Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

**2018**

**Open to Public Inspection**

**For calendar year 2018, or tax year beginning 07-01-2018 , and ending 06-30-2019**

Name of foundation
THE SAMUEL RUBIN FOUNDATION INC

**A Employer identification number**
13-6164671

Number and street (or P O box number if mail is not delivered to street address)
50 CHURCH STREET 5TH FLOOR

Room/suite

**B** Telephone number (see instructions)
(617) 547-0444

City or town, state or province, country, and ZIP or foreign postal code
CAMBRIDGE, MA 021383726

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 11,948,107

**J** Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
*(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ ☑ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 234,683 | 234,683 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 87,105 | | | |
| | b | Gross sales price for all assets on line 6a 4,354,122 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 87,105 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 321,788 | 321,788 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 31,936 | 1,597 | | 30,339 |
| | 14 | Other employee salaries and wages | 33,003 | 4,950 | | 28,053 |
| | 15 | Pension plans, employee benefits | 11,939 | 2,877 | | 9,062 |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | 2,500 | 1,800 | | 700 |
| | c | Other professional fees (attach schedule) | 81,075 | 81,075 | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | | | | |
| | 19 | Depreciation (attach schedule) and depletion | 2,584 | | | |
| | 20 | Occupancy | 23,363 | 7,780 | | 15,583 |
| | 21 | Travel, conferences, and meetings | 1,390 | | | 1,390 |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 14,551 | 5,292 | | 9,259 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 202,341 | 105,371 | | 94,386 |
| | 25 | Contributions, gifts, grants paid | 495,000 | | | 495,000 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 697,341 | 105,371 | | 589,386 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -375,553 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 216,417 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | Line | Description | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|---|---|
| Assets | 1 | | Cash—non-interest-bearing | 15,353 | 7,085 | 7,085 |
| | 2 | | Savings and temporary cash investments | 379,351 | 131,454 | 131,454 |
| | 3 | | Accounts receivable ▶ | | | |
| | | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | | Pledges receivable ▶ | | | |
| | | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | | Grants receivable | | | |
| | 6 | | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | | Inventories for sale or use | | | |
| | 9 | | Prepaid expenses and deferred charges | | 4,375 | 4,375 |
| | 10a | | Investments—U S and state government obligations (attach schedule) | 2,576,968 | 2,753,912 | 2,867,111 |
| | b | | Investments—corporate stock (attach schedule) | 5,625,048 | 4,985,513 | 5,848,729 |
| | c | | Investments—corporate bonds (attach schedule) | | | |
| | 11 | | Investments—land, buildings, and equipment basis ▶ | | | |
| | | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | | Investments—mortgage loans | | | |
| | 13 | | Investments—other (attach schedule) | 2,750,014 | 3,066,656 | 3,084,744 |
| | 14 | | Land, buildings, and equipment basis ▶ 8,431 | | | |
| | | | Less accumulated depreciation (attach schedule) ▶ 7,222 | 3,793 | 1,209 | 1,209 |
| | 15 | | Other assets (describe ▶ ) | 3,400 | 3,400 | 3,400 |
| | 16 | | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 11,353,927 | 10,953,604 | 11,948,107 |
| Liabilities | 17 | | Accounts payable and accrued expenses | | | |
| | 18 | | Grants payable | | | |
| | 19 | | Deferred revenue | | | |
| | 20 | | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | | Other liabilities (describe ▶ ) | | | |
| | 23 | | **Total liabilities**(add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | | | **Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | | Unrestricted | 11,353,927 | 10,953,604 | |
| | 25 | | Temporarily restricted | | | |
| | 26 | | Permanently restricted | | | |
| | | | **Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.** | | | |
| | 27 | | Capital stock, trust principal, or current funds | | | |
| | 28 | | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | | **Total net assets or fund balances** (see instructions) | 11,353,927 | 10,953,604 | |
| | 31 | | **Total liabilities and net assets/fund balances** (see instructions) | 11,353,927 | 10,953,604 | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 11,353,927 |
| 2 | Enter amount from Part I, line 27a | 2 | -375,553 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 10,978,374 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 24,770 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 10,953,604 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | PUBLICALLY TRADED SECURITIES (S T ) | P | | |
| b | PUBLICALLY TRADED SECURITIES (L T ) | P | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 1,881,398 | | 1,921,590 | -40,192 |
| b | 2,430,527 | | 2,345,427 | 85,100 |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | -40,192 |
| b | | | | 85,100 |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 87,105 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2017 | 587,481 | 11,788,959 | 0 049833 |
| 2016 | 596,173 | 10,733,238 | 0 055545 |
| 2015 | 582,158 | 11,447,160 | 0 050856 |
| 2014 | 693,772 | 12,118,542 | 0 057249 |
| 2013 | 742,911 | 12,095,351 | 0 061421 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0 274904 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 054981 |
| 4 | Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | 4 | 11,551,532 |
| 5 | Multiply line 4 by line 3 | 5 | 635,115 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 2,164 |
| 7 | Add lines 5 and 6 | 7 | 637,279 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 589,386 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)** | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 4,328 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | |
| 3 | Add lines 1 and 2 | | | 3 | 4,328 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 4,328 |
| 6 | Credits/Payments | | | | |
| a | 2018 estimated tax payments and 2017 overpayment credited to 2018 | 6a | 18,200 | | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d | Backup withholding erroneously withheld | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | | 7 | 18,200 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 13,872 |
| 11 | Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 4,400 | **Refunded** ▶ | | 11 | 9,472 |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition) | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions)<br>▶MA, NY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | No |

## Part VII-A Statements Regarding Activities (continued)

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶WWW SAMUELRUBINFOUNDATION ORG

