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EXTENDED TO MAY 15, 2020

OMB No 1545-0047

Form **990**

Return of Organization Exempt From Income Tax

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2018 calendar year, or tax year beginning JUL 1, 2018 and ending JUN 30, 2019

B Check if applicable: <input type="checkbox"/> Address change <input checked="" type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization LA ROCHE UNIVERSITY		D Employer identification number 25-1125048
	Doing business as		E Telephone number (412) 367-9300
	Number and street (or P O box if mail is not delivered to street address)	Room/suite	G Gross receipts \$ 44,360,239.
	9000 BABCOCK BOULEVARD		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	City or town state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15237-5808		H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions)
F Name and address of principal officer SISTER CANDACE INTROCASO SAME AS C ABOVE			
I Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
J Website: WWW.LAROCHE.EDU			
K Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other <input type="checkbox"/>			
L Year of formation 1963 M State of legal domicile PA			

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities	A CATHOLIC, LIBERAL ARTS BASED INSTITUTION OF HIGHER LEARNING.	
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	27
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	26
	5	Total number of individuals employed in calendar year 2018 (Part V, line 2a)	5	702
	6	Total number of volunteers (estimate if necessary)	6	43
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
	b	Net unrelated business taxable income from Form 990-T, line 38	7b	0.
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	2,080,410.	1,923,119.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	40,817,830.	40,248,954.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	429,310.	284,367.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	682,565.	1,048,901.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	44,010,115.	43,505,341.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	13,991,679.	14,495,963.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
Expenses	16a	Professional fundraising fees (Part IX, column (A), line 11e)	17,015,515.	17,004,120.
	b	Total fundraising expenses (Part IX, column (D), line 25) 851,548.	48,000.	48,000.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	13,353,414.	13,934,663.
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	44,408,608.	45,482,746.
	19	Revenue less expenses Subtract line 18 from line 12	-398,493.	-1,977,405.
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21	Total liabilities (Part X, line 26)	35,315,425.	35,316,324.
	22	Net assets or fund balances Subtract line 21 from line 20	20,970,108.	22,924,518.
			14,345,317.	12,391,806.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer <i>Stephen M. Lippiello</i>	Date			
	STEPHEN M. LIPPIELLO, VP FINANCE/CFO				
Paid Preparer Use Only	Print/Type preparer's name BRIAN R. LENART, E.A.	Preparer's signature BRIAN R. LENART, E.A.	Date 04/23/20	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00289136
	Firm's name BAKER TILLY VIRCHOW KRAUSE, LLP	Firm's EIN 39-0859910	Firm's address 20 STANWIX STREET PITTSBURGH, PA 15222	Phone no 412.697.6400	

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

832001 12-31-18

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2018)

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Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☒ X**1** Briefly describe the organization's mission

LA ROCHE UNIVERSITY, A CATHOLIC INSTITUTION OF HIGHER LEARNING, FOUNDED AND SPONSORED BY THE CONGREGATION OF THE SISTERS OF DIVINE PROVIDENCE, FOSTERS GLOBAL CITIZENSHIP AND CREATES A COMMUNITY OF SCHOLARS FROM THE REGION, THE NATION AND AROUND THE WORLD. THE

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code _____) (Expenses \$ 37,672,898. including grants of \$ 14,495,963.) (Revenue \$ 40,248,954.)
 LA ROCHE HONORS THE TRADITIONS AND VALUES OF THE CHARISM OF THE SISTERS OF DIVINE PROVIDENCE BY CONTINUALLY STRIVING TO BE THE "BEST FOR THE WORLD" AND BRING LIGHT TO EVERY SITUATION WE ENCOUNTER. LA ROCHE SEEKS TO MAKE EDUCATION TRULY ACCESSIBLE TO STUDENTS FROM ALL OVER THE WORLD AND PREPARE ALL STUDENTS TO BE SOCIALLY RESPONSIBLE GLOBAL CITIZENS. THROUGH UNMATCHED CURRICULAR AND CO-CURRICULAR OPPORTUNITIES, LA ROCHE INTENDS TO BE THE STUDENT-CENTERED HIGHER EDUCATION INSTITUTION OF CHOICE IN THE PITTSBURGH REGION. STUDENTS WILL RECEIVE A STRONG LIBERAL ARTS EDUCATION GROUNDED IN THE CATHOLIC TRADITION, WHILE ALSO DEVELOPING CAREER READY SKILLSETS THROUGH A MYRIAD OF APPLIED-LEARNING OPPORTUNITIES.

4b (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4c (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4d Other program services (Describe in Schedule O)

(Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4e Total program service expenses **▶** 37,672,898.

Part IV Checklist of Required Schedules

- 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?
If "Yes," complete Schedule A
- 2 Is the organization required to complete *Schedule B, Schedule of Contributors*?
- 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*
- 4 **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*
- 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*
- 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*
- 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*
- 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*
- 9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*
- 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*
- 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable
- a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*
- b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*
- c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*
- d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*
- e Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*
- f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*
- 12a Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*
- b Was the organization included in consolidated, independent audited financial statements for the tax year?
If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional
- 13 Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*
- 14a Did the organization maintain an office, employees, or agents outside of the United States?
- b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*
- 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*
- 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*
- 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*
- 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*
- 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*
- 20a Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*
- b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?
- 21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*

	Yes	No
1	X	
2	X	
3		X
4		X
5		X
6		X
7		X
8	X	
9		X
10	X	
11a	X	
11b		X
11c		X
11d		X
11e	X	
11f	X	
12a	X	
12b		X
13	X	
14a		X
14b		X
15		X
16		X
17	X	
18	X	
19		X
20a		X
20b		
21		X

Part IV Checklist of Required Schedules (continued)

- 22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III
- 23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J
- 24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a
- b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?
- c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?
- d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?
- 25a **Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I
- b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I
- 26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II
- 27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III
- 28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)
- a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV
- b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV
- c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV
- 29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M
- 30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M
- 31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I
- 32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II
- 33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I
- 34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1
- 35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?
- b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2
- 36 **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2
- 37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI
- 38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

- 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable
- b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable
- c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?

