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Form **990-PF**Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

SEP 1, 2018

, and ending

AUG 31, 2019

Name of foundation

A Employer identification number

ALAN JAY AND SUE KAUFMAN FOUNDATION

38-2770140

Number and street (or P O box number if mail is not delivered to street address)

30833 NORTHWESTERN HIGHWAY

Room/suite

200

B Telephone number

248-626-5000

City or town, state or province, country, and ZIP or foreign postal code

FARMINGTON HILLS, MI 48334

C If exemption application is pending check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization.

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒

Cash

☐

Accrual

(from Part II, col. (c), line 16)

☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

\$ 2,059,871.

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

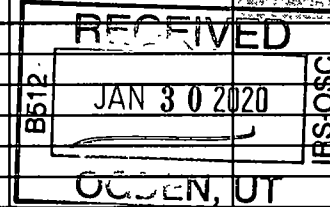
(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received	20.		N/A	
2	Check ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	45,539.	45,539.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	252,800.			
b	Gross sales price for all assets on line 6a	395,055.			
7	Capital gain net income (from Part IV line 2)		252,800.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	137.	137.	0.	STATEMENT 2
12	Total. Add lines 1 through 11	298,496.	298,476.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees				
17	Interest				
18	Taxes STMT 3	11,926.	240.		20.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 4	240.	0.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	12,166.	240.		20.
25	Contributions, gifts, grants paid	399,381.			399,381.
26	Total expenses and disbursements. Add lines 24 and 25	411,547.	240.		399,401.
27	Subtract line 26 from line 12.				
a	Excess of revenue over expenses and disbursements	-113,051.			
b	Net investment income (if negative, enter -0-)		298,236.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	75,158.	54,528.	54,528.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	900,538.	808,118.	2,005,343.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		975,696.	862,646.	2,059,871.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	975,696.	862,646.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	975,696.	862,646.		
31 Total liabilities and net assets/fund balances	975,696.	862,646.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	975,696.
2 Enter amount from Part I, line 27a	2	-113,051.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	272.
4 Add lines 1, 2, and 3	4	862,917.
5 Decreases not included in line 2 (itemize) ▶ NON-DIVIDEND DISTRIBUTION	5	271.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	862,646.

Form 990-PF (2018)

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e	395,055.	142,255.	252,800.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			252,800.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } 2 252,800.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	325,988.	2,222,219.	.146695
2016	316,162.	1,492,294.	.211863
2015	104,639.	1,269,517.	.082424
2014	1,805,829.	2,508,957.	.719753
2013	69,853.	1,230,374.	.056774

2 Total of line 1, column (d)

2 1.217509

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years

3 .243502

4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5

4 2,029,871.

5 Multiply line 4 by line 3

5 494,278.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 2,982.

7 Add lines 5 and 6

7 497,260.

8 Enter qualifying distributions from Part XII, line 4

8 399,401.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1 5,965.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2 0.
3	Add lines 1 and 2	3 5,965.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4 0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5 5,965.
6	Credits/Payments:	
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 6,900.
b	Exempt foreign organizations - tax withheld at source	6b 0.
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.
d	Backup withholding erroneously withheld	6d 0.
7	Total credits and payments. Add lines 6a through 6d	7 6,900.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8 0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 935.
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax ☐ Refunded ☐	11 0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☐ <u>MI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ ALAN JAY KAUFMAN Telephone no. ▶ 248-626-5000 Located at ▶ 30833 NORTHWESTERN #200, FARMINGTON HILLS, MI ZIP+4 ▶ 48334		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here N/A ▶	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

Form 990-PF (2018)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALAN JAY KAUFMAN	DIRECTOR/PRESIDENT			
30833 NORTHWESTERN HWY, SUITE 200				
FARMINGTON HILLS, MI 48334	0.10	0.	0.	0.
SUE KAUFMAN	DIRECTOR/SECRETARY			
30833 NORTHWESTERN HWY, SUITE 200				
FARMINGTON HILLS, MI 48334	0.10	0.	0.	0.
EMILY RUTH KAUFMAN	DIRECTOR/TREASURER			
30833 NORTHWESTERN HWY, SUITE 200				
FARMINGTON HILLS, MI 48334	0.10	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2018)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
	0.

Total. Add lines 1 through 3

Form 990-PF (2018)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,001,703.
b	Average of monthly cash balances	1b	59,080.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,060,783.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,060,783.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,912.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,029,871.
6	Minimum investment return. Enter 5% of line 5	6	101,494.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	101,494.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	5,965.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,965.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	95,529.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	95,529.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	95,529.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	399,401.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	399,401.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	399,401.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				95,529.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2018.				
a From 2013				
b From 2014				
c From 2015	2,630.			
d From 2016	245,693.			
e From 2017	221,743.			
f Total of lines 3a through e	470,066.			
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ 399,401.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				95,529.
e Remaining amount distributed out of corpus	303,872.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	773,938.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	773,938.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015	2,630.			
c Excess from 2016	245,693.			
d Excess from 2017	221,743.			
e Excess from 2018	303,872.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☒ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

(1) Value of all assets

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter.

