

Form **990-PF**

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

**2018**

Open to Public Inspection

For calendar year 2018 or tax year beginning **JUL 1, 2018**, and ending **JUN 30, 2019**

Name of foundation: **THE KLEIN FAMILY FOUNDATION, INC.**

Address: **MCCABE HEIDRICH & WONG; 4 GATEHALL DR. PARSIPPANY, NJ 07054-4518**

Employer identification number: **52-1705026**

Telephone number: **973-605-1040**

Check all that apply:
 

- Initial return
- Final return
- Address change
- Initial return of a former public charity
- Amended return
- Name change

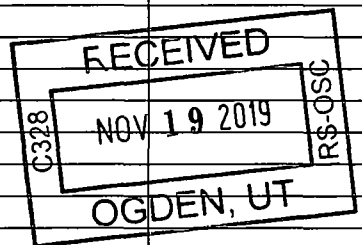
Check type of organization:
 

- Section 501(c)(3) exempt private foundation
- Section 4947(a)(1) nonexempt charitable trust
- Other taxable private foundation

Fair market value of all assets at end of year: **\$ 5,513,332.**

Accounting method:  Cash

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		3,556.	3,556.		STATEMENT 1
4 Dividends and interest from securities		102,149.	102,149.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-28,181.			
b Gross sales price for all assets on line 6a		380,851.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		77,524.	105,705.		
13 Compensation of officers, directors, trustees, etc.		50,000.	5,000.		45,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		8,300.	7,300.		1,000.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		1,011.	1,011.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		37,147.	37,147.		0.
24 Total operating and administrative expenses Add lines 13 through 23		96,458.	50,458.		46,000.
25 Contributions, gifts, grants paid		185,000.			185,000.
26 Total expenses and disbursements Add lines 24 and 25		281,458.	50,458.		231,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-203,934.			
b Net investment income (if negative, enter -0-)			55,247.		
c Adjusted net income (if negative, enter -0-)				N/A	



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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	158,152.	142,269.	142,269.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <b>STMT 6</b>	4,089,941.	3,901,890.	5,371,063.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
15 Other assets (describe ▶ _____)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I)	4,248,093.	4,044,159.	5,513,332.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ _____)			
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26, and lines 30 and 31</b>			
	24 Unrestricted	4,248,093.	4,044,159.	
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31</b>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b>	4,248,093.	4,044,159.		
31 <b>Total liabilities and net assets/fund balances</b>	4,248,093.	4,044,159.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,248,093.
2 Enter amount from Part I, line 27a	2	-203,934.
3 Other increases not included in line 2 (itemize) ▶ _____	3	0.
4 Add lines 1, 2, and 3	4	4,044,159.
5 Decreases not included in line 2 (itemize) ▶ _____	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,044,159.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	<b>SEE ATTACHED STATEMENT</b>			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e	<b>380,851.</b>	<b>409,032.</b>	<b>-28,181.</b>	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			<b>-28,181.</b>	
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<b>-28,181.</b>	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	<b>N/A</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	225,000.	5,109,180.	.044038
2016	221,007.	4,842,141.	.045642
2015	234,490.	4,552,215.	.051511
2014	236,565.	4,921,455.	.048068
2013	254,694.	4,597,405.	.055400
2	Total of line 1, column (d)	2	.244659
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.048932
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	5,177,310.
5	Multiply line 4 by line 3	5	253,336.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	552.
7	Add lines 5 and 6	7	253,888.
8	Enter qualifying distributions from Part XII, line 4	8	231,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate - See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,105.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	1,105.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	1,105.
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	1,744.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	1,744.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.
9	<b>Tax due</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	639.
11	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <input type="checkbox"/> <b>639.</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

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Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 containing questions about controlled entities, distributions, public inspection requirements, books in care, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b containing questions about disqualifying acts, taxes on failure to distribute income, and business holdings.

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
<b>5a</b> During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	5b	
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	N/A		
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b	X
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANTOINETTE GEYELIN C/O MCCABE HEIDRICH & WONG; 4 GATEHAL PARSIPPANY, NJ 07054	PRES./TREAS. AND TRUSTEE 15.00	50,000.	0.	0.
JUDITH ALBERT C/O MCCABE HEIDRICH & WONG; 4 GATEHAL PARSIPPANY, NJ 07054	VICE PRES. AND TRUSTEE 0.50	0.	0.	0.
JENNIFER KLEIN SEAMAN C/O MCCABE HEIDRICH & WONG; 4 GATEHAL PARSIPPANY, NJ 07054	VICE PRES./SECRETARY AND T 0.50	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Row 1 contains 'NONE'.