	Yes	No
1a	2240	
1b	0	
1c	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

		Yes	No
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 702		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X
b If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X	
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	X	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		X
d If "Yes," indicate the number of Forms 8282 filed during the year	7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8		
9 Sponsoring organizations maintaining donor advised funds.			
a Did the sponsoring organization make any taxable distributions under section 4966?	9a		
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
10 Section 501(c)(7) organizations. Enter			
a Initiation fees and capital contributions included on Part VIII, line 12	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11 Section 501(c)(12) organizations. Enter			
a Gross income from members or shareholders	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O	13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b		
c Enter the amount of reserves on hand	13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b		
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N	15		X
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O	16		X

Part VI Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒ X

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O	27	
b Enter the number of voting members included in line 1a, above, who are independent	26	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	X	
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6 Did the organization have members or stockholders?		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	X	
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
b Each committee with authority to act on behalf of the governing body?	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization		X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **PA**

18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records **►**
STEPHEN M. LIPPIELLO, VP FINANCE/CFO - (412) 536-1047
9000 BABCOCK BOULEVARD, PITTSBURGH, PA 15237-5808

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) KATHRYN A. JOLLEY CHAIR	5.00 1.00	X		X				0.	0.	0.
(2) BRADLEY J. FRANC, ESQ. VICE CHAIR	5.00 1.00	X		X				0.	0.	0.
(3) KATHY KOZDEMBA SECRETARY	5.00 1.00	X		X				0.	0.	0.
(4) SISTER CANDACE INTROCASO, CDP, PRESIDENT	40.00 1.00	X		X				243,092.	0.	21,838.
(5) GODFREY BIRAVANGA, CPA, MBA TRUSTEE	5.00 1.00	X						0.	0.	0.
(6) AMR M. ELRIFAI, MD, MPH TRUSTEE	5.00 1.00	X						0.	0.	0.
(7) JAMES F. GETZ, JR. TRUSTEE	5.00 1.00	X						0.	0.	0.
(8) DIONE L. GRASWICK TRUSTEE	5.00 1.00	X						0.	0.	0.
(9) WILLIAM F. GRUBER TRUSTEE	5.00 1.00	X						0.	0.	0.
(10) SISTER JOAN MARIE HARPER, CDP TRUSTEE	5.00 1.00	X						0.	0.	0.
(11) ERNESTINE HARRIS TRUSTEE	5.00 1.00	X						0.	0.	0.
(12) DEBORAH HOLLAND TRUSTEE	5.00 1.00	X						0.	0.	0.
(13) SUSAN E. HOOLAHAN TRUSTEE	5.00 1.00	X						0.	0.	0.
(14) ROBERT J. HOWARD TRUSTEE	5.00 1.00	X						0.	0.	0.
(15) MICHAEL D. KENITZ TRUSTEE	5.00 1.00	X						0.	0.	0.
(16) ANGELA J. LONGO TRUSTEE	5.00 1.00	X						0.	0.	0.
(17) ROSE MARIE MANLEY, C.T.P. TRUSTEE	5.00 1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) SISTER MARY MICHAEL MCCULLA TRUSTEE	5.00 1.00	X						0.	0.	0.
(19) MICHAEL A. MLINAC TRUSTEE	5.00 1.00	X						0.	0.	0.
(20) JOHN EDWARD NAPOLITANO TRUSTEE	5.00 1.00	X						0.	0.	0.
(21) SISTER LISA PAFFRATH, CDP TRUSTEE	5.00 1.00	X						0.	0.	0.
(22) TERESA G. PETRICK TRUSTEE	5.00 1.00	X						0.	0.	0.
(23) ROB ROBINSON, PH.D. TRUSTEE	5.00 1.00	X						0.	0.	0.
(24) GREGORY K. SIMAKAS, CIMA TRUSTEE	5.00 1.00	X						0.	0.	0.
(25) CRAIG A. TILLOTSON TRUSTEE	5.00 1.00	X						0.	0.	0.
(26) NICHOLAS D. VARISCHETTI, ESQ. TRUSTEE	5.00 1.00	X						0.	0.	0.
1b Sub-total								243,092.	0.	21,838.
c Total from continuation sheets to Part VII, Section A								1,171,241.	0.	136,735.
d Total (add lines 1b and 1c)								1,414,333.	0.	158,573.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization

10

- 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		X
4	X	
5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
SAGE DINING SERVICES, INC., 1402 YORK RD., STE. 100, LUTHERVILLE, MD 21093	FOOD AND DINING	2,218,778.
PERFIDO WEISKOPF WAGSTAFF & GOET, 408 BLVD OF THE ALLIES, PITTSBURGH, PA 15219	ARCHITECTURAL	306,520.
GOLON, INC. 1334 STREETS RUN ROAD, PITTSBURGH, PA 15236	CONSTRUCTION	243,000.
AM-GARD INC. 600 MAIN STREET, PITTSBURGH, PA 15215	SECURITY	205,836.
JENZABER PO BOX 55018, BOSTON, MA 02205	SOFTWARE	188,419.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization

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SEE PART VII, SECTION A CONTINUATION SHEETS

Form 990 (2018)

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(27) SISTER INESITA VELEZ NEGRON, CD TRUSTEE	5.00 1.00	X						0.	0.	0.
(28) LEE BAIERL TRUSTEE (UNTIL 10/18)	5.00 1.00	X						0.	0.	0.
(29) STEVEN M. MASSARO TRUSTEE (UNTIL 10/18)	5.00 1.00	X						0.	0.	0.
(30) UZAIR SHAMSI, PH.D. TRUSTEE (UNTIL 10/18)	5.00 1.00	X						0.	0.	0.
(31) STEVEN E. POHL TRUSTEE (UNTIL 02/19)	5.00 1.00	X						0.	0.	0.
(32) AMBASSADOR ANTHONY QUANTON TRUSTEE (UNTIL 02/19)	5.00 1.00	X						0.	0.	0.
(33) SUSAN LONG QUANTON TRUSTEE (UNTIL 02/19)	5.00 1.00	X						0.	0.	0.
(34) KAREN YOSHINO, PH.D. TRUSTEE (UNTIL 02/19)	5.00 1.00	X						0.	0.	0.
(35) ROBERT A. VOGEL VP FINANCE/CFO	40.00 1.00			X				137,139.	0.	18,193.
(36) HOWARD J. ISHIYAMA, PH.D. VP ACADEMIC AFFAIRS/CAO	40.00 1.00			X				136,911.	0.	10,141.
(37) MICHAEL W. ANDREOLA VP INSTITUTIONAL ADVANCEMENT	40.00 1.00			X				131,160.	0.	18,863.
(38) COLLEEN C. RUEFLE VP STUDENT LIFE/DEAN	40.00 1.00			X				122,588.	0.	9,074.
(39) JAMES E. WEISGERBER, PH.D. VP ENROLLMENT MANAGEMENT	40.00 1.00			X				121,191.	0.	16,953.
(40) ROSEMARY D. MCCARTHY PROFESSOR	40.00					X		109,561.	0.	12,282.
(41) JEAN C. FORTI PROFESSOR	40.00					X		105,097.	0.	11,988.
(42) SHEILA K. MUELLER PROFESSOR	40.00					X		104,812.	0.	8,108.
(43) LYNN K. ARCHER PROFESSOR	40.00					X		101,807.	0.	14,575.
(44) DON T. FUJITO PROFESSOR	40.00					X		100,975.	0.	16,558.
Total to Part VII, Section A, line 1c								1,171,241.		136,735.

