd Street, Suite 204, New York NY 10065 Tax ID #13-1835632	PC	Enriching lives of children of poverty	50,000 00
Trident Swim Foundation 150 W 55th St Suite 8A, NY, NY 10019 Tax ID #26-0640050	PC	stimulate promote and nurture the development of students so that such individuals can achieve personal goas and become well grounded productive members of society	10,000 00
Global Mobility 807 W Ave K, Lancaster CA 93534 Tax ID #46-0790383	PC	provide mobility to disabled persons	25,000 00
Shade Tree 1 West Owens Ave, North Las Vegas NV 89030 Tax ID #88-0253276	PC	assisting women and children in crisis	10 000 00
Noah's Animal House Foundation 3805 Frost Lane, Reno, NV 89511 Tax ID #46-0869579	PC	assisting women and children in crisis	5,000 00
Foster Nation 11150 Santa Monica Blvd , #1500, Los Angeles, CA 90025 Tax ID #45-4619493	PC	support and empower foster youth	25 000 00
Beit T'Shuvah 8831 Venice Blvd, Los Angeles, CA 90034 Tax ID #52-1273585	PC	Temple	500 00
Paddler's for Humanity PO Blx 2555, East Hampton, NY 11937 Tax ID #26-2378187	PC	supporting children in mental health care	1,000 00
Total Donations			1,048 000 00

NVESTMENT REP T
September 1, 2019 - September 30, 2019

Account # 676-450072
AJA CHARITABLE FUND - CORPORATION

Holdings

Core Account

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
CASH	2,117,974.370	$1.0000	$2,117,974.37	not applicable	not applicable	-	-
Total Core Account (50% of account holdings)			**$2,117,974.37**			-	

Exchange Traded Products

Includes exchange-traded funds (ETFs), exchange-traded notes (ETNs), and other exchange-traded vehicles.

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
Equity ETPs							
INVESCO QQQ TR UNIT SER 1(QQQ)	3,680.000	$188.8100	$694,820.80	$721,789.91	-$26,969.11	$5,683.94	0.820%
ISHARES CHINA LARGE CAP ETF(FXI)	4,280.000	39.8000	170,344.00	171,841.14	-1,497.14	3,649.87	2.140
ISHARES TRUST MSCI EMG MKTS ETF USD DIS (EEM)	8,400.000	40.8700	343,308.00	346,085.18	-2,777.18	7,519.90	2.190
SELECT SECTOR SPDR TRUST AMEX FINANCIAL SELECT INDEX USD DIS (XLF)	15,080.000	28.0000	422,240.00	421,908.19	331.81	8,495.18	2.010
Total Equity ETPs(39% of account holdings)			1,630,712.80	1,661,624.42	-30,911.62	25,348.89	
Other ETPs							
SPDR GOLD TR GOLD SHS(GLD)	1,175.000	$138.8700	$163,172.25	$172,046.79	-$8,874.54	-	-
Total Other ETPs(4% of account holdings)			163,172.25	172,046.79	-8,874.54	-	
Total Exchange Traded Products (43% of account holdings)			**$1,793,885.05**	**$1,833,671.21**	**-$39,786.16**	**$25,348.89**	

Stocks

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
Common Stock							
PETROLEO BRASILEIRO SA PETROBRAS SPON ADS EACH REP 2 ORD SHS (PBR)	7,995 000	$14.4700	$115,687.65	$111,455.25	$4,232.40	-	-

Fidelity INVESTMENTS

M_CE_BHM GZBBB QVX_BBBBB 20190930 S

INVESTMENT REPORT
September 1, 2019 - September 30, 2019

Account # 676-450072
AJA CHARITABLE FUND - CORPORATION

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est.Yield (EY)
Common Stock (continued)							
Total Common Stock (3% of account holdings)			$115,687.65	$111,455.25	$4,232.40	-	
Total Stocks (3% of account holdings)			**$115,687.65**	**$111,455.25**	**$4,232.40**	-	

