

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning **SEP 1, 2018**, and ending **AUG 31, 2019**

Name of foundation
JEROME J. AND DOROTHY H. HOLZ FAMILY FOUNDATION C/O DONALD TUSHAUS

Number and street (or P O box number if mail is not delivered to street address) Room/suite
10400 INNOVATION DRIVE 110

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 53226

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation **04**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 16,977,947.**
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)

A Employer identification number
36-7368506

B Telephone number
414-774-1031

C If exemption application is pending, check here ☐ **6**

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	588,095.	588,095.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	58,582.			
	b Gross sales price for all assets on line 6a	7,430,630.			
	7 Capital gain net income (from Part IV, line 2)		58,582.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	646,677.	646,677.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	4,200.	0.	0.	4,200.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2 9,750.	0.	0.	9,750.
	c Other professional fees	STMT 3 99,930.	99,930.	0.	0.
	17 Interest				
	18 Taxes	STMT 4 20,669.	0.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,386.	0.	0.	1,386.
	22 Printing and publications				
23 Other expenses	STMT 5 1,020.	0.	0.	1,020.	
24 Total operating and administrative expenses Add lines 13 through 23	136,955.	105,012.	0.	16,356.	
25 Contributions, gifts, grants paid	750,733.			750,733.	
26 Total expenses and disbursements Add lines 24 and 25	887,688.	105,012.	0.	767,089.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-241,011.				
b Net investment income (if negative, enter -0-)		541,665.			
c Adjusted net income (if negative, enter -0-)			0.		

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,737,753.	660,113.	660,113.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	11,808,468.	15,032,018.	15,032,018.
	c Investments - corporate bonds STMT 8	1,658,936.	926,971.	926,971.
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	351,630.	358,845.	358,845.
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	16,556,787.	16,977,947.	16,977,947.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26, and lines 30 and 31			
	24 Unrestricted	16,556,787.	16,977,947.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	16,556,787.	16,977,947.		
31 Total liabilities and net assets/fund balances	16,556,787.	16,977,947.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,556,787.
2 Enter amount from Part I, line 27a	2	-241,011.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	662,171.
4 Add lines 1, 2, and 3	4	16,977,947.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,977,947.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a TD AMERITRADE SHORT TERM (SEE ATTACHED)		P		
b TD AMERITRADE LONG TERM (SEE ATTACHED)		P		
c SECURITIES SETTLEMENT (SEE ATTACHED)		P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 5,947,077.		5,940,778.	6,299.
b 1,482,764.		1,431,270.	51,494.
c 789.			789.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			6,299.
b			51,494.
c			789.
d			
e			

2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	58,582.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			{ }	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	544,295.	15,564,178.	.034971
2016	597,791.	14,758,212.	.040506
2015	774,661.	13,391,490.	.057847
2014	703,499.	13,563,155.	.051868
2013	575,235.	12,626,052.	.045559

2 Total of line 1, column (d)	2	.230751
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.046150
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	16,436,817.
5 Multiply line 4 by line 3	5	758,559.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,417.
7 Add lines 5 and 6	7	763,976.
8 Enter qualifying distributions from Part XII, line 4	8	767,089.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

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 FOUNDATION C/O DONALD TUSHAUS**

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	5,417.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	5,417.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	5,417.
6 Credits/Payments:			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a 17,000.		
b Exempt foreign organizations - tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d 0.		
7 Total credits and payments Add lines 6a through 6d		7	17,000.
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	11,583.
11 Enter the amount of line 10 to be Credited to 2019 estimated tax 6,000. Refunded		11	5,583.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <u>WI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.HOLZFAMILYFOUNDATION.COM</u>	X	
14 The books are in care of ► <u>DONALD TUSHAUS</u> Telephone no ► <u>414-774-1031</u> Located at ► <u>10400 INNOVATION DRIVE, SUITE 110, MILWAUKEE, WI</u> ZIP+4 ► <u>53226</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE	TRUSTEE			
10400 INNOVATION DRIVE, STE 110				
MILWAUKEE, WI 53226	2.00	4,200.	0.	1,386.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	15,727,215.
b	Average of monthly cash balances	1b	959,909.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	16,687,124.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,687,124.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	250,307.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,436,817.
6	Minimum investment return. Enter 5% of line 5	6	821,841.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	821,841.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	5,417.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,417.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	816,424.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	816,424.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	816,424.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	767,089.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	767,089.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,417.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	761,672.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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FOUNDATION C/O DONALD TUSHAUS**