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c	75,505.				
	d Related organizations	1d					
	e Government grants (contributions)	1e	898,581.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	949,033.				
	g Noncash contributions included in lines 1a-1f \$		139,099.				
	h Total. Add lines 1a-1f			1,923,119.			
Program Service Revenue			Business Code				
	2 a TUITION AND FEES		611600	34,574,462.	34,574,462.		
	b RESIDENCE HALL REVENUE		611600	5,314,341.	5,314,341.		
	c BOOKSTORE REVENUE		611600	214,646.	214,646.		
	d DINING SERVICE REVENUE		611600	127,879.	127,879.		
	e OTHER AUXILIARY SERVICE		611600	17,626.	17,626.		
	f All other program service revenue						
	g Total. Add lines 2a-2f			40,240,954.			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			268,611.			268,611.
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6 a Gross rents	(i) Real	(ii) Personal				
		468,256.					
	b Less rental expenses		0.				
	c Rental income or (loss)		468,256.				
	d Net rental income or (loss)			468,256.			468,256.
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		805,450.					
	b Less cost or other basis and sales expenses		742,876.	46,818.			
	c Gain or (loss)		62,574.	-46,818.			
	d Net gain or (loss)			15,756.			15,756.
	8 a Gross income from fundraising events (not including \$ 75,505. of contributions reported on line 1c) See Part IV, line 18	a	85,127.				
	b Less direct expenses	b	65,204.				
	c Net income or (loss) from fundraising events			19,923.			19,923.
	9 a Gross income from gaming activities See Part IV, line 19	a					
	b Less direct expenses	b					
c Net income or (loss) from gaming activities							
10 a Gross sales of inventory, less returns and allowances	a						
b Less cost of goods sold	b						
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue			Business Code				
11 a CDP SERVICES		900099	224,598.			224,598.	
b CONFERENCES		900099	187,350.			187,350.	
c SPECIAL PROGRAMS		900099	56,515.			56,515.	
d All other revenue		900099	92,259.			92,259.	
e Total. Add lines 11a-11d			560,722.				
12 Total revenue. See instructions			43,505,341.	40,248,954.	0.	1,333,268.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.				
2 Grants and other assistance to domestic individuals. See Part IV, line 22.	14,495,963.	14,495,963.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	995,096.		995,096.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
7 Other salaries and wages.	12,780,785.	10,729,373.	1,415,897.	635,515.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	591,778.	418,007.	143,411.	30,360.
9 Other employee benefits.	1,692,692.	1,096,293.	516,263.	80,136.
10 Payroll taxes.	943,769.	603,576.	296,354.	43,839.
11 Fees for services (non-employees):				
a Management	112,245.	112,245.		
b Legal				
c Accounting	38,140.	38,140.		
d Lobbying				
e Professional fundraising services. See Part IV, line 17.	48,000.			48,000.
f Investment management fees.	30,765.	30,765.		
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	2,332,047.	938,453.	1,393,594.	
12 Advertising and promotion.	739,058.	288,844.	450,214.	
13 Office expenses.	829,562.	324,215.	505,347.	
14 Information technology.	257,242.	100,537.	156,705.	
15 Royalties.				
16 Occupancy.	2,105,214.	1,867,368.	237,846.	
17 Travel.	977,444.	916,131.	61,313.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19 Conferences, conventions, and meetings.				
20 Interest.	1,430,624.	1,407,952.	22,672.	
21 Payments to affiliates.				
22 Depreciation, depletion, and amortization.	2,941,292.	2,831,958.	109,334.	
23 Insurance.	237,576.	22,624.	214,952.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a FOOD SERVICE	907,118.	907,118.	0.	0.
b EDUCATIONAL SUPPLIES	320,647.	320,647.	0.	0.
c BAD DEBT	259,660.	0.	259,660.	0.
d PROGRAM DEVELOPMENT	121,134.	121,134.	0.	0.
e All other expenses.	294,895.	101,555.	179,642.	13,698.
25 Total functional expenses. Add lines 1 through 24e.	45,482,746.	37,672,898.	6,958,300.	851,548.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	1,204.	1	1,750.
	2 Savings and temporary cash investments	2,404,591.	2	2,449,432.
	3 Pledges and grants receivable, net	503,021.	3	492,085.
	4 Accounts receivable, net	661,970.	4	930,634.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7 Notes and loans receivable, net	1,075,780.	7	957,682.
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	255,874.	9	199,801.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 69,662,642.		
	b Less: accumulated depreciation	10b 45,453,111.	10c	24,209,531.
	11 Investments - publicly traded securities	5,740,946.	11	6,013,498.
	12 Investments - other securities. See Part IV, line 11	163,426.	12	54,913.
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	6,998.	15	6,998.
16 Total assets. Add lines 1 through 15 (must equal line 34)	35,315,425.	16	35,316,324.	
Liabilities	17 Accounts payable and accrued expenses	1,236,950.	17	2,301,000.
	18 Grants payable		18	
	19 Deferred revenue	579,342.	19	591,307.
	20 Tax-exempt bond liabilities	14,560,261.	20	15,408,173.
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties	3,908,145.	23	3,910,512.
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	685,410.	25	713,526.
	26 Total liabilities. Add lines 17 through 25	20,970,108.	26	22,924,518.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	9,296,772.	27	7,034,423.
	28 Temporarily restricted net assets	2,767,386.	28	3,019,039.
	29 Permanently restricted net assets	2,281,159.	29	2,338,344.
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	14,345,317.	33	12,391,806.
	34 Total liabilities and net assets/fund balances	35,315,425.	34	35,316,324.

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Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	43,505,341.
2	Total expenses (must equal Part IX, column (A), line 25)	2	45,482,746.
3	Revenue less expenses Subtract line 2 from line 1	3	-1,977,405.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	14,345,317.
5	Net unrealized gains (losses) on investments	5	23,894.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	12,391,806.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	X	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	X	

Form 990 (2018)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2018

**Open to Public
Inspection**

Name of the organization

LA ROCHE UNIVERSITY

Employer identification number

25-1125048

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☒ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university _____
- 10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations

g Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2018 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2017 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2018. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support test - 2017. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2018. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 10% -facts-and-circumstances test - 2017. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Schedule A (Form 990 or 990-EZ) 2018

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2018 (line 8, column (f), divided by line 13, column (f))	15	%
16 Public support percentage from 2017 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2018 (line 10c, column (f), divided by line 13, column (f))	17	%
18 Investment income percentage from 2017 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2018. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2017. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

Part IV Supporting Organizations (continued)

- 11** Has the organization accepted a gift or contribution from any of the following persons?
- a** A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?
- b** A family member of a person described in (a) above?
- c** A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.

	Yes	No
11a		
11b		
11c		

Section B. Type I Supporting Organizations

- 1** Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.
- 2** Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.

	Yes	No
1		
2		

Section C. Type II Supporting Organizations

- 1** Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).

	Yes	No
1		

Section D. All Type III Supporting Organizations

- 1** Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?
- 2** Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).
- 3** By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.

	Yes	No
1		
2		
3		

Section E. Type III Functionally Integrated Supporting Organizations

- 1** Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
- a** ☐ The organization satisfied the Activities Test. Complete line 2 below.
- b** ☐ The organization is the parent of each of its supported organizations. Complete line 3 below.
- c** ☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test. Answer (a) and (b) below.

- a** Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.
- b** Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.

