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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 09-01-2018, and ending 08-31-2019

Name of foundation
INDIRA FOUNDATION

A Employer identification number
13-4051213

Number and street (or P O box number if mail is not delivered to street address)
150 PORCHUCK RD

Room/suite

B Telephone number (see instructions)
(203) 327-3112

City or town, state or province, country, and ZIP or foreign postal code
GREENWICH, CT 06831

C If exemption application is pending, check here

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

D 1. Foreign organizations, check here

D 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

H Check type of organization
☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust
☐ Other taxable private foundation

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 11,274,234

J Accounting method
☒ Cash
☐ Accrual
☐ Other (specify)

Part I Analysis of Revenue and Expenses

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc , received (attach schedule)

2 Check ☐ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2018)

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.) | | | |
|-----------------------------|--|---|---|------------|-----------|
| | | Beginning of year (a) Book Value | End of year (b) Book Value (c) Fair Market Value | | |
| Assets | 1 | Cash—non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 385,158 | 1,231,506 | 1,231,506 |
| | 3 | Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 4 | Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | 0 | 219,088 | 224,210 |
| | b | Investments—corporate stock (attach schedule) | 4,992,049 | 4,593,158 | 8,169,206 |
| | c | Investments—corporate bonds (attach schedule) | 2,345,606 | 1,613,985 | 1,649,312 |
| | 11 | Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 | Other assets (describe ▶ _____) | 125,000 | 0 | 0 | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 7,847,813 | 7,657,737 | 11,274,234 | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ _____) | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 | Capital stock, trust principal, or current funds | 9,441,722 | 9,441,722 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | 0 | 0 | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | -1,593,909 | -1,783,985 | |
| 30 | Total net assets or fund balances (see instructions) | 7,847,813 | 7,657,737 | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) . | 7,847,813 | 7,657,737 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 7,847,813 |
| 2 | Enter amount from Part I, line 27a | 2 | 189,349 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ | 3 | 280 |
| 4 | Add lines 1, 2, and 3 | 4 | 8,037,442 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ | 5 | 379,705 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . | 6 | 7,657,737 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|---|-------------------------------------|
| 1a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------------|---|--|---|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|---|----------|---------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 834,780 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2017 | 2,114,677 | 11,512,450 | 0 183686 |
| 2016 | 1,061,157 | 12,044,408 | 0 088104 |
| 2015 | 616,529 | 11,736,913 | 0 052529 |
| 2014 | 455,794 | 11,137,741 | 0 040923 |
| 2013 | 397,077 | 9,281,187 | 0 042783 |

| | | |
|---|----------|------------|
| 2 Total of line 1, column (d) | 2 | 0 408025 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 081605 |
| 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | 4 | 10,873,701 |
| 5 Multiply line 4 by line 3 | 5 | 887,348 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 10,417 |
| 7 Add lines 5 and 6 | 7 | 897,765 |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,443,536 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|---|-----------|--------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 10,417 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 10,417 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 10,417 |
| 6 | Credits/Payments | | |
| a | 2018 estimated tax payments and 2017 overpayment credited to 2018 | 6a | 5,625 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 25,000 |
| d | Backup withholding erroneously withheld | 6d | 0 |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 30,625 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | 0 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 20,208 |
| 11 | Enter the amount of line 10 to be Credited to 2019 estimated tax <input type="checkbox"/> 20,208 Refunded <input type="checkbox"/> | 11 | 0 |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----------|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> | 1b | No |
| c Did the foundation file Form 1120-POL for this year? | 1c | No |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0 | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0 | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i> | 2 | No |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | 3 | No |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | No |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i> | 5 | No |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> | 7 | Yes |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> . | 8b | Yes |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> | 9 | No |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> | 10 | No |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|-----------|--|-----------|------------|-----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A | 13 | Yes | |
| 14 | The books are in care of ▶ INDIRA FOUNDATION Telephone no ▶ (212) 440-0800 | | | |

Located at **▶** 150 PORCHUCK ROAD GREENWICH CT ZIP+4 **▶** 06831


| | | | | |
|-----------|---|-----------|------------|-----------|
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/> | | | |
| | and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | | |
| 16 | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | | | |
|-----------|---|-----------|------------|-----------|
| 1a | During the year did the foundation (either directly or indirectly) | | Yes | No |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. <input type="checkbox"/> | 1b | | No |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? <input type="checkbox"/> | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | 4b | | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)


| | | | |
|-----------|---|---|-----------|
| | | Yes | No |
| 5a | During the year did the foundation pay or incur any amount to | | |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) | Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. | | 5b |
| | Organizations relying on a current notice regarding disaster assistance check here.  | <input type="checkbox"/> | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | If "Yes," attach the statement required by Regulations section 53.4945–5(d) | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 6b |
| | If "Yes" to 6b, file Form 8870 | | No |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 7b |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation. See instructions

