

Return of Private Foundation

2949134111319 9

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

07/01, 2018, and ending

06/30, 2019

Name of foundation

THE WERTH FAMILY FOUNDATION, INC.

A Employer identification number

80-0024133

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

C/O P WERTH III 1764 LITCHFIELD TPK

(203) 929-3535

City or town, state or province, country, and ZIP or foreign postal code

WOODBIDGE, CT 06525

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) \$ 11,222,609.

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here.

D 1 Foreign organizations, check here.

2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here.

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

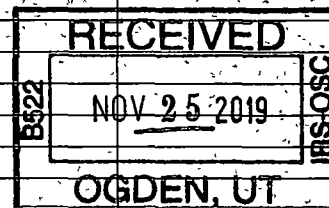
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	6,000			
2 Check ☒ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments.				
4 Dividends and interest from securities	447,712	447,712	447,712	
5a Gross rents				
b Net rental income or (loss)	66,265			
6a Net gain or (loss) from sale of assets not on line 10		66,265		
b Gross sales price for all assets on line 6a 11,408,948.				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain.				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH. 1	11,644	11,468	11,468	
12 Total. Add lines 1 through 11	531,621	525,445	459,180	
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH. 2	11,900	11,900	11,900	
c Other professional fees (attach schedule)				
17 Interest ATCH. 3	22,192	22,192	22,192	
18 Taxes (attach schedule) (see instructions) [4]	12,080			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH. 5	74,005			
24 Total operating and administrative expenses. Add lines 13 through 23.	120,177	34,092	34,092	
25 Contributions, gifts, grants paid	1,179,532			1,179,532
26 Total expenses and disbursements. Add lines 24 and 25	1,299,709	34,092	34,092	1,179,532
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-768,088			
b Net investment income (if negative, enter -0-)		491,353		
c Adjusted net income (if negative, enter -0-)			425,088	



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		2,326,706.	1,856,960.	1,856,960.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 6	8,557,365.	8,497,918.	8,497,918.	
	c	Investments - corporate bonds (attach schedule)				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶ (attach schedule)				
12		Investments - mortgage loans				
13		Investments - other (attach schedule) ATCH 7	879,280.	867,731.	867,731.	
14		Land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶ (attach schedule)				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	11,763,351.	11,222,609.	11,222,609.	
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ☐				
		and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☒ X				
		and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	11,763,351.	11,222,609.		
30	Total net assets or fund balances (see instructions)	11,763,351.	11,222,609.			
31	Total liabilities and net assets/fund balances (see instructions)	11,763,351.	11,222,609.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,763,351.
2	Enter amount from Part I, line 27a	2	-768,088.
3	Other increases not included in line 2 (itemize) ▶ ATCH 8	3	256,902.
4	Add lines 1, 2, and 3 :	4	11,252,165.
5	Decreases not included in line 2 (itemize) ▶ ATCH 9	5	29,556.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,222,609.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	66,265.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,277,252.	11,961,564.	0.106780
2016	1,511,396.	11,055,394.	0.136711
2015	1,180,740.	10,890,861.	0.108416
2014	1,306,708.	10,704,189.	0.122074
2013	1,227,980.	9,894,568.	0.124106
2 Total of line 1, column (d)			2 0.598087
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.119617
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 11,422,570.
5 Multiply line 4 by line 3.			5 1,366,334.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 4,914.
7 Add lines 5 and 6.			7 1,371,248.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 1,179,532.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	9,827.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	
3 Add lines 1 and 2		3	9,827.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	9,827.
6 Credits/Payments			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	6,680.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,680.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	3,147.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ CT, ☐		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ DHL&S, P.C. Telephone no ▶ 203-929-3535 Located at ▶ 4 CORPORATE DRIVE SHELTON, CT ZIP+4 ▶ 06484		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance, check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
N/A		0.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	8,476,240.
b	Average of monthly cash balances	1b	2,341,361.
c	Fair market value of all other assets (see instructions).	1c	778,917.
d	Total (add lines 1a, b, and c)	1d	11,596,518.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	11,596,518.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	173,948.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,422,570.
6	Minimum investment return. Enter 5% of line 5	6	571,129.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	571,129.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	9,827.
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	9,827.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	561,302.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	561,302.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	561,302.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	1,179,532.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,179,532.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,179,532.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				561,302.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.				
b Total for prior years 20 <u>16</u> , 20 <u>15</u> , 20 <u>14</u>				
3 Excess distributions carryover, if any, to 2018				
a From 2013	740,758.			
b From 2014	777,745.			
c From 2015	641,487.			
d From 2016	964,850.			
e From 2017	625,853.			
f Total of lines 3a through e	3,750,693.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>1,179,532.</u>				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount.				561,302.
e Remaining amount distributed out of corpus.	618,230.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,368,923.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	740,758.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	3,628,165.			
10 Analysis of line 9				
a Excess from 2014	777,745.			
b Excess from 2015	641,487.			
c Excess from 2016	964,850.			
d Excess from 2017	625,853.			
e Excess from 2018	618,230.			