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 7

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines?**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MICHIGAN STATE UNIVERSITY 220 TROWBRIDGE ROAD EAST LANSING, MI 48824	N/A	PC	GENERAL PURPOSE GRANT	101,100.
BNAI BRITH INTERNATIONAL 1120 20TH STREET NW, SUITE 300N WASHINGTON, DC 20036	N/A	PC	GENERAL PURPOSE GRANT	113.
CRANBROOK SCHOOLS 39221 WOODWARD AVENUE BLOOMFIELD HILLS, MI 48304	N/A	PC	GENERAL PURPOSE GRANT	208.
DETROIT INSTITUTE OF ARTS 5200 WOODWARD AVENUE DETROIT, MI 48202	N/A	PC	GENERAL PURPOSE GRANT	26,600.
JEWISH FEDERATION OF METRO DETROIT 6375 TELEGRAPH ROAD BLOOMFIELD HILLS, MI 48301	N/A	PC	GENERAL PURPOSE GRANT	230,285.
Total	SEE CONTINUATION SHEET(S)			399,381.
b Approved for future payment				
NONE				
Total				0.

ALAN JAY AND SUE KAUFMAN FOUNDATION

38-2770140

PAGE 1 OF 2

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LONG TERM CAPITAL GAIN DIVIDENDS	P	VARIOUS	08/31/19
b	500SH BANK AMERICA CORP	D	VARIOUS	08/06/19
c	40SH RED HAT	D	04/13/16	07/10/19
d	65SH CONSOLIDATED EDISON	D	VARIOUS	03/13/19
e	100SH MERCK & COMPANY	D	VARIOUS	03/13/19
f	200SH XCEL ENERGY	D	VARIOUS	03/13/19
g	.496SH WABTEC	D	03/01/19	03/01/19
h	345SH AMGEN	D	02/02/98	01/23/19
i	14SH RESIDEO TECHS	D	VARIOUS	01/15/19
j	8SH GARRETT MOTION	D	VARIOUS	01/15/19
k	310SH CONAGRA BRANDS	D	VARIOUS	01/15/19
l	240SH COMMERCIAL METALS	D	03/06/15	01/15/19
m	49SH CVS HEALTH	D	11/29/18	01/15/19
n	.4302SH CVS HEALTH	D	11/29/18	11/29/18
o	100SH MICRON TECHNOLOGY	D	01/14/15	01/15/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,412.			8,412.
b 13,885.		7,348.	6,537.
c 7,600.		2,957.	4,643.
d 5,527.		2,985.	2,542.
e 8,144.		4,319.	3,825.
f 11,294.		5,558.	5,736.
g 35.		38.	-3.
h 69,993.		273.	69,720.
i 291.		256.	35.
j 107.		92.	15.
k 6,521.		8,012.	-1,491.
l 3,793.		3,537.	256.
m 3,153.		3,955.	-802.
n 35.		35.	0.
o 3,437.		3,011.	426.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8,412.
b			6,537.
c			4,643.
d			2,542.
e			3,825.
f			5,736.
g			-3.
h			69,720.
i			35.
j			15.
k			-1,491.
l			256.
m			-802.
n			0.
o			426.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