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| AVI NASH 150 PORCHUCK ROAD GREENWICH, CT 06831 | TRUSTEE 0 00 | 0 | 0 | 0 |
| SANDRA NASH 150 PORCHUCK ROAD GREENWICH, CT 06831 | TRUSTEE 0 00 | 0 | 0 | 0 |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000.  0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".


| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. ► | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|---|----------|
| 1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL REVENUE CODE SECTION 501(C)(3) | 0 |
| 2 | |
| | |
| | |
| 3 | |
| | |
| | |
| 4 | |
| | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 N/A | 0 |
| 2 N/A | 0 |
| All other program-related investments. See instructions. | |
| 3  | 0 |
| Total. Add lines 1 through 3 ► | 0 |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities. | 1a | 10,071,405 |
| b | Average of monthly cash balances. | 1b | 967,885 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 11,039,290 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 11,039,290 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 165,589 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. | 5 | 10,873,701 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 543,685 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|--|-----------|---------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 543,685 |
| 2a | Tax on investment income for 2018 from Part VI, line 5. | 2a | 10,417 |
| b | Income tax for 2018 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 10,417 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 533,268 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 533,268 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 533,268 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|-----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 1,443,536 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. | 4 | 1,443,536 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 10,417 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 1,433,119 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | 533,268 |
| 2 Undistributed income, if any, as of the end of 2018 | | | | |
| a Enter amount for 2017 only. | | | 0 | |
| b Total for prior years 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2018 | | | | |
| a From 2013. | | | | |
| b From 2014. | | | | |
| c From 2015. | | | | |
| d From 2016. | | | | 205,930 |
| e From 2017. | | | | 1,549,676 |
| f Total of lines 3a through e. | 1,755,606 | | | |
| 4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 1,443,536 | | | | |
| a Applied to 2017, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2018 distributable amount. | | | | 533,268 |
| e Remaining amount distributed out of corpus | 910,268 | | | |
| 5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0 | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 2,665,874 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). | 0 | | | |
| 9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a | 2,665,874 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2014. | | | | |
| b Excess from 2015. | | | | |
| c Excess from 2016. | | | | 205,930 |
| d Excess from 2017. | | | | 1,549,676 |
| e Excess from 2018. | | | | 910,268 |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

| | | | | | |
|--|----------|---------------|----------|----------|-----------|
| 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶ | | | | | |
| b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) | | | | | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | Tax year | Prior 3 years | | | (e) Total |
| | (a) 2018 | (b) 2017 | (c) 2016 | (d) 2015 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . | | | | | |
| c "Support" alternative test—enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

| | |
|---|--|
| 1 Information Regarding Foundation Managers: | |
| a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) AVI NASH | |
| b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest | |
| 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: | |
| Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions. | |
| a The name, address, and telephone number or email address of the person to whom applications should be addressed | |
| b The form in which applications should be submitted and information and materials they should include | |
| c Any submission deadlines | |
| d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors | |

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | ▶ 3a | |
| b <i>Approved for future payment</i> | | | | |
| Total | | | ▶ 3b | |

Enter gross amounts unless otherwise indicated

| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | (e) (See instructions) |
|---|----------------------|---------------|-----------------------|---------------|----------------------------|
| 1 Program service revenue | | | | | |
| a _____ | | | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| f _____ | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 20,083 | |
| 4 Dividends and interest from securities. . . . | | | 14 | 264,917 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 2,193 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a TAX REFUND 990-PF 8/31/18 _____ | | 7,359 | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | 7,359 | | 287,193 | 0 |
| 13 Total. Add line 12, columns (b), (d), and (e). | | | | | 294,552 |

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | |
|---|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash. | 1a(1) | | No |
| (2) Other assets. | 1a(2) | | No |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization. | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization. | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets. | 1b(3) | | No |
| (4) Reimbursement arrangements. | 1b(4) | | No |
| (5) Loans or loan guarantees. | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations. | 1b(6) | | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|-------------|---------------------|---|--|
| | | | |
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2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

| | | | | |
|------------------|---------------------------------|------------|-------|---|
| Sign Here | ***** | 2020-05-13 | ***** | May the IRS discuss this return with the preparer shown below? (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| | Signature of officer or trustee | Date | Title | |