NOT APPLICABLE

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(i)(3) or

4942(1)(5)

Tax year	Prior 3 years			(e) Total
(a) 2018	(b) 2017	(c) 2016	(d) 2015	

b 85% of line 2a

C Qualifying distributions from Part XII, line 4 for each year listed . . .

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets. . . .

(2) Value of assets qualifying under section 4942(i)(3)(B)(i).

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .

C "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(ii)

(3) Largest amount of support from an exempt organization. . . .

(4) Gross investment income .

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

PETER WERTH JR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

PETER WERTH JR.

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATCH 11				
Total			▶ 3a	1,179,532.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments .					
4 Dividends and interest from securities			14	447,712.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	11,468.	
8 Gain or (loss) from sales of assets other than inventory			18	66,265.	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a _____					
b ATCH 12 _____		176.			
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e)		176.		525,445.	
13 Total. Add line 12, columns (b), (d), and (e)			13		525,621.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					15,656.	
7,574,761.		HERITAGE CAPITAL #876 PROPERTY TYPE: SECURITIES 7,603,697.				P	VAR	06/30/2019
							-28,936.	
3,590,478.		HERITAGE CAPITAL #884 PROPERTY TYPE: SECURITIES 3,616,444.				P	VAR	06/30/2019
							-25,966.	
127,070.		HERITAGE CAPITAL #884 LT PROPERTY TYPE: SECURITIES 122,542.				P	VAR	06/30/2019
							4,528.	
37,864.		BALANCE POINT CAPITAL PARTNERS LP PROPERTY TYPE: SECURITIES				P	VAR	06/30/2019
							37,864.	
2,200.		WP PE IV TRUST ACTIVITY PROPERTY TYPE: SECURITIES				P	VAR	06/30/2019
							2,200.	
60,764.		BALANCE POINT CAPITAL EXCESS DISTRIBUTIO PROPERTY TYPE: SECURITIES				P	VAR	12/31/2018
							60,764.	
155.		PFIZER SETTLEMENT PROPERTY TYPE: SECURITIES				P	VAR	4/2/2019
							155.	
TOTAL GAIN (LOSS)							<u>66,265.</u>	

FORM 990PF, PART I - OTHER INCOME

ATTACHMENT 1

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
OTHER PORTFOLIO INCOME	11,468.	11,468.	11,468.
TOTAL UBTI (990T)	176.		
TOTALS	11,644.	11,468.	11,468.

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEE	11,900.	11,900.	11,900.	
TOTALS	<u>11,900.</u>	<u>11,900.</u>	<u>11,900.</u>	

ATTACHMENT 3FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT INTEREST EXPENSE	22,192.	22,192.	22,192.	
TOTALS	<u>22,192.</u>	<u>22,192.</u>	<u>22,192.</u>	

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL INCOME TAX	12,080.
TOTALS	<u>12,080.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
MISCELLANEOUS EXPENSES/SERVICE	1,079.
BANK CHARGES	13,101.
OTHER PORTFOLIO DEDUCTIONS	53,796.
K-1 SECTION 179 DEDUCITONS	
NET 1231 LOSS	5.
SECTION 754 DEPN	6,024.
TOTALS	<u>74,005.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 6DESCRIPTION

	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
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MERRILL LYNCH - EQUIT./MUT.FDS	7,589,826.	7,589,826.
HERITAGE CAPITAL #876	252,105.	252,105.
HERITAGE CAPITAL #884	655,987.	655,987.

TOTALS

	<u>8,497,918.</u>	<u>8,497,918.</u>
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FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BALANCE POINT CAPITAL	366,172.	366,172.
WP PE IV TRUST	17,038.	17,038.
BCP V OFFSHORE	18,270.	18,270.
BALANCE POINT CAPITAL II	466,251.	466,251.
TOTALS	<u>867,731.</u>	<u>867,731.</u>

ATTACHMENT 8FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN ON INVESTMENTS	256,902.
TOTAL	<u>256,902.</u>

ATTACHMENT 9FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NONDEDUCTIBLE K-1 ITEMS	373.
TAXABLE INCOME IN EXCESS OF GAAP INCOME	-31,581.
EXCESS DISTRIBUTION TAX IN EXCESS OF BK	60,764.
TOTAL	<u>29,556.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PETER J. WERTH JR. 85 RIMMON RD. WOODBIDGE, CT 06525	PRESIDENT	0.	0.	0.
PETER J. WERTH III 245 NEWTON ROAD WOODBIDGE, CT 06525	TREASURER	0.	0.	0.
DEBORAH K. BACHARD 180 CUTLERS FARM ROAD MONROE, CT 06468	SECRETARY	0.	0.	0.
JAQUELINE A MOORE 25 GREAT POND ROAD SIMSBURY, CT 06070	DIRECTOR	0.	0.	0.
SUZANNE WERTH 245 NEWTON ROAD WOODBIDGE, CT 06525	DIRECTOR	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VARIOUS 501 (C) (3) ORGANIZATIONS-SEE ATTACHED SEE ATTACHED LIST WOODBIDGE, CT 06525	NONE	PC	CHARITABLE	1,179,500.
VARIOUS DONATIONS THRU INVESTMENT ACTIVITY 1764 LITCHFIELD TURNPIKE WOODBIDGE, CT 06525	UNRELATED		CHARITABLE	32.
TOTAL CONTRIBUTIONS PAID				1,179,532.

