

Form **990-PF**

OMB No 1545-0052

**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation****2018**Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning 9/01, 2018, and ending 8/31, 2019

THE SAMUEL B. & MARGARET C. MOSHER
FOUNDATION
P.O. BOX 1079
SANTA BARBARA, CA 93102

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 38,867,906.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

A Employer identification number 95-6037266
B Telephone number (see instructions) 805-682-8879
C If exemption application is pending, check here ☐
D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 12,285,433.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

See Statement 1

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other professional fees (attach sch)

17 Interest

18 Taxes (attach schedule)(see instrs)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 4

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

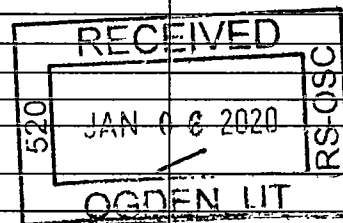
26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash — non-interest-bearing	5,009.	138,456.	138,456.
	2 Savings and temporary cash investments	1,018,612.	2,893,178.	2,893,178.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	33,026.	36,932.	36,932.
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	23,699,655.	20,889,552.	24,945,371.
	c Investments — corporate bonds (attach schedule)	8,426,465.	9,322,794.	9,803,056.
	Liabilities	11 Investments — land, buildings, and equipment — basis		
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)		1,109,908.	1,015,000.	1,015,000.
14 Land, buildings, and equipment — basis		93,654.		
Less: accumulated depreciation (attach schedule) See Stmt 5		70,589.		
15 Other assets (describe See Statement 6)		12,470.	12,848.	12,848.
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item i)		34,335,075.	34,331,825.	38,867,906.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, & other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe See Statement 7)		3,761.		
23 Total liabilities (add lines 17 through 22)	0.	3,761.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	34,335,075.	34,328,064.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	34,335,075.	34,328,064.		
31 Total liabilities and net assets/fund balances (see instructions)	34,335,075.	34,331,825.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	34,335,075.
2 Enter amount from Part I, line 27a	2	9,310.
3 Other increases not included in line 2 (itemize) See Statement 8	3	7,018.
4 Add lines 1, 2, and 3	4	34,351,403.
5 Decreases not included in line 2 (itemize) See Statement 9	5	23,339.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	34,328,064.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SCHEDULE 1		P	Various	Various
b SCHEDULE 2		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 2,718,032.		2,693,614.	24,418.
b 9,567,401.		8,822,923.	744,478.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			24,418.
b			744,478.
c			
d			
e			

2 Capital gain net income or (net capital loss).	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]		2	768,896.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	[If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8]		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	1,929,505.	38,736,138.	0.049811
2016	1,759,812.	36,955,179.	0.047620
2015	1,701,771.	35,458,750.	0.047993
2014	1,850,229.	37,872,807.	0.048854
2013	1,421,161.	37,612,581.	0.037784

2 Total of line 1, column (d)	2	0.232062
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.046412
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	37,031,685.
5 Multiply line 4 by line 3	5	1,718,715.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	18,400.
7 Add lines 5 and 6	7	1,737,115.
8 Enter qualifying distributions from Part XII, line 4	8	1,814,595.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

3.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	18,400.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	18,400.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	18,400.
6 Credits/Payments			
a 2018 estimated tax pmts and 2017 overpayment credited to 2018		6 a	36,932.
b Exempt foreign organizations – tax withheld at source		6 b	
c Tax paid with application for extension of time to file (Form 8868)		6 c	
d Backup withholding erroneously withheld		6 d	
7 Total credits and payments Add lines 6a through 6d		7	36,932.
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	18,532.
11 Enter the amount of line 10 to be Credited to 2019 estimated tax 18,532. Refunded		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered. See instructions ▶ CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X
14 The books are in care of <u>BURLEIGH SKIDMORE, CPA</u> Telephone no. <u>(805) 682-8879</u> Located at <u>3710 STATE STREET, SUITE D SANTA BARBARA CA</u> ZIP + 4 <u>93105</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15	N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If 'Yes,' list the years <u>20 __ , 20 __ , 20 __ , 20 __</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20 __ , 20 __ , 20 __ , 20 __</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year, did the foundation pay or incur any amount to		Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5 b	N/A
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If 'Yes' to 6b, file Form 8870.		6 b	X
7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7 b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDWARD BIRCH P.O. BOX 1079 SANTA BARBARA, CA 93102	President & C 15.00	162,205.	0.	0.
ROBERT EMMONS P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
ALIXE MATTINGLY P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.
R. BRUCE MCFADDEN P.O. BOX 1079 SANTA BARBARA, CA 93102	Director 2.00	6,800.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

Part IX-A Summary of Direct Charitable Activities

Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Amount

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	35,146,307.
b	Average of monthly cash balances	1 b	2,449,312.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	37,595,619.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	37,595,619.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	563,934.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	37,031,685.
6	Minimum investment return. Enter 5% of line 5	6	1,851,584.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,851,584.
2 a	Tax on investment income for 2018 from Part VI, line 5	2 a	18,400.
b	Income tax for 2018 (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	18,400.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,833,184.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,833,184.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,833,184.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,814,595.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,814,595.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	18,400.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,796,195.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,833,184.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014	12,701.			
c From 2015				
d From 2016				
e From 2017	24,886.			
f Total of lines 3a through e	37,587.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 1,814,595.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2018 distributable amount				1,814,595.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	18,589.			18,589.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	18,998.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	18,998.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017	18,998.			
e Excess from 2018				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 11				
Total			3 a	1,323,550.
b Approved for future payment				
Total			3 b	

2018

Federal Statements
THE SAMUEL B. & MARGARET C. MOSHER
FOUNDATION

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95-6037266

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
CAPITAL GAIN DISTRIBUTION	\$ 282,210.	\$ 282,210.	
K-1 INCOME TCP PINE, LLC	281,322.	281,322.	
OTHER INCOME	52,371.	52,371.	
Total	\$ 615,903.	\$ 615,903.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL, ACCTG. & OTHER PROF. FEES	\$ 84,009.	\$ 8,401.		\$ 75,608.
Total	\$ 84,009.	\$ 8,401.	\$ 0.	\$ 75,608.