	Yes	No
2a		
2b		
3a		
3b		

3 Parent of Supported Organizations. Answer (a) and (b) below.

- a** Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.
- b** Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI)		
2	Acquisition indebtedness applicable to non-exempt use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2018 from Section C, line 6	
10 Line 8 amount divided by line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2018	(iii) Distributable Amount for 2018
1 Distributable amount for 2018 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2018 (reasonable cause required- explain in Part VI) See instructions			
3 Excess distributions carryover, if any, to 2018			
a From 2013			
b From 2014			
c From 2015			
d From 2016			
e From 2017			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2018 distributable amount			
i Carryover from 2013 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2018 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2018 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2018, if any Subtract lines 3g and 4a from line 2 For result greater than zero, explain in Part VI. See instructions			
6 Remaining underdistributions for 2018 Subtract lines 3h and 4b from line 1 For result greater than zero, explain in Part VI See instructions			
7 Excess distributions carryover to 2019. Add lines 3j and 4c			
8 Breakdown of line 7			
a Excess from 2014			
b Excess from 2015			
c Excess from 2016			
d Excess from 2017			
e Excess from 2018			

Schedule A (Form 990 or 990-EZ) 2018

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, Part III, line 12, Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c, Part IV, Section B, lines 1 and 2, Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3, Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b, Part V, line 1, Part V, Section B, line 1e, Part V, Section D, lines 5, 6, and 8, and Part V, Section E, lines 2, 5, and 6 Also complete this part for any additional information (See instructions)

SCHEDULE D
(Form 990)Department of the Treasury
Internal Revenue Service**Supplemental Financial Statements**▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2018Open to Public
Inspection

Name of the organization

LA ROCHE UNIVERSITY

Employer identification number

25-1125048

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year - - - - -
▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2018

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☒ Public exhibition

d ☐ Loan or exchange programs

b ☐ Scholarly research

e ☐ Other _____

c ☒ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
1c Beginning balance	
1d Additions during the year	
1e Distributions during the year	
1f Ending balance	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	5,917,354.	6,826,457.	6,311,243.	6,142,884.	6,022,801.
b Contributions	60,693.	44,398.	49,901.	227,318.	121,449.
c Net investment earnings, gains, and losses	311,737.	278,511.	635,183.	88,241.	-1,366.
d Grants or scholarships					
e Other expenditures for facilities and programs		1,051,977.			
f Administrative expenses	221,373.	180,035.	169,870.	147,200.	
g End of year balance	6,068,411.	5,917,354.	6,826,457.	6,311,243.	6,142,884.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ☒ 46.09 %

b Permanent endowment ☒ 38.53 %

c Temporarily restricted endowment ☒ 15.38 %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		<input checked="" type="checkbox"/>
3a(ii)		<input checked="" type="checkbox"/>
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		457,938.		457,938.
b Buildings		50,210,265.	33,286,449.	16,923,816.
c Leasehold improvements		4,696,862.	1,923,981.	2,772,881.
d Equipment		10,053,926.	8,054,227.	1,999,699.
e Other		4,243,651.	2,188,454.	2,055,197.

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)

24,209,531.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) STUDENT DEPOSITS	276,377.
(3) U.S. GOVERNMENT GRANTS REFUNDABLE	437,149.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	
	713,526.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total revenue, gains, and other support per audited financial statements		1	29,067,711.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains (losses) on investments	2a	23,894.	
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d	-14,526,728.	
e	Add lines 2a through 2d		2e	-14,502,834.
3	Subtract line 2e from line 1		3	43,570,545.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b	-65,204.	
c	Add lines 4a and 4b		4c	-65,204.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		5	43,505,341.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total expenses and losses per audited financial statements		1	31,021,222.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d	65,204.	
e	Add lines 2a through 2d		2e	65,204.
3	Subtract line 2e from line 1		3	30,956,018.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b	14,526,728.	
c	Add lines 4a and 4b		4c	14,526,728.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)		5	45,482,746.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART III, LINE 4:

THE ORGANIZATION'S COLLECTION IS LIMITED TO, AND CONSISTS PRIMARILY OF, RELIGIOUS ARTWORK IN SUPPORT OF OUR CATHOLIC IDENTITY.

PART X, LINE 2:

THE UNIVERSITY ACCOUNTS FOR UNCERTAINTIES IN INCOME TAXES IN ACCORDANCE WITH AUTHORITATIVE GUIDANCE, WHICH PRESCRIBES A RECOGNITION THRESHOLD OF MORE-LIKELY-THAN-NOT TO BE SUSTAINED UPON EXAMINATION BY THE APPROPRIATE TAXING AUTHORITY. MANAGEMENT HAS DETERMINED THAT THERE WERE NO TAX UNCERTAINTIES THAT MET THE RECOGNITION THRESHOLD AT JUNE 30, 2019 AND 2018.

Part XIII Supplemental Information *(continued)*

PART XI, LINE 2D - OTHER ADJUSTMENTS:

STUDENT AID NETTED TO REVENUES ON FINANCIALS -14,495,963.

INVESTMENT MANAGEMENT FEES NETTED ON FINANCIALS -30,765.

TOTAL TO SCHEDULE D, PART XI, LINE 2D -14,526,728.

PART XI, LINE 4B - OTHER ADJUSTMENTS:

SPECIAL EVENTS EXPENSE -65,204.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

SPECIAL EVENTS EXPENSE 65,204.

PART XII, LINE 4B - OTHER ADJUSTMENTS:

STUDENT AID NETTED TO REVENUES ON FINANCIALS 14,495,963.

INVESTMENT MANAGEMENT FEES NETTED ON FINANCIALS 30,765.

TOTAL TO SCHEDULE D, PART XII, LINE 4B 14,526,728.

PART V,

THE ENDOWMENT ACTIVITY FOR THE FISCAL YEAR ENDED 6/30/2019 HAS BEEN

UPDATED PER THE AUDITED FINANCIAL STATEMENTS TO INCLUDE AN INCREASE TO

INVESTMENT EARNINGS AND LOSSES OF \$311,737 AND ADMINISTRATIVE EXPENSES OF

\$221,373.

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

- **Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.**
► **Attach to Form 990 or Form 990-EZ.**
► **Go to www.irs.gov/Form990 for the latest information**

OMB No 1545-0047

2018

Open to Public Inspection

Name of the organization

LA ROCHE UNIVERSITY

Employer identification number

25-1125048

Part I

- 1** Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2** Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3** Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space, use Part II.
- SEE PART II**

- 4** Does the organization maintain the following?
- a** Records indicating the racial composition of the student body, faculty, and administrative staff?
- b** Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
- c** Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
- d** Copies of all material used by the organization or on its behalf to solicit contributions?
- If you answered "No" to any of the above, please explain. If you need more space, use Part II.

- 5** Does the organization discriminate by race in any way with respect to:
- a** Students' rights or privileges?
- b** Admissions policies?
- c** Employment of faculty or administrative staff?
- d** Scholarships or other financial assistance?
- e** Educational policies?
- f** Use of facilities?
- g** Athletic programs?
- h** Other extracurricular activities?
- If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.