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Table with 2 columns: Description, Expenses. Row 1 contains 'N/A'.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Table with 2 columns: Description, Amount. Row 1 contains 'N/A'.

Total. Add lines 1 through 3 0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	5,179,130.
b	Average of monthly cash balances	1b	77,022.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	5,256,152.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,256,152.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	78,842.
5	<b>Net value of noncharitable-use assets</b> . Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,177,310.
6	<b>Minimum investment return</b> . Enter 5% of line 5	6	258,866.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	258,866.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	1,105.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,105.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	257,761.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	257,761.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted</b> . Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	257,761.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	231,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> . Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	231,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions</b> . Subtract line 5 from line 4	6	231,000.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				257,761.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			113,282.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 231,000.				
a Applied to 2017, but not more than line 2a			113,282.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				117,718.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				140,043.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year		Prior 3 years		(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SEE ATTACHED STATEMENT B	N/A	PUBLIC CHARITIES	UNRESTRICTED	185,000.
<b>Total</b>			▶ 3a	185,000.
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>			▶ 3b	0.





THE KLEIN FAMILY FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 150,000 UNITED STATES TREASURY BILLS; DUE 5/23/19	P	08/22/18	05/23/19
b 1,000 SHS AT&T INC	P	10/06/16	08/22/18
c 450 SHS PRAXAIR INC.	P	10/06/16	10/31/18
d 2,000 SHS WPP PLC	P	VARIOUS	06/10/19
e PFIZER INC- SECURITIES LITIGATION	P	VARIOUS	02/08/19
f BANK OF AMERICA CORPORATION- SECURITIES LITIGATION	P	VARIOUS	02/07/19
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 147,428.		147,428.	0.
b 32,974.		32,703.	271.
c 73,553.		54,170.	19,383.
d 126,353.		174,731.	-48,378.
e 78.			78.
f 465.			465.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0.
b			271.
c			19,383.
d			-48,378.
e			78.
f			465.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-28,181.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON - INTEREST INCOME	18.	18.	
BNY MELLON - NOMINEE - INTEREST INCOME	3,538.	3,538.	
TOTAL TO PART I, LINE 3	3,556.	3,556.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON - NOMINEE - DIVIDEND INCOME	102,149.	0.	102,149.	102,149.	
TO PART I, LINE 4	102,149.	0.	102,149.	102,149.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,300.	7,300.		1,000.
TO FORM 990-PF, PG 1, LN 16B	8,300.	7,300.		1,000.

FORM 990-PF	TAXES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	1,011.	1,011.		0.
TO FORM 990-PF, PG 1, LN 18	1,011.	1,011.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	33,734.	33,734.		0.
BANK CUSTODIAL FEES	3,123.	3,123.		0.
ANNUAL FILING FEE	25.	25.		0.
MISC. FEES	265.	265.		0.
TO FORM 990-PF, PG 1, LN 23	37,147.	37,147.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT 6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED STATEMENT A	3,901,890.	5,371,063.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,901,890.	5,371,063.	

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Yield
<b>PRINCIPAL ASSETS</b>								
<b>EQUITIES</b>								
<b>Common Stock</b>								
ABB LTD SPONSORED ADR Security Identifier ABB CUSIP 000375204 Price Estimated As of: 06/28/2019 Dividend Option Cash Capital Gains Option Cash	6,000 0000	20 0300	120,180 00	130,007 35	-9,827 35	4,670 96	0 00	3 88
AIR PRODS & CHEMS INC COM Security Identifier APD CUSIP 009158106 Price Estimated As of: 06/28/2019 Dividend Option Cash Capital Gains Option Cash	1,000 0000	226 3700	226,370 00	133,787 45	92,582 55	4,640 00	1,160 00	2 04
ALBEMARLE CORP COM Security Identifier ALB CUSIP 012653101 Price Estimated As of: 06/28/2019 Dividend Option Cash Capital Gains Option Cash	600 0000	70 4100	42,246 00	55,124 64	-12,878 64	882 00	220 50	2 08
ALCON SA ACT NOM ISIN#CH0432492467 Security Identifier ALC CUSIP H01301128 Price Estimated As of: 06/28/2019 Dividend Option Cash Capital Gains Option Cash	140 0000	61 8382	8,657 36	6,720 24	1,937 12	0 00	0 00	0 00

