

Form **990-PF**Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information. **1906**

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

Jul 1, 2018, and ending

Jun 30, 2019

Name of foundation

THE O'HERRON FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

PO BOX 4816

City or town, state or province, country, and ZIP or foreign postal code

STAMFORD CT 06907

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 8,001,948.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

A Employer identification number

13-3244207

B Telephone number (see instructions)

(203) 253-0549

C If exemption application is pending, check here ☐**D** 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	2,259.	2,259.	2,259.	
4 Dividends and interest from securities	243,897.	243,897.	243,897.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		268,372.		
8 Net short-term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	246,156.	514,528.	246,156.	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) L-16b Stmt	4,500.	4,500.	4,500.	
c Other professional fees (attach schedule) L-16c Stmt	19,234.	19,234.	19,234.	
17 Interest				
18 Taxes (attach schedule) (see instructions) See Stmt	1,178.	1,178.	1,178.	
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications	297.	297.	297.	297.
23 Other expenses (attach schedule) See Stmt	661.	661.	661.	356.
24 Total operating and administrative expenses. Add lines 13 through 23	25,870.	25,870.	25,870.	653.
25 Contributions, gifts, grants paid	429,050.			429,050.
26 Total expenses and disbursements. Add lines 24 and 25	454,920.	25,870.	25,870.	429,703.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-208,764.			
b Net investment income (if negative, enter -0-)		488,658.		
c Adjusted net income (if negative, enter -0-)			220,286.	

For Paperwork Reduction Act Notice, see instructions.

BAA

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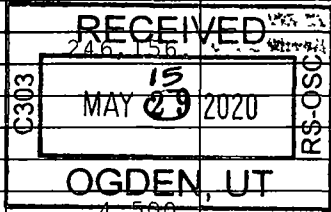
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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	548,724.	293,719.	293,719.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)		281,635.	328,653.
	b Investments—corporate stock (attach schedule)	5,627,728.	5,819,891.	7,087,665.
	c Investments—corporate bonds (attach schedule)	170,367.	271,974.	291,911.
	11 Investments—land, buildings, and equipment basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	6,346,819.	6,667,219.	8,001,948.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	6,346,819.	6,667,219.	
	30 Total net assets or fund balances (see instructions)	6,346,819.	6,667,219.	
	31 Total liabilities and net assets/fund balances (see instructions)	6,346,819.	6,667,219.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,346,819.
2	Enter amount from Part I, line 27a	2	-208,764.
3	Other increases not included in line 2 (itemize) ▶ See Statement	3	549,164.
4	Add lines 1, 2, and 3	4	6,687,219.
5	Decreases not included in line 2 (itemize) ▶ FEDERAL ESTIMATED PAYMENT	5	20,000.
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6,667,219.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 2000 SH ALLIANZGI EQUITY & CONVERT INCOME FUND	P	06/29/2018	09/26/2018
b 1750 SH ALPS ETF TR ALERIAN MLP ETF	P	06/29/2018	08/08/2018
c 15 SH AMAZON.COM INC	P	09/29/2017	09/26/2018
d 250 SH BROADCOM INC	P	06/12/2018	08/09/2018
e See Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 46,234.		44,441.	1,793.
b 19,709.		17,754.	1,955.
c 29,841.		14,422.	15,419.
d 54,165.		65,282.	-11,117.
e 2,808,861.		2,548,539.	260,322.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			1,793.
b			1,955.
c			15,419.
d			-11,117.
e			260,322.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	268,372.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	-35,233.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	451,556.	7,618,919.	0.059268
2016	476,715.	7,502,170.	0.063544
2015	448,794.	7,210,980.	0.062238
2014	435,171.	7,684,928.	0.056627
2013	412,425.	4,066,555.	0.101419

2 Total of line 1, column (d)	2	0.343096
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.068619
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	7,644,090.
5 Multiply line 4 by line 3	5	524,530.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,887.
7 Add lines 5 and 6	7	529,417.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	429,703.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	9,773.	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.	
3	Add lines 1 and 2	3	9,773.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,773.	
6	Credits/Payments:			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	41,601.	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	41,601.	
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	88.	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	31,740.	
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax 31,740. Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		x
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		x
c Did the foundation file Form 1120-POL for this year?		x
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		x
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		x
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		x
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		x
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		x
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	x	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ► NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	x	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		x
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		x

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► ANNE O'HERRON BURLEIGH Telephone no. ► (203) 656-1498 Located at ► 2 CROOKED MILE RD DARIEN CT ZIP+4 ► 06820		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year, did the foundation (either directly or indirectly). (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year, did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b	
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	X
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE O'HERRON BURLEIGH 2 CROOKED MILE RD DARIEN CT 06820	EXEC DIRECTOR/PRES. 0.00	0.	0.	0.
JONATHAN O'HERRON JR 17 YARMOUTH ROAD NORWALK CT 06853	DIRECTOR 0.00	0.	0.	0.
SARAH CASEY 73 SOUNDVIEW LANE NEW CANAAN CT 06840	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	0.
2 N/A	0.
All other program-related investments See instructions	
3 N/A	0.
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,394,883.
b	Average of monthly cash balances	1b	365,614.
c	Fair market value of all other assets (see instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	7,760,497.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,760,497.
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	116,407.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,644,090.
6	Minimum investment return. Enter 5% of line 5	6	382,205.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	382,205.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	9,773.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,773.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	372,432.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	372,432.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	372,432.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	429,703.
b	Program-related investments—total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	429,703.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	429,703.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				372,432.
2 Undistributed income, if any, as of the end of 2018:				
a Enter amount for 2017 only			372,479.	
b Total for prior years. 20 <u>14</u> , 20 <u>15</u> , 20 <u>16</u>		1,102,654.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	412,425.			
b From 2014	435,171.			
c From 2015	448,794.			
d From 2016	476,715.			
e From 2017	451,556.			
f Total of lines 3a through e	2,224,661.			
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ <u>429,703.</u>				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2018 distributable amount				
e Remaining amount distributed out of corpus	429,703.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,654,364.			
b Prior years' undistributed income. Subtract line 4b from line 2b		1,102,654.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		1,102,654.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions			372,479.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				372,432.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	412,425.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	2,241,939.			
10 Analysis of line 9:				
a Excess from 2014	435,171.			
b Excess from 2015	448,794.			
c Excess from 2016	476,715.			
d Excess from 2017	451,556.			
e Excess from 2018	429,703.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Supplementary Information Statement