- 6a** Does the organization receive any financial aid or assistance from a governmental agency?
- b** Has the organization's right to such aid ever been revoked or suspended?
- If you answered "Yes" on either line 6a or line 6b, explain on Part II.
- 7** Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II.

	YES	NO
1	X	
2	X	
3	X	
4a	X	
4b	X	
4c	X	
4d	X	
5a		X
5b		X
5c		X
5d		X
5e		X
5f		X
5g		X
5h		X
6a	X	
6b		X
7	X	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or Form 990-EZ.

Schedule E (Form 990 or 990-EZ) 2018

Part II **Supplemental Information.** Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable.
Also provide any other additional information**LINE 3 - EXPLANATION OF NONDISCRIMINATION POLICY:**

THE UNIVERSITY ADMITS QUALIFIED STUDENTS OF ANY SEX, RACE,
COLOR, HANDICAP, RELIGION, NATIONAL AND ETHNIC ORIGIN,
VETERAN STATUS AND SEXUAL ORIENTATION TO ALL THE RIGHTS,
PRIVILEGES, AND ACTIVITIES GENERALLY ACCORDED OR MADE
AVAILABLE TO STUDENTS AT THE UNIVERSITY. LA ROCHE UNIVERSITY
DOES NOT DISCRIMINATE IN THE ADMINISTRATION OF ITS EDUCATIONAL PROGRAMS,
ADMISSION POLICIES AND PROCESSES, EMPLOYMENT PRACTICES, SCHOLARSHIP AND
LOAN PROGRAMS, ATHLETIC AND OTHER UNIVERSITY-ADMINISTERED PROGRAMS. LA
ROCHE UNIVERSITY'S NON-DISCRIMINATION POLICY IS ADMINISTERED IN ACCORDANCE
WITH TITLE IX OF THE 1972 EDUCATIONAL AMENDMENTS AND ALL OTHER APPLICABLE
FEDERAL AND PENNSYLVANIA STATUTES.

LINE 6 - EXPLANATION OF GOVERNMENT FINANCIAL AID:

THE ORGANIZATION RECEIVES AN INSTITUTIONAL ASSISTANCE GRANT FROM THE STATE
OF PENNSYLVANIA ANNUALLY AND HAS RECEIVED FEDERAL AND STATE GRANTS
OCCASIONALLY IN SUPPORT OF CONSTRUCTION PROJECTS AND ACADEMIC PROGRAMS.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col (a) through col (c))	
		CELEBRATE LA ROCHE!	GOLF OUTING	1		
		(event type)	(event type)	(total number)		
	1	Gross receipts	110,257.	37,195.	13,180.	160,632.
	2	Less Contributions	45,325.	30,180.		75,505.
	3	Gross income (line 1 minus line 2)	64,932.	7,015.	13,180.	85,127.
Direct Expenses	4	Cash prizes				
	5	Noncash prizes				
	6	Rent/facility costs	8,947.	7,165.	1,750.	17,862.
	7	Food and beverages		4,651.	1,528.	6,179.
	8	Entertainment	17,729.			17,729.
	9	Other direct expenses	5,090.	15,034.	3,310.	23,434.
	10	Direct expense summary Add lines 4 through 9 in column (d)				65,204.
	11	Net income summary Subtract line 10 from line 3, column (d)				19,923.

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
Direct Expenses	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %
	7	Direct expense summary. Add lines 2 through 5 in column (d)			
	8	Net gaming income summary. Subtract line 7 from line 1, column (d)			

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No**12** Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No**13** Indicate the percentage of gaming activity conducted in:**a** The organization's facility**13a** %**b** An outside facility**13b** %**14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No**b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____**c** If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

☐ Director/officer☐ Employee☐ Independent contractor**17** Mandatory distributions**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No**b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____**Part IV** **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Part IV	Supplemental Information <i>(continued)</i>
----------------	--

Blank lined paper with a dashed midline for handwriting practice.

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► **Attach to Form 990.**

► Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Open to Public Inspection

Name of the organization

LA ROCHE UNIVERSITY

Part I	General Information on Grants and Assistance
---------------	---

1 Does the organization maintain records to substantiate the amount or the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II	Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

2	Enter total number of section 501(c)(3) and government organizations listed in the line 1 table
---	---

3 Enter total number of other organizations listed in the line 1 table

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2018)

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**

▶ **Attach to Form 990.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No 1545-0047

2018

**Open to Public
Inspection**

Name of the organization

LA ROCHE UNIVERSITY

Employer identification number

25-1125048

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|---|
| <input type="checkbox"/> First-class or charter travel | <input checked="" type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input checked="" type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|--|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation

contingent on the revenues of:

- a** The organization?
- b** Any related organization?

If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?

If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1a		
1b	X	
2	X	
3		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7		X
8		X
9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2018

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information

PART I, LINE 1A:

THE UNIVERSITY'S PRESIDENT IS PROVIDED WITH A RESIDENCE FOR PERSONAL USE

AND THE UNIVERSITY SATISFIES COSTS OF CLEANING. THE UNIVERSITY ALSO

SATISFIES SOCIAL CLUB DUES IN HER NAME, AS WELL AS PROVIDES HER WITH A

LEASED VEHICLE. THE PRESIDENT IS A MEMBER OF A RELIGIOUS ORDER AND IS NOT

SUBJECT TO INCOME TAXES.

SCHEDULE K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds
 ► Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
 ► Attach to Form 990. ► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2018

Open to Public Inspection

Name of the organization

LA ROCHE UNIVERSITY

Employer identification number
25-1125048

Part I Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
MCCANDLESS INDUSTRIAL A DEVELOPMENT AUTHORITY	25-1349346	NONE	03/31/14	9,878,033.	REFUND PRIOR ISSUE		X		X		X
MOON INDUSTRIAL B DEVELOPMENT AUTHORITY	25-1398575	NONE	03/31/14	9,065,987.	REFUND PRIOR ISSUE		X		X		X
MCCANDLESS INDUSTRIAL C DEVELOPMENT AUTHORITY	25-1349346	NONE	06/04/19	8,000,000.	SEE PART VI		X		X		X
D											

Part II Proceeds

	A		B		C		D	
	2014	2015	2014	2015	2014	2015	2014	2015
1 Amount of bonds retired	2,795,979.		2,566,129.		30,104.			
2 Amount of bonds legally defeased								
3 Total proceeds of issue	9,878,033.		9,065,987.		2,081,847.			
4 Gross proceeds in reserve funds								
5 Capitalized interest from proceeds								
6 Proceeds in refunding escrows								
7 Issuance costs from proceeds	98,598.		93,171.		81,847.			
8 Credit enhancement from proceeds								
9 Working capital expenditures from proceeds								
10 Capital expenditures from proceeds								
11 Other spent proceeds	9,779,435.		9,065,987.		2,000,000.			
12 Other unspent proceeds								
13 Year of substantial completion	2014		2014					
14 Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)?		X		X		X		
15 Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)?			X			X		
16 Has the final allocation of proceeds been made?	X		X			X		
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X			

