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EXTENDED TO MAY 15, 2020

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

1906

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning JUL 1, 2018 , and ending JUN 30, 2019

Name of foundation
RICHARD J. STERN FOUNDATION FOR THE ARTS
COMMERCE BANK, TRUSTEE,

A Employer identification number
43-6313811

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
118 W. 47TH ST

B Telephone number
(816) 234-2568

City or town, state or province, country, and ZIP or foreign postal code
KANSAS CITY, MO 64112-1601

C If exemption application is pending, check here ▶ ☐ 6

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 56,027,222.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,328,972.	1,327,980.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,356,665.			
	b Gross sales price for all assets on line 6a 18,389,287.				
	7 Capital gain net income (from Part IV, line 2)		2,356,665.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	3,685,637.	3,684,645.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	310,001.	195,264.		114,737.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 2	70,800.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 3		-66.		
	24 Total operating and administrative expenses. Add lines 13 through 23	380,801.	195,198.		114,737.
	25 Contributions, gifts, grants paid	3,741,887.			2,470,220.
	26 Total expenses and disbursements. Add lines 24 and 25	4,122,688.	195,198.		2,584,957.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-437,051.			
	b Net investment income (if negative, enter -0-)		3,489,447.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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823501 12-11-18 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2018)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	822,738.	364,779.	364,779.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 4	30,750,014.	33,976,576.	41,818,218.
	c Investments - corporate bonds STMT 5	15,322,652.	13,390,546.	13,844,225.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	46,895,404.	47,731,901.	56,027,222.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable		1,271,667.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	1,271,667.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	46,895,404.	46,460,234.	
	30 Total net assets or fund balances	46,895,404.	46,460,234.	
	31 Total liabilities and net assets/fund balances	46,895,404.	47,731,901.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	46,895,404.
2	Enter amount from Part I, line 27a	2	-437,051.
3	Other increases not included in line 2 (itemize) ▶ PY BASIS ADJUSTMENT	3	1,882.
4	Add lines 1, 2, and 3	4	46,460,235.
5	Decreases not included in line 2 (itemize) ▶ ROUNDING	5	1.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	46,460,234.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES		VARIOUS	06/30/19
b	LONG TERM CAPITAL GAIN DIVIDEND			
c	DISTRIBUTIONS		VARIOUS	06/30/19
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	17,178,090.		16,032,622.	1,145,468.
b				
c	1,211,197.			1,211,197.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				1,145,468.
b				
c				1,211,197.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 2,356,665.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	2,565,802.	55,155,981.	.046519
2016	2,416,354.	51,579,805.	.046847
2015	2,601,590.	50,069,800.	.051959
2014	2,534,878.	53,770,868.	.047142
2013	2,433,436.	51,887,834.	.046898

2	**Total** of line 1, column (d)	2	.239365
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.047873
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	53,990,885.
5	Multiply line 4 by line 3	5	2,584,706.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	34,894.
7	Add lines 5 and 6	7	2,619,600.
8	Enter qualifying distributions from Part XII, line 4	8	2,584,957.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	69,789.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3 Add lines 1 and 2			3	69,789.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	69,789.
6 Credits/Payments:				
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	75,058.		
b Exempt foreign organizations - tax withheld at source	6b	0.		
c Tax paid with application for extension of time to file (Form 8868)	6c	12,500.		
d Backup withholding erroneously withheld	6d	0.		
7 Total credits and payments. Add lines 6a through 6d			7	87,558.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	0.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	17,769.
11 Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ 17,769. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by *General Instruction T*			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ MO			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NONE

14 The books are in care of ▶ COMMERCE BANK Telephone no. ▶ (816) 234-2568

Located at ▶ 118 W 47TH ST, KANSAS CITY, MO ZIP+4 ▶ 64112

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
COMMERCE BANK,INV. MGMT PO BOX 419248 KC, MO 64141	CORPORATE TRUSTEE 40.00	195,264.	0.	0.
COMMERCE BANK,CHARITY OFFICE PO BOX 419248 KC, MO 64141	CORPORATE TRUSTEE 40.00	93,041.	0.	0.
COMMERCE BANK,CHARITY MGMT PO BOX 419248 KC, MO 64141	CORPORATE TRUSTEE 10.00	21,696.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	53,269,816.
b	Average of monthly cash balances	1b	1,543,265.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	54,813,081.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	54,813,081.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	822,196.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	53,990,885.
6	**Minimum investment return** Enter 5% of line 5	6	2,699,544.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,699,544.
2a	Tax on investment income for 2018 from Part VI, line 5 2a 69,789.		
b	Income tax for 2018. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	69,789.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,629,755.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,629,755.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	2,629,755.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,584,957.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,584,957.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,584,957.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2,629,755.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			2,475,333.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶$ 2,584,957.				
a Applied to 2017, but not more than line 2a			2,475,333.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				109,624.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				2,520,131.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 6

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STATEMENT 6A	NONE	SEE STMT 6A	SEE STATEMENT 6A	2,470,220.
Total			▶ 3a	2,470,220.
b *Approved for future payment*				
STATEMENT 7	NONE	SEE STMT 7	SEE STATEMENT 7	1,271,667.
Total			▶ 3b	1,271,667.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,328,972.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,356,665.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		3,685,637.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 3,685,637.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? [X] Yes [ ] No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
SEE STATEMENT B		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

VICE PRESIDENT, COMMERCE TRUST COMPANY, A DIVISION OF COMMERCE BANK | 11/29/19 | TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instr [ ] Yes [ ] No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS & INTEREST	1,328,972.	0.	1,328,972.	1,327,980.	
TO PART I, LINE 4	1,328,972.	0.	1,328,972.	1,327,980.	

FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX ESTIMATES	70,800.	0.		0.
TO FORM 990-PF, PG 1, LN 18	70,800.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEES APPLICABLE TO TAX EXEMPT INCOME	0.	-66.		0.
TO FORM 990-PF, PG 1, LN 23	0.	-66.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS AND MUTUAL FUNDS - STATEMENT A	33,976,576.	41,818,218.
TOTAL TO FORM 990-PF, PART II, LINE 10B	33,976,576.	41,818,218.