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				816,424.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			748,769.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ 767,089.				
a Applied to 2017, but not more than line 2a			748,769.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				18,320.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				798,104.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

2018.04030 JEROME J. AND DOROTHY H. HO 5465NEW1

**JEROME J. AND DOROTHY H. HOLZ FAMILY
FOUNDATION C/O DONALD TUSHAUS**

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE ATTACHED 10400 INNOVATION DRIVE MILWAUKEE, WI 53226		PUBLIC	SEE SCHEDULE	750,733.
Total			▶ 3a	750,733.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below? See instructions. ☒ Yes ☐ No	
	 Signature of officer or trustee		Date <u>10-25-19</u>		Title TREASURER	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	
	JEROME F SEITZ		JEROME F SEITZ		10/16/19	
	Check ☐ if self-employed		PTIN			
Firm's name ▶ TUSHAUS & ASSOCIATES LLC					Firm's EIN ▶ 46-1588286	
Firm's address ▶ 10400 W INNOVATION DR STE 110 MILWAUKEE, WI 53226					Phone no. 414-774-1031	

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BOND AMORTIZATION TD	-5,162.	0.	-5,162.	-5,162.	-5,162.
AMERITRADE-DIVIDEN S TD	493,801.	0.	493,801.	493,801.	493,801.
AMERITRADE-INTERES	99,456.	0.	99,456.	99,456.	99,456.
TO PART I, LINE 4	588,095.	0.	588,095.	588,095.	588,095.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	9,750.	0.	0.	9,750.
TO FORM 990-PF, PG 1, LN 16B	9,750.	0.	0.	9,750.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT BROKER FEES	99,930.	99,930.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	99,930.	99,930.	0.	0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAXES (REFUNDS)	15,587.	0.	0.	0.	
FOREIGN TAXES ON DIVIDENDS	5,082.	5,082.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	20,669.	5,082.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	238.	0.	0.	238.	
INSURANCE	782.	0.	0.	782.	
TO FORM 990-PF, PG 1, LN 23	1,020.	0.	0.	1,020.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
DESCRIPTION	AMOUNT		
INCREASE IN MARKET VALUE OF INVESTMENTS	662,171.		
TOTAL TO FORM 990-PF, PART III, LINE 3	662,171.		

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE STOCK (SEE ATTACHED SCHEDULE)	15,032,018.	15,032,018.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	15,032,018.	15,032,018.		

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS (SEE ATTACHED SCHEDULE)	926,971.	926,971.
TOTAL TO FORM 990-PF, PART II, LINE 10C	926,971.	926,971.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS (SEE ATTACHED SCHEDULE)	FMV	358,845.	358,845.
TOTAL TO FORM 990-PF, PART II, LINE 13		358,845.	358,845.

