

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning **JUL 1, 2018**, and ending **JUN 30, 2019**

Name of foundation NORMAN J. STUPP FOUNDATION		A Employer identification number 43-6027433
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 11356		B Telephone number (314) 746-7329
City or town, state or province, country, and ZIP or foreign postal code CLAYTON, MO 63105		C If exemption application is pending, check here ☐ 6
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 22,805,202.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	559,920.	554,448.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	899,052.			
	b Gross sales price for all assets on line 6a	4,187,981.			
	7 Capital gain net income (from Part IV, line 2)		899,052.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Loss: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	1,458,972.	1,453,500.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	205,013.	113,638.		91,375.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees	STMT 2	18,331.	12,331.	6,000.
	17 Interest				
	18 Taxes	STMT 3	12,735.	81.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23		236,079.	126,050.	97,375.
	25 Contributions, gifts, grants paid		1,084,365.		1,084,365.
26 Total expenses and disbursements. Add lines 24 and 25		1,320,444.	126,050.	1,181,740.	
27 Subtract line 26 from line 12		138,528.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		1,327,450.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			150,787.	559,921.	559,921.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 4			12,489,099.	12,357,876.	16,229,266.
	c Investments - corporate bonds STMT 5			5,930,506.	5,791,125.	6,016,015.
	11 Investments - land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment: basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			18,570,392.	18,708,922.	22,805,202.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26, and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27 Capital stock, trust principal, or current funds			18,570,392.	18,708,922.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds			0.	0.		
30 Total net assets or fund balances			18,570,392.	18,708,922.		
31 Total liabilities and net assets/fund balances			18,570,392.	18,708,922.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,570,392.
2 Enter amount from Part I, line 27a	2	138,528.
3 Other increases not included in line 2 (itemize) ▶ <u>ROUNDING</u>	3	2.
4 Add lines 1, 2, and 3	4	18,708,922.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	18,708,922.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES			VARIOUS	06/30/19
b LITIGATIONS			VARIOUS	06/30/19
c CAPITAL GAINS DIVIDENDS			VARIOUS	06/30/19
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 3,743,867.		3,288,929.	454,938.
b 1,348.			1,348.
c 442,766.			442,766.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			454,938.
b			1,348.
c			442,766.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	899,052.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	1,038,341.	22,510,380.	.046127
2016	1,003,923.	21,178,256.	.047403
2015	905,113.	20,276,469.	.044639
2014	1,056,555.	21,441,026.	.049277
2013	929,861.	20,668,611.	.044989

2 Total of line 1, column (d)	2	.232435
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.046487
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	22,084,509.
5 Multiply line 4 by line 3	5	1,026,643.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,275.
7 Add lines 5 and 6	7	1,039,918.
8 Enter qualifying distributions from Part XII, line 4	8	1,181,740.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	13,275.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	13,275.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,275.
6 Credits/Payments.			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	23,600.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	23,600.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,325.	
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax ☐ Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☐ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► COMMERCE TRUST COMPANY Telephone no. ► (314) 746-7322 Located at ► 8000 FORSYTH BLVD, CLAYTON, MO ZIP+4 ► 63105		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ►	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
COMMERCE TRUST COMPANY	TRUSTEE			
P.O. BOX 11356				
CLAYTON, MO 63105	25.00	136,047.	0.	0.
COMMERCE TRUST COMPANY	CHARITABLE			
P.O. BOX 11356				
CLAYTON, MO 63105	10.00	62,966.	0.	0.
COMMERCE TRUST COMPANY	TAX PREPARATION			
P.O. BOX 11356				
CLAYTON, MO 63105	0.25	6,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	21,713,964.
b	Average of monthly cash balances	1b	706,857.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	22,420,821.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	22,420,821.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	336,312.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,084,509.
6	Minimum investment return. Enter 5% of line 5	6	1,104,225.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,104,225.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	13,275.
b	Income tax for 2018 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	13,275.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,090,950.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,090,950.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,090,950.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,181,740.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,181,740.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	13,275.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,168,465.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,090,950.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			220,636.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4. ▶ \$ 1,181,740.				
a Applied to 2017, but not more than line 2a			220,636.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				961,104.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				129,846.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon.

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

CINDY LEWIS, COMMERCE TRUST COMPANY, (314) 746-7322
8000 FORSYTH BLVD, CLAYTON, MO 63105

b The form in which applications should be submitted and information and materials they should include

APPLICATION IS AVAILABLE BY CONTACTING CINDY LEWIS AT ADDRESS IN 2A.

c Any submission deadlines

MARCH 1ST; SEPTEMBER 1ST.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE FOUNDATION FUNDS ONLY ST. LOUIS BASED INITIATIVES; NO GRANTS TO INDIVIDUALS OR SPONSORSHIPS.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE SCHEDULE ATTACHED P.O. BOX 11356 CLAYTON, MO 63105	NONE	PC	GENERAL CHARITABLE PURPOSES	1,084,365.
Total			3a	1,084,365.
b Approved for future payment				
SEE SCHEDULE ATTACHED P.O. BOX 11356 CLAYTON, MO 63105	NONE	PC	GENERAL CHARITABLE PURPOSES	571,500.
Total			3b	571,500.

Form 990-PF (2018)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|--|--|-------|----|
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☒ Yes ☐ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
SEE STATEMENT B		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

 11/13/2019  **OFFICER**

Signature of officer or trustee Date Title

☐ Yes ☐ No May the IRS discuss this return with the preparer shown below? See instr.

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VARIOUS	559,920.	0.	559,920.	554,448.	
TO PART I, LINE 4	559,920.	0.	559,920.	554,448.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OUTSIDE INVESTMENT FEE	12,331.	12,331.		0.
GATEWAY CENTER FOR GIVING	6,000.	0.		6,000.
TO FORM 990-PF, PG 1, LN 16C	18,331.	12,331.		6,000.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX ESTIMATES	12,654.	0.		0.
FOREIGN TAX	81.	81.		0.
TO FORM 990-PF, PG 1, LN 18	12,735.	81.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A	12,357,876.	16,229,266.
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,357,876.	16,229,266.

FORM 990-PF

CORPORATE BONDS

STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A	5,791,125.	6,016,015.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,791,125.	6,016,015.

