

Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

► Do not enter social security numbers on this form as it may be made public.  
► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning JUL 1, 2018, and ending JUN 30, 2019

Name of foundation

**RICH FOUNDATION, INC.****C/O FD RICH COMPANY**

Number and street (or P O box number if mail is not delivered to street address)

**222 SUMMER STREET**

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

**STAMFORD, CT 06901**

G Check all that apply:

Initial return       Initial return of a former public charity  
 Final return       Amended return  
 Address change       Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust       Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) **1284025.** J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis.)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received			<b>N/A</b>	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	<b>649.</b>	<b>649.</b>		<b>Statement 1</b>
	4 Dividends and interest from securities	<b>18255.</b>	<b>18255.</b>		<b>Statement 2</b>
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<b>41054.</b>			
	b Gross sales price for all assets on line 6a	<b>348416.</b>			
	7 Capital gain net income (from Part IV, line 2)		<b>41054.</b>		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	<b>59958.</b>	<b>59958.</b>		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc	<b>0.</b>	<b>0.</b>		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 3	<b>2600.</b>	<b>0.</b>		
	c Other professional fees Stmt 4	<b>82.</b>	<b>0.</b>		
	17 Interest Stmt 5	<b>751.</b>	<b>0.</b>		
	18 Taxes Stmt 6	<b>22988.</b>	<b>20908.</b>		
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 6	<b>26421.</b>	<b>20908.</b>		
	24 Total operating and administrative expenses. Add lines 13 through 23	<b>63375.</b>			<b>0.</b>
	25 Contributions, gifts, grants paid				<b>63375.</b>
	26 Total expenses and disbursements. Add lines 24 and 25	<b>89796.</b>	<b>20908.</b>		<b>63375.</b>
	27 Subtract line 26 from line 12:	<b>&lt;29838.00</b>			
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)		<b>39050.</b>		
	c Adjusted net income (if negative, enter -0-)			<b>N/A</b>	

92135 6

**RICH FOUNDATION, INC.**  
**C/O FD RICH COMPANY**

Form 990-PF (2018)

22-2544173

Page 2

<b>Part II</b> <b>Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
1 Cash - non-interest-bearing		28909.	24814.	24814.
2 Savings and temporary cash investments		104133.	104445.	104445.
3 Accounts receivable ►				
Less: allowance for doubtful accounts ►				
4 Pledges receivable ►				
Less: allowance for doubtful accounts ►				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons				
7 Other notes and loans receivable ►				
Less: allowance for doubtful accounts ►				
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges				
10a Investments - U.S. and state government obligations				
b Investments - corporate stock				
c Investments - corporate bonds				
11 Investments - land, buildings, and equipment basis ►				
Less accumulated depreciation ►				
12 Investments - mortgage loans				
13 Investments - other	Stmt 7	994966.	975761.	1096376.
14 Land, buildings, and equipment basis ►				
Less accumulated depreciation ►				
15 Other assets (describe ► <u>LINCOLN SCULPTURE</u> )		58390.	58390.	58390.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1186398.	1163410.	1284025.
17 Accounts payable and accrued expenses			6850.	
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable .....				
22 Other liabilities (describe ► _____)				
23 Total liabilities (add lines 17 through 22)		0.	6850.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31			
24 Unrestricted				
25 Temporarily restricted		1186398.	1156560.	
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31				
27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances		1186398.	1156560.	
31 Total liabilities and net assets/fund balances		1186398.	1163410.	

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1186398.
2 Enter amount from Part I, line 27a	2	<29838.>
3 Other increases not included in line 2 (itemize) ► _____	3	0.
4 Add lines 1, 2, and 3	4	1156560.
5 Decreases not included in line 2 (itemize) ► _____	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1156560.

Form 990-PF (2018)

## RICH FOUNDATION, INC.

Form 990-PF (2018)

C/O FD RICH COMPANY

22-2544173

Page 3

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PEOPLES BANK - SEE ATTACHED</b>			P	07/01/18	06/30/19
<b>b Capital Gains Dividends</b>					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g))	
a 320323.		307362.		12961.	
b 28093.				28093.	
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a				12961.	
b				28093.	
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	41054.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8					N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	94533.	57514.	1.643652
2016	87900.	57514.	1.528324
2015	89565.	57514.	1.557273
2014	127625.	57514.	2.219025
2013	134348.	57514.	2.335918
2 Total of line 1, column (d)			2 9.284192
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 1.856838
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 57514.
5 Multiply line 4 by line 3			5 106794.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 391.
7 Add lines 5 and 6			7 107185.
8 Enter qualifying distributions from Part XII, line 4			8 63375.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

## RICH FOUNDATION, INC.

Form 990-PF (2018)

