EXTENDED TO MAY 15, 2020 20491348052149

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning 07/01 , 2018, and ending 06/30, 2019

Name of foundation	A Employer identification number
WILLIAM E. WOCKNER FOUNDATION	81-3327412
Number and street (or P O box number if mail is not delivered to street address) / Room/suite	B Telephone number (see instructions)
1000 SECOND AVENUE, 34TH FLOOR	(206) 667-0300
City or town, state or province, country, and ZIP or foreign postal code	C If exemption application is pending, check here ▶ ☐
SEATTLE, WA 98104-1022	

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 24,511,916.

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)	815,726.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	431,305.	431,305.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	84,554.			
	b Gross sales price for all assets on line 6a 7,165,615.				
	7 Capital gain net income (from Part IV, line 2)		84,554.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 1	54,282.	54,282.		
	12 **Total.** Add lines 1 through 11	1,385,867.	570,141.	0.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	180,750.	36,150.		144,600.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	21,682.	4,336.		17,346.
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) [3]	179,418.	155,418.		24,000.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [4]	32,042.	11,101.		16,992.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	2,731.	2,095.		636.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	416,623.	209,100.		203,574.
	25 Contributions, gifts, grants paid	654,500.			654,500.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,071,123.	209,100.	0.	858,074.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	314,744.			
	b **Net investment income** (if negative, enter -0-)		361,041.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	159,050.	-245,566.	-245,566.
	2	Savings and temporary cash investments	1,775,172.		
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ *		* 924,999.	ATCH 6
		Less allowance for doubtful accounts ▶	1,153,673.	924,999.	924,999.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges ATCH 7		6,390.	6,390.
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 8	16,123,976.	18,304,962.	18,304,962.
	c	Investments - corporate bonds (attach schedule) ATCH 9	3,399,036.	3,848,073.	3,848,073.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 10	715,346.	1,373,058.	1,373,058.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ ATCH 11)		300,000.	300,000.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	23,326,253.	24,511,916.	24,511,916.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 12)	9,481.		
	23	**Total liabilities** (add lines 17 through 22)	9,481.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	23,316,772.	24,511,916.	
	30	**Total net assets or fund balances** (see instructions)	23,316,772.	24,511,916.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	23,326,253.	24,511,916.	

29
30

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,316,772.
2	Enter amount from Part I, line 27a	2	314,744.
3	Other increases not included in line 2 (itemize) ▶ ATCH 13	3	880,400.
4	Add lines 1, 2, and 3	4	24,511,916.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,511,916.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	84,554.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	43,371.	21,461,496.	0.002021
2016			
2015			
2014			
2013			

2	**Total** of line 1, column (d)	2	0.002021
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.002021
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	23,617,316.
5	Multiply line 4 by line 3.	5	47,731.
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,610.
7	Add lines 5 and 6.	7	51,341.
8	Enter qualifying distributions from Part XII, line 4	8	858,074.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	3,610.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	3,610.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,610.
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a: 10,000.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	10,000.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	6,390.
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 3,640. **Refunded** ▶	11	2,750.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ WA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ FOUNDATION MANAGEMENT GRP, LLC Telephone no ▶ 206-667-0300
Located at ▶ 1000 SECOND AVENUE, 34TH FLOOR SEATTLE, WA ZIP+4 ▶ 98104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☐ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	6b		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 14		180,750.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	22,452,958.
b	Average of monthly cash balances	1b	292,624.
c	Fair market value of all other assets (see instructions)	1c	1,231,389.
d	**Total** (add lines 1a, b, and c)	1d	23,976,971.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	23,976,971.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	359,655.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	23,617,316.
6	**Minimum investment return.** Enter 5% of line 5	6	1,180,866.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	1,180,866.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	3,610.		
b	Income tax for 2018 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,610.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,177,256.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,177,256.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,177,256.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	858,074.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	*Qualifying distributions* Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	858,074.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	3,610.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	854,464.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,177,256.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			491,035.	
b Total for prior years 20 16 ,20 15 ,20 14				
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 858,074.				
a Applied to 2017, but not more than line 2a			491,035.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount				367,039.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				810,217.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 15				
Total . . . . ▶ 3a				654,500.
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	431,305.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	84,554.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 16				54,282.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				570,141.	