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BISHOP'S ASSISTANCE FUND 153 ASH STREET MANCHESTER NH 03104		PUBLIC	UNRESTRICTED	2,500.
AT HOME IN DARIEN PO BOX 1242 DARIEN CT 06820		PUBLIC	UNRESTRICTED	20,000.
BUZZARDS BAY COALITION 100 FRONT STREET NEW BEDFORD MA 02740		PUBLIC	UNRESTRICTED	2,500.
CHRIST OUR SAVIOR CHURCH 398 BONNET STREET MANCHESTER VT 05255		PUBLIC	UNRESTRICTED	1,500.
COLGATE UNIVERSITY 13 OAK DRIVE HAMILTON NY 13346		PUBLIC	UNRESTRICTED	15,000.
DARIEN LAND TRUST PO BOX 1074 DARIEN CT 06820		PUBLIC	UNRESTRICTED	1,500.
DARTMOUTH COLLEGE 6066 DEVELOPMENT OFFICE HANOVER NH 03755		PUBLIC	UNRESTRICTED	2,500.
FAIRFIELD COUNTIES COMMUNITY FOUNDATION 40 RICHARDS AVENUE NORWALK CT 06854		PUBLIC	UNRESTRICTED	25,000.
FAIRFIELD UNIVERSITY 1073 NORTH BENSON ROAD FAIRFIELD CT 06824		PUBLIC	UNRESTRICTED	2,500.
See Statement				356,050.
Total			3a	429,050.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					2,259.
4	Dividends and interest from securities					243,897.
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					246,156.
13	Total. Add line 12, columns (b), (d), and (e)					246,156.

(See worksheet in line 13 instructions to verify calculations)

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | x |
| | (2) Other assets | 1a(2) | x |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | x |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | x |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | x |
| | (4) Reimbursement arrangements | 1b(4) | x |
| | (5) Loans or loan guarantees | 1b(5) | x |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | x |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | x |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

PRESIDENT
Title

May the IRS discuss this return with the preparer shown below?
See instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☒ if self-employed

PTIN

THOMAS A. MARHEVKA, CPA

Thomas G. Markov, 9th

05/11/2020

P01436581

Firm's name ▶ THOMAS A. MARHEVKA, CPA

Firm's EIN ►

Firm's address ► 9 TANGLEWOOD CT

Phone no (732) 332-1329

BAA

COLTS NECK

NJ 07722

Form **990-PF** (2018)

Form 990-PF: Return of Private Foundation

Part XV, Line 2: Supplementary Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Continuation Statement

Name and Address Information	Form Information	Submission Information	Restrictions
ANNE O'HERRON BURLEIGH, PRES. THE O'HERRON FAMILY FOUNDATION PO BOX 4816 STAMFORD, CT 06907 203-253-0549	LETTER FORM; DESCRIPTION OF ORGANIZATION & ITS ACTIVITIES	NONE	ONLY ORGANIZATIONS ORGANIZED & OPERATED EXCLUSIVELY FOR CHARITABLE PURPOSES UNDER IRC SECTION 501(C)(3)

Form 990-PF: Return of Private Foundation**Part XV, Line 3a: Grants and Contributions Paid During the Year****Continuation Statement**

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
FORDHAM UNIVERSITY 45 COLUMBUS AVENUE NEW YORK, NY 10023		PUBLIC	UNRESTRICTED	50,000.
FOUNDATIONS IN EDUCATION 238 JEWELL AVENUE BRIDGEPORT, CT 06606		PUBLIC	UNRESTRICTED	15,000.
GOLF FIGHTS CANCER PO BOX 663 FALMOUTH, MA 02541		PUBLIC	UNRESTRICTED	1,000.
GREENWICH ACADEMY 200 NORTH MAPLE STREET GREENWICH, CT 06830		PUBLIC	UNRESTRICTED	1,000.
HARVARD BUSINESS SCHOOL TEELE HALL - SOLDIERS FIELD BOSTON, MA 02163		PUBLIC	UNRESTRICTED	5,000.
HORIZONS AT GFA 35 BEACHSIDE AVENUE PO BOX 998 GREENS FARMS, CT 06838		PUBLIC	UNRESTRICTED	10,000.
HORIZONS AT NCC PO BOX 244 NORWALK, CT 06853		PUBLIC	UNRESTRICTED	2,500.
HORIZONS AT NEW CANAAN COUNTRY SCHOOL PO BOX 997 NEW CANAAN, CT 06840		PUBLIC	UNRESTRICTED	5,000.
HORIZONS AT NEW CANAAN COUNTRY SCHOOL 635 FROGTOWN ROAD NEW CANAAN, CT 06840		PUBLIC	UNRESTRICTED	5,000.
HORIZONS NATIONAL 120 POST ROAD SUITE 202 WESTPORT, CT 06880		PUBLIC	UNRESTRICTED	2,500.
IMPACT FAIRFIELD COUNTY PO BOX 7666 GREENWICH, CT 06836		PUBLIC	UNRESTRICTED	2,000.
INNER CITY FOUNDATION FOR EDUCATION (CT) 238 JEWETT AVENUE BRIDGEPORT, CT 06606		PUBLIC	UNRESTRICTED	10,000.
INNER CITY SCHOLARSHIP FUND 1011 FIRST AVENUE NEW YORK, NY 10022		PUBLIC	UNRESTRICTED	3,700.
KEYS PO Box 532 NEW CANAAN, CT 06840		PUBLIC	UNRESTRICTED	9,750.
MARINE BIOLOGICAL LAB 7 MBL STREET WOODS HOLE, MA 02543		PUBLIC	UNRESTRICTED	1,000.
MEMORIAL SLOAN KETTERING PO BOX 27432 NEW YORK, NY 10087		PUBLIC	UNRESTRICTED	1,000.
MIDDLEBURY COLLEGE MUMFORD HOUSE MIDDLEBURY, VT 05753		PUBLIC	UNRESTRICTED	2,100.

