

Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning

07/01, 2018, and ending

06/30, 2019

Name of foundation

THE PATTIS FAMILY FOUNDATION

A Employer identification number

36-3433310

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

600 CENTRAL AVENUE, SUITE 316

(847) 432-8700

City or town, state or province, country, and ZIP or foreign postal code

HIGHLAND PARK, IL 60035

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) \$ 9,142,201.

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here. ☐D 1 Foreign organizations, check here. ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	489,744.			
	2	Check ☐ if the foundation is not required to attach Sch. B.				
	3	Interest on savings and temporary cash investments.				
	4	Dividends and interest from securities	263,120.	263,120.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	356,894.			
	b	Gross sales price for all assets on line 6a	356,894.			
	7	Capital gain net income (from Part IV, line 2)		356,894.		
	8	Net short-term capital gain.				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATTCH. 1	78,933.	-31,225.		
	12	Total. Add lines 1 through 11	1,188,691.	588,789.		
	13	Compensation of officers, directors, trustees, etc.	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	5,661.	5,661.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,944.	3,944.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATTCH. 4	70.	70.		
	24	Total operating and administrative expenses. Add lines 13 through 23.	9,675.	9,675.		
	25	Contributions, gifts, grants paid	418,761.			418,761.
	26	Total expenses and disbursements. Add lines 24 and 25	428,436.	9,675.		418,761.
	27	Subtract line 26 from line 12	760,255.			
	a	Excess of revenue over expenses and disbursements		579,114.		
	b	Net investment income (if negative, enter -0-)				
	c	Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		100,203.	70,381.	70,381.
	2	Savings and temporary cash investments		215,882.	1,576,642.	1,576,642.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations (attach schedule) . .				
	b	Investments - corporate stock (attach schedule) ATCH 5	1,664,923.	1,223,656.	1,223,656.	
	c	Investments - corporate bonds (attach schedule) ATCH 6	201,526.	212,813.	212,813.	
	Liabilities	11	Investments - land, buildings, and equipment basis ▶ 14,650.			
		Less accumulated depreciation (attach schedule) ▶ 14,650.				
12		Investments - mortgage loans				
13		Investments - other (attach schedule) ATCH 7	6,199,412.	6,058,709.	6,058,709.	
14		Land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach schedule) ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	8,381,946.	9,142,201.	9,142,201.	
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons . .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐					
	and complete lines 24 through 26, and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .	8,381,946.	9,142,201.		
30	Total net assets or fund balances (see instructions)	8,381,946.	9,142,201.			
31	Total liabilities and net assets/fund balances (see instructions)	8,381,946.	9,142,201.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,381,946.
2	Enter amount from Part I, line 27a	2	760,255.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	9,142,201.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,142,201.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	356,894.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8		3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	372,159.	8,253,552.	0.045091
2016	334,600.	7,781,527.	0.042999
2015	301,350.	6,805,620.	0.044280
2014	268,634.	6,518,587.	0.041210
2013	88,435.	5,812,666.	0.015214
2 Total of line 1, column (d)		2	0.188794
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years		3	0.037759
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5		4	8,839,048.
5 Multiply line 4 by line 3.		5	333,754.
6 Enter 1% of net investment income (1% of Part I, line 27b).		6	5,791.
7 Add lines 5 and 6.		7	339,545.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		8	418,761.

Part VI. Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 . . .		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	5,791.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	5,791.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,791.
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	7,323.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	4,500.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	11,823.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,032.
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ 6,032. Refunded ☐	11	

Part VII-A. Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ IL, ☐		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	X	
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ MARK R. PATTIS Telephone no ▶ 847-432-8700 Located at ▶ 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL ZIP+4 ▶ 60035		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	☐ Yes ☒ No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
• (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes	☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		
Organizations relying on a current notice regarding disaster assistance, check here	☐	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes	☐ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	7,818,950.
b	Average of monthly cash balances	1b	1,102,077.
c	Fair market value of all other assets (see instructions).	1c	52,626.
d	Total (add lines 1a, b, and c)	1d	8,973,653.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	8,973,653.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	134,605.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,839,048.
6	Minimum investment return. Enter 5% of line 5	6	441,952.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	441,952.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	5,791.
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,791.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	436,161.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	436,161.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	436,161.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	418,761.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	418,761.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	5,791.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	412,970.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				436,161.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			393,956.	
b Total for prior years 20 16 , 20 15 , 20 14				
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 418,761.				
a Applied to 2017, but not more than line 2a			393,956.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions).				
d Applied to 2018 distributable amount.				24,805.
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				411,356.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 8 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)***3. Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
ATCH 11					
Total				► 3a	418,761.
b Approved for future payment					
Total				► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .						
4 Dividends and interest from securities			14	263,120.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income	525990	586.	14	78,348.		
8 Gain or (loss) from sales of assets other than inventory	525990	18,898.	18	337,996.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)		19,484.		679,464.		
13 Total. Add line 12, columns (b), (d), and (e)						698,948.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|--|-------|-----|----|
| 1. Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