| | | | | | |
|-------------------------------|----------------------------|---|------|---|-------------------------|
| Paid Preparer Use Only | Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed <input type="checkbox"/> | PTIN |
| | FRAN ZEISS | | | | P00045025 |
| | Firm's name ▶ RSM US LLP | Firm's address ▶ 200 ELM STREET SUITE 200 STAMFORD, CT 06902 | | | Firm's EIN ▶ 42-0714325 |
| | | | | | Phone no (203) 327-3112 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---------------------------------|---|---|-------------------------------------|
| 1 | 50,000 SHS ROPER INDUSTRIES INC | P | | 2018-10-01 |
| 1 | 50,000 SHS AT&T INC | P | | 2018-11-27 |
| | 50,000 SHS HEWLETT-PACKARD CO | P | | 2019-01-14 |
| | 50,000 SHS AIR LEASE CORP | P | | 2019-01-15 |
| | 50,000 SHS MORGAN STANLEY FRN | P | | 2019-02-14 |
| | 50,000 SHS CBS CORP | P | | 2019-03-07 |
| | 50,000 SHS CELGENE CORP | P | | 2019-04-26 |
| | 50,000 SHS AMGEN INC | P | | 2019-05-22 |
| | 50,000 SHS MEDTRONIC INC | P | | 2019-07-12 |
| | 50,000 SHS LEGG MASON INC | P | | 2019-07-15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|--|--|
| 50,000 | | 49,643 | 357 |
| 50,000 | | 50,000 | 0 |
| 50,000 | | 50,000 | 0 |
| 50,000 | | 50,000 | 0 |
| 50,000 | | 50,000 | 0 |
| 50,000 | | 50,000 | 0 |
| 50,000 | | 49,813 | 187 |
| 50,000 | | 49,904 | 96 |
| 30,861 | | 29,912 | 949 |
| 50,000 | | 50,000 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 357 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 187 |
| | | | 96 |
| | | | 949 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 227,000 US TREAS BILL 2/14/2019 | P | | 2019-02-14 |
| 1 225,000 US TREAS BILL 11/8/2018 | P | | 2018-11-08 |
| 230,000 US TREAS BILL 7/18/2019 | P | | 2019-07-18 |
| 175 SHS UNITEDHEALTH GROUP | D | | 2019-08-09 |
| 200 SHS ZOETIS INC | D | | 2019-08-09 |
| 178 SHS ZOETIS INC | D | | 2019-08-02 |
| 475 SHS UNITEDHEALTH GROUP | D | | 2019-05-07 |
| 525 SHS UNITEDHEALTH GROUP | D | | 2019-04-16 |
| 1,000 SHS UNITEDHEALTH GROUP | D | | 2019-03-26 |
| 300 SHS ANTHEM INC | D | | 2018-10-29 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 224,515 | | 224,515 | 0 |
| 224,413 | | 224,413 | 0 |
| 224,973 | | 224,973 | 0 |
| 43,735 | | 4,214 | 39,521 |
| 24,983 | | 4,478 | 20,505 |
| 20,744 | | 3,185 | 17,559 |
| 114,910 | | 12,388 | 102,522 |
| 123,156 | | 13,692 | 109,464 |
| 242,407 | | 27,665 | 214,742 |
| 80,104 | | 13,824 | 66,280 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 39,521 |
| | | | 20,505 |
| | | | 17,559 |
| | | | 102,522 |
| | | | 109,464 |
| | | | 214,742 |
| | | | 66,280 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|--|--|--|
| 1,000 SHS STRYKER CORP | D | | 2018-10-29 |
| 1 600 SHS UNITEDHEALTH GROUP | D | | 2018-10-29 |
| GOLDMAN SACHS #9575 | P | | 2019-08-31 |
| GOLDMAN SACHS #9575 | P | | 2019-08-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 162,566 | | 43,580 | 118,986 |
| 156,119 | | 14,448 | 141,671 |
| 15,895 | | 17,057 | -1,162 |
| 599,169 | | 596,066 | 3,103 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 118,986 |
| | | | 141,671 |
| | | | -1,162 |
| | | | 3,103 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|---|-----------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| DAKSHANA FOUNDATION 1220 ROOSEVELT SUITE 200 IRVINE, CA 92620 | NONE | PC | GENERAL USE | 250,000 |
| IFRE INC (ASHOKA UNIVERSITY) 250 W 55TH STREET 25TH FLOOR SUITE 25B NEW YORK, NY 10019 | NONE | PC | GENERAL USE | 300,000 |
| ITT GANDHINAGAR FOUNDATION 450 MELVILLE AVE PALO ALTO, CA 94301 | NONE | PC | TO FUND SCHOLARSHIPS AND DEVELOPMENT ACTIVITIES | 67,700 |
| Total ▶ 3a | | | | 1,373,901 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|-----------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| MAYO CLINIC200 FIRST ST SW ROCHESTER, MN 55905 | NONE | PC | MEDICAL RESEARCH | 3,000 |
| METROPOLITAN MUSEUM OF ART 1000 5TH AVE NEW YORK, NY 10028 | NONE | PC | GENERAL USE | 474 |
| PROMYS FOUNDATIONPO BOX 15502 BOSTON, MA 02215 | NONE | PC | GENERAL USE | 164,376 |
| Total ▶ 3a | | | | 1,373,901 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|-----------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| SCRIPPS HEALTH FOUNDATION PO BOX 2669 LA JOLLA, CA 92038 | NONE | PC | GENERAL USE | 1,000 |
| SHRI MANDIR TEMPLE 9474 BLACK MOUNTAIN RD L SAN DIEGO, CA 92126 | NONE | PC | FUND | 51 |
| SYRACUSE UNIVERSITY 820 COMSTOCK AVENUE SUITE 214 SYRACUSE, NY 132445040 | NONE | PC | DEAN'S FUND FOR ENGINEERING | 5,000 |
| Total ▶ 3a | | | | 1,373,901 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|-----------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| THE AKANSHA FUNDPO BOX 1714 NEW YORK, NY 10025 | NONE | PC | GENERAL USE | 140,000 |
| THE NUDGE FOUNDATION 1227 WILLOWDALE LANE IRVING, TX 75063 | NONE | PC | GENERAL USE | 120,000 |
| WNYC PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013 | NONE | PC | GENERAL USE | 500 |
| Total ▶ 3a | | | | 1,373,901 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|---|-----------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| AAROGYASEVA GLOBAL HEALTH VOLUNTEER ALLIANCE 849 N 3RD AVENUE PHOENIX, AZ 85003 | NONE | PC | SUMMER INTERNSHIP AND STUDY ABROAD SCHOLARSHIP | 9,000 |
| IIT BOMBAY HERITAGE FOUNDATION 21710 STEVENS CREEK BLVD SUITE 115 CUPERTINO, CA 95014 | NONE | PC | GENERAL USE | 3,000 |
| IIT HYDERBAD FOUNDATION 1725 WRIGHT AVENUE MOUNTAIN VIEW, CA 94043 | NONE | PC | TO SUPPORT THE CENTER FOR HEALTHCARE ENTREPRENEURSHIP | 300,000 |
| Total ▶ 3a | | | | 1,373,901 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|-----------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| INDIAN FINE ARTS ACADEMY SAN DIEGO 16051 AVENIDA CALMA RANCHO SANTA FE, CA 92091 | NONE | PC | GENERAL USE | 3,000 |
| INDIANS FOR COLLECTIVE ACTION 3838 MUMFORD PLACE PALO ALTO, CA 94306 | NONE | PC | GENERAL USE | 1,000 |
| LET'S GET READY 50 BROADWAY 25TH FLOOR NEW YORK, NY 10004 | NONE | PC | GENERAL USE | 500 |
| Total ▶ 3a | | | | 1,373,901 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|-----------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| NORTHWESTERN UNIVERSITY 1201 DAVIS STREET EVANSTON, IL 60208 | NONE | PC | GENERAL USE | 5,000 |
| SAN DIEGO MUSEUM OF ART 1450 EL PRADO SAN DIEGO, CA 92101 | NONE | PC | GENERAL USE | 300 |
| Total ▶ 3a | | | | 1,373,901 |

