

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

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Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

**2018**

Open to Public Inspection

For calendar year 2018 or tax year beginning **AUG 1, 2018**, and ending **JUL 31, 2019**

Name of foundation <b>Jeffrey P. Ossen Family Foundation</b>		A Employer identification number <b>26-1243178</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>Cummings &amp; Lockwood, 75 Isham Rd 400</b>	Room/suite	B Telephone number <b>860.313.4900</b>
City or town, state or province, country, and ZIP or foreign postal code <b>West Hartford, CT 06107</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>18,810,195.</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		5.	5.		Statement 1
4 Dividends and interest from securities		419,387.	419,387.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		438,086.			
b Gross sales price for all assets on line 6a <b>2,017,963.</b>					
7 Capital gain net income (from Part IV, line 2)			438,086.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		857,478.	857,478.		
13 Compensation of officers, directors, trustees, etc		104,988.	104,988.		0.
14 Other employee salaries and wages		8,719.	8,719.		0.
15 Pension plans, employee benefits					
16a Legal fees Stmt 3		13,302.	13,302.		0.
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes Stmt 4		63,656.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		50.	50.		0.
22 Printing and publications					
23 Other expenses Stmt 5		82,580.	82,580.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		273,295.	209,639.		0.
25 Contributions, gifts, grants paid		1,011,564.			1,011,564.
26 Total expenses and disbursements.		1,284,859.	209,639.		1,011,564.
Add lines 24 and 25					
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		<427,381.>			
b Net investment income (if negative, enter -0-)			647,839.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		701,945.	801,659.	801,659.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock Stmt 6	8,952,691.	7,890,749.	12,118,715.	
	c	Investments - corporate bonds Stmt 7	5,388,565.	4,966,936.	4,965,621.	
	11	Investments - land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other Stmt 8	0.	956,476.	924,200.		
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	15,043,201.	14,615,820.	18,810,195.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds	0.	0.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds	15,043,201.	14,615,820.		
	30	Total net assets or fund balances	15,043,201.	14,615,820.		
31	Total liabilities and net assets/fund balances	15,043,201.	14,615,820.			

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,043,201.
2	Enter amount from Part I, line 27a	2	<427,381.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	14,615,820.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,615,820.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b>			
<b>b</b> See Attached Statements			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 2,017,963.		1,579,877.	438,086.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			438,086.

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	438,086.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	931,622.	19,130,166.	.048699
2016	1,077,509.	18,247,282.	.059050
2015	945,685.	17,642,841.	.053602
2014	870,233.	18,790,410.	.046313
2013	838,893.	17,929,489.	.046788

<b>2</b> Total of line 1, column (d)	<b>2</b>	.254452
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.050890
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	<b>4</b>	18,312,708.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	931,934.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	6,478.
<b>7</b> Add lines 5 and 6	<b>7</b>	938,412.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	1,011,564.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	6,478.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	6,478.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,478.
6 Credits/Payments			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a 34,245.		
b Exempt foreign organizations - tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d 0.		
7 Total credits and payments. Add lines 6a through 6d		7	34,245.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	27,767.
11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input checked="" type="checkbox"/> 27,767. Refunded <input type="checkbox"/>		11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	X	
14 The books are in care of <b>Heather J. Rhoades, Esquire</b> Telephone no <b>860.313.4930</b> Located at <b>Cummings &amp; Lockwood 75 Isham Rd Ste 400, W Hartfo</b> ZIP+4 <b>06107</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country <b>N/A</b>		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here <b>N/A</b>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>N/A</b>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <b>N/A</b>		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>N/A</b>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) <b>N/A</b>		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
<b>5a</b> During the year, did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b	
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Eileen M. Ossen	Trustee			
49 Brookside Lane				
Mansfield Center, CT 06250	30.00	104,988.	0.	0.
Richard Brvenik	Trustee			
561 Coral Ridge Road				
Pine Knoll Shores, NC 28512	0.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	18,005,070.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	586,512.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	18,591,582.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	18,591,582.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	278,874.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	18,312,708.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	915,635.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	915,635.
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5	<b>2a</b>	6,478.
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	6,478.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	909,157.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	909,157.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	909,157.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	1,011,564.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,011,564.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	6,478.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	1,005,086.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2018)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				909,157.
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only			473,375.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013				
<b>b</b> From 2014				
<b>c</b> From 2015				
<b>d</b> From 2016				
<b>e</b> From 2017				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 1,011,564.				
<b>a</b> Applied to 2017, but not more than line 2a			473,375.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2018 distributable amount				538,189.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued; or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instr			0.	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				370,968.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014				
<b>b</b> Excess from 2015				
<b>c</b> Excess from 2016				
<b>d</b> Excess from 2017				
<b>e</b> Excess from 2018				