C/O FD RICH COMPANY

22-2544173

Page 4

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	} 1      781.
1b	Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	
1c	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b	
1d	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	} 2      0. 3      781. 4      0. 5      781.
3	Add lines 1 and 2	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-	
6	<b>Credits/Payments:</b>	} 6a      0. 6b      0. 6c      0. 6d      0.
6a	2018 estimated tax payments and 2017 overpayment credited to 2018	
6b	Exempt foreign organizations - tax withheld at source	
6c	Tax paid with application for extension of time to file (Form 8868)	
6d	Backup withholding erroneously withheld	
7	Total credits and payments. Add lines 6a through 6d	} 7      0. 8      33. 9      814. 10      0.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	
9	<b>Tax due</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	
10	<b>Overpayment</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	
11	Enter the amount of line 10 to be: <b>Credited to 2019 estimated tax</b> ► <span style="float: right;">Refunded</span>	11

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	} 1a      X 1b      X 1c      X
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	
1c	Did the foundation file Form 1120-POL for this year?	
1d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>0.</u> (2) On foundation managers. ► \$ <u>0.</u>	} 2      X 3      X 4a      X 4b      0 5      X 6      X 7      X 8b      X 9      X 10      X
1e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ <u>0.</u>	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	
4b	If "Yes," has it filed a tax return on Form 990-T for this year?	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ► <u>CT</u>	
8b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	

Form 990-PF (2018)

2

## RICH FOUNDATION, INC.

Form 990-PF (2018)

C/O FD RICH COMPANY

22-2544173

Page 5

## Part VII-A Statements Regarding Activities (continued)

	Yes	No
11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12. Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	X
14. The books are in care of ► <b>THOMAS L RICH</b> Located at ► <b>222 SUMMER STREET, STAMFORD, CT</b>	Telephone no. ► <b>203-359-2900</b>	ZIP+4 ► <b>06901</b>
15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	► <b>15</b>	► <b>N/A</b>
16. At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes No

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a. During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b. If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	<b>N/A</b>	
Organizations relying on a current notice regarding disaster assistance, check here	► <input type="checkbox"/>	
c. Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	<b>1c</b>	
2. Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a. At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ► _____, _____, _____, _____		
b. Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<b>N/A</b>	
c. If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	► _____, _____, _____, _____	
3a. Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b. If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	<b>N/A</b>	
4a. Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		
b. Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>	

Form 990-PF (2018)

## RICH FOUNDATION, INC.

Form 990-PF (2018)

C/O FD RICH COMPANY

22-2544173

Page 6

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A		
Organizations relying on a current notice regarding disaster assistance, check here	<input checked="" type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

## 1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS L. RICH 222 SUMMER STREET STAMFORD, CT 06901	PRESIDENT 0.50	0.	0.	0.
FRANK D. RICH III 222 SUMMER STREET STAMFORD, CT 06901	VICE PRESIDENT 0.50	0.	0.	0.
COLLEEN FRACCOLA 222 SUMMER STREET STAMFORD, CT 06901	TREASURER/ SECRETARY 0.50	0.	0.	0.

## 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

Form 990-PF (2018)

## RICH FOUNDATION, INC.

Form 990-RF (2018)

C/O FD RICH COMPANY

22-2544173

Page 7

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

Total number of others receiving over \$50,000 for professional services



0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	<b>N/A</b>	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	<b>N/A</b>	
2		

All other program-related investments. See instructions.

3		

**Total. Add lines 1 through 3**

0.

Form 990-PF (2018)

## RICH FOUNDATION, INC.

Form 990-PF (2018)

C/O FD RICH COMPANY

22-2544173

Page 8

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	58390.
d	<b>Total</b> (add lines 1a, b, and c)	1d	58390.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	58390.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	876.
5	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	57514.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	2876.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2876.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	781.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	781.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2095.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2095.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2095.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	63375.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	63375.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	63375.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2018)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2095.
2 Undistributed income, if any, as of the end of 2018			0.	
a Enter amount for 2017 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	133530.			
b From 2014	133800.			
c From 2015	87149.			
d From 2016	85187.			
e From 2017	92461.			
f Total of lines 3a through e	532127.			
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ 63375.			0.	
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount	61280.			2095.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	593407.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	133530.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	459877.			
10 Analysis of line 9:				
a Excess from 2014	133800.			
b Excess from 2015	87149.			
c Excess from 2016	85187.			
d Excess from 2017	92461.			
e Excess from 2018	61280.			