14 The books are in care of ▶JUDITH WEISS Telephone no ▶(617) 547-0444

Located at ▶50 CHURCH STREET CAMBRIDGE MA ZIP+4 ▶021383726

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. | ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. | ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). | ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions . | | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ | ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?. | | 1c | | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?. If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | ☐ Yes ☑ No | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) . | | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. | ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018 ).* | | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | Yes | No |
|---|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions | ☑ Yes ☐ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions | | **5b** | | No |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | ☑ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945–5(d)* | | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | **6b** | | No |
| | *If "Yes" to 6b, file Form 8870* | | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☑ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | | **7b** | | |
| **8** | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? | ☐ Yes ☑ No | | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| MANNING & NAPIER<br>PO BOX 9845<br>PROVIDENCE, RI 02940 | INVEST ADVISOR | 59,396 |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . . . . ▶ | | |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions )

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | | |
| a | Average monthly fair market value of securities. | | 1a | 11,458,058 |
| b | Average of monthly cash balances. | | 1b | 261,297 |
| c | Fair market value of all other assets (see instructions). | | 1c | 8,089 |
| d | **Total** (add lines 1a, b, and c). | | 1d | 11,727,444 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | | 2 | |
| 3 | Subtract line 2 from line 1d. | | 3 | 11,727,444 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). | | 4 | 175,912 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | | 5 | 11,551,532 |
| 6 | **Minimum investment return.** Enter 5% of line 5. | | 6 | 577,577 |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6. | | 1 | 577,577 |
| 2a | Tax on investment income for 2018 from Part VI, line 5. | 2a 4,328 | | |
| b | Income tax for 2018 (This does not include the tax from Part VI ). | 2b | | |
| c | Add lines 2a and 2b. | | 2c | 4,328 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | | 3 | 573,249 |
| 4 | Recoveries of amounts treated as qualifying distributions. | | 4 | |
| 5 | Add lines 3 and 4. | | 5 | 573,249 |
| 6 | Deduction from distributable amount (see instructions). | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | | 7 | 573,249 |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. | 1a | 589,386 |
| b | Program-related investments—total from Part IX-B. | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 589,386 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions. | 5 | |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 589,386 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|---|---|---|---|---|---|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | | 573,249 |
| 2 Undistributed income, if any, as of the end of 2018 | | | | | |
| a Enter amount for 2017 only. . . . . . . | | | | | |
| b Total for prior years 20____ , 20____ , 20____ | | | | | |
| 3 Excess distributions carryover, if any, to 2018 | | | | | |
| a From 2013. . . . . . | 169,676 | | | | |
| b From 2014. . . . . . | 101,251 | | | | |
| c From 2015. . . . . . | 15,595 | | | | |
| d From 2016. . . . . . | 70,980 | | | | |
| e From 2017. . . . . . | 16,203 | | | | |
| f **Total** of lines 3a through e. . . . . . . . . | | 373,705 | | | |
| 4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 589,386 | | | | | |
| a Applied to 2017, but not more than line 2a | | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . . | | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). . . . . . . . | | | | | |
| d Applied to 2018 distributable amount. . . . . | | | | | 573,249 |
| e Remaining amount distributed out of corpus | | 16,137 | | | |
| 5 Excess distributions carryover applied to 2018 | | | | | |
| *(If an amount appears in column (d), the same amount must be shown in column (a) )* | | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | 389,842 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . | | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | | | | |
| d Subtract line 6c from line 6b Taxable amount —see instructions . . . . . . . . . . . . . | | | | | |
| e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . . . . . . . . . . . . | | | | | |
| f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . . . . . . . . . | | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . | | | | | |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . . | | 169,676 | | | |
| 9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a . . . . . . . | | 220,166 | | | |
| 10 Analysis of line 9 | | | | | |
| a Excess from 2014. . . . | 101,251 | | | | |
| b Excess from 2015. . . . | 15,595 | | | | |
| c Excess from 2016. . . . | 70,980 | | | | |
| d Excess from 2017. . . . | 16,203 | | | | |
| e Excess from 2018. . . . | 16,137 | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2018 | **(b)** 2017 | **(c)** 2016 | **(d)** 2015 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon<br>**a** "Assets" alternative test—enter<br>**(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| **c** "Support" alternative test—enter<br>**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

KAITLIN LONGMIRE
50 CHURCH STREET
CAMBRIDGE, MA 021383726
(617) 547-0444
KAITLIN@SAMUELRUBINFOUNDATION ORG

**b** The form in which applications should be submitted and information and materials they should include

A FULL STATEMENT AS TO THE PURPOSE OF THE GRANT REQUEST, INCLUDING PROGRAM BUDGET AND A COPY OF IRS TAX-EXEMPT DETERMINATION LETTER

**c** Any submission deadlines

FIRST WEDNESDAY OF JANUARY, MAY AND SEPTEMBER

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS ARE MADE TO INDIVIDUALS, OR FOR THE PURPOSE OF CAPITAL ACQUISITION, ENDOWMENT OR SCHOLARSHIPS

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | |
| **b** *Approved for future payment*<br>SWARTHMORE COLLEGE PEACE COLLECTION<br>500 COLLEGE AVENUE<br>SWARTHMORE, PA 19081 | N/A | PC | EDUCATION | 20,000 |
| | | | | |
| | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | | | |
| 4 Dividends and interest from securities. . . . | | | 14 | 234,683 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 18 | 87,105 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | | | 321,788 | |

13 **Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . . 13 321,788

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| **(1)** Cash. | **1a(1)** | | **No** |
| **(2)** Other assets. | **1a(2)** | | **No** |
| **b** Other transactions | | | |
| **(1)** Sales of assets to a noncharitable exempt organization. | **1b(1)** | | **No** |
| **(2)** Purchases of assets from a noncharitable exempt organization. | **1b(2)** | | **No** |
| **(3)** Rental of facilities, equipment, or other assets. | **1b(3)** | | **No** |
| **(4)** Reimbursement arrangements. | **1b(4)** | | **No** |
| **(5)** Loans or loan guarantees. | **1b(5)** | | **No** |
| **(6)** Performance of services or membership or fundraising solicitations. | **1b(6)** | | **No** |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | **1c** | | **No** |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| **(a)** Line No | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

| ****** | 2019-10-16 | ****** |
|---|---|---|
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below (see instr )? ☑ **Yes** ☐ **No**