BRIDGET BYRNE

Preparer's signature

Preparer's signature
Bridget M. Byrne
LC

Date

Date
12-11-69

Check ☐ if self-employed

PTIN

P01890355

Firm's name	▶ ANDERSEN TAX LLC
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Firm's EIN ▶ 33-1197384

Firm's address ► 71 SOUTH WACKER DRIVE, SUITE 2600
CHICAGO, IL

Phone no 312-357-3950

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

THE PATTIS FAMILY FOUNDATION

Employer identification number

36-3433310

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THE PATTIS FAMILY FOUNDATION**Employer identification number
36-3433310**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BETTE L. PATTIS 600 CENTRAL AVENUE SUITE 316 HIGHLAND PARK, IL 60035	\$ 489,744.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization THE PATTIS FAMILY FOUNDATION

Employer identification number

36-3433310

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization THE PATTIS FAMILY FOUNDATION

Employer identification number

36-3433310

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once See instructions) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					73,013.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					279,290.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					4,591.	
TOTAL GAIN(LOSS)							<u>356,894.</u>	

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
VOGEN FUNDING, LP	-271.	-271.
CAPITALSPRING DIRECT LENDING	-775.	-774.
RIVERNORTH INSTITUTIONAL PARTNERS	-31,073.	-31,073.
ROYALTY INCOME - MCGRAW HILL		
ORBIMED PARTNERS II, LP	-8,681.	-8,681.
NEWSER LLC	177.	177.
ROYALTY INCOME - ORBIMED PARTNERS II, LP	267.	267.
CVI CREDIT VALUE FUND B III	-28.	-28.
CIP VI INSTITUTIONAL FEEDER FUND	3,083.	3,083.
FIRST LIGHT FOCUS FUND, LP	-4,017.	-4,017.
HILDENE OPPORTUNITIES FUND	10,378.	10,378.
OAK STREET REAL ESTATE IV	-286.	-286.
VOGEN FUNDING, LP	4,559.	
ARCUS ZENSEN		
CAPITALSPRING DIRECT LENDING	87.	
OAK STREET REAL ESTATE IV	8,856.	
ALDEN GLOBAL CRE OPP FUND CAYMAN	147.	
CITADEL KENSINGTON GLOBAL STRATS	83,810.	
FIRST LIGHT FOCUS FUND, LP	16,697.	
DALTON HIGH YIELD OFFSHORE	5,687.	
ORBIMED PARTNERS II, LP	-15,574.	
RIVERNORTH INSTITUTIONAL PARTNERS	-8,192.	
WHITEBOX RELATIVE VALUE	69,429.	
FIDELITY	-164,377.	
WESTERN	6,352.	
CIP VI INSTITUTIONAL FEEDER	12,567.	
CVI CREDIT VALUE FUND B III	-23,326.	
CVI CREDIT VALUE FUND B IV	11,948.	
HILDENE OPPORTUNITIES FUND	3,812.	
NEWSER LLC	-177.	
CERBERUS GLOBAL NPL FUND	33,703.	
OTHER	64,151.	

TOTALS

6494CI 827N

V 18-7.6F

NEX205

ATTACHMENT 1 (CONT'D)

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
	<u>78,933.</u>	<u>-31,225.</u>

ATTACHMENT 2FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
PROFESSIONAL FEES	5,661.	5,661.
TOTALS	<u>5,661.</u>	<u>5,661.</u>

ATTACHMENT 3

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FILING FEE	25.	25.
FEDERAL TAXES PAID	3,915.	3,915.
OTHER TAX	4.	4.
TOTALS	<u>3,944.</u>	<u>3,944.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MISC. EXPENSES		
BANK AND MANAGEMENT FEES	70.	70.
TOTALS	<u>70.</u>	<u>70.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SPDR TRUST UNIT SR 1	505,425.	505,425.
SPDR GOLD TR GOLD SHS	276,390.	276,390.
VANECK VECTORS ETF TR GOLD	15,176.	15,176.
BLACKSTONE MORTGAGE TRU-CL A	174,342.	174,342.
ISHARES S&P 100 EFT	158,748.	158,748.
WISDOMTREE TRUST JAPAN HEDGE	60,875.	60,875.
SPDR SER TR S&P OIL & GAS	32,700.	32,700.
EPAM SYS INC COM		
TOTALS	<u>1,223,656.</u>	<u>1,223,656.</u>