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule K (Form 990) 2018

Part III Private Business Use

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an L.L.C., which owned property financed by tax-exempt bonds?		X		X		X		
2 Are there any lease arrangements that may result in private business use of bond-financed property?		X		X		X		
3a Are there any management or service contracts that may result in private business use of bond-financed property?		X		X		X		
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c Are there any research agreements that may result in private business use of bond-financed property?		X		X		X		
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		.00	%	.00	%	.00	%	
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		.00	%	.00	%	.00	%	
6 Total of lines 4 and 5		.00	%	.00	%	.00	%	
7 Does the bond issue meet the private security or payment test?		X		X		X		
8a Has there been a sale or disposition of any of the bond-financed property to a non-governmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of			%		%		%	
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X			X		X		

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?	X		X			X		
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?						X		
b Exception to rebate?						X		
c No rebate due?						X		
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X		X		X		

Part IV Arbitrage (Continued)

4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?	<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Yes	No		X	<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Yes	No		X	<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Yes	No		X	<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Yes	No		X
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Yes	No																			
	X																			
Yes	No																			
	X																			
Yes	No																			
	X																			
b Name of provider																				
c Term of hedge																				
d Was the hedge superintegrated?																				
e Was the hedge terminated?																				
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?	<table border="1"> <tr> <td>X</td> <td></td> </tr> </table>	X		<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X	<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X	<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X								
X																				
	X																			
	X																			
	X																			
b Name of provider																				
c Term of GIC																				
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?																				
6 Were any gross proceeds invested beyond an available temporary period?	<table border="1"> <tr> <td>X</td> <td></td> </tr> </table>	X		<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X	<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X	<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X								
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	X																			
	X																			
7 Has the organization established written procedures to monitor the requirements of section 148?	<table border="1"> <tr> <td>X</td> <td></td> </tr> </table>	X		<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X	<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X	<table border="1"> <tr> <td></td> <td></td> </tr> </table>										
X																				
	X																			
	X																			

Part IV Procedures To Undertake Corrective Action

Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td></td> <td></td> </tr> </table>	Yes	No			<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td></td> <td></td> </tr> </table>	Yes	No			<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td></td> <td></td> </tr> </table>	Yes	No			<table border="1"> <tr> <td>Yes</td> <td>No</td> </tr> <tr> <td></td> <td></td> </tr> </table>	Yes	No		
	Yes	No																		
Yes	No																			
Yes	No																			
Yes	No																			
regulations?	<table border="1"> <tr> <td>X</td> <td></td> </tr> </table>	X		<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X	<table border="1"> <tr> <td></td> <td>X</td> </tr> </table>		X	<table border="1"> <tr> <td></td> <td></td> </tr> </table>										
X																				
	X																			
	X																			

Part VII Supplemental Information. Provide additional information for responses to questions on Schedule K. See instructions.

SCHEDULE K, PART I, BOND ISSUES:

(A) ISSUER NAME: MCCANDLESS INDUSTRIAL DEVELOPMENT AUTHORITY

(F) DESCRIPTION OF PURPOSE: THE SERIES A BONDS OF 2019 (\$6M) ARE TO BE USED FOR PURCHASE AND CONSTRUCTION OF PROPERTY, PLANT, AND EQUIPMENT.

THE SERIES B BONDS OF 2019 (\$2M) ARE TO BE USED FOR ISSUANCE COSTS AND TO CURRENTLY REFUND A PORTION OF THE 2014 SERIES BONDS.

SCHEDULE K, PART II, LINE 3, PROCEEDS OF ISSUE:

ISSUE C:

THE DIFFERENCE BETWEEN THE ISSUE PRICE AND PROCEEDS OF ISSUE REPRESENTS THE AMOUNT OF BONDS THAT HAVE NOT BEEN DRAWN DOWN BY THE UNIVERSITY AS OF 6/30/2019.

SCHEDULE M
(Form 990)

Noncash Contributions

OMB No 1545-0047

2018

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Department of the Treasury
Internal Revenue Service

- Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

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Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	4	139,099.	MARKET VALUE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ()				
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?

	Yes	No
30a		X
31	X	
32a	X	
33		

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2018

Part II

Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B):

THE NUMBER OF CONTRIBUTIONS IN COLUMN (B) REPRESENTS THE NUMBER OF
CONTRIBUTORS.

SCHEDULE M, LINE 32B:

LA ROCHE UNIVERSITY USES FRAGASSO FINANCIAL ADVISORS TO SELL THE
NON-CASH STOCK CONTRIBUTIONS.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

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FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

UNIVERSITY INTEGRATES LIBERAL ARTS AND PROFESSIONAL EDUCATION IN
CREATIVE WAYS, EMPOWERING ALL MEMBERS OF OUR COMMUNITY TO BECOME
LIFELONG LEARNERS, ACHIEVE SUCCESS IN THEIR CHOSEN CAREERS AND PROMOTE
JUSTICE AND PEACE IN A CONSTANTLY CHANGING GLOBAL SOCIETY.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

LA ROCHE WILL BECOME PITTSBURGH'S NEWEST UNIVERSITY, ONE THAT OFFERS
ITS STUDENTS TRANSFORMATIVE EXPERIENCES WITHIN A SAFE, CARING, AND
INCLUSIVE COMMUNITY.

QUALITY EDUCATION:

LA ROCHE UNIVERSITY OFFERS ITS STUDENTS A HIGH VALUE EDUCATION WHICH
BALANCES THE REALITY OF CAREER PREPARATION WITH THE ESSENTIALS OF A
CLASSICAL LIBERAL ARTS CURRICULUM. THE CURRICULUM IS CHARACTERIZED BY A
STRONG GLOBAL PERSPECTIVE, INTERDISCIPLINARY COURSES, AND SIGNATURE
UNDERGRADUATE AND GRADUATE PROFESSIONAL PROGRAMS. THE FACULTY IS
DIVERSE AND POSSESSES BOTH ACADEMIC AND PROFESSIONAL CREDENTIALS.

IN KEEPING WITH THE GLOBAL COMPASS OF ITS MISSION, THE UNIVERSITY
RECRUITS STUDENTS FROM BOTH ITS TRADITIONAL HOME BASE IN WESTERN
PENNSYLVANIA, NUMEROUS OTHER STATES, AND MANY FOREIGN COUNTRIES. THE
UNIVERSITY STRIVES TO ENROLL ACADEMICALLY SUPERIOR STUDENTS OF DIVERSE
RELIGIOUS, ETHNIC, RACIAL, AND SOCIOECONOMIC BACKGROUNDS AND ENSURES
THAT STUDENTS WITH LESSER PREPARATION ARE FURNISHED THE ASSISTANCE THEY
NEED TO ENABLE THEM TO ACHIEVE ACADEMIC AND CAREER SUCCESS.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2018)

Name of the organization

LA ROCHE UNIVERSITY

Employer identification number

25-1125048

LA ROCHE UNIVERSITY HAS AN ACADEMIC CULTURE THAT EMPHASIZES OUTCOMES
BASED ASSESSMENT OF STUDENT ACHIEVEMENT. THE MORAL COMPONENT OF
EDUCATION IS EMPHASIZED AND AN UNDERSTANDING OF THE CATHOLIC TRADITION
AND OTHER RELIGIOUS TRADITIONS IS AVAILABLE TO THOSE STUDENTS WHO WISH
TO EXPLORE THEIR FAITH IN AN ACADEMIC ENVIRONMENT.