FORM 990-PF CORPORATE BONDS STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BONDS - STATEMENT A	13,390,546.	13,844,225.
TOTAL TO FORM 990-PF, PART II, LINE 10C	13,390,546.	13,844,225.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 6
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

COMMERCE BANK
118 WEST 47TH STREET
KANSAS CITY, MO 64112-1601

TELEPHONE NUMBER

(816) 234-1768

FORM AND CONTENT OF APPLICATIONS

APPLICATION SHOULD BE SUBMITTED IN WRITING AND INCLUDE THE PURPOSE AND TAX EXEMPT STATUS OF THE ORGANIZATION.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

QUALIFYING CHARITABLE ORGANIZATIONS THAT ARE NOT PRIVATE FOUNDATIONS AND ARE ENGAGED IN SUPPORTING THE ARTS IN THE GREATER KANSAS CITY AREA.

Richard J. Stern Foundation for the Arts
Attachment of Fiscal Year Payments for IRS 990PF
June 30, 2019

Recipient Name and Address	Fiscal Sponsor	Foundation Status of Recipient	Purpose	Payment Amount	Date Paid
Art in the Loop Foundation 1000 Walnut, Ste 200 Kansas City, MO 64106		PC	2019 Art in the Loop Project	2,500	04/04/19
Arts Engagement Foundation of Kansas City 20 E Gregory Blvd Kansas City, MO 64114		PC	KC Studio Magazine	20,000	11/15/18
Metropolitan Arts Council of Greater Kansas City 106 Southwest Blvd Kansas City, MO 64108		PC	ArtsKCGo	15,000	04/04/19
Avila University 11901 Wornall Rd Kansas City, MO 64145-1698		PC	Additional Gift to Goppert Performing Arts Project	25,000	06/19/19
Bach Aria Soloists P O Box 7112 Kansas City, MO 64113		PC	2018/2019 Season Support	8,500	11/15/18
Charlotte Street Foundation P O Box 10263 Kansas City, MO 64171-0263		PC	Used at the discretion of the Board of Directors or Trustees as they see fit for program support and operating their organization	25,000	02/13/19
Charlotte Street Foundation P O Box 10263 Kansas City, MO 64171-0263		PC	Charlotte Street Headquarters A Sustainable Home for Kansas City Artists	150,000	06/19/19
City In Motion Dance Theater Inc Plexpod Westport Commons 300 E 39th St , Ste LL1E Kansas City, MO 64111-1916		PC	A Modern Night at the Folly	3,000	11/15/18
Coterie Inc 2450 Grand Blvd , Ste 144 Kansas City, MO 64108-2520		PC	2019/2020 Season Support	30,000	06/19/19
Ensemble Iberica Inc 5017 Gladstone Blvd Kansas City, MO 64123		PC	2018 Season Support	5,000	08/08/18
Harry S Truman Library Institute for National & International Affai 5151 Troost Ave , Ste 300 Kansas City, MO 64110		PC	New Exhibit Artwork Conservation	31,017	06/19/19

Richard J. Stern Foundation for the Arts
Attachment of Fiscal Year Payments for IRS 990PF
June 30, 2019

Recipient Name and Address	Fiscal Sponsor	Foundation Status of Recipient	Purpose	Payment Amount	Date Paid
The Heart of America Shakespeare Festival 3732 Main St Kansas City, MO 64111		PC	2019 Season Support	10,000	11/15/18
Heartland Chamber Music Ltd 1600 Genessee St , Ste 824 Kansas City, MO 64102		PC	Used at the discretion of the Board of Directors or Trustees as they see fit for operating their organization	4,000	11/15/18
Heartland Men's Chorus P O Box 32374 Kansas City, MO 64171-5374		PC	2018/2019 Season Support	15,000	11/15/18
Japan America Society Ltd P O Box 22487 Kansas City, MO 64113-2487		PC	21st Annual Greater Kansas City Japan Festival	2,500	10/15/18
Jewish Community Center of Greater Kansas City 5801 W 115th St Overland Park, KS 66211		PC	2018/2019 Season Support	5,000	04/04/19
Johnson County Community College Foundation 12345 College Blvd Overland Park, KS 66210-1299		PC	2019 New Dance Partners	10,000	06/19/19
Kansas City Actors Theatre Inc DBA Actors Theatre P O Box 414260 Kansas City, MO 64141		PC	2019/2020 Season Support	30,000	06/19/19
Kansas City Art Institute 4415 Warwick Blvd Kansas City, MO 64111-1874		PC	Ceramics program with remaining funds to be used at the discretion of the Board of Directors or Trustees as they see fit for operating their organization	65,000	11/15/18
Kansas City Art Institute 4415 Warwick Blvd Kansas City, MO 64111-1874		PC	Capital Campaign Construction of the Center for Art History and Liberal Arts	83,333	03/01/19
Kansas City Art Institute 4415 Warwick Blvd Kansas City, MO 64111-1874		PC	H&R Block Artspace special programming for 20th Anniversary	20,000	06/19/19
Kansas City Ballet Association 500 W Pershing Rd Kansas City, MO 64108-2430		PC	2018 Ballet Ball	5,000	10/15/18

Richard J. Stern Foundation for the Arts
Attachment of Fiscal Year Payments for IRS 990PF
June 30, 2019

Recipient Name and Address	Fiscal Sponsor	Foundation Status of Recipient	Purpose	Payment Amount	Date Paid
Kansas City Ballet Association 500 W Pershing Rd Kansas City, MO 64108-2430		PC	2018/2019 Season Support including sponsorship of "Lady of the Camellias"	35,000	11/15/18
Kansas City Ballet Association 500 W Pershing Rd Kansas City, MO 64108-2430		PC	Additional Support for the 2018 world premiere of "The Wizard of Oz"	22,000	02/13/19
Kansas City Ballet Association 500 W Pershing Rd Kansas City, MO 64108-2430		PC	Moving Into The Future Campaign Support via Board Designated fund	50,000	06/19/19
Kansas City Ballet Association 500 W Pershing Rd Kansas City, MO 64108-2430		PC	Additional 2018/2019 Season Support	20,000	06/19/19
Kansas City Chorale 5601 Wyandotte St , Ste 412 Kansas City, MO 64113		PC	Memory Eternal to the Fallen Heroes concert	10,000	09/07/18
Kansas City Chorale 5601 Wyandotte St , Ste 412 Kansas City, MO 64113		PC	2018/2019 Season Support	30,000	02/13/19
Kansas City Friends of Alvin Ailey 1714 E 18th St Kansas City, MO 64108		PC	To Support Ailey II performance at annual gala	15,000	04/09/19
Kansas City Jazz Orchestra 300 E 39th St , Ste LL1H Kansas City, MO 64111		PC	2018/2019 Season Support	10,000	04/04/19
Kansas City Public Library 14 W 10th St Kansas City, MO 64105		PC	Central Library Art Collection assessment	7,500	10/15/18
Kansas City Repertory Theatre Inc 4825 Troost Ave , Ste 106 Kansas City, MO 64110-2030		PC	Artistic Director Search	50,000	11/15/18
Kansas City Repertory Theatre Inc 4825 Troost Ave , Ste 106 Kansas City, MO 64110-2030		PC	2018/2019 Season Support partial underwriting for Pride and Prejudice	35,000	11/15/18
Kansas City Repertory Theatre Inc 4825 Troost Ave , Ste 106 Kansas City, MO 64110-2030		PC	Partial underwriting for The Cast Party 2019 gala to support education programs	5,000	03/11/19