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL EXCISE TAX	\$ 16,094.			
FOREIGN TAXES	11,821.	\$ 1,182.		\$ 10,639.
PAYROLL TAXES	24,163.	2,416.		21,747.
PROPERTY TAX	701.	70.		631.
TAX & LICENSE	616.	61.		555.
Total	\$ 53,395.	\$ 3,729.	\$ 0.	\$ 33,572.

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BOARD FEE	\$ 20,400.	\$ 2,040.		\$ 18,360.
BROKERAGE FEE	225,881.	225,881.		
DUES & SUBSCRIPTION	1,500.	150.		1,350.
INSURANCE	6,186.	619.		5,567.
MEALS & ENTERTAINMENT	5,385.	539.		4,846.
OFFICE EXPENSES	3,531.	353.		3,178.
OFFICE SUPPLIES	2,523.	252.		2,271.
PARKING	1,208.	121.		1,087.

Statement 4 (continued)
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
PAYROLL SERVICE FEE	\$ 544.	\$ 54.		\$ 490.
POSTAGE	561.	56.		505.
Rental Expenses	28,644.	2,864.		25,780.
TELEPHONE	2,076.	208.		1,868.
UTILITIES	4,002.	400.		3,602.
WEBSOTE DEVELOPMENT EXP	4,816.	482.		4,334.
Total	<u>\$ 307,257.</u>	<u>\$ 234,019.</u>	<u>\$ 0.</u>	<u>\$ 73,238.</u>

Statement 5
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Furniture and Fixtures	\$ 34,983.	\$ 31,684.	\$ 3,299.	\$ 3,299.
Machinery and Equipment	2,194.	2,194.	0.	0.
Improvements	56,477.	36,711.	19,766.	19,766.
Total	<u>\$ 93,654.</u>	<u>\$ 70,589.</u>	<u>\$ 23,065.</u>	<u>\$ 23,065.</u>

Statement 6
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
DEPOSIT - INSURANCE	\$ 1,128.	\$ 1,128.
FINE ART	11,720.	11,720.
Total	<u>\$ 12,848.</u>	<u>\$ 12,848.</u>

Statement 7
Form 990-PF, Part II, Line 22
Other Liabilities

PAYROLL TAXES PAYABLE	\$ 3,761.
Total	<u>\$ 3,761.</u>

Statement 8
Form 990-PF, Part III, Line 3
Other Increases

K-1 TCP PINE, LLC ADJ.

Total \$ 7,018.
 Total \$ 7,018.

Statement 9
Form 990-PF, Part III, Line 5
Other Decreases

TCP PINE K-1 ADJ
 UB ADJ

\$ 7,650.
 15,689.
 Total \$ 23,339.

Statement 10
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program:

Name:

MOSHER FOUNDATION

Care Of:

EDWARD BIRCH - PRESIDENT/CEO

Street Address:

P.O. BOX 1079

City, State, Zip Code:

SANTA BARBARA, CA 93102

Telephone:

(805) 962-1700

E-Mail Address:

Form and Content:

OUTLINE OF THE SPECIFIC PURPOSE AND AMOUNT OF GRANT
 APPLIED FOR.

Submission Deadlines:

APPLICATIONS ARE ACCEPTED ANY TIME DURING BUSINESS HOURS.

Restrictions on Awards:

GRANTS TO BE USED SPECIFICALLY FOR ORGANIZATIONS WHICH ARE
 DESCRIBED IN SECTIONS 170 (C) 501 (C) AND 2522 (A) OF THE
 INTERNAL REVENUE CODE AND WHICH ARE ORGANIZED AND OPERATED
 FOR CHARITABLE, EDUCATIONAL, HEALTH CARE, INCLUDING
 PERFORMING ARTS INSTITUTIONS.