Options

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss
CALL (BABA) ALIBABA GROUP JAN 17 20 $150 (100 SHS) (BABA200117C150)	20.000	$23.2000	$46,400.00	$43,816.31	$2,583.69
CALL (FB) FACEBOOK INC-CLASS A JAN 17 20 $170 (100 SHS) (FB200117C170)	35.000	16.5500	57,925.00	62,679.03	-4,754.03
CALL (QQQ) INVESCO QQQ TR UNIT NOV 15 19 $185 (100 SHS) (QQQ191115C185)	80.000	8.1000	64,800.00	66,060.00	-1,260.00
Total Options (4% of account holdings)			**$169,125.00**	**$172,555.34**	**-$3,430.34**

	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)
Total Holdings	**$4,196,672.07**	**$2,117,681.80**	**-$38,984.10**	**$25,348.89**

Cost Basis - the original amount paid for a security, including the amount of reinvested dividends and capital gains, plus or minus certain adjustments. See last page of statement for details. Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

EAI & EY ***Estimated Annual Income (EAI) & Estimated Yield (EY)*** *- EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EAI may be negative on short positions. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EAI and EY are estimates only and may include return of principal and/or capital gains, which would render them overstated. Actual income and yield might be lower or higher than the estimated amounts.* ***For calculation details, refer to the "Additional Information and Endnotes" section.***

All positions held in cash account unless indicated otherwise.

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MERRILL
A BANK OF AMERICA COMPANY

AJA CHARITABLE FUND

Account Number: 6BP-02004

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

August 31, 2019 - September 30, 2019

Money Account Description	*Opening Balance*	*Average Deposit Balance*	*Current Yield%*	*Interest on Deposits*	*Closing Balance*
Bank of America, N A.	17,739	17,740	.09	1 40	**17,742**
TOTAL ML Bank Deposit Program	17,739			1 40	**17,742**

Money Account Description	*Opening Balance*	*Average Deposit Balance*	*Current Yield%*	*Interest on Deposits*	*Closing Balance*
Bank of America, N A	152,403	152,403	1 74	221.89	**152,624**
TOTAL Preferred Deposit	152,403			221.89	**152,624**

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	1.59	1.59		**1.59**		
+ML BANK DEPOSIT PROGRAM *+FDIC INSURED NOT SIPC COVERED*	17,742 00	17,742 00	1 0000	**17,742.00**	**16**	09
+PREFERRED DEPOSIT *+FDIC INSURED NOT SIPC COVERED*	152,624.00	152,624.00	1 0000	**152,624.00**	**2,656**	1 74
TOTAL		170,367 59		**170,367.59**	**2,672**	1.57

EQUITIES *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*
WYNN RESORTS LTD *CURRENT YIELD 3 67%*	WYNN	08/29/07	6,498 0000	60 6815	394,308.65	108.7200	**706,462.56**	312,153 91	**25,991**
TOTAL YIELD 3 68%					394,308.65		**706,462.56**	312,153.91	**25,991**

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tatement fo the Perio September 1, 2019 to September 30, 2019

AJA CHARITABLE FUND - Unincorporated Assn
Account Number: NBJ-038502 NB Account Number: 550-00170

NEUBERGER | BERMAN

Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

For additional information regarding your holdings, please refer to the footnotes at the end of the statement

CASH AND CASH EQUIVALENTS - 1.73% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 09/30/19	Current Market Value	Estimated Annual Income
Money Markets					
DREYFUS GOVERNMENT CASH MGMT ADMIN	DAGXX CASH	94,472 31	$1 00	$94,472 31	
Total Cash and Cash Equivalents				$94,472.31	