**RICH FOUNDATION, INC.**

**Form 990-PF (2018)**

C/O FD RICH COMPANY

22-2544173

Page 10

**Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**

N/A

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Statement 8

**b The form in which applications should be submitted and information and materials they should include:**

**c Any submission deadlines:**

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

## RICH FOUNDATION, INC.

Form 990-PF (2018)

C/O FD RICH COMPANY

22-2544173 Page 11

## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
AMERICAN CANCER SOCIETY 6321 CLEARWATER PARK ROAD WEST PALM BEACH, FL 33401		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	750.
ARI OF CT INC 174 RICHMOND HILL AVENUE STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
AVON THEATRE FILM CENTER, INC. 272 BEDFORD STREET STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2000.
BOUNLESS GLOBAL LLC 251 PORTERS HILL ROAD MONROE, CT 06468		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
BOYS & GIRLS CLUB OF PALM BEACH COUNTY 800 NORTHPOINT PARKWAY, SUITE 204 WEST PALM BEACH, FL 33407-1978		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	3000.
<b>Total</b>	<b>See continuation sheet(s)</b>			► 3a 63375.
<b>b Approved for future payment</b>				
None				
<b>Total</b>				► 3b 0.

Form 990-PF (2018)

**RICH FOUNDATION, INC.  
C/O FD RICH COMPANY**

22-2544173

**Part XV | Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOYS & GIRLS CLUB OF STAMFORD, 347 STILLWATER AVENUE STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
BURKE REHABILITATION HOSPITAL 785 MAMARONECK AVENUE WHITE PLAINS, NY 10605		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	3500.
CHILDREN'S LEARNING CENTERS OF FAIRFIELD COUNTY 64 PALMER'S HILL ROAD STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
CITY OF NORWALK, CT(MAYORS YOUTH SUMMER EMPLOYMENT PROGRAM SYEP) 125 EAST AVENUE, ROOM 206 NORWALK, CT 06851		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1630.
CT BALLET 20 ACOSTA STREET STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1200.
CURTAIN CALL 1349 NEWFIELD AVENUE STAMFORD, CT 06905		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
DISTRICT 8 BUILDING OFFICIALS(FAIRFIELD COUNTY CT), C/O WILLIAM IRELAND 1 ALLEN COURT NORWALK, CT 06851		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
FERGUSON LIBRARY ONE PUBLIC LIBRARY PLAZA STAMFORD, CT 06904		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
FOOD BANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK ROAD STAMFORD, CT 06906		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	200.
FUTURE 5 135 ATLANTIC STREET STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	4500.
<b>Total from continuation sheets</b>				<b>56125.</b>

**RICH FOUNDATION, INC.  
C/O FD RICH COMPANY**

22-2544173

**Part XV | Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HISTORICAL SOCIETY OF PALM BEACH COUNTY P.O. BOX 4364 WEST PALM BEACH, FL 33402		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
HSS FOUNDATION 535 EAST 70TH STREET NEW YORK, NY 10021		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
HUMAN SERVICES COUNCIL ONE PARK STREET NORWALK, CT 06851		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
JIMMY FUND WALK, C/O DANA-FARBER CANCER INSTITUTE 10 BROOKLINE PLACE WEST, 6TH FLOOR BROOKLINE, MA 02445-7226		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
JWV COMMUNITY SCHOLARSHIPS, INC 22 FIRST STREET STAMFORD, CT 06905		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	3750.
KENDALL SCHOOL PTA 57 FILLOW STREET NORWALK, CT 06850		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	150.
KIDS HELPING KIDS 110 LENOX AVE, #102 STAMFORD, CT 06906		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
LEUKEMIA AND LYMPHOMA SOCIETY 3 INTERNATIONAL DRIVE, #200 RYE BROOK, NY 10573		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
LIBERATION PROGRAMS 129 GLOVER AVENUE NORWALK, CT 06850		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
MARCH OF DIMES, GREATER NY MARKET 515 MADISON AVENUE, 20TH FLOOR NEW YORK, NY 10022		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	5000.
<b>Total from continuation sheets</b>				

**RICH FOUNDATION, INC.  
C/O FD RICH COMPANY**

22-2544173

**Part XV | Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARCH OF DIMES, FAIRFIELD COUNTY R/E MARKET 140 SHERMAN ST, #200 FAIRFIELD, CT 06824		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	6000.
MALTA HOUSE, INC 5 PROWITT STREET NORWALK, CT 06855		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
MARITIME AQUARIUM AT NORWALK 10 NORTH WATER STREET NORWALK, CT 06854		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
MILL RIVER PARK COLLABORATIVE 1010 WASHINGTON BLVD STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	5350.
MT ZION BAPTIST CHURCH 96 SOUTH MAIN STREET NORWALK, CT 06854		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
NATIONAL TRUST FOR HISTORIC PRESERVATION 2600 VIRGINIA STREET NW, SUITE 1100 WASHINGTON, DC 20037		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	195.
NORWALK HIGH SCHOOL TOUCHDOWN CLUB, C/O WILLIAM IRELAND 1 ALLEN COURT NORWALK, CT 06851		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
NORWALK POLICE ACTIVITIES LEAGUE, INC. 1 MONROE STREET NORWALK, CT 06854		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
NORWALK POLICE FUND, INC. P.O. BOX 862 NORWALK, CT 06852		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	750.
NORWALK PRESERVATION TRUST P.O. BOX 874 NORWALK, CT 06852		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
<b>Total from continuation sheets</b>				