Statement 11
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
ALL SAINTS BY -THE-SEA EPISCOPAL CHURCH 83 EUCALYPTUS LANE SANTA BARBARA CA 93108	NONE	EXEMPT	GENERAL SUPPORT AND SPONSOR FOR JIM BOWER COMMUNITY OUTREACH GOLF TOURNAMENT.	\$ 9,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
AAPLE ACADEMY P.O. BOX 30636 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 5,000.
AHA 1209 DE LA VINA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
ALZHEIMERS ASSOC. - CA CENTRAL CHAPTER 1528 CHAPALA ST SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
CALIFORNIA ACADEMY FOUNDATION 3463 STATE ST. #400 SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,500.
CALM 1236 CHAPALA ST. SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	50,000.
CAMA 2060 ALAMEDA PADRE SERRA #201 SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	66,000.
CAMP DRIVEN SPIRIT 199 VALPARAISO AVENUE COTATI CA 94931	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
CARE 4 PAWS P.O. BOX 60524 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
CASA DEL HERRERO FOUNDATION 1387 EAST VALLEY ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
CATALYST FOR CATS P.O. BOX 30331 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
CHANNEL CITY CLUB P.O. BOX 60602 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
CHEADLE CENTER FOR BIODIVERSITY C/O UC SANTA BARBARA SANTA BARBARA CA 93016	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
COTTAGE HEALTH CARE SYSTEM P.O. BOX 689 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 1,000.
DOS PUEBLOS ENGINEERING ACADEMY P.O. BOX 313 GOLETA CA 93116	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
ENSEMBLE THEATRE OF SANTA BARBARA P.O. BOX 2307 SANTA BARBARA CA 93120	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
EXPONENT PHILANTHROPY MEMBESHIP OFFICE - P.O. BOX 6507 WASHINTON DC 20035	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
FRIENDSHIP PADDLE 920 GARDEN ST. SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,000.
FOOD FROM THE HEART P.O. BOX 3908 SANTA BARBARA CA 93130	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
FOUNDATION ROUND TABLE P.O. BOX 60157 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
GANNA WALSKA LOTUSLAND 695 ASHLEY ROAD SANTA BARBARA CA 93106	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	7,500.
GIRLS, INC. - CARPINTERIA 5315 FOOTHILL ROAD CARPINTERIA CA 93013	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
GIRLS INC. OF GREATER SANTA BARBARA P.O. BOX 236 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	21,250.
GRANADA THEATRE 1214 STATE STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GRANT FOR PLAZA RENOVATION, CAT ACCESS AND LEGENDS GALA.	60,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
HEARTS THERAPEUTIC EQUESTRIAN CENTER 4420 CALLE REAL SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 500.
HILLSIDE HOUSE 1255 VERONICA SPRINGS ROAD SANTA BARBAERA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
INTERFAITH INITIATIVE OF SANTA BARBARA P.O. BOX 1135 GOLETA CA 93116	NONE	EXEMPT	GRANT - SHOWERS OF BLESSINGS.	1,000.
JEWISH FEDERATION OF SANTA BARBARA 524 CHAPALA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GRANT - SB JEWISH FILM FESTIVAL.	1,000.
KAVLI INSTITUTE FOR THEORETICAL PHYSICS C/O UC SANTA BARBARA SANTA BARBARA CA 93106	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
KCRW - SANTA BARBARA 612 ANACAPA ST. SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
KIDS HELPING KIDS FOUNDATION 4750 HOLLISTER AVE. SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	15,000.
LAGUNA BLANCA SCHOOL 4125 PALOMA DRIVE SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND EVENT SPONSORSHIP.	12,000.
LOBERO THEATRE FOUNDATION 33 EAST CANON PERDIDO ST. SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	26,000.
LOIS AND WALTER CAPPS PROJECT 228 COOPER ROAD SANTA BARBARA CA 93109	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
LOMPOC VALLEY COMMUNITY HEALTHCARE ORG P.O. BOX 368 LOMPOC CA 93438	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	20,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
MARJORIE LUKE THEATER 721 E. COTA ST. SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 2,500.
MARYMOUNT ACADEMY INC. 2130 MISSION RIDGE ROAD SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	6,000.
MOXI 125 STATE STREET SANTA BARBARA CA 93101	NONE	EXEMPT	SUPPORT FOR MOXI@NIGHT - COLOROSITY.	6,500.
MUSIC ACADEMY OF THE WEST 1070 FAIRWAY ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	100,000.
NATURE CONSERVANCY 4245 N. FAIRFAX DR. SUITE 100 ARLINGTON VA 22203	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
NEW BEGINNINGS COUNSELING CENTER 324 E. CARRILLO ST., SUITE C SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
OPERA SANTA BARBARA 1330 STATE ST., SUITE 209 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
ORGANIC SOUP KITCHEN 315 MEIGS ROAD SANTA BARBARA CA 93109	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
ORME SCHOOL HC 63 BOX ORME AZ 86333	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	5,000.
PARC FOUNDATION P.O. BOX 91742 SANTA BARBARA CA 93190	NONE	EXEMPT	GRANT - CABRILLO PAVILLION.	50,000.
PEABODY CHARTER SCHOOL FOUNDATION 3018 CALLE NOGUERA SANTA BARBARA CA 93106	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	21,000.
PHILANTHROPY ROUNDTABLE 1120 20TH STREET NW SUITE 550 WASHINGTON DC 20036	NONE	EXEMPT	GRANT FOR ANNUAL CONFERENCE.	7,500.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
PLANNED PARENTHOOD OF SB 518 GARDEN STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 750.
PROVIDENCE SCHOOL 3225 CALLE PINON SANTA BARBARA CA 93105	NONE	EXEMPT	GRANT IN HONOR OF DAVID & HELENE WINTER.	2,500.
SAN MARCOS HIGH SCHOOL 4750 HOLLISTER AVE SANTA BARBARA CA 93110	NONE	EXEMPT	GRANT FOR GIRLS LACROSSE PROGRAM AND ROYAL LIFE FITNESS PROGRAM.	3,500.
SANTA BARBARA ATHLETIC ROUNDTABLE P.O. BOX 3813 SANTA BARBARA CA 93130	NONE	EXEMPT	SPONSOR FOR WOMEN AND GIRLS IN SPORTS LUNCHEON 2019.	1,000.
SANTA BARBARA BOTANIC GARDENS 1212 MISSION CANYON ROAD SANTA BARBARA CA 93105	NONE	EXEMPT	GRANT FOR CREATIVE COMMUNITIES PROJECT.	5,000.
SANTA BARBARA CHANNELKEEPER 714 BOND AVE SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	10,000.
SANTA BARBARA CHORAL SOCIETY 1330 STATE STREET #202 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGAM SUPPORT.	1,000.