13 Total Add line 12, columns (b), (d), and (e) . . . 13 570,141.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11-29-19 Title: Asst. Sec

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MADALINA DOBRA	MADALINA DOBRA	11/12/2019		P01327561
Firm's name ▶ FOUNDATION MANAGEMENT GROUP, LLC			Firm's EIN ▶ 91-2003136	
Firm's address ▶ 1000 2ND AVE 34TH FLOOR SEATTLE, WA 98104-1022			Phone no 206-667-0300	

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No 1545-0047

2018

Name of the organization: WILLIAM E. WOCKNER FOUNDATION

Employer identification number: 81-3327412

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization WILLIAM E. WOCKNER FOUNDATION

Employer identification number 81-3327412

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM E. WOCKNER TRUST 701 FIFTH AVENUE, SUITE 3300 SEATTLE, WA 98104	$ 515,726.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
2	FRANCES A WOCKNER TRUST 701 FIFTH AVENUE, SUITE 3300 SEATTLE, WA 98104	$ 300,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization WILLIAM E. WOCKNER FOUNDATION

Employer identification number 81-3327412

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization WILLIAM E. WOCKNER FOUNDATION

Employer identification number 81-3327412

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once. See instructions) ▶ $ ________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					374,572.	
		PUBLICLY TRADED SECURITIES SALES JULY-DE PROPERTY TYPE: SECURITIES				D	VARIOUS	VARIOUS
5,555,921.		5,811,045.					-255,124.	
		PUBLICLY TRADED SECURITIES ST SALES - FE PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
136,072.		143,265.					-7,193.	
		PUBLICLY TRADED SECURITIES LT SALES - FE PROPERTY TYPE: SECURITIES				D	VARIOUS	VARIOUS
1,099,050.		1,126,751.					-27,701.	
TOTAL GAIN(LOSS)							84,554.	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
PROM. NOTE INTEREST		54,282.	54,282.	
	TOTALS	54,282.	54,282.	

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
PERKINS COIE LLP	8,580.	1,716.		6,864.
KARR TUTTLE CAMPBELL	13,102.	2,620.		10,482.
TOTALS	21,682.	4,336.		17,346.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
COLDSTREAM - ADVISORY FEES	149,418.	149,418.		
MANAGEMENT FEES - FOUNDATION MANAGEMENT GROUP, LLC	30,000.	6,000.		24,000.
TOTALS	179,418.	155,418.		24,000.

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FOREIGN TAXES	6,852.	6,852.		
CURRENT YEAR EXCISE TAX	3,610.			
PAYROLL TAXES	21,143.	4,229.		16,914.
WA L&I COMP	98.	20.		78.
PY EXCISE TAX	339.			
TOTALS	32,042.	11,101.		16,992.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LICENSES FEES	72.			72.
OFFICE AND SUPPLIES EXPENSES	34.			34.
ADP FEES	662.	132.		530.
MARGIN INTEREST EXPENSE	34.	34.		
LIFE INSURANCE - PROM. NOTE	1,929.	1,929.		
TOTALS	2,731.	2,095.		636.

ATTACHMENT 6

FORM 990PF, PART II - OTHER NOTES AND LOANS RECEIVABLE

BORROWER: WE WILL PROPERTIES, LLC
ORIGINAL AMOUNT: 1,549,500.
INTEREST RATE: 6.0000 %
DATE OF NOTE: 11/09/2006

BEGINNING BALANCE DUE	994,895.
ENDING BALANCE DUE	910,582.
ENDING FAIR MARKET VALUE	910,582.

BORROWER: CON-FOR, LLC
ORIGINAL AMOUNT: 1,000,000.
INTEREST RATE: 3.0000 %
DATE OF NOTE: 10/10/2004

BEGINNING BALANCE DUE	144,361.
ENDING BALANCE DUE	
ENDING FAIR MARKET VALUE	

ATTACHMENT 6 (CONT'D)

BORROWER: DAN FRUHLING
ORIGINAL AMOUNT: 200,000.
INTEREST RATE: 8.0000 %
DATE OF NOTE: 02/04/2013

BEGINNING BALANCE DUE	14,417.
ENDING BALANCE DUE	14,417.
ENDING FAIR MARKET VALUE	14,417.
TOTAL BEGINNING OTHER NOTES AND LOANS RECEIVABLE	1,153,673.
TOTAL ENDING BOOK - OTHER NOTES AND LOANS RECEIVABLE	924,999.
TOTAL ENDING FMV - OTHER NOTES AND LOANS RECEIVABLE	924,999.