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶ ☐ | PTIN |
|---|---|---|---|---|
| LILLIAN GONZALEZ CPA MST CSEP CSRP | | 2019-11-06 | | P00675366 |
| Firm's name ▶ GONZALEZ AND ASSOCIATES PC | | | | Firm's EIN ▶27-2630858 |
| Firm's address ▶ 14 PAGE TERRACE STOUGHTON, MA 02072 | | | | Phone no (781) 344-1040 |

## Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| JUDITH WEISS<br>50 CHURCH STREET<br>CAMBRIDGE, MA 021383726 | PRESIDENT<br>20 00 | 31,936 | 5,564 | 0 |
| PETER WEISS<br>50 CHURCH STREET<br>CAMBRIDGE, MA 021383726 | DIRECTOR<br>1 00 | 0 | 0 | 0 |
| TAMARA WEISS<br>50 CHURCH STREET<br>CAMBRIDGE, MA 021383726 | VICE PRESIDE<br>1 00 | 0 | 0 | 0 |
| DANIEL WEISS<br>50 CHURCH STREET<br>CAMBRIDGE, MA 021383726 | CHAIR/TREASU<br>1 00 | 0 | 0 | 0 |
| CORA WEISS<br>50 CHURCH STREET<br>CAMBRIDGE, MA 021383726 | DIRECTOR<br>1 00 | 0 | 0 | 0 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| AJ MUSTE MEMORIAL INSTITUTE<br>168 CANAL STREET 6TH FL<br>NEW YORK, NY 10013 | N/A | PC | HUMAN RIGHTS ADVOCACY | 13,500 |
| ALLIANCE FOR GLOBAL JUSTICE<br>225 EAST 26TH ST SUITE 1<br>TUCSON, AZ 85713 | N/A | PC | SOCIAL & ECONOMIC JUSTICE | 10,000 |
| ALLIANCE FOR JUSTICE<br>11 DUPONT CIRCLE NW SUIT<br>WASHINGTON, DC 200361206 | N/A | PC | CIVIL RIGHTS ADVOCACY | 1,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| AMERICAN FRIENDS OF COMBATANTS FOR PEACE INCPO BOX 27671<br>SAN FRANCISCO, CA 94127 | N/A | PC | ISRAELI-PALESTINIAN PEACE | 7,000 |
| AMERICAN FRIENDS OF THE PARENTS CIRCLE1425 RXR PLAZA<br>EAST TOWER 15TH FLOOR<br>UNIONDALE, NY 11556 | N/A | PC | ISRAELI-PALESTINIAN PEACE | 5,000 |
| AMERICANS FOR PEACE NOW<br>1320 19TH ST NW SUITE 4<br>WASHINGTON, DC 200361635 | N/A | PC | ISRAELI-PALESTINIAN PEACE | 40,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ARMS CONTROL ASSOCIATION<br>1200 18TH ST NW SUITE 1<br>WASHINGTON, DC 20036 | N/A | PC | INTERNATIONAL PEACE AND SECURITY | 2,500 |
| CENTER FOR CONSTITUTIONAL RIGHTS<br>666 BROADWAY 7TH FLOOR<br>NEW YORK, NY 10012 | N/A | PC | LEGAL RIGHTS ADVOCACY | 40,000 |
| CENTER FOR INTERNATIONAL POLICY INC<br>2000 M STREET NW<br>SUITE 720<br>WASHINGTON, DC 20036 | N/A | PC | PUBLIC POLICY RESEARCH & ADVOCACY | 5,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| CENTER FOR JUSTICE & ACCOUNTABILITY<br>ONE HALLIDIE PLAZA<br>SUITE 406<br>SAN FRANCISCO, CA 94102 | N/A | PC | LEGAL RIGHTS ADVOCACY | 5,000 |
| DEMOCRACY NOW PRODUCTIONS INC<br>207 WEST 25TH ST 11TH FL<br>NEW YORK, NY 10001 | N/A | PC | MEDIA FOR SOCIAL JUSTICE | 7,500 |
| DOWNTOWN COMMUNITY TELEVISION<br>CENTER87 LAFAYETTE STREET<br>NEW YORK, NY 100134435 | N/A | PC | MEDIA FOR SOCIAL JUSTICE | 52,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| FROM THE HEART PRODUCTIONS<br>1455 MANDALAY BEACH RD<br>OXNARD, CA 930352845 | N/A | PC | FILM | 3,500 |
| FULLER PROJECT FOR INTERNATIONAL REPORTING<br>1875 CONNECTICUT AVENUE<br>10TH FLOOR<br>WASHINGTON, DC 20009 | N/A | PC | MEDIA FOR SOCIAL JUSTICE | 5,000 |
| FUND FOR CONSTITUTIONAL GOVERNMENT<br>122 MARYLAND AVENUE NE<br>WASHINGTON, DC 20002 | N/A | PC | PUBLIC POLICY RESEARCH & ADVOCACY | 2,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| GLOBAL KIDS137 EAST 25TH ST 2ND FL<br>NEW YORK, NY 10010 | N/A | PC | YOUTH EDUCATION & ADVOCACY | 25,000 |
| GLOBAL NETWORK OF WOMEN PEACEBUILDERS<br>777 UN PLAZA SUITE 7H<br>NEW YORK, NY 10017 | N/A | PC | INTERNATIONAL PEACE EFFORTS | 40,000 |
| GRASSROOTS INTERNATIONAL<br>179 BOYLSTON ST 4TH FL<br>BOSTON, MA 02130 | N/A | PC | INTERNATIONAL SOCIAL JUSTICE | 12,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| HAMPSHIRE COLLEGE893 WEST STREET<br>AMHERST, MA 01002 | N/A | PC | EDUCATION | 5,000 |
| HUMAN RIGHTS WATCH<br>350 5TH AVE 34TH FL<br>NEW YORK, NY 10118 | N/A | PC | INTERNATIONAL HUMAN RIGHTS | 8,000 |