HOLDINGS > EQUITIES - 98.27% of Total Account Value

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 09/30/19	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
Equity								
ALLIANCE RES PARTNER L P UT LTD PART	ARLP		14,000	$16 01	$224,140.00	$30,240 00	$377,485.59 T	($153,345 59)
Estimated Yield 13 49%	CASH							
Average Unit Cost	$26.96	05/09/07 Unit Cost $19.58	105.683	$16 01	$1,691 98		$2,069 27 T	($377.28) LT
		05/15/07 Unit Cost $19 33	295.624	$16.01	$4,732.94		$5,715.44 T	($982.49) LT
		11/30/09 Unit Cost $16.15	1,625.931	$16 01	$26,031.15		$26,266 67 T	($235 51) LT
		06/10/10 Unit Cost $22 08	886.871	$16.01	$14,198 80		$19,582.27 T	($5,383 46) LT
		09/30/11 Unit Cost $29.18	1,773.743	$16 01	$28,397.62		$51,765 82 T	($23,368 19) LT
		10/27/11 Unit Cost $32.10	295 624	$16 01	$4,732.94		$9,488 75 T	($4,755.80) LT
		10/28/11 Unit Cost $32 43	591.248	$16.01	$9,465.88		$19,176 37 T	($9,710.48) LT

Statement for the Period September 1, 2019 to September 30, 2019

AJA CHARITABLE FUND - Unincorporated Assn
Account Number: NBJ-038502 NB Account Number: 550-00170

NEUBERGER | BERMAN

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 09/30/19	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
ALLIANCE RES PARTNER L P UT LTD PART	ARLP	*continued*						
		12/18/12 Unit Cost $29 77	886 871	$16 01	$14,198 80		$26,398 89 T	($12,200 08) LT
		06/03/15 Unit Cost $33 51	3,695 297	$16 01	$59,161 70		$123,842 27 T	($64,680.56) LT
		07/23/15 Unit Cost $24 06	3,104 05	$16 01	$49,695 84		$74,671 17 T	($24,975.32) LT
		08/05/15 Unit Cost $25 04	739 058	$16 01	$11,832 31		$18,508 67 T	($6,676 35) LT
CEDAR FAIR L P DEPOSITRY UNIT	FUN		9,600	$58 36	$560,256.00	$35,520.00	$148,068.18 T	$412,187 82
Estimated Yield 6 34%	CASH							
Average Unit Cost	$15 42	05/09/07 Unit Cost $28 72	50	$58 36	$2,918 00		$1,436 11 T	$1,481 89 LT
		05/29/07 Unit Cost $29.45	150	$58 36	$8,754.00		$4,417.31 T	$4,336.69 LT
		07/30/07 Unit Cost $28 80	250	$58.36	$14,590.00		$7,201 18 T	$7,388.82 LT
		12/31/07 Unit Cost $20 83	300	$58 36	$17,508 00		$6,249 00 T	$11,259 00 LT
		10/17/08 Unit Cost $15 99	250	$58 36	$14,590 00		$3,996 40 T	$10,593 60 LT
		02/12/09 Unit Cost $9 96	300	$58 36	$17,508.00		$2,989.01 T	$14,518.99 LT
		03/09/09 Unit Cost $6 28	900	$58 36	$52,524 00		$5,653 83 T	$46,870 17 LT
		06/08/10 Unit Cost $11.65	2,000	$58 36	$116,720 00		$23,293 40 T	$93,426.60 LT
		06/10/10 Unit Cost $12 46	1,400	$58 36	$81,704.00		$17,443 86 T	$64,260 14 LT
		09/30/11 Unit Cost $18 99	3,200	$58 36	$186,752 00		$60,753 60 T	$125,998.40 LT
		10/07/11 Unit Cost $18 29	800	$58 36	$46,688 00		$14,634 48 T	$32,053.52 LT
CLEARWAY ENERGY INC CL C	CWEN	05/14/18	9,000	$18 25	$164,250 00	$7,200 00	$151,911 00	$12,339.00
Estimated Yield 4 38%	CASH							
Average Unit Cost	$16 88							
ENERGY TRANSFER LP COM UT LTD PTN	ET		36,000	$13 08	$470,880 00	$43,920.00	$184,947 93 T	$285,932 07