Richard J. Stern Foundation for the Arts
Attachment of Fiscal Year Payments for IRS 990PF
June 30, 2019

Recipient Name and Address	Fiscal Sponsor	Foundation Status of Recipient	Purpose	Payment Amount	Date Paid
Kansas City Symphony 1703 Wyandotte St , Ste 200 Kansas City, MO 64108		PC	2018 Symphony Ball	35,000	07/10/18
Kansas City Symphony 1703 Wyandotte St , Ste 200 Kansas City, MO 64108		PC	2018/2019 Season Support	285,000	11/15/18
Kansas City Wind Symphony Community Arts Enrichment Fund 1055 Broadway Blvd , Ste 130 Kansas City, MO 64105	Greater Kansas City Community Foundation	PC	2019/2020 Season Support	1,500	06/19/19
Kansas City Chapter of Young Audiences Inc DBA Kansas City Young Audiences 3732 Main St Kansas City, MO 64111		PC	Used at the discretion of the Board of Directors or Trustees as they see fit for operating their organization	25,000	06/19/19
Kauffman Center for the Performing Arts 1601 Broadway Blvd Kansas City, MO 64108		PC	Sixth annual Future Stages Festival - June 16, 2019	30,000	02/13/19
Kemper Museum of Contemporary Art Milestone Fund 4420 Warwick Blvd Kansas City, MO 64111-1821	Greater Kansas City Community Foundation	PC	Lexicon Exhibit and 25th Anniversary Support	35,000	06/19/19
Kinnor Philharmonic P O Box 30283 Kansas City, MO 64112		PC	2019/2020 Season Support	1,000	06/19/19
Living Room Collective DBA Living Room Theatre 4741 Central St , #255 Kansas City, MO 64112		PC	2019/2020 Season Support	10,000	06/19/19
Lyric Opera of Kansas City Inc 1725 Holmes St Kansas City, MO 64108-1538		PC	2018/2019 Season Support	150,000	09/07/18
Lyric Opera of Kansas City Inc 1725 Holmes St Kansas City, MO 64108-1538		PC	2018/2019 Season Support	150,000	11/15/18
Lyric Opera of Kansas City inc 1725 Holmes St Kansas City, MO 64108-1538		PC	2018/2019 Season Support	150,000	02/13/19
Lyric Opera of Kansas City Inc 1725 Holmes St Kansas City, MO 64108-1538		PC	2019 Lyric Ball	50,000	03/20/19

Richard J. Stern Foundation for the Arts
Attachment of Fiscal Year Payments for IRS 990PF
June 30, 2019

Recipient Name and Address	Fiscal Sponsor	Foundation Status of Recipient	Purpose	Payment Amount	Date Paid
Lyric Opera of Kansas City Inc 1725 Holmes St Kansas City, MO 64108-1538		PC	2018/2019 Season Support	150,000	05/09/19
Mesner Puppet Theater 1006 Linwood Blvd Kansas City, MO 64109-1840		PC	2018/2019 Season Support	5,000	04/04/19
Mid-America Arts Alliance 2018 Baltimore Ave Kansas City, MO 64108-1914		PC	Engage Kansas City	18,000	06/19/19
Moving Arts Kansas City 4220 Mercier Kansas City, MO 64111	Owen Cox Dance Group	PC	Season Support	15,000	06/19/19
Musical Theater Heritage Inc 2450 Grand, Ste 301 Kansas City, MO 64108		PC	2019 Season Support	6,000	04/04/19
Music-Arts Institute 1010 S Pearl St Independence, MO 64050		PC	Scholarships	15,000	04/04/19
Nelson Gallery Foundation 4525 Oak St Kansas City, MO 64111		PC	"30 Americans" Exhibition	50,000	02/13/19
Nelson Gallery Foundation 4525 Oak St Kansas City, MO 64111		PC	Chinese Textiles Research and Conservation	20,000	06/19/19
Northeast Community Center Inc 544 Wabash Ave Kansas City, MO 64124		PC	Harmony Project KC	5,000	04/04/19
Owen-Cox Dance Group 4220 Mercier St Kansas City, MO 64111		PC	2018/2019 Season Support and educational programs	12,500	11/15/18
Park University 8700 N W River Park Dr Parkville, MO 64152-3795		PC	Lighting Upgrades to Chapel for International Center for Music Concerts	50,000	06/19/19

Richard J. Stern Foundation for the Arts
Attachment of Fiscal Year Payments for IRS 990PF
June 30, 2019