MISSION AND IDENTITY:

LA ROCHE OFFERS HIGH-QUALITY EDUCATIONAL OPPORTUNITIES THAT REFLECT ITS
CATHOLIC HERITAGE AND THE MISSION OF ITS FOUNDING AND SPONSORING
CONGREGATION, THE CONGREGATION OF DIVINE PROVIDENCE, TO "CO-CREATE A
WORLD OF COMPASSION, JUSTICE AND PEACE". THAT COMMITMENT TO PEACE AND
JUSTICE PERMEATES ALL ASPECTS OF THE UNIVERSITY - SPIRITUAL, ACADEMIC,
SOCIAL, INTERCULTURAL, AND COMMUNITY SERVICE. THAT COMMITMENT IS
REFLECTED IN THE UNIVERSITY'S GLOBAL FOCUS, WHICH PROVIDES THE ENTIRE
LA ROCHE COMMUNITY WITH THE OPPORTUNITY TO REACH ACROSS THE POLITICAL,
CULTURAL, AND ECONOMIC DIVIDES AND WORK TOWARD BECOMING A TRUE GLOBAL
COMMUNITY.

STUDENT SUCCESS:

THE SUCCESS OF OUR STUDENTS IS OF PARAMOUNT IMPORTANCE IN ALL THAT WE
DO AT THE UNIVERSITY. WE ARE COMMITTED TO PROVIDING A LEARNING
ENVIRONMENT WHICH IS CONDUCIVE TO ACADEMIC ACHIEVEMENT, ENHANCED BY AN
OVERALL CAMPUS ENVIRONMENT THAT CONTRIBUTES TO THE MENTAL, PHYSICAL,
SPIRITUAL AND EMOTIONAL DEVELOPMENT OF OUR STUDENTS. ALL THIS IS
ACCOMPLISHED NOT ONLY THROUGH THE PROVISION OF APPROPRIATE RESOURCES,
BUT ALSO THROUGH THE EXAMPLE AND CARING INTERACTION OF THE FACULTY,
STAFF AND ADMINISTRATION, BOTH INSIDE AND OUTSIDE OF THE CLASSROOM.

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THE UNIVERSITY'S MISSION EMBODIES THE KEY ELEMENTS OF STUDENT SUCCESS. ONE IS THE ABILITY OF STUDENTS TO BECOME ACCOMPLISHED PRACTITIONERS IN THEIR CHOSEN FIELDS WHO ARE IMBUED WITH A LIFELONG THIRST FOR KNOWLEDGE. ANOTHER IS THE ABILITY FOR STUDENTS TO TRULY VIEW THEMSELVES AS CITIZENS OF A GLOBAL SOCIETY. FINALLY, STUDENT SUCCESS IS SEEN IN THE WOMEN AND MEN OF ALL AGES, FAITHS, NATIONALITIES AND BACKGROUNDS WHO, AFTER THEIR TIME AT THE UNIVERSITY, GO FORTH INTO THE WORLD SUFFUSED WITH A DESIRE TO PROMOTE PEACE AND JUSTICE WHEREVER THEY GO, AND WHO, IN SO DOING, CONTINUE TO DEMONSTRATE THAT LA ROCHE UNIVERSITY IS PREPARING STUDENTS WHO TRULY ARE THE BEST FOR THE WORLD.

STEWARDSHIP OF RESOURCES:

ADEQUATE RESOURCES ARE VITAL TO THE SUCCESS OF LA ROCHE UNIVERSITY. WE ARE COMMITTED TO UTILIZATION OF AVAILABLE RESOURCES IN A MANNER THAT ACHIEVES MAXIMUM EFFECTIVENESS FOR THE UNIVERSITY, ENSURING THE WISE AND EFFICIENT USE OF OUR RESOURCES WHILE RESPECTING THE LARGER ENVIRONMENT THROUGH SUSTAINABILITY.

LA ROCHE'S INITIATIVES CLUSTER UNDER FOUR STRATEGIC THEMES DEFINED AS FOLLOWS:

- ACADEMIC QUALITY AND DISTINCTION - LA ROCHE WILL BE A GROWING INSTITUTION OF DISTINCTION. WE WILL BE KNOWN FOR OUR ACADEMIC PROGRAMS, OUR FAR-REACHING INFLUENCES, THE QUALITY OF OUR FACULTY, AND THE ACADEMIC DEVELOPMENT OF OUR STUDENTS. THE GROWTH AND DEVELOPMENT OF THE FACULTY AND THE NEW PROGRAMS LA ROCHE DEVELOPS TO EDUCATE WELL-ROUNDED AND WORKFORCE-READY STUDENTS WILL WORK TO ENHANCE AND BROADEN OUR REPUTATION.

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- GLOBAL IDENTITY - BUILDING ON THE WORK ALREADY BEGUN, LA ROCHE UNIVERSITY WILL BE KNOWN FOR THE IMPACT IT HAS ON THE WORLD. STUDENTS AND FACULTY WILL HAVE AN UNMATCHED OPPORTUNITY FOR MULTICULTURAL EXPERIENCES BOTH ON CAMPUS AND ABROAD. OUR GRADUATES WILL ENTER THE WORLD WITH AN APPRECIATION OF JUSTICE, PEACE, AND GLOBAL CITIZENSHIP FOUNDATIONAL VALUES OF THE DIVINE PROVIDENCE CHARISM.

- TRANSFORMATIVE EXPERIENCES - LA ROCHE UNIVERSITY WILL BE A COMMUNITY THAT TRANSFORMS STUDENTS AND PREPARES THEM FOR LIFE AFTER UNIVERSITY. STUDENTS OF ALL BACKGROUNDS WILL EXPERIENCE A WELCOMING ENVIRONMENT CONSISTENT WITH OUR FOUNDATIONAL VALUES. STUDENTS WILL BE ENCOURAGED, ENRICHED, AND ENGAGED IN AND OUT OF THE CLASSROOM. GRADUATION RATES AND RETENTION RATES WILL INCREASE AND STUDENTS WILL LEAVE LA ROCHE AS TRANSFORMED INDIVIDUALS AND AS COMMITTED ALUMNI.

- STRATEGIC AND MANAGED GROWTH - LA ROCHE UNIVERSITY WILL BE A LARGER CAMPUS SERVING DIVERSE STUDENTS AND THE WORLD. UTILIZING TECHNOLOGY AND RECOGNIZING CHANGING DEMOGRAPHICS OF UNIVERSITY LEARNERS WILL BE CRITICAL TO ENSURING THE GROWTH OF THE UNIVERSITY. RESOURCES FOR THE UNIVERSITY WILL BE ENHANCED THROUGH ENROLLMENT GROWTH, FUNDRAISING, AND THE DEVELOPMENT OF FACILITIES.