SANTA BARBARA COTTAGE HOSPITAL FOUNDATIO P.O. BOX 689 SANTA BARBARA CA 93102	NONE	EXEMPT	SPONSOR FOR TIARA BALL 2019.	6,000.
SANTA BARBARA EDUCATION FOUNDATION 1330 STATE STREET, SUITE 201 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND EVENT SPONSORSHIP.	3,000.
SANTA BARBARA FESTIVAL BALLET 1019 B CHAPALA ST SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SANTA BARBARA FOUNDATION 1111 CHAPALA ST., SUITE 200 SANTA BARBARA CA 93101	NONE	EXEMPT	GRANT - PARTNERSHIP FOR RESILIENT COMMUNITIES FUND.	\$ 21,550.
SANTA BARBARA MUSEUM OF ART 1130 STATE STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
SANTA BARBARA NEIGHBORHOOD CLINICS 923 N. MILPAS ST. SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	111,500.
SANTA BARBARA RESCUE MISSION 535 E. YANONALI SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,250.
SANTA BARBARA SYMPHONY 1330 STATE STREET, SUITE 102 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND GRANT HONORING BARRY BERKUS.	27,000.
SANTA BARBARA ZOO 500 NINOS DRIVE SANTA BARBARA CA 93103	NONE	EXEMPT	GRANT FOR EEEEWWW!EXHIBIT AND ZOOFARI BALL 2019 SPONSORSHIP.	56,250.
SANSUM DIABETES RESEARCH INSTITUTE 2219 BATH STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GRANT FOR ANNIVERSARY GALA.	5,000.
SARAH HOUSE P.O. BOX 20031 SANTA BARBARA CA 93120	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SBCC FOUNDATION 712 CLIFF DRIVE SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
SB COUNTY SEARCH & RESCUE P.O. BOX 6602 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SB COURTHOUSE LEGACY FOUNDATION 1105 SANTA BARBARA ST. 2ND FLR SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 10,000.
SB INTERNATIONAL ORCHID SHOW P.O. BOX 62154 SANTA BARBARA CA 93160	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
SBHS COMPUTER SCIENCE ACADEMY FOUNDATION 1530 CHAPALA ST., SUITE D SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT AND MATCHING GRANT - SB GIVES.	50,000.
SBIFF 1528 CHAPALA STREET #203 SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
SEE INTERNATIONAL 175 CREMONA DR. #100 SANTA BARBARA CA 93117	NONE	EXEMPT	GRANT - SB VISION CARE PROGRAM.	15,000.
SMHS ACQUATICS PROGRAM 4750 HOLLISTER AVE. SANTA BARBARA CA 93110	NONE	EXEMPT	GRANT - GIRLS WATER POLO PROGRAM.	2,000.
SMHS ROYAL PRIDE FOUNDATION 4750 HOLLISTER AVE. GOLETA CA 93110	NONE	EXEMPT	GALA PADDLE RAISE & GALA GOLD SPONSHORSHIP	15,000.
STATE STREET BALLET 2285 LAS POSITAS SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
STORYTELLER CHILDREN'S CENTER, INC. 2115 STATE STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,500.
SYV THERAPEUTIC RIDING PROGRAM P.O. BOX 256 SOLVANG CA 93464	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
TEDDY BEAR CANCER FOUNDATION 3892 STATE STREET, SUITE 220 SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	6,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
THE ARTS FUND 205-C SANTA BARBARA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 1,000.
THE KEY CLASS 1482 E. VALLEY ROAD #444 SANTA BARBARA CA 93108	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	6,000.
TRANSITION HOUSE 425 E. COTA STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
UC REGENTS C/O UC SANTA BARBARA SANTA BARBARA CA 93106	NONE	EXEMPT	GRANT FOR BASKETBALL PROGRAM AND SPONSORSHIP - ARTS & LECTURES.	2,000.
UCSB FOUNDATION C/O UC SANTA BARBARA SANTA BARBARA CA 93106	NONE	EXEMPT	GRANT FOR INSTITUTIONAL ADVANCEMENT & MARCIE KJOLLER MOST INSPIRATIONAL AWARD FUND.	1,500.
UNITED BOYS & GIRLS CLUB OF SB 5638 HOLLISTER AVE. #220 GOLETA CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
VEGGIE RESCUE P.O. BOX 1651 SANTA YNEZ CA 93460	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	15,000.
VISITING NURSE & HOSPICE FOUNDATION 509 E. MONTECITO ST., SUITE 200 SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
WESTMONT COLLEGE 955 LA PAZ ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	GRANT - MOSHER CENTER FOR MORAL AND ETHICAL LEADERSHIP.	146,000.
WESTMONT FOUNDATION 955 LA PAZ ROAD SANTA BARBARA CA 93108	NONE	EXEMPT	SPONSORSHIP - PRESIDENT'S BREAKFAST 2019.	3,500.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
WILDLING ART MUSEUM 1511-B MISSION DRIVE SOLVANG CA 93463	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 1,000.
WILMER EYE INSTITUTE 727 MAUMENEE BLDG BALTIMORE MD 21287	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	10,000.
BOY SCOUTS OF AMERICA 4000 MODOC ROAD SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
BOYS & GIRLS CLUB OF SANTA BARBARA 632 E. CANON PERDIDO ST. SANTA BARBARA CA 93103	NONE	EXEMPT	GRANT - SUMMER SPECTACULAR.	1,000.
CANCER CENTER OF SANTA BARBARA 601 W. JUNIPERO ST. SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,000.
DIRECT RELIEF FOUNDATION 217 S. LA PATERA LANE SANTA BARBARA CA 93117	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
FOODBANK OF SANTA BARBARA COUNTY 4554 HOLLISTER AVENUE SANTA BARBARA CA 93110	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	3,000.
HOSPICE OF SANTA BARBARA 2050 ALAMEDA PADRE SERRA, SUITE 100 SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
JDRF 811 WILSHIRE BLVD. #600 LOS ANGELES CA 90017	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
JR. WHEELCHAIR CAMP 2415 DE LA VINA STREET SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.
LEADING FROM WITHIN 33 EAST CANON PERDIDO STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.
MENTAL WELLNESS CENTER 617 GARDEN STREET SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	250.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SANTA BARBARA BOWL FOUNDATION 1122 N. MILPAS STREET SANTA BARBARA CA 93103	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	\$ 500.
SANTA BARBARA HISTORICAL MUSEUM 136 EAST DE LA GUERRA ST. SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	500.
SANTA BARBARA MUSEUM OF NATURAL HISTORY 2559 PUESTA DEL SOL ROAD SANTA BARBARA CA 93105	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	50,000.
SB ARTS COLLABORATIVE P.O. BOX 1414 SANTA BARBARA CA 93102	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	25,000.
THE FUND FOR SANTA BARBARA 26 W. ANAPAMU ST. SANTA BARBARA CA 93101	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	2,500.
UC REGENTS-EFP 4219 CHEADLE HALL UC SANTA BARBARA CA 93106	NONE	EXEMPT	GRANT FOR 2018 SB COUNTY COMMUNITY INDICATORS PROJECT.	5,000.
WOMEN'S ECONOMIC VENTURES 290 MAPLE COURT, SUITE 268 VENTURA CA 93003	NONE	EXEMPT	GENERAL PROGRAM SUPPORT.	1,000.