ALAN JAY AND SUE KAUFMAN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	35SH TESLA	D	11/07/13	12/07/18
b	59SH AETNA	D	VARIOUS	11/29/18
c	410SH ISHS CORE S&P SMCP ETF SMALL CAP	D	VARIOUS	11/08/18
d	6.306SH COLUMBIA SLG COM I	D	12/11/17	11/08/18
e	475SH ISH MSCI EAFE INDX	D	VARIOUS	11/08/18
f	.167 RESIDEO TECH	D	04/01/14	11/01/18
g	500SH ISHS MSCI EAFE INDX EFT	D	09/06/13	10/16/18
h	176SH JPMORGAN CHASE	D	VARIOUS	10/16/18
i	500SH AMGEN	D	02/02/98	10/16/18
j	55SH AMGEN	D	02/02/98	09/06/18
k	.5SH GARRETT MOTION	D	04/01/14	10/04/18
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,066.		5,043.	8,023.
b 12,545.		6,052.	6,493.
c 33,309.		23,497.	9,812.
d 500.		490.	10.
e 30,453.		28,302.	2,151.
f 4.		3.	1.
g 32,380.		30,640.	1,740.
h 19,052.		5,408.	13,644.
i 100,755.		395.	100,360.
j 10,756.		44.	10,712.
k 8.		5.	3.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8,023.
b			6,493.
c			9,812.
d			10.
e			2,151.
f			1.
g			1,740.
h			13,644.
i			100,360.
j			10,712.
k			3.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	252,800.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}		3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH FERNDAL 1725 PINECREST DRIVE FERNDAL, MI 48220	N/A	PC	GENERAL PURPOSE GRANT	100.
JEWISH HOSPICE & CHAPLAINCY 6555 W. MAPLE ROAD WEST BLOOMFIELD, MI 48322	N/A	PC	GENERAL PURPOSE GRANT	1,180.
NOTRE DAME LAW SCHOOL 1329 BIOLCHINI HALL NOTRE DAME, IN 46556	N/A	PC	GENERAL PURPOSE GRANT	1,500.
SHRINERS HOSPITAL FOR CHILDREN 2900 N. ROCKY POINT DRIVE TAMPA, FL 33607	N/A	PC	GENERAL PURPOSE GRANT	50.
YAD EZRA 2850 W. ELEVEN MILE ROAD BERKLEY, MI 48072	N/A	PC	GENERAL PURPOSE GRANT	297.
JEWISH COMMUNITY CENTER OF METRO DETROIT 6600 WEST MAPLE WEST BLOOMFIELD, MI 48322	N/A	PC	GENERAL PURPOSE GRANT	3,556.
HADASSAH - GREATER DETROIT CHAPTER 5030 ORCHARD LAKE ROAD WEST BLOOMFIELD, MI 48323	N/A	PC	GENERAL PURPOSE GRANT	100.
FRIENDS OF THE ISRAEL DEFENSE FORCES 8177 GLADES ROAD BOCA RATON, FL 33434	N/A	PC	GENERAL PURPOSE GRANT	860.
UNIVERSITY OF MICHIGAN 500 SOUTH STATE STREET ANN ARBOR, MI 48109	N/A	PC	GENERAL PURPOSE GRANT	8,815.
FORGOTTEN HARVEST 21800 GREENFIELD ROAD OAK PARK, MI 48237	N/A	PC	GENERAL PURPOSE GRANT	100.
Total from continuation sheets				41,075.