ATTACHMENT 7

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
PREPAID EXCISE TAX			6,390.	6,390.
	TOTALS		6,390.	6,390.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
STOCK FUNDS - SEE ATTACHMENT B	7,279,572.	7,901,366.	7,901,366.
EQUITY ETPS - SEE ATTACHMENT B	6,748,491.	7,954,403.	7,954,403.
COMMON STOCKS - SEE ATTACHMENT B	2,095,913.	2,449,193.	2,449,193.
TOTALS	16,123,976.	18,304,962.	18,304,962.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
FIXED INCOME ETPS - SEE ATTACHMENT B	1,077,072.	1,159,333.	1,159,333.
BOND FUNDS - SEE ATTACHMENT B	2,321,964.	2,688,740.	2,688,740.
TOTALS	3,399,036.	3,848,073.	3,848,073.

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
ISHARES GOLD TRUST ISHARES	715,346.	1,373,058.	1,373,058.
TOTALS	715,346.	1,373,058.	1,373,058.

ATTACHMENT 11

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
LIFE INSURANCE FRANCES WOCKNER		300,000.	300,000.
TOTALS		300,000.	300,000.

ATTACHMENT 12

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE
CURRENT YEAR EXCISE TAX	9,481.	
TOTALS	9,481.	

ATTACHMENT 13

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
UNREALIZED GAIN ON INVESTMENTS	880,400.
TOTAL	880,400.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JAMES K TREADWELL 1000 SECOND AVENUE, 34TH FLOOR SEATTLE, WA 98104-1022	PREDIDENT 20.00	75,000.	0.	0.
FRANCES A WOCKNER 1000 SECOND AVENUE, 34TH FLOOR SEATTLE, WA 98104-1022	VICE PRESIDENT, SECRETARY 20 00	68,250	0	0
GEORGE R. PIERCE 1000 SECOND AVENUE, 34TH FLOOR SEATTLE, WA 98104-1022	TREASURER 20.00	37,500.	0.	0
DANIEL M. ASHER 1000 SECOND AVENUE, 3TH FLOOR SEATTLE, WA 98104-1022	ASSISTANT SECRETARY	0.	0.	0.
	GRAND TOTALS	180,750	0.	0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE ATTACHMENT A 1000 SECOND AVENUE, 34TH FLOOR SEATTLE, WA 98104-1022	N/A PC	TO PROVIDE SUPPORT FOR EXEMPT ORGANIZATION	654,500
		TOTAL CONTRIBUTIONS PAID	654,500

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 16

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
PROM NOTE INTEREST			14	54,282	
TOTALS				54,282	

WILLIAM E WOCKNER FOUNDATION
Grants and Contributions Paid During FYE 6/30/2019
EIN 81-3327412
2018 FORM 990-PF - ATTACHMENT A

Recipient Name & Address	Status of Recipient	Purpose of Grant	Amount Paid
Parkview Services 17544 Midvale Avenue N, Suite LL Shoreline, WA 98133	None PC	To provide support for exempt organization	25,500 00
NW Harvest PO BOX 12272 Seattle, WA 98102	None PC	To provide support to Hunger Stops Here Challenge	25,000 00
PeaceTrees Vietnam 509 Olive Way, Suite 1226 Seattle, WA 98101	None PC	To provide support to Exempt Organization	500 00
EvergreenHealth Monroe Foundation 14701 - 179th Ave SE #204 Monroe, WA 98272	None PC	To provide support to Exempt Organization	50,000 00
EvergreenHealth Foundation 12040 NE 128th, Mail Stop 5 Kirkland, WA 98034	None PC	To provide support to purchase an EKG machine for the Evergreen Geriatric Care Clinic	15,000 00
Homage Senior Services 5026 - 196th St SW Lynnwood, WA 98036	None PC	To provide support to Exempt Organization	25,000 00
Snohomish Co Volunteer Search & Rescue 5506 Machias Road Snohomish, WA 98290	None PC	To provide support to Exempt Organization	25,000 00
Friends of Youth 13116 NE 132nd Street Kirkland, WA 98034-2306	None PC	To provide support to Exempt Organization	50,000 00
EarthCorps 6310 NE 74th St, #201E Seattle, WA 98115	None PC	To provide support to Exempt Organization To provide support to Duwamish Waterway Clean-up program	500 00 25,000 00