Total \$ 1,323,550.

SCHEDULE 1

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
18000. ABBOTT LABS SR NT 3.750% 11/30/26	08/09/2018	10/28/2018	18,546.01	17,888.76			657.25
85000. ABBVIE INC 3.200% 11/06/22	08/28/2018	11/29/2018	82,764.50	83,713.35			-948.85
417. AETNA INC	03/07/2018	11/29/2018	88,695.90	74,235.89			14,460.01
75000. BANK OF NY MELLON 2.600% 2/07/22	08/28/2018	07/08/2019	75,536.25	73,842.63	D	-385.38	1,308.24
40000 BK OF NOVA SCOTIA 2.700% 3/07/22	06/29/2018	04/22/2019	39,905.20	39,310.18	D	-194.18	400.84
1456. BRISTOL MYERS SQUIBB CO	08/10/2018	09/07/2018	88,805.67	88,123.17			682.50
37 CVS HEALTH CORP COM	11/29/2018	12/13/2018	28.37	29.86			-1.49
726. CVS HEALTH CORP COM	11/29/2018	01/02/2019	47,062.33	58,599.30			-11,536.97
85000. CELGENE CORP NT 3.250% 2/20/23	05/18/2018	04/29/2019	85,404.60	83,216.59	D	-441.04	1,746.97
9010.821 COLUMBIA SM CAP VAL II INST3 #6342	12/06/2018	03/21/2019	138,946.86	150,662.13			-11,715.27
50000. COMCAST CORP SR NT 3.375% 8/15/25	01/29/2018	10/03/2018	48,288.00	50,287.12			-1,999.12
115000 CONOPHILLIPS CO 4.950% 3/15/26	03/05/2019	03/19/2019	126,895.60	125,830.39			1,065.21
6304.85 DPA LARGE CAP INTERNATIONAL PORT #28	03/21/2019	08/13/2019	135,049.89	140,787.30			-5,737.41
1534.09 DELTA AIRLINES PT 20 3 625% 1/30/29	03/19/2019	07/30/2019	1,534.09	1,547.84			-13.75
664 ELECTRONIC ARTS INC COMMON	08/10/2018	09/24/2018	76,222.61	85,361.65			-9,139.04
1276 75 FPLMC G08823 3.500% 7/01/48	04/22/2019	05/15/2019	1,276.75	1,286.43			-9.68
1393.83 FPLMC G08823 3.500% 7/01/48	04/22/2019	06/15/2019	1,393.83	1,404.39			-10.56
1189.03 FPLMC G08823 3.500% 7/01/48	04/22/2019	07/15/2019	1,189.03	1,198.04			-9.01
2685.58 FPLMC G08823 3.500% 7/01/48	04/22/2019	08/15/2019	2,685.58	2,705.93			-20.35
1129.43 FNMA BM4913 3.000% 5/01/46	06/07/2019	07/25/2019	1,129.43	1,148.31			-18.88
1089 7 FNMA BM4913 3.000% 5/01/46	06/07/2019	08/25/2019	1,089.70	1,107.92			-18.22
2081.15 FNMA MA3489 3.500% 10/01/33	04/26/2019	06/25/2019	2,081.15	2,128.30			-47.15
1504.74 FNMA MA3489 3.500% 10/01/33	04/26/2019	07/25/2019	1,504.74	1,538.83			-34.09
2422.42 FNMA MA3489 3.500% 10/01/33	04/26/2019	08/25/2019	2,422.42	2,477.30			-54.88
381.61 FNMA MA3536 4.000% 12/01/48	03/25/2019	04/25/2019	381.61	392.79			-11.18
990.17 FNMA MA3536 4.000% 12/01/48	03/25/2019	05/25/2019	990.17	1,019.18			-29.01
1188.69 FNMA MA3536 4.000% 12/01/48	03/25/2019	06/25/2019	1,188.69	1,223.51			-34.82
1168 4 FNMA MA3536 4.000% 12/01/48	03/25/2019	07/25/2019	1,168.40	1,202.63			-34.23
1900 24 FNMA MA3536 4.000% 12/01/48	03/25/2019	08/25/2019	1,900.24	1,955.91			-55.67
784.38 FNMA MA2895 3.000% 2/01/47	04/15/2019	05/25/2019	784.38	777.09			7.29
930 67 FNMA MA2895 3.000% 2/01/47	04/15/2019	06/25/2019	930.67	922.02			8.65
827.74 FNMA MA2895 3.000% 2/01/47	04/15/2019	07/25/2019	827.74	820.04			7.70
832.78 FNMA MA2895 3.000% 2/01/47	04/15/2019	08/25/2019	832.78	825.04			7.74
Totals							

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DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

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SCHEDULE 2

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
20000	AT&T INC	5 450%	3/01/47					
450	AETNA INC		10/12/2018	19,491 40	19,887 80			-396 40
1014	AFFILIATED MANAGERS GROUP INC		02/08/2016	95,715 00	42,239 53			53,475 47
50000	AMERICAN INTL GROUP	2 300% - 7/16/19	11/30/2017	96,719 13	151,895 82			-55,176 69
75000	ANHEUSER-BUSCH INBEV	3 650% 2/01/26	06/29/2016	49,826 50	50,156 77			-330 27
370	APPLE INC COM		06/29/2016	71,844 00	79,320 90			-7,476 90
268	APPLE INC COM		05/05/2016	71,651 91	34,796 06			36,855 85
75000	BB&T CORP BDS	2 450% 1/15/20	06/21/2013	53,434 61	19,922 00			33,512 61
2113	BK NEW YORK MELLON CORP COM		07/12/2016	74,474 25	75,899 74			-1,425 49
460	BRISTOL MYERS SQUIBB CO		10/09/2014	110,539 52	81,059 33			29,480 19
2446	BRISTOL MYERS SQUIBB CO		01/03/2017	28,056 73	27,151 25			905 48
75000	BURLINGTON NO STA FE	3 450% 9/15/21	06/26/2017	112,065 32	137,318 46			-25,253 14
962	CELEGENE CORP		09/16/2016	75,286 50	78,171 30			-2,884 80
28360	06 COLUMBIA SM CAP VAL II INST3 H6342		08/02/2016	83,915 79	111,532 07			-27,616 28
75000	RABOBANK NEDERLAND	1 375% 8/09/19	12/06/2017	437,312 13	440,215 18			-2,903 05
9768	833 DELAWARE SM CAP CORE FD CL R6 # 488		08/02/2016	74,248 50	74,783 25			-534 75
2708	079 DODGE & COX INTL STOCK FD(CLSL)#1048		07/02/2018	215,500 46	256,529 55			-41,029 09
5599	43 DODGE & COX INTL STOCK FD(CLSL)#1048		01/06/2015	106,021 30	110,137 57			-4,116 27
50000	DOW CHEM CO NTS	4 375% 11/15/42	01/06/2015	219,945 60	227,728 82			-7,783 22
75000	ERP OVER LTD PRTN NT 4 625% 12/15/21		06/29/2016	43,700 50	51,275 34			-7,574 84
32894	737 EATON VANCE GLBL MACR ABSOL RET I 88		10/14/2016	78,505 50	79,933 57			-1,428 07
1982	EDISON INTL		06/10/2016	284,210 53	288,622 38			-4,411 85
80000	ENTERPRISE PRODS NT 3 350% 3/15/23		06/03/2014	142,930 36	111,529 52			31,400 84
837	44 FHLMC G07289	3 000% 11/01/42	03/05/2018	81,054 40	79,757 60			1,296 80
1850	95 FHLMC G07289	3 000% 11/01/42	06/30/2016	837 44	877 22			-39 78
832	5 FHLMC G07289	3 000% 11/01/42	06/30/2016	1,850 95	1,938 87			-87 92
886	27 FHLMC G07289	3 000% 11/01/42	06/30/2016	832 50	872 04			-39 54
1050	8 FHLMC G07289	3 000% 11/01/42	06/30/2016	886 27	928 37			-42 10
760	93 FHLMC G07289	3 000% 11/01/42	06/30/2016	1,050 80	1,100 71			-49 91
351	94 FHLMC G07289	3 000% 11/01/42	06/30/2016	760 93	797 07			-36 14
1157	77 FHLMC G07289	3 000% 11/01/42	06/30/2016	351 94	368 66			-16 72
898	17 FHLMC G07289	3 000% 11/01/42	06/30/2016	1,157 77	1,212 76			-54 99
1417	87 FHLMC G07289	3 000% 11/01/42	06/30/2016	898 17	940 83			-42 66
			06/15/2019	1,417 87	1,485 22			-67 35
Totals								