Form 990-PF: Return of Private Foundation**Part XV, Line 3a: Grants and Contributions Paid During the Year****Continuation Statement**

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
MULTIPLE MYELOMA RESEARCH FOUNDATION 383 MAIN AVENUE NORWALK, CT 06851		PUBLIC	UNRESTRICTED	23,500.
NEW CANAAN COUNTRY SCHOOL PO BOX 997 NEW CANAAN, CT 06840		PUBLIC	UNRESTRICTED	1,500.
NEW CANAAN HISTORICAL SOCIETY 13 OENOKE RIDGE ROAD NEW CANAAN, CT 06840		PUBLIC	UNRESTRICTED	1,500.
NEW CANAAN LIBRARY 151 MAIN STREET NEW CANAAN, CT 06840		PUBLIC	UNRESTRICTED	26,300.
NEW CANAAN YMCA 564 SOUTH AVENUE NEW CANAAN, CT 06840		PUBLIC	UNRESTRICTED	500.
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE SUITE E311 NORWALK, CT 06854		PUBLIC	UNRESTRICTED	5,000.
OUIMET SCHOLARSHIP FUND PO BOX 663 FALMOUTH, MA 02541		PUBLIC	UNRESTRICTED	1,000.
PAN MASS CHALLENGE 77 FOURTH AVENUE NEEDHAM, MA 02494		PUBLIC	UNRESTRICTED	10,000.
QUISSETT HARBOR PRESERVATION TRUST PO Box 197 FALMOUTH, MA 02541		PUBLIC	UNRESTRICTED	10,000.
ST CATHERINE CENTER FOR SPECIAL NEEDS 760 TAHMORE DRIVE FAIRFIELD, CT 06825		PUBLIC	UNRESTRICTED	1,000.
ST LAWRENCE UNIVERSITY 23 ROMODA DRIVE CANTON, NY 13617		PUBLIC	UNRESTRICTED	1,000.
ST MARGARET MARY SCHOOL 121 EAST 177TH STREET MAMARONECK, NY 10543		PUBLIC	UNRESTRICTED	10,000.
ST PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301		PUBLIC	UNRESTRICTED	25,000.
ST THOMAS MORE CHURCH 374 MIDDLESEX ROAD DARIEN, CT 06820		PUBLIC	UNRESTRICTED	50,000.
COLUMBIA UNIVERSITY TEACHER'S COLLEGE 525 WEST 112TH STREET BOX 306 NEW YORK, NY 10027		PUBLIC	UNRESTRICTED	10,000.
FALMOUTH LAND TRUST-300 COMMITTEE 157 LOCUST STREET FALMOUTH, MA 02540		PUBLIC	UNRESTRICTED	1,500.
THE OPEN DOOR 4 MERRITT STREET NORWALK, CT 06854		PUBLIC	UNRESTRICTED	1,000.

Form 990-PF: Return of Private Foundation**Part XV, Line 3a: Grants and Contributions Paid During the Year****Continuation Statement**

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
UNIVERSITY OF ARIZONA FOUNDATION 1111 N CHERRY ST PO BOX 210109 TUCSON, AZ 85721		PUBLIC	UNRESTRICTED	1,000.
VISITING NURSE & HOSPICE Box 489 WILTON, CT 06897		PUBLIC	UNRESTRICTED	5,000.
WAVENY LIFECARE NETWORK 3 FARM ROAD NEW CANAAN, CT 06840		PUBLIC	UNRESTRICTED	1,000.
WESTMINSTER SCHOOL 995 HOPEMEADOW SIMSBURY, CT 06070		PUBLIC	UNRESTRICTED	25,000.
WILLIAMS COLLEGE 75 PARK STREET WILLIAMSTOWN, MA 01267		PUBLIC	UNRESTRICTED	16,700.
WOODS HOLE PUBLIC LIBRARY PO BOX 185 WOODS HOLE, MA 02543		PUBLIC	UNRESTRICTED	500.
WOODWAY SCHOLARSHIP FUND 540 HOYT STREET DARIEN, CT 06820		PUBLIC	UNRESTRICTED	1,000.
PO BOX 800 LAKEVIEW, CT 06039		PUBLIC	UNRESTRICTED	500.
				356,050.