WILLIAM E WOCKNER FOUNDATION
Grants and Contributions Paid During FYE 6/30/2019
EIN 81-3327412
2018 FORM 990-PF - ATTACHMENT A

Recipient Name & Address	Status of Recipient	Purpose of Grant	Amount Paid
Nick of Time Foundation PO Box 14178 Mill Creek, WA 98082-3178	None PC	To provide support to Exempt Organization	15,000 00
Dian Fossey Gorilla Fund 800 Cherokee Ave SE Atlanta, GA 30315	None PC	To provide support to Exempt Organization	20,000 00
Seattle JazzED 3201 E Republican Street Seattle, WA 98112	None PC	To provide support to Exempt Organization	15,000 00
New World Villages 143 S Oar Loop SW Ocean Shores WA 98569	None PC	To provide support to Exempt Organization	15,000 00
Chief Seattle Council, Boy Scouts of Amer 3120 Rainier Ave South Seattle, WA 98144	None PC	To provide support to Exempt Organization	500 00
Kiwanis Camp Casey Memorial Fund PO Box 31033 Seattle, WA 98103	None PC	To provide support to Exempt Organization	25,000 00
Choose 180 1416SW 151st Street Burien, WA 98166	None PC	To provide support to Exempt Organization	10,000 00
Little Bit Therapeutic Riding Center 18675 NE 106th Street Redmond WA 98052	None PC	To provide support to Exempt Organization	10,000 00
NW Children's Foundation 2100 24th Ave S 320 Seattle WA 98144	None PC	To provide support to Exempt Organization	25,000 00

WILLIAM E WOCKNER FOUNDATION
Grants and Contributions Paid During FYE 6/30/2019
EIN 81-3327412
2018 FORM 990-PF - ATTACHMENT A

Recipient Name & Address	Status of Recipient	Purpose of Grant	Amount Paid
South Park Senior Ctr 8201 10th Ave S 4 Seattle WA 98108	None PC	To provide support to Exempt Organization	12,500 00
Pike Place Senior Ctr 85 Pike St 200 Seattle WA 98101	None PC	To provide support to Exempt Organization	12,500 00
REBOOT Alliance PO Box 281 Pleasant View TN 37146	None PC	To provide support to Exempt Organization	5,000 00
Operation Military Family Cares 19807 80th Pla W Edmonds WA 98026	None PC	To provide support to Exempt Organization	5,000 00
Childhaven 316 Broadway Seattle, WA 98122	None PC	To provide support to Exempt Organization	20,000 00
The Center for Wooden Boats 1010 Valley St Seattle WA 98109	None PC	To provide support to Exempt Organization	10,000 00
Hutchinson Cancer Research Center PO Box 19024, J5-200 Seattle WA 98109	None PC	To provide support to Exempt Organization	25,000 00
SCCA 825 East Lake, Box 19023 Seattle WA 98109	None PC	To provide support to Exempt Organization	25,000 00
UW Foundation Box 359505 Seattle, WA 98195	None PC	To provide support to Exempt Organization	25,000 00

WILLIAM E WOCKNER FOUNDATION
Grants and Contributions Paid During FYE 6/30/2019
EIN: 81-3327412
2018 FORM 990-PF - ATTACHMENT A