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

s/b 24,339 01

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Current Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
ALEXION PHARMACEUTICALS INC COM Security Identifier: ALXN CUSIP: 01535109 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	100 0000	130 9800	13,098 00	12,107 50	990 50	0 00	0 00	0 00
ALIBABA GROUP HLDG LTD SPONSORED ADR ISIN#US01609W1027 Security Identifier: BABA CUSIP: 01609W102 Price Estimated As of 05/28/2019 Dividend Option Cash Capital Gains Option Cash	362 0000	169 4500	61 340 90	0 00	61,340 90	0 00	0 00	0 00
ANALOG DEVICES INC COM Security Identifier: ADI CUSIP: 032654105 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	2,000 0000	112 8700	225,740 00	133,934 10	91,805 90	4,320 00	0 00	1 91
BERKSHIRE HATHAWAY INC DEL CL B NEW Security Identifier: BRK B CUSIP: 084670702 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	500 0000	213 1700	106,585 00	71,820 50	34,764 50	0 00	0 00	0 00

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

s/b 14,467 61

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
BIOMARIN PHARMACEUTICAL INC COM Security Identifier: BMRN CUSIP: 09061G101 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash	150 0000	85 6500	12,847 50	12,652 96	194 54	0 00	0 00	0 00
BRIGHT HORIZONS FAMILY SOLUTIONS INC DEL COM Security Identifier: BFAM CUSIP: 109194100 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash	500 0000	150 8700	75,435 00	0 00	75,435 00	0 00	0 00	0 00
BRUNSWICK CORP Security Identifier: BC CUSIP: 117043109 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash	800 0000	45 8900	36,712 00	39,082 35	-2,370 35	672 00	0 00	1 83
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A Security Identifier: CTSH CUSIP: 192446102 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash	2,000 0000	63 3900	126,780 00	100,988 15	25,791 85	1,600 00	0 00	1 26

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Current Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
CORNING INC COM	2,500 0000	33 2300	83,075 00	58,165 80	24,909 20	2,000 00	0 00	2 40
Security Identifier GLW								
CUSIP: 219350105								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
DIAGEO PLC SPONSORED ADR NEW	1,500 0000	172 3200	258,480 00	162,277 30	96,202 70	4,674.42	0 00	1 80
ISIN#US25243Q2057								
Security Identifier DEO								
CUSIP: 25243Q205								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
DISNEY WALT CO DISNEY COM	1,000 0000	139 6400	139,640 00	107 602 05	32,037 95	1,760 00	0 00	1 26
Security Identifier DIS								
CUSIP: 254687106								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
EBAY INC COM	2,000 0000	39 5000	79,000 00	58,422 95	20,577 05	1,120 00	0 00	1 41
Security Identifier EBAY								
CUSIP: 278642103								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
FEDEX CORP COM	700 0000	164 1900	114,933 00	125,422 47	-10,489 47	1,820 00	455 00	1 58
Security Identifier: FD CUSIP: 31428X106 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash								
FLIR SYSTEMS INC	1,500 0000	54 1000	81,150 00	56,445 45	24,704 55	1,020 00	0 00	1 25
Security Identifier: FLIR CUSIP: 302445101 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash								
GILEAD SCIENCES INC	800 0000	67 5600	54,048 00	55,920 75	-1,872 75	2,016 00	0 00	3 73
Security Identifier: GILD CUSIP: 375558103 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash								
HORMEL FOODS CORP COM	3,500 0000	40 5400	141,890 00	127,597 05	14,292 95	2,940 00	0 00	2 07
Security Identifier: HRL CUSIP: 440452100 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash								