TY 2018 Accounting Fees Schedule**Name:** INDIRA FOUNDATION**EIN:** 13-4051213

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------------|----------------------------------|--------------------------------|--|
| ACCOUNTING FEES | 26,500 | 13,250 | | 13,250 |

TY 2018 All Other Program Related Investments Schedule

Name: INDIRA FOUNDATION
EIN: 13-4051213

| Category | Amount |
|----------|--------|
| N/A | 0 |

TY 2018 Investments Corporate Bonds Schedule

Name: INDIRA FOUNDATION

EIN: 13-4051213

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|------------------------|-------------------------------|
| 50,000 SHS CITIGROUP INC 2.45% 01/10/2020 | 49,980 | 50,037 |
| 50,000 SHS LIFE TECHNOLOGIES CORP 6.0% 03/01/2020 | 57,438 | 50,868 |
| 50,000 SHS ABBVIE INC 2.5% 05/14/2020 | 49,443 | 50,082 |
| 50,000 SHS SYSCO CORPORATION 2.6% 10/01/2020 | 49,904 | 50,197 |
| 25,000 SHS LOCKHEED MARTIN CORP 2.5% 11/23/2020 | 24,922 | 25,133 |
| 50,000 SHS JPMORGAN CHASE & CO 2.55% 03/01/2021 | 49,962 | 50,396 |
| 50,000 SHS SUNTRUST BANKS INC 2.9% 03/03/2021 | 50,721 | 50,568 |
| 50,000 SHS WELLS FARGO & COMPANY 2.5% 03/04/2021 | 49,988 | 50,298 |
| 25,000 SHS JEFFERIES GROUP, INC. 6.875% 04/15/2021 | 29,289 | 26,665 |
| 50,000 SHS CORPORATE OFFICE PROP 3.7% 06/15/2021 | 49,869 | 50,673 |
| 50,000 SHS BURLINGTON NORTHERN SANTA FE 3.45% 09/15/2021 | 51,462 | 51,318 |
| 50,000 SHS WALGREENS BOOTS ALLIANCE, INC. 3.3% 11/18/2021 | 49,731 | 51,184 |
| 50,000 SHS MOODY'S CORP 2.75% 12/15/2021 | 49,845 | 50,674 |
| 50,000 SHS GENERAL MOTORS FINANCIAL 3.45% 01/14/2022 | 50,864 | 50,956 |
| 50,000 SHS CAPITAL ONE FINANCIAL CO 3.05% 03/09/2022 | 49,968 | 51,151 |
| 50,000 SHS HOST HOTELS & RESORTS, L.P. 5.25% 03/15/2022 | 55,196 | 53,099 |
| 20,000 SHS MEDTRONIC, INC. 3.15% 03/15/2022 | 19,942 | 20,687 |
| 50,000 SHS OMNICOM GROUP INC. 3.625% 05/01/2022 | 50,794 | 52,026 |
| 50,000 SHS APPLE INC. 2.3% 05/11/2022 | 49,525 | 50,675 |
| 25,000 SHS KRAFT FOODS GROUP, INC. 3.5% 06/06/2022 | 24,995 | 25,592 |