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
Glastonbury ABC Inc. 1160 New London Turnpike Glastonbury, CT 06033	None	PC	Current Use for General Charitable Purposes	4,000.
Natchaug Hospital Inc. 189 Storrs Road Mansfield Center, CT 06250	None	PC	Current Use for General Charitable Purposes	5,000.
Access Community Action Agency 1315 Main St, Ste 2 Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	26,000.
Center for Medicare Advocacy Inc. PO Box 350 Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	100,000.
Community Foundation of Eastern CT 68 Federal Street, P.O. Box 769 New London, CT 06320	None	PC	Current Use for General Charitable Purposes	30,000.
<b>Total</b> See continuation sheet(s) ▶ 3a				1,011,564.
<b>b Approved for future payment</b>				
None				
<b>Total</b> ▶ 3b				0.



### Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |  | Yes   | No |
|----------|--|-------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |       |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of  |       |    |
|          | (1) Cash   | 1a(1) | X  |
|          | (2) Other assets   | 1a(2) | X  |
| <b>b</b> | Other transactions   |       |    |
|          | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|          | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|          | (4) Reimbursement arrangements   | 1b(4) | X  |
|          | (5) Loans or loan guarantees   | 1b(5) | X  |
|          | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

11/4/2019  
Date

▶ Trustee  
Title

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Carol J. DeRosier

Preparer's signature

Carol J. DeKoeier

Date

10/17/19

Check ☐ if  
self-employed

PTIN
------

P01246704

Firm's name ► Cummings & Lockwood LLC

Firm's EIN ► 06-0312590

Firm's address ► 75 Isham #400  
W Hartford, CT 06107

Phone no 860.313.4926

Form **990-PF** (2018)

## Jeffrey P. Ossen Family Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	210.963 Shs. Harbor International Fund	P	12/20/17	11/05/18
b	1,815.541 Shs. Harbor International Fund	P	03/14/18	11/05/18
c	4,217.487 Shs. Harbor International Fund	P	06/07/18	11/05/18
d	6,171.743 Shs. Federated Ultra Short Fd-Ins	P	09/28/11	12/11/18
e	10,905.125 Shs. Federated Ultra Short Fd-Ins	P	11/07/11	12/11/18
f	4,998.187 Shs. Federated Ultra Short Fd-Ins	P	10/16/12	12/11/18
g	4,390.779 Shs. Federated Ultra Short Fd-Ins	P	10/16/12	05/22/19
h	8,205.689 Shs. Federated Ultra Short Fd-Ins	P	10/16/12	06/17/19
i	4,723.669 Shs. Harbor International Fund	P	08/18/16	11/05/18
j	241.955 Shs. T. Rowe Price Emerging Markets Stock	P	05/17/11	06/17/19
k	1,635.524 Shs. Vanguard 500 Index Fund-Adm	P	05/27/11	12/11/18
l	474.635 Shs. Vanguard 500 Index Fund-Adm	P	05/27/11	03/22/19
m	226.347 Shs. Vanguard 500 Index Fund-Adm	P	05/27/11	05/22/19
n	844.316208 Shs. Vanguard 500 Index Fund-Adm	P	05/27/11	06/17/19
o	239.309792 Shs. Vanguard 500 Index Fund-Adm	P	06/02/11	06/17/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,426.		14,160.	<1,734.>
b 106,935.		123,747.	<16,812.>
c 248,410.		285,060.	<36,650.>
d 55,854.		56,471.	<617.>
e 98,691.		100,000.	<1,309.>
f 45,234.		46,183.	<949.>
g 40,000.		40,571.	<571.>
h 74,918.		75,821.	<903.>
i 278,224.		295,560.	<17,336.>
j 10,000.		8,369.	1,631.
k 399,869.		200,325.	199,544.
l 122,631.		58,135.	64,496.
m 59,832.		27,724.	32,108.
n 226,167.		103,415.	122,752.
o 64,104.		29,074.	35,030.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<1,734.>
b			<16,812.>
c			<36,650.>
d			<617.>
e			<1,309.>
f			<949.>
g			<571.>
h			<903.>
i			<17,336.>
j			1,631.
k			199,544.
l			64,496.
m			32,108.
n			122,752.
o			35,030.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