JEROME J. & DOROTHY H. HOLZ FAMILY FOUNDATION
EIN 36-7368508

FORM 990-PF

For the fiscal year ended 8/31/19

GAIN / (LOSS) ON SALE OR REDEMPTION-TD AMERITRADE-SHORT TERM

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE REDEEMED</u>	<u>SHARES</u>	<u>PROCEEDS</u>	<u>BASIS</u>	<u>GAIN / (LOSS)</u>
US Treasury Bill	8/15/18	09/13/18	410,000	410,000.00	409,421.90	578.10
Nasdaq Inc	5/24/18	10/19/18	2,950	236,782.75	272,834.29	(36,051.54)
US Treasury Bill	9/12/18	10/11/18	415,000	415,000.00	414,398.25	601.75
Maxin Integrated Prods, Inc	1/25/18	10/30/18	300	14,553.92	17,070.18	(2,516.26)
US Treasury Bill	10/10/18	11/08/18	415,000	415,000.00	414,344.30	655.70
US Treasury Bill	10/24/18	11/15/18	405,000	405,000.00	404,534.25	465.75
US Treasury Bill	11/7/18	12/06/18	405,000	405,000.00	404,339.85	660.15
US Treasury Bill	11/14/18	12/11/18	405,000	405,000.00	404,388.45	611.55
US Treasury Bill	11/7/18	01/17/19	395,000	395,000.00	394,123.10	876.90
US Treasury Bill 1.25%	11/14/18	01/31/19	410,000	410,000.00	410,000.00	-
US Treasury Bill	1/16/19	02/07/19	395,000	395,000.00	394,482.55	517.45
US Treasury Bill	1/30/19	02/21/19	395,000	395,000.00	394,482.55	517.45
US Treasury Bill	2/6/19	03/14/19	405,000	405,000.00	404,121.15	878.85
US Treasury Bill	2/20/19	04/09/19	410,000	410,000.00	408,786.40	1,213.60
International Business Machine	3/6/19	6/24/19	1,500	208,603.35	206,479.95	2,123.40
Exxon Mobil Corporation	10/31/18	08/24/19	3,800	291,947.89	304,644.86	(12,696.97)
Illinois Tool Works, Inc	10/31/18	08/08/19	2,200	330,188.92	282,325.78	47,863.14
Total short term loss				<u>5,947,076.83</u>	<u>5,940,777.81</u>	<u>6,299.02</u>

GAIN / (LOSS) ON SALE OR REDEMPTION-TD AMERITRADE-LONG TERM

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE REDEEMED</u>	<u>SHARES</u>	<u>PROCEEDS</u>	<u>BASIS</u>	<u>GAIN / (LOSS)</u>
Garrett Motion, Inc-Fractional shares	12/12/16	10/04/18		8.18	6.30	1.88
Garrett Motion, Inc	12/12/16	10/19/18	207	3,133.92	2,609.38	524.54
Maxin Integrated Prods, Inc	5/24/17	10/30/18	5,375	260,757.64	251,995.05	8,762.59
Resideo Technologies	12/12/16	11/01/18	345	7,520.69	7,008.79	511.90
General Electric Co Sub Perpetual Vē	1/20/16	12/26/18	308,000	237,622.00	308,000.00	(70,378.00)
JP Morgan Chase & Co	5/13/14	03/01/19	12,500	312,500.00	319,563.25	(7,063.25)
Amgen Inc	1/20/17	05/08/19	1,525	269,149.58	247,606.04	21,543.54
Public Storage	1/11/17	07/09/19	1,200	296,320.65	263,324.04	32,996.61
Microsoft Corp	7/25/14	07/09/19	700	95,751.01	31,156.93	64,594.08
Total long term gain				<u>1,482,763.67</u>	<u>1,431,269.78</u>	<u>51,493.89</u>

GAIN / (LOSS) ON SALE OR REDEMPTION-SECURITIES LITIGATION

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE REDEEMED</u>	<u>SHARES</u>	<u>PROCEEDS</u>	<u>BASIS</u>	<u>GAIN / (LOSS)</u>
Lehman Brothers Equity/Debt Securities Litigation		08/29/18		362.69	-	362.69
Wachovia Preferred Securities		09/28/18		32.83	-	32.83
Amgen Inc		07/12/19		393.34	-	393.34
Total gain from securities litigation				<u>788.86</u>	<u>-</u>	<u>788.86</u>

JEROME J. & DOROTHY H. HOLZ FAMILY FOUNDATION
EIN 36-7368506

FORM 990-PF

For the fiscal year ended 8/31/19

Part VIII, Line 1, Officers, Directors, Trustees, etc.