ALAN JAY AND SUE KAUFMAN FOUNDATION

38-2770140

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ADAT SHALOM SYNAGOGUE 29901 MIDDLEBELT ROAD FARMINGTON HILLS, MI 48334	N/A	PC	GENERAL PURPOSE GRANT	5,831.
HILLEL DAY SCHOOL 32200 MIDDLEBELT ROAD FARMINGTON HILLS, MI 48334	N/A	PC	GENERAL PURPOSE GRANT	3,600.
FARBER HEBREW DAY SCHOOL 21100 WEST 12 MILE ROAD SOUTHFIELD, MI 48076	N/A	PC	GENERAL PURPOSE GRANT	1,000.
HEBREW FREE LOAN 6735 TELEGRAPH ROAD #300 BLOOMFIELD HILLS, MI 48301	N/A	PC	GENERAL PURPOSE GRANT	2,000.
WORLD JEWISH CONGRESS 501 MADISON AVENUE NEW YORK, NY 10020	N/A	PC	GENERAL PURPOSE GRANT	154.
DETROIT ZOOLOGICAL SOCIETY 8450 WEST TEN MILE ROAD ROYAL OAK, MI 48067	N/A	PC	GENERAL PURPOSE GRANT	2,500.
AMERICAN HEART ASSOCIATION AMERICAN CENTER, 2777 FRANKLIN ROAD #1150 SOUTHFIELD, MI 48034	N/A	PC	GENERAL PURPOSE GRANT	100.
UNIVERSITY OF DETROIT MERCY 4001 WEST MCNICHOLS ROAD DETROIT, MI 48221	N/A	PC	GENERAL PURPOSE GRANT	250.
SUSAN G. KOMEN RACE FOR THE CURE 100 GALLERIA OFFICENTRE SOUTHFIELD, MI 48034	N/A	PC	GENERAL PURPOSE GRANT	100.
JEWISH SENIOR LIFE 6710 WEST MAPLE ROAD WEST BLOOMFIELD, MI 48322	N/A	PC	GENERAL PURPOSE GRANT	100.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
JEWISH WOMEN'S INTERNATIONAL 1129 20TH STREET NW, SUITE 801 WASHINGTON, DC 20036	N/A	PC	GENERAL PURPOSE GRANT	144.
NATIONAL YIDDISH BOOK CENTER 1021 WEST STREET AMHERST, MA 01002	N/A	PC	GENERAL PURPOSE GRANT	234.
RINGLING MUSEUM OF ART 5401 BAY SHORE ROAD SARASOTA, FL 34243	N/A	PC	GENERAL PURPOSE GRANT	135.
NATIONAL COUNCIL OF JEWISH WOMEN 26400 LAHSER ROAD #306 SOUTHFIELD, MI 48033	N/A	PC	GENERAL PURPOSE GRANT	100.
JEWISH FAMILY SERVICE 6555 WEST MAPLE ROAD WEST BLOOMFIELD, MI 48322	N/A	PC	GENERAL PURPOSE GRANT	1,168.
CENTER FOR CREATIVE STUDIES 201 EAST KIRBY STREET DETROIT, MI 48202	N/A	PC	GENERAL PURPOSE GRANT	550.
SHAAREY ZEDEK SYNAGOGUE 27375 BELL ROAD SOUTHFIELD, MI 48034	N/A	PC	GENERAL PURPOSE GRANT	72.
TAMARACK CAMPS 6735 TELEGRAPH ROAD #380 BLOOMFIELD HILLS, MI 48301	N/A	PC	GENERAL PURPOSE GRANT	500.
ORT 6735 TELEGRAPH ROAD #350 BLOOMFIELD HILLS, MI 48301	N/A	PC	GENERAL PURPOSE GRANT	450.
VARIETY CLUB FOR KIDS 600 SOUTH ADAMS ROAD #230 BIRMINGHAM, MI 48009	N/A	PC	GENERAL PURPOSE GRANT	100.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
FRANKEL JEWISH ACADEMY 6600 WEST MAPLE ROAD WEST BLOOMFIELD, MI 48322	N/A	PC	GENERAL PURPOSE GRANT	1,000.
DETROIT SYMPHONY ORCHESTRA 3711 WOODWARD AVENUE DETROIT, MI 48201	N/A	PC	GENERAL PURPOSE GRANT	3,250.
JEWISH FEDERATION OF PITTSBURGH 2000 TECHNOLOGY DRIVE PITTSBURGH, PA 15219	N/A	PC	GENERAL PURPOSE GRANT	180.
1441 WOODWARD COLLECTIVE 1441 WOODWARD AVENUE DETROIT, MI 48226	N/A	PC	GENERAL PURPOSE GRANT	63.
BIRTHRIGHT OF ISRAEL P.O. BOX 21615 NEW YORK, NY 10087	N/A	PC	GENERAL PURPOSE GRANT	100.
UNIVERSITY OF MICHIGAN HEALTH SERVICE MED DEVELOPMENT 1000 OAKBROOK DRIVE, SUITE 100 ANN ARBOR, MI 48104-6815	N/A	PC	GENERAL PURPOSE GRANT	100.
JUVENILE DIABETES RESEARCH FUND 24359 NORTHWESTERN HWY, #125 SOUTHFIELD, MI 48075	N/A	PC	GENERAL PURPOSE GRANT	600.
ROCKET GIVING FUND ATTN: BIRDIES FOR CHARITY 1 WOODWARD AVENUE, #1402 DETROIT, MI 48226	N/A	PC	GENERAL PURPOSE GRANT	36.
MIDNIGHT GOLF PROGRAM 30100 TELEGRAPH ROAD, #404 BINGHAM FARMS, MI 48025	N/A	PC	GENERAL PURPOSE GRANT	100.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BAIRD & CO - DIVIDENDS	41,900.	0.	41,900.	41,900.	
BAIRD & CO - INTEREST	275.	0.	275.	275.	
IBM DIVIDENDS	3,364.	0.	3,364.	3,364.	
TO PART I, LINE 4	45,539.	0.	45,539.	45,539.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS	137.	137.	
TOTAL TO FORM 990-PF, PART I, LINE 11	137.	137.	

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2017 990PF EXCISE TAX DUE	4,766.	0.		0.
FOREIGN TAX WITHHELD	240.	240.		0.
MICHIGAN ANNUAL REPORT	20.	0.		20.
2018 990PF ESTIMATED TAX	6,900.	0.		0.
TO FORM 990-PF, PG 1, LN 18	11,926.	240.		20.

FORM 990-PF	OTHER EXPENSES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NON-DEDUCTIBLE EXPENSES	240.	0.		0.
TO FORM 990-PF, PG 1, LN 23	240.	0.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 5
DESCRIPTION		AMOUNT
CORRECTION OF ASSET BALANCE OF WABTEC		272.
TOTAL TO FORM 990-PF, PART III, LINE 3		272.

FORM 990-PF	CORPORATE STOCK	STATEMENT 6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BAIRD & CO INVESTMENTS	781,088.	1,931,356.
IBM	27,030.	73,987.
TOTAL TO FORM 990-PF, PART II, LINE 10B	808,118.	2,005,343.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT 7
NAME OF MANAGER		
ALAN JAY KAUFMAN		
SUE KAUFMAN		