Jeffrey P. Ossen Family Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	8,613.264 Shs. Vanguard Intrm Bnd Indx-Adm	P	11/08/11	06/17/19
b	340.739 Shs. Vanguard Small-Cap Index-Adm	P	08/31/12	06/17/19
c	Capital Gains Dividends			
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 99,914.		102,584.	<2,670.>
b 25,099.		12,678.	12,421.
c 49,655.			49,655.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<2,670.>
b			12,421.
c			49,655.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	438,086.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

**Part XV** , Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Connecticut Radio Information System Inc. 315 Windsor Avenue Windsor, CT 06095	None	PC	Corrent Use for General Charitable Purposes	5,000.
Connecticut Legal Services, Inc. 62 Washington Street, 4th Floor Middletown, CT 06457	None	PC	Current Use for General Charitable Purposes	75,000.
Covenant Soup Kitchen Inc. 220 Valley Street Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	60,000.
ECSU Foundation Inc. 83 Windham Street Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	50,000.
Dana-Farber Cancer Institute, Inc. 10 Brookline Place, 6th Floor Brookline, MA 02445	None	PC	Current Use for General Charitable Purposes	5,000.
Eastern Connecticut State University 83 Windham Street Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	34,307.
Higher Edge Inc 35 Redden Avenue New London, CT 06320	None	PC	Current Use for General Charitable Purposes.	80,000.
Eastern Connecticut Workforce Investment Board Inc. 108 New Park Avenue Franklin, CT 06254	None	PC	Current Use for General Charitable Purposes	210,560.
Jewish Family Services of Greater Hartford Inc. 333 Bloomfield Avenue, Suite A West Hartford, CT 06117	None	PC	Current Use for General Charitable Purposes	10,000.
Jumpstart for Young Children, Inc. 505 Eighth Avenue, Suite 1100 New York, NY 10018	None	PC	Current Use for General Charitable Purposes	40,000.
Total from continuation sheets				846,564.

**Part XV, Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Nutmeg Big Brothers & Big Sisters Inc. 30 Laurel Street, Suite 3 Hartford, CT 06106	None	PC	Current Use for General Charitable Purposes	50,000.
Perception Programs, Inc. PO Box 407, 54 North Street Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	58,247.
Protectors of Animals Inc. P.O. Box 24 Glastonbury, CT 06073	None	PC	Current Use for General Charitable Purposes	5,000.
United Cerebral Palsy Assn. of Greater Hartford, Inc. 80 Whitney Street Hartford, CT 06105	None	PC	Current Use for General Charitable Purposes	4,750.
United Services Inc 1007 North Main Street Dayville, CT 06241	None	PC	Current Use for General Charitable Purposes	30,000.
Windham Public Schools 355 Highy Street Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	15,000.
VH1 Save The Music Foundation 1515 Broadway 21st Floor New York, NY 10036	None	PC	Current Use for General Charitable Purposes	21,700.
Windham Area Interfaith Ministry P.O. Box 221 Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	16,000.
Windham County 4-H Foundation Incorporated 326 Taft Pond Road Pomfret Center, CT 06259	None	PC	Current Use for General Charitable Purposes	12,000.
Windham Region No Freeze Project Inc. PO Box 46 Willimantic, CT 06226	None	PC	Current Use for General Charitable Purposes	12,000.
Total from continuation sheets				

### 3 Grants and Contributions Paid During the Year (Continuation)

823631  
04-01-18

## Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
KeyBank, Account #7900581161	5.	5.	
Total to Part I, line 3	5.	5.	

## Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
KeyBank	469,042.	49,655.	419,387.	419,387.	
To Part I, line 4	469,042.	49,655.	419,387.	419,387.	

## Form 990-PF Legal Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Cummings & Lockwood LLC - Legal Fees	13,302.	13,302.		0.
To Fm 990-PF, Pg 1, ln 16a	13,302.	13,302.		0.

## Form 990-PF Taxes Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
United States Treasury - Excise Tax fye 7/31/18	29,411.	0.		0.
United States Treasury - Estimated Excise Tax fye 7/31/19	34,245.	0.		0.
To Form 990-PF, Pg 1, ln 18	63,656.	0.		0.

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Connecticut Council for Philanthropy - Membership	4,500.	4,500.			0.
KeyBank - Bank Fees	160.	160.			0.
United States Postal Service - Postage	62.	62.			0.
Chase Card Services - Postage, self storage fees, subscription & mailing	5,330.	5,330.			0.
United States Liability Insurance Co.	1,388.	1,388.			0.
KeyBank - Investment Fees	69,875.	69,875.			0.
Victoria C. Nimirowski - Consulting fees	665.	665.			0.
Pamela Miller - Work on Website	600.	600.			0.
To Form 990-PF, Pg 1, ln 23	82,580.	82,580.			0.

Form 990-PF	Corporate Stock		Statement	6
Description	Book Value		Fair Market Value	
See attached	7,890,749.		12,118,715.	
Total to Form 990-PF, Part II, line 10b	7,890,749.		12,118,715.	

Form 990-PF	Corporate Bonds		Statement	7
Description	Book Value		Fair Market Value	
See attached	4,966,936.		4,965,621.	
Total to Form 990-PF, Part II, line 10c	4,966,936.		4,965,621.	

Form 990-PF	Other Investments	Statement	8
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Description	Valuation Method	Book Value	Fair Market Value
See attached	COST	956,476.	924,200.
Total to Form 990-PF, Part II, line 13		956,476.	924,200.

Jeffrey P. Ossen Family Foundation	
Form 990-PF	
EIN 26-1243178	
The following are deductions reported on Line 14:	
CT Department of Labor	\$ 291 98
Social Security Tax	\$ 6,532 15
Medicare Tax	\$ 1,527 63
Other Employee Salary	\$ 367 50
Total deducted on Line 14	\$ 8,719 26

# Account Statement

JEFFREY P OSSEN FAMILY FOUNDATION M/AG 2160640  
 July 01, 2019 - July 31, 2019

## Holdings Detail - Principal Assets

Holdings Detail - Principal Assets							Base Currency: USD		
Equity	Description	Quantity	Unit Price	Market Value	Cost per Unit	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Current Market Yield(%)
Mutual Funds - Small Cap Blend									
	VANGUARD SMALL CAP INDEX FD	8,098.8630	76.040	615,685.46	37.21	301,255.98	314,429.50	9,019.91	1.47%
	OPEN-END FUND CL ADM VSMAX								
Total Small Cap Blend									
				615,685.46		301,255.98	314,429.50	9,019.91	1.47%
Mutual Funds - Mid Cap Value									
	T ROWE PRICE MID-CAP VALUE FUND	21,979.9270	27.540	605,327.19	24.01	527,847.73	77,479.46	6,593.98	1.09%
	OPEN-END FUND TRWCX								
Total Mid Cap Value									
				605,327.19		527,847.73	77,479.46	6,593.98	1.09%
Mutual Funds - Large Cap Blend									
	ISHARES EDGE MSCI USA QUALITY FACTOR ETF	4,900.0000	92.730	454,377.00	81.90	401,306.08	53,070.92	7,786.10	1.71%
	QUAL								
	VANGUARD 500 INDEX FUND	24,700.9430	275.280	6,799,675.59	126.02	3,112,925.93	3,686,749.66	131,927.74	1.94%
	OPEN-END FUND CL ADMIRAL VFAX								
Total Large Cap Blend									
				7,254,052.59		3,514,232.01	3,739,820.58	139,713.84	1.93%
Mutual Funds - Large Cap Growth									
	ISHARES EDGE MSCI USA MOMENTUM FACT ETF	5,250.0000	120.730	633,832.50	113.38	595,222.95	38,609.55	8,321.25	1.31%
	\$1.18800 MTUM								
Total Large Cap Growth									
				633,832.50		595,222.95	38,609.55	8,321.25	1.31%