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
826.7 FGLMC G07289	3.000%	06/30/2016	07/15/2019	826.70	865.97			-39.27
1327.11 FGLMC G07289	3.000%	06/30/2016	08/15/2019	1,327.11	1,390.15			-63.04
1024.6 FGLMC G07924	3.500%	03/09/2017	09/15/2018	1,024.60	1,043.01			-18.41
956.02 FGLMC G07924	3.500%	03/09/2017	10/15/2018	956.02	973.20			-17.18
806.56 FGLMC G07924	3.500%	03/09/2017	11/15/2018	806.56	821.05			-14.49
696.48 FGLMC G07924	3.500%	03/09/2017	12/15/2018	696.48	708.99			-12.51
583.48 FGLMC G07924	3.500%	03/09/2017	12/31/2018	583.48	593.96			-10.48
543.71 FGLMC G07924	3.500%	03/09/2017	01/31/2019	543.71	553.48			-9.77
593.37 FGLMC G07924	3.500%	03/09/2017	03/15/2019	593.37	604.03			-10.66
804.75 FGLMC G07924	3.500%	03/09/2017	04/15/2019	804.75	819.21			-14.46
674.29 FGLMC G07924	3.500%	03/09/2017	05/15/2019	674.29	686.41			-12.12
905.37 FGLMC G07924	3.500%	03/09/2017	06/15/2019	905.37	921.64			-16.27
852.11 FGLMC G07924	3.500%	03/09/2017	07/15/2019	852.11	867.42			-15.31
857.01 FGLMC G07924	3.500%	03/09/2017	08/15/2019	857.01	872.41			-15.40
2012.79 FGLMC #J20463	2.500%	06/30/2016	09/15/2018	2,012.79	2,087.64			-74.85
1883.42 FGLMC #J20463	2.500%	06/30/2016	10/15/2018	1,883.42	1,953.46			-70.04
1425.99 FGLMC #J20463	2.500%	06/30/2016	11/15/2018	1,425.99	1,479.02			-53.03
2240.15 FGLMC #J20463	2.500%	06/30/2016	12/15/2018	2,240.15	2,323.46			-83.31
1827.2 FGLMC #J20463	2.500%	06/30/2016	12/31/2018	1,827.20	1,895.15			-67.95
2206.61 FGLMC #J20463	2.500%	06/30/2016	01/31/2019	2,206.61	2,288.67			-82.06
1686.17 FGLMC #J20463	2.500%	06/30/2016	03/15/2019	1,686.17	1,748.87			-62.70
2005.7 FGLMC #J20463	2.500%	06/30/2016	04/15/2019	2,005.70	2,080.29			-74.59
1472.54 FGLMC #J20463	2.500%	06/30/2016	05/15/2019	1,472.54	1,527.30			-54.76
2113.54 FGLMC #J20463	2.500%	06/30/2016	06/15/2019	2,113.54	2,192.14			-78.60
1535.4 FGLMC #J20463	2.500%	06/30/2016	07/15/2019	1,535.40	1,592.50			-57.10
1926.42 FGLMC #J20463	2.500%	06/30/2016	08/15/2019	1,926.42	1,998.06			-71.64
1955.19 FNMA #AL9867	3.000%	04/20/2017	09/25/2018	1,955.19	2,016.98			-61.79
2016.11 FNMA #AL9867	3.000%	04/20/2017	10/25/2018	2,016.11	2,079.82			-63.71
1334.62 FNMA #AL9867	3.000%	04/20/2017	11/25/2018	1,334.62	1,376.80			-42.18
1405.49 FNMA #AL9867	3.000%	04/20/2017	12/25/2018	1,405.49	1,449.91			-44.42
1407.17 FNMA #AL9867	3.000%	04/20/2017	12/31/2018	1,407.17	1,451.64			-44.47
1897.16 FNMA #AL9867	3.000%	04/20/2017	02/25/2019	1,897.16	1,957.11			-59.95
1527.28 FNMA #AL9867	3.000%	04/20/2017	03/25/2019	1,527.28	1,575.54			-48.26
Totals								