Recipient Name and Address	Fiscal Sponsor	Foundation Status of Recipient	Purpose	Payment Amount	Date Paid
Performing Arts Foundation of Kansas City DBA Folly Theater 1020 Central, Ste 200 P O Box 26505 Kansas City, MO 64196		PC	2018/2019 Folly Jazz Series	10,000	11/15/18
Performing Arts Foundation of Kansas City DBA Folly Theater 1020 Central, Ste 200 P O Box 26505 Kansas City, MO 64196		PC	Thomas Hart Benton Murals for Folly Theater Lobby	10,370	06/19/19
Spinning Tree Theatre P O Box 8647 Kansas City, MO 64114-0647		PC	2018/2019 Season Support	8,000	11/15/18
Spire Chamber Ensemble 7819 N Birch Ln Kansas City, MO 64151		PC	2019/2020 Season Support	1,500	06/19/19
Studios Inc 1708 Campbell St Kansas City, MO 64108		PC	Used at the discretion of the Board of Directors or Trustees as they see fit for operating their organization	10,000	06/26/19
Studios Inc 1708 Campbell St Kansas City, MO 64108		PC	Lighting Upgrade project	20,000	06/26/19
Summerfest Concerts and-or Recordings Inc P O Box 22697 Kansas City, MO 64113-0697		PC	2019 Season Support	8,500	04/04/19
Te Deum Inc 6641 Mission Rd Prairie Village, KS 66208		PC	2018/2019 Season Support	2,500	04/04/19
Unicorn Theatre 3828 Main St Kansas City, MO 64111		PC	2018/2019 Season Support	30,000	11/15/18
Unicorn Theatre 3828 Main St Kansas City, MO 64111		PC	Building Maintenance Fund	20,000	06/19/19

Richard J. Stern Foundation for the Arts
Attachment of Fiscal Year Payments for IRS 990PF
June 30, 2019

Recipient Name and Address	Fiscal Sponsor	Foundation Status of Recipient	Purpose	Payment Amount	Date Paid
University of Missouri-Kansas City - College of Arts and Sciences 108 Scofield Hall 711 E 51st St Kansas City, MO 64110		PC	MFA student fellowship in theatre sound design	10,000	10/15/18
University of Missouri-Kansas City - College of Arts and Sciences 108 Scofield Hall 711 E 51st St Kansas City, MO 64110		PC	MFA student fellowships in costume design	10,000	11/15/18
University of Missouri-Kansas City - Conservatory of Music Center for the Performing Arts 4949 Cherry St , Ste 413 Kansas City, MO 64110-2299		PC	Voice scholarship in honor of Vinson Cole	10,000	02/13/19
University of Missouri-Kansas City - College of Arts and Sciences 108 Scofield Hall 711 E 51st St Kansas City, MO 64110		PC	New Letters publication Support	5,000	06/19/19
University of Missouri-Kansas City - Conservatory of Music Center for the Performing Arts 4949 Cherry St , Ste 413 Kansas City, MO 64110-2299		PC	Support for New Piano Acquisitions	75,000	06/19/19
William Jewell College (Harriman-Jewell Series) 500 College Hill Campus Box 1015 Liberty, MO 64068-1896		PC	2019/2020 Season Support and underwriting of Angela Gheorghiu	100,000	02/13/19
Wylliams-Henry Contemporary Dance Company 3831 N Mulberry Dr , Ste 3301 Kansas City, MO 64116		PC	2018/2019 Season Support	10,000	08/08/18
Youth Symphony Association of Kansas City Inc 209 W 18th St Kansas City, MO 64108		PC	Orchestral Education program	6,000	04/09/19
Total Contributions for the Year:				**2,470,220**	

Richard J. Stern Foundation for the Arts
Outstanding Commitments
EIN: 43-6313811

Organization	Purpose (Current Year)	FY20	FY21	FY22	FY23	FY24
Charlotte Street Foundation (Capital Campaign)	Charlotte Street Headquarters A Sustainable Home for KC Artists	100,000				
Kansas City Art Institute (Special Project)	Construction of the Center for Art History and Liberal Arts over 3 years	83,333	83,334			
Kansas City Ballet (Special Project)	Moving Into the Future Campaign support via board fund	50,000				
Kansas City Symphony (Symphony Ball)	2018 Symphony Ball	35,000				
Lyric Opera of Kansas City (Season Support)	2019/2020 Season Support	600,000				
Lyric Opera of Kansas City (Lyric Ball)	2020 Lyric Ball	50,000				
Mattie Rhodes Center	Capital Campaign Establish the Mattie Rhodes Cultural Center	10,000				
UMKC-Arts & Sciences Theatre Dept (Scholarships-Sound)	MFA Fellowship (Sound Design) over 3 years	10,000				
UMKC-Conservatory of Music (Scholarships-Voice)	Vinson Cole Vocal Scholarship over 6 years	10,000	10,000	10,000	10,000	10,000
UMKC-KCUR Radio	Classical Kansas City	100,000	100,000			
	Total Outstanding	1,048,333	193,334	10,000	10,000	10,000
	Total Grants Committed:	1,271,667				

RICHARD J STERN FOUNDATION
As of June 30, 2019
EIN: 43-6313811

Group	Description	Units	Book Value	Market Value
1	FINANCIAL SQUARE TR GOVERNMENT FD	364,778	364,777 81	364,777 81
1 Total		364,778	364,777.81	364,777.81
2	AUTOMATIC DATA PROCESSING INC	305	19,320 99	50,425 65
	BOEING CO	135	20,681 28	49,141 35
	ILLINOIS TOOL WORKS INC	335	23,255 35	50,521 35
	JOHNSON AND JOHNSON	360	35,205 07	50,140 80
	NORTHERN TRUST CORP	0	0 00	0 00
	TEXAS INSTRUMENTS INC	475	50,450 17	54,511 00
	CBS CORP-CL B	545	27,174 36	27,195 50
	CERNER CORP	360	21,457 85	26,388 00
	CISCO SYSTEM INC	1,180	66,688 05	64,581 40
	COMCAST CORPORATION CL-A	1,190	51,798 68	50,313 20
	MCDONALDS CORP	245	32,215 92	50,876 70
	MICROSOFT CORP	2,000	112,805 01	267,920 00
	STRYKER CORP	255	18,946 77	52,422 90
	UNITEDHEALTH GROUP INC	260	48,381 90	63,442 60
	ABBOTT LABS	615	50,497 18	51,721.50
	AMETEK AEROSPACE PRODS INC	800	32,731 35	72,672 00
	CHURCH & DWIGHT CO INC	340	12,899 14	24,840 40
	THERMO FISHER CORP	175	23,309 79	51,394 00
	VISA INC CLASS A SHARES	460	25,047 73	79,833 00
	ADOBE INC	180	14,589 50	53,037 00
	ADVANCED AUTO PARTS INC	170	28,233 92	26,203 80
	AGILENT TECHNOLOGIES INC	980	69,884 70	73,176 60
	AKAMAI TECHNOLOGIES INC	335	24,528 13	26,846 90
	ALPHABET INC CL A	155	97,286 31	167,834 00
	AMAZON COM INC	105	85,620 24	198,831 15
	AMGEN INC	280	35,482 82	51,598 40
	AMPHENOL CORP CL-A	815	52,919 82	78,191 10
	ANSYS INC	350	51,032.13	71,687 00
	APPLE INC	1,215	40,182 97	240,472.80
	ARMSTRONG WORLD INDUSTRIES	715	46,705 05	69,498 00
	ARTISAN MID CAP FUND-INS	18,001	755,000 00	776,940 94
	BECTON DICKINSON & COMPANY	215	30,644 34	54,182 15
	BIO-TECHNE CORP	120	13,492 67	25,018.80
	BIOMARIN PHARMACEUTICAL	325	29,918.08	27,836 25
	BLACK KNIGHT INC	425	22,323 51	25,563.75
	BOOZ ALLEN HAMILTON HOLDING	395	22,767 73	26,152 95
	BRIGHT HORIZONS FAMILY SOLUTIONS INC	185	16,043 73	27,910 95
	BROADRIDGE FINANCIAL SOL	520	51,978.37	66,393 60
	C H ROBINSON WORLDWIDE INC	325	27,463 88	27,413 75
	CADENCE DESIGN SYSTEMS INC	395	8,264 91	27,969 95
	CAUSEWAY EMERGING MARKETS-IS	95,780	1,217,221.45	1,190,547.72
	CBOE GLOBAL MARKETS INC	395	40,315 88	40,933 85