NORMAN J. STUPP FOUNDATION
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Group	Description	Units	Book Value	Market Value
1	FINANCIAL SQUARE TR GOVERNMENT FD	559,921	559,921 04	559,921 04
1 Total			559,921.04	559,921.04
2	AUTOMATIC DATA PROCESSING INC	260	14,647 65	42,985 80
	BOEING CO	115	18,172 88	41,861 15
	ILLINOIS TOOL WORKS INC	285	26,759 87	42,980 85
	JOHNSON AND JOHNSON	310	30,682 54	43,176 80
	TEXAS INSTRUMENTS INC	405	42,840 15	46,477 80
	CISCO SYSTEM INC	1,015	57,363 03	55,550 95
	COMCAST CORPORATION CL-A	1,020	44,398 87	43,125 60
	MCDONALDS CORP	210	29,136 48	43,608 60
	MICROSOFT CORP	1,720	108,778 45	230,411 20
	STRYKER CORP	220	19,944 11	45,227 60
	UNITEDHEALTH GROUP INC	225	40,201 76	54,902 25
	ABBOTT LABS	530	43,518 23	44,573 00
	AMETEK AEROSPACE PRODS INC	430	19,143 84	39,061 20
	THERMO FISHER CORP	150	20,760 39	44,052 00
	VISA INC CLASS A SHARES	395	19,226 89	68,552 25
	COMMERCE MIDCAP GROWTH FUND	17,053	393,972 39	747,451 97
	COMMERCE VALUE FUND INSTITUTIONAL	31,200	614,558 10	952,540 06
	DFA INTL SMALL CO PORTFOLIO	45,414	803,856 30	808,369 08
	DODGE & COX INTERNATIONAL STOCK FUND	3,106	139,487 00	129,395 96
	DWS REAL ESTATE SEC-INST	21,460	323,145 10	476,197 40
	GATEWAY FUND N	4,871	159,418 18	162,048 36
	ISHARES RUSSELL MIDCAP VALUE ETF	14,000	600,752 84	1,247,820 00
	LAZARD EMERGING MARKETS EQUITY PORT	844	15,685 44	14,959 81
	MFS RESEARCH INTERNAT R6	77,411	1,374,817 15	1,417,388 75
	PGIM JENNISON M/C GROWTH CL Q	14,716	508,950 32	484,730 02
	PRINCIPAL GL MULT STRAT-R6	15,388	159,418 18	164,802 41
	T ROWE PR GROWTH STOCK-I	7,820	500,000 00	537,926 17
	T ROWE PR NEW HORIZONS-I	3,324	149,868 90	209,369 75
	TIAA-CREF INSTL INTL EQ IN-I	56,876	973,718 20	1,098,276 78
	TIAA-CREF L/C VAL INDX-INST	113,290	1,835,290 33	2,265,790 54
	VANGUARD FTSE EMERGING MARKETS-ETF	6,000	278,270 70	255,180 00
	ADOBE INC	155	11,993 16	45,670 75
	AGILENT TECHNOLOGIES INC	520	37,377 08	38,828 40
	ALPHABET INC CL A	135	125,355 61	146,178 00
	AMAZON COM INC	90	61,325 41	170,426 70
	AMGEN INC	240	26,707 98	44,227 20
	AMPHENOL CORP CL-A	465	33,181 76	44,612 10
	ANSYS INC	185	32,738 38	37,891 70
	APPLE INC	1,045	48,941 29	206,826 40
	ARMSTRONG WORLD INDUSTRIES	385	25,143 15	37,422 00
	BECTON DICKINSON & COMPANY	185	23,477 77	46,621 85
	BROADRIDGE FINANCIAL SOL	280	27,988 35	35,750 40
	CBOE GLOBAL MARKETS INC	335	34,159 84	34,716 05

NORMAN J. STUPP FOUNDATION
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CDK GLOBAL INC	750	44,232 48	37,080 00
CITRIX SYS INC	375	37,251 47	36,802 50
COCA COLA	855	29,756 44	43,536 60
COGNIZANT TECHNOLOGY SOLUTIONS CORP	695	51,962 08	44,056 05
CROWN CASTLE INTERNATIONAL CORP	320	26,562 96	41,712 00
DOLLAR GENERAL CORP	310	31,169 29	41,899 60
ECOLAB INC	225	25,796 40	44,424 00
FACEBOOK INC-A	525	64,896 00	101,325 00
FIDELITY NATL INFO SVC	355	24,299 31	43,551 40
FISERV INC	485	15,490 73	44,212 60
FLEETCOR TECHNOLOGIES INC	130	27,481 36	36,510 50
FLIR SYSTEMS INC	715	34,840 73	38,681 50
HENRY JACK & ASSOC INC	265	24,514 41	35,488 80
HOME DEPOT INC	210	23,522 93	43,673 70
HONEYWELL INTL INC	245	25,834 21	42,774 55
ICU MED INC	330	47,582 21	83,130 30
INTERCONTINENTAL EXCHANGE INC	505	23,906 66	43,399 70
KIMBERLY CLARK CORP	0	0 00	0 00
LABORATORY CRP OF AMER HLDGS	220	36,663 64	38,038 00
LAUDER ESTEE COS INC CL A	245	15,251 47	44,861 95
LENNOX INTL INC	130	10,626 89	35,750 00
LIVE NATION ENTERTAINMENT INC	545	36,241 03	36,106 25
LOCKHEED MARTIN CORP	120	19,189 77	43,624 80
MASTERCARD INCORPORATED CLASS A	205	20,131 05	54,228 65
MAXIM INTEGRATED PRODS INC	650	35,038 95	38,883 00
METTLER-TOLEDO INTL INC	45	15,443 25	37,800 00
NIKE INC CLASS B	530	44,173 54	44,493 50
NORTHROP GRUMMAN CORP	135	44,022 89	43,619 85
PAYCHEX INC	505	19,236 75	41,556 45
PAYPAL HOLDINGS INC	370	43,143 33	42,350 20
PEPSICO INC	325	27,766 61	42,617 25
PUBLIC STORAGE	175	32,225 43	41,679 75
ROCKWELL AUTOMATION INC	230	39,673 12	37,680 90
ROLLINS INCORPORATED	970	30,565 36	34,793 90
ROPER INDS INC	120	24,568 72	43,951 20
RPM INC OHIO	605	26,572 31	36,971 55
SCHWAB CHARLES CORP	1,070	44,670 00	43,003 30
SERVICE CORP INTERNATIONAL	795	19,848 47	37,190 10
SHERWIN WILLIAMS CO	90	17,850 09	41,246 10
STARBUCKS CORP	520	28,549 95	43,591 60
T ROWE PRICE GROUP INC	410	30,497 55	44,981 10
VERISIGN INC	210	27,419 95	43,923 60
WASTE MGMT INC DEL	380	20,631 66	43,840 60
YUM BRANDS INC	395	31,170 71	43,714 65
AAR CORP	485	21,229 49	17,843 15
ALAMO GROUP INC	195	15,318 36	19,486 35
ALLEGIANTE TRAVEL CO	135	20,387 90	19,372 50