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
1903 04 FNMA #AL9867	3.000% 2/01/32	04/20/2017	04/25/2019	1,903.04	1,963.18			-60.14
2527 3 FNMA #AL9867	3.000% 2/01/32	04/20/2017	05/25/2019	2,527.30	2,607.17			-79.87
2445 51 FNMA #AL9867	3.000% 2/01/32	04/20/2017	06/25/2019	2,445.51	2,522.79			-77.28
2086 51 FNMA #AL9867	3.000% 2/01/32	04/20/2017	07/25/2019	2,086.51	2,152.45			-65.94
2051 66 FNMA #AL9867	3.000% 2/01/32	04/20/2017	08/25/2019	2,051.66	2,116.50			-64.84
769 73 FNMA #AS0214	3.500% 8/01/43	03/23/2017	09/25/2018	769.73	794.02			-24.29
1037 49 FNMA #AS0214	3.500% 8/01/43	03/23/2017	10/25/2018	1,037.49	1,070.24			-32.75
482 33 FNMA #AS0214	3.500% 8/01/43	03/23/2017	11/25/2018	482.33	497.55			-15.22
1285 97 FNMA #AS0214	3.500% 8/01/43	03/23/2017	12/25/2018	1,285.97	1,326.56			-40.59
853 38 FNMA #AS0214	3.500% 8/01/43	03/23/2017	12/31/2018	853.38	880.31			-26.93
507 26 FNMA #AS0214	3.500% 8/01/43	03/23/2017	02/25/2019	507.26	523.27			-16.01
981 88 FNMA #AS0214	3.500% 8/01/43	03/23/2017	03/25/2019	981.88	1,012.87			-30.99
531 48 FNMA #AS0214	3.500% 8/01/43	03/23/2017	04/25/2019	531.48	548.25			-16.77
1119 84 FNMA #AS0214	3.500% 8/01/43	03/23/2017	05/25/2019	1,119.84	1,155.18			-35.34
758 98 FNMA #AS0214	3.500% 8/01/43	03/23/2017	06/25/2019	758.98	782.94			-23.96
814 67 FNMA #AS0214	3.500% 8/01/43	03/23/2017	07/25/2019	814.67	840.38			-25.71
854 12 FNMA #AS0214	3.500% 8/01/43	03/23/2017	08/25/2019	854.12	881.08			-26.96
1268 65 FNMA AB7769	3.000% 2/01/43	09/20/2016	09/25/2018	1,268.65	1,325.14			-56.49
234 91 FNMA AB7769	3.000% 2/01/43	09/20/2016	10/25/2018	234.91	245.37			-10.46
1553 83 FNMA AB7769	3.000% 2/01/43	09/20/2016	11/25/2018	1,553.83	1,623.02			-69.19
946 81 FNMA AB7769	3.000% 2/01/43	09/20/2016	12/25/2018	946.81	988.97			-42.16
557 85 FNMA AB7769	3.000% 2/01/43	09/20/2016	12/31/2018	557.85	582.69			-24.84
242 56 FNMA AB7769	3.000% 2/01/43	09/20/2016	02/25/2019	242.56	253.36			-10.80
536 86 FNMA AB7769	3.000% 2/01/43	09/20/2016	03/25/2019	536.86	560.77			-23.91
238 3 FNMA AB7769	3.000% 2/01/43	09/20/2016	04/25/2019	238.30	248.91			-10.61
310 38 FNMA AB7769	3.000% 2/01/43	09/20/2016	05/25/2019	310.38	324.20			-13.82
289 48 FNMA AB7769	3.000% 2/01/43	09/20/2016	06/25/2019	289.48	302.37			-12.89
228 7 FNMA AB7769	3.000% 2/01/43	09/20/2016	07/25/2019	228.70	238.88			-10.18
289 44 FNMA AB7769	3.000% 2/01/43	09/20/2016	08/25/2019	289.44	302.33			-12.89
1088 06 GNMA #MA0825	2.500% 3/20/28	06/30/2016	09/20/2018	1,088.06	1,129.54			-41.48
889 24 GNMA #MA0825	2.500% 3/20/28	06/30/2016	10/20/2018	889.24	923.14			-33.90
1073 7 GNMA #MA0825	2.500% 3/20/28	06/30/2016	11/20/2018	1,073.70	1,114.63			-40.93
1023 08 GNMA #MA0825	2.500% 3/20/28	06/30/2016	12/20/2018	1,023.08	1,062.09			-39.01
Totals								

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail		Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
1166 71 GNMA #MA0825	2.500% 3/20/28	06/30/2016	12/31/2018	1,166.71	1,211.19			-44.48
923 47 GNMA #MA0825	2.500% 3/20/28	06/30/2016	02/20/2019	923.47	958.68			-35.21
1008 36 GNMA #MA0825	2.500% 3/20/28	06/30/2016	03/20/2019	1,008.36	1,046.80			-38.44
1124.22 GNMA #MA0825	2.500% 3/20/28	06/30/2016	04/20/2019	1,124.22	1,167.08			-42.86
965 89 GNMA #MA0825	2.500% 3/20/28	06/30/2016	05/20/2019	965.89	1,002.71			-36.82
1085.14 GNMA #MA0825	2.500% 3/20/28	06/30/2016	06/20/2019	1,085.14	1,126.51			-41.37
1078.36 GNMA #MA0825	2.500% 3/20/28	06/30/2016	07/20/2019	1,078.36	1,119.47			-41.11
995.09 GNMA #MA0825	2.500% 3/20/28	06/30/2016	08/20/2019	995.09	1,033.03			-37.94
4 GARRETT MOTION INC		08/07/2017	10/12/2018	7.03	5.85			1.18
131. GARRETT MOTION INC		08/07/2017	11/20/2018	1,534.56	1,915.97			-381.41
100000. GE CO NT	4.125% 10/09/42	03/21/2017	04/10/2019	86,677.00	102,325.68			-15,648.68
90000. GEORGIA PAC CORP	8.000% 1/15/24	03/21/2017	04/26/2019	109,656.00	109,535.75			120.25
1458 GILEAD SCIENCES INC		10/25/2017	01/02/2019	89,993.85	120,836.72			-30,842.87
929 GILEAD SCIENCES INC		06/26/2017	08/14/2019	58,726.44	66,140.15			-7,413.71
45000. HALLIBURTON CO BDS	3.800% 11/15/25	02/22/2018	04/15/2019	45,842.85	45,068.88			773.97
5900 756 HARTFORD SCHRODR MKTS EQ CL Y #1842		12/08/2016	05/29/2019	85,560.96	72,326.55			13,234.41
10535 473 HARTFORD SCHRODRS EMRG MKT FDH3150		12/08/2016	08/13/2019	156,662.48	128,973.12			27,689.36
35000 HEWLETT PACKARD CO NT4 375% 9/15/21		08/03/2016	02/11/2019	36,173.55	36,476.29			-302.74
664 HOLOGIC INC		04/12/2017	09/07/2018	25,499.86	28,250.47			-2,750.61
1558. HOLOGIC INC		08/02/2016	09/24/2018	63,471.63	60,848.62			2,623.01
4520.35 HAROT 2017-4 A3	2.050% 11/22/21	02/07/2018	05/21/2019	4,520.35	4,495.45			24.90
6988 91 HAROT 2017-4 A3	2.050% 11/22/21	02/07/2018	06/21/2019	6,988.91	6,950.42			38.49
6422 3 HAROT 2017-4 A3	2.050% 11/22/21	02/07/2018	07/21/2019	6,422.30	6,386.93			35.37
6725 93 HAROT 2017-4 A3	2.050% 11/22/21	02/07/2018	08/21/2019	6,725.93	6,688.88			37.05
2082.62 HART 2016-B A3	1.290% 4/15/21	09/14/2016	09/15/2018	2,082.62	2,082.34			0.28
6704 2 HART 2016-B A3	1.290% 4/15/21	09/14/2016	10/15/2018	6,704.20	6,703.30			0.90
6768 29 HART 2016-B A3	1.290% 4/15/21	09/14/2016	11/15/2018	6,768.29	6,767.38			0.91
6351 55 HART 2016-B A3	1.290% 4/15/21	09/14/2016	12/15/2018	6,351.55	6,350.69			0.86
6192 17 HART 2016-B A3	1.290% 4/15/21	09/14/2016	01/15/2019	6,192.17	6,191.34			0.83
6380 74 HART 2016-B A3	1.290% 4/15/21	09/14/2016	02/15/2019	6,380.74	6,379.88			0.86
5985.46 HART 2016-B A3	1.290% 4/15/21	09/14/2016	03/15/2019	5,985.46	5,984.65			0.81
6334 42 HART 2016-B A3	1.290% 4/15/21	09/14/2016	04/15/2019	6,334.42	6,333.57			0.85
5813 1 HART 2016-B A3	1.290% 4/15/21	09/14/2016	05/15/2019	5,813.10	5,812.32			0.78
Totals								