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIDELITY EQUITY INCOME (FEQIX)	39,170.	39,170.
FEDL NATL MTG ASSN SER SMBS-34	313.	313.
CSMC MORTGAGE BACKED	451.	451.
GSAMP TRUST SERIES	38,934.	38,934.
RASC SERIES		
PROV DE BUENOS AIRES	133,226.	133,226.
FIRST HORIZON	504.	504.
CWALT	79.	79.
COUNTRYWIDE HOME LOANS	136.	136.
TOTALS	<u>212,813.</u>	<u>212,813.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NEWSER LLC	3,317.	3,317.
VOGEN FUNDING, LP	51,437.	51,437.
WHITEBOX RELATIVE VALUE FUND	939,069.	939,069.
CAPITALSPRING DIRECT LENDING	277,351.	277,351.
RIVER NORTH INSTITUTIONAL PTRS	507,627.	507,627.
CITADEL KENSINGTON GLOBAL	760,714.	760,714.
CVI CREDIT VALUE FUND B III	448,482.	448,482.
CIP VI INSTITUTIONAL FEEDER LP	449,668.	449,668.
HILDENE OPPORTUNITIES FUND	840,090.	840,090.
OAK STREET REAL ESTATE IV	165,520.	165,520.
CVI CREDIT VALUE FUND B IV	264,808.	264,808.
LEOPARD FUND LTD.	568,933.	568,933.
FIRST LIGHT FOCUS FUND, LP	598,220.	598,220.
CERBERUS GLOBAL NPL FUND	183,473.	183,473.
TOTALS	<u>6,058,709.</u>	<u>6,058,709.</u>

THE PATTIS FAMILY FOUNDATION

2018 FORM 990-PF 36-3433310
ATTACHMENT 8

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR QUALIFYING DISTRIBUTION

DONOR ADVISED FUND STATEMENT

THE PATTIS FAMILY FOUNDATION MADE A \$300,000 CONTRIBUTION TO THE
PATTIS FAMILY CHARITABLE FUND. THE ENTIRE DISTRIBUTION IS TREATED AS
A QUALIFYING DISTRIBUTION.

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR SECTION 170 C 2B

DONOR ADVISED FUND STATEMENT

THE PATTIS FAMILY FOUNDATION MADE A \$300,000 CONTRIBUTION TO THE
PATTIS FAMILY CHARITABLE FUND. THE PATTIS FAMILY CHARITABLE FUND IS A
DONOR ADVISED FUND OVER WHICH DISQUALIFIED PERSONS HAVE ADVISORY
PRIVILEGES. THE GOAL OF THE FOUNDATION IN MAKING THIS CONTRIBUTION
WAS TO FURTHER THE PHILANTROPIC MISSION OF THE PATTIS FAMILY'S
CHARITABLE OBJECTIVE AS DESCRIBED IN SECTION 170(C)(2)(B).

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
MARK R. PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	PRESIDENT			
LISA J. PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	SECRETARY AND TREASURER			
MADELEINE A. PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	DIRECTOR			
GRAND TOTALS		<u>0.</u>	<u>0.</u>	<u>0.</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
LYMPHOMA RESEARCH FOUNDATION 111 BROADWAY, STE 1301 NEW YORK, NY 10006	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
INTERLOCHEN CENTER FOR THE ARTS PO BOX 199 INTERLOCHEN, MI 49643	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
EVANSTON HOSPITAL ONCOLOGY FUND 1301 CENTRAL STREET EVANSTON, IL 60201	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
LAKE FOREST ACADEMY 1500 WEST KENNEDY ROAD LAKE FOREST, IL 60045	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
LAKE FOREST COUNTRY DAY SCHOOL 145 SOUTH GREEN BAY ROAD LAKE FOREST, IL 60045	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	2,500
WOMEN EMPLOYED 65 E WACKER PLACE, SUITE 1500 CHICAGO, IL 60601	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	26,750