<u>Name</u>	<u>Title</u>	<u>Compensation</u>	<u>Employee Benefit Plan Contributions</u>	<u>Expense Account</u>
Jerome J Weis 141 N Water Street Unit 43 Milwaukee WI 53202	Trustee President	\$ 600	\$ -	\$ 1,386
Barbara Holz Weis S75 W13361 Courtland Ln Muskego, WI 53150	Trustee Vice President	600	-	-
Judith Holz Stathas W136 S7646 Fairfield Dr Muskego, WI 53150	Trustee Secretary	600	-	-
Traci S Weis S75 W13361 Courtland Ln Muskego, WI 53150	Trustee Executive Vice President	600	-	-
David Weis S75 W13361 Courtland Ln Muskego, WI 53150	Trustee	600	-	-
William Stathas W136 S7646 Fairfield Dr Muskego, WI 53150	Trustee	600	-	-
Donald H Tushaus 1209 E Sweetbriar Ln Hartland, WI 53029	Trustee Treasurer	600	-	-

Part XV, Line 2d, Restrictions

Grants are made only to operating nonprofit organizations exempt from Federal taxation under §501 (c)(3) or §170 (c) of the Internal Revenue Code.

The foundation will operate without discrimination towards age, race, religion, sex or national origin.

Priority will be given to projects and programs benefiting the Greater Milwaukee Area.

Priority will be given to quality programs supporting excellence in basic education.

Grants are not made to a private individual.

Scholarship grants are awarded to educational institutions which then have the responsibility to select individual recipients.

Grants are generally awarded for a one-year period only.

Grants are not generally made for religious purposes.

Grants are not made to labor or political organizations.

JEROME J. & DOROTHY H. HOLZ FAMILY FOUNDATION
EIN 36-7368506

FORM 990-PF

For the fiscal year ended 8/31/19

Part XV, line 3a, Grants and Contributions Paid During the Year - Public Foundations:

<u>Recipient</u>	<u>Relationship to Manager or Contributor</u>	<u>Foundation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
AIDS Resource Center of Wisconsin 820 N Plankinton Avenue Milwaukee WI 53203	N/A	public	To be allocated toward patient care at Milwaukee-based PrEP Clinics	\$ 5,000
America SCORES Milwaukee 7101 W Good Hope Road Milwaukee WI 53223	N/A	public	To be allocated towards Junior SCORES program	1,000
Big Brothers Big Sisters of Metro Mllw Inc 788 N Jefferson Street Suite 600 Milwaukee WI 53202	N/A	public	To support mentoring program	2,500
Catholic Memorial High School of Waukesha 601 E College Avenue Waukesha WI 53186	N/A	public	Provide tuition assistance to students in the Waukesha and Milwaukee County areas	5,000
Children's Dyslexia Center - Milwaukee 3000 W Wisconsin Avenue PO Box 2018 Milwaukee WI 53201-2018	N/A	public	One-on-one tutoring program	40,000
Discovery World Ltd 500 Harbor Drive Milwaukee, WI 53202	N/A	public	To be allocated toward STEM Education Programming	5,000
Evans Scholars Foundation One Briar Road Golf IL 60029	N/A	public	Support scholarship program of caddies from Milwaukee and Waukesha counties	10,000
The Foundation of the Wisconsin Automobile & Truck Dealers Association Inc 150 E Gilman Street Level A Madison WI 53703	N/A	public	Offset the cost of professional support service team serving 19 ASE high schools and tech colleges in Milwaukee and Waukesha counties	30,000
Friends of Boerner Botanical Gardens Inc 9400 Boerner Drive Hales Corners WI 53130	N/A	public	Support Plant Hope, Planet Hope and Adopt- A-Class programs	15,000
Hope Center, Inc 502 N East Avenue Waukesha, WI 53186	N/A	public	To be allocated to Clothing Shop, Outreach Meals and Project Move programs	4,500
Hope Cam, Inc. 12100 Sunset Hills Road C-10 Reston, VA 20190	N/A	public	To be allocated to "Give Hope, Get Connected - Milwaukee" Project	5,000
Hunger Task Force 201 S Hawley Court Milwaukee WI 53214	N/A	public	Summer meal program	10,000