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Current Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
ILLINOIS TOOL WORKS INC COM Security Identifier ITW CUSIP 452308109 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	500 0000	150 8100	75,405 00	62,445 00	12,960 00	2,000 00	500 00	2 65
INCYTE CORP COM Security Identifier INCY CUSIP 45337C102 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	150 0000	84 9600	12,744 00	13,175 77	-431 77	0 00	0 00	0 00
					s/b 14,592 45			
INTERXION HOLDING NV SHS ISIN#NLO009693779 Security Identifier INXN CUSIP N47279109 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	530 0000	76 0900	40,327 70	0 00	40,327 70	0 00	0 00	0 00
IONIS PHARMACEUTICALS INC COM Security Identifier IONS CUSIP 462222100 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	500 0000	64 2700	32,135 00	14,148 50	17,986 50	0 00	0 00	0 00
JOHNSON CTLS INTL PLC SHS ISIN#E008Y7QL619 Security Identifier GS1502105 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	4,000 0000	41 3100	165,240 00	172,396 45	7,156 45	4,160 00	1,040 00	2 51

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
LINDE PLC SH ISIN#IE00BZ12WP82	450 0000	200 8000	90,360 00	73,552 50	16,807 50	1,575 00	0 00	1 74
Security Identifier LIN								
CUSIP G54941103								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
M & T BK CORP	700 0000	170 0700	119,049 00	108,978 45	10,070 55	2,800 00	0 00	2 35
Security Identifier MTB								
CUSIP 55261F104								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
MEDTRONIC PLC SHS ISIN#IE008TNY115	2,000 0000	97 3900	194,780 00	154,370 99	40,409 01	4,320 00	0 00	2 21
Security Identifier MDT								
CUSIP G5960L103								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
MERCK & CO INC NEW COM	2,000 0000	83 8500	167,700 00	123,248 03	44,451 97	4,400 00	1,100 00	2 62
Security Identifier MRK								
CUSIP 58933Y105								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Current Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
MICROSOFT CORP COM	900.0000	133.9600	120,564.00	51,676.53	68,887.47	1,656.00	0.00	1.37
Security Identifier: MSFT CUSIP: 594918104 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash								
NEUROCRINE BIOSCIENCES INC COM	300.0000	84.4300	25,329.00	13,390.68	11,938.32	0.00	0.00	0.00
Security Identifier: NBIX CUSIP: 64125C109 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash								
NOVARTIS AG SPONSORED ADR	700.0000	91.3100	63,917.00	48,288.84	15,628.16	1,741.59	0.00	2.72
Security Identifier: NVS CUSIP: 66987V109 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash								
PAYCHEX INC COM	2,900.0000	82.2900	238,641.00	158,340.70	80,300.30	7,192.00	0.00	3.01
Security Identifier: PAYX CUSIP: 704326107 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash								

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
PAYPAL HLDGS INC COM	2,500 0000	114 4600	286,150 00	98,275 60	187,874 40	0 00	0 00	0 00
Security Identifier: PYPL								
CUSIP: 70450Y103								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
PPG INDS INC COM	1,000 0000	116 7100	116,710 00	116,061 85	648 15	1,920 00	0 00	1 64
Security Identifier: PPG								
CUSIP: 693506107								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
PROCTER & GAMBLE CO COM	1,000 0000	109 6500	109,650 00	86,533 12	23,116 88	2,983 00	0 00	2 72
Security Identifier: PG								
CUSIP: 742718109								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								
REGENERON PHARMACEUTICALS INC	200 0000	313 0000	62,600 00	69,491 37	6,891 37	0 00	0 00	0 00
Security Identifier: REGN								
CUSIP: 75886F107								
Price Estimated As of 06/28/2019								
Dividend Option Cash								
Capital Gains Option Cash								

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Current Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS ISIN#US7802591070 Security Identifier RDS B CUSIP 780259107 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	2.000 0000	65 7400	131,480 00	105,794 95	25,685 05	7,520 00	0 00	5 71
SEATTLE GENETICS INC COM Security Identifier SGEN CUSIP 812578102 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	300 0000	69 2100	20,763 00	15,327 72	5,435 28	0 00	0 00	0 00
STERIS PLC REGISTERED SHS ISIN#IE00BFY8C754 Security Identifier G8473T100 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	1.020 0000	148 8800	151,857 60	0 00	151,857 60	1,387 20	0 00	0 91
STRYKER CORP Security Identifier SYK CUSIP 863667101 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash	400 0000	205 5800	82,232 00	46,572 80	35,659 20	832 00	208 00	1 01