Investments Corporate Bonds Schedule

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|-------------------------------|--------------------------------------|
| 50,000 SHS CANADIAN IMPERIAL BANK 07/22/2023 | 50,000 | 50,460 |
| 50,000 SHS BB&T CORPORATION 3.75% 12/06/2023 | 49,923 | 53,354 |
| 50,000 SHS FIFTH THIRD BANCORP 3.65% 01/25/2024 | 49,907 | 53,012 |
| 50,000 SHS AMERICAN EXPRESS CO. 3.4% 02/22/2024 | 49,943 | 52,731 |
| 25,000 SHS TORONTO-DOMINION BANK 3.25% 03/11/2024 | 24,968 | 26,300 |
| 50,000 SHS AMPHENOL CORP 3.2% 04/01/2024 | 49,492 | 51,667 |
| 50,000 SHS COMCAST CORP 3.7% 04/15/2024 | 49,997 | 53,539 |
| 50,000 SHS CNA FINANCIAL CORPORATION 3.95% 05/15/2024 | 52,532 | 53,144 |
| 50,000 SHS B.A.T. CAPITAL CORPORATION 3.222% 08/15/2024 | 49,948 | 51,374 |
| 25,000 SHS HONEYWELL INTERNATIONAL 2.3% 08/15/2024 | 24,947 | 25,538 |
| 50,000 SHS LOWE'S COMPANIES INC 3.125% 09/15/2024 | 49,199 | 51,953 |
| 50,000 SHS JOHN DEERE CAPITAL CORP 3.45% 03/13/2025 | 49,985 | 53,786 |
| 50,000 SHS CVS HEALTH CORP 4.1% 03/25/2025 | 49,511 | 53,522 |
| 50,000 SHS AT&T INC. 3.4% 05/15/2025 | 50,280 | 52,389 |
| 50,000 SHS VODAFONE GROUP PLC 4.125% 05/30/2025 | 49,515 | 54,264 |