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CDK GLOBAL INC	875	51,919 55	43,260 00
CDW CORP/DE	250	22,311 05	27,750 00
CHEMED CORP	70	22,618 63	25,258 80
CITRIX SYS INC	710	71,581 89	69,679 40
CLEARBRIDGE SMALL CAP GR IS	31,049	805,163 94	1,216,206 29
COCA COLA	995	32,660 75	50,665 40
COGNIZANT TECHNOLOGY SOLUTIONS CORP	810	60,441.40	51,345 90
COMERICA INC	370	32,192 67	26,876 80
COMMERCE VALUE FUND INSTITUTIONAL	106,557	2,819,977 09	3,253,195 04
COOPER COS INC NEW	80	14,150 28	26,951 20
COSTAR GROUP INC	50	20,629 02	27,703 00
CROWN CASTLE INTERNATIONAL CORP	370	30,573 71	48,229 50
DFA INTL SMALL CO PORTFOLIO	58,195	931,546 53	1,035,863 31
DFA US LARGE CAP VALUE PORTFOLIO	62,418	2,220,162 27	2,267,034 29
DFA US TARGETED VALUE PORTFOLIO	79,656	1,801,368 90	1,776,329 80
DODGE & COX INTERNATIONAL STOCK FUND	41,427	1,674,903 65	1,725,854 32
DOLLAR GENERAL CORP	555	55,640 79	75,013 80
DOMINOS PIZZA INC	90	22,085 41	25,045 20
DUNKIN BRANDS GROUP INC	330	12,764 00	26,287 80
ECOLAB INC	265	28,874.67	52,321 60
EURONET SVCS INC	160	25,658 51	26,918 40
EXTRA SPACE STORAGE INC	240	21,018 05	25,464 00
FACEBOOK INC-A	610	68,260 21	117,730 00
FACTSET RESEARCH SYSTEMS INC	85	14,316 29	24,357 60
FIDELITY NATL INFO SVC	415	30,491 54	50,912.20
FISERV INC	860	22,797 81	78,397.60
FLEETCOR TECHNOLOGIES INC	250	53,769 41	70,212.50
FLIR SYSTEMS INC	1,335	65,054 17	72,223 50
FORTIVE CORP	330	22,842 28	26,901 60
GARTNER GROUP INC	165	26,129.05	26,555 10
GATEWAY FUND N	35,530	1,145,000 00	1,182,092 38
GRACO INC	525	15,952 02	26,344 50
GRAND CANYON EDUCATION INC	210	25,989 79	24,574 20
HARTFORD MIDCAP FUND-F	28,728	715,000 00	913,263 53
HEICO CORP	205	11,774 75	27,431 05
HENRY JACK & ASSOC INC	495	45,783 39	66,290 40
HILTON WORLDWIDE HOLDINGS INC	285	22,877 55	27,855 90
HOME DEPOT INC	245	20,627.50	50,952 65
HONEYWELL INTL INC	285	26,846 21	49,758 15
IAA INC	430	0 00	16,675 40
ICU MED INC	290	79,027 46	73,053 90
IDEX CORP	160	11,345 16	27,542 40
INTERCONTINENTAL EXCHANGE INC	585	31,619 06	50,274 90
ISHARES RUSSELL MIDCAP VALUE ETF	35,264	1,782,353 57	3,143,080.32
ISHARES RUSSELL 1000 GROWTH ETF	200	27,248 92	31,468 00
ISHARES RUSSELL 1000 VALUE ETF	13,250	929,808 65	1,685,665 00
JABIL CIRCUIT INC	985	26,794 96	31,126.00
KAR AUCTION SERVICES INC	430	14,509 80	10,750 00