s/b 77,055 90



April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Current Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
SYNOPSIS INC	1,000 0000	128 6900	128,690 00	58,426 40	70,263 60	0 00	0 00	0 00
Security Identifier SNPS CUSIP 871607107 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash								
TRIMBLE INC COM	3,000 0000	45 1100	135,330 00	83,951 25	51,378 75	0 00	0 00	0 00
Security Identifier TRMB CUSIP 896239100 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash								
UNILEVER PLC SPON ADR NEW ISIN#US9047677045	3,500 0000	61 9700	216,895 00	151,535 49	65,359.51	6,320 65	0 00	2 91
Security Identifier UL CUSIP 904767704 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash								
US BANCORP DEL COM	3,000 0000	52 4000	157,200 00	158,129 70	929 70	4,440 00	1,110 00	2 82
Security Identifier USB CUSIP 902973304 Price Estimated As of 06/28/2019 Dividend Option Cash Capital Gains Option Cash								

April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Current Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Common Stock (continued)</b>								
VERISK ANALYTICS INC COM Security Identifier: VRSK CUSIP: 92345Y106 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash	1,000 0000	146 4600	146,460 00	81,127 80	65,332 20	1,000 00	0 00	0 68
VERTEX PHARMACEUTICALS INC COM Security Identifier: VRTX CUSIP: 92532F100 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash	150 0000	183 3800	27,507 00	12,251 00	15,256 00	0 00	0 00	0 00
WILEY JOHN & SONS INC CL A Security Identifier: JW A CUSIP: 968223206 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash	800 0000	45 8600	36,688 00	40,690 35	-4,002 35	1,056 00	0 00	2 87
ZIMMER BIOMET HLDGS INC COM Security Identifier: ZBH CUSIP: 98956P102 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash	1,031 0000	117 7400	121 389 94	0 00	121,389 94	989 76	247 44	0 81
<b>Total Common Stock</b>			5,286,002 00	3,566,260 90	1,719,741.10	92,428 58	6,040 94	

s/b 105,608 01



April 1, 2019 - June 30, 2019  
 THE KLEIN FAMILY FOUNDATION, INC  
 Base Currency: U.S. DOLLARS (USD)

	FMV	Cost
Investment-corp stock	5,365,022.00	3,901,889.83
Add Accrued Income	6,040.94	0.00
Total Investment-corp stock	5,371,062.94	3,901,889.83

**Portfolio Holdings (continued)**

	Quantity	Price	Market Value	Current Cost Basis	Unrealized Gain/Loss	Estimated Annual Income	Accrued Current Income	Current Yield
<b>PRINCIPAL ASSETS (continued)</b>								
<b>EQUITIES (continued)</b>								
<b>Real Estate Investment Trusts</b>								
WEYERHAEUSER CO COM	3,000,000	26.3400	79,020.00	99,565.95	20,545.95	4,080.00	0.00	5.16
Security Identifier: WY CUSIP: 962166104 Price Estimated As of: 06/28/2019 Dividend Option: Cash Capital Gains Option: Cash								
<b>Total Real Estate Investment Trusts</b>			79,020.00	99,565.95	-20,545.95	4,080.00	0.00	
<b>TOTAL EQUITIES</b>			5,365,022.00	3,665,826.85	1,699,195.15	96,508.58	6,040.94	
<b>TOTAL PRINCIPAL ASSETS</b>			5,446,042.50	3,746,847.35	1,699,195.15	96,508.58	6,040.94	
<b>INCOME ASSETS</b>								
<b>CASH, MONEY FUNDS, BANK DEPOSITS</b>								
<b>Cash Balance</b>				1,450.00	1,796.80			
<b>FDIC Insured Bank Deposits</b>								
DREYFUS INS DEPOSIT PROGRAM I	04/01/2019	55,299.0700	06/30/2019	34,924.83	55,299.07	0.00	467.19	N/A
<b>Total FDIC Insured Bank Deposits</b>				34,924.83	55,299.07	0.00		
<b>TOTAL CASH, MONEY FUNDS, BANK DEPOSITS</b>				36,374.83	57,095.87	0.00		
<b>TOTAL INCOME ASSETS</b>			57,095.87	57,095.87	0.00	0.00	0.00	
<b>TOTAL PORTFOLIO</b>			5,503,138.37	3,803,943.22	1,699,195.15	96,508.58	6,040.94	

**THE KLEIN FAMILY FOUNDATION, INC.**  
**2018 FORM 990-PF**  
**EIN #: 52-1705026**  
**PART XV, LINE 3(a)**  
**CONTRIBUTIONS**