TY 2018 Investments Corporate Stock Schedule

Name: INDIRA FOUNDATION
EIN: 13-4051213

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|------------------------|-------------------------------|
| 2,650 SHS VANGUARD HEALTH CARE ETF (VHT) | 149,376 | 449,572 |
| 115 SHS SPDR S&P BIOTECH ETF (XBI) | 8,962 | 9,243 |
| 7,376 SHS ABBOTT LABORATORIES (ABT) | 204,673 | 629,320 |
| 3,270 SHS ABBVIE INC (ABBV) | 81,307 | 214,970 |
| 6 SHS ALPHABET INC CL C (GOOG) | 5,228 | 7,129 |
| 18 SHS AMAZON.COM INC (AMZN) | 15,228 | 31,973 |
| 1,900 SHS AMERISOURCEBERGEN CORP (ABC) | 136,643 | 156,313 |
| 200 SHS AMGEN INC. (AMGN) | 34,766 | 41,724 |
| 473 SHS AT&T INC.(T) | 13,284 | 16,678 |
| 2,359 SHS BERKSHIRE HATHAWAY INC. CL B (BRKB) | 318,293 | 479,844 |
| 1,561 SHS BRISTOL-MYERS SQUIBB CO (BMY) | 62,682 | 75,037 |
| 700 SHS CHEVRON CORP (CVX) | 72,735 | 82,404 |
| 695 SHS CISCO SYSTEMS, INC (CSCO) | 16,613 | 32,533 |
| 200 SHS CITIGROUP INC (C) | 13,446 | 12,870 |
| 700 SHS COCA-COLA CO (KO) | 25,812 | 38,528 |
| 1,600 SHS COLGATE-PALMOLIVE CO (CL) | 63,383 | 118,640 |
| 1,256 SHS COMCAST CORP CL A (CMCSA) | 25,659 | 55,591 |
| 80 SHS COVETRUS INC (CVET) | 2,972 | 1,063 |
| 850 SHS CVS HEALTH CORP (CVS) | 59,713 | 51,782 |
| 458 SHS DENTSPLY SIRONA INC (XRAY) | 26,951 | 23,885 |
| 1,700 SHS DOLLAR TREE STORES, INC (DLTR) | 88,182 | 172,601 |
| 40 SHS ECOLAB INC (ECL) | 5,318 | 8,252 |
| 2,800 SHS EXELON CORP (EXC) | 83,689 | 132,328 |
| 3,019 SHS EXXON MOBIL CORP (XOM) | 228,622 | 206,741 |
| 1,540 SHS FASTENAL CO (FAST) | 32,333 | 47,155 |
| 53 SHS FIRST REPUBLIC BANK (FRC) | 4,950 | 4,755 |
| 250 SHS GENERAL DYNAMICS CORP (GD) | 15,770 | 47,818 |
| 8,000 SHS GENERAL ELECTRIC CO (GE) | 193,237 | 66,000 |
| 100 SHS GILEAD SCIENCES (GILD) | 7,518 | 6,354 |
| 290 SHS GOLDMAN SACHS GROUP, INC (GS) | 66,306 | 59,134 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|------------------------|-------------------------------|
| 200 SHS HENRY SCHEIN INC (HSIC) | 10,601 | 12,324 |
| 7,343 SHS HORMEL FOODS CORP (HRL) | 64,891 | 312,885 |
| 56 SHS JPMORGAN CHASE & CO (JPM) | 4,946 | 6,152 |
| 1,400 SHS KINDER MORGAN INC CL P (KMI) | 40,411 | 28,378 |
| 700 SHS L3HARRIS TECHNOLOGIES INC (LHX) | 30,930 | 147,987 |
| 65 SHS LOCKHEED MARTIN CORPORATION CMN (LMT) | 10,145 | 24,967 |
| 750 SHS MC DONALDS CORP (MCD) | 71,086 | 163,478 |
| 1,800 SHS MERCK & CO., INC. (MRK) | 60,261 | 155,646 |
| 500 SHS MICROSOFT CORP (MSFT) | 14,540 | 68,930 |
| 100 SHS MONDELEZ INTERNATIONAL, INC. (MDLZ) | 4,255 | 5,522 |
| 1,700 SHS NEXTERA ENERGY, INC. (NEE) | 87,712 | 372,436 |
| 1,800 SHS NORTHROP GRUMMAN CORP (NOC) | 100,961 | 662,166 |
| 70 SHS PEPSICO, INC. (PEP) | 4,395 | 9,571 |
| 1,617 SHS PFIZER INC. (PFE) | 29,823 | 57,484 |
| 3,930 SHS PHILIP MORRIS INTL INC (PM) | 223,651 | 283,314 |
| 500 SHS PUBLIC-SVC ENTERPRISE GROUP HLDG CO (PEG) | 15,320 | 30,235 |
| 2,197 SHS SCHLUMBERGER LTD (SLB) | 116,363 | 71,249 |
| 30 SHS SHERWIN-WILLIAMS CO (SHW) | 10,052 | 15,803 |
| 107 SHS T. ROWE PRICE GROUP, INC. (TROW) | 7,458 | 11,836 |
| 400 SHS THE KRAFT HEINZ CO (KHC) | 27,024 | 10,208 |
| 129 SHS THERMO FISHER SCIENTIFIC INC (TMO) | 19,026 | 37,031 |
| 450 SHS U.S. BANCORP (USB) | 24,660 | 23,711 |
| 1,100 SHS UNITEDHEALTH GROUP INCORP (UNH) | 26,488 | 257,400 |
| 200 SHS VERISIGN, INC. (VRSN) | 9,916 | 40,770 |
| 650 SHS VERIZON COMMUNICATIONS, INC. (VZ) | 32,113 | 37,804 |
| 70 SHS W.W. GRAINGER INC (GWW) | 11,986 | 19,156 |
| 50 SHS WALT DISNEY COMPANY (DIS) | 5,602 | 6,863 |
| 3,000 SHS WELLS FARGO & CO (WFC) | 145,891 | 139,710 |
| 968 SHS ZOETIS INC. CL A (ZTS) | 17,219 | 122,375 |
| 1,470 SHS THE FINANCIAL SELECT SECTOR SPDR FUND (XLF) | 35,637 | 39,572 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|--|------------------------|-------------------------------|
| 50 SHS AMERICAN TOWER CORP (AMT) | 5,089 | 11,510 |
| 1,428 SHS RESTAURANT BRANDS INTL (QSR) | 44,293 | 112,027 |
| 360 SHS ISHARES GLOBAL HEALTHCARE ETF (IXJ) | 19,773 | 21,956 |
| 200 SHS VANGUARD FTSE ALL-WORLD EX-US INDEX FUND ETF (VEU) | 10,204 | 9,770 |
| 853 SHS ISHARES MSCI EAFE ETF (EFA) | 39,130 | 53,918 |
| 600 SHS ISHARES CORE MSCI EAFE ETF (IEFA) | 36,930 | 35,598 |
| 150 SHS ALCON, INC. (ALC) | 3,628 | 9,144 |
| 500 SHS ALIMENTATION COUCHE-TARD INC (ANCUF) | 23,653 | 31,453 |
| 1,700 SHS ASTRAZENECA PLC SPONS ADR (AZN) | 50,310 | 76,551 |
| 2,114 SHS BRITISH AMERICAN TOBACCO PLC SPONS (BTI) | 114,228 | 74,201 |
| 1,000 SHS CNH INDUSTRIAL NV (CNHI) | 11,225 | 10,260 |
| 243 SHS HDFC BANK LIMITED SPONS (HDB) | 21,134 | 26,195 |
| 2,000 SHS INFOSYS LIMITED SPONS (INFY) | 14,840 | 22,980 |
| 85 SHS LINAMAR CORPORATION (LIMAF) | 3,598 | 2,580 |
| 50 SHS LINDE PLC (LIN) | 8,173 | 9,446 |
| 100 SHS LIONS GATE ENTERTAINMENT CORP (LGFA) | 2,769 | 903 |
| 100 SHS LIONS GATE ENTERTAINMENT CORP (LGFB) | 2,677 | 834 |
| 750 SHS NOVARTIS AG-ADR SPONSORED (NVS) | 26,067 | 67,583 |
| 1,730 SHS NOVO-NORDISK A/S ADR (NVO) | 78,459 | 90,150 |
| 5,400 SHS NUTRIEN LTD. (NTR) | 251,209 | 271,944 |
| 175 SHS RECKITT BENCKISER GROUP (*RECJ) | 9,522 | 13,609 |
| 250 SHS ROCHE HOLDING AG (PTG CERTS) NPV (*RHHVF) | 36,247 | 68,279 |
| 850 SHS SANOFI SPONSORED ADR (SNY) | 25,084 | 36,508 |
| 2,000 SHS TAIWAN SEMICONDUCTOR MFG ADS LTD (TSM) | 17,979 | 85,260 |
| 4,000 SHS TELUS CORPORATION | 72,640 | 145,040 |
| 80 SHS TENCENT HOLDINGS LIMITED (TCEHY) | 2,498 | 3,316 |
| 400 SHS TEVA PHARMACEUTICAL IND LTD (TEVA) | 16,414 | 2,760 |
| 500 SHS TORONTO DOMINION BANK (TD) | 24,555 | 27,095 |
| 3,500 SHS ISHARES, INC.MSCI JAPAN ETF MUTUAL FUND (EWJ) | 131,740 | 188,720 |
| 310 SHS ISHARES MSCI JAPAN SMALL CAP ETF (SCJ) | 22,006 | 21,269 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|------------------------|-------------------------------|
| 2,299 SHS ISHARES MSCI PACIFIC EX JAPAN ETF (EPP) | 57,134 | 101,340 |
| 1,500 VANGUARD FTSE EMERGING MKTS ETF (VWO) | 59,418 | 60,600 |
| 560 SHS COLUMBIA INDIA CONSUMER ETF (INCO) | 23,518 | 21,207 |