JEROME J. & DOROTHY H. HOLZ FAMILY FOUNDATION
EIN 36-7368506

FORM 990-PF

For the fiscal year ended 8/31/19

Part XV, line 3a, Grants and Contributions Paid During the Year - Public Foundations:

<u>Recipient</u>	<u>Relationship to Manager or Contributor</u>	<u>Foundation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Jewish Family Services Inc 1300 N Jackson Street Milwaukee WI 53202	N/A	public	For the purchase of backpacks with school supplies for the Back to School Fair at Bradley Crossing Housing Community	500
Milwaukee Area Technical College Foundation 700 W State Street S214 Milwaukee, WI 53233	N/A	public	To be allocated to the MATC RevUp Program	50,000
Milwaukee Habitat for Humanity 3726 N Booth Street Milwaukee WI 53212	N/A	public	For Safe, Affordable Housing for Low-to-Moderate Income Families	10,000
Milwaukee Homeless Veterans Initiative Inc 6300 W National Avenue West Allis WI 53214	N/A	public	To be allocated to Back to School community events	3,500
Milwaukee Area Technical College Foundation-PBS 1036 N 8th Street Milwaukee, WI 53233	N/A	public	Program underwriting for Antiques Roadshow and Daniel Tiger's Neighborhood	40,000
Milwaukee Public Museum 800 W Wells Street Milwaukee WI 53233	N/A	public	Education programs	50,000
Rawhide E7475 Rawhide Road New London, WI 54691	N/A	public	Towards offsetting fees associated with maintaining six home for youth	5,000
SaintA Inc 8901 W Capitol Drive Milwaukee WI 53222	N/A	public	For Youth Transitioning to Adulthood Program	500
United Community Center 1028 S 9th Street Milwaukee, WI 53204	N/A	public	For Acosta Middle School software and technology equipment development	1,000
Victory Garden Initiative 249 W Concordia Avenue Milwaukee WI 53212	N/A	public	For Youth Education Program (YEPI)	3,000
Village of Hales Corners 5635 S New Berlin Road Hales Corners WI 53130	N/A	public	Assist in funding the village's annual 4th of July celebration	15,000
Village of Hales Corners Police Department 5635 S New Berlin Road Hales Corners WI 53130	N/A	public	Allocated to Crowd Control Equipment Program	5,120

JEROME J. & DOROTHY H. HOLZ FAMILY FOUNDATION
EIN 36-7368506

FORM 990-PF

For the fiscal year ended 8/31/19

Part XV, line 3a, Grants and Contributions Paid During the Year - Public Foundations:

<u>Recipient</u>	<u>Relationship to Manager or Foundation Contributor</u>	<u>Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Village of Hales Corners Fire Department 10000 W Forest Home Avenue Hales Corners WI 53130	N/A	public	Purchase Fire Dex Personal Protective Gear	13,113
WI Educational Youth Development Program 11350 W Theodore Trecker Way West Allis, WI 53214	N/A	public	First Tee of Southeast Wisconsin	1,000
Wisconsin Hero Outdoors Fund N20 W29957 Glen Cove Road Pewaukee, WI 53072	N/A	public	Towards purchase of fishing kayaks adapted for upper extremity disabilities	15,000
Zachariah's Acres Inc 16575 Patricia Lane Brookfield WI 53005	N/A	public	Towards Seed to Sale Program	15,000
Zoological Society of Milwaukee County 10005 W Blue Mound Road Milwaukee WI 53226	N/A	public	For the Animal Ambassador Continuum	40,000
Zoological Society of Milwaukee County 10005 W Blue Mound Road Milwaukee WI 53226	N/A	public	Capital campaign for renovation, improvement and innovation	<u>350,000</u>
Total Grants and Contributions Paid During the Year-Public Foundations				<u>\$ 750,733</u>