NORMAN J. STUPP FOUNDATION
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AMERIS BANCORP	610	20,844 75	23,905 90
AMERISAFE INC	363	14,642 38	23,148 51
ATRICURE INC	535	16,738 17	15,964 40
BALCHEM CORP	205	11,584 77	20,493 85
CAMBREX CORP	325	17,391 32	15,213 25
CANTEL MED CORP	320	14,026 42	25,804 80
CATALENT INC	750	23,950 27	40,657 50
CATHAY GENERAL BANCORP	600	19,027 82	21,546 00
CENTRAL GARDEN & PET CO	315	12,331 61	8,489 25
CENTRAL GARDEN AND PET CO-A	615	21,460 39	15,153 60
CHURCHILL DOWNS INC	215	17,173 65	24,740 05
CHUYS HOLDINGS INC	347	9,753 04	7,953 24
COHEN & STEERS INC	400	8,244 77	20,576 00
COMPASS MINERALS INTERNATIONAL	170	12,836 51	9,341 50
DRIL-QUIP INC	170	7,797 04	8,160 00
ENTEGRIS INC	575	17,318 87	21,459 00
EXLSERVICE HOLDINGS INC	270	13,586 30	17,855 10
FIVE BELOW INC	275	9,637 53	33,005 50
FORUM ENERGY TECHNOLOGIES INC	590	16,921 89	2,017 80
GLACIER BANCORP INCORPORATED	625	15,048 41	25,343 75
GLOBANT SA	55	4,322 64	5,557 75
GLOBUS MEDICAL INC-A	730	16,188 35	30,879 00
GRAND CANYON EDUCATION INC	400	6,383 04	46,808 00
HEARTLAND EXPRESS INC	850	12,016 82	15,359 50
HELEN OF TROY CORP LTD	120	12,207 00	15,670 80
HELIOS TECHNOLOGIES INC	325	15,124 03	15,083 25
HUBSPOT INC	235	12,270 57	40,072 20
IBERIABANK CORP	200	10,372 84	15,170 00
ICF INTERNATIONAL INC	300	16,298 30	21,840 00
IDACORP INC	215	15,959 12	21,592 45
INSULET CORP	130	11,011 62	15,519 40
JOHN BEAN TECHNOLOGIES CORP	155	14,694 44	18,775 15
LHC GROUP INC	210	20,920 88	25,111 80
LITHIA MOTORS INC CL A	280	24,493 75	33,258 40
MACOM TECHNOLOGY SOLUTIONS	465	17,334 37	7,035 45
MATADOR RESOURCES COMPANY	1,220	28,534 19	24,253 60
MEDIDATA SOLUTIONS INC	215	4,227 39	19,459 65
MERIDIAN BANCORP INC	620	12,814 31	11,091 80
MOBILE MINI INC	435	13,141 80	13,237 05
NATIONAL HEALTH INVESTORS INC	230	9,910 63	17,946 90
NORTHWESTERN CORP	390	14,654 26	28,138 50
NOVANTA INC	145	12,510 46	13,673 50
OXFORD INDUSTRIES INCORPORATED	205	14,024 33	15,539 00
PACIFIC PREMIER BANCORP INC	635	26,548 13	19,608 80
PATRICK INDUSTRIES INC	370	18,556 04	18,200 30
PAYLOCITY HOLDING CORP	310	24,345 56	29,084 20
PEBBLEBROOK HOTEL TRUST	530	13,663 30	14,935 40
PERFORMANCE FOOD GROUP CO	875	25,427 69	35,026 25

NORMAN J. STUPP FOUNDATION

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POLYONE CORPORATION	775	27,967 38	24,327 25
POWER INTEGRATIONS INC	160	5,148 76	12,828 80
PRA GROUP INC	410	12,183 42	11,537 40
PRIMORIS SERVICES CORP	800	20,925 79	16,744 00
PROASSURANCE CORPORATION	210	6,022 24	7,583 10
PROOFPOINT INC	175	13,694 33	21,043 75
QTS REALTY TRUST INC CL A	525	27,341 59	24,244 50
RBC BEARINGS INC	205	9,554 95	34,196 05
RITCHIE BROS AUCTIONEERS	750	16,203 50	24,915 00
ROGERS CORP	125	13,465 45	21,572 50
SILGAN HOLDINGS INC	830	16,455 37	25,398 00
SILICON LABORATORIES INC	290	21,416 00	29,986 00
SITEONE LANDSCAPE SUPPLY INC	340	19,119 39	23,562 00
SKYLINE CHAMPION CORP	765	20,384 11	20,945 70
STAG INDUSTRIAL INC	800	19,247 48	24,192 00
STIFEL FINL CORP	395	12,985 27	23,328 70
SYNEOS HEALTH INC	600	26,024 51	30,654 00
TEXAS CAPITAL BANCSHARES INC	310	18,092 26	19,024 70
TEXAS ROADHOUSE INC	515	6,458 03	27,640 05
TUPPERWARE BRANDS CORPORATION	215	8,578 87	4,091 45
UNITED BANKSHARES INC/WV	365	15,987 50	13,537 85
UNIVERSAL FOREST PRODS INC	545	5,472 01	20,742 70
US ECOLOGY INC	345	9,156 51	20,541 30
VIRTUSA CORP	495	21,155 58	21,992 85
WD-40 COMPANY	90	3,518 53	14,313 60
WEBSTER FINL CORP WATERBURY CONN	425	15,327 35	20,302 25
WOLVERINE WORLD WIDE INC	500	9,401 50	13,770 00
WRIGHT MEDICAL GROUP NV	673	15,934 62	20,068 86
2 Total		12,357,876.03	16,229,266.17