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AJA CHARITABLE FUND - Unincorporated Assn
Account Number: NBJ-038502 NB Account Number: 550-00170

NEUBERGER | BERMAN

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 09/30/19	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
ENERGY TRANSFER LP COM UT LTD PTN	ET	***continued***						
Estimated Yield 9 32%	CASH							
Average Unit Cost	$5.14	09/30/11	4,400	$13 08	$57,552.00		$38,476 97 T	$19,075 03 LT
		Unit Cost $8 74						
		11/09/11	1,600	$13.08	$20,928 00		$15,133.96 T	$5,794.04 LT
		Unit Cost $9 46						
		02/08/16	30,000	$13 08	$392,400 00		$131,337.00 T	$261,063.00 LT
		Unit Cost $4 38						
ENTERPRISE PRODS PARTNERS L P COM	EPD		24,600	$28.58	$703,068 00	$43,296 00	$538,774 32 T	$164,293.68
Estimated Yield 6 15%	CASH							
Average Unit Cost	$21 90	10/20/08	3,600	$28 58	$102,888 00		$26,254.92 T	$76,633.08 LT
		Unit Cost $7 29						
		12/31/08	2,400	$28.58	$68,592.00		$13,889.39 T	$54,702 61 LT
		Unit Cost $5 79						
		07/23/15	5,000	$28 58	$142,900 00		$138,141.50 T	$4,758.50 LT
		Unit Cost $27 63						
		05/31/17	5,500	$28 58	$157,190 00		$146,726.80	$10,463.20 LT
		Unit Cost $26 68						
		06/07/17	2,100	$28 58	$60,018 00		$57,008 91	$3,009.09 LT
		Unit Cost $27 15						
		08/22/17	2,000	$28 58	$57,160 00		$50,280 00	$6,880.00 LT
		Unit Cost $25 14						
		11/29/17	1,000	$28 58	$28,580 00		$24,078 40	$4,501 60 LT
		Unit Cost $24 08						
		01/08/19	3,000	$28 58	$85,740 00		$82,394.40	$3,345 60 ST
		Unit Cost $27 46						
EQM MIDSTREAM PARTNERS LP UNIT LTD PARTN	EQM		4,100	$32.70	$134,070.00	$19,024 00	$213,083 42	($79,013 42)
Estimated Yield 14.19%	CASH							
Average Unit Cost	$51.97	10/07/16	300	$32 70	$9,810 00		$22,658.46	($12,848 46) LT
		Unit Cost $75 53						
		05/14/18	1,800	$32 70	$58,860 00		$95,927 76	($37,067.76) LT
		Unit Cost $53 29						
		11/30/18	2,000	$32.70	$65,400 00		$94,497 20	($29,097 20) ST
		Unit Cost $47 25						
NEXTERA ENERGY PARTNERS LP COM UNIT PART	NEP		9,600	$52.84	$507,264.00	$19,296 00	$218,805 92 T	$288,458.08
IN	CASH							
Estimated Yield 3 80%		04/12/16	6,400	$52.84	$338,176 00		$142,680 32 T	$195,495.68 LT

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AJA CHARITABLE FUND - Unincorporated Assn
Account Number: NBJ-038502 NB Account Number: 550-00170