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired	(d) Date sold
1000 SH CBS CORP	P	04/24/18	08/01/18
3500 SH CITY OFFICE REIT INC	P	06/29/18	12/31/18
250 SH CONSTELLATION BRANDS INC	P	08/24/18	12/06/18
125 SH DOLLAR GENERAL CORP	P	06/27/18	09/26/18
75 SH DOLLAR GENERAL CORP	P	06/27/18	11/08/18
1750 SH HERCULES CAPITAL INC	P	03/29/18	11/08/18
2000 SH HERCULES CAPITAL INC	P	03/29/18	11/08/18
750 SH INGERSOLL-RAND PLC	P	06/27/18	10/15/18
1000 SH ISHARES TR 20 YR TR BD ETF	P	06/29/18	09/26/18
250 SH ISHARES TR 20 YR TR BD ETF	P	06/29/18	09/26/18
250 SH ISHARES TR 20 YR TR BD ETF	P	06/29/18	11/28/18
500 SH ISHARES TR 20 YR TR BD ETF	P	06/29/18	11/28/18
500 SH ISHARES TR 20 YR TR BD ETF	P	06/29/18	11/28/18
750 SH ISHARES TR 20 YR TR BD ETF	P	11/08/18	11/28/18
125 UNITS MAGELLAN MIDSTREAM PARTNERS LP	P	12/29/17	07/18/18
1000 SH MONDELEZ INTL INC	P	06/29/18	12/31/18
500 SH MONDELEZ INTL INC	P	08/22/18	12/31/18
32.43 SH MSIFT CORPORATE BOND PORTFOLIO	P	07/03/18	07/25/18
500 SH NEWELL BRANDS INC	P	06/19/18	11/08/18
7153.08 SH OPPENHEIMERSTEELPATH MLP SELECT 40	P	06/29/18	12/31/18
75 SH SEMPRA ENERGY SRE	P	03/29/18	12/31/18
1000 SH WELLS FARGO COMPANY	P	06/29/18	10/15/18
.15 SH APARTMENT INVT & MGMT COMPANY	P	03/29/18	02/21/19
.04 SH APARTMENT INVT & MGMT COMPANY	P	06/29/18	02/21/19
212 SH APARTMENT INVT & MGMT COMPANY	P	06/29/18	05/31/19
38 SH APARTMENT INVT & MGMT COMPANY	P	03/28/19	05/31/19
250 SH ASTRAZENECA ADR	P	05/22/18	02/14/19
125 SH AT&T INC	P	09/25/18	05/31/19
750 SH CVS HEALTH CORPORATION	P	03/21/19	05/13/19
425 SH DOLLAR GENERAL CORP	P	06/27/18	03/21/19
75 SH DOLLAR GENERAL CORP	P	01/04/19	03/21/19
.02 SH DOW INC	P	11/16/18	04/04/19
.03 SH DOW INC	P	12/31/18	04/04/19
.02 SH DOW INC	P	01/17/18	04/04/19
41.65 SH DOW INC	P	11/16/18	04/18/19
66.64 SH DOW INC	P	12/31/18	04/18/19
41.65 SH DOW INC	P	01/17/19	04/18/19
125 SH DOWDUPONT INC	P	11/16/18	05/31/19
200 SH DOWDUPONT INC	P	12/31/18	05/31/19
125 SH DOWDUPONT INC	P	01/17/19	05/31/19
25 SH ESSEX PROPRTY TRUST	P	12/31/18	01/18/19
25 SH ESSEX PROPRTY TRUST	P	12/31/18	02/26/19
500 SH MEDTRONIC PLC	P	12/31/18	05/31/19
125 SH MEDTRONIC PLC	P	01/04/19	05/31/19
750 SH NEWELL BRANDS INC	P	06/19/18	02/14/19
1000 SH NEWELL BRANDS INC	P	06/19/18	05/31/19
250 SH NEWELL BRANDS INC	P	08/22/18	05/31/19
500 SH NEWELL BRANDS INC	P	09/26/18	05/31/19
250 SH NEWELL BRANDS INC	P	12/31/18	05/31/19
750 SH PIMCO ETF TRUST 0-5 YR HIGH YIELD CORP BOND	P	09/27/18	05/31/19
75 SH SEMPRA ENERGY	P	09/28/18	05/31/19
125 SH JM SMUCKER COMPANY	P	12/31/18	05/31/19