3 FHR 2790 CL TN 4% 5/15/24	4,095	3,642 97	4,214 96
FNR 2003-117 CL KB 6% 12/25/33	41,623	42,444 20	47,451 33
TN VALLEY AUTHORITY NT 6 75% 11/1/25	20,000	24,052 60	25,452 00
TOSCO CORP NT 8 125% 2/15/30	20,000	25,975 60	28,252 60
ABBOTT LABS NT 6 15% 11/30/37	25,000	24,900 00	33,562 00
ACE INA HOLDINGS NT 3 35% 5/3/26	65,000	66,256 45	68,170 05
ALABAMA PWR NT 4 3% 7/15/48	30,000	29,774 10	33,633 30
ALLEN TX TXBL 2 3% 9/1/20	75,000	75,000 00	75,211 50
AMER HONDA FIN NT 2 9% 2/16/24	60,000	59,623 80	61,097 40
AMER WATER CAP NT 3% 12/1/26	35,000	34,936 30	35,011 90
AMERIPRISE FINL NT 3 7% 10/15/24	55,000	56,669 80	58,317 60
APPLE INC NT 2 1% 9/12/22	35,000	34,958 70	35,088 55
ASCENSION HEALTH NT 3 945% 11/15/46	60,000	61,297 80	64,920 00
BANK OF AMERICA NT V/R 4/23/40	60,000	60,000 00	63,984 00
BK OF NY MELLON NT 3 65% 2/4/24	35,000	34,872 25	36,967 70
BK NOVA SCOTIA NT 3 125% 4/20/21	50,000	49,905 00	50,774 00
BAPTIST HLTH FL NT 4 342% 11/15/41	35,000	35,279 65	38,138 10
BB&T CORP NT 2 75% 4/1/22	55,000	54,897 15	55,666 05

NORMAN J. STUPP FOUNDATION

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BSARM 2003-3 CL 2A2 V/R 5/25/33	7,694	6,289 66	7,764 44
BLACKROCK INC NT 3 375% 6/1/22	30,000	31,142 40	31,048 80
BOEING CO NT 2 8% 3/1/27	50,000	48,849 00	50,298 50
BP CAP MARKETS NT 4 234% 11/6/28	55,000	55,000 00	60,884 45
BURLINGTON NORTH NT 3 9% 8/1/46	30,000	29,759 70	32,280 30
CABMT 2015-2 CL A1 2 25% 7/17/23	35,000	34,986 07	34,992 93
CANADIAN NATL RR NT 2 85% 12/15/21	35,000	35,327 25	35,401 10
CD 2017-CD3 CL A2 3 153% 2/10/50	55,000	56,648 53	55,914 06
CHEVRON CORP NT 3 191% 6/24/23	40,000	40,392 80	41,515 20
CLAYTON MO TXBL 5 15% 12/1/22	50,000	50,261 50	50,654 00
COCA-COLA CO NT 3 3% 9/1/21	40,000	39,965 20	41,080 40
CONSUMERS ENERGY NT 3 95% 7/15/47	50,000	49,791 00	54,079 00
CWALT 2004-18CB CL 3A1 5 25% 9/25/19	406	410 74	404 37
CSFB 2003-8 CL 3A4 5 5% 4/25/33	5,579	5,781 45	5,789 61
CSFB 2003-19 CL 1A4 5 25% 7/25/33	5,144	4,513 61	5,334 19
DUKE ENERGY FL NT 2 538% 9/1/29	60,000	56,974 80	59,868 00
DUKE ENERGY NT 4 2% 3/15/42	40,000	42,801 60	42,590 40
ENTERGY MISSISSIPPI NT 3 1% 7/1/23	35,000	34,937 35	35,631 75
EXXON MOBIL CORP NT 2 222% 3/1/21	35,000	35,615 30	35,096 95
FFCB NT 5 19% 4/22/21	85,000	85,705 50	90,148 45
FHLB NT 2 5% 12/8/23	75,000	76,301 25	76,965 00
FHLMC POOL # 847512 V/R 1/1/36	1,132	1,124 38	1,198 34
FHLMC GOLD C01116 7 5% 1/01/31	1,375	1,466 46	1,597 49
FHR 2126 CL CB 6 25% 2/15/29	5,552	5,682 87	6,084 26
FHLMC GOLD POOL #G61157 3 5% 10/1/44	46,835	48,327 36	48,318 23
FHLMC POOL # 781530 V/R 5/1/34	1,355	1,308 61	1,430 66
FHR 4666 CL PB 3% 8/15/45	55,382	55,909 79	57,089 06
FHR 4679 CL DY 3 5% 7/15/42	60,000	61,265 62	62,441 68
FHR 4835 CL MW 4 5% 5/15/45	60,000	62,137 50	65,024 74
FHR 4841 CL VH 4 5% 10/15/37	60,000	63,168 75	65,758 52
FHR 4840 CL MB 4 5% 9/15/46	60,000	63,375 00	65,945 39
FHR 4766 CL GD 3 5% 3/15/44	49,404	50,021 22	50,732 98
FNMA NT 2 125% 4/24/26	75,000	73,780 98	75,594 00
FNR 2013-54 CL HQ 3% 6/25/43	60,828	59,668 65	61,645 50
FNR 2015-2 CL PA 2 25% 3/25/44	17,363	17,577 34	17,452 55
FNR 2016-16 CL PD 3% 12/25/44	50,363	50,449 66	50,831 82
FNR 2017-99 CL PA 3% 10/25/42	51,632	52,221 12	52,216 73
FNR 2018-22 CL BC 3 75% 5/25/44	48,472	49,027 11	50,213 39
FNMA NT 2 075% 2/28/22	60,000	60,000 00	60,259 80
FNMA POOL #AS4374 3 5% 2/1/45	18,393	18,953 71	19,075 84
FNMA POOL # 675570 6% 12/1/32	811	833 41	888 08
FNR 2003-14 CL AP 4% 3/25/33	1,202	1,218 32	1,241 90
FNMA POOL # BF0157 4% 8/1/46	49,284	50,578 11	51,813 17
FNMA POOL #BM4874 V/R 11/1/48	56,189	56,839 01	57,946 92
FNMA POOL # 735648 V/R 02/01/34	3,203	3,194 52	3,380 30
FNMA POOL # 766027 5% 2/1/34	3,399	3,398 47	3,717 19
FNMA POOL # 802650 5 109% 10/1/34	576	586 64	592 37
FNMA POOL # 816308 V/R 2/1/35	1,429	1,425 31	1,503 53