| INSTITUTE FOR POLICY STUDIES INC<br>1301 CONNECTICUT AVE NW<br>SUITE 600<br>WASHINGTON, DC 20036 | N/A | PC | PUBLIC POLICY RESEARCH & ADVOCACY | 10,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| INTERNATIONAL WOMEN'S MEDIA FOUNDATION1625 K STREET NW SUITE 1275<br>WASHINGTON, DC 20006 | N/A | PC | MEDIA FOR SOCIAL JUSTICE | 5,000 |
| IRISH INTERNATIONAL IMMIGRANT CENTERONE STATE ST STE 800<br>BOSTON, MA 02109 | N/A | PC | IRISH IMMIGRANT ASSISTANCE | 7,500 |
| LAWYERS COMMITTEE ON NUCLEAR POLICY220 E 49TH ST SUITE 1B<br>NEW YORK, NY 10017 | N/A | PC | NUCLEAR DISARMAMENT & GLOBAL SECURIT | 75,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| MAYSLES INSTITUTE<br>343 LENOX AVENUE<br>NEW YORK, NY 10027 | N/A | PC | MEDIA FOR SOCIAL JUSTICE | 3,500 |
| MICHIGAN STATE UNIVERSITY<br>AFRICAN STUDIES CENTER<br>426 AUDITORIUM RD ROOM 2<br>EAST LANSING, MI 48824 | N/A | PC | EDUCATION | 5,000 |
| MIRIAM COLLEGE<br>CENTER FOR PEACE EDUCATION<br>KATIPUNAN ROAD<br>LOYOLA HEIGHTS<br>QUEZON CITY, QUEZON CITY<br>RP | N/A | PC | EDUCATION | 3,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| MUSLIM COMMUNITY NETWORK<br>239 THOMPSON STREET<br>NEW YORK, NY 10012 | N/A | PC | CIVIC EDUCATION | 5,000 |
| NAUTILUS OF AMERICA INC<br>THE NAUTILUS INSTITUTE<br>2342 SHATTUCK AVE 300<br>BERKELEY, CA 94704 | N/A | PC | ENVIRONMENT & NUCLEAR NON-PROLIFERAT | 5,500 |
| NEW ISRAEL FUND<br>6 EAST 39TH ST SUITE 301<br>NEW YORK, NY 100160112 | N/A | PC | INTERNATIONAL PEACE EFFORTS | 22,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| NURSES FOR SEXUAL & REPRODUCTIVE HEALTHPO BOX 14209<br>ST PAUL, MN 55114 | N/A | PC | EDUCATION | 5,000 |
| PEAC INSTITUTE<br>598 VALLEY ROAD APT 3<br>MONTCLAIR, NJ 07043 | N/A | PC | YOUTH EDUCATION & ADVOCACY | 2,500 |
| PEACE ACTION FUND OF NEW YORK STATEPO BOX 3357<br>CHURCH STREET STATION<br>NEW YORK, NY 100083357 | N/A | PC | FOREIGN POLICY & HUMAN RIGHTS | 5,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| PROVIDEPO BOX 410164<br>CAMBRIDGE, MA 02141 | N/A | PC | HEALTH & SOCIAL SERVICES | 5,000 |
| REPRIEVE USPO BOX 3627<br>NEW YORK, NY 10163 | N/A | PC | HUMAN RIGHTS | 5,000 |
| RUTGERS UNIVERSITY INSTITUTE<br>FOR WOMEN'S LEADERSHIP<br>7 COLLEGE AVENUE<br>NEW BRUNSWICK, NJ 08901 | N/A | PC | EDUCATION | 1,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SWARTHMORE COLLEGE PEACE COLLECTION<br>500 COLLEGE AVENUE<br>SWARTHMORE, PA 19081 | N/A | PC | EDUCATION | 10,000 |
| THIRD SECTOR NEW ENGLAND INC<br>89 SOUTH STREET STE 700<br>BOSTON, MA 02111 | N/A | PC | NONPROFIT MANAGEMENT | 5,000 |
| TIDES CENTER1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 941291755 | N/A | PC | NONPROFIT MANAGEMENT | 2,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| TRI-VALLEY CARES<br>4049 FIRST ST SUITE 139A<br>LIVERMORE, CA 94551 | N/A | PC | GLOBAL SECURITY, HUMAN RIGHTS | 5,000 |
| T'RUAH-THE RABBINIC CALL FOR HUMAN RIGHTS<br>266 WEST 37TH ST STE 803<br>NEW YORK, NY 10018 | N/A | PC | HUMAN RIGHTS | 7,500 |
| WAR LEGACIES PROJECT<br>144 LOWER BARTONSVILLE RD<br>CHESTER, VT 05143 | N/A | PC | INTERNATIONAL PEACE & SECURITY | 2,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| WOMEN'S INTERNATIONAL NETWORK<br>FOR GUATEMALAN SOLUTIONS<br>1043 GRAND AVENUE 299<br>ST PAUL, MN 55105 | N/A | PC | HUMAN RIGHTS | 7,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 495,000 |

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|---|---|---|

# TY 2018 Accounting Fees Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| GONZALEZ & ASSOCIATES, PC - TAX | 2,500 | 1,800 | | 700 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2018 Depreciation Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

**Depreciation Schedule**

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|---|---|---|---|---|---|---|---|---|---|
| COMPUTER SOFTWARE | 2014-09-15 | 11,500 | 11,500 | S/L | 3 0000 | | | | |
| OFFICE FURNITURE | 2017-01-03 | 1,431 | 555 | 200DB | 7 0000 | 250 | | | |
| COMPUTER SOFTWARE | 2016-10-19 | 7,000 | 4,083 | S/L | 3 0000 | 2,334 | | | |