THE WERTH FAMILY FOUNDATION
SCHEDULE OF DONATIONS/CONTRIBUTIONS PAID
SUPPLEMENT TO FORM 990-PF, PART XV LINE 3A
F/Y/E 6/30/2019
EIN: 80-0024133

Contributions

Transaction	Date	Amount	Check Number
Himalaya Currents Inc	7/4/2018	\$35,000 00	876
NEQA	8/30/2018	\$5,000 00	877
Vet Fuel	8/30/2018	\$5,000 00	879
Americares	10/11/2018	\$25,000.00	880
Americares	11/19/2018	\$25,000 00	882
The Mercy Learning Cent	12/6/2018	\$30,000.00	883
The McGivny Cent	12/6/2018	\$15,000 00	884
CT Fund for the Envm	12/6/2018	\$15,000.00	885
Monroe Playground Found.	12/6/2018	\$5,000 00	886
SCSU Foundation	1/28/2019	\$300,000 00	889
CT NOFA	2/14/2019	\$8,500.00	890
Fish of Gr N.H.	2/14/2019	\$5,000.00	891
Art's Council of Gr N.H	2/14/2019	\$18,000.00	892
Elm Shakespear	2/14/2019	\$12,000 00	893
Himalaya Currents Inc	3/14/2019	\$30,000 00	894
Oak Hill	3/27/2019	\$25,000.00	895
Brain Injury Alliance of CT	3/27/2019	\$20,000.00	896
Cardinal Shehan Center	3/27/2019	\$30,000.00	897
Massaro Community Farm	3/27/2019	\$22,000.00	898
Massaro Community Farm	3/29/2019	\$3,000 00	899
Literacy Volunteers of Gr. N H	3/27/2019	\$30,000 00	900
Save Our Stepney	4/29/2019	\$2,400.00	901
Friends of Edit Wheeler Lib	4/29/2019	\$3,600.00	902
Resources In S & R	4/29/2019	\$1,200.00	903
Ability Beyond	4/29/2019	\$2,400 00	904
Trumbull Nat. Arts Center	4/29/2019	\$1,200.00	905
Human Services Council	4/29/2019	\$1,200 00	906
Healing Meals Foundation	4/29/2019	\$1,200 00	907
Boy Scouts of Amer. CT River	4/29/2019	\$1,200.00	908
Simsbury ABC Program	4/29/2019	\$1,200 00	909
Simsbury Land Trust	4/29/2019	\$1,200.00	910
Friends of the Simsbury Lib.	4/29/2019	\$1,200 00	911
Windsor Ind Living Assoc	4/29/2019	\$1,200.00	912
YMCA of Gr Hartford	4/29/2019	\$1,200.00	913
Friends of Green Hill Pond	4/29/2019	\$1,200 00	914
Simsbury Vol Ambulance Assoc	4/29/2019	\$1,200 00	915
Gifts of Love	4/29/2019	\$1,200 00	916
Creative Arts Workshop	4/29/2019	\$1,200 00	917
Friends of Woodbridge Lib.	4/29/2019	\$1,200.00	919
The Get In Touch Foundation	4/29/2019	\$1,200.00	920
The Woodbridge Park Assoc	4/29/2019	\$1,200 00	921
Friends of Green Hill Pond	4/29/2019	\$1,200 00	922
Lockets Meadow Farm	4/29/2019	\$1,200.00	923
Amity Historical Society	4/29/2019	\$1,200.00	924
Bethany Historical Society	4/29/2019	\$1,200.00	925
St Martin De Porres Academy	4/29/2019	\$1,200.00	926
Americares	5/1/2019	\$25,000.00	927
Columbus House	5/1/2019	\$100,000 00	928
HCC Foundation	5/1/2019	\$70,000 00	929
Yale Women's Health	5/1/2019	\$100,000.00	930
National Public Radio	6/28/2019	\$1,200 00	931
Housatonic Valley Assoc.	6/28/2019	\$20,000 00	932
CT Food Bank	6/28/2019	\$40,000 00	933
New Haven Farms Inc	6/28/2019	\$20,000.00	934
New Reach Inc.	6/28/2019	\$15,000.00	935
Center for Family Justice	6/28/2019	\$20,000 00	936
CT Beardstey Zoo	6/28/2019	\$5,000 00	937
Neighborhood Studios Inc.	6/28/2019	\$10,000.00	938
The Kline Theater	6/28/2019	\$25,000.00	939
Bridges YPP	6/26/2019	\$20,000.00	940
Buddy Mentor of CT	6/26/2019	\$10,000 00	941
Total Contributions:		1,179,500.00	

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 12

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
PASS-THRU INVESTMENTS		176.	14		
FEDERAL TAX REFUND			01		
CONTRIBUTIONS					
TOTALS				<u>176.</u>	