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KIMBERLY CLARK CORP	0	0 00	0 00
LABORATORY CRP OF AMER HLDGS	410	68,509 82	70,889 00
LAMAR ADVERTISING CO-A	330	26,502 93	26,634 30
LANDSTAR SYSTEM INC	260	19,406 82	28,077 40
LAUDER ESTEE COS INC CL A	285	18,353 82	52,186.35
LAZARD EMERGING MARKETS EQUITY PORT	66,450	1,213,121 84	1,178,156 41
LENNOX INTL INC	150	12,261 80	41,250 00
LIVE NATION ENTERTAINMENT INC	1,050	68,792 47	69,562 50
LOCKHEED MARTIN CORP	145	16,426 39	52,713 30
MANHATTAN ASSOCIATES INC	390	21,266 93	27,038 70
MASTERCARD INCORPORATED CLASS A	240	17,441 77	63,487 20
MAXIM INTEGRATED PRODS INC	1,210	67,709 21	72,382 20
MCCORMICK & CO INC NON-VOTING	170	13,852 26	26,351 70
METTLER-TOLEDO INTL INC	80	23,731 78	67,200 00
MFS RESEARCH INTERNAT R6	183,290	2,714,892 61	3,356,048 69
MORNINGSTAR INC	175	16,602 48	25,312 00
MOTOROLA SOLUTIONS INC	160	10,305 02	26,676 80
MSCI INC-A	105	6,309 38	25,072 95
NIKE INC CLASS B	615	51,257 97	51,629 25
NORDSON CORP	195	23,177 80	27,555 45
NORTHROP GRUMMAN CORP	155	49,530 28	50,082 05
PALO ALTO NETWORKS INC	130	30,633 56	26,488 80
PAYCHEX INC	885	31,447 25	72,826 65
PAYPAL HOLDINGS INC	430	50,139 55	49,217 80
PEPSICO INC	380	27,351 96	49,829 40
POOL CORPORATION	140	22,072.21	26,740 00
PRINCIPAL GL MULT STRAT-R6	129,301	1,325,000 00	1,384,818 52
PUBLIC STORAGE	205	40,433 25	48,824 85
ROCKWELL AUTOMATION INC	435	76,388 83	71,266 05
ROLLINS INCORPORATED	1,817	49,025.28	65,175 79
ROPER INDS INC	140	23,855 31	51,276 40
RPM INC OHIO	1,140	49,962 91	69,665 40
SBA COMMUNICATIONS CORP	115	10,512 06	25,856.60
SCHWAB CHARLES CORP	1,245	51,967.14	50,036 55
SEALED AIR CORP	595	26,729 41	25,454 10
SERVICE CORP INTERNATIONAL	1,540	34,619 02	72,041 20
SHERWIN WILLIAMS CO	105	28,038 36	48,120.45
SS&C TECHNOLOGIES HOLDINGS	465	25,566 44	26,788 65
STARBUCKS CORP	610	34,070 19	51,136 30
SYNOPSYS INC	210	17,650 08	27,024 90
T ROWE PRICE GROUP INC	730	52,444 86	80,088 30
T ROWE PR GROWTH STOCK-I	49,558	3,195,000 00	3,409,090 28
T ROWE PR NEW HORIZONS-I	13,755	558,986 19	866,438 79
TERADATA CORP	730	25,972 01	26,170 50
TIAA-CREF L/C GROW INDX-INST	59,689	1,276,942 18	1,981,094 37
TIAA-CREF L/C VAL INDX-INST	103,204	1,728,026 57	2,064,076 86
TORO CO	390	10,405 52	26,091 00
TRANSDIGM GROUP INC	55	17,696.18	26,609.00

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	TREEHOUSE FOODS INC	490	26,884 10	26,509 00
	TYLER TECHNOLOGIES INC	120	26,672 63	25,922 40
	UNITED CONTINENTAL HOLDINGS	325	27,734 66	28,453 75
	VANGUARD FTSE ALL WO X-US SC	9,850	934,782 94	1,036,811 00
	VARIAN MED SYS INC	205	24,378.63	27,906 65
	VERISIGN INC	375	43,743 42	78,435 00
	VOYA FINANCIAL INC	490	26,350 58	27,097 00
	WASTE MGMT INC DEL	445	23,248 02	51,339.65
	WENDYS COMPANY	1,385	20,890 08	27,118 30
	WEST PHARMACEUTICAL SVCS INC	230	19,457 41	28,784.50
	WEX INC	130	16,515 17	27,053 00
	YUM BRANDS INC	460	36,300 08	50,908 20
2 Total		**1,278,462**	**33,976,576.46**	**41,818,217.60**

3	BAIRD AGGREGATE BOND FUND IS	35,774	378,199 79	396,023 57
	FHLMC GOLD POOL # A27987 5% 7/1/34	10,733	10,706 15	11,519 94
	FNR 2003-117 CL KB 6% 12/25/33	83,246	85,145 64	94,902 66
	TN VALLEY AUTHORITY NT 6.75% 11/1/25	40,000	48,105 20	50,904 00
	TOSCO CORP NT 8 125% 2/15/30	50,000	64,939 00	70,631 50
	ABBOTT LABS NT 6 15% 11/30/37	65,000	64,740 00	87,261 20
	ACE INA HOLDINGS NT 3 35% 5/3/26	105,000	107,029 65	110,120 85
	AFLAC INC NT 3 625% 11/15/24	85,000	84,914 15	89,967 40
	AIR PROD & CHEMICALS NT 3% 11/3/21	85,000	85,854 25	86,656 65
	AMERICAN EXPRESS CR NT 2 6% 9/14/20	100,000	102,305 00	100,375 00
	AMERICAN HONDA FIN NT 3 15% 1/8/21	100,000	99,941 00	101,333 00
	APPLE INC NT 2 25% 2/23/21	80,000	81,204 80	80,285 60
	AUTOMATIC DATA NT 3 375% 9/15/25	85,000	84,907 35	89,992 90
	BANK OF AMERICA NT V/R 4/23/40	100,000	100,000 00	106,640 00
	BANK OF MONTREAL CA NT 2 55% 11/6/22	100,000	100,595 00	100,848 00
	BK OF NY MELLON NT 3 55% 9/23/21	100,000	104,952 00	102,740 00
	BAPTIST HLTH FL NT 4 342% 11/15/41	80,000	80,639 20	87,172 80
	BLACKROCK INC NT 3 375% 6/1/22	85,000	88,236 80	87,971 60
	BOEING CO NT 6 875% 3/15/39	100,000	129,986 00	144,548 00
	BP CAP MARKET AMER NT 3 796% 9/21/25	100,000	100,000 00	106,717 00
	BRANCH BANKING & TR NT 2 85% 4/1/21	90,000	91,354.50	90,827.10
	BURLINGTON NORTH NT 3 9% 8/1/46	100,000	99,199 00	107,601.00
	CABMT 2015-2 CL A1 2 25% 7/17/23	90,000	89,964.19	89,981 83
	CANADIAN NATL RAIL NT 4 45% 1/20/49	100,000	99,986 00	119,538.00
	CENTERPOINT ENER NT 1 85% 6/1/21	110,000	109,862.50	108,993 50
	CHEVRON CORP NT 3 191% 6/24/23	80,000	80,785 60	83,030 40
	CISCO SYSTEMS NT 2.2% 9/20/23	50,000	49,897 00	50,183 00
	COHEN & STEERS PR SEC & INC-F	23,725	335,731 28	327,169 27
	CONS EDISON NY NT 5 3% 3/1/35	70,000	76,061 30	82,700 80
	CWALT 2004-18CB CL 3A1 5 25% 9/25/19	691	698 22	687 41
	CSFB 2003-19 CL 1A4 5.25% 7/25/33	14,788	12,976 59	15,335 83
	DMSI 2004-2 CL A6 V/R 1/25/34	653	631 77	670 70
	DUKE ENERGY NT 2 1% 12/15/19	6,250	6,250 00	6,239 63