NORMAN J. STUPP FOUNDATION

As of June 30, 2019

EIN: 43-6027433

FNMA POOL # MA2354 3 5% 8/1/35	23,238	24,360 46	23,973 74
FNMA POOL # MA2655 4% 6/1/36	63,020	67,244 14	65,948 37
FNMA POOL# MA2841 2 5% 12/1/36	44,591	43,086 24	44,675 90
FM SCRT 2017-3 CL MA 3% 7/25/56	51,497	52,134 98	52,400 06
GENERAL DYNAMICS NT 2 25% 11/15/22	60,000	59,493 00	60,262 80
GLAXOSMITHKLINE CAP NT 2 85% 5/8/22	60,000	61,014 60	61,008 00
GONZAGA UNIV NT 4 158% 4/1/46	15,000	15,000 00	16,219 65
GNMA II POOL #BK6472 5% 2/20/49	59,689	61,852 94	62,442 07
GNMA POOL # 622123 5 5% 10/15/33	4,079	4,226 07	4,598 87
GNR 2017-36 CL MA 3 5% 3/20/47	41,645	42,894 34	43,832 95
GNR 2017-170 CL HE 3% 11/16/47	21,587	21,803 14	22,642 09
HENNEPIN CO MN TXBL GO 4 8% 12/1/35	35,000	36,217 65	42,075 95
HONEYWELL INTL NT 2 5% 11/1/26	60,000	56,659 80	59,804 40
IBM CREDIT CORP NT 2 65% 2/5/21	60,000	59,970 60	60,331 80
ILLINOIS TOOL NT 2 65% 11/15/26	35,000	34,889 75	35,360 50
IMSA 2006-1 CL 2A1 V/R 5/25/36	9,344	8,386 22	9,415 34
INTEL CORP NT 1 7% 5/19/21	35,000	34,938 40	34,732 95
JOHNSON & JOHNSON NT 5 85% 7/15/38	35,000	35,111 30	47,593 00
JPMBB 2014-C26 CL A2 3 0185% 1/15/48	22,075	22,736 95	22,071 43
JPMORGAN CHASE NT V/R 1/23/29	55,000	55,000 00	57,180 20
MASTERCARD INC NT 2 95% 6/1/29	60,000	59,917 20	61,889 40
MLMI 2005-A7 CL 2A1 5 4005% 9/25/35	6,001	5,858 60	5,480 48
MICROSOFT CORP NT 2 375% 5/1/23	35,000	34,882 40	35,432 95
MPLS MN ARPTS TXBL 2 392% 1/1/21	55,000	55,000 00	55,157 30
MITSUBISHI FIN NT 2 998% 2/22/22	50,000	50,053 20	50,784 00
MO ST EDL FAC TXBL 3 535% 2/15/33	40,000	40,000 00	43,082 40
NATL AUSTRALIA BK NT 2 125% 5/22/20	60,000	60,018 60	59,912 40
NATL RURAL UTIL NT 2 3% 9/15/22	35,000	34,993 00	35,081 55
NEVADA POWER NT 6 75% 7/1/37	40,000	53,420.40	55,238 40
NORTHERN ST PWR NT 4 85% 8/15/40	30,000	34,051 20	34,780 80
NOVARTIS NT 2 4% 5/17/22	50,000	49,724 50	50,421 00
OH ST BAB TXBL GO 3 625% 11/1/20	45,000	45,029 25	46,002 60
ORACLE CORP NT 2 8% 7/8/21	35,000	35,503 30	35,474 95
PAW PAW MI S/D TXBL GO 2 5% 11/1/20	35,000	35,000 00	35,159 60
PNC BANK NA NT 2 7% 11/1/22	35,000	33,499 55	35,350 70
PRECISION CAST NT 2 25% 6/15/20	35,000	34,996 50	35,010 15
PUB SVC ELEC & GAS NT 5 5% 3/1/40	40,000	40,700 01	50,964 00
QUALCOMM INC NT 3% 5/20/22	35,000	36,472 10	35,649 95
RIVER CITY INC KY 2 75% 12/1/33	60,000	50,839 20	60,576 60
ST CLAIR CO IL TXBL GO 2 5% 12/1/19	35,000	35,534 80	34,971 30
SCHLUMBERGER INV NT 3 65% 12/1/23	51,000	53,835 60	53,657 61
SASC 2003-31A 2A7 V/R 10/25/33	7,307	5,790 58	7,468 25
STRYKER CORP NT 3 375% 5/15/24	35,000	34,693 40	36,407 35
TENN VY NT 6 15% 1/15/38	30,000	34,922 58	43,228 50
TEXAS INSTRUMENT NT 2 75% 3/12/21	60,000	61,367 40	60,669 60
THE MET NT 3 4% 7/1/45	30,000	29,826 00	30,780 90
TOTAL CAP CANADA NT 2 75% 7/15/23	55,000	54,082 60	55,969 65
TRANS-CANADA PL NT 7 25% 8/15/38	25,000	32,054 75	34,713 50

NORMAN J. STUPP FOUNDATION

As of June 30, 2019

EIN: 43-6027433

TRAVELERS COS INC NT 4 1% 3/4/49	50,000	49,811 50	56,450 00
TX ST A&M UNIV TXBL 4 772% 5/15/33	35,000	35,000 00	37,947 00
TX ST TXBL GO 2 315% 10/1/21	55,000	55,000 00	55,433 40
TX ST UNIV TXBL 2 939% 2/15/24	60,000	60,000 00	62,086 80
UNITED PARCEL SVS NT 2 45% 10/1/22	40,000	39,946 80	40,426 80
US TREASURY BOND 5 375% 2/15/31	30,000	32,573 43	40,298 70
US TREASURY BOND 4 75% 2/15/37	25,000	24,436 52	34,242 75
US TREASURY BOND 3 875% 8/15/40	90,000	103,426 34	112,438 80
US TREASURY BOND 2 75% 8/15/42	60,000	59,261 72	62,980 20
US TREASURY BOND 2 5% 2/15/45	65,000	57,624 02	64,770 55
U S TREASURY BDS 2% 1/15/26	32,183	37,219 95	35,823 22
US TREASURY NT 1 375% 2/29/20	125,000	124,428 71	124,451 25
US TREASURY NT 1 625% 2/15/26	75,000	69,779 30	73,917 75
US TREASURY NT 1 625% 5/31/23	150,000	144,744 14	149,433 00
US TREASURY NT 2 25% 12/31/23	100,000	99,664 06	102,172 00
UNITED TECH NT 2 3% 5/4/22	60,000	59,867 40	59,948 40
UNIV MA TXBL 2 84% 11/1/23	75,000	75,000 00	76,475 25
US BANCORP NT 2 95% 7/15/22	40,000	38,274 00	40,843 20
WFMBS 2006-AR6 5A1 5 1095% 3/25/36	2,178	2,133 34	2,219 98
W PALM BCH FL TXBL 1 639% 10/1/19	60,000	60,000 00	59,889 00
WW GRAINGER NT 3 75% 5/15/46	20,000	19,864 40	19,481 00
WYETH NT 7 25% 3/1/23	30,000	39,900 00	35,248 80
3M COMPANY NT 2 875% 10/15/27	65,000	64,600 90	65,501 80
3 Total		5,791,124.83	6,016,014.99
Grand Total		18,708,921.90	22,805,202.20