FORM 990, PART VI, SECTION A, LINE 1:

BETWEEN MEETINGS OF THE BOARD, THE EXECUTIVE COMMITTEE SHALL HAVE GENERAL SUPERVISION OF THE ADMINISTRATION AND PROPERTY OF THE UNIVERSITY EXCEPT THAT UNLESS SPECIFICALLY EMPOWERED BY THE BOARD TO DO SO, IT MAY NOT TAKE ANY ACTION INCONSISTENT WITH A PRIOR ACT OF THE BOARD, AWARD DEGREES, ALTER

Name of the organization

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BYLAWS, LOCATE PERMANENT BUILDINGS ON TAX-EXEMPT PROPERTY HELD FOR UNIVERSITY PURPOSES, REMOVE OR APPOINT THE PRESIDENT OF THE UNIVERSITY, OR TAKE ANY ACTION WHICH HAS BEEN RESERVED FOR THE BOARD OR EXERCISE SUCH POWERS AS CANNOT BE DELEGATED BY APPLICABLE LAW. ALL EXECUTIVE COMMITTEE MEMBERS ARE MEMBERS OF THE BOARD OF TRUSTEES AND INCLUDE THE CHAIR, THE VICE CHAIR, THE CHAIR OF THE FINANCE COMMITTEE, THE CHAIR OF THE HUMAN RESOURCE COMMITTEE, THE CHAIR OF TRUSTEES COMMITTEE, THE CDP PROVINCIAL DIRECTOR, THE PRESIDENT AND A TRUSTEE AT-LARGE.

FORM 990, PART VI, SECTION A, LINE 2:

ANTHONY AND SUSAN QUANTON ARE HUSBAND AND WIFE AND ARE BOTH MEMBERS OF THE BOARD OF TRUSTEES.

FORM 990, PART VI, SECTION A, LINE 7B:

MEMBERS OF THE PROVINCIAL COUNCIL OF THE SISTERS OF DIVINE PROVIDENCE HAVE RESERVED POWERS, E.G., TO ACCEPT OR REJECT A PRESIDENTIAL NOMINEE, APPROVE SALE OR DISTRIBUTION OF ASSETS, ETC.

FORM 990, PART VI, SECTION B, LINE 11B:

THE RETURN IS REVIEWED INITIALLY BY THE UNIVERSITY'S CHIEF FINANCIAL OFFICER AND IS THEN PRESENTED TO THE AUDIT COMMITTEE OF THE BOARD FOR REVIEW AND APPROVAL. AN ELECTRONIC COPY OF THE RETURN IS THEN PROVIDED TO EACH MEMBER OF THE BOARD OF TRUSTEES.

FORM 990, PART VI, SECTION B, LINE 12C:

THE SECRETARY TO THE BOARD/GENERAL COUNSEL ANNUALLY COMPILES, MAINTAINS, AND SCREENS A LIST OF POTENTIALLY CONFLICTED ENTITIES AND INDIVIDUALS, INCLUDING BOARD MEMBERS AND KEY EMPLOYEES FOR POSSIBLE CONFLICTS OF

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INTEREST. THE UNIVERSITY TAKES APPROPRIATE DISCIPLINARY AND CORRECTIVE ACTION IF A PERSON WHO IS COVERED BY THE CONFLICT OF INTEREST POLICY FAILS TO DISCLOSE AN ACUTAL OR POSSIBLE CONFLICT OF INTEREST. NO PERSON VOTES ON ANY MATTER UNDER CONSIDERATION AT A BOARD OR COMMITTEE MEETING IN WHICH SUCH PERSON HAS A CONFLICT OF INTEREST. THE MINUTES OF SUCH MEETING WILL REFLECT THAT A DISCLOSURE WAS MADE AND THAT THE PERSON HAVING A CONFLICT ABSTAINED FROM VOTING.

FORM 990, PART VI, SECTION B, LINE 15A:

THE EXECUTIVE COMMITTEE OF THE BOARD OF TRUSTEES CONDUCTS AN ANNUAL REVIEW OF THE PRESIDENT, WHICH INCLUDES AN EVALUATION OF THE PRESIDENT'S COMPENSATION. CONSIDERATION IS GIVEN TO COMPENSATION LEVELS PROVIDED TO PRESIDENTS AT PEER INSTITUTIONS. DURING THE 2015 FISCAL YEAR, THE UNIVERSITY INTRODUCED A COMPENSATION PROGRAM WHICH CLASSIFIED EACH POSITION INTO A PAY GRADE AND COMPARED EACH PAY GRADE TO PEER INSTITUTIONS. A BENCHMARK HAS BEEN ESTABLISHED TO ASSESS EACH EMPLOYEE'S COMPENSATION IN FUTURE PERIODS.

FORM 990, PART VI, SECTION C, LINE 19:

ALL RELEVANT DOCUMENTS, INCLUDING THE CONFLICT OF INTEREST POLICY ARE MADE AVAILABLE UPON REQUEST.

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.

Name of the organization

LA ROCHE UNIVERSITY

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

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Part I

Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II

Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
PACEM IN TERRIS INSTITUTE - 25-1733322	PROVIDE STUDENT AID FOR HIGHER LEARNING	PENNSYLVANIA	501(C)(3)	LINE 12A, I N/A			X
9000 BABCOCK BOULEVARD							
PITTSBURGH, PA 15237							
CONGREGATION OF DIVINE PROVIDENCE -	PROVIDE STUDENT AID FOR HIGHER LEARNING	PENNSYLVANIA	501(C)(3)	LINE 12A, I N/A			X
25-0965565, 9000 BABCOCK BOULEVARD,							
PITTSBURGH, PA 15237							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2018

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?**a** Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity**b** Gift, grant, or capital contribution to related organization(s)**c** Gift, grant, or capital contribution from related organization(s)**d** Loans or loan guarantees to or for related organization(s)**e** Loans or loan guarantees by related organization(s)**f** Dividends from related organization(s)**g** Sale of assets to related organization(s)**h** Purchase of assets from related organization(s)**i** Exchange of assets with related organization(s)**j** Lease of facilities, equipment, or other assets to related organization(s)**k** Lease of facilities, equipment, or other assets from related organization(s)**l** Performance of services or membership or fundraising solicitations for related organization(s)**m** Performance of services or membership or fundraising solicitations by related organization(s)**n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)**o** Sharing of paid employees with related organization(s)**p** Reimbursement paid to related organization(s) for expenses**q** Reimbursement paid by related organization(s) for expenses**r** Other transfer of cash or property to related organization(s)**s** Other transfer of cash or property from related organization(s)**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VII	Supplemental Information.
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Provide additional information for responses to questions on Schedule R. See instructions.

Provide additional information for responses to questions on Schedule H. See instructions.