# TY 2018 Investments Corporate Stock Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| CHARLES SCHWAB STOCK | 4,985,513 | 5,848,729 |
| (SEE ATTACHED) | | |

# TY 2018 Investments Government Obligations Schedule

| | |
|---|---|
| **Name:** | THE SAMUEL RUBIN FOUNDATION INC |
| **EIN:** | 13-6164671 |
| **US Government Securities - End of Year Book Value:** | 2,753,912 |
| **US Government Securities - End of Year Fair Market Value:** | 2,867,111 |
| **State & Local Government Securities - End of Year Book Value:** | |
| **State & Local Government Securities - End of Year Fair Market Value:** | |

# TY 2018 Investments - Other Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

**Investments Other Schedule 2**

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| UNCONSTRAINED BOND SERIES MUTUAL FD | AT COST | 2,078,126 | 2,104,898 |
| REAL ESTATE SERIES MUTUAL FUND | AT COST | 229,579 | 251,267 |
| INTERNATIONAL SERIES MUTUAL FUND | AT COST | 392,064 | 325,350 |
| WORLD OPPORTUNITIES MUTUAL FUND | AT COST | | |
| OVERSEAS SERIES MUTUAL FUND | AT COST | 151,433 | 148,909 |
| DISCIPLINED VALUE SERIES MUTUAL FUND | AT COST | 100,567 | 103,099 |
| AMERICAN TOWER CORP REIT | AT COST | 49,946 | 70,535 |
| EQUINIX INC REIT | AT COST | 64,941 | 80,686 |

# TY 2018 Land, Etc. Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

| Category / Item | Cost / Other Basis | Accumulated Depreciation | Book Value | End of Year Fair Market Value |
|---|---|---|---|---|
| COMPUTER SOFTWARE | 8,431 | 7,222 | 1,209 | 1,209 |

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# TY 2018 Other Assets Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

## Other Assets Schedule

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---|---|---|---|
| RENT SECURITY DEPOSIT | 3,400 | 3,400 | 3,400 |

# TY 2018 Other Decreases Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

| Description | Amount |
|---|---|
| NON-DEDUCTIBLE FEDERAL EXCISE TAX | 24,770 |

# TY 2018 Other Expenses Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

## Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| EXPENSES | | | | |
| ADVERTISING | 180 | 45 | | 135 |
| BROKER PROCESSING FEES | 2,206 | 2,206 | | |
| FILING FEES | 875 | 219 | | 656 |
| INSURANCE | 3,201 | 800 | | 2,401 |
| OFFICE EXPENSES | 6,259 | 1,565 | | 4,694 |
| REPRESENTATION | 546 | 136 | | 410 |
| TELEPHONE | 1,284 | 321 | | 963 |

# TY 2018 Other Professional Fees Schedule

**Name:** THE SAMUEL RUBIN FOUNDATION INC

**EIN:** 13-6164671

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| MANNING & NAPIER | 59,396 | 59,396 | | |
| MORGAN STANLEY | 20,776 | 20,776 | | |
| CHARLES SCHWAB | 903 | 903 | | |

FORM 990-PF, Page 2, Part II, Line 10a
Line 10a - US and State Government Oblıgations

**GOVERNMENT SECURITIES**

| Symbol | Description | Quantity | Cost Basis | Market Value |
|---|---|---|---|---|
| 312944PM7 | FHLMC A95828 4.5%40 DUE 12/01/40 | 110,000 | $35,293.30 | $35,171 28 |
| 3132J67M0 | FHLMC Q15899 3.5%43 DUE 02/01/43 | 580,000 | $225,115 44 | $230,703.61 |
| 31418AR34 | FNMA PL MA1405 3%28 DUE 04/01/28 | 580,000 | $186,202 62 | $177,361 90 |
| 31419BCW3 | FNMA PL AE0984 4.5%41 DUE 02/01/41 | 246,000 | $47,706.81 | $47,707.92 |
| 36201SSL4 | GNMA PL 591923 6%33 DUE 03/15/33 | 95,000 | $1,049 60 | $1,033 77 |
| 36202C6G3 | GNMA PL 002671M 6%28 DUE 11/20/28 | 34,000 | $248.29 | $295 47 |
| 36202DCB5 | GNMA PL 002766M 6%29 DUE 06/20/29 | 46,000 | $439 22 | $516.76 |
| 36202DJR3 | GNMA PL 002972M 7.5%30 DUE 09/20/30 | 55,000 | $97.25 | $109 29 |
| 36202S4R6 | GNMA PL 608432 5 5%33 DUE 05/15/33 | 66,000 | $1,505.24 | $1,458.88 |
| 912810PT9 | US TREASURY 4 75%02/37 UST BOND DUE 02/15/37 | 122,000 | $172,888.41 | $166,949.38 |
| 912810QA9 | US TREASURY 3.5%02/39 UST BOND DUE 02/15/39 | 184,000 | $187,670.45 | $218,385.00 |
| 912810RA8 | UST INFL IDX 0.625%02/43 INFL INDEX DUE 02/15/43 | 57,000 | $52,817 69 | $61,404.74 |
| 912810RK6 | US TREASURY 2 5%02/45 UST BOND DUE 02/15/45 | 96,000 | $87,536.25 | $95,535 00 |
| 912810RX8 | US TREASURY 3%05/47 UST BOND DUE 05/15/47 | 205,000 | $204,659.46 | $224,282.81 |
| 9128286B1 | US TREASU NT 2.625%02/29 UST NOTE DUE 02/15/29 | 459,000 | $465,078.16 | $483,814.69 |
| 912828PP9 | UST INFL IDX 1.125%01/21 INFL INDEX DUE 01/15/21 | 72,000 | $82,856.13 | $84,726.72 |
| 912828R36 | US TREASU NT 1.625%05/26 UST NOTE DUE 05/15/26 | 208,000 | $190,409.37 | $204,555 00 |
| 912828SA9 | UST INFL IDX 0.125%01/22 INFL INDEX DUE 01/15/22 | 53,000 | $55,534.99 | $59,446.82 |
| 912828X88 | US TREASU NT 2.375%05/27 UST NOTE DUE 05/15/27 | 298,000 | $296,079 29 | $307,917.81 |
| 912828XE5 | US TREASUR NT 1 5%05/20 UST NOTE DUE 05/31/20 | 468,000 | $463,283.44 | $465,806.25 |
| | | | **$2,756,471.41** | **$2,867,183.10** |