**Holdings Detail - Principal Assets (Continued)**

Base Currency: USD

Description	Quantity	Unit Price	Market Value	Cost per Unit	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Current Market Yield(%)
<b>Total Mutual Funds</b>			<b>9,108,897.74</b>		<b>4,938,558.85</b>	<b>4,170,339.09</b>	<b>183,648.98</b>	<b>1.80%</b>
<b>Non-US Mutual Funds - Developed International</b>								
GOLDMAN SACHS INTL EQUITY	72,590	6390	888,509.42	13.41	973,450.95	-84,941.53	15,752.17	1.77%
INSIGHTS FUND								
OPEN-END FUND INSTL CL								
GCIIX								
ISHARES CORE MSCI EAFE ETF	9,598,000	60.370	579,431.26	63.87	613,036.92	-33,605.66	18,706.50	3.23%
CLOSED-END FUND								
IEFA								
JOHCM INTERNATIONAL	18,239	8540	422,982.21	21.85	398,540.81	24,441.40	5,599.64	1.32%
SELECT FUND								
OPEN-END FUND CL I								
JOHIX								
<b>Total Developed International</b>			<b>1,890,922.89</b>		<b>1,985,028.88</b>	<b>-84,105.79</b>	<b>40,058.31</b>	<b>2.12%</b>
<b>Non-US Mutual Funds - Emerging International</b>								
NEUBERGER BERMAN EMERG	19,454,4760	19.400	377,416.83	21.26	413,558.12	-36,141.29	3,404.53	0.90%
MKTS EOTY								
OPEN-END FUND INSTL CL								
NEMIX								
T ROWE PRICE EMERGING	17,187,7120	43.140	741,477.90	32.21	553,603.22	187,874.68	3,953.17	0.53%
MKTS STK								
OPEN-END FUND								
PRMSX								
<b>Total Emerging International</b>			<b>1,118,894.73</b>		<b>967,161.34</b>	<b>151,733.39</b>	<b>7,357.70</b>	<b>0.66%</b>
<b>Total Non-US Mutual Funds</b>			<b>3,009,817.62</b>		<b>2,952,180.02</b>	<b>57,827.60</b>	<b>47,416.01</b>	<b>1.58%</b>





Jeffrey P. Ossen Family Foundation  
990-PF fye 7/31/19  
EIN 26-1243178  
Attachment to Balance Sheet

## Account Statement

JEFFREY P OSSEN FAMILY FOUNDATION M/AG 2160640  
July 01, 2019 - July 31, 2019

### Holdings Detail - Principal Assets (Continued)

Base Currency: USD									
Equity	Description	Quantity	Unit Price	Market Value	Cost per Unit	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Current Market Yield(%)
	Total Equity			12,118,715.36		7,880,748.87	4,227,966.89	211,084.99	1.74%
Fixed Income	Description	Quantity	Unit Price	Market Value	Cost per Unit	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Current Market Yield(%)
Mutual Funds									
	EATON VANCE FLOATING-RATE FUND	48,235.7910	8.890	428,816.18	9.17	442,283.05	-13,466.87	20,259.03	4.72%
	OPEN-END FUND CL I EIBLX								
	FEDERATED ULTRASHORT BOND FUND	58,434.5120	9.140	534,091.44	9.20	537,425.39	-3,333.95	13,965.85	2.61%
	OPEN-END FUND INSTL CL FULLX								
	ISHARES CORE US AGGREGATE BOND ETF	5,431.0000	111.300	604,470.30	109.62	595,341.90	9,128.40	16,461.36	2.72%
	CLOSED-END FUND AGG								
	METROPOLITAN WEST T/R BOND FD	78,206.9670	10.890	851,673.87	10.54	824,012.23	27,661.64	24,322.37	2.86%
	OPEN-END FUND CL I MWITX								
	PIMCO MORTGAGE OPPORTUNITIES & BOND FUND INST PMZIX	86,377.8180	10.930	944,109.55	11.08	957,148.00	-13,036.45	30,836.88	3.27%