NEUBERGER | BERMAN

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 09/30/19	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
NEXTERA ENERGY PARTNERS LP COM UNIT PART	NEP	***continued***						
Average Unit Cost	$22 79	Unit Cost $22 29						
		10/07/16	3,200	$52 84	$169,088 00		$76,125 60	$92,962 40 LT
		Unit Cost $23 79						
NUSTAR ENERGY LP UNIT COM	NS		8,250	$28 32	$233,640.00	$19,800.00	$391,533.10 T	($157,893.10)
Estimated Yield 8 47%	CASH							
Average Unit Cost	$47 46	05/15/07	110	$28.32	$3,115.20		$6,949 34 T	($3,834.14) LT
		Unit Cost $63 18						
		05/16/07	55	$28 32	$1,557.60		$3,347.00 T	($1,789.40) LT
		Unit Cost $60 85						
		12/06/07	330	$28 32	$9,345 60		$17,064 00 T	($7,718 40) LT
		Unit Cost $51 71						
		03/20/08	495	$28 32	$14,018.40		$21,769 07 T	($7,750.67) LT
		Unit Cost $43.98						
		11/26/08	330	$28 32	$9,345 60		$9,946 29 T	($600 69) LT
		Unit Cost $30 14						
		12/16/10	330	$28 32	$9,345 60		$21,867 06 T	($12,521 46) LT
		Unit Cost $66 26						
		10/05/11	770	$28 32	$21,806 40		$40,285 98 T	($18,479 58) LT
		Unit Cost $52 32						
		10/17/11	440	$28.32	$12,460 80		$24,878 00 T	($12,417 20) LT
		Unit Cost $56 54						
		01/07/15	990	$28 32	$28,036 80		$57,996 36 T	($29,959 56) LT
		Unit Cost $58 58						
		07/23/15	1,100	$28 32	$31,152 00		$66,660 00 T	($35,508 00) LT
		Unit Cost $60 60						
		12/30/15	990	$28 32	$28,036 80		$33,910.92 T	($5,874.12) LT
		Unit Cost $34 25						
		12/30/15	110	$28.32	$3,115.20		$3,767.88 T	($652 68) LT
		Unit Cost $34 25						
		12/31/15	2,200	$28.32	$62,304.00		$83,091.20 T	($20,787 20) LT
		Unit Cost $37.77						
ONEOK INC COM USD0.01	OKE		7,600	$73 69	$560,044.00	$27,056 00	$207,352 82 T	$352,691 18
Estimated Yield 4 83%	CASH							
Average Unit Cost	$27 28	12/04/14	400	$73.69	$29,476 00		$20,108 56 T	$9,367.44 LT
		Unit Cost $50 27						
		12/30/15	6,200	$73 69	$456,878 00		$128,797 56 T	$328,080 44 LT
		Unit Cost $20 77						

Statement for the Period September 1, 2019 to September 30, 2019

AJA CHARITABLE FUND - Unincorporated Assn
Account Number: NBJ-038502 NB Account Number: 550-00170

NEUBERGER | BERMAN

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 09/30/19	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
ONEOK INC COM USD0.01	OKE	***continued***						
		01/08/19	1,000	$73 69	$73,690 00		$58,446 70	$15,243 30 ST
		Unit Cost $58 45						
SHELL MIDSTREAM PARTNERS L P UNIT LTD	SHLX		15,600	$20 45	$319,020.00	$26,832 00	$321,438.01	($2,418 01)
INT	CASH							
Estimated Yield 8 41%		04/05/19	11,000	$20 45	$224,950 00		$228,638 30	($3,688 30) ST
Average Unit Cost	$20.61	Unit Cost $20 79						
		05/22/19	550	$20 45	$11,247.50		$11,895.40	($647 90) ST
		Unit Cost $21 63						
		05/23/19	1,050	$20 45	$21,472.50		$22,319 01	($846 51) ST
		Unit Cost $21 26						
		06/12/19	2,000	$20 45	$40,900 00		$39,467 40	$1,432.60 ST
		Unit Cost $19.73						
		08/29/19	1,000	$20 45	$20,450.00		$19,117.90	$1,332 10 ST
		Unit Cost $19 12						
TARGA RES CORP COM	TRGP		7,200	$40.17	$289,224.00	$26,208 00	$340,983 12	($51,759.12)
Estimated Yield 9 06%	CASH							
Average Unit Cost	$47 36	03/14/17	4,000	$40 17	$160,680 00		$195,182 80	($34,502.80) LT
		Unit Cost $48 80						
		03/20/18	2,000	$40 17	$80,340 00		$87,838 40	($7,498 40) LT
		Unit Cost $43 92						
		02/19/19	1,200	$40 17	$48,204 00		$57,961.92	($9,757 92) ST
		Unit Cost $48 30						
WESTERN MIDSTREAM PARTNERS LP COM UNIT	WES		32,000	$24 89	$796,480 00	$79,104 00	$1,082,055 69 T	($285,575.69)
LP INT	CASH							
Estimated Yield 9.93%		09/15/15	1,600	$24 89	$39,824.00		$77,942 72 T	($38,118.72) LT
Average Unit Cost	$33 81	Unit Cost $48 71						
		12/01/15	2,000	$24 89	$49,780 00		$81,073 60 T	($31,293.60) LT
		Unit Cost $40 54						
		12/30/15	7,400	$24 89	$184,186 00		$250,919.94 T	($66,733 94) LT
		Unit Cost $33 91						
		01/20/16	1,000	$24 89	$24,890 00		$21,443 50 T	$3,446 50 LT
		Unit Cost $21 44						
		02/25/16	600	$24 89	$14,934.00		$15,299 28 T	($365 28) LT
		Unit Cost $25 50						
		07/12/16	3,900	$24 89	$97,071 00		$150,208 89	($53,137.89) LT
		Unit Cost $38 52						