FORM 990-PF, Page 2, Part II, Line 10b
Line 10b - Corporate Stocks held on June 30, 2019

**CORPORATE STOCKS**

| Symbol | Description | Quantity | Cost Basis | Market Value |
|---|---|---|---|---|
| ABBV | ABBVIE INC | 424 | $29,768.86 | $30,833.28 |
| ABEV | AMBEV S A F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 10,850 | $64,577.90 | $50,669.50 |
| ADM | ARCHER-DANIELS-MIDLN | 194 | $8,102 32 | $7,915 20 |
| ALC | ALCON INC F | 374 | $18,350.78 | $23,206.70 |
| ALL | ALLSTATE CORP | 101 | $9,761.55 | $10,270.69 |
| AMAT | APPLIED MATERIALS | 259 | $11,564.12 | $11,631.69 |
| AMGN | AMGEN INC. | 188 | $31,198 80 | $34,644 64 |
| AMT | AMERN TOWER CORP REIT | 345 | $49,946.02 | $70,535.25 |
| AMZN | AMAZON COM INC | 35 | $38,488 99 | $66,277.05 |
| ATVI | ACTIVISION BLIZZARD | 1,800 | $79,607.30 | $84,960.00 |
| AVGO | BROADCOM INC | 99 | $23,239 87 | $28,498.14 |
| BAC | BANK OF AMERICA CORP | 1,391 | $41,943 60 | $40,339.00 |
| BBT | BB&T CORP | 216 | $10,841.67 | $10,612 08 |
| BBY | BEST BUY INC | 138 | $9,720.19 | $9,622.74 |
| BHGE | BAKER HUGHES A G E COMPA CLASS A | 349 | $8,955.06 | $8,595 87 |
| BHP | BHP GROUP LTD F SPONSORED ADR 1 ADR REPS 2 ORD SHS | 592 | $28,798 60 | $34,401.12 |
| BKNG | BOOKING HOLDINGS INC | 55 | $100,478 40 | $103,109.05 |
| BLK | BLACKROCK INC | 60 | $31,880.94 | $28,158.00 |
| BMRN | BIOMARIN PHARMACEUTL | 505 | $42,209.07 | $43,253 25 |
| BMY | BRISTOL-MYERS SQUIBB | 477 | $23,910.29 | $21,631 95 |
| BUDFF | AB INBEV ORDF | 1,410 | $150,239 29 | $124,806 00 |
| C | CITIGROUP INC | 408 | $28,132.47 | $28,572.24 |
| CAJ | CANON INC F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 498 | $16,650.49 | $14,576.46 |
| CAT | CATERPILLAR INC | 162 | $23,122.07 | $22,078.98 |
| CB | CHUBB LTD F | 109 | $15,462.93 | $16,054.61 |
| CBOE | CBOE GLOBAL MKTS INC | 440 | $46,631 75 | $45,597.20 |
| CCEP | COCA-COLA EURO PTNRS F | 222 | $8,305.35 | $12,543.00 |
| CHRW | C H ROBINSON WORLDWD | 82 | $7,583.72 | $6,916.70 |
| CL | COLGATE-PALMOLIVE CO | 302 | $21,186 54 | $21,644.34 |
| CME | CME GROUP INC CLASS A | 255 | $46,470 30 | $49,498.05 |
| CMI | CUMMINS INC | 73 | $12,076 38 | $12,507.82 |
| CNQ | CANADIAN NATURAL RES F | 346 | $10,474.91 | $9,331 62 |
| CRH | C R H PLC CO F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 370 | $12,685.50 | $12,117.50 |
| CSCO | CISCO SYSTEMS INC | 959 | $22,417.50 | $52,486.07 |
| CVS | CVS HEALTH CORP | 382 | $25,429.66 | $20,815.18 |
| CVX | CHEVRON CORP | 314 | $37,044.28 | $39,074.16 |
| DGEAF | DIAGEO PLC ORDF | 2,965 | $90,567.26 | $127,313 67 |
| DO | DIAMOND OFFSHR DRILL | 0 | N/A | $0.00 |
| DOW | DOW INC | 216 | $11,975 67 | $10,650.96 |
| EA | ELECTRONIC ARTS INC | 690 | $66,001 85 | $69,869.40 |
| EC | ECOPETROL S A F SPONSORED ADR 1 ADR REPS 20 ORD SHS | 654 | $12,345.34 | $11,961.66 |
| EMR | EMERSON ELECTRIC CO | 241 | $16,959.94 | $16,079 52 |
| EQIX | EQUINIX INC REIT | 160 | $64,940.54 | $80,686.40 |
| EQNR | EQUINOR A S A F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 778 | $17,663.92 | $15,388.84 |
| ETFC | E-TRADE FINL CO | 495 | $28,286.23 | $22,077 00 |
| ETN | EATON CORP PLC F | 177 | $14,347.60 | $14,740.56 |
| FAST | FASTENAL CO | 276 | $7,374 48 | $8,994.84 |

The Samuel Rubin Foundation, Inc. 13-6164671

FORM 990-PF, Page 2, Part II, Line 10b
Line 10b - Corporate Stocks held on June 30, 2019

**CORPORATE STOCKS**

| Symbol | Description | Quantity | Cost Basis | Market Value |
|---|---|---|---|---|
| FB | FACEBOOK INC CLASS A | 260 | $49,035.28 | $50,180 00 |
| FITB | FIFTH THIRD BANCORP | 321 | $10,293.58 | $8,955 90 |
| GILD | GILEAD SCIENCES INC | 420 | $28,561 54 | $28,375.20 |
| GIS | GENERAL MILLS INC | 270 | $14,711.89 | $14,180.40 |
| GOOG | ALPHABET INC CLASS C | 85 | $80,144.97 | $91,877.35 |
| GOOGL | ALPHABET INC CLASS A | 85 | $82,247.40 | $92,038 00 |
| GPC | GENUINE PARTS CO | 87 | $8,453.89 | $9,011.46 |
| HAL | HALLIBURTON CO HLDG | 300 | $8,779.95 | $6,822 00 |
| HAL | HALLIBURTON CO HLDG | 1,910 | $59,383.62 | $43,433.40 |
| HD | HOME DEPOT INC | 246 | $27,113.75 | $51,160.62 |
| HMC | HONDA MOTOR CO LTD F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 664 | $22,477.19 | $17,157.76 |
| HSY | HERSHEY CO | 86 | $8,581.07 | $11,526 58 |
| IBM | IBM CORP | 252 | $40,828.10 | $34,750.80 |
| ICE | INTERCONTINENTAL EXC | 605 | $46,270.96 | $51,993.70 |
| IDEXF | INDUSTRIA DE DISEN ORDF | 2,915 | $91,217.01 | $87,675.40 |
| INCY | INCYTE CORP | 620 | $40,640.67 | $52,675.20 |
| INTC | INTEL CORP | 952 | $26,650.34 | $45,572.24 |
| INXN | INTERXION HOLDING NV F | 1,195 | $75,406 98 | $90,927.55 |
| ITW | ILLINOIS TOOL WORKS | 106 | $15,861.74 | $15,985.86 |
| JCI | JOHNSON CONTROLS INTER F | 341 | $11,530.07 | $14,086.71 |
| JNJ | JOHNSON & JOHNSON | 504 | $52,753.39 | $70,197.12 |
| JNJ | JOHNSON & JOHNSON | 970 | $103,903.31 | $135,101.60 |
| JPM | J P MORGAN CHASE & CO | 593 | $39,605 08 | $66,297 40 |
| K | KELLOGG CO | 152 | $9,120.91 | $8,142.64 |
| KEY | KEYCORP INC | 445 | $8,652 97 | $7,898 75 |
| KLAC | K L A TENCOR CORP | 82 | $8,614.86 | $9,692 40 |
| KMB | KIMBERLY-CLARK CORP | 134 | $14,990 89 | $17,859.52 |
| KO | THE COCA-COLA CO | 1,890 | $89,401 13 | $96,238.80 |
| KOF | COCA-COLA FEMSA S A B F SPONSORED ADR 1 ADR REPS 10 ORD SHS | 129 | $9,161.88 | $8,016.06 |
| KYKOF | KYOWA HAKKO KIRIN ORDF | 1,300 | $24,204 97 | $23,365.78 |
| LRCX | LAM RESEARCH CORP | 46 | $9,443.69 | $8,640 64 |
| LULU | LULULEMON ATHLETICA | 695 | $41,294.93 | $125,245 95 |
| LYB | LYONDELLBASELL INDS F DUTCH TENDER EXP: 07/08/19 | 154 | $16,646 03 | $13,264 02 |
| MA | MASTERCARD INC CLASS A | 610 | $71,812.99 | $161,363 30 |
| MCO | MOODYS CORP | 270 | $42,164 69 | $52,733.70 |
| MDLZ | MONDELEZ INTL CLASS A | 434 | $17,715.63 | $23,392.60 |
| MDLZ | MONDELEZ INTL CLASS A | 2,140 | $91,714.15 | $115,346.00 |
| MDT | MEDTRONIC PLC F | 366 | $28,411 19 | $35,644.74 |
| MDT | MEDTRONIC PLC F | 1,850 | $147,431 65 | $180,171.50 |
| MGA | MAGNA INTL INC F | 169 | $9,068.20 | $8,399.30 |
| MKGAF | MERCK KGAA ORDF | 900 | $97,350.84 | $94,114 17 |
| MMM | 3M CO | 179 | $26,033 56 | $31,027.86 |
| MPC | MARATHON PETE CORP | 275 | $18,645.07 | $15,367 00 |
| MRK | MERCK & CO. INC. | 623 | $35,691.23 | $52,238.55 |
| MRK | MERCK & CO. INC. | 905 | $53,538.05 | $75,884.25 |
| MSFT | MICROSOFT CORP | 1,770 | $146,279 07 | $237,109.20 |

FORM 990-PF, Page 2, Part II, Line 10b
Line 10b - Corporate Stocks held on June 30, 2019

**CORPORATE STOCKS**

| Symbol | Description | Quantity | Cost Basis | Market Value |
|---|---|---|---|---|
| MU | MICRON TECHNOLOGY | 1,355 | $51,622.86 | $52,289.45 |
| NOW | SERVICE NOW INC | 355 | $62,679.67 | $97,472.35 |
| NSRGF | NESTLE SA ORDF | 1,120 | $91,628.09 | $115,970.49 |
| NTR | NUTRIEN LTD F | 188 | $10,029 11 | $10,050 48 |
| NUE | NUCOR CORP | 166 | $10,536.54 | $9,146.60 |
| NVS | NOVARTIS AG F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 1,870 | $131,861 11 | $170,749 70 |
| NVT | NVENT ELECTRIC PLC F | 104 | $2,422 45 | $2,578.16 |
| OXY | OCCIDENTAL PETROL CO | 214 | $13,373.53 | $10,759 92 |
| PBR/A | PETROLEO BRASILEIRO F SPONSORED ADR 1 ADR REPS 2 ORD SHS | 1,554 | $21,861.96 | $22,066.80 |
| PEP | PEPSICO INC | 805 | $90,372.47 | $105,559.65 |
| PFE | PFIZER INC | 1,289 | $43,171.23 | $55,839.48 |
| PSX | PHILLIPS 66 | 129 | $12,328.32 | $12,066 66 |
| QBCRF | QUEBECOR INC F CLASS B | 1,305 | $24,732 36 | $31,094.69 |
| RAMP | LIVERAMP HLDGS INC | 890 | $41,811.76 | $43,147.20 |
| RCI | ROGERS COMMUN INC F CLASS B | 160 | $8,133.24 | $8,563.20 |
| RIG | TRANSOCEAN INC NEW F | 2,415 | $22,504.90 | $15,480.15 |
| RIO | RIO TINTO PLC F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 438 | $24,617.29 | $27,304.92 |
| ROK | ROCKWELL AUTOMATION | 44 | $7,798 67 | $7,208.52 |
| SBAC | S B A COMMUNICATIONS CLASS A | 325 | $50,571 56 | $73,073 00 |
| SCHW | CHARLES SCHWAB CORP | 1,100 | $58,401.88 | $44,209.00 |
| SGEN | SEATTLE GENETICS INC | 795 | $52,397.13 | $55,021 95 |
| SJM | J M SMUCKER CO | 64 | $7,645 07 | $7,372.16 |
| SJR | SHAW COMMUN INC F CLASS B | 1,195 | $25,062.38 | $24,354.10 |
| SKM | SK TELECOM CO LTD F SPONSORED ADR 1 ADR REP 0 11 ORD SHS | 392 | $9,606.61 | $9,702 00 |
| SLB | SCHLUMBERGER LTD F | 955 | $65,737.50 | $37,951.70 |
| SNP | CHINA PETROLEUM&CHEM F SPONSORED ADR 1 ADR REPS 100 ORD SHS | 384 | $31,006.77 | $26,188 80 |
| SPGI | S&P GLOBAL INC | 235 | $42,361 03 | $53,530.65 |
| STI | SUNTRUST BANKS INC | 168 | $11,247.67 | $10,558 80 |
| SU | SUNCOR ENERGY INC F | 416 | $13,820.31 | $12,962 56 |
| SYY | SYSCO CORP | 194 | $11,329.58 | $13,719.68 |
| TCTZF | TENCENT HOLDINGS ORDF | 3,345 | $150,192.27 | $150,967.28 |
| TEL | TE CONNECTIVITY LTD F | 98 | $9,369 83 | $9,386.44 |
| TOT | TOTAL S A F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 496 | $27,617.27 | $27,671 84 |
| TRV | TRAVELERS COMPANIES | 76 | $10,584.15 | $11,363 52 |
| TSN | TYSON FOODS INC CLASS A | 116 | $8,562 93 | $9,365.84 |
| TXN | TEXAS INSTRUMENTS | 267 | $17,968.81 | $30,640.92 |
| UL | UNILEVER PLC F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 683 | $22,867.61 | $42,325.51 |
| UL | UNILEVER PLC F SPONSORED ADR 1 ADR REPS 1 ORD SHS | 2,085 | $95,764.28 | $129,207 45 |
| UNP | UNION PACIFIC CORP | 192 | $21,618.93 | $32,469.12 |
| UPS | UNITED PARCEL SRVC CLASS B | 276 | $27,735 30 | $28,502.52 |
| USB | U S BANCORP | 492 | $21,758 64 | $25,780.80 |
| V | VISA INC CLASS A | 860 | $72,446.18 | $149,253.00 |
| VFC | VF CORP | 158 | $12,152 38 | $13,801.30 |
| VLO | VALERO ENERGY CORP | 160 | $14,398.40 | $13,697 60 |
| VRTX | VERTEX PHARMACEUTICA | 285 | $48,068.79 | $52,263.30 |

FORM 990-PF, Page 2, Part II, Line 10b
Line 10b - Corporate Stocks held on June 30, 2019

**CORPORATE STOCKS**

| Symbol | Description | Quantity | Cost Basis | Market Value |
|---|---|---|---|---|
| WBA | WALGREENS BOOTS ALLI | 335 | $20,658.12 | $18,314 45 |
| WDC | WESTERN DIGITAL CORP | 137 | $11,264.71 | $6,514.35 |
| WFC | WELLS FARGO BK N A | 1,057 | $57,784.18 | $50,017.24 |
| WM | WASTE MANAGEMENT INC | 161 | $13,031 34 | $18,574.57 |
| | | | **$3,916,253.10** | **$6,062,796.83** |

**The Samuel Rubin Foundation, Inc.**
**13-6164671**
**2018 Form 990-PF**
**Part VII-B, Line 5c**

**Expenditure Responsibility FY19**

**Grantee name and address:**

Miriam College Foundation, Inc.

Katipunan Rd., Loyola Hts., Quezon City

Philippines

**Grant amount**: $3,000

**Amount expended**: $3,000

**Date of grant**: November 12, 2018

**Purpose of grant**: Youth Interfaith Peacebuilding Workshop

**Knowledge of any diversion of grant funds by grantee**: None

**Dates of reports by grantee**: Final Report is no later than December 31, 2019

**Verification date**: May 9, 2019

**Results of verification**: The grantee reported that the training workshop was held February 4 - 6 2019. The workshop was attended by a total of 30 participants (26 student leaders and 4 faculty advisers, 2 each from the partner schools).

The workshop had two main objectives: to break down the barriers of prejudice and to provide the youth with the knowledge, skills and values that help them become youth peacebuilders in their respective communities.

All funds were expended as agreed to in the signed grant agreement. Miriam College Foundation, Inc. Is recognized as a non-profit educational institution by the Republic of the Philippines' Bureau of Internal Revenue (2/21/00).