DONEE	ADDRESS	DATE	CHECK NO.	AMOUNT
ALVIN AILEY DANCE FOUNDATION, INC (AKA ALVIN AILEY AMERICAN DANCE THEATER)	THE JOAN WEILL CENTER FOR DANCE 405 WEST 55TH STREET NEW YORK, NY 10019	06/17/19	321	10,000
AMERICAN MUSEUM OF NATURAL HISTORY (AKA A M N H )	79TH STREET & CENTRAL PARK WEST NEW YORK, NY 10024	06/17/19	322	25,000
BROOKLYN INSTITUTE OF ARTS AND SCIENCES (AKA BROOKLYN MUSEUM)	200 EASTERN PARKWAY BROOKLYN, NY 11238	06/17/19	323	5,000
CHILDREN'S AID SOCIETY (AKA CHILDREN'S AID, CAS)	711 THIRD AVENUE, SUITE 700 NEW YORK, NY 10017	06/17/19	332	25,000
CITY HARVEST, INC	6 EAST 32ND STREET, 5TH FLOOR NEW YORK, NY 10016	06/17/19	333	3,000
DANCE THEATRE OF HARLEM INC (AKA DTH)	THE EVERETT CENTER FOR THE PERFORMING ARTS 466 WEST 152ND STREET NEW YORK, NY 10031	06/17/19	324	5,000
HARLEM ACADEMY	1330 FIFTH AVENUE NEW YORK, NY 10026	06/17/19	325	5,000
MOHONK PRESERVE, INC	P O BOX 715 NEW PALTZ, NY 12561	06/17/19	329	1,500
NARAL PRO-CHOICE AMERICA FOUNDATION	1725 EYE STREET, NW SUITE 900 WASHINGTON DC, 20006	06/17/19	334	10,000
NATURE CONSERVANCY, INC (AKA THE NATURE CONSERVANCY)	4245 N Fairfax Dr Ste 100 Arlington, VA 22203	06/17/19	331	10,000
NEW CANAAN LIBRARY INC	151 MAIN STREET NEW CANAAN, CT 06840	06/17/19	328	10,000
NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS (AKA THE NEW YORK PUBLIC LIBRARY)	476 FIFTH AVENUE NEW YORK NEW YORK 10018	06/17/19	327	10,000
WILDLIFE CONSERVATION SOCIETY (AKA WCS)	2300 SOUTHERN BOULEVARD BRONX, NY 10460	06/17/19	330	10,000
PLANNED PARENTHOOD OF NEW YORK CITY INC	26 BLEECKER STREET 6TH FLOOR NEW YORK, NY 10012	06/17/19	336	10,000

**THE KLEIN FAMILY FOUNDATION, INC.**  
**2018 FORM 990-PF**  
**EIN #: 52-1705026**  
**PART XV, LINE 3(a)**  
**CONTRIBUTIONS**

DONEE	ADDRESS	DATE	CHECK NO.	AMOUNT
PLANNED PARENTHOOD FEDERATION OF AMERICA, INC (AKA PPFA)	123 WILLIAM ST NEW YORK, NY 10038	06/25/19	342	5,000
THE DOOR - A CENTER OF ALTERNATIVES, INC	121 AVENUE OF THE AMERICAS NEW YORK, NY 10013	06/17/19	337	5,000
TRICKLE UP PROGRAM, INC (AKA TRICKLE UP)	104 WEST 27TH STREET, 12TH FLOOR NEW YORK, NY 10001	06/17/19	338	25,000
LINCOLN CENTER FOR THE PERFORMING ARTS, INC	70 LINCOLN CENTER PLAZA NEW YORK, NY 10023	06/17/19	326	3,500
SHATTERPROOF	101 MERRIT 7 CORPORATE PARK, 1ST FLOOR NORWALK, CT 06851	06/17/19	340	1,000
GETTING OUT AND STAYING OUT	75 E 116TH ST NEW YORK, NY 10029	06/25/19	341	1,000
TRICKLE UP PROGRAM, INC (AKA TRICKLE UP)	104 WEST 27TH STREET, 12TH FLOOR NEW YORK, NY 10001	08/22/18	316	5,000
<b>TOTAL</b>				<u><u>185,000</u></u>