NORMAN J STUPP FOUNDATION
FORM 990PF, PART XVII, LINE 2b -
INFORMATION REGARDING AFFILIATION
WITH TAX-EXEMPT ORGANIZATIONS
DESCRIBED IN SEC. 501(C) OF THE CODE
(OTHER THAN SEC. 501(C)(3)) OR IN SEC. 527

EIN: 43-6027433

NAME OF ORGANIZATION:
ANN A TRAVER CHARITABLE TRUST B
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
IVY J TRIMMER - CHRISTIAN CHURCH
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
IVY J TRIMMER TRUST
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
ALICE G OGG TRUST UNDER WILL
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
MARY HARTMANN FOUNDATION
TYPE OF ORGANIZATION:
501(C)(4)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
SHAARE SHOLEM CEMETERY TRUST
TYPE OF ORGANIZATION:
501(C)(13)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
ADATH JOSEPH CEMETERY FUND
TYPE OF ORGANIZATION:
501(C)(13)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

NAME OF ORGANIZATION:
NINA BOZARTH T/U/W FBO KAMPF
CEMETERY
TYPE OF ORGANIZATION:
501(C)(13)
DESCRIPTION OF RELATIONSHIP:
COMMON TRUSTEE

Norman J. Stupp Foundation

EIN 43-6027433

**Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2019**

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
A Million Stars Inc DBA College Bound 110 N Jefferson St. Louis, MO 63103	PC	Mental Health Services	10,000 00	05/03/2019
Aim High-St. Louis 755 S Price Rd St. Louis, MO 63124	PC	Aim High Program over 2 years	20,000 00	05/03/2019
Alive Inc P O Box 28733 St. Louis, MO 63146	PC	Children's Treatment Program	10,000 00	05/03/2019
Almost Home 3200 St Vincent Ave St. Louis, MO 63104	PC	Foundations to Success Transitional Living Program	8,000 00	05/03/2019
Angels Arms 12128 A Tesson Ferry Rd St. Louis, MO 63128	PC	Life Launch	10,000 00	12/17/2018
Area Resources for Community and Human Services 539 N Grand Ave St. Louis, MO 63103	PC	After School for All Partnership in St. Louis (ASAP) over 2 years	10,000 00	05/03/2019
Beyond Housing Inc 6506 Wright Wy St. Louis, MO 63121	PC	Beyond Housing's Youth Programs over 2 years	20,000 00	05/03/2019
Big Brothers Big Sisters Of Eastern Missouri 501 N Grand Blvd , Ste 100 St. Louis, MO 63103	PC	ABCToday Partnership Program	22,500 00	12/17/2018
Boys Hope Girls Hope 8027 Elinor Avenue Richmond Heights, MO 63117	PC	Project HOPE Homes, Opportunities, Parenting, Education	7,500 00	12/17/2018
Center of Creative Arts 524 Trinity Avenue University City, MO 63130	PC	COCAedu Arts Integration Residences	10,000 00	05/03/2019
Child Center Marygrove 2705 Mullanphy Ln Florissant, MO 63031	PC	Therapeutic Residential Treatment Program over 2 years	10,000 00	12/17/2018
Child Day Care Association of Saint Louis DBA United 4 Children 1310 Papin St, Ste 100B St. Louis, MO 63103	PC	Social Emotional Early Childhood Initiative	8,000 00	05/03/2019
Christian Activity Center 540 N 6th St East St. Louis, IL 62201	PC	Math Program	9,000 00	12/17/2018
City Academy Inc 4175 N Kingshighway Blvd St. Louis, MO 63115	PC	STEAM Program	10,000 00	12/17/2018

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2019

EIN 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Community Helping Ministry 3770 McKelvey Rd Bridgeton, MO 63044	PC	Strengthening Families and Communities	7,000 00	12/17/2018
Compass Health Inc 111 Mexico Court St. Peters, MO 63376	PC	The Pinocchio Program over 2 years	12,500 00	12/17/2018
Connections To Success Inc 3000 Little Hills Expwy, Ste 102 St. Charles, MO 63301-3427	PC	Strengthening Families	10,000 00	12/17/2018
Covenant House Missouri 2727 N. Kingshighway St. Louis, MO 63113	PC	Crisis Program over 2 years	12,500 00	05/03/2019
Crime Victim Advocacy Center Of St Louis 539 N Grand Blvd, Ste 400 St. Louis, MO 63103	PC	CVC relocation	8,000 00	12/17/2018
De La Salle Inc 1106 N Jefferson St. Louis, MO 63106	PC	Graduate Support Program- Summer Scholars Academy over 2 years	12,500 00	12/17/2018
Depaul Usa Inc PO Box 756 Chicago, IL 60690	PC	Depaul USA St. Louis Programs	7,500 00	12/17/2018
Epworth Children & Family Services Inc 110 N Elm Ave Webster Groves, MO 63119	PC	Older Youth Services over 3 years	15,000 00	12/17/2018
Fathers Support Center St. Louis 4411 N Newstead St. Louis, MO 63115	PC	General Operating Support	10,000 00	05/03/2019
Focus St. Louis 815 Olive St, Ste 110 St. Louis, MO 63101	PC	Young Leaders Programs	12,500 00	05/03/2019
Forest Park Forever Inc Dennis & Judith Jones Visitor and Education Center 5595 Grand Drive in Forest Park St. Louis, MO 63112	PC	Voyage of Learning Teachers' Academy	20,000 00	05/03/2019
Foster Care Coalition of Greater St. Louis Inc 1750 S Brentwood Blvd, Ste 210 St. Louis, MO 63144	PC	Adoption and Permanency Programs over 2 years	15,000 00	12/17/2018
Friends Of Madison County Child Advocacy Center-Nfp 101 E Edwardsville Rd Wood River, IL 62095-1369	PC	Forensic Interview and Case Management Services	10,000 00	12/17/2018
Gateway Region Young Mens Christian Association 326 S 21st St, #400 St. Louis, MO 63103	PC	After School Learning Labs	20,000 00	05/03/2019

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Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2019