Statement for the Period September 1, 2019 to September 30, 2019

AJA CHARITABLE FUND - Unincorporated Assn
Account Number: NBJ-038502 NB Account Number: 550-00170

NEUBERGER | BERMAN

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Date Acquired	Quantity	Price on 09/30/19	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
WESTERN MIDSTREAM PARTNERS LP COM UNIT	WES	***continued***						
		08/09/16 Unit Cost $36 54	1,500	$24.89	$37,335 00		$54,806 10	($17,471.10) LT
		02/23/17 Unit Cost $46 37	1,550	$24.89	$38,579.50		$71,867 15	($33,287.65) LT
		02/24/17 Unit Cost $45 76	1,450	$24.89	$36,090.50		$66,349 83	($30,259.33) LT
		11/29/17 Unit Cost $34.66	600	$24.89	$14,934.00		$20,797 74	($5,863 74) LT
		05/22/19 Unit Cost $30 77	4,000	$24 89	$99,560 00		$123,060.00	($23,500 00) ST
		08/09/19 Unit Cost $24 33	650	$24 89	$16,178 50		$15,816 71	$361 79 ST
		08/12/19 Unit Cost $24 34	1,750	$24 89	$43,557 50		$42,601.83	$955 67 ST
		08/15/19 Unit Cost $22 47	4,000	$24 89	$99,560 00		$89,868 40	$9,691 60 ST
WILLIAMS COMPANIES INC COM USD1.00	WMB		16,500	$24 06	$396,990 00	$25,080 00	$409,009 31	($12,019 31)
Estimated Yield 6 31%	CASH							
Average Unit Cost	$24 79	12/19/18 Unit Cost $22 94	3,600	$24.06	$86,616.00		$82,585 80	$4,030 20 ST
		01/08/19 Unit Cost $24 67	1,800	$24.06	$43,308.00		$44,405 82	($1,097 82) ST
		06/12/19 Unit Cost $27 20	3,000	$24 06	$72,180 00		$81,585 00	($9,405 00) ST
		06/25/19 Unit Cost $26 97	2,600	$24 06	$62,556 00		$70,116 54	($7,560 54) ST
		08/05/19 Unit Cost $24 17	3,000	$24 06	$72,180 00		$72,498 90	($318 90) ST
		08/15/19 Unit Cost $23 13	2,500	$24 06	$60,150 00		$57,817 25	$2,332 75 ST
Total Equity					$5,359,326.00	$402,576.00	$4,585,448.41	$773,877.59
Total Equities					$5,359,326.00	$402,576.00	$4,585,448.41	$773,877.59
Total Securities					$5,359,326.00	$402,576.00	$4,585,448.41	$773,877.59