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

Capital Gain/Loss Detail	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Adjustment Code(s)	Amount of Adjustment	Gain or Loss
5733 43 HART 2016-B A3 1 290% 4/15/21	09/14/2016	06/15/2019	5,733.43	5,732.66			0.77
5580 88 HART 2016-B A3 1 290% 4/15/21	09/14/2016	07/15/2019	5,580.88	5,580.13			0.75
5498 54 HART 2016-B A3 1 290% 4/15/21	09/14/2016	08/15/2019	5,498.54	5,497.80			0.74
939 INTERCONTINENTAL EXCHANGE INC	01/06/2015	09/24/2018	73,203.65	41,435.63			31,768.02
115000 JPMORGAN CHASE NTS 3 200% 1/25/23	03/21/2017	01/08/2019	113,036.95	117,179.03			-4,142.08
30000 JOHNSON & JOHNSON 3 625% 3/03/37	03/28/2017	12/10/2018	28,834.80	29,772.00			-937.20
65000 JOHNSON & JOHNSON 3 625% 3/03/37	03/28/2017	12/18/2018	62,444.85	64,506.00			-2,061.15
1843 137 MFS INTERNATIONAL GROWTH R6 # 4805	04/17/2017	11/07/2018	60,270.58	52,538.63			7,731.95
7089 992 MFS INTERNATIONAL GROWTH R6 # 4805	06/17/2015	05/29/2019	232,693.54	198,133.73			34,559.81
6642 362 MFS INTERNATIONAL GROWTH R6 # 4805	06/17/2015	08/13/2019	224,644.68	185,624.47			39,020.21
50. MASTERCARD INC-A	06/17/2015	09/07/2018	10,573.98	4,719.07			5,854.91
75000 MCKESSON CORP NT 2 284% 3/15/19	08/03/2016	11/13/2018	74,852.25	75,226.21			-373.96
492 MERCK & CO COM COM	12/11/2015	11/27/2018	37,402.19	26,017.40			11,384.79
75000 MIDAMERICAN ENERGY 3 750% 11/15/23	09/16/2016	03/06/2019	76,943.25	79,433.40			-2,490.15
8309 326 NATIONWIDE WCM SM CAP CORE FD# 6077	12/17/2015	09/04/2018	349,157.88	272,107.31			77,050.57
92865 37 NATIONWIDE BAILLARD INTL EQ I #6071	05/15/2017	11/14/2018	708,098.46	720,867.19			-12,768.73
80830 391 NATIONWIDE BAILLARD INTL EQ I #6071	01/07/2016	03/21/2019	618,352.49	590,870.16			27,482.33
84197 878 NATIONWIDE BAILLARD INTL EQ I #6071	01/07/2016	05/29/2019	620,538.36	615,486.49			5,051.87
1217 OCCIDENTAL PETE CORP	09/02/2015	05/06/2019	71,617.10	85,833.58			-14,216.48
4061 OUTFRONT MEDIA INC COM REIT	02/02/2016	09/24/2018	79,270.88	86,831.84			-7,560.96
1319 PRAXAIR INC	06/20/2017	10/31/2018	215,597.15	178,095.61			37,501.54
853.721 PRIMECAP ODYSSEY AGGR GR (CLSD)#1651	06/26/2007	11/07/2018	40,056.59	12,788.74			27,267.85
6612.432 PRIMECAP ODYSSEY AGGR GR (CLSD)#1651	12/18/2007	02/21/2019	293,062.98	96,823.85			196,239.13
219. RESIDEO TECHNOLOGIES INCCOM	08/07/2017	11/20/2018	4,260.58	5,433.06			-1,172.48
1110 ROCKWELL COLLINS	11/04/2015	11/28/2018	156,554.40	91,155.86			65,398.54
593 615 T ROWE PRICE NEW HORIZONS FD #532	10/09/2014	11/07/2018	36,982.22	26,523.48			10,458.74
9590.785 T ROWE PRICE NEW HORIZONS FD #532	12/15/2016	02/21/2019	537,755.33	420,199.89			117,555.44
75000. ROYAL BANK OF CANADA 1.500% 7/29/19	08/03/2016	02/12/2019	74,580.00	74,980.59			-400.59
646 STARBUCKS CORP	01/03/2017	09/24/2018	36,780.82	35,707.52			1,073.30
1802 STARBUCKS CORP	01/03/2017	01/02/2019	114,686.78	99,605.19			15,081.59
11829 991 TIAA-CREF MID-CAP VALUE INSTL #1603	12/08/2017	02/21/2019	235,416.83	272,474.59			-37,057.76
659 TJX COS INC NEW	12/11/2015	09/07/2018	72,561.93	46,656.67			25,905.26
1563 6762 TERRAPIN OFFSHORE FD OF FDS SLV LTD	10/01/2014	12/26/2018	1,166.85	1,563.68			-396.83
Totals							

JSA
XG980 3 000

JSA
XG900 3 000