EIN 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Girls Incorporated of St Louis 3801 Nelson Dr St Louis, MO 63121	PC	Girls Inc After School Program	7,000 00	12/17/2018
Good Shepherd Children and Family Services 1340 Partridge Ave University City, MO 63130	PC	Maternity Shelter & Transitional Living Program	6,000 00	05/03/2019
Grace Hill Settlement House 2125 BISSELL ST St Louis, MO 63107-1406	PC	Community School Partnership	10,000 00	12/17/2018
Great Circle 330 N Gore Ave St Louis, MO 63119	PC	Supercurricular Programming	25,000 00	05/03/2019
Guardian Angel Settlement Association 1127 N Vandeventer St Louis, MO 63113	PC	The Path to Possible Campaign	10,000 00	12/17/2018
Harris-Stowe State University 3026 Laclede Ave St Louis, MO 63103	PC	Summer Bridge Program for High School Students	8,500 00	05/03/2019
Haven Of Grace 1225 Warren St St Louis, MO 63106	PC	Haven of Grace Residential Programs	12,500 00	12/17/2018
Herbert Hoover Boys and Girls Club of St Louis Inc DBA Boys & Girls Clubs of Greater St Louis 2901 N Grand Ave St Louis, MO 63107	PC	Project Learn	20,000 00	05/03/2019
Home Works - Thvp 225 Linden Ave St Louis, MO 63105	PC	HOME WORKS! The Teacher Home Visit Program	6,000 00	12/17/2018
Immigrant & Refugee Womens Program 5021 Adkins Avenue St Louis, MO 63116	PC	In-Home English Tutoring	8,500 00	05/03/2019
Interfaith Residence DBA Doorways 4385 Maryland Ave St Louis, MO 63108	PC	DOORWAYS Residential Support Services over 2 years	12,500 00	12/17/2018
Jefferson County Community Partnership Inc 3875 Plass Rd , Bldg A Festus, MO 63028	PC	The Parenting Network (TPN) over 2 years	10,000 00	05/03/2019
Jefferson County Rescue Mission P O Box 211 Pevely, MO 63070	PC	Operating expenses and nutritional food for our food pantry	7,500 00	12/17/2018
Junior Achievement of Greater St Louis 17339 N Outer Forty Rd Chesterfield, MO 63005	PC	JA St Louis Public Schools Initiative	10,000 00	05/03/2019

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2019

EIN 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Kingdom House DBA LifeWise STL 1321 S 11th St St Louis, MO 63104	PC	Kingdom Academy	10,000 00	12/17/2018
Loyola Academy of St Louis 3851 Washington Blvd St Louis, MO 63108	PC	STEAM Programming	10,000 00	05/03/2019
Lydias House Inc P O Box 2722 St Louis, MO 63116	PC	Transitional Housing and Support Services for Victims of Domestic Violence	10,000 00	12/17/2018
Marian Middle School 4130 Wyoming St St Louis, MO 63116	PC	General Operating Support	12,500 00	05/03/2019
Mathews-Dickey Boys & Girls Club 4245 N Kingshighway Blvd St Louis, MO 63115	PC	Youth Ambassadors	10,000 00	12/17/2018
Metro Theater Company 3311 Washington Ave St Louis, MO 63103-1118	PC	Capacity-Building Plan over 2 years	10,000 00	12/17/2018
Minam Foundation 501 Bacon Ave St Louis, MO 63119-1512	PC	Miriam Outreach Initiative	12,500 00	05/03/2019
National Audubon Society Inc (Audubon Missouri) 301 Riverlands Wy West Alton, MO 63386	PC	Flight Crew Summer Jobs Program	7,500 00	05/03/2019
National Conference for Community and Justice of Metro St Louis 1405 Pine St , Ste 203 St Louis, MO 63103	PC	Youth Programs	10,000 00	05/03/2019
National Council of Jewish Women Incorporated 295 N Lindbergh St Louis, MO 63141	PC	Back to School Store/Kids Community Closet over 2 years	10,000 00	12/17/2018
Northside Youth and Senior Service Center Inc 4120 Maffitt Ave St Louis, MO 63113	PC	After School Club	8,500 00	05/03/2019
Nurses for Newborns 7259 Lansdowne, Ste 100 St Louis, MO 63119	PC	Home Visiting for Teen Parents	15,000 00	05/03/2019
Parents As Teachers National Center Inc 2228 Ball Dr St Louis, MO 63146	PC	Fatherhood Group Meetings	10,000 00	12/17/2018
Peter And Paul Community Services Inc 2612 Wyoming St St Louis, MO 63118	PC	Soulard Emergency Shelter over 2 years	10,000 00	12/17/2018

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2019

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Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Places for People Incorporated 4130 Lindell Blvd St Louis, MO 63108	PC	Outreach to Hospitalized Homeless with mental illness	10,000 00	05/03/2019
Provident Inc 2650 Olive St St Louis, MO 63103	PC	School-Based Counseling	9,500 00	05/03/2019
Ranken Technical College 4431 Finney Ave St Louis, MO 63113	PC	2019 Career Exploration Adventure Academy	8,500 00	12/17/2018
Ready Readers 10403 Baur Blvd , Ste H St Louis, MO 63132	PC	Building A Strong Early Literacy Foundation Program	8,000 00	12/17/2018
Rebuilding Together St Louis 357 Marshall Ave , Ste 2 St Louis, MO 63119	PC	Roof and Major Repairs Program over 2 years	15,000 00	05/03/2019
Safe Connections 2165 Hampton Ave St Louis, MO 63139	PC	Safe Connections on Campus	12,500 00	12/17/2018
Scottish Rite Clinic For Childhood Language Disorders Of St Louis Inc 650 Maryville University Dr St Louis, MO 63141	PC	KidTalk and KidTalk Spanish over 2 years	8,000 00	12/17/2018
Sherwood Forest Camp Inc 2708 Sutton Blvd St Louis, MO 63143	PC	Leadership Training Program	10,000 00	12/17/2018
Sister Thea Bowman Catholic School 8213 Church Ln East St Louis, IL 62203	PC	Extended Day Program	8,000 00	12/17/2018
St Francis Community Services 4445 Lindell Blvd St Louis, MO 63108	PC	Immigrant Youth Program	7,500 00	12/17/2018
St Louis Learning Disabilities Association Inc 13537 Barrett Parkway Dr , Ste 110 Ballwin, MO 63021	PC	Early Childhood Outreach Program	6,500 00	12/17/2018
St Louis Regional Public Media Inc 3655 Olive Street Saint Louis, MO 63108	PC	PBS KIDS Edcamp	25,000 00	05/03/2019
St Louis Area Food Bank Inc 70 Corporate Woods Dr Bridgeton, MO 63044	PC	Fighting Hunger - Feeding Hope	25,000 00	05/03/2019
St Louis Artworks 5959 Delmar St Louis, MO 63112	PC	General Operating Support	6,000 00	05/03/2019