TY 2018 Investments Government Obligations Schedule**Name:** INDIRA FOUNDATION**EIN:** 13-4051213**US Government Securities - End
of Year Book Value:**

219,088

**US Government Securities - End
of Year Fair Market Value:**

224,210

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2018 Other Assets Schedule

Name: INDIRA FOUNDATION

EIN: 13-4051213

Other Assets Schedule

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|------------------------|-----------------------------------|-----------------------------|------------------------------------|
| RETURNED WIRE TRANSFER | 125,000 | 0 | 0 |

TY 2018 Other Decreases Schedule**Name:** INDIRA FOUNDATION**EIN:** 13-4051213

| Description | Amount |
|---|---------|
| NONDEDUCTIBLE EXPENSE | 126 |
| EXCESS OF FMV OF DONATED SECURITIES OVER COST BASIS | 379,579 |

TY 2018 Other Expenses Schedule**Name:** INDIRA FOUNDATION**EIN:** 13-4051213**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------------|--------------------------------------|--------------------------|------------------------|---|
| OFFICE EXPENSE (RENT) | 6,750 | 3,375 | | 3,375 |
| SOFT CORNER | 51,436 | 0 | | 51,436 |
| FOREIGN TAX PAID | 7,997 | 7,997 | | 0 |
| TELEPHONE | 988 | 494 | | 494 |

TY 2018 Other Income Schedule

Name: INDIRA FOUNDATION

EIN: 13-4051213

Other Income Schedule

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---------------------------|-----------------------------------|--------------------------|---------------------|
| TAX REFUND 990-PF 8/31/18 | 7,359 | | 7,359 |

TY 2018 Other Increases Schedule

Name: INDIRA FOUNDATION
EIN: 13-4051213

| Description | Amount |
|--------------------------|--------|
| NONDIVIDEND DISTRIBUTION | 280 |

TY 2018 Other Professional Fees Schedule**Name:** INDIRA FOUNDATION**EIN:** 13-4051213