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

875 SH TORONTO DOMINION BANK	P	09/26/18	05/15/19
125 SH TORONTO DOMINION BANK	P	10/15/18	05/15/19
200 SH UNILEVER NV	P	06/29/18	05/31/19
125 SH UNITED TECHNOLOGIES CORP	P	04/24/18	01/04/19
250 SH UNITEDHEALTH GROUP	P	03/29/18	01/04/19
1625 SH WESTERN ASSET FUNDS INC	P	06/29/18	05/31/19
75 SH ABBOTT LABORATORIES	P	03/30/16	12/31/18
250 SH ALTRIA GROUP INC	P	02/11/14	08/24/18
5 SH AMAZON.COM INC	P	09/29/17	11/08/18
5 SH AMAZON.COM INC	P	09/29/17	12/31/18
125 SH AMERICAN ELECTRIC POWER COMPANY	P	01/15/14	12/31/18
375 SH AMERICAN TOWER CORPORATION	P	02/28/17	12/06/18
50 SH AMERICAN WATER WORKS COMPANY	P	01/21/14	11/16/18
25 SH AMERICAN WATER WORKS COMPANY	P	01/23/14	11/16/18
50 SH AMERICAN WATER WORKS COMPANY	P	01/23/14	11/16/18
65 SH APPLE INC	P	02/28/14	09/26/18
500 SH CARETRUST REIT INC	P	12/29/17	12/31/18
125 SH CHEVRON CORP	P	02/11/14	11/08/18
375 SH CISCO SYSTEMS	P	12/30/13	12/31/18
2500 SH CLEARWAY ENERGY INC	P	06/05/17	12/31/18
2750 UNITS CNX MIDSTREAM PARTNERS LP	P	03/02/16	07/18/18
125 SH DIGITAL REALTY TRUST INC	P	06/11/14	12/31/18
1000 UNITS ENERGY TRANSFER EQUITY LP	P	12/31/15	07/18/18
1500 UNITS ENERGY TRANSFER EQUITY LP	P	02/24/16	07/18/18
1500 UNITS ENERGY TRANSFER EQUITY LP	P	05/04/16	07/18/18
1375 UNITS ENTERPRISE PRODUCTS PARTNERS LP	P	09/30/15	07/18/18
875 UNITS ENTERPRISE PRODUCTS PARTNERS LP	P	11/17/16	07/18/18
125 UNITS ENTERPRISE PRODUCTS PARTNERS LP	P	01/19/17	07/18/18
500 UNITS ENTERPRISE PRODUCTS PARTNERS LP	P	03/01/17	07/18/18
712 SH HIGH INCOME SECURITIES FUND	P	01/26/16	09/26/18
500 SH HIGH INCOME SECURITIES FUND	P	01/26/16	09/26/18
1788 SH HIGH INCOME SECURITIES FUND	P	01/26/16	09/26/18
300 SH HIGH INCOME SECURITIES FUND	P	02/12/16	09/26/18
759 SH HIGH INCOME SECURITIES FUND	P	02/12/16	09/26/18
1841 SH HIGH INCOME SECURITIES FUND	P	02/12/16	09/26/18
1600 SH HIGH INCOME SECURITIES FUND	P	02/12/16	09/26/18
125 SH HOME DEPOT INC	P	09/30/15	09/26/18
50 INTEL CORP	P	12/30/13	09/28/18
200 INTEL CORP	P	12/30/13	12/31/18
125 SH JOHNSON & JOHNSON	P	02/11/14	12/31/18
500 SH KIMCO REALTY CORP	P	12/30/13	12/31/18
250 SH LOWES COMPANIES INC	P	09/07/17	11/08/18
100 UNITS MAGELLAN MIDSTREAM PARTNERS LP	P	01/16/14	07/18/18
150 UNITS MAGELLAN MIDSTREAM PARTNERS LP	P	03/26/14	07/18/18
250 UNITS MAGELLAN MIDSTREAM PARTNERS LP	P	09/30/15	07/18/18
375 UNITS MAGELLAN MIDSTREAM PARTNERS LP	P	09/30/15	07/18/18
150 SH MERCK & CO INC	P	02/11/14	12/31/18
7500 SH MFS CHARTER INCOME TRUST	P	01/15/14	12/31/18
5000 SH MFS CHARTER INCOME TRUST	P	09/30/15	12/31/18
125 SH MICROSOFT CORP	P	04/06/15	12/31/18
6318.95 SH MSIFT CORP BOND PORTFOLIO	P	01/02/16	07/25/18
250 SH NEXTERA ENERGY PARTNERS LP	P	05/04/17	09/13/18
125 SH NEXTERA ENERGY PARTNERS LP	P	05/04/17	09/28/18
250 SH NEXTERA ENERGY PARTNERS LP	P	05/04/17	12/31/18
375 SH PEBBLEBROOK HOTEL TRUST 6.375% PFD	P	06/11/14	12/31/18
50 SH PROCTOR & GAMBLE COMPANY	P	02/21/14	08/24/18

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

125 SH PROLOGIS INC	P	12/30/16	10/26/18
2000 UNITS SUNCOKE ENERGY PARTNERS LP	P	03/18/16	07/18/18
125 SH SYSCO CORP	P	03/31/17	08/24/18
250 SH SYSCO CORP	P	03/31/17	12/31/18
625 SH SYSCO CORP	P	06/21/17	12/31/18
125 SH UNITED TECHNOLOGIES CORP	P	06/17/16	12/31/18
125 SH VERIZON COMMUNICATIONS	P	01/16/14	09/24/18
125 SH VERIZON COMMUNICATIONS	P	02/11/14	09/24/18
125 SH VERIZON COMMUNICATIONS	P	02/11/14	09/28/18
224 SH VERIZON COMMUNICATIONS	P	02/11/14	12/31/18
151 SH VERIZON COMMUNICATIONS	P	02/24/14	12/31/18
750 SH VORNADO REALTY TRUST PFD	P	12/30/13	12/31/18
300 SH ABBOT LABORATORIES	P	08/09/17	05/31/19
125 SH AMERICAN ELECTRIC POWER COMPANY INC	P	01/15/14	02/14/19
125 SH AMERICAN WATER WORKS COMPANY INC	P	01/23/14	01/18/19
250 SH ASTRAZENECA ADR	P	05/22/18	05/31/19
75 SH BOSTON PROPERTIES INC	P	02/24/16	02/26/19
250 SH CARETRUST REIT INC	P	12/29/17	05/31/19
125 SH CISCO SYSTEMS	P	12/30/13	05/31/19
1000 SH CYRUSONE	P	01/26/17	02/26/19
.12 SH DOW INC	P	02/21/14	04/04/19
.04 SH DOW INC	P	04/07/15	04/04/19
.08 SH DOW INC	P	11/14/16	04/04/19
.02 SH DOW INC	P	12/30/16	04/04/19
16.66 SH DOW INC	P	02/11/14	04/18/19
249.88 SH DOW INC	P	02/21/14	04/18/19
83.29 SH DOW INC	P	04/07/15	04/18/19
166.59 SH DOW INC	P	11/14/16	04/18/19
41.65 SH DOW INC	P	12/30/16	04/18/19
50 SH DOWDUPONT INC	P	02/11/14	05/15/19
575 SH DOWDUPONT INC	P	02/21/14	05/15/19
175 SH DOWDUPONT INC	P	02/21/14	05/31/19
250 SH DOWDUPONT INC	P	04/07/15	05/31/19
500 SH DOWDUPONT INC	P	11/14/16	05/31/19
125 SH DOWDUPONT INC	P	12/30/16	05/31/19
250 SH DUKE ENERGY CORP	P	02/25/14	05/31/19
125 SH DUKE ENERGY CORP	P	01/22/16	05/31/19
125 SH DUKE ENERGY CORP	P	02/06/18	05/31/19
175 SH FEDEX CORP	P	03/23/18	05/31/19
75 SH FEDEX CORP	P	04/26/18	05/31/19
125 SH MICROSOFT CORP	P	04/06/15	01/18/19
125 SH MICROSOFT CORP	P	04/06/15	02/21/19
75 SH PROCTER & GAMBLE COMPANY	P	02/21/14	01/18/19
75 SH PROCTER & GAMBLE COMPANY	P	06/16/16	05/31/19
125 SH PROLOGIS INC	P	12/30/16	02/26/19
125 SH UNITED TECHNOLOGIES CORP	P	06/17/16	01/04/19
250 SH UNITED TECHNOLOGIES CORP	P	09/14/17	01/04/19
125 SH WELLTOWER INC	P	03/26/14	05/31/19
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
52,747.		49,878.	2,869.
35,212.		44,225.	-9,013.
47,330.		52,169.	-4,839.
13,705.		12,343.	1,362.
8,825.		7,406.	1,419.