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DUKE ENERGY NT 3.8% 7/15/28	75,000	74,861 25	80,805 00
EL PASO TX TXBL GO 5% 8/15/38	75,000	74,535 00	82,007 25
ENTERGY ARKANSAS NT 3 5% 4/1/26	80,000	79,736 80	83,473 60
EXXON MOBIL CORP NT 4.114% 3/1/46	60,000	60,000 00	69,038 40
FFCB NT 4 55% 6/8/20	255,000	248,933 00	261,130.20
FHLMC GOLD POOL # V82515 3 5% 6/1/46	70,626	72,347.63	73,048 59
FHR 2126 CL CB 6 25% 2/15/29	11,104	11,365.73	12,168.52
FHLMC GOLD POOL # G60923 4% 4/1/47	54,422	57,177.55	56,820 81
FHLMC NT 1 75% 3/26/21	100,000	100,000 00	99,827 00
FHLMC POOL # 781530 V/R 5/1/34	2,710	2,617 24	2,861.34
FHR 3775 CL EM 3.5% 11/15/25	63,713	65,266 42	66,126 83
FHR 4666 CL PB 3% 8/15/45	92,303	93,716 64	95,148 45
FHR 4679 CL DY 3 5% 7/15/42	100,000	102,109 37	104,069 46
FHLMC NT 1.375% 5/1/20	155,000	151,128 26	154,114 95
FHR 4754 CL QB 3% 2/15/48	84,726	83,852 34	85,618 07
FHR 4835 CL MW 4 5% 5/15/45	100,000	103,562 50	108,374 57
FHR 4844 CL HV 4 5% 4/15/37	100,000	103,187 50	110,926.60
FHR 4841 CL T 4% 1/15/44	100,000	102,156 25	105,074 14
FHR 4766 CL GD 3 5% 3/15/44	74,106	75,031 83	76,099 47
FHR 4775 CL DE 4% 3/15/44	100,000	100,343 75	105,740 70
FNMA NT 2 625% 9/6/24	85,000	84,139 80	88,249.55
FNR 2015-19 CL CA 3 5% 1/25/43	121,238	123,491 88	125,836 02
FNR 2016-16 CL PD 3% 12/25/44	81,840	81,980 75	82,601 72
FNR 2017-99 CL PA 3% 10/25/42	86,054	87,035 21	87,027 86
FNR 2011-111 CL ME 4% 11/25/41	87,964	90,081 13	92,727 95
FNMA 2018-83 CL LH 4% 11/25/48	95,272	95,986 49	99,963 94
FNMA POOL # 334595 7 5% 11/1/23	1,553	1,659 20	1,570 03
FNMA POOL # AZ2331 3 5% 7/1/45	61,657	64,980 20	63,972 33
FNMA POOL # 675570 6% 12/1/32	2,378	2,444 24	2,605 08
FNR 2003-14 CL AP 4% 3/25/33	1,202	1,218 32	1,241 90
FNR 2006-77 CL PC 6 5% 8/25/36	13,917	15,735.28	15,650 64
FNMA POOL # BA2925 4% 12/1/45	50,251	53,839.24	52,435.93
FNMA POOL # BE9567 3.5% 4/1/47	82,935	85,086 04	85,383.11
FNMA POOL #BM4874 V/R 11/1/48	93,649	94,731 69	96,578.22
FNMA POOL # 735648 V/R 02/01/34	8,006	7,986 26	8,450 77
FNMA POOL # 802650 5 109% 10/1/34	1,153	1,173 22	1,184 74
FNMA POOL # 816308 V/R 2/1/35	3,573	3,563 25	3,758 85
FNMA POOL # 817330 V/R 7/1/35	4,755	4,763 56	4,937 32
FNMA POOL # MA2114 3.5% 12/1/44	84,356	86,701 65	85,706 05
FNMA POOL # MA2354 3 5% 8/1/35	52,287	54,810 97	53,940 86
FNMA POOL #MA2947 2 5% 2/1/32	87,075	87,415 28	87,076 90
FIDELITY NEW MRKTS INC-Z	9,349	147,061.20	142,005 13
FLORIDA PWR & LT NT 5 69% 3/1/40	85,000	84,886 10	109,906 70
FRANKLIN RES INC NT 2 85% 3/30/25	85,000	84,852 95	86,584 40
SLST 2018-2 CL A1 3 5% 11/25/28	121,696	120,071 33	126,831 69
SCRT 2017-3 CL MA 3% 7/25/56	85,828	86,891 59	87,333 41
GENERAL ELEC CAP NT 5 3% 2/11/21	100,000	114,324 00	103,606 00
GEORGIA PWR NT 2.4% 4/1/21	65,000	64,768 60	65,047 45