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
NORTH SHORE COUNTRY DAY SCHOOL 310 GREEN BAY ROAD WINNETKA, IL 60093	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	12,000
WBEZ LEADERSHIP CIRCLE 848 EAST GRAND AVENUE NAVY PIER CHICAGO, IL 60611	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,200
CHILDREN'S HOME AND AID 125 SOUTH WACKER DRIVE, 14TH FLOOR CHICAGO, IL 60606	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
PRINCETON UNIVERSITY OFFICE OF DEVELOPMENT, THE HELM BUILDING 330 ALEXANDER STREET PRINCETON, NJ 08540	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,500
THE CHICAGO COUNCIL ON GLOBAL AFFAIRS 332 S MICHIGAN AVENUE SUITE 1100 CHICAGO, IL 60604-4416	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES.	1,500
RAVINIA FESTIVAL 418 SHERIDAN ROAD HIGHLAND PARK, IL 60035	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	4,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
THE ORIENTAL INSTITUTE 1155 E 58TH ST CHICAGO, IL 60637	NONE PC	-	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	100
PATTIS FAMILY CHARITABLE FUND 100 CROSBY PARKWAY COVINGTON, KY 41015-9325	PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	300,000
NEWBERRY LIBRARY 60 W WALTON S CHICAGO, IL 60610	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
CARLETON COLLEGE 1 NORTH COLLEGE STREET NORTHFIELD, MN 55057	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	2,500
REFUGEE ONE 4753 N BROADWAY ST #401 CHICAGO, IL 60640	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PLANNED PARENTHOOD FEDERATION OF AMERICA 18 S MICHIGAN AVE CHICAGO, IL 60603	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	750
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROAD STREET, 18TH FLOOR NEW YORK, NY 10004	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
AL ROWHAD USA NEWTON EXECUTIVE OFFICE CENTER 233 NEEDHAM ST, SUITE 300 NEWTON, MA 02464	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
CITY OF HIGHLAND PARK 1707 ST JOHNS AVENUE HIGHLAND PARK, IL 60035	NONE PC	REFUND OF PORTION OF PRIOR YEAR SUPPORT PROVIDED FOR PROGRAM AND OPERATIONAL EXPENSES	-289
LA CASA NORTE 3533 W NORTH AVENUE CHICAGO, IL 60647	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
LATINOS PROGRESANDO 3047 W CERMAK RD CHICAGO, IL 60623	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
ORPHANS OF THE STORM 2200 RIVERWOODS RD DEERFIELD, IL 60015	NONE	EOF	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
BENNINGTON COLLEGE 1 COLLEGE DRIVE BENNINGTON, VT 05201	NONE	PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
AM SHALOM 840 VERNON AVE GLENCOE, IL 60022	NONE	PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,400
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVE. DALLAS, TX 75231	NONE	PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
AMERICAN WRITER'S THEATER 325 TUDOR CT GLENCOE, IL 60022	NONE	PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
BOYS & GIRLS CLUBS OF CHICAGO 2102 W MONROE STREET CHICAGO, IL 60612	NONE	PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	25,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
CHICAGO CHILDREN'S CHOIR 78 E WASHINGTON ST #5 CHICAGO, IL 60602	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
CHICAGO PUBLIC LIBRARY FOUNDATION 4805, 20 N MICHIGAN AVE # 520 CHICAGO, IL 60602	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	2,500
EVERYTOWN FOR GUN SAFETY SUPPORT FUND P O BOX 3886 NEW YORK, NY 10017	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	750
HIAS 1300 SPRING STREET, SUITE 500 SILVER SPRING, MD 20910	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
LEADERSHIP GREATER CHICAGO 111 E WACKER DR SUITE 1220 CHICAGO, IL 60601	NONE		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
NORTHFIELD DAY CARE CENTER 300 UNION ST NORTHFIELD, MN 55057	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	100

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND				
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT	
PLANNED PARENTHOOD OF GREATER OHIO 206 E STATE ST COLUMBUS, OH 43215	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000	
SANTA BARBARA INTERNATIONAL FILM FESTIVAL 1528 CHAPALA ST SANTA BARBARA, CA 93101	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000	
SWARTHMORE COLLEGE 500 COLLEGE AVE SWARTHMORE, PA 19081	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000	
SWIM ACROSS AMERICA 11600 N COMMUNITY HOUSE ROAD, SUITE 100 CHARLOTTE, NC 28277	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500	
WBEZ 848 EAST GRAND AVE NAVY PIER CHICAGO, IL 60611	NONE	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250	
TOTAL CONTRIBUTIONS PAID				418,761