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

21,560.		21,246.	314.
24,640.		24,291.	349.
73,202.		66,733.	6,469.
117,107.		122,180.	-5,073.
29,277.		30,501.	-1,224.
28,612.		30,596.	-1,984.
57,224.		61,142.	-3,918.
57,224.		61,159.	-3,935.
85,836.		84,386.	1,450.
8,462.		7,712.	750.
40,016.		40,995.	-979.
20,008.		21,103.	-1,095.
382.		379.	3.
10,090.		13,142.	-3,052.
50,000.		54,534.	-4,534.
8,129.		8,343.	-214.
52,972.		56,922.	-3,950.
8.		6.	2.
2.		2.	0.
10,605.		9,200.	1,405.
1,901.		1,897.	4.
9,990.		9,225.	765.
3,811.		4,235.	-424.
40,254.		43,161.	-2,907.
50,077.		41,968.	8,109.
8,837.		8,204.	633.
1.		1.	0.
2.		2.	0.
1.		1.	0.
2,406.		2,406.	0.
3,849.		3,627.	222.
2,406.		2,406.	0.
3,816.		4,897.	-1,081.
6,106.		7,062.	-956.
3,816.		4,713.	-897.
6,482.		6,062.	420.
6,995.		6,062.	933.
46,365.		45,520.	845.
11,591.		11,011.	580.
16,045.		19,714.	-3,669.
13,242.		26,285.	-13,043.
3,311.		5,419.	-2,108.
6,621.		10,232.	-3,611.
3,311.		4,606.	-1,295.
74,214.		75,501.	-1,287.
9,896.		8,508.	1,388.
15,143.		11,515.	3,628.
48,105.		53,397.	-5,292.
6,872.		7,161.	-289.
12,011.		11,148.	863.
13,345.		15,252.	-1,907.
60,222.		55,673.	4,549.
23,688.		23,726.	-38.
5,415.		3,125.	2,290.
14,686.		8,775.	5,911.

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

8,726.		4,807.	3,919.
7,546.		4,807.	2,739.
9,306.		5,806.	3,500.
62,135.		43,054.	19,081.
4,652.		2,088.	2,564.
2,326.		1,051.	1,275.
4,655.		2,101.	2,554.
14,473.		4,907.	9,566.
9,085.		8,375.	710.
14,917.		14,184.	733.
16,165.		8,354.	7,811.
43,119.		39,617.	3,502.
53,114.		30,529.	22,585.
2,917.		2,728.	189.
17,193.		10,832.	6,361.
25,790.		5,893.	19,897.
25,790.		13,866.	11,924.
39,108.		28,769.	10,339.
24,887.		19,237.	5,650.
3,555.		3,039.	516.
14,221.		12,548.	1,673.
6,671.		4,836.	1,835.
4,685.		3,378.	1,307.
16,753.		12,080.	4,673.
2,811.		2,008.	803.
7,112.		5,070.	2,042.
17,308.		12,297.	5,011.
15,011.		10,687.	4,324.
26,045.		14,355.	11,690.
2,362.		1,288.	1,074.
9,353.		5,154.	4,199.
16,126.		11,553.	4,573.
10,673.		9,747.	926.
24,839.		19,423.	5,416.
6,769.		5,369.	1,400.
10,154.		8,930.	1,224.
16,924.		12,772.	4,152.
25,385.		18,223.	7,162.
11,459.		8,308.	3,151.
54,806.		60,897.	-6,091.
36,538.		34,601.	1,937.
12,659.		5,119.	7,540.
74,374.		68,447.	5,927.
12,439.		8,324.	4,115.
6,045.		4,162.	1,883.
10,469.		8,324.	2,145.
8,946.		8,982.	-36.
4,162.		3,910.	252.
8,049.		6,588.	1,461.
30,240.		15,008.	15,232.
9,479.		6,511.	2,968.
15,618.		13,023.	2,595.
39,046.		33,314.	5,732.
13,254.		12,631.	623.
6,699.		6,169.	530.