**RICH FOUNDATION, INC.  
C/O FD RICH COMPANY**

22-2544173

**Part XV | Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PACIFIC HOUSE 137 HENRY STREET, #205 STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	3600.
STAMFORD CENTER FOR THE ARTS @ THE PALACE THEATRE 61 ATLANTIC STREET STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	2500.
SILVERSOURCE, INC. 2009 SUMMER STREET, 3RD FLOOR STAMFORD, CT 06905		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
STAMFORD EMERGENCY MEDICAL SERVICES, INC. 684 LONG RIDGE ROAD STAMFORD, CT 06902		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
STATE STREET DEBATING SOCIETY, LTD (C/O JAMES ROBERTSON) 19 APPLETREE LANE STAMFORD, CT 06905		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	300.
THE CHILDREN'S SCHOOL 118 SCOFIELD ROAD STAMFORD, CT 06905		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
WOMEN'S BUSINESS DEVELOPMENT COUNCIL 184 BEDFORD STREET, SUITE 201 STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	1000.
PRIOR YEAR ADJUSTMENT 222 SUMMER STREET STAMFORD, CT 06901		NON-PROFIT	GRANT TO PROVIDE FINANCIAL ASSISTANCE TO THEIR CHARITY	500.
<hr/>				
<b>Total from continuation sheets</b>				

**RICH FOUNDATION, INC.**  
**Form 990-PF (2018) C/O FD RICH COMPANY**

22-2544173 Page 12

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

**1 Program service revenue:**

- a \_\_\_\_\_
- b \_\_\_\_\_
- c \_\_\_\_\_
- d \_\_\_\_\_
- e \_\_\_\_\_
- f \_\_\_\_\_

g Fees and contracts from government agencies

**2 Membership dues and assessments**

**3 Interest on savings and temporary cash investments**

649.

**4 Dividends and interest from securities**

18255.

**5 Net rental income or (loss) from real estate:**

- a Debt-financed property
- b Not debt-financed property

**6 Net rental income or (loss) from personal property**

**7 Other investment income**

**8 Gain or (loss) from sales of assets other than inventory**

41054.

**9 Net income or (loss) from special events**

**10 Gross profit or (loss) from sales of inventory**

**11 Other revenue:**

- a \_\_\_\_\_
- b \_\_\_\_\_
- c \_\_\_\_\_
- d \_\_\_\_\_
- e \_\_\_\_\_

**12 Subtotal. Add columns (b), (d), and (e)**

0. 0. 59958.

**13 Total Add line 12, columns (b), (d), and (e)**

13 59958.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
3	<b>NOT APPLICABLE</b>
4	<b>NOT APPLICABLE</b>
8	<b>NOT APPLICABLE</b>



## Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
PEOPLES INVESTMENTS	336.	336.	
SIGNATURE BANK	313.	313.	
Total to Part I, line 3	649.	649.	

## Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
PEOPLES INVESTMENT	46348.	28093.	18255.	18255.	
To Part I, line 4	46348.	28093.	18255.	18255.	

## Form 990-PF Accounting Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING	2600.	0.		0.
To Form 990-PF, Pg 1, ln 16b	2600.	0.		0.

## Form 990-PF Other Professional Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ADVERTISING	82.	0.		0.
To Form 990-PF, Pg 1, ln 16c	82.	0.		0.

Form 990-PF

Taxes

Statement 5

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL TAXES/OTHER	751.	0.		0.
To Form 990-PF, Pg 1, ln 18	751.	0.		0.

Form 990-PF

Other Expenses

Statement 6

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
TRANSPORTATION ART WORK	1020.	0.		0.
INSURANCE	505.	0.		0.
INVESTMENT FEES	20908.	20908.		0.
OFFICE EXPENSES	555.	0.		0.
To Form 990-PF, Pg 1, ln 23	22988.	20908.		0.

Form 990-PF

Other Investments

Statement 7

Description	Valuation Method	Book Value	Fair Market Value
PEOPLES INVESTMENTS	COST	975761.	1096376.
Total to Form 990-PF, Part II, line 13		975761.	1096376.

Form 990-PF

Grant Application Submission Information  
Part XV, Lines 2a through 2d

Statement 8

Name and Address of Person to Whom Applications Should be Submitted

THE RICH FOUNDATION  
222 SUMMER STREET  
STAMFORD, CT 06901

Telephone Number

203-359-2900

Form and Content of Applications

THE NAME, ADDRESS AND TELEPHONE NUMBERS OF THE ORGANIZATION REQUESTING THE FUNDS.

Any Submission Deadlines

NONE

Restrictions and Limitations on Awards

NONE