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GONZAGA UNIV NT 4 158% 4/1/46	50,000	50,000 00	54,065 50
GNMA POOL # 622123 5 5% 10/15/33	8,158	8,452 09	9,197.73
HARTFORD FLOATING RATE-F	16,018	131,337 73	134,869.66
IBM CREDIT CORP NT 2 65% 2/5/21	100,000	99,951 00	100,553 00
INTEL CORP NT 2 45% 7/29/20	90,000	89,915 40	90,183 60
INVESCO FIN PLC NT 3 125% 11/30/22	85,000	85,096 05	86,931 20
JACKSON OH SD TXBL GO 1 666% 12/1/21	110,000	110,000 00	108,337.90
JOHN DEERE NT 2 8% 3/6/23	90,000	89,892 00	91,937 70
JPMBB 2014-C26 CL A2 3 0185% 1/15/48	53,610	55,218 30	53,602 03
JPMORGAN CHASE NT V/R 1/23/29	70,000	70,000 00	72,774 80
LOWELL MI SCH TXBL GO 1 93% 5/1/21	100,000	100,000 00	99,407 00
MA ST HSG 3 9% 6/1/20	30,000	30,000 00	30,615 00
MASTERCARD INC NT 2 95% 6/1/29	110,000	109,848 20	113,463 90
MLMI 2005-A7 CL 2A1 5 4005% 9/25/35	12,802	12,498 39	11,691 70
METLIFE INC NT V/R 12/15/22	85,000	84,321 70	87,045 10
MICROSOFT CORP NT 2 375% 5/1/23	80,000	79,731 20	80,989 60
MSBAM 2016-C29 CL A2 2 786% 5/15/49	100,000	102,996 25	100,535 67
NATL RURAL UTIL NT 2 95% 2/7/24	100,000	99,805 00	102,577 00
NEVADA POWER NT 6 75% 7/1/37	80,000	106,840 80	110,476 80
NY CITY NY HSG TXBL 4 099% 11/1/28	100,000	100,000 00	109,338 00
NY NY TXBL GO 1 7% 8/1/22	80,000	75,267 20	79,099 20
NORTHERN TR CORP NT 3 375% 8/23/21	110,000	109,519 30	112,891 90
NW NATURAL GAS NT 3 869% 6/15/49	100,000	100,000 00	101,647 00
OH ST TXBL UNIV DAYTON 3 5% 12/1/24	75,000	75,000 00	78,893 25
OR ST UNIV TXBL 4 34% 4/1/44	85,000	85,000 00	90,186 70
ORACLE CORP NT 2 8% 7/8/21	90,000	91,294 20	91,221 30
PECO ENERGY NT 5 9% 5/1/34	100,000	120,993 00	125,639 00
PFIZER INC NT 2 8% 3/11/222	110,000	109,993 40	111,942 60
PNC FDG CORP NT 4 375% 8/11/20	110,000	120,725 00	112,466 20
PRECISION CAST NT 2 25% 6/15/20	85,000	84,991 50	85,024 65
QUALCOMM INC NT 3% 5/20/22	110,000	114,626 60	112,042 70
SHELL INTL NT 4% 5/10/46	90,000	88,378 20	99,053 10
SIMON PPTY NT 2 75% 6/1/23	105,000	104,809 95	106,416 45
SSM HEALTH CARE NT 3 823% 6/1/27	85,000	85,000 00	90,405 15
STATE STREET CORP NT 3 1% 5/15/23	70,000	67,705 40	71,871 10
STATOIL NT 6.8% 1/15/28	50,000	64,902 50	63,952 50
SASC 2003-31A 2A7 V/R 10/25/33	19,833	15,717 31	20,270 96
STRYKER CORP NT 3 375% 5/15/24	80,000	79,299.20	83,216.80
TRANS-CANADA PL NT 7.25% 8/15/38	55,000	70,520 45	76,369 70
TX ST A&M UNIV TXBL 4 772% 5/15/33	75,000	75,000 00	81,315.00
US TREASURY BOND 4.5% 2/15/36	70,000	78,422 16	92,372 70
US TREASURY BOND 4 75% 2/15/37	60,000	58,647 66	82,182 60
US TREASURY BOND 3 875% 8/15/40	100,000	112,933 59	124,932 00
US TREASURY BOND 2 75% 8/15/42	250,000	244,473 44	262,417 50
U S TREASURY INFL NT 75% 2/15/42	113,074	113,936.37	113,629 19
US TREASURY NT 2% 2/15/25	100,000	101,953.13	101,041.00
US TREASURY NT 3 625% 2/15/21	100,000	111,519 53	102,893 00
US TREASURY NT 2.25% 7/31/21	150,000	158,144 53	151,512 00

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US TREASURY NT 2.125% 2/29/24	100,000	97,109 38	101,662 00
US TREASURY NT 2 25% 11/15/27	125,000	120,791 02	127,991 25
US BANCORP NT 3 15% 4/27/27	80,000	79,952 00	83,112 80
VANGUARD HIGH YIELD CORPORATE FUND	35,053	203,611 96	205,411 61
VANGUARD INTM TERM INV G-ADM	67,448	653,046 50	671,111 96
WALT DISNEY CO NT 7% 3/1/32	60,000	84,548 40	85,990.80
WMALT 2005-4 CL 4A1 5 5% 6/25/20	5,835	5,761.43	4,958 86
WELLS FARGO NT 2 5% 3/4/21	80,000	79,981 60	80,174.40
WFMBS 2006-AR6 5A1 5 1095% 3/25/36	4,647	4,551 16	4,735 97
WFRBS 2012-C7 CL A1 2 3% 6/15/45	11,225	11,337.28	11,207 98
WINNEBAGO IL TXBL GO 4 15% 12/30/27	110,000	110,000 00	118,188 40
WW GRAINGER NT 3.75% 5/15/46	75,000	74,491 50	73,053 75
3M COMPANY NT 2 875% 10/15/27	105,000	104,355.30	105,810 60
BLACKROCK HIGH YIELD PORTFOLIO - K	26,703	196,037.07	204,008 97
DODGE & COX INCOME FD	33,291	452,753 04	463,073 14
PIMCO EMRG MARKETS BOND-INS	13,837	128,133 54	145,975 45
3 Total	**10,769,092**	**13,390,546.33**	**13,844,224.72**

Grand Total	**12,412,331**	**47,731,900.60**	**56,027,220.13**

RICHARD J STERN FOUNDATION FOR THE ARTS
FORM 990PF, PART XVII, LINE 2b -
INFORMATION REGARDING AFFILIATION
WITH TAX-EXEMPT ORGANIZATIONS
DESCRIBED IN SEC. 501(C) OF THE CODE
(OTHER THAN SEC. 501(C)(3)) OR IN SEC. 527

EIN: 43-6318311

NAME OF ORGANIZATION:
ALICE G OGG TRUST UNDER WILL
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
ANN A TRAVER CHARITABLE TRUST B
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
IVY J TRIMMER - CHRISTIAN CHURCH
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
IVY J TRIMMER TRUST
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
MARY HARTMANN FOUNDATION
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
SHAARE SHOLEM CEMETERY TRUST
TYPE OF ORGANIZATION:
501(C)(13)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
ADATH JOSEPH CEMETERY FUND
TYPE OF ORGANIZATION:
501(C)(13)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
NINA BOZARTH T/U/W FBO KAMPF
CEMETERY
TYPE OF ORGANIZATION:
501(C)(13)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE