

2949105301116 0

EXTENDED TO MAY 15, 2020

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2018 or tax year beginning

JUL 1, 2018

and ending

JUN 30, 2019

Name of foundation

THE BROOKDALE FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

300 FRANK W. BURR BLVD.

Room/suite

13

A Employer identification number

13-6076863

B Telephone number

(

City or town, state or province, country, and ZIP or foreign postal code

TEANECK, NJ 07666

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 5,857,496.

J Accounting method:

☐ Cash☒ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part II Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

17.

17.

4 Dividends and interest from securities

109,442.

109,442.

5a Gross rents

b Net rental income or (loss)

-69,001.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

3,029,039.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

N/A

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

10,330.

10,330.

0.

12 Total Add lines 1 through 11

50,788.

119,789.

0.

13 Compensation of officers, directors, trustees, etc

72,528.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

8,392.

0.

8,392.

16a Legal fees

b Accounting fees

STMT 4

18,560.

9,280.

0.

c Other professional fees

STMT 5

450.

0.

450.

17 Interest

18 Taxes

STMT 6

12,430.

5,709.

0.

19 Depreciation and depletion

20 Occupancy

16,095.

0.

16,095.

21 Travel, conferences and meetings

22 Printing and publications

23 Other expenses

STMT 7

40,036.

37,537.

0.

24 Total operating and administrative expenses Add lines 13 through 23

168,491.

52,526.

0.

25 Contributions, gifts, grants paid

137,500.

137,500.

137,500.

26 Total expenses and disbursements Add lines 24 and 25

305,991.

52,526.

0.

27 Subtract line 26 from line 12

-255,203.

67,263.

0.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

ENVELOPE  
POSTMARK DATE FEB 07 202003  
04SCANNED  
MAR 16 2020

IRS-OSC

RECEIVED  
FEB 11 2020  
OGDEN, UT

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

|                                                                                                  |                                                                                                                                          | Beginning of year | End of year    |                       |
|--------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                  |                                                                                                                                          | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                                                                                    | 1 Cash - non-interest-bearing                                                                                                            | 11,604.           | 8,003.         | 8,003.                |
|                                                                                                  | 2 Savings and temporary cash investments                                                                                                 | 362,159.          | 274,962.       | 274,962.              |
|                                                                                                  | 3 Accounts receivable ▶                                                                                                                  |                   |                |                       |
|                                                                                                  | Less allowance for doubtful accounts ▶                                                                                                   |                   |                |                       |
|                                                                                                  | 4 Pledges receivable ▶                                                                                                                   |                   |                |                       |
|                                                                                                  | Less allowance for doubtful accounts ▶                                                                                                   |                   |                |                       |
|                                                                                                  | 5 Grants receivable                                                                                                                      |                   |                |                       |
|                                                                                                  | 6 Receivables due from officers, directors, trustees, and other disqualified persons                                                     |                   |                |                       |
|                                                                                                  | 7 Other notes and loans receivable ▶                                                                                                     |                   |                |                       |
|                                                                                                  | Less allowance for doubtful accounts ▶                                                                                                   |                   |                |                       |
|                                                                                                  | 8 Inventories for sale or use                                                                                                            |                   |                |                       |
|                                                                                                  | 9 Prepaid expenses and deferred charges                                                                                                  | 2,178.            | 14,892.        | 14,892.               |
|                                                                                                  | 10a Investments - U S and state government obligations                                                                                   |                   |                |                       |
|                                                                                                  | b Investments - corporate stock STMT 8                                                                                                   | 4,680,185.        | 4,471,241.     | 5,258,398.            |
|                                                                                                  | c Investments - corporate bonds                                                                                                          |                   |                |                       |
|                                                                                                  | 11 Investments - land, buildings, and equipment basis ▶                                                                                  |                   |                |                       |
| Less accumulated depreciation ▶                                                                  |                                                                                                                                          |                   |                |                       |
| 12 Investments - mortgage loans                                                                  |                                                                                                                                          |                   |                |                       |
| 13 Investments - other                                                                           | 275,126.                                                                                                                                 | 0.                | 0.             |                       |
| 14 Land, buildings, and equipment basis ▶                                                        |                                                                                                                                          |                   |                |                       |
| Less accumulated depreciation ▶                                                                  |                                                                                                                                          |                   |                |                       |
| 15 Other assets (describe ▶ DUE FROM BROKER)                                                     | 0.                                                                                                                                       | 301,241.          | 301,241.       |                       |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 5,331,252.                                                                                                                               | 5,070,339.        | 5,857,496.     |                       |
| <b>Liabilities</b>                                                                               | 17 Accounts payable and accrued expenses                                                                                                 | 4,493.            | 1,075.         |                       |
|                                                                                                  | 18 Grants payable                                                                                                                        |                   |                |                       |
|                                                                                                  | 19 Deferred revenue                                                                                                                      |                   |                |                       |
|                                                                                                  | 20 Loans from officers, directors, trustees, and other disqualified persons                                                              |                   |                |                       |
|                                                                                                  | 21 Mortgages and other notes payable                                                                                                     |                   |                |                       |
|                                                                                                  | 22 Other liabilities (describe ▶ DUE TO BROKER)                                                                                          | 2,292.            | 0.             |                       |
| 23 Total liabilities (add lines 17 through 22)                                                   | 6,785.                                                                                                                                   | 1,075.            |                |                       |
| <b>Net Assets or Fund Balances</b>                                                               | Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31 ▶ <input checked="" type="checkbox"/> |                   |                |                       |
|                                                                                                  | 24 Unrestricted                                                                                                                          |                   |                |                       |
|                                                                                                  | 25 Temporarily restricted                                                                                                                |                   |                |                       |
|                                                                                                  | 26 Permanently restricted                                                                                                                |                   |                |                       |
|                                                                                                  | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ <input type="checkbox"/>                          |                   |                |                       |
|                                                                                                  | 27 Capital stock, trust principal, or current funds                                                                                      |                   |                |                       |
|                                                                                                  | 28 Paid-in or capital surplus, or land, bldg, and equipment fund                                                                         |                   |                |                       |
|                                                                                                  | 29 Retained earnings, accumulated income, endowment or other funds                                                                       |                   |                |                       |
|                                                                                                  | 30 Total net assets or fund balances                                                                                                     | 5,324,467.        | 5,069,264.     |                       |
|                                                                                                  | 31 Total liabilities and net assets/fund balances                                                                                        | 5,331,252.        | 5,070,339.     |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                              |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 5,324,467. |
| 2 Enter amount from Part I, line 27a                                                                                                                         | 2 | -255,203.  |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                         | 3 | 0.         |
| 4 Add lines 1, 2, and 3                                                                                                                                      | 4 | 5,069,264. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                               | 5 | 0.         |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                      | 6 | 5,069,264. |

Form 990-PF (2018)

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo, day, yr) | (d) Date sold<br>(mo, day, yr) |
|------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|------------------------------------|--------------------------------|
| 1a SEE ATTACHED SCHEDULE                                                                                                                 | P                                                | VARIOUS                            | 06/30/19                       |
| b SEE ATTACHED SCHEDULE                                                                                                                  | P                                                | VARIOUS                            | 06/30/19                       |
| c                                                                                                                                        |                                                  |                                    |                                |
| d                                                                                                                                        |                                                  |                                    |                                |
| e                                                                                                                                        |                                                  |                                    |                                |

  

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>((e) plus (f) minus (g)) |
|-----------------------|--------------------------------------------|-------------------------------------------------|------------------------------------------------|
| a 1,704,879.          |                                            | 1,801,175.                                      | -96,296.                                       |
| b 1,324,160.          |                                            | 1,296,865.                                      | 27,295.                                        |
| c                     |                                            |                                                 |                                                |
| d                     |                                            |                                                 |                                                |
| e                     |                                            |                                                 |                                                |

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                      |                                      |                                                 | -96,296.                                                                                        |
| b                      |                                      |                                                 | 27,295.                                                                                         |
| c                      |                                      |                                                 |                                                                                                 |
| d                      |                                      |                                                 |                                                                                                 |
| e                      |                                      |                                                 |                                                                                                 |

  

|                                                                                                                                                                               |                                                                                   |   |          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss)                                                                                                                               | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | -69,001. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter -0- in Part I, line 8 |                                                                                   | 3 | -96,296. |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2017                                                              | 217,238.                              | 5,565,460.                                | .039033                                                  |
| 2016                                                              | 190,382.                              | 5,046,658.                                | .037724                                                  |
| 2015                                                              | 115,085.                              | 4,787,496.                                | .024039                                                  |
| 2014                                                              | 111,320.                              | 5,164,552.                                | .021555                                                  |
| 2013                                                              | 116,516.                              | 4,878,735.                                | .023882                                                  |

  

|                                                                                                                                                                                                                       |   |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                                                         | 2 | .146233    |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 50, or by the number of years the foundation has been in existence if less than 5 years                                       | 3 | .029247    |
| 4 Enter the net value of noncharitable use assets for 2018 from Part X, line 5                                                                                                                                        | 4 | 5,699,809. |
| 5 Multiply line 4 by line 3                                                                                                                                                                                           | 5 | 166,702.   |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                          | 6 | 673.       |
| 7 Add lines 5 and 6                                                                                                                                                                                                   | 7 | 167,375.   |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate<br>See the Part VI instructions | 8 | 252,542.   |

3

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                      |    |         |      |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions) |    |         |      |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                         |    | 1       | 673. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)                                                                                                           |    |         |      |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)                                                                                                                         |    | 2       | 0.   |
| 3 Add lines 1 and 2                                                                                                                                                                                                                  |    | 3       | 673. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)                                                                                                                       |    | 4       | 0.   |
| 5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                             |    | 5       | 673. |
| 6 Credits/Payments                                                                                                                                                                                                                   |    |         |      |
| a 2018 estimated tax payments and 2017 overpayment credited to 2018                                                                                                                                                                  | 6a | 12,970. |      |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                              | 6b | 0.      |      |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                | 6c | 0.      |      |
| d Backup withholding erroneously withheld                                                                                                                                                                                            | 6d | 0.      |      |
| 7 Total credits and payments Add lines 6a through 6d                                                                                                                                                                                 | 7  | 12,970. |      |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                  | 8  | 0.      |      |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                       | 9  |         |      |
| 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                          | 10 | 12,297. |      |
| 11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input checked="" type="checkbox"/> 1,000.   Refunded <input checked="" type="checkbox"/>                                                                        | 11 | 11,297. |      |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                      |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                       |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.                                                                                                  |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.                                                                                                                                                             |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                 |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                               |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                           |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                         |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                          | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered See instructions <input checked="" type="checkbox"/> NY                                                                                                                                                                                                   |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV                                                                                        |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                        |     | X  |

N/A

2

**Part VII-A Statements Regarding Activities** (continued)

|                                                                                                                                                                                                                                                                                                                                    | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions                                                                                                                                           |     | X  |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions                                                                                                                                          |     | X  |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ▶ N/A                                                                                                                                                                                    | X   |    |
| 14 The books are in care of ▶ STEPHEN L. SCHWARTZ Telephone no ▶ 2018364602<br>Located at ▶ 300 FRANK W. BURR BLVD. STE 13, TEANECK, NJ ZIP+4 ▶ 07666                                                                                                                                                                              |     |    |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here<br>and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A                                                                                                                                 |     |    |
| 16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the year, did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                              |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                          |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                  |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                      |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                            |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                   |     |    |
| (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                   |     |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions<br>Organizations relying on a current notice regarding disaster assistance, check here N/A                                                                                                                                                                                     |     |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                       |     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                     |     |    |
| a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶                                                                                                                                                                                                                                               |     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A                                                                                                                                                                                      |     |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶                                                                                                                                                                                                                                                                                                                                                                                 |     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                   |     |    |
| b If "Yes" did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) N/A |     |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                  |     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?                                                                                                                                                                                                                                                                 |     | X  |

Form 990-PF (2018)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

|                                                                                                                                                                                                                         | Yes                                                                 | No   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------|
| 5a During the year, did the foundation pay or incur any amount to                                                                                                                                                       |                                                                     |      |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?                                                                                                                               | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?                                                                                                                                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | N/A                                                                 | 5b   |
| Organizations relying on a current notice regarding disaster assistance, check here                                                                                                                                     | <input type="checkbox"/>                                            |      |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?                                                            | N/A                                                                 |      |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d)                                                                                                                                             | <input type="checkbox"/> Yes <input type="checkbox"/> No            |      |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?                                                                                                            |                                                                     | 6b X |
| If "Yes" to 6b, file Form 8870                                                                                                                                                                                          |                                                                     |      |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?                                                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?                                                                                                             | N/A                                                                 | 7b   |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 9      |                                                           | 72,528.                                   | 2,315.                                                                | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2018)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*
**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
|       |          |
|       |          |
| 2     |          |
|       |          |
|       |          |
| 3     |          |
|       |          |
|       |          |
| 4     |          |
|       |          |
|       |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 N/A                                                    |        |
|                                                          |        |
|                                                          |        |
| 2                                                        |        |
|                                                          |        |
|                                                          |        |
| All other program-related investments. See instructions. |        |
| 3                                                        |        |
|                                                          |        |
|                                                          |        |
|                                                          |        |
|                                                          |        |
| Total. Add lines 1 through 3                             | 0.     |

Form 990-PF (2018)

**(Part X) Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                            |    |            |
|---|------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |    |            |
| a | Average monthly fair market value of securities                                                            | 1a | 5,328,287. |
| b | Average of monthly cash balances                                                                           | 1b | 305,582.   |
| c | Fair market value of all other assets                                                                      | 1c | 152,739.   |
| d | Total (add lines 1a, b, and c)                                                                             | 1d | 5,786,608. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                               | 3  | 5,786,608. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)  | 4  | 86,799.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4       | 5  | 5,699,809. |
| 6 | Minimum investment return. Enter 5% of line 5                                                              | 6  | 284,990.   |

**(Part XI) Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

|    |                                                                                                    |    |          |
|----|----------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 284,990. |
| 2a | Tax on investment income for 2018 from Part VI, line 5                                             | 2a | 673.     |
| b  | Income tax for 2018. (This does not include the tax from Part VI.)                                 | 2b |          |
| c  | Add lines 2a and 2b                                                                                | 2c | 673.     |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 284,317. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                  | 5  | 284,317. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.       |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 284,317. |

**(Part XII) Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                         |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 252,542. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the                                                               |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4                        | 4  | 252,542. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 673.     |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 251,869. |

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2018)



**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2017 | (c)<br>2017 | (d)<br>2018 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2018 from Part XI, line 7                                                                                                                       |               |                            |             | 284,317.    |
| <b>2</b> Undistributed income, if any, as of the end of 2018                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2017 only                                                                                                                                               |               |                            | 100,343.    |             |
| <b>b</b> Total for prior years                                                                                                                                                    |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2018                                                                                                                          |               |                            |             |             |
| <b>a</b> From 2013                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2014                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2015                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2016                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2017                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| <b>4</b> Qualifying distributions for 2018 from Part XII, line 4: $\blacktriangleright$ \$ 252,542.                                                                               |               |                            |             |             |
| <b>a</b> Applied to 2017, but not more than line 2a                                                                                                                               |               |                            | 100,343.    |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2018 distributable amount                                                                                                                                     |               |                            |             | 152,199.    |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below                                                                                                                    | 0.            |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 0.            |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instructions                                                                          |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019                                                              |               |                            |             | 132,118.    |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| <b>a</b> Excess from 2014                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2015                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2016                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2017                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2018                                                                                                                                                         |               |                            |             |             |



**Part XV** **Supplementary Information** (continued)

| <b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b> |                                                                                                           |                                |                                  |          |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------|
| Recipient                                                                             | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount   |
| Name and address (home or business)                                                   |                                                                                                           |                                |                                  |          |
| <b>a</b> Paid during the year                                                         |                                                                                                           |                                |                                  |          |
| SEE ATTACHED SCHEDULE                                                                 |                                                                                                           |                                | GENERAL                          | 137,500. |
|                                                                                       |                                                                                                           |                                |                                  |          |
|                                                                                       |                                                                                                           |                                |                                  |          |
|                                                                                       |                                                                                                           |                                |                                  |          |
|                                                                                       |                                                                                                           |                                |                                  |          |
|                                                                                       |                                                                                                           |                                |                                  |          |
| <b>Total</b>                                                                          |                                                                                                           |                                | <b>3a</b>                        | 137,500. |
| <b>b</b> Approved for future payment                                                  |                                                                                                           |                                |                                  |          |
| A                                                                                     |                                                                                                           |                                | GENERAL                          |          |
|                                                                                       |                                                                                                           |                                |                                  |          |
|                                                                                       |                                                                                                           |                                |                                  |          |
| <b>Total</b>                                                                          |                                                                                                           |                                | <b>3b</b>                        | 0.       |

Form 990-PF (2018)





**BROOKDALE FOUNDATION**  
**FORM 990PF**  
**ID# 13-6076863**  
**JUNE 30, 2019**

PART XV LINE 3A GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| <u>GRANTEE</u>                                                                              | <u>AMOUNT<br/>PAID</u> |
|---------------------------------------------------------------------------------------------|------------------------|
| Harry Chapin Food Bank of SW Florida<br>3760 Fowler Street, Fort Myers, FL 33901            | 10,000 00              |
| Saint Ann School Foundation<br>475 9th Avenue South, Naples, FL 34102                       | 2,500 00               |
| Friends of Yad Sarah<br>445 Park Avenue, Suite 1702, New York, NY 10022-8619                | 5,000 00               |
| Center for the Arts of Bonita Springs<br>26100 Old 41 Road, Bonita Springs, FL 34135        | 10,000 00              |
| Generations United<br>25 E Street NW, 3rd Floor, Washington DC 20001                        | 15,000 00              |
| Artis Naples<br>5833 Pelican Bay Boulevard, Naples, FL 34108-2740                           | 25,000 00              |
| Jewish Community Center of Middlesex County<br>1775 Oak Tree Road, Edison, NJ 08820         | 45,000 00              |
| Food Bank for New York City Food for Survival<br>39 Broadway, 10th Floor, New York NY 10006 | 5,000 00               |
| The Food Depot<br>1222 Siler Road, Santa Fe, NM 87507                                       | 5,000 00               |
| Community Food Bank of New Jersey Inc<br>31 Evans Terminal, Hillside, NJ 07205              | 5,000 00               |
| Harry Chapin Food Bank of SW Florida<br>3760 Fowler Street, Fort Myers, FL 33901            | 5,000 00               |
| Schuyler Center for Analysis and Advocacy<br>540 Broadway, Albany, NY 12207                 | 5,000 00               |
|                                                                                             | <u>\$ 137,500 00</u>   |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|----------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| AMAZON COM INC             | 4/24/2018        | 2/25/2019    | 2,918 56  | 3 233 55  | 314 99                    | -                        |
| AMAZON COM INC             | 4/24/2018        | 10/30/2018   | 7,296 41  | 8,170 50  | 874 09                    | -                        |
| AMAZON COM INC             | 4/24/2018        | 8/17/2018    | 2,918 56  | 3,816 92  | 898 36                    | -                        |
| AMAZON COM INC             | 7/13/2018        | 8/17/2018    | 8,733 40  | 9,542 31  | 808 91                    | -                        |
| AMBEV ADR                  | 4/26/2018        | 5/23/2019    | 100 65    | 63 60     | -                         | (37 05)                  |
| AMBEV ADR                  | 4/26/2018        | 5/22/2019    | 805 20    | 508 98    | -                         | (296 22)                 |
| AMBEV ADR                  | 4/26/2018        | 5/21/2019    | 14,091 00 | 8,733 30  | -                         | (5,357 70)               |
| AMBEV ADR                  | 4/26/2018        | 5/20/2019    | 17,446 00 | 10,470 76 | -                         | (6,975 24)               |
| AMBEV ADR                  | 4/26/2018        | 5/17/2019    | 3,791 15  | 2,276 16  | -                         | (1 514 99)               |
| AMBEV ADR                  | 7/13/2018        | 5/17/2019    | 1,878 00  | 1,611 44  | (266 56)                  | -                        |
| AMBEV ADR                  | 4/2/2019         | 5/17/2019    | 1,254 00  | 1,148 15  | (105 85)                  | -                        |
| ATLAS COPCO AB A           | 4/25/2018        | 5/6/2019     | 6,644 21  | 6 684 38  | -                         | 40 17                    |
| ATLAS COPCO AB A           | 4/25/2018        | 5/3/2019     | 5,905 96  | 6,094 72  | -                         | 188 76                   |
| ATLAS COPCO AB A           | 3/29/2019        | 4/4/2019     | 701 19    | 701 19    | (0 00)                    | -                        |
| AUTOMATIC DATA PROCESSING  | 4/25/2018        | 3/22/2019    | 9,323 63  | 12,342 37 | 3,018 74                  | -                        |
| AUTOMATIC DATA PROCESSING  | 4/25/2018        | 3/21/2019    | 6,992 72  | 9,292 00  | 2,299 28                  | -                        |
| AUTOMATIC DATA PROCESSING  | 4/25/2018        | 3/20/2019    | 3,496 36  | 4,640 38  | 1,144 02                  | -                        |
| AUTOMATIC DATA PROCESSING  | 4/25/2018        | 9/6/2018     | 1,748 18  | 2,182 91  | 434 73                    | -                        |
| AUTOMATIC DATA PROCESSING  | 7/13/2018        | 9/6/2018     | 6,071 40  | 6,548 73  | 477 33                    | -                        |
| BECTON DICKINSON & CO      | 4/25/2018        | 4/22/2019    | 21,851 90 | 21,501 78 | (350 12)                  | -                        |
| BECTON DICKINSON & CO      | 4/2/2019         | 4/22/2019    | 3,732 94  | 3,395 02  | (337 92)                  | -                        |
| BECTON DICKINSON & CO      | 4/25/2018        | 1/31/2019    | 4,600 40  | 4,856 01  | 255 61                    | -                        |
| BECTON DICKINSON & CO      | 7/13/2018        | 1/31/2019    | 4,905 00  | 4,856 01  | (48 99)                   | -                        |
| BOOKING HLDGS INC          | 4/25/2018        | 3/21/2019    | 6,229 89  | 5,319 59  | (910 30)                  | -                        |
| CANADIAN PACIFIC RAILWAY L | 4/27/2018        | 8/10/2018    | 2,645 01  | 3,040 52  | 395 51                    | -                        |
| CANADIAN PACIFIC RAILWAY L | 7/13/2018        | 8/10/2018    | 1,846 00  | 2,027 02  | 181 02                    | -                        |
| CANADIAN PACIFIC RAILWAY L | 4/27/2018        | 8/9/2018     | 6,171 69  | 7 027 48  | 855 79                    | -                        |
| CANADIAN PACIFIC RAILWAY L | 4/27/2018        | 8/8/2018     | 8,816 71  | 10,047 94 | 1,231 23                  | -                        |
| CANADIAN PACIFIC RAILWAY L | 4/27/2018        | 7/10/2018    | 7,053 37  | 7 231 16  | 177 79                    | -                        |
| CANADIAN PACIFIC RAILWAY L | 4/27/2018        | 7/9/2018     | 5,290 02  | 5,386 65  | 96 63                     | -                        |
| CANADIAN PACIFIC RAILWAY L | 4/27/2018        | 7/5/2018     | 1 763 34  | 1,792 98  | 29 64                     | -                        |
| COLGATE-PALMOLIVE CO       | 4/25/2018        | 4/3/2019     | 4,648 70  | 4,767 33  | 118 63                    | -                        |
| COLGATE-PALMOLIVE CO       | 4/2/2019         | 4/3/2019     | 2 027 70  | 2 043 14  | 15 44                     | -                        |
| COLGATE-PALMOLIVE CO       | 4/25/2018        | 2/25/2019    | 16,602 50 | 16,663 53 | 61 03                     | -                        |
| COMPASS GROUP PLC          | 4/25/2018        | 3/28/2019    | 1,251 27  | 1 386 53  | 135 27                    | -                        |
| COMPASS GROUP PLC          | 7/16/2018        | 3/28/2019    | 4,048 73  | 4,390 68  | 341 95                    | -                        |
| COMPASS GROUP PLC          | 8/8/2018         | 3/28/2019    | 1,066 19  | 1,155 44  | 89 25                     | -                        |
| COMPASS GROUP PLC          | 8/7/2018         | 3/27/2019    | 2,134 25  | 2,293 60  | 159 35                    | -                        |
| COMPASS GROUP PLC          | 8/8/2018         | 3/27/2019    | 1,066 19  | 1,146 80  | 80 61                     | -                        |
| COMPASS GROUP PLC          | 8/7/2018         | 3/4/2019     | 1 067 12  | 1 104 33  | 37 21                     | -                        |
| COMPASS GROUP PLC          | 8/10/2018        | 3/1/2019     | 2,153 03  | 2,213 05  | 60 02                     | -                        |
| COMPASS GROUP PLC          | 5/25/2018        | 2/28/2019    | 4,313 34  | 4 492 21  | 178 87                    | -                        |
| COMPASS GROUP PLC          | 5/23/2018        | 2/27/2019    | 3 244 66  | 3 357 89  | 113 23                    | -                        |
| COMPASS GROUP PLC          | 8/13/2018        | 2/27/2019    | 1,083 32  | 1 119 30  | 35 98                     | -                        |
| COMPASS GROUP PLC          | 5/24/2018        | 2/26/2019    | 3 267 36  | 3 378 65  | 111 29                    | -                        |
| COMPASS GROUP PLC          | 5/29/2018        | 2/26/2019    | 2 169 44  | 2 252 43  | 82 99                     | -                        |
| COMPASS GROUP PLC          | 5/30/2018        | 2/26/2019    | 1,090 73  | 1 126 22  | 35 49                     | -                        |
| COSTCO WHSL CORP NEW       | 4/24/2018        | 6/18/2019    | 3,857 20  | 5,262 85  | -                         | 1 405 65                 |
| CSL                        | 10/9/2018        | 2/26/2019    | 8,480 87  | 7,974 86  | (506 01)                  | -                        |
| ENERGY SELECT SECTOR       | 2/26/2019        | 5/23/2019    | 7 546 21  | 7 036 97  | (509 24)                  | -                        |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|----------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| ENERGY SELECT SECTOR       | 3/4/2019         | 5/23/2019    | 4,895 53  | 4,589 33  | (306 20)                  | -                        |
| ENERGY SELECT SECTOR       | 2/26/2019        | 5/3/2019     | 6,561 92  | 6,440 25  | (121 67)                  | -                        |
| ENERGY SELECT SECTOR       | 2/26/2019        | 5/3/2019     | 656 19    | 641 59    | (14 60)                   | -                        |
| ENERGY SELECT SECTOR       | 2/26/2019        | 4/4/2019     | 4,921 44  | 4,988 67  | 67 23                     | -                        |
| ENERGY SELECT SECTOR       | 2/26/2019        | 4/3/2019     | 4,921 44  | 5,023 49  | 102 05                    | -                        |
| ENERGY SELECT SECTOR       | 2/27/2019        | 4/3/2019     | 9,892 31  | 10,046 97 | 154 67                    | -                        |
| ENERGY SELECT SECTOR       | 2/27/2019        | 3/22/2019    | 3,297 44  | 3,351 46  | 54 02                     | -                        |
| ENERGY SELECT SECTOR       | 2/28/2019        | 3/22/2019    | 8,246 20  | 8,378 64  | 132 44                    | -                        |
| ENERGY SELECT SECTOR       | 3/1/2019         | 3/22/2019    | 6,615 20  | 6,702 91  | 87 71                     | -                        |
| ENERGY SELECT SECTOR       | 3/5/2019         | 3/22/2019    | 6,600 03  | 6,702 91  | 102 88                    | -                        |
| ENERGY SELECT SECTOR       | 3/6/2019         | 3/22/2019    | 11,604 86 | 11,730 10 | 125 24                    | -                        |
| EPIROC AB-A                | 4/27/2018        | 2/8/2019     | 1,028 06  | 963 86    | (64 20)                   | -                        |
| EPIROC AB-A                | 4/27/2018        | 2/7/2019     | 1,762 39  | 1,735 60  | (26 79)                   | -                        |
| EPIROC AB-A                | 4/27/2018        | 2/6/2019     | 4,405 98  | 4,420 79  | 14 81                     | -                        |
| EPIROC AB-A                | 4/27/2018        | 2/5/2019     | 2,937 32  | 2,915 21  | (22 11)                   | -                        |
| EPIROC AB-A                | 4/27/2018        | 2/4/2019     | 342 69    | 333 61    | (9 07)                    | -                        |
| EPIROC AB-A                | 6/27/2018        | 2/4/2019     | 1,679 24  | 1,572 75  | (106 49)                  | -                        |
| EPIROC AB-A                | 6/27/2018        | 2/1/2019     | 1,017 72  | 948 57    | (69 15)                   | -                        |
| EPIROC AB-A                | 6/27/2018        | 1/31/2019    | 2,798 73  | 2,622 64  | (176 09)                  | -                        |
| EPIROC AB-A                | 6/27/2018        | 1/30/2019    | 1,272 15  | 1 202 54  | (69 61)                   | -                        |
| EPIROC AB-A                | 5/24/2018        | 1/29/2019    | 683 67    | 630 90    | (52 77)                   | -                        |
| EPIROC AB-A                | 5/25/2018        | 1/29/2019    | 513 92    | 485 31    | (28 61)                   | -                        |
| EPIROC AB-A                | 5/28/2018        | 1/29/2019    | 258 11    | 242 65    | (15 46)                   | -                        |
| EPIROC AB-A                | 6/27/2018        | 1/29/2019    | 356 20    | 339 71    | (16 49)                   | -                        |
| EPIROC AB-A                | 5/23/2018        | 1/28/2019    | 527 28    | 477 24    | (50 05)                   | -                        |
| EPIROC AB-A                | 5/24/2018        | 1/28/2019    | 368 13    | 334 06    | (34 07)                   | -                        |
| EPIROC AB-A                | 7/16/2018        | 1/28/2019    | 162 61    | 143 17    | (19 44)                   | -                        |
| EPIROC AB-A                | 7/16/2018        | 1/25/2019    | 1,626 06  | 1 463 42  | (162 64)                  | -                        |
| FINANCIAL SELECT SECT SPDR | 2/26/2019        | 3/28/2019    | 1,323 77  | 1 270 38  | (53 39)                   | -                        |
| FINANCIAL SELECT SECT SPDR | 2/26/2019        | 3/27/2019    | 17,209 01 | 16,380 38 | (828 63)                  | -                        |
| FINANCIAL SELECT SECT SPDR | 2/28/2019        | 3/27/2019    | 5 319 44  | 5,040 12  | (279 32)                  | -                        |
| FINANCIAL SELECT SECT SPDR | 3/1/2019         | 3/27/2019    | 5,319 46  | 5 040 12  | (279 34)                  | -                        |
| FINANCIAL SELECT SECT SPDR | 2/27/2019        | 3/22/2019    | 10,703 12 | 10,452 86 | (250 26)                  | -                        |
| FINANCIAL SELECT SECT SPDR | 3/4/2019         | 3/22/2019    | 3,993 51  | 3 919 82  | (73 69)                   | -                        |
| FINANCIAL SELECT SECT SPDR | 3/5/2019         | 3/22/2019    | 4,009 78  | 3 919 82  | (89 96)                   | -                        |
| FINANCIAL SELECT SECT SPDR | 3/6/2019         | 3/22/2019    | 9 321 83  | 9 146 25  | (175 58)                  | -                        |
| HOSHIZAKI CORPORATION      | 5/1/2018         | 2/4/2019     | 11,732 11 | 8,959 43  | (2 772 68)                | -                        |
| HOSHIZAKI CORPORATION      | 5/1/2018         | 2/1/2019     | 12,634 58 | 10 115 06 | (2 519 52)                | -                        |
| HOSHIZAKI CORPORATION      | 5/1/2018         | 1/31/2019    | 2,256 18  | 1 783 57  | (472 61)                  | -                        |
| HOSHIZAKI CORPORATION      | 7/18/2018        | 1/31/2019    | 2,396 54  | 1 783 57  | (612 97)                  | -                        |
| ISHARES MSCI S KOREA ETF   | 4/27/2018        | 3/19/2019    | 11 336 70 | 9 589 76  | (1 746 94)                | -                        |
| ISHARES MSCI S KOREA ETF   | 7/13/2018        | 3/19/2019    | 1 978 50  | 1,856 08  | (122 42)                  | -                        |
| ISHARES MSCI S KOREA ETF   | 4/27/2018        | 3/18/2019    | 2,925 60  | 2 430 71  | (494 89)                  | -                        |
| ISHARES MSCI S KOREA ETF   | 4/27/2018        | 3/15/2019    | 2,925 60  | 2 444 78  | (480 82)                  | -                        |
| ISHARES MSCI S KOREA ETF   | 4/27/2018        | 3/14/2019    | 2,925 60  | 2 467 85  | (457 75)                  | -                        |
| ISHARES MSCI S KOREA ETF   | 4/27/2018        | 3/4/2019     | 10,605 30 | 9 136 39  | (1 468 91)                | -                        |
| ISHARES MSCI S KOREA ETF   | 4/27/2018        | 3/1/2019     | 2 194 20  | 1 926 72  | (267 48)                  | -                        |
| ISHARES MSCI S KOREA ETF   | 4/27/2018        | 2/28/2019    | 3,657 00  | 3,218 96  | (438 04)                  | -                        |
| ISHARES MSCI S KOREA ETF   | 4/27/2018        | 1/25/2019    | 18,285 00 | 15 248 40 | (3 036 60)                | -                        |
| ISHARES TR S&P 500 INDEX   | 2/26/2019        | 3/21/2019    | 7 012 50  | 7 163 68  | 151 18                    | -                        |



PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION              | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|--------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| ISHARES TR S&P 500 INDEX | 2/26/2019        | 3/21/2019    | 4 208 56  | 4,298 21  | 89 65                     | -                        |
| ISHARES TR S&P 500 INDEX | 2/27/2019        | 3/21/2019    | 5,645 08  | 5,730 95  | 85 87                     | -                        |
| ISHARES TR S&P 500 INDEX | 2/28/2019        | 3/21/2019    | 5,627 55  | 5,730 95  | 103 40                    | -                        |
| ISHARES TR S&P 500 INDEX | 3/1/2019         | 3/21/2019    | 14,031 09 | 14,327 37 | 296 28                    | -                        |
| KDDI CORP                | 5/1/2018         | 11/12/2018   | 21,059 04 | 17,276 31 | (3,782 73)                | -                        |
| KDDI CORP                | 5/1/2018         | 11/9/2018    | 11,187 61 | 9,121 52  | (2,066 09)                | -                        |
| KDDI CORP                | 5/1/2018         | 10/15/2018   | 3,290 47  | 3,325 67  | 35 20                     | -                        |
| KDDI CORP                | 5/1/2018         | 10/12/2018   | 6,449 33  | 6,458 95  | 9 62                      | -                        |
| KDDI CORP                | 7/18/2018        | 10/12/2018   | 1,539 27  | 1,449 97  | (89 30)                   | -                        |
| KDDI CORP                | 7/18/2018        | 10/11/2018   | 2,798 68  | 2,614 21  | (184 47)                  | -                        |
| KDDI CORP                | 8/21/2018        | 10/11/2018   | 7,049 85  | 6,535 52  | (514 33)                  | -                        |
| KDDI CORP                | 8/22/2018        | 10/11/2018   | 1,420 78  | 1,307 10  | (113 68)                  | -                        |
| KONE OYJ                 | 4/27/2018        | 3/8/2019     | 4 344 00  | 4 413 78  | 69 78                     | -                        |
| KONE OYJ                 | 4/27/2018        | 3/7/2019     | 1,930 66  | 1,964 10  | 33 44                     | -                        |
| KONE OYJ                 | 4/27/2018        | 3/6/2019     | 6,757 33  | 7 020 65  | 263 32                    | -                        |
| KONE OYJ                 | 4/27/2018        | 3/5/2019     | 8,687 99  | 8,929 76  | 241 77                    | -                        |
| KONE OYJ                 | 4/27/2018        | 3/4/2019     | 4,826 66  | 4,866 26  | 39 60                     | -                        |
| KONE OYJ                 | 7/16/2018        | 3/1/2019     | 2,556 71  | 2,445 32  | (111 39)                  | -                        |
| KONE OYJ                 | 9/6/2018         | 2/28/2019    | 2,656 68  | 2,543 61  | (113 07)                  | -                        |
| KONE OYJ                 | 9/6/2018         | 2/27/2019    | 1 328 34  | 1,270 33  | (58 01)                   | -                        |
| KONE OYJ                 | 9/7/2018         | 2/27/2019    | 1,329 80  | 1,270 33  | (59 47)                   | -                        |
| KONE OYJ                 | 9/7/2018         | 2/26/2019    | 2,659 59  | 2,525 20  | (134 39)                  | -                        |
| KRAFT HEINZ CO           | 11/7/2018        | 2/21/2019    | 7,583 42  | 6,955 47  | (627 95)                  | -                        |
| KRAFT HEINZ CO           | 4/27/2018        | 2/20/2019    | 9,930 32  | 8,347 90  | (1 582 42)                | -                        |
| KRAFT HEINZ CO           | 11/7/2018        | 2/20/2019    | 261 50    | 238 51    | (22 99)                   | -                        |
| KRAFT HEINZ CO           | 4/27/2018        | 2/19/2019    | 15,604 79 | 13,051 30 | (2,553 49)                | -                        |
| KRAFT HEINZ CO           | 4/27/2018        | 2/15/2019    | 6 809 36  | 5,738 72  | (1 070 64)                | -                        |
| KRAFT HEINZ CO           | 7/13/2018        | 2/15/2019    | 3,504 05  | 2,630 25  | (873 80)                  | -                        |
| L'OREAL                  | 4/25/2018        | 3/27/2019    | 3 476 33  | 3 980 61  | 504 29                    | -                        |
| L'OREAL                  | 10/9/2018        | 3/27/2019    | 5,816 93  | 6,634 36  | 817 42                    | -                        |
| L'OREAL                  | 7/16/2018        | 2/26/2019    | 3,666 15  | 3,820 24  | 154 09                    | -                        |
| L'OREAL                  | 10/9/2018        | 2/26/2019    | 3,490 16  | 3 820 24  | 330 08                    | -                        |
| MARKEL CORP              | 4/27/2018        | 4/5/2019     | 44,836 20 | 37 168 01 | (7 668 19)                | -                        |
| MARKEL CORP              | 4/2/2019         | 4/5/2019     | 4 982 45  | 4 890 53  | (91 92)                   | -                        |
| MARKEL CORP              | 4/27/2018        | 2/26/2019    | 14,158 80 | 12,044 95 | (2 113 85)                | -                        |
| MASTERCARD CL A          | 4/25/2018        | 6/19/2019    | 15 604 20 | 23 359 45 | -                         | 7 755 25                 |
| MASTERCARD CL A          | 4/25/2018        | 4/3/2019     | 5,201 40  | 7,163 33  | 1 961 93                  | -                        |
| MASTERCARD CL A          | 4/2/2019         | 4/3/2019     | 4 699 08  | 4 775 55  | 76 47                     | -                        |
| MASTERCARD CL A          | 4/25/2018        | 2/11/2019    | 11 269 70 | 13 122 23 | 1,852 53                  | -                        |
| MASTERCARD CL A          | 7/13/2018        | 2/11/2019    | 1 015 30  | 1 009 40  | (5 90)                    | -                        |
| MASTERCARD CL A          | 7/13/2018        | 8/17/2018    | 6,091 80  | 6,022 14  | (69 66)                   | -                        |
| NESTLE REG               | 4/27/2018        | 2/18/2019    | 767 18    | 884 48    | 117 30                    | -                        |
| NESTLE REG               | 4/27/2018        | 2/15/2019    | 16,877 98 | 18 811 60 | 1 933 62                  | -                        |
| NESTLE REG               | 4/27/2018        | 1/23/2019    | 7 671 81  | 8 429 43  | 757 62                    | -                        |
| NESTLE REG               | 4/27/2018        | 1/22/2019    | 13 425 67 | 14 817 41 | 1,391 74                  | -                        |
| NESTLE REG               | 4/27/2018        | 10/9/2018    | 383 59    | 408 07    | 24 48                     | -                        |
| NESTLE REG               | 7/16/2018        | 10/9/2018    | 3 581 64  | 3 672 66  | 91 02                     | -                        |
| NETFLIX INC              | 4/24/2018        | 4/17/2019    | 3 693 12  | 4 160 44  | 467 32                    | -                        |
| NETFLIX INC              | 7/13/2018        | 4/17/2019    | 2 078 55  | 1 733 52  | (345 03)                  | -                        |
| NETFLIX INC              | 4/2/2019         | 4/17/2019    | 1 782 94  | 1 733 52  | (49 42)                   | -                        |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|----------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| NOKIAN RENKAAT OYJ         | 4/27/2018        | 2/7/2019     | 7,463.31  | 6,235.54  | (1,227.77)                | -                        |
| NOKIAN RENKAAT OYJ         | 4/27/2018        | 2/6/2019     | 4,841.07  | 4,002.99  | (838.08)                  | -                        |
| NOKIAN RENKAAT OYJ         | 4/27/2018        | 2/5/2019     | 4,841.07  | 4,059.40  | (781.67)                  | -                        |
| NOKIAN RENKAAT OYJ         | 4/27/2018        | 2/4/2019     | 9,077.00  | 7,421.22  | (1,655.78)                | -                        |
| NOKIAN RENKAAT OYJ         | 4/27/2018        | 2/1/2019     | 3,227.38  | 2,581.66  | (645.72)                  | -                        |
| NOKIAN RENKAAT OYJ         | 7/16/2018        | 2/1/2019     | 801.97    | 645.41    | (156.55)                  | -                        |
| NOKIAN RENKAAT OYJ         | 7/16/2018        | 1/30/2019    | 2,004.91  | 1,666.58  | (338.33)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/19/2019    | 1,186.41  | 990.20    | (196.21)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/18/2019    | 2,372.82  | 1,960.80  | (412.02)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/15/2019    | 1,186.41  | 958.89    | (227.52)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/14/2019    | 2,372.82  | 1,893.61  | (479.21)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/13/2019    | 2,372.82  | 1,901.87  | (470.95)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/12/2019    | 2,372.82  | 1,957.81  | (415.01)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/8/2019     | 1,186.41  | 995.44    | (190.97)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/7/2019     | 3,559.24  | 2,994.51  | (564.73)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/6/2019     | 4,745.65  | 3,980.65  | (765.00)                  | -                        |
| NOMURA RESEARCH INST       | 4/25/2018        | 2/5/2019     | 2,372.82  | 2,058.14  | (314.68)                  | -                        |
| NOMURA RESEARCH INST       | 7/18/2018        | 2/5/2019     | 1,227.52  | 1,029.07  | (198.45)                  | -                        |
| NOMURA RESEARCH INST       | 7/18/2018        | 2/1/2019     | 3,682.56  | 3,085.82  | (596.74)                  | -                        |
| OW SMALL & MIDCAP STRAT FD | 4/24/2018        | 12/4/2018    | 1,571.44  | 1,510.00  | (61.44)                   | -                        |
| OW SMALL & MIDCAP STRAT FD | 4/24/2018        | 11/5/2018    | 1,502.30  | 1,440.00  | (62.30)                   | -                        |
| OW SMALL & MIDCAP STRAT FD | 4/24/2018        | 10/2/2018    | 1,592.46  | 1,650.00  | 57.54                     | -                        |
| OW SMALL & MIDCAP STRAT FD | 4/24/2018        | 9/5/2018     | 1,166.63  | 1,215.00  | 48.37                     | -                        |
| RECKITT BENCKISER ORD      | 4/27/2018        | 3/4/2019     | 381.51    | 382.74    | 1.23                      | -                        |
| RECKITT BENCKISER ORD      | 4/27/2018        | 3/1/2019     | 22,890.42 | 22,941.14 | 50.72                     | -                        |
| RECKITT BENCKISER ORD      | 4/27/2018        | 2/27/2019    | 14,115.76 | 14,165.57 | 49.81                     | -                        |
| RECKITT BENCKISER ORD      | 5/23/2018        | 2/27/2019    | 401.03    | 382.85    | (18.18)                   | -                        |
| RECKITT BENCKISER ORD      | 5/23/2018        | 2/20/2019    | 7,619.66  | 7,722.74  | 103.09                    | -                        |
| RECKITT BENCKISER ORD      | 7/16/2018        | 2/20/2019    | 4,715.92  | 4,471.06  | (244.86)                  | -                        |
| RECKITT BENCKISER ORD      | 8/17/2018        | 2/20/2019    | 8,635.04  | 8,129.20  | (505.84)                  | -                        |
| RELX PLC                   | 4/25/2018        | 4/5/2019     | 4,291.94  | 4,342.98  | 51.04                     | -                        |
| RELX PLC                   | 4/25/2018        | 4/4/2019     | 4,828.43  | 4,851.02  | 22.59                     | -                        |
| RELX PLC                   | 4/25/2018        | 3/26/2019    | 1,072.99  | 1,061.27  | (11.72)                   | -                        |
| RELX PLC                   | 4/25/2018        | 3/25/2019    | 3,218.96  | 3,197.32  | (21.64)                   | -                        |
| RELX PLC                   | 4/25/2018        | 3/22/2019    | 4,291.94  | 4,338.34  | 46.40                     | -                        |
| RELX PLC                   | 4/25/2018        | 3/5/2019     | 1,072.99  | 1,083.59  | 10.60                     | -                        |
| RELX PLC                   | 4/25/2018        | 3/4/2019     | 3,218.96  | 3,427.94  | 208.98                    | -                        |
| RELX PLC                   | 4/25/2018        | 3/1/2019     | 3,218.96  | 3,414.80  | 195.84                    | -                        |
| RELX PLC                   | 4/25/2018        | 2/28/2019    | 5,364.93  | 5,703.99  | 339.06                    | -                        |
| RELX PLC                   | 4/25/2018        | 2/26/2019    | 4,291.94  | 4,612.92  | 320.98                    | -                        |
| RELX PLC                   | 4/25/2018        | 2/22/2019    | 6,116.02  | 6,251.42  | 135.40                    | -                        |
| RELX PLC                   | 7/16/2018        | 2/22/2019    | 2,518.74  | 2,522.50  | 3.76                      | -                        |
| RELX PLC                   | 7/16/2018        | 2/21/2019    | 4,380.41  | 4,365.90  | (14.51)                   | -                        |
| SAMPO PLC                  | 4/25/2018        | 4/5/2019     | 3,755.72  | 3,300.76  | (454.96)                  | -                        |
| SAMPO PLC                  | 4/2/2019         | 4/5/2019     | 235.77    | 235.77    | 0.00                      | -                        |
| SAMPO PLC                  | 4/2/2019         | 4/4/2019     | 2,322.15  | 2,322.15  | (0.00)                    | -                        |
| SHIMANO INC                | 5/1/2018         | 1/31/2019    | 4,616.81  | 4,854.85  | 238.04                    | -                        |
| SHIMANO INC                | 5/1/2018         | 1/30/2019    | 3,957.27  | 4,132.35  | 175.08                    | -                        |
| SHIMANO INC                | 5/1/2018         | 1/29/2019    | 1,978.63  | 2,057.94  | 79.31                     | -                        |
| SHIMANO INC                | 5/1/2018         | 1/28/2019    | 2,638.18  | 2,787.28  | 149.10                    | -                        |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                             | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|-----------------------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| SHIMANO INC                             | 5/1/2018         | 1/25/2019    | 2 638 18  | 2 809 85  | 171 67                    | -                        |
| SHIMANO INC                             | 5/1/2018         | 1/23/2019    | 5 276 35  | 5 600 64  | 324 29                    | -                        |
| SHIMANO INC                             | 5/1/2018         | 1/21/2019    | 659 54    | 702 58    | 43 04                     | -                        |
| SHIMANO INC                             | 7/18/2018        | 1/21/2019    | 2 130 95  | 2 107 74  | (23 21)                   | -                        |
| SVENSKA HANDELSBANKEN                   | 4/27/2018        | 2/22/2019    | 783 64    | 778 29    | (5 35)                    | -                        |
| SVENSKA HANDELSBANKEN                   | 4/27/2018        | 2/21/2019    | 839 61    | 830 01    | (9 60)                    | -                        |
| SVENSKA HANDELSBANKEN                   | 4/27/2018        | 2/20/2019    | 18 751 35 | 18 579 79 | (171 56)                  | -                        |
| SVENSKA HANDELSBANKEN                   | 4/27/2018        | 2/13/2019    | 6,716 90  | 6,299 95  | (416 95)                  | -                        |
| SVENSKA HANDELSBANKEN                   | 4/27/2018        | 2/12/2019    | 3,918 19  | 3,641 35  | (276 84)                  | -                        |
| SVENSKA HANDELSBANKEN                   | 4/27/2018        | 2/11/2019    | 3 358 45  | 3,164 99  | (193 46)                  | -                        |
| SVENSKA HANDELSBANKEN                   | 4/27/2018        | 2/8/2019     | 55 97     | 52 54     | (3 43)                    | -                        |
| SVENSKA HANDELSBANKEN                   | 7/16/2018        | 2/8/2019     | 3 309 45  | 3 099 85  | (209 60)                  | -                        |
| TENCENT HOLDINGS LTD                    | 4/25/2018        | 2/26/2019    | 12,477 26 | 10,810 90 | (1,666 36)                | -                        |
| TEXAS INSTRUMENTS INC                   | 4/24/2018        | 3/27/2019    | 18 548 44 | 19,242 06 | 693 62                    | -                        |
| TEXAS INSTRUMENTS INC                   | 4/24/2018        | 2/25/2019    | 3,091 41  | 3,196 57  | 105 16                    | -                        |
| TEXAS INSTRUMENTS INC                   | 4/24/2018        | 1/24/2019    | 5,152 34  | 4,811 89  | (340 45)                  | -                        |
| TEXAS INSTRUMENTS INC                   | 7/13/2018        | 1/24/2019    | 5,609 00  | 4,811 89  | (797 11)                  | -                        |
| UNILEVER NV                             | 4/25/2018        | 4/5/2019     | 4 176 55  | 4,344 32  | 167 77                    | -                        |
| UNILEVER NV                             | 4/25/2018        | 4/4/2019     | 9,745 29  | 10,121 19 | 375 90                    | -                        |
| UNILEVER NV                             | 4/25/2018        | 2/26/2019    | 1,949 06  | 1,934 32  | (14 74)                   | -                        |
| UNILEVER NV                             | 5/24/2018        | 2/26/2019    | 5 681 20  | 5,526 62  | (154 58)                  | -                        |
| UNILEVER NV                             | 5/25/2018        | 2/26/2019    | 4,238 10  | 4 144 97  | (93 13)                   | -                        |
| UNILEVER NV                             | 7/16/2018        | 2/26/2019    | 5,018 15  | 4,973 96  | (44 19)                   | -                        |
| UNITEDHEALTH GROUP INC                  | 1/23/2019        | 4/17/2019    | 2,664 01  | 2,299 46  | (364 55)                  | -                        |
| UNITEDHEALTH GROUP INC                  | 1/24/2019        | 4/17/2019    | 2 659 99  | 2,299 46  | (360 53)                  | -                        |
| UNITEDHEALTH GROUP INC                  | 4/2/2019         | 4/17/2019    | 2,443 84  | 2 299 46  | (144 38)                  | -                        |
| UNITEDHEALTH GROUP INC                  | 1/23/2019        | 4/16/2019    | 17 095 25 | 15 542 47 | (1,552 78)                | -                        |
| US BANCORP DEL NEW                      | 4/25/2018        | 4/4/2019     | 1 259 00  | 1,228 39  | (30 61)                   | -                        |
| US BANCORP DEL NEW                      | 4/25/2018        | 4/3/2019     | 5,791 40  | 5 626 23  | (165 17)                  | -                        |
| US BANCORP DEL NEW                      | 4/2/2019         | 4/3/2019     | 2 935 43  | 2,935 43  | (0 00)                    | -                        |
| US BANCORP DEL NEW                      | 4/25/2018        | 2/26/2019    | 2 014 40  | 2,045 35  | 30 95                     | -                        |
| US BANCORP DEL NEW                      | 7/13/2018        | 2/26/2019    | 513 70    | 511 34    | (2 36)                    | -                        |
| US BANCORP DEL NEW                      | 7/13/2018        | 2/25/2019    | 5 137 00  | 5 102 19  | (34 81)                   | -                        |
| WABTEC CORP                             | 4/27/2018        | 1/30/2019    | 9 528 75  | 6 991 22  | (2 537 53)                | -                        |
| WABTEC CORP                             | 4/27/2018        | 1/29/2019    | 15 427 50 | 11,944 18 | (3,483 32)                | -                        |
| WABTEC CORP                             | 4/27/2018        | 1/28/2019    | 3 630 00  | 2 858 36  | (771 64)                  | -                        |
| WABTEC CORP                             | 4/27/2018        | 1/25/2019    | 4 537 50  | 3 529 78  | (1 007 72)                | -                        |
| WABTEC CORP                             | 4/27/2018        | 1/24/2019    | 1,815 00  | 1 436 09  | (378 91)                  | -                        |
| WABTEC CORP                             | 7/13/2018        | 1/24/2019    | 4 073 60  | 2 872 19  | (1,201 41)                | -                        |
| OW SMALL & MIDCAP STRAT FD LT CAP GAINS |                  |              |           | 22 385 51 | -                         | 22 385 51                |
| ABB LTD                                 | 9/15/2015        | 3/11/2019    | 12 452 09 | 12 735 31 | -                         | 283 21                   |
| ABB LTD                                 | 1/22/2019        | 3/11/2019    | 2 203 40  | 2 179 40  | (24 00)                   | -                        |
| ALLIANZ SE                              | 9/15/2015        | 3/11/2019    | 5 142 34  | 7 102 97  | -                         | 1 960 63                 |
| ALLIANZ SE                              | 4/11/2016        | 3/11/2019    | 2 128 59  | 2 933 83  | -                         | 805 24                   |
| ALLIANZ SE                              | 5/26/2017        | 3/11/2019    | 2 660 45  | 3 066 19  | -                         | 405 74                   |
| BANCO SANTANDER SA                      | 9/15/2015        | 3/11/2019    | 7 860 98  | 6,449 36  | -                         | (1 411 62)               |
| BANCO SANTANDER SA                      | 11/16/2015       | 3/11/2019    | 71 76     | 60 58     | -                         | (11 18)                  |
| BANCO SANTANDER SA                      | 11/14/2016       | 3/11/2019    | 69 28     | 74 56     | -                         | 5 28                     |

## BROOKDALE FOUNDATION

Page 6 of 18

FORM 990 PF

ID # 13-6076863

June 30, 2019

## PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION             | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|-------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| BANCO SANTANDER SA      | 7/26/2018        | 3/11/2019    | 2,683 73  | 2,255 41  | (428 32)                  | -                        |
| BANCO SANTANDER SA      | 11/12/2018       | 3/11/2019    | 2,548 12  | 2,502 39  | (45 73)                   | -                        |
| BANCO SANTANDER SA      | 11/15/2018       | 3/11/2019    | 73 30     | 69 90     | (3 40)                    | -                        |
| BANCO SANTANDER SA      | 2/26/2019        | 3/11/2019    | 2,481 89  | 2,427 83  | (54 06)                   | -                        |
| BANCO SANTANDER SA      | 11/15/2018       | 11/15/2018   | 2 06      | 2 06      | -                         | -                        |
| BP PLC                  | 3/29/2019        | 3/29/2019    | 19 09     | 19 09     | -                         | -                        |
| BP PLC                  | 9/15/2015        | 3/11/2019    | 10,912 97 | 14,816 85 | -                         | 3,903 88                 |
| BP PLC                  | 6/17/2016        | 3/11/2019    | 248 66    | 338 67    | -                         | 90 01                    |
| BP PLC                  | 9/16/2016        | 3/11/2019    | 231 14    | 296 34    | -                         | 65 20                    |
| BP PLC                  | 6/23/2017        | 3/11/2019    | 252 01    | 296 34    | -                         | 44 33                    |
| BP PLC                  | 9/15/2015        | 12/11/2018   | 2 213 77  | 2,794 83  | -                         | 581 06                   |
| CANON INC ADR           | 9/15/2015        | 10/18/2018   | 1,273 44  | 1,321 80  | -                         | 48 36                    |
| CANON INC ADR           | 9/15/2015        | 10/16/2018   | 2 607 51  | 2,692 59  | -                         | 85 08                    |
| CANON INC ADR           | 9/15/2015        | 7/25/2018    | 2 607 51  | 2,747 40  | -                         | 139 89                   |
| CHINA MOBILE LTD        | 9/15/2015        | 3/11/2019    | 7,638 60  | 6,965 24  | -                         | (673 36)                 |
| CHINA MOBILE LTD        | 12/12/2016       | 3/11/2019    | 2 502 89  | 2,498 98  | -                         | (3 91)                   |
| CHINA MOBILE LTD        | 2/24/2017        | 3/11/2019    | 2,352 65  | 2,233 13  | -                         | (119 52)                 |
| CHINA MOBILE LTD        | 4/19/2017        | 3/11/2019    | 2 677 38  | 2,658 49  | -                         | (18 89)                  |
| CHINA MOBILE LTD        | 11/6/2017        | 3/11/2019    | 2,723 79  | 2,871 17  | -                         | 147 38                   |
| CIE DE SAINT-GOBAIN     | 8/3/2018         | 3/11/2019    | 2,712 51  | 2,205 57  | (506 94)                  | -                        |
| CIE DE SAINT-GOBAIN     | 8/15/2018        | 3/11/2019    | 2,716 72  | 2,359 62  | (357 10)                  | -                        |
| CIE DE SAINT-GOBAIN     | 11/2/2018        | 3/11/2019    | 1,331 25  | 1,239 32  | (91 93)                   | -                        |
| CIE DE SAINT-GOBAIN     | 11/13/2018       | 3/11/2019    | 1 384 94  | 1,358 35  | (26 59)                   | -                        |
| CIE DE SAINT-GOBAIN     | 12/7/2018        | 3/11/2019    | 1,147 40  | 1,176 31  | 28 91                     | -                        |
| CIE DE SAINT-GOBAIN     | 12/10/2018       | 3/11/2019    | 812 43    | 840 22    | 27 79                     | -                        |
| CIE DE SAINT-GOBAIN     | 12/19/2018       | 3/11/2019    | 2 891 57  | 3,059 80  | 168 23                    | -                        |
| CK HUTCHISON HLDGS LTD  | 7/13/2017        | 3/11/2019    | 2,595 42  | 2,048 25  | -                         | (547 17)                 |
| CK HUTCHISON HLDGS LTD  | 8/28/2017        | 3/11/2019    | 2,587 30  | 2,027 97  | -                         | (559 33)                 |
| CK HUTCHISON HLDGS LTD  | 9/15/2017        | 3/11/2019    | 2,558 68  | 2,027 97  | -                         | (530 71)                 |
| CK HUTCHISON HLDGS LTD  | 10/19/2017       | 3/11/2019    | 2,680 36  | 2 098 95  | -                         | (581 41)                 |
| CK HUTCHISON HLDGS LTD  | 11/13/2017       | 3/11/2019    | 2 616 46  | 2,139 51  | -                         | (476 95)                 |
| CK HUTCHISON HLDGS LTD  | 1/3/2018         | 3/11/2019    | 2,521 10  | 2,038 11  | -                         | (482 99)                 |
| CK HUTCHISON HLDGS LTD  | 2/5/2018         | 3/11/2019    | 2 944 65  | 2 251 05  | -                         | (693 60)                 |
| CK HUTCHISON HLDGS LTD  | 5/31/2018        | 3/11/2019    | 2 957 83  | 2,666 78  | (291 05)                  | -                        |
| DAIMLER AG              | 9/15/2015        | 3/11/2019    | 7 642 80  | 5 104 73  | -                         | (2,538 07)               |
| DAIMLER AG              | 2/23/2016        | 3/11/2019    | 2,082 58  | 1,701 58  | -                         | (381 00)                 |
| DAIMLER AG              | 6/9/2017         | 3/11/2019    | 2 491 36  | 1 928 45  | -                         | (562 91)                 |
| DAIMLER AG              | 7/25/2017        | 3/11/2019    | 1 994 62  | 1 588 14  | -                         | (406 48)                 |
| DAIMLER AG              | 8/17/2017        | 3/11/2019    | 3,330 30  | 2,665 80  | -                         | (664 50)                 |
| DAIMLER AG              | 6/22/2018        | 3/11/2019    | 2 841 09  | 2,410 57  | (430 52)                  | -                        |
| DEUTSCHE TELEKOM AG     | 9/15/2015        | 3/11/2019    | 11 299 05 | 10,583 62 | -                         | (715 43)                 |
| ENEL SOCIETA PER AZIONI | 10/11/2016       | 3/11/2019    | 638 83    | 928 70    | -                         | 289 87                   |
| ENEL SOCIETA PER AZIONI | 11/1/2016        | 3/11/2019    | 2 212 09  | 3 119 94  | -                         | 907 85                   |
| ENEL SOCIETA PER AZIONI | 11/18/2016       | 3/11/2019    | 2 376 29  | 3 799 77  | -                         | 1,423 48                 |
| ENEL SOCIETA PER AZIONI | 2/3/2017         | 3/11/2019    | 2 421 23  | 3 520 55  | -                         | 1 099 32                 |
| ENEL SOCIETA PER AZIONI | 5/30/2017        | 3/11/2019    | 2 424 28  | 2 810 37  | -                         | 386 09                   |
| ENEL SOCIETA PER AZIONI | 6/29/2017        | 3/11/2019    | 2 552 60  | 2,877 14  | -                         | 324 54                   |
| ENEL SOCIETA PER AZIONI | 10/11/2016       | 1/22/2019    | 1 837 16  | 2 561 20  | -                         | 724 04                   |
| ENI SPA                 | 9/15/2015        | 3/11/2019    | 9 779 35  | 9 982 00  | -                         | 202 65                   |
| ENI SPA                 | 10/2/2015        | 3/11/2019    | 2 311 84  | 2 444 57  | -                         | 132 73                   |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION              | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|--------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| ENI SPA                  | 11/2/2016        | 3/11/2019    | 2,521 07  | 2,987 81  | -                         | 466 74                   |
| ENI SPA                  | 5/18/2017        | 3/11/2019    | 2,413 60  | 2,478 52  | -                         | 64 92                    |
| FUJIFILM HLDGS CORP      | 12/20/2017       | 3/11/2019    | 2,769 40  | 3,023 00  | -                         | 253 60                   |
| FUJIFILM HLDGS CORP      | 6/5/2018         | 3/11/2019    | 2,808 23  | 3,248 60  | 440 37                    | -                        |
| FUJIFILM HLDGS CORP      | 10/9/2018        | 3/11/2019    | 2,596 05  | 2,616 93  | 20 88                     | -                        |
| FUJIFILM HLDGS CORP      | 12/27/2018       | 3/11/2019    | 2,419 46  | 2,842 52  | 423 06                    | -                        |
| FUJIFILM HLDGS CORP      | 1/22/2019        | 3/11/2019    | 2,459 33  | 2,662 04  | 202 71                    | -                        |
| GLAXOSMITHKLINE PLC      | 9/15/2015        | 3/11/2019    | 15,689 04 | 15,751 95 | -                         | 62 91                    |
| HONDA MTR LTD            | 9/15/2015        | 3/11/2019    | 13,396 32 | 11,834 12 | -                         | (1,562 20)               |
| HONDA MTR LTD            | 2/24/2017        | 3/11/2019    | 2,730 64  | 2,383 26  | -                         | (347 38)                 |
| HONDA MTR LTD            | 5/11/2017        | 3/11/2019    | 2,511 38  | 2,410 65  | -                         | (100 73)                 |
| IBERDOLA S A             | 2/14/2019        | 3/25/2019    | -         | 2 70      | 2 70                      | -                        |
| IBERDOLA S A             | 2/14/2019        | 3/13/2019    | -         | 10 57     | 10 57                     | -                        |
| IBERDOLA S A             | 9/15/2015        | 3/11/2019    | 8,658 56  | 11,051 21 | -                         | 2 392 65                 |
| IBERDOLA S A             | 2/10/2016        | 3/11/2019    | 356 95    | 440 69    | -                         | 83 74                    |
| IBERDOLA S A             | 8/10/2016        | 3/11/2019    | 317 90    | 440 69    | -                         | 122 79                   |
| IBERDOLA S A             | 2/24/2017        | 3/11/2019    | 364 84    | 474 59    | -                         | 109 75                   |
| IBERDOLA S A             | 8/7/2017         | 3/11/2019    | 418 01    | 440 69    | -                         | 22 68                    |
| IBERDOLA S A             | 2/14/2018        | 3/11/2019    | 444 92    | 474 59    | -                         | 29 67                    |
| IBERDOLA S A             | 8/8/2018         | 3/11/2019    | 590 77    | 644 09    | 53 32                     | -                        |
| IBERDOLA S A             | 2/14/2019        | 3/11/2019    | 371 86    | 406 79    | 34 93                     | -                        |
| IBERDOLA S A             | 9/15/2015        | 2/26/2019    | 2,523 20  | 3,154 79  | -                         | 631 59                   |
| IBERDOLA S A             | 2/14/2019        | 2/15/2019    | 21 34     | 21 34     | -                         | -                        |
| IBERDOLA S A             | 9/15/2015        | 1/29/2019    | 1,699 84  | 2,088 20  | -                         | 388 36                   |
| IBERDOLA S A             | 9/15/2015        | 12/10/2018   | 637 44    | 722 39    | -                         | 84 95                    |
| IBERDOLA S A             | 9/15/2015        | 11/12/2018   | 2,868 48  | 3 184 29  | -                         | 315 81                   |
| IBERDOLA S A             | 8/8/2018         | 8/8/2018     | 2 81      | 2 81      | -                         | -                        |
| ISUZU MTRS LTD           | 7/28/2017        | 3/11/2019    | 2,618 50  | 2,651 96  | -                         | 33 46                    |
| KINGFISHER PLC           | 10/7/2016        | 3/11/2019    | 2,315 33  | 1,552 70  | -                         | (762 63)                 |
| KINGFISHER PLC           | 10/24/2016       | 3/11/2019    | 2,501 38  | 1,739 03  | -                         | (762 35)                 |
| KINGFISHER PLC           | 4/5/2017         | 3/11/2019    | 5,214 76  | 4,018 39  | -                         | (1,196 37)               |
| KINGFISHER PLC           | 6/1/2017         | 3/11/2019    | 2,350 91  | 1,695 55  | -                         | (655 36)                 |
| KINGFISHER PLC           | 7/12/2017        | 3/11/2019    | 2 506 64  | 2,006 09  | -                         | (500 55)                 |
| KINGFISHER PLC           | 10/4/2017        | 3/11/2019    | 531 84    | 397 49    | -                         | (134 35)                 |
| KINGFISHER PLC           | 10/5/2017        | 3/11/2019    | 2 121 31  | 1,614 81  | -                         | (506 50)                 |
| KONINKLIJKE AHOLD        | 9/15/2015        | 3/11/2019    | 5 734 50  | 7,355 78  | -                         | 1,621 28                 |
| KONINKLIJKE AHOLD        | 6/29/2017        | 3/11/2019    | 2 547 15  | 3,277 58  | -                         | 730 43                   |
| KONINKLIJKE AHOLD        | 9/15/2015        | 2/20/2019    | 1,950 51  | 2,593 82  | -                         | 643 31                   |
| KONINKLIJKE AHOLD        | 9/15/2015        | 12/28/2018   | 2,048 04  | 2 645 72  | -                         | 597 68                   |
| KONINKLIJKE AHOLD        | 9/15/2015        | 11/12/2018   | 2 418 63  | 3,147 31  | -                         | 728 68                   |
| LLOYDS BANKING GROUP PLC | 11/20/2015       | 3/11/2019    | 2 290 67  | 1,654 38  | -                         | (636 29)                 |
| LLOYDS BANKING GROUP PLC | 12/3/2015        | 3/11/2019    | 2 353 00  | 1 679 98  | -                         | (673 02)                 |
| LLOYDS BANKING GROUP PLC | 1/5/2016         | 3/11/2019    | 2 283 37  | 1,705 58  | -                         | (577 79)                 |
| LLOYDS BANKING GROUP PLC | 1/8/2016         | 3/11/2019    | 2 241 82  | 1,747 18  | -                         | (494 64)                 |
| LLOYDS BANKING GROUP PLC | 1/26/2016        | 3/11/2019    | 2 281 80  | 1,919 98  | -                         | (361 82)                 |
| LLOYDS BANKING GROUP PLC | 3/30/2016        | 3/11/2019    | 2 346 15  | 1 897 58  | -                         | (448 57)                 |
| LLOYDS BANKING GROUP PLC | 4/11/2016        | 3/11/2019    | 2 259 72  | 1,894 38  | -                         | (365 34)                 |
| LLOYDS BANKING GROUP PLC | 7/5/2016         | 3/11/2019    | 2 283 64  | 2 671 97  | -                         | 388 33                   |
| LLOYDS BANKING GROUP PLC | 8/19/2016        | 3/11/2019    | 2 305 78  | 2,511 97  | -                         | 206 19                   |
| MITSUBISHI ELECTRIC CORP | 5/29/2018        | 3/11/2019    | 2 779 18  | 2 280 93  | (498 25)                  | -                        |

## BROOKDALE FOUNDATION

Page 8 of 18

FORM 990 PF

ID # 13-6076863

June 30, 2019

## PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                        | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|------------------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| MITSUBISHI ELECTRIC CORP           | 7/9/2018         | 3/11/2019    | 2,690 59  | 2,471 01  | (219 58)                  | -                        |
| MITSUBISHI ELECTRIC CORP           | 8/10/2018        | 3/11/2019    | 2,699 83  | 2,375 97  | (323 86)                  | -                        |
| MITSUBISHI ELECTRIC CORP           | 9/6/2018         | 3/11/2019    | 2,490 58  | 2,257 17  | (233 41)                  | -                        |
| MITSUBISHI ELECTRIC CORP           | 12/12/2018       | 3/11/2019    | 2,292 93  | 2,352 21  | 59 28                     | -                        |
| NATIONAL GRID PLC                  | 9/15/2015        | 3/11/2019    | 12,314 43 | 9,899 59  | -                         | (2 414 84)               |
| NIPPON TELEG & TELEPHONE CORP      | 2/5/2019         | 3/11/2019    | 2,609 07  | 2,603 44  | (5 63)                    | -                        |
| NOVARTIS AG                        | 6/3/2013         | 3/11/2019    | 4,576 90  | 5,750 76  | -                         | 1 173 86                 |
| NOVARTIS AG                        | 9/15/2015        | 3/11/2019    | 5,182 91  | 4,852 20  | -                         | (330 71)                 |
| NOVARTIS AG                        | 3/31/2016        | 3/11/2019    | 1,160 87  | 1,437 69  | -                         | 276 82                   |
| NOVARTIS AG                        | 5/26/2017        | 3/11/2019    | 2,426 24  | 2,695 67  | -                         | 269 43                   |
| NTT DOCOMO INC                     | 9/15/2015        | 3/11/2019    | 1,770 12  | 2,219 55  | -                         | 449 43                   |
| NTT DOCOMO INC                     | 9/15/2015        | 2/5/2019     | 1,931 04  | 2,551 05  | -                         | 620 01                   |
| QBE INS GROUP LTD                  | 9/15/2015        | 3/11/2019    | 2,527 98  | 2,391 45  | -                         | (136 53)                 |
| QBE INS GROUP LTD                  | 6/10/2016        | 3/11/2019    | 2,520 91  | 2,479 05  | -                         | (41 86)                  |
| QBE INS GROUP LTD                  | 6/30/2016        | 3/11/2019    | 2,416 84  | 2,724 32  | -                         | 307 48                   |
| QBE INS GROUP LTD                  | 9/26/2017        | 3/11/2019    | 2,847 61  | 3,048 44  | -                         | 200 83                   |
| ROYAL DUTCH SHELL PLC REPSTG B SHS | 2/1/2016         | 3/11/2019    | 3 090 49  | 4,491 55  | -                         | 1 401 06                 |
| ROYAL DUTCH SHELL PLC REPSTG B SHS | 2/2/2016         | 3/11/2019    | 3,483 61  | 5,240 14  | -                         | 1,756 53                 |
| ROYAL DUTCH SHELL PLC REPSTG B SHS | 2/8/2016         | 3/11/2019    | 3,357 88  | 4,741 08  | -                         | 1,383 20                 |
| RWE AG                             | 9/15/2015        | 12/14/2018   | 2,285 07  | 3,882 19  | -                         | 1,597 12                 |
| RWE AG                             | 9/15/2015        | 12/10/2018   | 490 58    | 801 40    | -                         | 310 82                   |
| SANOFI                             | 9/15/2015        | 3/11/2019    | 11,766 81 | 10,217 70 | -                         | (1,549 12)               |
| SANOFI                             | 8/26/2016        | 3/11/2019    | 2,598 57  | 2,888 55  | -                         | 289 98                   |
| SANOFI                             | 3/8/2018         | 3/11/2019    | 2,692 12  | 2,888 55  | -                         | 196 43                   |
| SANOFI                             | 9/15/2015        | 11/12/2018   | 2,829 99  | 2,555 40  | -                         | (274 59)                 |
| SANOFI                             | 9/15/2015        | 11/5/2018    | 2,681 05  | 2,419 73  | -                         | (261 32)                 |
| SAP AE                             | 9/15/2015        | 8/15/2018    | 1,602 72  | 2,707 38  | -                         | 1 104 66                 |
| SAP AE                             | 9/15/2015        | 8/2/2018     | 1,535 94  | 2,651 04  | -                         | 1,115 10                 |
| SAP AE                             | 9/15/2015        | 7/9/2018     | 1,669 50  | 2,976 66  | -                         | 1,307 16                 |
| SINGAPORE TELECOMMUNICATIONS LTD   | 9/15/2015        | 3/11/2019    | 10,035 80 | 8,223 09  | -                         | (1,812 71)               |
| SSE PLC                            | 3/27/2018        | 3/11/2019    | 2,784 71  | 2,448 97  | (335 74)                  | -                        |
| SSE PLC                            | 5/8/2018         | 3/11/2019    | 2,866 79  | 2,369 97  | (496 82)                  | -                        |
| SSE PLC                            | 5/29/2018        | 3/11/2019    | 2,731 72  | 2,322 57  | (409 15)                  | -                        |
| SSE PLC                            | 10/24/2018       | 3/11/2019    | 2,563 90  | 2,717 56  | 153 66                    | -                        |
| SSE PLC                            | 2/22/2019        | 3/11/2019    | 2,542 00  | 2,591 16  | 49 16                     | -                        |
| TAIWAN SEMICONDUCTOR MFG CO        | 6/3/2013         | 3/11/2019    | 5,448 55  | 11,271 52 | -                         | 5 822 97                 |
| TAIWAN SEMICONDUCTOR MFG CO        | 9/15/2015        | 3/11/2019    | 2,297 28  | 4 361 92  | -                         | 2 064 64                 |
| TAKEDA PHARMACEUTICAL CO           | 9/15/2015        | 3/11/2019    | 15,469 77 | 13 328 80 | -                         | (2,140 97)               |
| TAKEDA PHARMACEUTICAL CO           | 7/27/2016        | 3/11/2019    | 2,591 32  | 2 472 11  | -                         | (119 21)                 |
| TAKEDA PHARMACEUTICAL CO           | 5/10/2018        | 3/11/2019    | 3,256 82  | 3,172 54  | (84 28)                   | -                        |
| TELEFONICA S A ADR                 | 9/15/2015        | 3/11/2019    | 7,313 37  | 4 837 64  | -                         | (2 475 73)               |
| TELEFONICA S A ADR                 | 12/30/2015       | 3/11/2019    | 304 43    | 232 00    | -                         | (72 43)                  |
| TELEFONICA S A ADR                 | 2/18/2016        | 3/11/2019    | 2,260 85  | 1 856 00  | -                         | (404 85)                 |
| TELEFONICA S A ADR                 | 12/12/2016       | 3/11/2019    | 421 91    | 403 85    | -                         | (18 06)                  |
| TELEFONICA S A ADR                 | 6/6/2018         | 3/11/2019    | 2,657 17  | 2 509 04  | (148 13)                  | -                        |
| TELEFONICA S A ADR                 | 9/15/2015        | 12/10/2018   | 4 962 18  | 3 290 51  | -                         | (1,671 67)               |
| TELIA A B                          | 9/27/2013        | 3/11/2019    | 6,193 20  | 3 512 71  | -                         | (2 680 49)               |
| TELIA A B                          | 9/15/2015        | 3/11/2019    | 8 225 10  | 6 491 07  | -                         | (1 734 03)               |
| TELIA A B                          | 2/27/2017        | 3/11/2019    | 2,556 58  | 2 820 68  | -                         | 264 10                   |
| TELIA A B                          | 4/26/2017        | 3/11/2019    | 2,752 63  | 2 890 76  | -                         | 138 13                   |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                  | DATE<br>ACQUIRED | DATE<br>SOLD | COST     | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|------------------------------|------------------|--------------|----------|-----------|---------------------------|--------------------------|
| TELIA A B                    | 9/27/2013        | 12/10/2018   | 1,297 33 | 766 07    | -                         | (531 26)                 |
| TESCO PLC                    | 9/15/2015        | 3/11/2019    | 5,364 26 | 5,878 20  | -                         | 513 94                   |
| TESCO PLC                    | 11/27/2015       | 3/11/2019    | 2,694 56 | 3,139 80  | -                         | 445 24                   |
| TESCO PLC                    | 1/25/2016        | 3/11/2019    | 2,096 35 | 2,738 40  | -                         | 642 05                   |
| TESCO PLC                    | 4/13/2017        | 3/11/2019    | 2,642 65 | 3,425 23  | -                         | 782 58                   |
| TESCO PLC                    | 6/30/2017        | 3/11/2019    | 2,234 32 | 2,952 48  | -                         | 718 16                   |
| TOKIO MARINE HLDGS INC       | 8/24/2015        | 3/11/2019    | 6,488 57 | 8,426 86  | -                         | 1 938 29                 |
| TOKIO MARINE HLDGS INC       | 9/15/2015        | 3/11/2019    | 3,595 38 | 4,427 67  | -                         | 832 29                   |
| TOKIO MARINE HLDGS INC       | 10/10/2017       | 3/11/2019    | 1,795 52 | 2,190 03  | -                         | 394 51                   |
| TOKIO MARINE HLDGS INC       | 10/13/2017       | 3/11/2019    | 807 00   | 952 19    | -                         | 145 19                   |
| UNITED OVERSEAS BK LTD       | 9/15/2015        | 3/11/2019    | 7,977 60 | 10,514 76 | -                         | 2,537 16                 |
| UNITED OVERSEAS BK LTD       | 5/27/2016        | 3/11/2019    | 2,391 83 | 3,285 86  | -                         | 894 03                   |
| UNITED OVERSEAS BK LTD       | 10/11/2016       | 3/11/2019    | 255 20   | 401 61    | -                         | 146 41                   |
| UNITED OVERSEAS BK LTD       | 6/23/2017        | 3/11/2019    | 253 53   | 328 59    | -                         | 75 06                    |
| WH GROUP LTD                 | 8/21/2018        | 3/11/2019    | 2,700 10 | 3,371 08  | 670 98                    | -                        |
| WH GROUP LTD                 | 10/22/2018       | 3/11/2019    | 2,459 52 | 3,035 83  | 576 31                    | -                        |
| WH GROUP LTD                 | 12/17/2018       | 3/11/2019    | 2,432 41 | 2,942 71  | 510 30                    | -                        |
| WPP PLC                      | 10/23/2017       | 3/11/2019    | 2,715 04 | 1,677 99  | -                         | (1,037 05)               |
| WPP PLC                      | 11/13/2017       | 3/11/2019    | 2,797 61 | 1,845 78  | -                         | (951 83)                 |
| WPP PLC                      | 1/24/2018        | 3/11/2019    | 3,067 12 | 1,845 78  | -                         | (1,221 34)               |
| WPP PLC                      | 3/8/2018         | 3/11/2019    | 2,812 14 | 1,845 78  | -                         | (966 36)                 |
| WPP PLC                      | 3/22/2018        | 3/11/2019    | 2,562 20 | 1,845 78  | (716 42)                  | -                        |
| WPP PLC                      | 11/6/2018        | 3/11/2019    | 2,533 29 | 2,461 05  | (72 24)                   | -                        |
| ZURICH INS GROUP LTD         | 9/15/2015        | 3/11/2019    | 9,060 93 | 10,775 74 | -                         | 1 714 81                 |
| ZURICH INS GROUP LTD         | 12/7/2015        | 3/11/2019    | 2,227 39 | 2,782 92  | -                         | 555 53                   |
| ABBOTT LABS INC              | 2/7/2018         | 5/7/2019     | 1,610 08 | 2,065 18  | -                         | 455 10                   |
| ABBOTT LABS INC              | 2/7/2018         | 10/9/2018    | 1,013 75 | 1,208 87  | 195 12                    | -                        |
| ACTIVISION BLIZZARD INC      | 11/20/2018       | 1/29/2019    | 2,235 01 | 2,058 04  | (176 97)                  | -                        |
| ALBEMARLE CORP               | 2/7/2018         | 9/4/2018     | 2,168 20 | 1,914 83  | (253 37)                  | -                        |
| ALBEMARLE CORP               | 5/17/2018        | 9/4/2018     | 842 33   | 765 93    | (76 40)                   | -                        |
| ALPHABET INC                 | 2/7/2018         | 6/18/2019    | 3,207 83 | 3,327 32  | -                         | 119 50                   |
| AMERICAN TOWER CORP          | 2/7/2018         | 12/14/2018   | 1,976 66 | 2,331 93  | 355 27                    | -                        |
| AMERICAN WTR WKS CO INC      | 2/7/2018         | 5/7/2019     | 1,266 64 | 1,710 31  | -                         | 443 67                   |
| ANHEUSER BUSCH INBEV SA/NV   | 2/7/2018         | 10/9/2018    | 3,680 84 | 2 942 44  | (738 40)                  | -                        |
| ANHEUSER BUSCH INBEV SA/NV   | 2/7/2018         | 7/12/2018    | 1,732 16 | 1 656 79  | (75 37)                   | -                        |
| APPLE INC                    | 2/7/2018         | 11/20/2018   | 1,130 85 | 1 250 62  | 119 77                    | -                        |
| ASTRAZENECA PLC              | 5/17/2018        | 3/29/2019    | 3,082 73 | 3,387 91  | 305 18                    | -                        |
| AT&T INC                     | 10/9/2018        | 3/21/2019    | 3 590 44 | 3 317 45  | (272 99)                  | -                        |
| AT&T INC                     | 12/14/2018       | 3/21/2019    | 1,252 59 | 1 302 17  | 49 58                     | -                        |
| ATLAS AIR WORLDWIDE HOLDINGS | 6/28/2018        | 1/29/2019    | 2,292 07 | 1 688 61  | (603 46)                  | -                        |
| ATLAS AIR WORLDWIDE HOLDINGS | 9/4/2018         | 1/29/2019    | 1 232 40 | 1 023 40  | (209 00)                  | -                        |
| BANCO SANTANDER SA           | 2/7/2018         | 7/12/2018    | 6 198 74 | 4 801 76  | (1,396 98)                | -                        |
| BANCO SANTANDER SA           | 3/2/2018         | 7/12/2018    | 27 40    | 21 80     | (5 60)                    | -                        |
| BANCO SANTANDER SA           | 3/2/2018         | 7/12/2018    | 150 04   | 119 91    | (30 13)                   | -                        |
| BANCO SANTANDER SA           | 3/2/2018         | 7/12/2018    | 345 63   | 277 97    | (67 66)                   | -                        |
| BAYER A G                    | 10/9/2018        | 11/20/2018   | 3 643 36 | 2 943 52  | (699 84)                  | -                        |
| BIOGEN INC                   | 7/24/2018        | 3/21/2019    | 1 487 51 | 911 40    | (576 11)                  | -                        |
| BIOGEN INC                   | 7/30/2018        | 3/21/2019    | 1 013 01 | 683 55    | (329 46)                  | -                        |
| BIOGEN INC                   | 9/4/2018         | 3/21/2019    | 1 048 76 | 683 55    | (365 21)                  | -                        |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                      | DATE<br>ACQUIRED | DATE<br>SOLD | COST     | PROCEEDS | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|----------------------------------|------------------|--------------|----------|----------|---------------------------|--------------------------|
| BLACKROCK INC                    | 2/7/2018         | 11/20/2018   | 2,152 74 | 1,614 61 | (538 13)                  | -                        |
| BLACKROCK INC                    | 2/7/2018         | 10/9/2018    | 1,076 37 | 924 68   | (151 69)                  | -                        |
| BLACKROCK INC                    | 2/7/2018         | 8/2/2018     | 2,152 74 | 1,898 72 | (254 02)                  | -                        |
| BOC HONG KONG HLDGS LTD          | 2/7/2018         | 3/21/2019    | 3,305 48 | 2,878 06 | -                         | (427 42)                 |
| BOC HONG KONG HLDGS LTD          | 3/2/2018         | 3/21/2019    | 899 71   | 761 84   | -                         | (137 87)                 |
| BOC HONG KONG HLDGS LTD          | 3/2/2018         | 11/20/2018   | 299 90   | 222 27   | (77 64)                   | -                        |
| BOC HONG KONG HLDGS LTD          | 6/18/2018        | 11/20/2018   | 1,506 00 | 1,111 33 | (394 67)                  | -                        |
| BOEING COMPANY                   | 2/7/2018         | 3/11/2019    | 2,110 08 | 2,356 28 | -                         | 246 20                   |
| BOEING COMPANY                   | 2/7/2018         | 10/9/2018    | 1,758 40 | 1,924 92 | 166 52                    | -                        |
| CME GROUP INC                    | 7/12/2018        | 5/7/2019     | 1,333 18 | 1,427 77 | 94 59                     | -                        |
| COCA COLA CO                     | 10/9/2018        | 12/14/2018   | 2,738 39 | 2,900 72 | 162 33                    | -                        |
| CORTEVA INC                      | 4/2/2018         | 6/7/2019     | 17 83    | 17 83    | -                         | -                        |
| CRH PLC                          | 2/7/2018         | 9/4/2018     | 2,865 90 | 2,689 69 | (176 21)                  | -                        |
| DAIFUKU CO LTD                   | 4/1/2019         | 5/23/2019    | 2,319 50 | 1,946 60 | (372 90)                  | -                        |
| DANAHER CORP                     | 2/7/2018         | 3/21/2019    | 962 80   | 1,318 78 | -                         | 355 98                   |
| DBS GROUP HOLDINGS LTD           | 4/2/2018         | 5/23/2019    | 1,250 24 | 1,087 47 | -                         | (162 76)                 |
| DBS GROUP HOLDINGS LTD           | 5/17/2018        | 5/23/2019    | 690 48   | 579 99   | -                         | (110 49)                 |
| DIAGEO PLC                       | 2/7/2018         | 3/21/2019    | 957 39   | 1,145 18 | -                         | 187 79                   |
| DOW INC                          | 4/2/2019         | 5/23/2019    | 1,880 62 | 1,479 86 | (400 76)                  | -                        |
| DOW INC                          | 4/2/2019         | 4/8/2019     | 41 79    | 39 44    | (2 35)                    | -                        |
| DUPONT DE NEMOURS INC            | 9/4/2018         | 6/11/2019    | -        | 49 13    | 49 13                     | -                        |
| ECOLAB INC                       | 2/7/2018         | 3/21/2019    | 674 25   | 877 63   | -                         | 203 38                   |
| FACEBOOK INC                     | 2/7/2018         | 11/20/2018   | 4,045 58 | 2,892 90 | (1,152 68)                | -                        |
| FANUC CORPORATION                | 2/7/2018         | 9/4/2018     | 3,730 30 | 2,791 58 | (938 72)                  | -                        |
| FORTINET INC                     | 4/2/2018         | 1/29/2019    | 2,392 96 | 3,299 09 | 906 13                    | -                        |
| FORTIS INC                       | 2/7/2018         | 11/20/2018   | 3,020 29 | 3,134 87 | 114 58                    | -                        |
| FORTIVE CORP                     | 2/7/2018         | 7/12/2018    | 3,205 55 | 3,380 63 | 175 08                    | -                        |
| GARRETT MOTION INC               | 10/1/2018        | 10/16/2018   | 13 19    | 12 95    | (0 24)                    | -                        |
| GARRETT MOTION INC               | 10/1/2018        | 10/9/2018    | 49 48    | 48 65    | (0 83)                    | -                        |
| HDFC BK LTD                      | 6/18/2018        | 8/2/2018     | 1,151 54 | 1,145 14 | (6 40)                    | -                        |
| HONEYWELL INTL INC               | 2/7/2018         | 6/18/2019    | 1,328 12 | 1,579 22 | -                         | 251 10                   |
| ILLUMINA INC                     | 3/2/2018         | 10/9/2018    | 670 20   | 969 90   | 299 70                    | -                        |
| ING GROEP N V                    | 2/7/2018         | 10/9/2018    | 6,241 66 | 4,140 61 | (2 101 05)                | -                        |
| ING GROEP N V                    | 6/18/2018        | 10/9/2018    | 1,070 57 | 913 19   | (157 38)                  | -                        |
| JARDINE MATHESON                 | 5/24/2019        | 6/19/2019    | 6,161 54 | 6,348 68 | 187 14                    | -                        |
| JARDINE MATHESON                 | 5/8/2018         | 3/22/2019    | 3,814 94 | 4,026 24 | 211 30                    | -                        |
| JARDINE MATHESON                 | 10/10/2018       | 3/22/2019    | 976 40   | 1,022 54 | 46 14                     | -                        |
| JPMORGAN CHASE & CO              | 2/7/2018         | 5/7/2019     | 1,592 08 | 1,576 03 | -                         | (16 05)                  |
| JPMORGAN CHASE & CO              | 2/7/2018         | 12/14/2018   | 2,729 28 | 2,403 17 | (326 11)                  | -                        |
| JPMORGAN CHASE & CO              | 8/2/2018         | 12/14/2018   | 1,277 37 | 1,101 45 | (175 92)                  | -                        |
| LAS VEGAS SANDS CORP             | 2/7/2018         | 9/4/2018     | 296 64   | 260 79   | (35 85)                   | -                        |
| LAS VEGAS SANDS CORP             | 5/17/2018        | 9/4/2018     | 553 70   | 456 38   | (97 32)                   | -                        |
| LVMH MOET HENNESSY LOUIS VUITTON | 10/9/2018        | 5/23/2019    | 1,201 31 | 1,336 83 | 135 52                    | -                        |
| MAHINDRA & MAHINDRA GDR          | 2/8/2018         | 10/10/2018   | 3 368 70 | 2,901 30 | (467 40)                  | -                        |
| MEDTRONIC PLC                    | 2/7/2018         | 5/23/2019    | 1,489 70 | 1 629 14 | -                         | 139 44                   |
| MEDTRONIC PLC                    | 2/7/2018         | 3/21/2019    | 1,489 70 | 1 669 11 | -                         | 179 41                   |
| MEDTRONIC PLC                    | 2/7/2018         | 12/14/2018   | 1,406 94 | 1,595 73 | 188 79                    | -                        |
| MEDTRONIC PLC                    | 2/7/2018         | 9/4/2018     | 1 489 70 | 1,732 46 | 242 76                    | -                        |
| MULTICHOICE GROUP LIMITED        | 3/4/2019         | 3/21/2019    | 154 49   | 176 60   | 22 11                     | -                        |
| MULTICHOICE GROUP LIMITED        | 3/4/2019         | 3/8/2019     | 4 41     | 4 26     | (0 15)                    | -                        |



## BROOKDALE FOUNDATION

Page 11 of 18

FORM 990 PF

ID # 13-6076863

June 30, 2019

## PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                           | DATE<br>ACQUIRED | DATE<br>SOLD | COST       | PROCEEDS   | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|---------------------------------------|------------------|--------------|------------|------------|---------------------------|--------------------------|
| NESTLE SA                             | 2/7/2018         | 3/21/2019    | 1,160 88   | 1,342 44   | -                         | 181 56                   |
| NEW ORIENTAL ED & TECH GRP INC        | 6/18/2018        | 10/9/2018    | 2,303 86   | 1,378 58   | (925 29)                  | -                        |
| NEW ORIENTAL ED & TECH GRP INC        | 6/28/2018        | 10/9/2018    | 1,311 90   | 877 28     | (434 63)                  | -                        |
| NINTENDO LTD                          | 10/9/2018        | 3/21/2019    | 3,816 73   | 2,948 04   | (868 69)                  | -                        |
| NVIDIA CORP                           | 2/7/2018         | 5/23/2019    | 1,404 90   | 883 93     | -                         | (520 97)                 |
| PANASONIC CORP                        | 2/7/2018         | 5/23/2019    | 2,934 12   | 1,583 65   | -                         | (1,350 47)               |
| PANASONIC CORP                        | 2/14/2018        | 5/23/2019    | 1,493 79   | 816 06     | -                         | (677 73)                 |
| PANASONIC CORP                        | 4/2/2018         | 5/23/2019    | 1,474 57   | 832 22     | -                         | (642 35)                 |
| PFIZER INC                            | 2/7/2018         | 1/29/2019    | 7,486 66   | 8,547 61   | 1,060 95                  | -                        |
| PFIZER INC                            | 2/7/2018         | 12/14/2018   | 1,454 75   | 1,804 20   | 349 45                    | -                        |
| PFIZER INC                            | 2/7/2018         | 10/9/2018    | 887 04     | 1,137 02   | 249 98                    | -                        |
| PFIZER INC                            | 2/7/2018         | 9/4/2018     | 1,241 86   | 1,447 59   | 205 73                    | -                        |
| PIONEER NAT RES CO                    | 4/18/2018        | 3/21/2019    | 3,683 46   | 2,750 86   | (932 60)                  | -                        |
| PIONEER NAT RES CO                    | 6/28/2018        | 3/21/2019    | 761 17     | 579 13     | (182 04)                  | -                        |
| PIONEER NAT RES CO                    | 7/24/2018        | 3/21/2019    | 940 04     | 723 91     | (216 13)                  | -                        |
| PIONEER NAT RES CO                    | 12/14/2018       | 3/21/2019    | 1,250 19   | 1,303 04   | 52 85                     | -                        |
| RAYTHEON CO                           | 2/7/2018         | 5/7/2019     | 1,443 51   | 1,246 67   | -                         | (196 84)                 |
| RAYTHEON CO                           | 2/7/2018         | 10/9/2018    | 1,031 08   | 1,025 12   | (5 96)                    | -                        |
| RESIDEO TECHNOLOGIES INC              | 10/29/2018       | 11/20/2018   | 164 24     | 115 94     | (48 30)                   | -                        |
| RESIDEO TECHNOLOGIES INC              | 10/29/2018       | 11/1/2018    | 9 12       | 6 92       | (2 20)                    | -                        |
| ROCHE HOLDINGS LTD                    | 2/7/2018         | 10/9/2018    | 1,375 69   | 1,441 47   | 65 78                     | -                        |
| SAMSUNG ELECTRONICS GDR               | 2/8/2018         | 10/10/2018   | 2,126 04   | 1,989 96   | (136 08)                  | -                        |
| SAMSUNG SDI GDS EACH REPR 1/4 ORD KRW | 6/19/2018        | 11/21/2018   | 2,104 28   | 1,871 90   | (232 38)                  | -                        |
| SAMSUNG SDI GDS EACH REPR 1/4 ORD KRW | 10/10/2018       | 11/21/2018   | 2,409 20   | 2,005 60   | (403 60)                  | -                        |
| SCHLUMBERGER LTD                      | 2/7/2018         | 12/14/2018   | 5,094 00   | 2,903 82   | (2,190 18)                | -                        |
| SCHLUMBERGER LTD                      | 2/7/2018         | 11/20/2018   | 3,749 75   | 2,463 68   | (1,286 07)                | -                        |
| SIEMENS A G                           | 2/7/2018         | 12/14/2018   | 1,243 98   | 1,015 54   | (228 44)                  | -                        |
| SIEMENS A G                           | 2/7/2018         | 11/20/2018   | 483 77     | 393 32     | (90 45)                   | -                        |
| SIEMENS A G                           | 5/17/2018        | 11/20/2018   | 831 96     | 674 27     | (157 69)                  | -                        |
| SOFTBANK GROUP                        | 2/7/2018         | 6/18/2019    | 1,184 65   | 1,353 98   | -                         | 169 33                   |
| SOFTBANK GROUP                        | 2/7/2018         | 5/7/2019     | 1,388 90   | 1,741 82   | -                         | 352 92                   |
| SONY CORP                             | 12/14/2018       | 3/21/2019    | 929 32     | 788 43     | (140 89)                  | -                        |
| STARBUCKS CORP                        | 2/7/2018         | 10/9/2018    | 1,870 68   | 1,968 70   | 98 02                     | -                        |
| STATE STREET CORP                     | 10/9/2018        | 3/21/2019    | 5 802 80   | 4,564 78   | (1 238 02)                | -                        |
| STATE STREET CORP                     | 10/9/2018        | 12/14/2018   | 1,472 35   | 1,061 59   | (410 76)                  | -                        |
| TAIWAN SEMICONDUCTOR MFG CO LTD       | 2/7/2018         | 1/29/2019    | 2,612 02   | 2,226 43   | (385 59)                  | -                        |
| TAIWAN SEMICONDUCTOR MFG CO LTD       | 3/2/2018         | 1/29/2019    | 1,184 72   | 1,021 97   | (162 75)                  | -                        |
| TAIWAN SEMICONDUCTOR MFG CO LTD       | 2/7/2018         | 10/9/2018    | 1,284 60   | 1,228 34   | (56 26)                   | -                        |
| TE CONNECTIVITY LTD                   | 2/7/2018         | 10/9/2018    | 1,115 07   | 907 77     | (207 30)                  | -                        |
| TERADYNE INC                          | 2/7/2018         | 5/7/2019     | 1 461 02   | 1 618 67   | -                         | 157 65                   |
| TERADYNE INC                          | 2/7/2018         | 10/9/2018    | 1 375 08   | 1 090 09   | (284 99)                  | -                        |
| TEXAS INSTRUMENTS COM                 | 1/29/2019        | 5/23/2019    | 918 90     | 947 95     | 29 05                     | -                        |
| TREASURY WINE ESTATES LTD             | 12/14/2018       | 6/18/2019    | 2,293 37   | 2 259 75   | (33 62)                   | -                        |
| TREASURY WINE ESTATES LTD             | 12/14/2018       | 5/23/2019    | 20 94      | 21 04      | 0 10                      | -                        |
| TREASURY WINE ESTATES LTD             | 1/29/2019        | 5/23/2019    | 1,124 00   | 1,051 97   | (72 03)                   | -                        |
| U S SILICA HLDGS INC                  | 2/7/2018         | 7/24/2018    | 2,632 45   | 2 073 73   | (558 72)                  | -                        |
| UNILEVER N V N Y                      | 2/7/2018         | 10/9/2018    | 3,586 70   | 3,505 27   | (81 43)                   | -                        |
| WABTEC CORP                           | 2/7/2018         | 10/9/2018    | 2,485 06   | 3,479 62   | 994 56                    | -                        |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND    | 3/25/2011        | 6/30/2019    | 201,000 00 | 214 029 82 | -                         | 13 029 82                |

## BROOKDALE FOUNDATION

Page 12 of 18

FORM 990 PF

ID # 13-6076863

June 30, 2019

## PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                        | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|------------------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/26/2012        | 6/30/2019    | 3,248.02  | 3,738.25  | -                         | 490.23                   |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/29/2013        | 6/30/2019    | 24,763.25 | 26,343.38 | -                         | 1,580.13                 |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/30/2014        | 6/30/2019    | 8,958.25  | 8,636.98  | -                         | (321.27)                 |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/26/2015        | 6/30/2019    | 7,859.28  | 7,804.77  | -                         | (54.51)                  |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/26/2015        | 6/30/2019    | 9,804.97  | 9,738.10  | -                         | (66.87)                  |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/25/2016        | 6/30/2019    | 1,930.62  | 2,031.45  | -                         | 100.83                   |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/25/2016        | 6/30/2019    | 3,533.55  | 3,717.65  | -                         | 184.10                   |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/25/2017        | 6/30/2019    | 3,806.41  | 4,099.23  | -                         | 292.82                   |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/25/2018        | 6/30/2019    | 10,221.69 | 10,572.72 | -                         | 351.03                   |
| SKYBRIDGE MULTI ADVISOR HEDGE FUND | 1/1/2019         | 6/30/2019    | 10,140.97 | 10,529.12 | 388.15                    | -                        |
| ANADARKO PETE CORP                 | 3/9/2016         | 10/25/2018   | 343.07    | 479.99    | -                         | 136.92                   |
| ANADARKO PETE CORP                 | 3/9/2016         | 12/19/2018   | 900.56    | 1,008.38  | -                         | 107.83                   |
| ANADARKO PETE CORP                 | 11/17/2016       | 12/19/2018   | 2,890.68  | 2,256.86  | -                         | (633.82)                 |
| ANADARKO PETE CORP                 | 11/14/2017       | 12/19/2018   | 5,312.47  | 5,282.01  | -                         | (30.46)                  |
| ANDEAVOR COM                       | 4/10/2018        | 8/8/2018     | 3,155.48  | 4,377.35  | 1,221.87                  | -                        |
| ANDEAVOR COM                       | 4/10/2018        | 10/4/2018    | -         | 1,168.14  | 1,168.14                  | -                        |
| BAKER HUGHES A GE CO CL A          | 12/6/2016        | 10/25/2018   | 868.94    | 360.74    | -                         | (508.20)                 |
| BAKER HUGHES A GE CO CL A          | 12/6/2016        | 12/19/2018   | 4,411.52  | 1,426.24  | -                         | (2,985.28)               |
| BAKER HUGHES A GE CO CL A          | 1/3/2017         | 12/19/2018   | 1,614.24  | 540.24    | -                         | (1,074.00)               |
| BAKER HUGHES A GE CO CL A          | 3/20/2017        | 12/19/2018   | 1,960.34  | 713.12    | -                         | (1,247.22)               |
| BAKER HUGHES A GE CO CL A          | 10/23/2017       | 12/19/2018   | 2,553.23  | 1,707.17  | -                         | (846.06)                 |
| CABOT OIL & GAS CORP               | 10/8/2018        | 10/25/2018   | 315.94    | 273.38    | (42.56)                   | -                        |
| CABOT OIL & GAS CORP               | 10/8/2018        | 11/6/2018    | 8,676.32  | 9,144.19  | 467.87                    | -                        |
| CALLON PETROLEUM CORP              | 6/8/2018         | 10/25/2018   | 258.95    | 258.95    | 0.00                      | -                        |
| CALLON PETROLEUM CORP              | 6/8/2018         | 12/19/2018   | 6,856.11  | 4,542.22  | (2,313.90)                | -                        |
| CALLON PETROLEUM CORP              | 11/7/2018        | 12/19/2018   | 1,065.73  | 646.00    | (419.73)                  | -                        |
| CF INDS HLDGS INC                  | 6/5/2018         | 10/25/2018   | 293.96    | 313.94    | 19.98                     | -                        |
| CF INDS HLDGS INC                  | 6/5/2018         | 12/19/2018   | 7,390.91  | 7,504.54  | 113.63                    | -                        |
| CHENIERE ENERGY INC                | 2/10/2017        | 10/25/2018   | 344.35    | 420.34    | -                         | 75.99                    |
| CHENIERE ENERGY INC                | 2/10/2017        | 12/19/2018   | 1,131.44  | 1,386.19  | -                         | 254.75                   |
| CHENIERE ENERGY INC                | 3/24/2017        | 12/19/2018   | 2,548.31  | 3,375.07  | -                         | 826.76                   |
| CHENIERE ENERGY INC                | 10/23/2018       | 12/19/2018   | 5,740.59  | 5,725.57  | (15.02)                   | -                        |
| CONTINENTAL RES INC                | 11/6/2018        | 12/19/2018   | 13,546.42 | 11,041.51 | (2,504.91)                | -                        |
| CUMMINS INC                        | 4/10/2018        | 7/31/2018    | 5,323.96  | 4,559.45  | (764.51)                  | -                        |
| CUMMINS INC                        | 6/18/2018        | 7/31/2018    | 1,567.64  | 1,519.82  | (47.82)                   | -                        |
| DIAMONDBACK ENERGY INC             | 11/14/2017       | 10/8/2018    | 5,434.91  | 6,611.66  | 1,176.75                  | -                        |
| DIAMONDBACK ENERGY INC             | 3/23/2017        | 11/30/2018   | 80.28     | 106.82    | -                         | 26.54                    |
| DIAMONDBACK ENERGY INC             | 3/23/2017        | 12/19/2018   | 7,735.26  | 8,694.81  | -                         | 959.55                   |
| DOMINION ENERGY INC                | 3/17/2015        | 10/25/2018   | 560.54    | 582.79    | -                         | 22.25                    |
| DOMINION ENERGY INC                | 3/17/2015        | 12/19/2018   | 140.13    | 149.03    | -                         | 8.90                     |
| DOMINION ENERGY INC                | 4/27/2015        | 12/19/2018   | 1,738.72  | 1,788.36  | -                         | 49.64                    |
| DOMINION ENERGY INC                | 6/29/2016        | 12/19/2018   | 1,833.37  | 1,788.36  | -                         | (45.01)                  |
| DOMINION ENERGY INC                | 8/17/2017        | 12/19/2018   | 2,119.82  | 2,011.90  | -                         | (107.92)                 |
| DOMINION ENERGY INC                | 3/28/2018        | 12/19/2018   | 3,540.91  | 3,874.77  | 333.86                    | -                        |
| DOWDUPONT INC                      | 8/29/2013        | 10/25/2018   | 150.06    | 211.35    | -                         | 61.29                    |
| DOWDUPONT INC                      | 8/29/2013        | 12/19/2018   | 150.06    | 212.24    | -                         | 62.18                    |
| DOWDUPONT INC                      | 12/29/2014       | 12/19/2018   | 1,058.46  | 1,220.36  | -                         | 161.90                   |
| DOWDUPONT INC                      | 9/15/2016        | 12/19/2018   | 1,216.91  | 1,220.36  | -                         | 3.45                     |
| DOWDUPONT INC                      | 10/13/2016       | 12/19/2018   | 2,943.95  | 2,971.32  | -                         | 27.37                    |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION              | DATE<br>ACQUIRED | DATE<br>SOLD | COST     | PROCEEDS | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|--------------------------|------------------|--------------|----------|----------|---------------------------|--------------------------|
| ENBRIDGE INC             | 11/17/2015       | 10/25/2018   | 117 70   | 136 25   | -                         | 18 54                    |
| ENBRIDGE INC             | 11/30/2015       | 10/25/2018   | 422 13   | 393 04   | -                         | (29 08)                  |
| ENBRIDGE INC             | 11/30/2015       | 12/19/2018   | 2,085 76 | 1,977 92 | -                         | (107 85)                 |
| ENBRIDGE INC             | 12/29/2015       | 12/19/2018   | 2,035 48 | 2,683 39 | -                         | 647 91                   |
| ENBRIDGE INC             | 12/5/2017        | 12/19/2018   | 7,447 47 | 6,151 66 | -                         | (1,295 81)               |
| ENBRIDGE INC             | 8/17/2018        | 12/19/2018   | 3,233 74 | 2,853 86 | (379 88)                  | -                        |
| ENERGEN CORP             | 3/23/2017        | 10/25/2018   | 419 63   | 580 87   | -                         | 161 24                   |
| EOG RES INC              | 4/25/2018        | 10/8/2018    | 4 126 30 | 4,661 28 | 534 98                    | -                        |
| EOG RES INC              | 4/25/2018        | 10/12/2018   | 2 292 39 | 2,445 94 | 153 55                    | -                        |
| EOG RES INC              | 5/11/2018        | 10/12/2018   | 5,838 13 | 5,992 54 | 154 41                    | -                        |
| EQT CORPORATION          | 12/22/2016       | 10/25/2018   | 647 94   | 383 67   | -                         | (264 27)                 |
| EQT CORPORATION          | 12/22/2016       | 12/19/2018   | 295 07   | 183 73   | -                         | (111 34)                 |
| EQT CORPORATION          | 1/25/2017        | 12/19/2018   | 1 174 23 | 742 65   | -                         | (431 58)                 |
| EQT CORPORATION          | 2/23/2018        | 12/19/2018   | 5,546 33 | 3,956 44 | (1,589 89)                | -                        |
| EQUITRANS MIDSTREAM CORP | 12/22/2016       | 11/15/2018   | 13 95    | 8 88     | -                         | (5 07)                   |
| EQUITRANS MIDSTREAM CORP | 12/22/2016       | 12/19/2018   | 251 74   | 149 30   | -                         | (102 44)                 |
| EQUITRANS MIDSTREAM CORP | 1/25/2017        | 12/19/2018   | 1,057 31 | 636 91   | -                         | (420 40)                 |
| EQUITRANS MIDSTREAM CORP | 2/23/2018        | 12/19/2018   | 4,994 09 | 3,393 11 | (1,600 98)                | -                        |
| HALLIBURTON CO           | 6/12/2017        | 10/25/2018   | 224 59   | 175 29   | -                         | (49 30)                  |
| HALLIBURTON CO           | 6/12/2017        | 12/19/2018   | 2 695 12 | 1,694 74 | -                         | (1,000 38)               |
| HALLIBURTON CO           | 7/25/2017        | 12/19/2018   | 560 95   | 367 19   | -                         | (193 76)                 |
| HALLIBURTON CO           | 10/23/2017       | 12/19/2018   | 2,660 59 | 1,751 23 | -                         | (909 36)                 |
| KINDER MORGAN INC DEL    | 1/29/2016        | 10/25/2018   | 441 45   | 465 63   | -                         | 24 18                    |
| KINDER MORGAN INC DEL    | 2/2/2016         | 10/25/2018   | 273 04   | 133 04   | -                         | (140 00)                 |
| KINDER MORGAN INC DEL    | 2/2/2016         | 12/19/2018   | 3,583 58 | 1,664 31 | -                         | (1,919 27)               |
| KINDER MORGAN INC DEL    | 2/8/2016         | 12/19/2018   | 4,033 18 | 2,853 11 | -                         | (1,180 07)               |
| KINDER MORGAN INC DEL    | 1/13/2017        | 12/19/2018   | 5 659 53 | 4,010 20 | -                         | (1,649 33)               |
| KINDER MORGAN INC DEL    | 7/25/2017        | 12/19/2018   | 1,660 72 | 1,299 75 | -                         | (360 97)                 |
| KINDER MORGAN INC DEL    | 10/20/2017       | 12/19/2018   | 1,963 13 | 1,680 16 | -                         | (282 97)                 |
| KINDER MORGAN INC DEL    | 8/17/2018        | 12/19/2018   | 3,913 43 | 3,455 43 | (458 00)                  | -                        |
| MARATHON PETE CORP       | 2/24/2016        | 8/8/2018     | 1,145 11 | 2 761 62 | -                         | 1 616 51                 |
| MARATHON PETE CORP       | 3/2/2016         | 8/8/2018     | 2,001 52 | 4,711 01 | -                         | 2,709 49                 |
| MARATHON PETE CORP       | 5/24/2016        | 8/8/2018     | 1,074 40 | 2,436 73 | -                         | 1 362 33                 |
| MARATHON PETE CORP       | 4/10/2018        | 10/10/2018   | 65 81    | 83 03    | 17 22                     | -                        |
| MARATHON PETE CORP       | 4/10/2018        | 10/25/2018   | 334 42   | 350 24   | 15 82                     | -                        |
| MARATHON PETE CORP       | 4/10/2018        | 12/19/2018   | 6,019 54 | 5,414 32 | (605 22)                  | -                        |
| MRC GLOBAL INC           | 5/12/2016        | 10/25/2018   | 266 34   | 291 64   | -                         | 25 30                    |
| MRC GLOBAL INC           | 5/12/2016        | 12/19/2018   | 602 76   | 584 46   | -                         | (18 31)                  |
| MRC GLOBAL INC           | 5/19/2016        | 12/19/2018   | 1,565 40 | 1 603 86 | -                         | 38 46                    |
| MRC GLOBAL INC           | 5/24/2016        | 12/19/2018   | 1 247 72 | 1,250 46 | -                         | 2 74                     |
| MRC GLOBAL INC           | 3/24/2017        | 12/19/2018   | 2 072 50 | 1 576 67 | -                         | (495 83)                 |
| MRC GLOBAL INC           | 8/29/2018        | 12/19/2018   | 2 253 63 | 1,495 12 | (758 51)                  | -                        |
| OCCIDENTAL PETE CORP     | 5/31/2018        | 10/25/2018   | 672 30   | 548 71   | (123 59)                  | -                        |
| OCCIDENTAL PETE CORP     | 5/31/2018        | 12/19/2018   | 7 143 22 | 5,382 13 | (1 761 09)                | -                        |
| OCCIDENTAL PETE CORP     | 6/6/2018         | 12/19/2018   | 5 765 22 | 4 242 38 | (1,522 84)                | -                        |
| OCCIDENTAL PETE CORP     | 8/10/2018        | 12/19/2018   | 5 264 40 | 4 242 38 | (1 022 02)                | -                        |
| OGE ENERGY CORP          | 9/16/2016        | 10/25/2018   | 344 71   | 408 53   | -                         | 63 82                    |
| OGE ENERGY CORP          | 9/16/2016        | 12/19/2018   | 5,076 63 | 6,493 93 | -                         | 1 417 30                 |
| OGE ENERGY CORP          | 1/3/2017         | 12/19/2018   | 2 824 21 | 3,367 22 | -                         | 543 01                   |
| ONE GAS INC              | 1/11/2018        | 10/25/2018   | 207 27   | 245 30   | 38 03                     | -                        |

## BROOKDALE FOUNDATION

Page 14 of 18

FORM 990 PF

ID # 13-6076863

June 30, 2019

## PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                         | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|-------------------------------------|------------------|--------------|-----------|----------|---------------------------|--------------------------|
| ONE GAS INC                         | 1/11/2018        | 12/19/2018   | 7,047.26  | 8,534.23 | 1,486.97                  | -                        |
| ONEOK INC                           | 8/17/2018        | 12/19/2018   | 8,804.59  | 7,441.76 | (1,362.83)                | -                        |
| ONEOK INC                           | 10/23/2018       | 12/19/2018   | 5,460.46  | 4,865.77 | (594.69)                  | -                        |
| PARSLEY ENERGY INC CL A             | 6/8/2018         | 10/8/2018    | 5,693.06  | 6,137.80 | 444.74                    | -                        |
| PARSLEY ENERGY INC CL A             | 8/17/2018        | 10/8/2018    | 3,193.64  | 3,318.53 | 124.89                    | -                        |
| PDC ENERGY INC                      | 10/24/2018       | 12/19/2018   | 11,072.01 | 7,272.53 | (3,799.48)                | -                        |
| PEMBINA PIPELINE CORP               | 9/19/2016        | 10/25/2018   | 534.38    | 600.47   | -                         | 66.09                    |
| PEMBINA PIPELINE CORP               | 9/19/2016        | 12/19/2018   | 4,215.68  | 4,523.90 | -                         | 308.22                   |
| PEMBINA PIPELINE CORP               | 9/23/2016        | 12/19/2018   | 2,763.84  | 2,899.12 | -                         | 135.28                   |
| PEMBINA PIPELINE CORP               | 11/2/2016        | 12/19/2018   | 2,351.90  | 2,548.68 | -                         | 196.78                   |
| PEMBINA PIPELINE CORP               | 1/6/2017         | 12/19/2018   | 1,205.63  | 1,210.62 | -                         | 4.99                     |
| PEMBINA PIPELINE CORP               | 8/17/2018        | 12/19/2018   | 4,518.50  | 4,077.88 | (440.62)                  | -                        |
| PIONEER NAT RES CO                  | 12/29/2014       | 10/25/2018   | 306.24    | 307.97   | -                         | 1.73                     |
| PIONEER NAT RES CO                  | 12/29/2014       | 12/19/2018   | 2,449.89  | 2,169.53 | -                         | (280.36)                 |
| PIONEER NAT RES CO                  | 10/30/2015       | 12/19/2018   | 1,797.23  | 1,762.74 | -                         | (34.49)                  |
| PIONEER NAT RES CO                  | 12/22/2016       | 12/19/2018   | 4,298.25  | 3,118.70 | -                         | (1,179.55)               |
| PIONEER NAT RES CO                  | 11/14/2017       | 12/19/2018   | 1,388.56  | 1,220.36 | -                         | (168.20)                 |
| PLAINS GP HLDGS LP LTD PTR INT CL A | 3/28/2017        | 10/25/2018   | 584.27    | 436.19   | -                         | (148.08)                 |
| PLAINS GP HLDGS LP LTD PTR INT CL A | 3/28/2017        | 12/19/2018   | 7,112.77  | 5,392.00 | -                         | (1,720.77)               |
| PLAINS GP HLDGS LP LTD PTR INT CL A | 7/25/2017        | 12/19/2018   | 793.76    | 679.48   | -                         | (114.28)                 |
| PLAINS GP HLDGS LP LTD PTR INT CL A | 4/10/2018        | 12/19/2018   | 2,383.22  | 2,169.95 | (213.27)                  | -                        |
| PLAINS GP HLDGS LP LTD PTR INT CL A | 8/17/2018        | 12/19/2018   | 4,156.86  | 3,485.07 | (671.79)                  | -                        |
| QUANTA SVCS INC                     | 2/8/2018         | 10/25/2018   | 376.37    | 339.67   | (36.70)                   | -                        |
| QUANTA SVCS INC                     | 2/8/2018         | 12/19/2018   | 4,892.80  | 4,182.37 | (710.44)                  | -                        |
| QUANTA SVCS INC                     | 6/6/2018         | 12/19/2018   | 4,884.21  | 3,860.64 | (1,023.57)                | -                        |
| SEMPRA ENERGY COM                   | 4/23/2015        | 10/25/2018   | 216.54    | 230.17   | -                         | 13.63                    |
| SEMPRA ENERGY COM                   | 4/23/2015        | 12/19/2018   | 1,515.75  | 1,605.92 | -                         | 90.17                    |
| SEMPRA ENERGY COM                   | 6/2/2015         | 12/19/2018   | 1,277.03  | 1,376.50 | -                         | 99.47                    |
| SEMPRA ENERGY COM                   | 6/29/2016        | 12/19/2018   | 2,108.07  | 2,179.46 | -                         | 71.39                    |
| TARGA RES CORP                      | 3/31/2016        | 10/25/2018   | 236.99    | 525.69   | -                         | 288.70                   |
| TARGA RES CORP                      | 3/31/2016        | 12/19/2018   | 782.08    | 1,311.94 | -                         | 529.86                   |
| TARGA RES CORP                      | 5/27/2016        | 12/19/2018   | 1,686.58  | 1,789.00 | -                         | 102.42                   |
| TARGA RES CORP                      | 6/29/2016        | 12/19/2018   | 1,826.37  | 1,948.03 | -                         | 121.66                   |
| TARGA RES CORP                      | 9/16/2016        | 12/19/2018   | 1,311.46  | 1,232.43 | -                         | (79.03)                  |
| TARGA RES CORP                      | 8/17/2018        | 12/19/2018   | 4,156.66  | 3,061.19 | (1,095.47)                | -                        |
| TRANSCANADA CORP                    | 11/2/2016        | 8/17/2018    | 2,083.65  | 2,113.10 | -                         | 29.45                    |
| TRANSCANADA CORP                    | 5/12/2017        | 8/17/2018    | 4,505.42  | 4,270.23 | -                         | (235.19)                 |
| UGI CORP NEW                        | 8/29/2013        | 8/17/2018    | 2,905.61  | 6,101.20 | -                         | 3,195.58                 |
| UGI CORP NEW                        | 11/17/2015       | 8/17/2018    | 307.26    | 499.19   | -                         | 191.93                   |
| UGI CORP NEW                        | 4/10/2018        | 8/17/2018    | 4,201.90  | 5,213.75 | 1,011.85                  | -                        |
| WILLIAMS COS INC                    | 7/1/2016         | 10/25/2018   | 204.97    | 249.19   | -                         | 44.22                    |
| WILLIAMS COS INC                    | 7/1/2016         | 11/6/2018    | 2,521.18  | 3,154.17 | -                         | 632.99                   |
| WILLIAMS COS INC                    | 8/1/2016         | 11/6/2018    | 2,122.58  | 2,410.50 | -                         | 287.92                   |
| ACCENTURE PLC                       | 1/9/2019         | 4/18/2019    | 3,374.51  | 4,107.19 | 732.68                    | -                        |
| AIR PRODS & CHEMS INC               | 4/9/2015         | 5/3/2019     | 4,308.31  | 6,435.35 | -                         | 2,127.04                 |
| AMGEN INC                           | 7/31/2015        | 2/4/2019     | 4,254.96  | 4,451.92 | -                         | 196.96                   |
| AMGEN INC                           | 7/31/2015        | 5/20/2019    | 4,077.67  | 3,891.28 | -                         | (186.39)                 |
| ANALOG DEVICES INC                  | 12/1/2017        | 4/18/2019    | 3,362.06  | 4,579.04 | -                         | 1,216.98                 |
| APPLE INC COM                       | 7/31/2015        | 11/9/2018    | 4,130.66  | 6,894.95 | -                         | 2,764.29                 |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION             | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|-------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| APPLE INC COM           | 7/31/2015        | 1/9/2019     | 1,700 86  | 2,142 53  | -                         | 441 67                   |
| APPLE INC COM           | 6/1/2016         | 1/9/2019     | 1,689 35  | 2,601 64  | -                         | 912 29                   |
| APPLE INC COM           | 11/4/2016        | 1/9/2019     | 3 276 77  | 4,591 13  | -                         | 1 314 36                 |
| AT & T INC              | 4/27/2016        | 2/4/2019     | 4,096 91  | 3,136 91  | -                         | (960 00)                 |
| AT & T INC              | 4/26/2017        | 2/4/2019     | 3,071 87  | 2,249 10  | -                         | (822 77)                 |
| BOEING COMPANY          | 12/12/2018       | 3/12/2019    | 1 648 58  | 1,891 20  | 242 62                    | -                        |
| BRISTOL MYERS SQUIBB CO | 9/4/2018         | 11/9/2018    | 14,148 89 | 12,444 40 | (1,704 49)                | -                        |
| CISCO SYSTEMS INC       | 2/25/2015        | 4/18/2019    | 3,953 74  | 7,539 96  | -                         | 3,586 22                 |
| CISCO SYSTEMS INC       | 2/25/2015        | 5/20/2019    | 1,327 75  | 2,522 97  | -                         | 1,195 23                 |
| CISCO SYSTEMS INC       | 12/2/2016        | 5/20/2019    | 2 535 93  | 4,821 69  | -                         | 2 285 76                 |
| CME GROUP INC           | 7/31/2015        | 12/7/2018    | 4,816 99  | 9,381 48  | -                         | 4,564 49                 |
| CME GROUP INC           | 7/31/2015        | 1/22/2019    | 1,734 12  | 3,337 83  | -                         | 1,603 71                 |
| CME GROUP INC           | 9/29/2015        | 1/22/2019    | 270 45    | 556 30    | -                         | 285 86                   |
| CORTEVA INC             | 11/14/2017       | 6/7/2019     | 12 12     | 8 92      | -                         | (3 20)                   |
| CORTEVA INC             | 11/14/2017       | 6/12/2019    | 2,205 01  | 1,519 12  | -                         | (685 89)                 |
| CORTEVA INC             | 1/17/2018        | 6/12/2019    | 1,879 57  | 1,168 56  | -                         | (711 01)                 |
| CORTEVA INC             | 2/7/2018         | 6/12/2019    | 1,091 46  | 717 83    | -                         | (373 63)                 |
| CORTEVA INC             | 5/7/2018         | 6/12/2019    | 516 68    | 375 61    | -                         | (141 07)                 |
| CORTEVA INC             | 11/9/2018        | 6/12/2019    | 691 87    | 559 24    | (132 63)                  | -                        |
| CORTEVA INC             | 2/4/2019         | 6/12/2019    | 580 25    | 517 50    | (62 75)                   | -                        |
| DOW INC                 | 11/14/2017       | 4/8/2019     | 22 99     | 19 72     | -                         | (3 27)                   |
| DUPONT DE NEMOURS INC   | 11/14/2017       | 6/11/2019    | 33 40     | 24 56     | -                         | (8 84)                   |
| DUPONT DE NEMOURS INC   | 11/14/2017       | 6/12/2019    | 6 078 06  | 4,416 73  | -                         | (1,661 32)               |
| DUPONT DE NEMOURS INC   | 1/17/2018        | 6/12/2019    | 5,180 98  | 3,397 49  | -                         | (1,783 49)               |
| DUPONT DE NEMOURS INC   | 2/7/2018         | 6/12/2019    | 3,008 57  | 2,087 03  | -                         | (921 54)                 |
| DUPONT DE NEMOURS INC   | 5/7/2018         | 6/12/2019    | 1,424 20  | 1,092 05  | -                         | (332 15)                 |
| DUPONT DE NEMOURS INC   | 11/9/2018        | 6/12/2019    | 1 907 12  | 1,625 94  | (281 18)                  | -                        |
| DUPONT DE NEMOURS INC   | 2/4/2019         | 6/12/2019    | 1,599 46  | 1,504 61  | (94 85)                   | -                        |
| GARRETT MOTION INC      | 10/1/2018        | 10/3/2018    | 309 46    | 363 68    | 54 22                     | -                        |
| GARRETT MOTION INC      | 10/1/2018        | 10/16/2018   | 5 63      | 6 48      | 0 85                      | -                        |
| GENERAL DYNAMICS CORP   | 1/17/2018        | 1/9/2019     | 2,919 63  | 2,240 75  | (678 88)                  | -                        |
| GENERAL DYNAMICS CORP   | 7/30/2018        | 1/9/2019     | 1,983 85  | 1,600 54  | (383 31)                  | -                        |
| GENERAL DYNAMICS CORP   | 10/3/2018        | 1/9/2019     | 2 058 69  | 1,600 54  | (458 15)                  | -                        |
| GENERAL DYNAMICS CORP   | 10/3/2018        | 1/9/2019     | 1 435 55  | 1,120 38  | (315 17)                  | -                        |
| GENERAL DYNAMICS CORP   | 6/6/2013         | 2/27/2019    | 8,018 40  | 17,538 06 | -                         | 9,519 66                 |
| GENERAL DYNAMICS CORP   | 7/30/2018        | 2/27/2019    | 2 182 24  | 1 854 99  | (327 25)                  | -                        |
| GENERAL DYNAMICS CORP   | 11/9/2018        | 2/27/2019    | 3 493 28  | 3,204 07  | (289 21)                  | -                        |
| KRAFT HEINZ CO          | 1/15/2015        | 10/3/2018    | 7,528 15  | 6,713 99  | -                         | (814 16)                 |
| KRAFT HEINZ CO          | 2/25/2015        | 10/3/2018    | 4,308 52  | 3 916 49  | -                         | (392 03)                 |
| KRAFT HEINZ CO          | 7/31/2015        | 10/3/2018    | 10,148 99 | 7,385 38  | -                         | (2,763 61)               |
| KRAFT HEINZ CO          | 5/15/2017        | 10/3/2018    | 2 948 10  | 1,846 35  | -                         | (1 101 75)               |
| KRAFT HEINZ CO          | 8/21/2017        | 10/3/2018    | 3 887 65  | 2 573 69  | -                         | (1,313 96)               |
| MEDTRONIC PLC           | 10/31/2013       | 9/4/2018     | 922 50    | 1 528 60  | -                         | 606 10                   |
| MEDTRONIC PLC           | 12/20/2013       | 9/4/2018     | 3 173 74  | 5,350 09  | -                         | 2 176 35                 |
| MEDTRONIC PLC           | 10/31/2013       | 5/31/2019    | 3,228 76  | 5 187 38  | -                         | 1,958 62                 |
| MERCK & CO INC          | 1/15/2015        | 9/4/2018     | 1 742 17  | 1 902 83  | -                         | 160 66                   |
| MERCK & CO INC          | 5/15/2017        | 9/4/2018     | 3 240 28  | 3 465 88  | -                         | 225 60                   |
| MERCK & CO INC          | 1/15/2015        | 11/9/2018    | 6 284 27  | 7,575 37  | -                         | 1 291 10                 |
| MERCK & CO INC          | 8/21/2017        | 11/9/2018    | 309 68    | 375 02    | -                         | 65 34                    |
| MERCK & CO INC          | 8/21/2017        | 2/4/2019     | 3 468 43  | 4 293 86  | -                         | 825 43                   |

## BROOKDALE FOUNDATION

Page 16 of 18

FORM 990 PF

ID # 13-6076863

June 30, 2019

## PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                 | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|-----------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| MICROSOFT CORP              | 7/31/2015        | 5/20/2019    | 653 19    | 1,778 50  | -                         | 1,125 30                 |
| MICROSOFT CORP              | 2/4/2019         | 5/20/2019    | 2,113 79  | 2,540 71  | 426 92                    | -                        |
| MONDELEZ INTL INC           | 11/9/2018        | 5/20/2019    | 1,854 24  | 2,201 11  | 346 87                    | -                        |
| NEWELL BRANDS INC           | 9/27/2013        | 2/27/2019    | 4,072 52  | 2,426 68  | -                         | (1,645 84)               |
| NEWELL BRANDS INC           | 9/27/2013        | 2/27/2019    | 6,316 56  | 3,816 47  | -                         | (2,500 10)               |
| NEWELL BRANDS INC           | 10/31/2013       | 2/27/2019    | 2,288 80  | 1,288 89  | -                         | (999 91)                 |
| NEWELL BRANDS INC           | 7/31/2015        | 2/27/2019    | 2,639 01  | 1,021 07  | -                         | (1,617 93)               |
| NEWELL BRANDS INC           | 2/15/2017        | 2/27/2019    | 3,970 56  | 1,406 07  | -                         | (2,564 49)               |
| NEWELL BRANDS INC           | 3/22/2017        | 2/27/2019    | 2,740 75  | 954 12    | -                         | (1,786 63)               |
| NEWELL BRANDS INC           | 8/21/2017        | 2/27/2019    | 3,204 06  | 1,104 77  | -                         | (2,099 29)               |
| NEWELL BRANDS INC           | 5/7/2018         | 2/27/2019    | 3,515 16  | 2,129 53  | (1,385 63)                | -                        |
| OCCIDENTAL PETE CORP        | 10/2/2015        | 4/24/2019    | 10,748 91 | 8,482 98  | -                         | (2,265 93)               |
| OCCIDENTAL PETE CORP        | 12/7/2015        | 4/24/2019    | 5,087 37  | 4,483 86  | -                         | (603 51)                 |
| OCCIDENTAL PETE CORP        | 7/13/2016        | 4/24/2019    | 6,595 08  | 5,210 98  | -                         | (1,384 10)               |
| OCCIDENTAL PETE CORP        | 9/1/2016         | 4/24/2019    | 2,898 26  | 2,302 52  | -                         | (595 74)                 |
| OCCIDENTAL PETE CORP        | 1/31/2017        | 4/24/2019    | 2,777 16  | 2,484 30  | -                         | (292 86)                 |
| OCCIDENTAL PETE CORP        | 3/26/2018        | 4/24/2019    | 2,048 99  | 1,878 38  | -                         | (170 61)                 |
| OCCIDENTAL PETE CORP        | 8/10/2018        | 4/24/2019    | 4,231 08  | 3,272 01  | (959 07)                  | -                        |
| OCCIDENTAL PETE CORP        | 12/7/2018        | 4/24/2019    | 12,511 35 | 11,209 66 | (1,301 69)                | -                        |
| PFIZER INC                  | 7/13/2016        | 12/7/2018    | 2,397 58  | 2,931 52  | -                         | 533 94                   |
| PFIZER INC                  | 7/13/2016        | 12/7/2018    | 1,815 27  | 2,220 85  | -                         | 405 58                   |
| PFIZER INC                  | 12/2/2016        | 12/7/2018    | 474 84    | 666 25    | -                         | 191 42                   |
| PFIZER INC                  | 2/7/2018         | 12/7/2018    | 1,844 77  | 2,309 68  | 464 91                    | -                        |
| PFIZER INC                  | 9/29/2015        | 2/4/2019     | 1,184 63  | 1,606 46  | -                         | 421 83                   |
| PFIZER INC                  | 12/2/2016        | 2/4/2019     | 4,020 27  | 5,368 95  | -                         | 1,348 68                 |
| RAYTHEON CO                 | 7/30/2018        | 5/3/2019     | 2,337 97  | 2,145 33  | (192 64)                  | -                        |
| RAYTHEON CO                 | 11/9/2018        | 5/3/2019     | 3,007 81  | 2,860 45  | (147 36)                  | -                        |
| RESIDEO TECHNOLOGIES INC    | 10/29/2018       | 11/1/2018    | 7 78      | 6 92      | (0 86)                    | -                        |
| RESIDEO TECHNOLOGIES INC    | 10/29/2018       | 11/9/2018    | 863 84    | 805 59    | (58 25)                   | -                        |
| SCHLUMBERGER LTD            | 2/5/2014         | 12/7/2018    | 9,817 01  | 4,970 54  | -                         | (4,846 47)               |
| SCHLUMBERGER LTD            | 2/26/2014        | 12/7/2018    | 466 28    | 218 01    | -                         | (248 27)                 |
| SCHLUMBERGER LTD            | 7/31/2015        | 12/7/2018    | 6,799 44  | 3,575 30  | -                         | (3,224 14)               |
| SCHLUMBERGER LTD            | 5/20/2016        | 12/7/2018    | 6,210 07  | 3,618 90  | -                         | (2,591 17)               |
| SCHLUMBERGER LTD            | 7/19/2016        | 12/7/2018    | 3,358 28  | 1,831 25  | -                         | (1,527 03)               |
| SCHLUMBERGER LTD            | 9/1/2016         | 12/7/2018    | 2,822 93  | 1,569 64  | -                         | (1,253 29)               |
| SCHLUMBERGER LTD            | 1/31/2017        | 12/7/2018    | 3,006 61  | 1,569 64  | -                         | (1,436 97)               |
| SCHLUMBERGER LTD            | 4/20/2017        | 12/7/2018    | 3,537 19  | 2,005 66  | -                         | (1,531 53)               |
| SCHLUMBERGER LTD            | 2/7/2018         | 12/7/2018    | 3,323 67  | 2,049 26  | (1,274 41)                | -                        |
| THE TRAVELERS COMPANIES INC | 12/15/2016       | 7/30/2018    | 13,431 34 | 14,567 38 | -                         | 1,136 04                 |
| THE TRAVELERS COMPANIES INC | 1/31/2017        | 7/30/2018    | 3,168 79  | 3,543 42  | -                         | 374 63                   |
| THE TRAVELERS COMPANIES INC | 4/26/2017        | 7/30/2018    | 3,189 10  | 3,412 18  | -                         | 223 08                   |
| THE TRAVELERS COMPANIES INC | 2/7/2018         | 7/30/2018    | 3,705 93  | 3,412 18  | (293 75)                  | -                        |
| ACCENTURE PLC IRELAND       | 2/12/2018        | 8/24/2018    | 467 04    | 498 02    | 30 98                     | -                        |
| ACCENTURE PLC IRELAND       | 2/12/2018        | 1/4/2019     | 1,089 77  | 987 20    | (102 57)                  | -                        |
| ADIDAS SALOMON AG           | 2/12/2018        | 8/24/2018    | 549 85    | 621 79    | 71 94                     | -                        |
| ADIDAS SALOMON AG           | 2/12/2018        | 1/4/2019     | 879 76    | 871 76    | (8 00)                    | -                        |
| AIA GROUP LTD               | 2/12/2018        | 12/31/2018   | 813 28    | 859 28    | 46 00                     | -                        |
| AIA GROUP LTD               | 2/12/2018        | 1/4/2019     | 1,094 80  | 1,142 38  | 47 58                     | -                        |
| AMADEUS IT HLDG SA ADS      | 5/14/2018        | 8/24/2018    | 229 62    | 278 87    | 49 25                     | -                        |

PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                           | DATE<br>ACQUIRED | DATE<br>SOLD | COST      | PROCEEDS  | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|---------------------------------------|------------------|--------------|-----------|-----------|---------------------------|--------------------------|
| ATLAS COPCO AB                        | 2/12/2018        | 8/24/2018    | 415 02    | 361 39    | (53 63)                   | -                        |
| CANADIAN PAC RY LTD                   | 2/12/2018        | 8/24/2018    | 352 74    | 407 81    | 55 07                     | -                        |
| CANADIAN PAC RY LTD                   | 2/12/2018        | 12/31/2018   | 352 74    | 357 49    | 4 75                      | -                        |
| CHR HANSEN HLDG A/S                   | 2/12/2018        | 8/24/2018    | 378 54    | 469 70    | 91 16                     | -                        |
| CHUBB LTD                             | 2/12/2018        | 8/24/2018    | 435 85    | 405 09    | (30 76)                   | -                        |
| CHUBB LTD                             | 2/12/2018        | 1/4/2019     | 1,162 26  | 1,029 24  | (133 02)                  | -                        |
| COMPASS GROUP PLC                     | 2/12/2018        | 8/24/2018    | 557 44    | 567 83    | 10 39                     | -                        |
| CORE LABORATORIES NV                  | 2/12/2018        | 8/24/2018    | 320 46    | 341 89    | 21 43                     | -                        |
| CORE LABORATORIES NV                  | 2/12/2018        | 2/15/2019    | 3,952 33  | 2,506 64  | -                         | (1,445 69)               |
| CORE LABORATORIES NV                  | 2/12/2018        | 6/3/2019     | 6,195 55  | 2,818 78  | -                         | (3,376 77)               |
| CORE LABORATORIES NV                  | 5/23/2018        | 6/3/2019     | 380 88    | 145 80    | -                         | (235 08)                 |
| CSL LTD                               | 2/12/2018        | 8/24/2018    | 1,052 93  | 1,559 49  | 506 56                    | -                        |
| CSL LTD                               | 2/12/2018        | 1/4/2019     | 332 50    | 406 98    | 74 48                     | -                        |
| DSV AS                                | 2/12/2018        | 8/24/2018    | 467 40    | 553 91    | 86 51                     | -                        |
| ESSILOR INTL S A                      | 2/12/2018        | 8/24/2018    | 265 39    | 288 67    | 23 28                     | -                        |
| EXPERIAN PLC                          | 2/12/2018        | 8/24/2018    | 275 47    | 318 27    | 42 80                     | -                        |
| EXPERIAN PLC                          | 2/12/2018        | 12/31/2018   | 444 99    | 509 24    | 64 25                     | -                        |
| FANUC CORPORATION                     | 4/12/2018        | 11/28/2018   | 13,848 66 | 9,507 29  | (4,341 38)                | -                        |
| FANUC CORPORATION                     | 5/23/2018        | 11/28/2018   | 374 56    | 255 11    | (119 45)                  | -                        |
| FERRARI N V                           | 2/12/2018        | 8/2/2018     | 10,598 38 | 10,845 14 | 246 76                    | -                        |
| FERRARI N V                           | 5/23/2018        | 8/2/2018     | 391 95    | 378 32    | (13 63)                   | -                        |
| HDFC BK LTD                           | 2/12/2018        | 8/24/2018    | 306 56    | 300 23    | (6 33)                    | -                        |
| HERMES INTL SCA                       | 2/12/2018        | 7/10/2018    | 6,427 37  | 7,456 90  | 1,029 53                  | -                        |
| HERMES INTL SCA                       | 2/12/2018        | 7/11/2018    | 4,050 86  | 4,624 80  | 573 94                    | -                        |
| HERMES INTL SCA                       | 5/23/2018        | 7/11/2018    | 355 76    | 308 32    | (47 44)                   | -                        |
| HEXAGON AB                            | 2/12/2018        | 12/31/2018   | 775 32    | 590 06    | (185 26)                  | -                        |
| HEXAGON AB                            | 2/12/2018        | 3/4/2019     | 9,244 20  | 8,205 33  | -                         | (1,038 87)               |
| HEXAGON AB                            | 4/12/2018        | 3/4/2019     | 4,049 64  | 3,652 69  | (396 95)                  | -                        |
| HEXAGON AB                            | 5/23/2018        | 3/4/2019     | 552 50    | 529 38    | (23 12)                   | -                        |
| HEXAGON AB                            | 8/27/2018        | 3/4/2019     | 4,254 26  | 3,811 51  | (442 75)                  | -                        |
| ICON PLC LTD                          | 2/12/2018        | 8/24/2018    | 330 71    | 434 15    | 103 44                    | -                        |
| ICON PLC LTD                          | 2/12/2018        | 1/4/2019     | 992 12    | 1,135 79  | 143 67                    | -                        |
| ICON PLC LTD                          | 2/12/2018        | 3/21/2019    | 3,196 84  | 3,968 70  | -                         | 771 86                   |
| INDUSTRIA DE DISENO TEXTIL INDITEX SA | 2/12/2018        | 1/4/2019     | 574 35    | 454 99    | (119 36)                  | -                        |
| INDUSTRIA DE DISENO TEXTIL INDITEX SA | 2/12/2018        | 5/6/2019     | 4,742 49  | 4,167 58  | -                         | (574 91)                 |
| LUXOTTICA GROUP SPA                   | 2/12/2018        | 8/24/2018    | 242 88    | 264 79    | 21 91                     | -                        |
| LUXOTTICA GROUP SPA                   | 2/12/2018        | 11/19/2018   | 7,954 47  | 8 005 20  | 50 73                     | -                        |
| LUXOTTICA GROUP SPA                   | 5/23/2018        | 11/19/2018   | 317 78    | 305 54    | (12 24)                   | -                        |
| LVMH MOET HENNESSY LOUIS VUITTON      | 2/12/2018        | 8/24/2018    | 359 46    | 417 65    | 58 19                     | -                        |
| METTLER-TOLEDO INTL INC               | 6/28/2018        | 12/31/2018   | 569 47    | 563 43    | (6 04)                    | -                        |
| NESTLE SA                             | 2/12/2018        | 8/24/2018    | 246 99    | 248 42    | 1 43                      | -                        |
| NESTLE SA                             | 2/12/2018        | 12/31/2018   | 329 32    | 323 19    | (6 13)                    | -                        |
| PERNOD RICARD S A                     | 2/12/2018        | 12/31/2018   | 448 08    | 454 71    | 6 63                      | -                        |
| PERNOD RICARD S A                     | 2/12/2018        | 1/4/2019     | 480 09    | 481 49    | 1 40                      | -                        |
| RYANAIR HLDGS PLC                     | 2/12/2018        | 8/24/2018    | 348 97    | 299 29    | (49 68)                   | -                        |
| RYANAIR HLDGS PLC                     | 2/12/2018        | 1/4/2019     | 581 61    | 363 25    | (218 36)                  | -                        |
| RYANAIR HLDGS PLC                     | 2/12/2018        | 6/7/2019     | 8 258 89  | 4,731 86  | -                         | (3 527 03)               |
| RYANAIR HLDGS PLC                     | 5/23/2018        | 6/7/2019     | 241 99    | 133 29    | -                         | (108 70)                 |
| SHOPIFY INC CL A                      | 5/2/2018         | 4/26/2019    | 3,119 28  | 5 567 77  | 2 448 49                  | -                        |
| SYSMEX CORP                           | 2/12/2018        | 8/24/2018    | 278 32    | 285 94    | 7 62                      | -                        |

## BROOKDALE FOUNDATION

Page 18 of 18

FORM 990 PF

ID # 13-6076863

June 30, 2019

## PART I LINE 6A - CAPITAL GAINS AND LOSSES

| DESCRIPTION                                       | DATE<br>ACQUIRED | DATE<br>SOLD | COST                | PROCEEDS            | SHORT-TERM<br>GAIN/(LOSS) | LONG-TERM<br>GAIN/(LOSS) |
|---------------------------------------------------|------------------|--------------|---------------------|---------------------|---------------------------|--------------------------|
| SYSMEX CORP                                       | 2/12/2018        | 12/17/2018   | 7,276 08            | 4,467 99            | (2,808 09)                | -                        |
| TAIWAN SEMICONDUCTOR MFG CO                       | 2/12/2018        | 8/24/2018    | 640 50              | 618 59              | (21 91)                   | -                        |
| TENCENT HLDGS LTD                                 | 2/12/2018        | 8/24/2018    | 484 74              | 412 82              | (71 92)                   | -                        |
| TENCENT HLDGS LTD                                 | 2/12/2018        | 1/4/2019     | 1,346 50            | 1,021 07            | (325 43)                  | -                        |
| WALMART DE MEXICO SA DE CV                        | 2/12/2018        | 1/4/2019     | 410 89              | 428 39              | 17 50                     | -                        |
| ATLAS COPCO AB COST ADJ PY SALE OF RIGHTS         |                  |              | 4,645 31            |                     | (4,645 31)                | -                        |
| CVR REFINING LP PRIOR YEAR COST BASIS ADJ PER K-1 |                  |              | 189 00              | -                   | (189 00)                  | -                        |
| TOTAL                                             |                  |              | <u>3,098,039 94</u> | <u>3,029,039 10</u> | <u>(96,296 38)</u>        | <u>27,295 54</u>         |
| SHORT TERM                                        |                  |              | 1,801,175 40        | 1,704,879 02        | (96,296 38)               | -                        |
| LONG TERM                                         |                  |              | <u>1,296,864 54</u> | <u>1,324,160 08</u> | <u>-</u>                  | <u>27,295 54</u>         |
|                                                   |                  |              | <u>3,098,039 94</u> | <u>3,029,039 10</u> | <u>(96,296 38)</u>        | <u>27,295 54</u>         |



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| INTEREST                | 17.                         | 17.                             | 0.                            |
| TOTAL TO PART I, LINE 3 | 17.                         | 17.                             | 0.                            |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE            | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DIVIDENDS         | 109,442.        | 0.                            | 109,442.                    | 109,442.                          | 0.                            |
| TO PART I, LINE 4 | 109,442.        | 0.                            | 109,442.                    | 109,442.                          | 0.                            |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| THRU PARTNERSHIP INVESTMENT           | 10,330.                     | 10,330.                           | 0.                            |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 10,330.                     | 10,330.                           | 0.                            |

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| BERDON LLP                   | 18,560.                      | 9,280.                            | 0.                            | 9,280.                        |
| TO FORM 990-PF, PG 1, LN 16B | 18,560.                      | 9,280.                            | 0.                            | 9,280.                        |

## FORM 990-PF

## OTHER PROFESSIONAL FEES

## STATEMENT 5

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| CONSULTING FEES              | 450.                         | 0.                                | 0.                            | 450.                          |
| TO FORM 990-PF, PG 1, LN 16C | 450.                         | 0.                                | 0.                            | 450.                          |

## FORM 990-PF

## TAXES

## STATEMENT 6

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FEDERAL EXCISE TAX          | 673.                         | 0.                                | 0.                            | 0.                            |
| FOREIGN TAXES               | 5,709.                       | 5,709.                            | 0.                            | 0.                            |
| NYS FILING FEES             | 250.                         | 0.                                | 0.                            | 250.                          |
| NYS UBT                     | 250.                         | 0.                                | 0.                            | 0.                            |
| PAYROLL TAXES               | 5,548.                       | 0.                                | 0.                            | 5,548.                        |
| TO FORM 990-PF, PG 1, LN 18 | 12,430.                      | 5,709.                            | 0.                            | 5,798.                        |

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT 7

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT FEES             | 36,223.                      | 36,223.                           | 0.                            | 0.                            |
| MISCELLANEOUS EXPENSE       | 369.                         | 185.                              | 0.                            | 184.                          |
| BANK CHARGES                | 1,129.                       | 1,129.                            | 0.                            | 0.                            |
| INSURANCE - OFFICERS        | 1,337.                       | 0.                                | 0.                            | 1,337.                        |
| INSURANCE - OTHER           | 978.                         | 0.                                | 0.                            | 978.                          |
| TO FORM 990-PF, PG 1, LN 23 | 40,036.                      | 37,537.                           | 0.                            | 2,499.                        |

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| INVESTMENTS - STOCK                     | 4,471,241. | 5,258,398.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 4,471,241. | 5,258,398.           |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>         | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|----------------------------|-------------------|---------------------|
| ACTIVISION BLIZZARD INC    | 7,037 62          | 7,080 00            |
| AIA GROUP LTD              | 14,092 23         | 16,173 47           |
| AIA GROUP LTD              | 4,725 93          | 5,391 16            |
| AIA GROUP LTD              | 4,236 84          | 4,852 04            |
| AIA GROUP LTD              | 1,261 55          | 1,347 79            |
| AIA GROUP LTD              | 471 97            | 539 12              |
| ALIBABA GROUP HOLDINGS LTD | 29,771 66         | 27,112 00           |
| ALIBABA GROUP HOLDINGS LTD | 10,000 64         | 10,167 00           |
| ALIBABA GROUP HOLDINGS LTD | 9,001 00          | 8,472 50            |
| ALIBABA GROUP HOLDINGS LTD | 7,785 65          | 6,778 00            |
| ALPHABET INC CLASS C       | 61,176 86         | 64,854 60           |
| ALPHABET INC CLASS C       | 24,140 60         | 21,618 20           |
| ALPHABET INC CLASS C       | 5,836 23          | 5,404 55            |
| ALPHABET INC CLASS C       | 5,798 10          | 5,404 55            |
| AMAZON COM INC             | 52,534 14         | 68,170 68           |
| AMAZON COM INC             | 26,715 75         | 28,404 45           |
| AMAZON COM INC             | 8,927 80          | 9,468 15            |
| AMEREN CORP                | 8,320 25          | 8,637 65            |
| AMERICAN EXPRESS           | 17 709 23         | 19,750 40           |
| AMERICAN EXPRESS           | 13,436 52         | 14,812 80           |
| AMERICAN EXPRESS           | 6,572 27          | 7,406 40            |
| AMERICAN EXPRESS           | 2,199 39          | 2,468 80            |
| AMERICAN TOWER CORP        | 10,410 40         | 11,244 75           |
| AMERICAN WATER WORKS CO    | 9,901 85          | 11,020 00           |
| APPLE INC                  | 11,232 00         | 11,875 20           |
| ASML HOLDING               | 26,190 42         | 28,228 12           |
| ASML HOLDING               | 3,706 82          | 4,181 94            |
| ASML HOLDING               | 1,958 06          | 2,090 97            |
| ASML HOLDING               | 1,869 54          | 2,090 97            |
| ATLAS COPCO AB A           | 19,046 72         | 20,541 09           |
| ATLAS COPCO AB A           | 8,346 71          | 9,554 00            |
| ATLAS COPCO AB A           | 3,172 21          | 3,184 67            |
| ATLAS COPCO AB A           | 2,448 97          | 2,866 20            |
| ATLAS COPCO AB A           | 1,609 36          | 1,910 80            |
| ATLAS COPCO AB A           | 1,549 98          | 1,592 33            |
| ATLAS COPCO AB A           | 795 14            | 796 17              |
| ATLAS COPCO AB A           | 778 47            | 796 17              |
| ATLAS COPCO AB A           | 764 52            | 796 17              |
| ATLAS COPCO AB A           | 687 59            | 796 17              |
| AUTOMATIC DATA PROCESSING  | 29,719 08         | 42,159 15           |
| BANK OF AMERICA CORP       | 11,679 16         | 11,455 00           |
| BAXTER INTERNATIONAL INC   | 15,872 78         | 16,789 50           |
| BECTON DICKINSON & CO      | 31,052 70         | 34,021 35           |
| BERKSHIRE HATHAWAY CL B    | 38,257 05         | 41,568 15           |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>      | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|-------------------------|-------------------|---------------------|
| BERKSHIRE HATHAWAY CL B | 10,340 32         | 10,658 50           |
| BERKSHIRE HATHAWAY CL B | 3,795 40          | 4,263 40            |
| BERKSHIRE HATHAWAY CL B | 3,019 94          | 3,197 55            |
| BLACKROCK INC           | 6,479 85          | 7,039 50            |
| BOOKING HLDGS INC       | 45,685 86         | 41,243 62           |
| BOSTON SCIENTIFIC CORP  | 11,654 85         | 12,894 00           |
| BOSTON SCIENTIFIC CORP  | 11,149 44         | 12,894 00           |
| BOSTON SCIENTIFIC CORP  | 8,722 01          | 9,670 50            |
| BOSTON SCIENTIFIC CORP  | 8,325 29          | 9,670 50            |
| BOSTON SCIENTIFIC CORP  | 7,609 50          | 8,596 00            |
| BOSTON SCIENTIFIC CORP  | 2,482 35          | 2,793 70            |
| CHUBB LIMITED           | 12,204 45         | 13,256 10           |
| CHURCH & DWIGHT INC     | 10,536 40         | 11,689 60           |
| CINTAS CORP             | 13,401 70         | 15,423 85           |
| CITIGROUP INC           | 11,413 05         | 12,255 25           |
| CME GROUP INC           | 6,726 00          | 7,764 40            |
| COLGATE-PALMOLIVE CO    | 28,556 30         | 30,818 10           |
| COLGATE-PALMOLIVE CO    | 4,902 75          | 5,375 25            |
| COMPASS GROUP PLC       | 35,452 56         | 40,753 35           |
| CONOCOPHILLIPS          | 14,795 55         | 13,420 00           |
| COSTCO WHSL CORP NEW    | 23,143 20         | 31,711 20           |
| COSTCO WHSL CORP NEW    | 8,402 36          | 10,570 40           |
| COSTCO WHSL CORP NEW    | 2,413 94          | 2,642 60            |
| COSTCO WHSL CORP NEW    | 2,111 40          | 2,642 60            |
| CP ALL PCL ADR          | 17,236 73         | 18,873 68           |
| CP ALL PCL ADR          | 1,875 31          | 2,097 08            |
| CP ALL PCL ADR          | 961 40            | 1,118 44            |
| CSL                     | 16,601 11         | 20,346 00           |
| CSL                     | 5,248 75          | 6,028 45            |
| CSL                     | 4,501 13          | 5,274 89            |
| CSL                     | 3,895 90          | 4,521 33            |
| CSL                     | 3,646 53          | 3,767 78            |
| CSL                     | 3,108 51          | 3,767 78            |
| CSL                     | 2,115 98          | 2,260 67            |
| DANAHER CORP            | 14,249 72         | 15,721 20           |
| DANAHER CORP            | 11,680 20         | 12,862 80           |
| DANAHER CORP            | 7,920 58          | 8,575 20            |
| DANAHER CORP            | 6,705 89          | 7,146 00            |
| DANAHER CORP            | 6,558 17          | 7,146 00            |
| DANAHER CORP            | 1,964 93          | 2,143 80            |
| DANAHER CORP            | 1,339 00          | 1,429 20            |
| DIAMONDBACK ENERGY INC  | 7,360 29          | 7,627 90            |
| DIAMONDBACK ENERGY INC  | 6,159 63          | 6,538 20            |
| DIAMONDBACK ENERGY INC  | 6,004 10          | 6,538 20            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>       | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|--------------------------|-------------------|---------------------|
| DIAMONDBACK ENERGY INC   | 4,129 24          | 4,358 80            |
| DIAMONDBACK ENERGY INC   | 3,115 95          | 3,269 10            |
| DIAMONDBACK ENERGY INC   | 3,039 09          | 3,269 10            |
| DIAMONDBACK ENERGY INC   | 1,031 53          | 1,089 70            |
| DISCOVER FINANCIAL SVCS  | 11,004 20         | 11,638 50           |
| ELANCO ANIMAL HEALTH INC | 10,724 80         | 10,985 00           |
| ELANCO ANIMAL HEALTH INC | 7,355 72          | 7,605 00            |
| ELANCO ANIMAL HEALTH INC | 4,148 85          | 4,225 00            |
| ELANCO ANIMAL HEALTH INC | 4,085 61          | 4,225 00            |
| ELANCO ANIMAL HEALTH INC | 3,317 47          | 3,380 00            |
| ELANCO ANIMAL HEALTH INC | 3,317 22          | 3,380 00            |
| ELANCO ANIMAL HEALTH INC | 3,297 13          | 3,380 00            |
| ELANCO ANIMAL HEALTH INC | 1,665 73          | 1,690 00            |
| ELANCO ANIMAL HEALTH INC | 1,617 02          | 1,690 00            |
| EOG RES INC              | 16,405 23         | 17,700 40           |
| EOG RES INC              | 8,724 21          | 8,384 40            |
| EOG RES INC              | 1,743 09          | 1,863 20            |
| EOG RES INC              | 1,434 75          | 1,397 40            |
| FACEBOOK INC-A           | 12,251 67         | 14,475 00           |
| FIDELITY NATL INFO SVCS  | 9,555 30          | 11,041 20           |
| FISERV INC               | 22,003 10         | 23,701 60           |
| FISERV INC               | 10,977 50         | 11,850 80           |
| FISERV INC               | 8,499 48          | 9,116 00            |
| FISERV INC               | 5,015 00          | 5,469 60            |
| FISERV INC               | 2,640 30          | 2,734 80            |
| FORTIVE CO               | 10,769 85         | 10,597 60           |
| HDFC BANK LTD ADR REPS3  | 27,180 45         | 37,061 40           |
| HDFC BANK LTD ADR REPS3  | 8,101 86          | 10,403 20           |
| HDFC BANK LTD ADR REPS3  | 2,295 40          | 2,600 80            |
| HOME DEPOT               | 9,231 00          | 10,398 50           |
| JPMORGAN CHASE & CO      | 26,264 70         | 27,950 00           |
| JPMORGAN CHASE & CO      | 23,506 51         | 25,155 00           |
| JPMORGAN CHASE & CO      | 13,174 59         | 13,975 00           |
| KANSAS CITY SOUTHERN     | 22,757 22         | 25,582 20           |
| KANSAS CITY SOUTHERN     | 10,091 47         | 10,963 80           |
| KANSAS CITY SOUTHERN     | 4,304 98          | 4,872 80            |
| KANSAS CITY SOUTHERN     | 2,345 80          | 2,436 40            |
| KEYENCE CORP             | 24,328 34         | 27,615 89           |
| KEYENCE CORP             | 11,300 28         | 12,273 73           |
| KEYENCE CORP             | 3,140 08          | 3,068 43            |
| LOCKHEED MARTIN CORP     | 33,621 00         | 36,354 00           |
| LOCKHEED MARTIN CORP     | 6,573 00          | 7,270 80            |
| LOCKHEED MARTIN CORP     | 6,165 20          | 7,270 80            |
| LOCKHEED MARTIN CORP     | 5,788 79          | 7,270 80            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>         | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|----------------------------|-------------------|---------------------|
| LOCKHEED MARTIN CORP       | 2,980 78          | 3,635 40            |
| L'OREAL                    | 44,033 49         | 54,163 39           |
| MASTERCARD CL A            | 35,542 90         | 54,228 65           |
| MICROSOFT CORP             | 29,378 25         | 33,490 00           |
| MICROSOFT CORP             | 25,898 75         | 29,471 20           |
| MICROSOFT CORP             | 22,315 84         | 25,452 40           |
| MICROSOFT CORP             | 6,739 72          | 6,698 00            |
| MICROSOFT CORP             | 4,841.09          | 5,358 40            |
| MICROSOFT CORP             | 2,356 20          | 2,679 20            |
| MORGAN STANLEY GRP INC     | 10,868 80         | 10,952 50           |
| NETFLIX INC                | 11,962 86         | 14,325 48           |
| NETFLIX INC                | 5,847 44          | 6,979 08            |
| NIKE INC CL B              | 9,580 20          | 9,234 50            |
| NOMURA RESEARCH INST       | 28,473 89         | 28,847 81           |
| OW SMALL & MIDCAP STRAT FD | 242,712 84        | 219,850 66          |
| OW SMALL & MIDCAP STRAT FD | 17,500 00         | 19,674 64           |
| PAGSEGURO DIGITAL          | 5,542 16          | 7,794 00            |
| PAGSEGURO DIGITAL          | 4,937 99          | 6,819 75            |
| PAGSEGURO DIGITAL          | 2,823 74          | 3,897 00            |
| PAGSEGURO DIGITAL          | 2,739 35          | 3,897 00            |
| PAGSEGURO DIGITAL          | 2,109 31          | 2,922 75            |
| PAGSEGURO DIGITAL          | 1,399 46          | 1,948 50            |
| PAGSEGURO DIGITAL          | 1,068 21          | 1,363 95            |
| PEPSICO INC                | 11,771 00         | 13,113 00           |
| PFIZER INC                 | 12,588 00         | 12,996 00           |
| PIONEER NATURAL RESOURCES  | 5,628 40          | 6,154 40            |
| RAYTHEON CO NEW            | 11,714 27         | 11,302 20           |
| RELX PLC                   | 29,829 00         | 33,661 31           |
| RESTAURANT BRANDS INTL INC | 22,335 76         | 27,676 92           |
| RESTAURANT BRANDS INTL INC | 3,217 07          | 3,477 00            |
| RESTAURANT BRANDS INTL INC | 2,515 60          | 2,781 60            |
| RESTAURANT BRANDS INTL INC | 1,640 00          | 1,738 50            |
| RESTAURANT BRANDS INTL INC | 675 60            | 834 48              |
| RIO TINTO LTD              | 8,314 08          | 9,091 74            |
| ROPER TECHNOLOGIES INC     | 13,905 89         | 14,650 40           |
| ROPER TECHNOLOGIES INC     | 12,610 58         | 14 650 40           |
| ROPER TECHNOLOGIES INC     | 6,279 62          | 7,325 20            |
| ROPER TECHNOLOGIES INC     | 1,703 40          | 1,831 30            |
| ROSS STORES INC            | 15,544 43         | 15,859 20           |
| ROSS STORES INC            | 9,701 80          | 9,912 00            |
| ROSS STORES INC            | 4,838 66          | 4,956 00            |
| SAFRAN SA                  | 13,870 84         | 14,663 21           |
| SALESFORCE COM             | 10,630 75         | 9 862 45            |
| SAMPO PLC                  | 35,947 63         | 31,642 26           |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>       | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|--------------------------|-------------------|---------------------|
| SAMPO PLC                | 3,714 67          | 3,542 04            |
| SAMPO PLC                | 3,610 35          | 3,542 04            |
| SAMPO PLC                | 2,648 06          | 2,361 36            |
| SAMPO PLC                | 2,390 84          | 2,361 36            |
| SAMPO PLC                | 2,350 84          | 2,361 36            |
| SAMPO PLC                | 261 26            | 236 14              |
| SERVICENOW INC           | 10,897 65         | 12,355 65           |
| SPOTIFY TECHNOLOGY SA    | 18,786 10         | 14,622 00           |
| SPOTIFY TECHNOLOGY SA    | 1,674 53          | 1,462 20            |
| SPOTIFY TECHNOLOGY SA    | 1,375 10          | 1,462 20            |
| STARBUCKS CORP           | 10,621 34         | 13,412 80           |
| STARBUCKS CORP           | 10,491 36         | 13,412 80           |
| STARBUCKS CORP           | 7,030 77          | 8,383 00            |
| STARBUCKS CORP           | 6,540 73          | 8,383 00            |
| STARBUCKS CORP           | 2,220 30          | 2,514 90            |
| TENCENT HOLDINGS LTD     | 42,173 13         | 38,131 26           |
| TENCENT HOLDINGS LTD     | 7,906 54          | 7,897 01            |
| TENCENT HOLDINGS LTD     | 5,081 42          | 4,738 20            |
| TENCENT HOLDINGS LTD     | 2,584.02          | 2,481 92            |
| TEXAS INSTRUMENTS INC    | 28,337 90         | 31,559 00           |
| TEXAS INSTRUMENTS INC    | 12,608 37         | 13,197 40           |
| THERMO FISHER SCIENTIFIC | 11,920 50         | 13,215 60           |
| UNILEVER NV              | 34,247 72         | 37,485 23           |
| UNITEDHEALTH GROUP INC   | 15,959 92         | 14,640 60           |
| UNITEDHEALTH GROUP INC   | 10,374 19         | 8,540 35            |
| UNITEDHEALTH GROUP INC   | 7,940 91          | 7,320 30            |
| US BANCORP DEL NEW       | 47,086 60         | 48,994 00           |
| US BANCORP DEL NEW       | 2,975 17          | 3,144 00            |
| VEEVA SYS INC CL A COM   | 6,097 80          | 8,105 50            |
| VEEVA SYS INC CL A COM   | 4,868 28          | 6,484 40            |
| VEEVA SYS INC CL A COM   | 4,751 97          | 6,484 40            |
| VEEVA SYS INC CL A COM   | 2,369 07          | 3,242 20            |
| VEEVA SYS INC CL A COM   | 1,263 10          | 1,621 10            |
| VISA INC                 | 16,953 50         | 19,090 50           |
| WALT DISNEY CO           | 23,877 60         | 33,513 60           |
| WALT DISNEY CO           | 7,919 82          | 8,378 40            |
| WALT DISNEY CO           | 7,687 29          | 9,774 80            |
| WALT DISNEY CO           | 6,621 93          | 8,378 40            |
| WALT DISNEY CO           | 2,702 25          | 3,491 00            |
| WALT DISNEY CO           | 2,212 97          | 2,792 80            |
| WASTE MANAGEMENT INC NEW | 5,042 25          | 5,768 50            |
| ZOETIS INC               | 13,746 60         | 15,888 60           |



**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>                    | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|---------------------------------------|-------------------|---------------------|
| BP PLC                                | 209 69            | 208 50              |
| ISHARES MSCI EMERGING MARKETS ETF     | 25,457 45         | 21,455 00           |
| SPDR S&P 500 ETF TRUST UNIT SER 1 S&P | 28,341 95         | 29,300 00           |
| ABBOTT LABS INC                       | 5,247 65          | 7,400 80            |
| ALIBABA GROUP HLDG LTD                | 3,311 30          | 2,711 20            |
| ALIBABA GROUP HLDG LTD                | 2,508 34          | 2,880 65            |
| ALIBABA GROUP HLDG LTD                | 1,083 45          | 1,016 70            |
| ALPHABET INC                          | 9,623 48          | 9,728 19            |
| ALPHABET INC                          | 2,042 43          | 2,161 82            |
| AMAZON COM INC                        | 5,818 56          | 7,574 52            |
| AMERICAN TOWER CORP                   | 5,506 41          | 7,973 55            |
| AMERICAN WTR WKS CO INC               | 2,612 45          | 3,828 00            |
| AMETEK INC                            | 2,619 30          | 2,906 88            |
| AMETEK INC                            | 761 04            | 817 56              |
| APPLE INC                             | 10,823 84         | 13,260 64           |
| APTIV PLC                             | 2,204 92          | 2,020 75            |
| APTIV PLC                             | 1,050 94          | 969 96              |
| APTIV PLC                             | 265 89            | 242 49              |
| ASTRAZENECA PLC                       | 2,341 53          | 2,683 20            |
| ASTRAZENECA PLC                       | 1,467 97          | 1,651 20            |
| ASTRAZENECA PLC                       | 942 93            | 949 44              |
| BEIGENE LTD                           | 2,103 30          | 1,983 20            |
| BEIGENE LTD                           | 1,180 51          | 1,239 50            |
| BLACKROCK INC                         | 6,996 41          | 6,100 90            |
| BOEING COMPANY                        | 5,275 20          | 5,460 15            |
| BRISTOL MYERS SQUIBB CO               | 5,073 68          | 3,628 00            |
| BRISTOL MYERS SQUIBB CO               | 872 53            | 816 30              |
| BROADCOM INC                          | 3,261 17          | 3,166 46            |
| BROOKFIELD ASSET MGMT INC             | 3,350 70          | 4,061 30            |
| BROOKFIELD ASSET MGMT INC             | 1,479 58          | 1,720 08            |
| CME GROUP INC                         | 3,332 96          | 3,882 20            |
| CORTEVA INC                           | 1,714 82          | 1,862 91            |
| CORTEVA INC                           | 605 41            | 522 40              |
| CORTEVA INC                           | 229 02            | 226 70              |
| CORTEVA INC                           | 172 66            | 137 99              |
| DAIFUKU CO LTD                        | 2,952 10          | 3,144 60            |
| DANAHER CORP                          | 4,332 60          | 6,431 40            |
| DBS GROUP HOLDINGS LTD                | 2,417 12          | 2 225 77            |
| DBS GROUP HOLDINGS LTD                | 1,558 00          | 1 535 02            |
| DBS GROUP HOLDINGS LTD                | 1,250 95          | 1,304 76            |
| DBS GROUP HOLDINGS LTD                | 927 12            | 921 01              |
| DIAGEO PLC                            | 5,881 11          | 7,409 76            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>                 | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|------------------------------------|-------------------|---------------------|
| DUPONT DE NEMOURS INC              | 1,717 95          | 1,376 28            |
| DUPONT DE NEMOURS INC              | 1,196 45          | 1,201 12            |
| DUPONT DE NEMOURS INC              | 631 30            | 575 54              |
| DUPONT DE NEMOURS INC              | 498 26            | 300 28              |
| ECOLAB INC                         | 4,180 35          | 6,120 64            |
| EQUINIX INC                        | 3,492 72          | 4,034 32            |
| EQUINIX INC                        | 1,274 94          | 1,512 87            |
| EQUINIX INC                        | 837 37            | 1,008 58            |
| FIDELITY NATL INFORMATION SVCS INC | 3,056 87          | 3,435 04            |
| FIDELITY NATL INFORMATION SVCS INC | 2,080 87          | 2,208 24            |
| GASLOG LTD                         | 2,275 07          | 1,857 60            |
| GASLOG LTD                         | 1,059 84          | 1,036 80            |
| HARRIS CORP DEL                    | 2,663 43          | 3,026 08            |
| HARRIS CORP DEL                    | 1,372 53          | 1,702 17            |
| HARRIS CORP DEL                    | 884 27            | 1,134 78            |
| HCP INC                            | 2,591 97          | 2,622 36            |
| HCP INC                            | 1,160 84          | 1,247 22            |
| HCP INC                            | 1,073 06          | 1,087 32            |
| HDFC BK LTD                        | 2,529 75          | 3,251 00            |
| HDFC BK LTD                        | 1,057 25          | 1,560 48            |
| HDFC BK LTD                        | 732 80            | 910 28              |
| HDFC BK LTD                        | 586 39            | 780 24              |
| HDFC BK LTD                        | 387 53            | 520 16              |
| HEXAGON AB                         | 4,754 72          | 4,667 97            |
| HEXAGON AB                         | 1,141 25          | 1,389 28            |
| HEXAGON AB                         | 288 54            | 333 43              |
| HONEYWELL INTL INC                 | 3,246 52          | 3,840 98            |
| HONEYWELL INTL INC                 | 1,152 48          | 1,396 72            |
| HONEYWELL INTL INC                 | 1,006 54          | 1,222 13            |
| HONG KONG EXCHANGES & CLEARI       | 3,210 80          | 3,247 82            |
| HONG KONG EXCHANGES & CLEARI       | 1,198 44          | 1 270 89            |
| ILLUMINA INC                       | 2,457 40          | 4,049 65            |
| INTUITIVE SURGICAL INC             | 2,464 55          | 2,622 75            |
| INTUITIVE SURGICAL INC             | 1,150 62          | 1,049 10            |
| INTUITIVE SURGICAL INC             | 941 70            | 1,049 10            |
| JPMORGAN CHASE & CO                | 9,097 60          | 8 944 00            |
| JPMORGAN CHASE & CO                | 850 08            | 894 40              |
| KONINKLIJKE PHILIPS N V            | 2,602 24          | 3,007 02            |
| KONINKLIJKE PHILIPS N V            | 1,339 26          | 1,438 14            |
| LAS VEGAS SANDS CORP               | 3,411 36          | 2,718 14            |
| LONZA GROUP AG                     | 2,991 69          | 3,378 46            |
| LVMH MOET HENNESSY LOUIS VUITTON   | 4,828 84          | 6 820 02            |
| MEDTRONIC PLC                      | 3 641 50          | 4 285 16            |
| MICROSOFT CORP                     | 3,733 05          | 5,492 36            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>     | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|------------------------|-------------------|---------------------|
| MICROSOFT CORP         | 1,996 52          | 2,947 12            |
| MICROSOFT CORP         | 865 96            | 1,071 68            |
| MONDELEZ INTL INC      | 3,355 18          | 4,419 80            |
| MONDELEZ INTL INC      | 1,241 00          | 1,563 10            |
| MONDELEZ INTL INC      | 1,063 21          | 1,347 50            |
| MONDELEZ INTL INC      | 1,025 39          | 1,293 60            |
| MOWI ASA               | 2,331 42          | 2,292 51            |
| MOWI ASA               | 1,229 49          | 1,333 40            |
| MOWI ASA               | 1,025 12          | 1,005 90            |
| MSCI INC               | 2,340 48          | 2,865 48            |
| NASPERS LTD            | 4,038 81          | 4,025 46            |
| NASPERS LTD            | 1,258 50          | 1,212 49            |
| NESTLE SA              | 8,789 52          | 10,987 04           |
| NEXTERA ENERGY INC     | 2,426 56          | 2,868 04            |
| NEXTERA ENERGY INC     | 1,979 75          | 2,253 46            |
| NEXTERA ENERGY INC     | 1,016 55          | 1,024 30            |
| NMC HEALTH PLC         | 2,964 82          | 3,150 50            |
| NOKIA CORP             | 2,629 94          | 2,224 44            |
| NOKIA CORP             | 1,590 47          | 1,207 41            |
| NORWEGIAN CRUISE L COM | 2,857 41          | 3,110 54            |
| NORWEGIAN CRUISE L COM | 1,128 14          | 1,126 23            |
| NVIDIA CORP            | 1,873 20          | 1,313 84            |
| NVIDIA CORP            | 1,493 31          | 1,642 30            |
| NXP SEMICONDUCTORS N V | 2,348 78          | 2,440 25            |
| NXP SEMICONDUCTORS N V | 1,317 87          | 1,366 54            |
| PALO ALTO NETWORKS INC | 3,304 40          | 3,260 16            |
| PALO ALTO NETWORKS INC | 1,529 40          | 1,426 32            |
| PAYPAL HLDGS INC       | 2,102 24          | 3,090 42            |
| PAYPAL HLDGS INC       | 1,203 44          | 1 602 44            |
| PING AN INS GROUP CO   | 1,699 55          | 1,945 04            |
| PING AN INS GROUP CO   | 1,571 12          | 1,969 05            |
| PING AN INS GROUP CO   | 1,322 88          | 1,536 82            |
| PING AN INS GROUP CO   | 1,136 79          | 1,224 65            |
| PING AN INS GROUP CO   | 532 56            | 672 36              |
| PING AN INS GROUP CO   | 501 86            | 552 29              |
| PRUDENTIAL PLC         | 4,593 60          | 3,943 80            |
| PRUDENTIAL PLC         | 1,649 89          | 1 708 98            |
| PRUDENTIAL PLC         | 1,335 97          | 1,183 14            |
| PRUDENTIAL PLC         | 874 26            | 788 76              |
| RAYTHEON CO            | 5,361 59          | 4,520 88            |
| RAYTHEON CO            | 1,539 54          | 1,564 92            |
| RAYTHEON CO            | 510 78            | 521 64              |
| ROCHE HOLDINGS LTD     | 6 000 35          | 7 218 36            |
| ROCHE HOLDINGS LTD     | 1,596 12          | 1,654 94            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>                    | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|---------------------------------------|-------------------|---------------------|
| ROYAL DSM N V                         | 4,092 00          | 5,106 22            |
| ROYAL DUTCH SHELL PLC                 | 11,192 87         | 11,110 06           |
| SALESFORCE COM INC                    | 2,798 00          | 3,034 60            |
| SALESFORCE COM INC                    | 1,218 28          | 1,213 84            |
| SALESFORCE COM INC                    | 1,213 38          | 1,365 57            |
| SALESFORCE COM INC                    | 1,063 66          | 1,062 11            |
| SAMSUNG SDI GDS EACH REPR 1/4 ORD KRW | 3,648 91          | 3,686 40            |
| SAMSUNG SDI GDS EACH REPR 1/4 ORD KRW | 1,223 48          | 1,382 40            |
| SEMPRA ENERGY                         | 2,407 67          | 3,161 12            |
| SEMPRA ENERGY                         | 1,481 68          | 1,786 72            |
| SEMPRA ENERGY                         | 950 90            | 1,236 96            |
| SHISEIDO LTD                          | 2,756 52          | 2,939 66            |
| SHISEIDO LTD                          | 2,185 45          | 2,562 78            |
| SIEMENS A G                           | 5,390 58          | 4,645 61            |
| SINGAPORE TECHNOLOGIES ENGR LT ADR    | 3,984 91          | 4,406 37            |
| SINGAPORE TECHNOLOGIES ENGR LT ADR    | 2,812 00          | 3,059 98            |
| SOFTBANK GROUP                        | 4,125 85          | 4,840 93            |
| SONY CORP                             | 3,451 00          | 3,562 52            |
| SONY CORP                             | 995 52            | 1,047 80            |
| SONY CORP                             | 154 89            | 157 17              |
| STARBUCKS CORP                        | 2,420 88          | 3,688 52            |
| SUZUKI MTR CORP                       | 2,331 80          | 1,880 08            |
| SUZUKI MTR CORP                       | 1,161 12          | 1,128 05            |
| SUZUKI MTR CORP                       | 1,050 00          | 752 03              |
| SUZUKI MTR CORP                       | 892 45            | 940 04              |
| TAL ED GROUP ADS REPSTG COM           | 2,433 55          | 2,438 40            |
| TE CONNECTIVITY LTD                   | 3,750 69          | 3,543 86            |
| TERADYNE INC                          | 1,827 94          | 2,299 68            |
| TERADYNE INC                          | 1,589 93          | 1,772 67            |
| TEXAS INSTRUMENTS COM                 | 2,277 42          | 2,754 24            |
| TEXAS INSTRUMENTS COM                 | 816 80            | 918 08              |
| THERMO FISHER CORP                    | 6,498 69          | 9,104 08            |
| TORAY INDS INC                        | 2,559 99          | 2,646 02            |
| TORAY INDS INC                        | 1,324 98          | 1,551 11            |
| TORAY INDS INC                        | 1,048 88          | 1,064 49            |
| UNILEVER N V N Y                      | 2,127 09          | 2,368 08            |
| UNILEVER N V N Y                      | 1,396 40          | 1,518 00            |
| UNILEVER N V N Y                      | 1,213 96          | 1,335 84            |
| UNITEDHEALTH GROUP INC                | 3,881 10          | 4,148 17            |
| UNITEDHEALTH GROUP INC                | 2 044 64          | 2,196 09            |
| UNITEDHEALTH GROUP INC                | 1,008 32          | 976 04              |
| VERTEX PHARMACEUTICALS INC            | 3 034 37          | 3,117 46            |
| VISA INC                              | 8,039 46          | 11 454 30           |
| WABTEC CORP                           | 2,601 58          | 2,511 60            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>    | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|-----------------------|-------------------|---------------------|
| WALT DISNEY COMPANY   | 6,599 28          | 8,657 68            |
| XYLEM INC             | 3,127 61          | 3,847 44            |
| XYLEM INC             | 1,437 63          | 1,840 08            |
| ZOETIS INC            | 3,056 55          | 4,653 09            |
| ACCENTURE PLC         | 13,751 66         | 21,618 09           |
| ACCENTURE PLC         | 4,194 85          | 6,836 49            |
| ACCENTURE PLC         | 1,905 78          | 2,956 32            |
| ACCENTURE PLC         | 1,173 74          | 1,478 16            |
| AIR PRODS & CHEMS INC | 9,587 52          | 16,751 38           |
| AIR PRODS & CHEMS INC | 5,815 70          | 9,733 91            |
| AIR PRODS & CHEMS INC | 2,918 53          | 4,753 77            |
| AIR PRODS & CHEMS INC | 2,537 84          | 4,301 03            |
| AMGEN INC             | 10,358 40         | 15,479 52           |
| AMGEN INC             | 3,023 10          | 4,975 56            |
| AMGEN INC             | 2,221 73          | 3,317 04            |
| AMGEN INC             | 2,183 77          | 2,395 64            |
| AMGEN INC             | 1,950 19          | 2,027 08            |
| AMGEN INC             | 741 90            | 1,105 68            |
| ANALOG DEVICES INC    | 14,381 43         | 21,445 30           |
| ANALOG DEVICES INC    | 6,003 97          | 8,465 25            |
| ANALOG DEVICES INC    | 5,279 86          | 7,675 16            |
| ANALOG DEVICES INC    | 1,428 87          | 1,918 79            |
| APPLE INC COM         | 15,440 13         | 49,084 16           |
| APPLE INC COM         | 2,484 34          | 4,948 00            |
| AT & T INC            | 19,156 27         | 18,464 01           |
| AT & T INC            | 5,953 22          | 6,266 37            |
| AT & T INC            | 4,819 12          | 5,060 01            |
| AT & T INC            | 4,770 25          | 4,255 77            |
| AT & T INC            | 3,284 72          | 3,418 02            |
| AT & T INC            | 2,651 75          | 3,015 90            |
| AT & T INC            | 1,275 45          | 1,105 83            |
| BANK OF AMERICA CORP  | 27,656 27         | 25,346 00           |
| BANK OF AMERICA CORP  | 6,791 12          | 6,409 00            |
| BANK OF AMERICA CORP  | 6,686 73          | 6,583 00            |
| BANK OF AMERICA CORP  | 3 975 96          | 3,973 00            |
| BANK OF AMERICA CORP  | 1,941 83          | 1,798 00            |
| BLACKROCK INC         | 13,877 46         | 18 772 00           |
| BLACKROCK INC         | 5,591 41          | 7,039 50            |
| BLACKROCK INC         | 3,731 77          | 3,754 40            |
| BLACKROCK INC         | 1,498 62          | 1,877 20            |
| BOEING COMPANY        | 7,578 97          | 21,112 58           |
| BOEING COMPANY        | 3,716 45          | 3,640 10            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>     | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|------------------------|-------------------|---------------------|
| BOEING COMPANY         | 3,425 30          | 3,276 09            |
| BOEING COMPANY         | 2,756 12          | 7,644 21            |
| BOEING COMPANY         | 2,637 73          | 2,912 08            |
| CARNIVAL CORP          | 13,925 59         | 11,218 55           |
| CARNIVAL CORP          | 4,463 88          | 3,863 65            |
| CARNIVAL CORP          | 4,119 22          | 3,351 60            |
| CARNIVAL CORP          | 3,879 29          | 3,444 70            |
| CARNIVAL CORP          | 3,729 79          | 3,258 50            |
| CARNIVAL CORP          | 3,721 73          | 3,258 50            |
| CHEVRON CORP           | 19,009 12         | 29,243 40           |
| CHEVRON CORP           | 4,620 32          | 5,599 80            |
| CHEVRON CORP           | 3,528 79          | 4,106 52            |
| CHEVRON CORP           | 3,403 03          | 3,857 64            |
| CHEVRON CORP           | 2,728 14          | 3,235 44            |
| CHEVRON CORP           | 2,228 75          | 2,364 36            |
| CISCO SYSTEMS INC      | 6,794 90          | 13,025 74           |
| CISCO SYSTEMS INC      | 5,319 93          | 10,617 62           |
| CISCO SYSTEMS INC      | 4,918 03          | 10,398 70           |
| CISCO SYSTEMS INC      | 3,521 02          | 6,731 79            |
| CISCO SYSTEMS INC      | 796 16            | 1,477 71            |
| CME GROUP INC          | 9,052 56          | 23,487 31           |
| CME GROUP INC          | 4,507 45          | 9,705 50            |
| CME GROUP INC          | 3,660 01          | 9,511 39            |
| CMS ENERGY CORP        | 16,387 43         | 21,774 16           |
| CMS ENERGY CORP        | 3,951 21          | 4,574 89            |
| CMS ENERGY CORP        | 3,342 75          | 3,937 88            |
| CMS ENERGY CORP        | 3,228 55          | 3,532 51            |
| COMCAST CORP           | 11,717 53         | 16,827 44           |
| COMCAST CORP           | 9,763 68          | 14,036 96           |
| COMCAST CORP           | 5,301 38          | 6,130 60            |
| COMCAST CORP           | 5,287 53          | 7,441 28            |
| COMCAST CORP           | 2,496 11          | 3,128 72            |
| COMCAST CORP           | 2,092 33          | 2,494 52            |
| COMCAST CORP           | 873 64            | 1,183 84            |
| CROWN CASTLE INTL CORP | 17,533 13         | 26,591 40           |
| CROWN CASTLE INTL CORP | 6 928 84          | 10,558 35           |
| CROWN CASTLE INTL CORP | 2,937 16          | 3,649 80            |
| CROWN CASTLE INTL CORP | 2,658 41          | 3,128 40            |
| DOW INC                | 5,185 45          | 4,881 69            |
| DOW INC                | 4,846 45          | 4,585 83            |
| DOW INC                | 4,183 93          | 2,991 47            |
| DOW INC                | 3,566 40          | 2,301 13            |
| DOW INC                | 3,127 23          | 2,662 74            |
| DOW INC                | 2,071 00          | 1,413 55            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>   | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|----------------------|-------------------|---------------------|
| DOW INC              | 1,312 80          | 1,101 26            |
| DOW INC              | 1,101 01          | 1,019 07            |
| DOW INC              | 980 37            | 739 65              |
| HOME DEPOT INC       | 6,574 37          | 11,646 32           |
| HOME DEPOT INC       | 5,775 73          | 15,805 72           |
| HOME DEPOT INC       | 5,166 60          | 13,310 08           |
| HOME DEPOT INC       | 2,200 76          | 3,119 55            |
| HONEYWELL INTL INC   | 14,149 39         | 20,776 21           |
| HONEYWELL INTL INC   | 4,158 24          | 5,586 88            |
| HONEYWELL INTL INC   | 3,524 50          | 4,888 52            |
| HONEYWELL INTL INC   | 3,348 51          | 3,840 98            |
| HONEYWELL INTL INC   | 2,880 38          | 4,015 57            |
| HONEYWELL INTL INC   | 2,440 93          | 3,142 62            |
| JOHNSON & JOHNSON    | 17,984 50         | 18,106 40           |
| JPMORGAN CHASE & CO  | 18,301 32         | 38,906 40           |
| LOCKHEED MARTIN CORP | 28,205 24         | 33,445 68           |
| LOCKHEED MARTIN CORP | 5,023 87          | 5,816 64            |
| LOCKHEED MARTIN CORP | 4,808 24          | 5,089 56            |
| LOCKHEED MARTIN CORP | 4,011 95          | 4,362 48            |
| MCDONALDS CORP       | 13,588 20         | 16,820 46           |
| MCDONALDS CORP       | 5,792 12          | 7,683 42            |
| MCDONALDS CORP       | 5,022 80          | 5,606 82            |
| MCDONALDS CORP       | 3,178 26          | 4,153 20            |
| MCDONALDS CORP       | 2,976 19          | 3,945 54            |
| MCDONALDS CORP       | 2,906 24          | 3,737 88            |
| MEDTRONIC PLC        | 11,196 67         | 13,829 38           |
| MEDTRONIC PLC        | 5,938 61          | 10,031 17           |
| MEDTRONIC PLC        | 4,613 50          | 5,843 40            |
| MEDTRONIC PLC        | 3,404 16          | 4,577 33            |
| MEDTRONIC PLC        | 2,174 21          | 3,311 26            |
| MEDTRONIC PLC        | 2,056 68          | 2,532 14            |
| MEDTRONIC PLC        | 1,022 81          | 1,266 07            |
| MERCK & CO INC       | 11,388 93         | 16,183 05           |
| MERCK & CO INC       | 4,331 10          | 6,204 90            |
| MERCK & CO INC       | 4,015 08          | 5,953 35            |
| MERCK & CO INC       | 3,961 79          | 6,037 20            |
| MERCK & CO INC       | 3,191 19          | 4,695 60            |
| MERCK & CO INC       | 2,353 58          | 3,186 30            |
| MICROSOFT CORP       | 12,889 80         | 49,833 12           |
| MICROSOFT CORP       | 2,472 81          | 7,099 88            |
| MONDELEZ INTL INC    | 20,111 02         | 27,866 30           |
| MONDELEZ INTL INC    | 6,424 13          | 7,977 20            |
| MONDELEZ INTL INC    | 4,089 79          | 5,605 60            |
| MONDELEZ INTL INC    | 3,182 82          | 3,988 60            |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>       | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|--------------------------|-------------------|---------------------|
| MONDELEZ INTL INC        | 1,721 80          | 2,102 10            |
| MONDELEZ INTL INC        | 868 24            | 1,078 00            |
| PEPSICO INC              | 12,908 22         | 17,571 42           |
| PEPSICO INC              | 5,113 91          | 6,294 24            |
| PEPSICO INC              | 3,935 30          | 5,376 33            |
| PEPSICO INC              | 3,211 72          | 3,671 64            |
| PEPSICO INC              | 2,736 88          | 3,278 25            |
| PEPSICO INC              | 2,705 01          | 3,278 25            |
| PEPSICO INC              | 2,107 08          | 2,884 86            |
| PEPSICO INC              | 1,148 31          | 1,573 56            |
| PEPSICO INC              | 983 93            | 1,180 17            |
| PFIZER INC               | 23,786 17         | 33,053 16           |
| PFIZER INC               | 3,393 71          | 4,895 16            |
| PHILLIPS 66              | 29,000 03         | 28,062 00           |
| PHILLIPS 66              | 4,092 48          | 4,489 92            |
| PROCTER & GAMBLE COMPANY | 15,226 00         | 21,930 00           |
| PROCTER & GAMBLE COMPANY | 5,591 43          | 7,894 80            |
| PROCTER & GAMBLE COMPANY | 4,097 82          | 5,153 55            |
| PROCTER & GAMBLE COMPANY | 2,413 03          | 2,850 90            |
| PROCTER & GAMBLE COMPANY | 1,954 81          | 2,741 25            |
| PROCTER & GAMBLE COMPANY | 1,682 63          | 2,412 30            |
| PROCTER & GAMBLE COMPANY | 1,073 43          | 1,425 45            |
| RAYTHEON CO              | 9,174 28          | 13,562 64           |
| RAYTHEON CO              | 5,203 61          | 6,955 20            |
| RAYTHEON CO              | 4,524 36          | 5,738 04            |
| RAYTHEON CO              | 4,135 73          | 3,825 36            |
| RAYTHEON CO              | 4,012 10          | 3,999 24            |
| RAYTHEON CO              | 3,480 53          | 3,477 60            |
| RAYTHEON CO              | 824 41            | 1,043 28            |
| SEMPRA ENERGY            | 7,836 14          | 10,170 56           |
| SEMPRA ENERGY            | 7,654 22          | 13,331 68           |
| SEMPRA ENERGY            | 7,257 62          | 9,758 24            |
| SEMPRA ENERGY            | 420 88            | 549 76              |
| SEMPRA ENERGY            | 217 26            | 274 88              |
| TE CONNECTIVITY LTD      | 12,488 82         | 19,156 00           |
| TE CONNECTIVITY LTD      | 9,520 68          | 14,941 68           |
| TE CONNECTIVITY LTD      | 3,259 36          | 4,214 32            |
| TE CONNECTIVITY LTD      | 2,018 18          | 2,681 84            |
| TE CONNECTIVITY LTD      | 1,970 64          | 2,202 94            |
| UNITEDHEALTH GROUP INC   | 14,987 80         | 32,209 32           |
| UNITEDHEALTH GROUP INC   | 5,127 44          | 11,468 47           |
| UNITEDHEALTH GROUP INC   | 138 19            | 244 01              |
| WALMART STORES INC       | 7 106 16          | 8,286 75            |
| WALMART STORES INC       | 6,656 56          | 8,507 73            |



**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>         | <u>COST/BASIS</u> | <u>MARKET VALUE</u> |
|----------------------------|-------------------|---------------------|
| WALMART STORES INC         | 3,544 53          | 4,419 60            |
| WALMART STORES INC         | 3,251 61          | 4,088 13            |
| WALMART STORES INC         | 3,061 60          | 3,204 21            |
| WALMART STORES INC         | 2,538 59          | 2,983 23            |
| WELLS FARGO & CO NEW       | 11,088 38         | 12,918 36           |
| WELLS FARGO & CO NEW       | 2,973 06          | 2,649 92            |
| ACCENTURE PLC IRELAND      | 16,346 50         | 19,400 85           |
| ACCENTURE PLC IRELAND      | 475 78            | 554 31              |
| ADIDAS SALOMON AG          | 8,357 72          | 11,719 96           |
| ADIDAS SALOMON AG          | 4,755 70          | 5,859 98            |
| ADIDAS SALOMON AG          | 569 75            | 771 05              |
| AIA GROUP LTD              | 16,578 40         | 22,869 50           |
| AIA GROUP LTD              | 1,230 12          | 1,467 10            |
| ALCON SA ACT NOM           | 15,462 45         | 16,139 80           |
| AMADEUS IT HLDG SA ADS     | 7,660 62          | 7,925 00            |
| AMADEUS IT HLDG SA ADS     | 4,291 10          | 4,517 25            |
| AMADEUS IT HLDG SA ADS     | 1,301 18          | 1,347 25            |
| AMADEUS IT HLDG SA ADS     | 312 20            | 317 00              |
| ASML HLDG N V N Y REGISTRY | 8,414 26          | 10,188 57           |
| ASML HLDG N V N Y REGISTRY | 4,197 28          | 4,782 39            |
| ATLAS COPCO AB             | 12,944 30         | 12,987 94           |
| ATLAS COPCO AB             | 578 85            | 575 82              |
| CANADIAN PAC RY LTD        | 15,873 30         | 21,171 60           |
| CANADIAN PAC RY LTD        | 365 88            | 470 48              |
| CHR HANSEN HLDG A/S        | 11,482 38         | 12,910 72           |
| CHR HANSEN HLDG A/S        | 235 85            | 236 46              |
| CHUBB LTD                  | 16,271 71         | 16,496 48           |
| CHUBB LTD                  | 437 50            | 441 87              |
| COMPASS GROUP PLC          | 14,750 72         | 16,484 48           |
| COMPASS GROUP PLC          | 304 22            | 335 44              |
| CSL LTD                    | 15,627 70         | 21,369 96           |
| CSL LTD                    | 894 66            | 985 14              |
| DSV AS                     | 16,436 90         | 20,692 35           |
| DSV AS                     | 459 69            | 539 37              |
| ESSILOR INTL S A           | 9,686 87          | 9,523 14            |
| ESSILOR INTL S A           | 6,866 76          | 6,718 38            |
| ESSILOR INTL S A           | 277 91            | 260 91              |
| EXPERIAN PLC               | 16,337 49         | 23 430 69           |
| EXPERIAN PLC               | 496 20            | 607 80              |
| GEBERIT                    | 4,255 04          | 5 132 05            |
| GEBERIT                    | 4,177 44          | 5,038 74            |
| HDFC BK LTD                | 15,430 08         | 19,636 04           |
| HDFC BK LTD                | 485 34            | 650 20              |

**BROOKDALE FOUNDATION**  
**FORM 990 PF**  
**ID # 13-6076863**  
**June 30, 2019**

PART II LINE 10B - INVESTMENTS CORPORATE STOCK

| <u>DESCRIPTION</u>               | <u>COST/BASIS</u>   | <u>MARKET VALUE</u> |
|----------------------------------|---------------------|---------------------|
| ICON PLC LTD                     | 6,062 98            | 8,468 35            |
| ICON PLC LTD                     | 495 88              | 615 88              |
| LVMH MOET HENNESSY LOUIS VUITTON | 14,557 93           | 20,681 73           |
| LVMH MOET HENNESSY LOUIS VUITTON | 859 61              | 1,021 32            |
| METTLER-TOLEDO INTL INC          | 9,111 46            | 13,440 00           |
| METTLER-TOLEDO INTL INC          | 4,882 23            | 6,720 00            |
| NESTLE SA                        | 15,066 39           | 18,922 20           |
| NESTLE SA                        | 403 88              | 517 00              |
| PERNOD RICARD S A                | 7,969 42            | 9,193 08            |
| PERNOD RICARD S A                | 4,737 22            | 5,242 64            |
| PERNOD RICARD S A                | 299 87              | 332 28              |
| RESMED INC                       | 8,922 67            | 10,250 52           |
| RESMED INC                       | 4,650 34            | 5,613 38            |
| SHOPIFY INC CL A                 | 9,981 69            | 24,012 00           |
| STERIS PLC                       | 9,883 44            | 12,803 68           |
| TAIWAN SEMICONDUCTOR MFG CO      | 17,934 00           | 16,451 40           |
| TAIWAN SEMICONDUCTOR MFG CO      | 429 59              | 391 70              |
| TENCENT HLDGS LTD                | 14,919 22           | 12,537 02           |
| TENCENT HLDGS LTD                | 4,040 72            | 4,842 82            |
| TENCENT HLDGS LTD                | 262 05              | 226 30              |
| UBISOFT ENTMT SA                 | 8,705 01            | 8,324 60            |
| WALMART DE MEXICO SA DE CV       | <u>9,958 04</u>     | <u>11,231 12</u>    |
| TOTAL                            | <u>4,471,241 06</u> | <u>5,258,397 72</u> |

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

| NAME AND ADDRESS                                                                          | TITLE AND<br>AVRG HRS/WK      | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|-------------------------------------------------------------------------------------------|-------------------------------|-------------------|------------------------------|--------------------|
| STEPHEN L SCHWARTZ<br>C/O BROOKDALE 300 FRANK W BURR<br>BLVD STE 13<br>TEANECK, NJ 07666  | PRESIDENT/DIRECTOR<br>8.00    | 0.                | 0.                           | 0.                 |
| MARY ANN VAN CLIEF<br>C/O BROOKDALE 300 FRANK W BURR<br>BLVD STE 13<br>TEANECK, NJ 07666  | VP/DIRECTOR/TREASURER<br>8.00 | 0.                | 0.                           | 0.                 |
| VICTOR BIGGS<br>C/O BROOKDALE 300 FRANK W BURR<br>BLVD STE 13<br>TEANECK, NJ 07666        | ASST VP<br>40.00              | 72,528.           | 2,315.                       | 0.                 |
| MARY DE LA CRUZ<br>C/O BROOKDALE 300 FRANK W BURR<br>BLVD STE 13<br>TEANECK, NJ 07666     | SECRETARY<br>8.00             | 0.                | 0.                           | 0.                 |
| MANUEL F. BILLENA<br>C/O BROOKDALE 300 FRANK W BURR<br>BLVD STE 13<br>TEANECK, NJ 07666   | TREASURER<br>8.00             | 0.                | 0.                           | 0.                 |
| ANDREW M SCHREIER<br>C/O BROOKDALE 300 FRANK W BURR<br>BLVD STE 13<br>TEANECK, NJ 07666   | DIRECTOR<br>1.00              | 0.                | 0.                           | 0.                 |
| ARTHUR N. FIELD<br>C/O BROOKDALE 300 FRANK W BURR<br>BLVD STE 13<br>TEANECK, NJ 07666     | DIRECTOR<br>1.00              | 0.                | 0.                           | 0.                 |
| WILLIAM S. SCHREIER<br>C/O BROOKDALE 300 FRANK W BURR<br>BLVD STE 13<br>TEANECK, NJ 07666 | DIRECTOR<br>1.00              | 0.                | 0.                           | 0.                 |

THE BROOKDALE FOUNDATION

13-6076863

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

72,528.

2,315.

0.