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---------------|----------------------------------|--------------------------------|--|
| MANAGEMENT FEES - GOLDMAN SACHS #9149 | 4,191 | 4,191 | | 0 |
| INVESTMENT MANAGEMENT FEES - SUNRIVER ADVISORS | 48,750 | 48,750 | | 0 |
| BOOKKEEPING | 1,080 | 0 | | 1,080 |

| | | |
|--|---|---|
| Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small> | Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Go to <u>www.irs.gov/Form990</u> for the latest information | OMB No 1545-0047 |
| | | 2018 |
| Name of the organization INDIRA FOUNDATION | | Employer identification number 13-4051213 |

Organization type (check one)

| | |
|--------------------|---|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)() (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| | |
|--|---|
| Name of organization INDIRA FOUNDATION | Employer identification number 13-4051213 |
|--|---|

Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|---|----------------------------|--|
| — | See Additional Data Table _____ _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution) |
| — | _____ _____ _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution) |
| — | _____ _____ _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution) |
| — | _____ _____ _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution) |
| — | _____ _____ _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution) |
| — | _____ _____ _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution) |
| — | _____ _____ _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution) |
| — | _____ _____ _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contribution) |

| | |
|--|---|
| Name of organization INDIRA FOUNDATION | Employer identification number 13-4051213 |
|--|---|

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---------------------------|--|--|----------------------|
| — | See Additional Data Table | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| — | | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| — | | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| — | | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| — | | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| — | | \$ _____ | _____ |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| — | | \$ _____ | _____ |

| | |
|--|---|
| Name of organization INDIRA FOUNDATION | Employer identification number 13-4051213 |
|--|---|

| | |
|-----------------|--|
| Part III | Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed |
|-----------------|--|

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|------------------------|---------------------------------------|-------------------------|--|
| | <div></div> <div></div> | <div></div> <div></div> | <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | <div></div> <div></div> | <div></div> <div></div> | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | <div></div> <div></div> | <div></div> <div></div> | <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | <div></div> <div></div> | <div></div> <div></div> | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | <div></div> <div></div> | <div></div> <div></div> | <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | <div></div> <div></div> | <div></div> <div></div> | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | <div></div> <div></div> | <div></div> <div></div> | <div></div> <div></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee |
| | <div></div> <div></div> | <div></div> <div></div> | |

Additional Data

Software ID:
Software Version:
EIN: 13-4051213
Name: INDIRA FOUNDATION

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|-----------------------------------|----------------------------|--|
| <u>1</u> | AVI AND SANDRA NASH | \$ 79,725 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions) |
| <u>2</u> | AVI AND SANDRA NASH | \$ 160,380 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions) |
| <u>3</u> | AVI AND SANDRA NASH | \$ 154,908 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions) |
| <u>4</u> | AVI AND SANDRA NASH | \$ 486,780 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions) |
| <u>5</u> | AVI AND SANDRA NASH | \$ 41,580 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions) |
| <u>6</u> | AVI AND SANDRA NASH | \$ 42,767 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions) |

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|-----------------------------------|----------------------------|---|
| <u>7</u> | AVI AND SANDRA NASH | \$ 312,452 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> |
| | | | (Complete Part II for noncash contributions) |
| <u>8</u> | AVI AND SANDRA NASH | \$ 40,060 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> |
| | | | (Complete Part II for noncash contributions) |
| <u>9</u> | AVI AND SANDRA NASH | \$ 97,711 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input checked="" type="checkbox"/> |
| | | | (Complete Part II for noncash contributions) |
| <u>10</u> | AVI AND SANDRA NASH | \$ 7,387 | Person <input checked="" type="checkbox"/> |
| | 150 PORCHUCK ROAD | | Payroll <input type="checkbox"/> |
| | GREENWICH, CT 06831 | | Noncash <input type="checkbox"/> |
| | | | (Complete Part II for noncash contributions) |

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|------------------------|--|--|----------------------|
| <u>1</u> | 300 SHARES OF ANTHEM, INC | <u>\$ 79,725</u> | <u>2018-10-26</u> |
| <u>2</u> | 1,000 SHARES OF STRYKER CORP | <u>\$ 160,380</u> | <u>2018-10-26</u> |
| <u>3</u> | 600 SHARES OF UNITEDHEALTH GROUP | <u>\$ 154,908</u> | <u>2018-10-26</u> |
| <u>4</u> | 2,000 SHARES OF UNITEDHEALTH GROUP | <u>\$ 486,780</u> | <u>2019-03-26</u> |
| <u>5</u> | 500 SHARES OF ABBOTT LABORATORIES | <u>\$ 41,580</u> | <u>2019-09-05</u> |
| <u>6</u> | 1,050 SHARES OF HORMEL FOODS CORP | <u>\$ 42,767</u> | <u>2019-09-05</u> |
| <u>7</u> | 1,275 SHARES OF UNITEDHEALTH GROUP | <u>\$ 312,452</u> | <u>2019-09-05</u> |
| <u>8</u> | 200 SHARES OF VERISIGN, INC | <u>\$ 40,060</u> | <u>2019-09-05</u> |
| <u>9</u> | 868 SHARES OF ZOETIS INC | <u>\$ 97,711</u> | <u>2019-09-05</u> |