Recipient Name & Address	Status of Recipient	Purpose of Grant	Amount Paid
Pacific County PO Box 98 South Bend WA 98586	None PC	To provide support to Naselle Volunteer Fire Dept	10,000 00
Sintinels of Freedom PO Box 1316 San Ramon CA 94583	None PC	To provide support to Exempt Organization	5,000 00
Obon Society Box 282 Astoria OR 97103	None PC	To provide support to Exempt Organization	10,000 00
One Mind Box 280 Rutherford CA 94573	None PC	To provide support to the Aurora Project	50,000 00
YMCA 909 4th Ave Seattle WA 98104	None PC	To provide support for low-income housing services	20,000 00
King County Bar Foundation 1200 5th Ave 700 Seattle WA 98101	None PC	To provide support to pro-bono legal services	500 00
University of Oregon Foundation 1720 E 13th Ave Eugene OR 97403	None PC	To provide support to UO School of Law	5,000 00
CDCVAS 300 Okanogan Ave 3-B Wenatchee WA 98801	None PC	To provide support to Exempt Organization	5,000 00
Seattle Derby Brats Box 17326 Seattle WA 98127	None PC	To provide support to Exempt Organization	10,000 00
ROOTS of South Sudan Box 561 Issaquah WA 98027	None PC	To provide support to Exempt Organization	2,000 00

WILLIAM E WOCKNER FOUNDATION
Grants and Contributions Paid During FYE 6/30/2019
EIN 81-3327412
2018 FORM 990-PF - ATTACHMENT A

Recipient Name & Address	Status of Recipient	Purpose of Grant	Amount Paid
New Beginnings Box 75125 Seattle WA 98175	None PC	To provide support to Exempt Organization	20,000 00
		Total Grants Paid - Cash Basis	654,500 00
		Total Grants Expense (Accrual)	654,500.00

William E Wockner Foundation
EIN 81-3327412
2018 FORM 990-PF
ATTACHMENT B

BALANCE SHEETS DETAIL

Stocks

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
Common Stock							
M ALASKA AIR GROUP INC COM USD0.01(ALK)	3,000 000	$63 9100	$191,730.00	$193,309 95	-$1,579.95	$4,200 00	2 190%
M AMAZON.COM INC (AMZN)	230 000	1,893 6300	435,534 90	323,766 94	111,767 96		
M APPLE INC COM USD0.00001(AAPL)	745.000	197 9200	147,450 40	81,680.91	65,769 49	2,294 60	1 560
M COLUMBIA BKG SYS INC(COLB)	6,000 000	36.1800	217,080 00	239,141 25	-22,061 25	6,720 00	3.100
M COSTCO WHOLESALE CORP COM USD0.01 (COS	1,080.000	264 2600	285,400 80	173,309.21	112,091 59	2,808 00	0 980
M JOHNSON &JOHNSON COM USD1.00(JNJ)	1,775.000	139.2800	247,222 00	216,162.87	31,059 13	6,745 00	2 730
M MICROSOFT CORP(MSFT)	2,200 000	133 9600	294,712 00	133,270 33	161,441 67	4,048 00	1 370
M NIKE INC CLASS B COM NPV(NKE)	3,000.000	83.9500	251,850 00	172,874 10	78,975 90	2,640 00	1.050
M STARBUCKS CORP COM USD0.001(SBUX)	1,750.000	83.8300	146,702.50	70,473 61	76,228 89	2,520 00	1 720
M US BANCORP DEL COM NEW(USB)	2,285.000	52 4000	119,734.00	99,997.43	19,736.57	3,381.80	2.820
M WASHINGTON FED INC COM(WAFD)	3,200 000	34 9300	111,776.00	70,799.12	40,976.88	2,560 00	2 290
Total Common Stock (10% of account holdings)			$2,449,192.60	$1,774,785 72	$674,406 88	$37,917 40	
Total Stocks (10% of account holdings)			**$2,449,192.60**	**$1,774,785.72**	**$674,406.88**	**$37,917.40**	

Mutual Funds

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
Stock Funds							
AMG GWK SMALL CAP CORE FUND CL I (GWEIX)	77,557 854	$25.5000	$1,977,725 27	$2,106,976.50	-$129,251 23	$4,436 31	0 220%
DELAWARE VALUE FUND INSTITU (DDVIX)	45,831 414	21 5100	985,833 71	995,000 00	-9,166 29	18,424 23	1 870
WCM FOCUSED INTL GROWTH FUND INSTL (WCMIX)	134,517.366	17 3900	2,339,256 99	1,960,700 00	378,556 99	7,103 86	0.300
VERSUS CAPITAL MULTI MNGR REAL EST INC I (VCMIX)	20,508 596	28.3300	581,008 52	571,281.94	9,726 58	25,430.66	4 380
M AMERICAN AMCAP FUND F2(AMCFX)	46,324	32 4700	1,504,164 01	1,471,505.92	32,658 09		
M T ROWE PRICE INSTL EMERGING MRKTS EQTY (IEMFX)	12,98	39 5300	513,377.96	504,567 81	8,810.15	3,896.11	0.760
			$7,901,366 46	$7,610,032.17	$291,334 29	$59,291.17	