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

6,698.		5,892.	806.
6,667.		5,891.	776.
12,590.		10,558.	2,032.
8,487.		6,981.	1,506.
17,033.		14,830.	2,203.
22,874.		14,618.	8,256.
9,971.		5,806.	4,165.
11,555.		5,253.	6,302.
9,335.		9,225.	110.
10,042.		8,469.	1,573.
6,076.		4,188.	1,888.
6,533.		2,785.	3,748.
50,509.		46,018.	4,491.
7.		6.	1.
2.		2.	0.
5.		4.	1.
1.		1.	0.
962.		799.	163.
14,434.		12,001.	2,433.
4,811.		4,132.	679.
9,622.		9,076.	546.
2,406.		2,406.	0.
1,513.		1,556.	-43.
17,398.		17,915.	-517.
5,343.		5,452.	-109.
7,632.		8,046.	-414.
15,265.		17,672.	-2,407.
3,816.		4,716.	-900.
21,377.		17,808.	3,569.
10,688.		9,022.	1,666.
10,688.		9,307.	1,381.
27,175.		41,542.	-14,367.
11,647.		18,511.	-6,864.
13,423.		5,119.	8,304.
13,671.		5,119.	8,552.
6,834.		5,864.	970.
7,758.		6,254.	1,504.
8,877.		6,588.	2,289.
13,345.		12,631.	714.
26,690.		28,167.	-1,477.
10,150.		7,139.	3,011.
2,808,861.	0.	2,548,539.	260,322.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
			2,869.
			-9,013.
			-4,839.
			1,362.
			1,419.
			314.
			349.
			6,469.
			-5,073.

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

			-1,224.
			-1,984.
			-3,918.
			-3,935.
			1,450.
			750.
			-979.
			-1,095.
			3.
			-3,052.
			-4,534.
			-214.
			-3,950.
			2.
			0.
			1,405.
			4.
			765.
			-424.
			-2,907.
			8,109.
			633.
			0.
			0.
			0.
			0.
			222.
			0.
			-1,081.
			-956.
			-897.
			420.
			933.
			845.
			580.
			-3,669.
			-13,043.
			-2,108.
			-3,611.
			-1,295.
			-1,287.
			1,388.
			3,628.
			-5,292.
			-289.
			863.
			-1,907.
			4,549.
			-38.
			2,290.
			5,911.
			3,919.
			2,739.
			3,500.
			19,081.
			2,564.
			1,275.

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

			2,554.
			9,566.
			710.
			733.
			7,811.
			3,502.
			22,585.
			189.
			6,361.
			19,897.
			11,924.
			10,339.
			5,650.
			516.
			1,673.
			1,835.
			1,307.
			4,673.
			803.
			2,042.
			5,011.
			4,324.
			11,690.
			1,074.
			4,199.
			4,573.
			926.
			5,416.
			1,400.
			1,224.
			4,152.
			7,162.
			3,151.
			-6,091.
			1,937.
			7,540.
			5,927.
			4,115.
			1,883.
			2,145.
			-36.
			252.
			1,461.
			15,232.
			2,968.
			2,595.
			5,732.
			623.
			530.
			806.
			776.
			2,032.
			1,506.
			2,203.
			8,256.
			4,165.

Form 990-PF: Return of Private Foundation**Part IV: Capital Gains and Losses for Tax on Investment Income****Continuation Statement**

			6,302.
			110.
			1,573.
			1,888.
			3,748.
			4,491.
			1.
			0.
			1.
			0.
			163.
			2,433.
			679.
			546.
			0.
			-43.
			-517.
			-109.
			-414.
			-2,407.
			-900.
			3,569.
			1,666.
			1,381.
			-14,367.
			-6,864.
			8,304.
			8,552.
			970.
			1,504.
			2,289.
			714.
			-1,477.
			3,011.
0.	0.	0.	260,322.

Additional information from your Form 990-PF: Return of Private Foundation**Form 990-PF: Return of Private Foundation****Taxes****Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
FOREIGN TAX W/H ON DIVIDENDS	1,178.	1,178.	1,178.	
Total	1,178.	1,178.	1,178.	

Form 990-PF: Return of Private Foundation**Other Expenses****Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
POST OFFICE BOX RENTAL	356.	356.	356.	356.
ADR FEES	55.	55.	55.	
NEW YORK STATE FILING FEES	250.	250.	250.	
Total	661.	661.	661.	356.

Form 990-PF: Return of Private Foundation**Other Increases****Continuation Statement**

Description	Amount
SECURITIES SALES NET GAIN USED TO FUND GRANTS	268,372.
TRADE DATE V. SETTLEMENT DATE ADJUSTMENT @ 7/1/18	280,792.
Total	549,164.

Name THE O'HERRON FAMILY FOUNDATION	Employer Identification No 13-3244207
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Line 16a - Legal Fees

[illegible]**Line 16b - Accounting Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
THOMAS A MARHEVKA, CPA	TAX RETURN PREPARATION	4,500.	4,500.	4,500.	
Total to Form 990-PF, Part I, Line 16b		4,500.	4,500.	4,500.	

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GILMAN HILL ASSET MGMT LLC	INVESTMENT ADVISORY	19,234.	19,234.	19,234.	
Total to Form 990-PF, Part I, Line 16c		19,234.	19,234.	19,234.	