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Grants and Contributions Paid During the Year for IRS Form 990-PF
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Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
St Louis Bereavement Center for Young People 1333 W Lockwood Ave , Ste 104 St Louis, MO 63122	PC	In-School Grief Support Groups	5,700 00	05/03/2019
St Louis Community Foundation Incorporated #2 Oak Knoll Pk St Louis, MO 63105	PC	Professional Development Institute	20,000 00	05/03/2019
St Louis County Library Foundation 1640 S Lindbergh Blvd St Louis, MO 63131	PC	Adult Career Online High School Program	7,665 00	05/03/2019
St Louis Internship Program 4232 Forest Park Ave , Rm #1027 St Louis, MO 63108	PC	Internship Program	15,000 00	05/03/2019
St Louis Science Center Foundation 5050 Oakland Ave St Louis, MO 63110	PC	Youth Exploring Science (YES) Program over 2 years	8,000 00	12/17/2018
St Louis University Eye Institute One N Grand Blvd St Louis, MO 63103	PC	Annual Bequest	50,000 00	06/06/2019
St Patrick Center 800 N Tucker Blvd St Louis, MO 63101	PC	St Patrick Center's Women's Night Program (WNP)	7,500 00	12/17/2018
Stages St Louis 1023 Chesterfield Pkwy E Chesterfield, MO 63017	PC	Urban Arts Initiative/Academy Scholarships	10,000 00	12/17/2018
The Biome 4471 Olive St St Louis, MO 63108	PC	Adaptive Thinking STEAM Immersion for Children	7,500 00	05/03/2019
The Childrens Home Society Of Missouri 1167 Corporate Lake Dr St Louis, MO 63132	PC	Therapeutic Preschool Program	8,500 00	12/17/2018
The Oasis Institute 11780 Borman Dr , Ste 400 St Louis, MO 63146	PC	Intergenerational Tutoring Program	7,500 00	12/17/2018
The Salvation Army 1130 Hampton Ave St Louis, MO 63139	PC	Regional Social Services	12,500 00	12/17/2018
The Tower Grove Park Foundation 4256 Magnolia Ave St Louis, MO 63110	PC	Refurbishment of Green House Plant Services	11,000 00	05/03/2019
Today and Tomorrow Educational Foundation 20 Archbishop May Dr St Louis, MO 63119	PC	K-8 tuition Assistance Scholarship Program	10,000 00	05/03/2019

Norman J. Stupp Foundation
Grants and Contributions Paid During the Year for IRS Form 990-PF
June 30, 2019

EIN 43-6027433

Recipient Name and Address	Foundation Status of Recipient	Purpose of Contribution	Payment Amount	Payment Date
Trainer Inc 317 N 11th St, Ste 302 St Louis, MO 63101	PC	Connecting St Louis - Making it Safe and Easy to Walk and Bike for Transportation in North City	10,000 00	12/17/2018
University of Missouri-Columbia - College of Business & Public Administration 401 Reynolds Alumni Center University of Missouri Columbia, MO 65211	PC	Missouri College Advising Corps - St Louis Area Programming	8,000 00	05/03/2019
University of Missouri-St Louis - Office of the Chancellor 1 University Blvd , 440 Woods Hall St Louis, MO 63121	PC	Bridge Program After School Clubs	11,000 00	05/03/2019
Unleashing Potential Deaconess Center for Child Well-Being 1000 N Vandeventer Ave St Louis, MO 63113	PC	Early Childhood Education Program at Caroline Mission	8,000 00	05/03/2019
Vision for Children at Risk Inc 1000 N Vandeventer St Louis, MO 63113	PC	Promoting Strategic Action and Collaboration in Early Childhood Development and Informing the Community Through Website and Social Media over 2 years	10,000 00	12/17/2018
Voices for Children 105 S Central Ave St Louis, MO 63105	PC	Healing and Prevention for Young Children in Foster Care over 2 years	20,000 00	12/17/2018
Wyman Center Inc 600 Kiwanis Drive Eureka, MO 63025	PC	Wyman Teen Leadership Program over 2 years	15,000 00	12/17/2018
Young Womens Christian Association of Metropolitan St Louis Missouri 3820 W Pine Blvd St Louis, MO 63108	PC	YW-Teens	15,000 00	05/03/2019
Youth in Need 1815 Boone's Lick Rd St Charles, MO 63301	PC	Transitional Living Program for Homeless Youth over 2 years	10,000 00	05/03/2019

Total Grants and Contributions Paid During the Year: \$1,084,365

Norman J. Stupp Foundation
Grants and Contributions Approved for Future Payment for IRS Form 990-PF
June 30, 2019

EIN 43-6027433

<u>Organization</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>FY 2022</u>	<u>FY 2023</u>
ALIVE	10,000			
Big Brothers Big Sisters of Eastern Missouri	22,500			
COCA-Center of Creative Arts	10,000			
College Bound	10,000			
Community Helping Ministry	7,000			
Crider Health Center	12,500			
De La Salle	12,500			
Forest Park Forever	20,000			
Haven of Grace	12,500			
HOME WORKS! - The Teacher Home Visit Program	6,000			
Immigrant & Refugee Women's Program	8,500			
Loyola Academy of Saint Louis	10,000			
Marian Middle School	12,500			
Marygrove	10,000			
Metro Theater Company	10,000			
National Conference for Community and Justice of Metropolitan St. Louis	10,000			
Nurses for Newborns	15,000			
Peter & Paul Community Services	10,000			
Places for People	10,000			
Ready Readers	8,000			
Rebuilding Together - St. Louis	15,000			
Salvation Army of St. Louis	12,500			
Sherwood Forest Camp	10,000			
Sister Thea Bowman Catholic School	8,000			
St. Louis Area Foodbank	25,000			
St. Louis ArtWorks	6,000			
St. Louis Community Foundation	20,000			
St. Louis Internship Program	15,000			
St. Louis University - Anheuser-Busch Eye Institute	50,000	50,000	50,000	50,000
Today and Tomorrow Educational Foundation	10,000			
Walker Scottish Rite Clinic for Childhood Language Disorders	8,000			
YWCA, St. Louis	15,000			
Fiscal Year Total	<u>421,500</u>	<u>50,000</u>	<u>50,000</u>	<u>50,000</u>
Grand Total	<u><u>571,500</u></u>			