William E Wockner Foundation
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ATTACHMENT B

BALANCE SHEETS DETAIL

Exchange Traded Products

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est.Yield (EY)
Equity ETPs							
ISHARES CORE S&P TOTAL US STOCK MARKET ETF (ITOT)	21,049.000	$66 6300	$1,402,494.87	$1,179,777 08	$222,717 79	$21,961 16	1 570%
ISHARES CORE S&P 500 ETF(IVV)	3,370 000	294 7500	993,307.50	947,470.34	45,837 16	16,038 90	1 610
ISHARES CORE S&P MID-CAP ETF(IJH)	850 000	194 2600	165,121 00	170,162.10	-5,041.10	2,452 22	1 490
ISHARES TRUST CORE MSCI EAFE ETF (IEFA)	23,190 000	61 4000	1,423,866 00	1,574,442.17	-150,576 17	45,188.82	3 170
ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	8,266.000	51 4400	425,203 04	464,142 74	-38,939 70	11,159 27	2 620
M ISHARES CORE S&P 500 ETF(IVV)	5,880.000	294 7500	1,733,130 00	1,493,582.61	239,547 39	27,984 78	1 610
M ISHARES CORE S&P MID-CAP ETF(IJH)	9,324 000	194.2600	1,811,280 24	1,772,405 65	38,874 59	26,899 39	1 490
Total Equity ETPs(34% of account holdings)			7,954,402 65	7,601,982 69	352,419 96	151,684 54	

TOTAL CORPORATE STOCKS $18,304,961.71

William E Wockner Foundation
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BALANCE SHEETS DETAIL

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est.Yield (EY)
FIXED INCOME EFTPS							
	2,491 000	$100.5800					
ISHARES 0-5 YEAR TIPS BOND ETF(STIP)	2,747 000	100 5800	$250,544 78		$3,553 68	$7,160.26	2 860%
)				274,040 72	2,252 8		
M ISHARES 0-5 YEAR TIPS BOND ETF(STIP	7,614 000	83 0700	276,293 26	612,217 45	20,277 53	7,896 12	2 860
M VANGUARD BD INDEX FUND INC TOTAL BOND MARKET ETF (BND)			632,494.98			17,565 29	2 780
			1,159,333 02	1,133,249 27	26,083.75	32,621 67	
Total Fixed Income ETPs(5% of account holdings)							
Other ETPs							

Bond Funds

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est.Yield (EY)
DOUBLELINE TOTAL RETURN BOND FD CL I (DBLTX)	6,385 427	$10 6800	$68,196.36	$66,600 00	$1,596 36	$2,483.42	3 640%
DOUBLELINE EMERGING MRTKS FIXED INC I (DBLEX)	32,839 024	10.6400	349,407 21	336,600 00	12,807 21	15,071.31	4 310
METROPOLITAN WEST TOTAL RETURN CLASS I (MWTIX)	17,003 777	10.9000	185,341.16	176,500 00	8,841 16	5,259 29	2.840
PIONEER MULTI-ASSET ULTRASHORT INCOME Y (MYFRX)	37,594 680	9 9400	373,691 11	374,443.02	-751.91	10,003 69	2 680
M DOUBLELINE TOTAL RETURN BOND FD CL I (DBLTX)	52,847.140	10.6800	564,407 45	559,663 74	4,743 71	20,553 27	3.640
M METROPOLITAN WEST TOTAL RETURN CLASS I (MWTIX)	105,293 296	10 9000	1,147,696.92	1,117,178.11	30,518.81	32,567 35	2 840
Total Bond Funds (11% of account holdings)			$2,688,740 21	$2,630,984.87	$57,755.34	$85,938 33	

TOTAL CORPORATE BONDS $3,848,073.23