Jeffrey P. Ossen Family Foundation  
990-PF fye 7/31/19  
EIN 26-1243178  
Attachment to Balance Sheet

## Account Statement

JEFFREY P OSSEN FAMILY FOUNDATION MAG 2160640  
July 01, 2019 - July 31, 2019

### Holdings Detail - Principal Assets (Continued)

Base Currency: USD

Fixed Income							Current		
Description	Quantity	Unit Price	Market Value	Cost per Unit	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield(%)	Market Yield(%)
VANGUARD INTERMEDIATE TERM BD IDX OPEN-END FUND ADMIN CL V8ILX	88,433.7650	11.700	1,034,675.05	12.03	1,063,555.87	-28,880.82	28,298.80	2.74%	
VANGUARD LONG-TERM TREASURY FUND OPEN-END FUND ADMIN CL VUSUX	17,256.2550	12.900	222,605.69	11.65	201,035.37	21,570.32	6,039.69	2.71%	
VANGUARD SHORT-TERM INVMT GRADE OPEN-END FUND ADMIN CL VFSUX	32,259.7190	10.700	345,178.99	10.73	346,135.74	-956.75	10,129.55	2.93%	
Total Mutual Funds			4,965,621.07		4,966,935.55	-1,314.48	150,313.53	3.03%	
Total Fixed Income			4,965,621.07		4,966,935.55	-1,314.48	150,313.53	3.03%	

  

Alternative							Current		
Description	Quantity	Unit Price	Market Value	Cost per Unit	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield(%)	Market Yield(%)
AQR EQUITY MARKET NEUTRAL FUND OPEN-END FUND CL I QMNIX	24,239.8650	9.870	239,247.47	11.81	286,272.81	-47,025.34	1,262.41	0.53%	
BLACKROCK STRATEGIC INCOME OPPS PORTF OPEN-END FUND INSTL CL BSIIX	24,387.7550	9.920	241,926.53	9.79	238,756.12	3,170.41	7,950.41	3.29%	



# Account Statement

JEFFREY P OSSEN FAMILY FOUNDATION M/AG 2160840  
 July 01, 2019 - July 31, 2019

## Holdings Detail - Principal Assets (Continued)

Base Currency: USD

Alternative	Description	Quantity	Unit Price	Market Value	Cost per Unit	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Current Market Yield(%)
	JPMORGAN STRATEGIC INC OPPORTUNITIES FD	16,500,000	11.510	189,915.00	11.61	191,565.00	-1,650.00	5,989.50	3.15%
	OPEN-END FUND CL I JSOSX								
	WESTERN ASSET MACRO OPTYS FUND	22,047,970	11.480	253,110.70	10.88	239,881.91	13,228.79	6,283.67	2.48%
	OPEN-END FUND CL I LAOIX								
	<b>Total Mutual Funds</b>			<b>924,189.70</b>		<b>958,475.84</b>	<b>-32,276.14</b>	<b>21,485.98</b>	<b>2.32%</b>
	<b>Total Alternative</b>			<b>924,189.70</b>		<b>958,475.84</b>	<b>-32,276.14</b>	<b>21,485.98</b>	<b>2.32%</b>
Cash and Cash Equivalents									
	Description	Quantity	Unit Price	Market Value	Cost per Unit	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Current Market Yield(%)
	Money Market Funds								
	FEDERATED GOVT OBLIGATIONS FUND (PRM) PREMIER SHARES FED117	531,189,750	1.000	531,189.75	1.00	531,189.75	0.00	12,217.36	2.30%
	<b>Total Money Market Funds</b>			<b>531,189.75</b>		<b>531,189.75</b>	<b>0.00</b>	<b>12,217.36</b>	<b>2.30%</b>
	<b>Total Cash and Cash Equivalents</b>			<b>531,189.75</b>		<b>531,189.75</b>	<b>0.00</b>	<b>12,217.36</b>	<b>2.30%</b>
	<b>Total Principal Holdings</b>			<b>18,539,725.88</b>		<b>14,345,349.81</b>	<b>4,194,376.07</b>	<b>385,081.87</b>	<b>2.13%</b>