INVESTMENT REPORT
June 1, 2019 - June 30, 2019

ATTACHMENT TO PART II LINE 10a INVESTMENTS

Holdings

<u>Description</u>	<u>Maturity</u>	<u>Quantity</u>	<u>Price Per Unit</u>	<u>Total Market Value Accrued Interest (AI)</u>	<u>Total Cost Basis</u>
US Treasury/Agency Securities					
UNITED STATES TREAS BDS	02/15/48	300,000.000	109.5510	328,653.00	281,635.39

Holdings

Stocks

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis
Common Stock				
LAZARD LTD SHS A(LAZ)	2,500.000	34.3900	85,975.00	91,680.27
AT&T INC COM USD1 (T)	3,000.000	33.5100	100,530.00	98,978.13
ABBOTT LABORATORIES(ABT)	1,125.000	84.1000	94,612.50	48,286.84
ABBVIE INC COM USD0.01(ABBV)	750.000	72.7200	54,540.00	42,318.83
ALPHABET INC CAP STK CL C(GOOG)	50.000	1,080.9100	54,045.50	27,253.12
ALTRIA GROUP INC(MO)	875.000	47.3500	41,431.25	33,571.68
AMAZON.COM INC (AMZN)	25.000	1,893.6300	47,340.75	24,833.65
AMEREN CORP COM USD0.01(AEE)	875.000	75.1100	65,721.25	49,549.99
AMERICAN ELEC PWR CO INC COM(AEP)	750.000	88.0100	66,007.50	34,838.17
AMERICAN WATER WORKS COMPANY INC COM	1,125.000	116.0000	130,500.00	50,335.26
USD0.01 (AWK)				
AMGEN INC (AMGN)	300.000	184.2800	55,284.00	50,284.95
ANTHEM INC COM (ANTM)	150.000	282.2100	42,331.50	41,639.00
APPLE INC COM USD0.00001(AAPL)	500.000	197.9200	98,960.00	49,702.17
ASTRAZENECA ADR EACH REP 1 ORD USD0 25 MGT (AZN)	1,500.000	41.2800	61,920.00	55,320.75
BLACKSTONE GROUP LP COM UNIT LTD (BX)	2,500.000	44.4200	111,050.00	74,828.93
BRISTOL-MYERS SQUIBB CO COM USD0.10 (BMY)	1,250.000	45.3500	56,687.50	68,128.30
BROADCOM INC COM(AVGO)	300.000	287.8600	86,358.00	71,427.82
CMS ENERGY CORP COM USD0.01(CMS)	875.000	57.9100	50,671.25	49,293.70
CENTERPOINT ENERGY INC(CNP)	2,000.000	28.6300	57,260.00	61,929.80
CHEVRON CORP NEW COM(CVX)	925.000	124.4400	115,107.00	105,383.93
CISCO SYS INC COM (CSCO)	3,000.000	54.7300	164,190.00	66,320.15
COMCAST CORP NEW CL A(CMCSA)	1,250.000	42.2800	52,850.00	46,197.38
CONSTELLATION BRANDS INC COM USD0.01 CLASS A (STZ)	350.000	196.9400	68,929.00	57,953.82
COSTCO WHOLESALE CORP COM USD0.01 (COST)	200.000	264.2600	52,852.00	47,808.49
DARDEN RESTAURANTS(DRI)	500.000	121.7300	60,865.00	49,554.80
DEERE & COMPANY(DE)	350.000	165.7100	57,998.50	52,831.26
DOMINION ENERGY INC COM(D)	750.000	77.3200	57,990.00	51,622.04
DOW INC COM (DOW)	1,500.000	49.3100	73,965.00	76,194.61
ENVIVA PARTNERS LP COM UNIT(EVA)	1,000.000	31.4200	31,420.00	28,954.95
HOME DEPOT INC COM(HD)	625.000	207.9700	129,981.25	86,345.16
INSIGHT SELECT INCOME FD COM(INSI)	6,250.000	20.1400	125,875.00	116,727.79
INTEL CORP COM USD0.001(INTC)	2,625.000	47.8700	125,658.75	81,476.48
INTERNATIONAL BUS MACH CORP COM USD0 20 (IBM)	625.000	137.9000	86,187.50	87,571.04
INVESCO BD FD COM(VBF)	7,500.000	18.6200	139,650.00	132,065.85
JPMORGAN CHASE & CO. COM USD1.00 (JPM)	1,375.000	111.8000	153,725.00	77,629.88
JOHNSON & JOHNSON COM USD1.00(JNJ)	375.000	139.2800	52,230.00	35,033.20
KIMBERLY CLARK CORP COM USD1.25 (KMB)	500.000	133.2800	66,640.00	54,212.75
KRAFT HEINZ CO COM (KHC)	1,250.000	31.0400	38,800.00	64,840.01

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis
Common Stock (continued)				
ELI LILLY AND CO COM (LLY)	1,000.000	110.7900	110,790.00	76,125.41
LOCKHEED MARTIN CORP COM USD1.00 (LMT)	350.000	363.5400	127,239.00	88,904.91
LOWES COMPANIES INC COM USD0.50 (LOW)	750.000	100.9100	75,682.50	65,942.80
MERCK & CO INC NEW COM (MRK)	1,350.000	83.8500	113,197.50	76,042.19
MICROSOFT CORP (MSFT)	1,125.000	133.9600	150,705.00	47,218.85
NEXTERA ENERGY PARTNERS LP COM UNIT PART IN (NEP)	1,125.000	48.2500	54,281.25	35,542.86
NUCOR CORP COM USD0.40 (NUE)	1,000.000	55.1000	55,100.00	57,608.62
PEPSICO INC (PEP)	1,000.000	131.1300	131,130.00	105,519.29
PFIZER INC (PFE)	3,000.000	43.3200	129,960.00	92,865.78
PROCTER AND GAMBLE CO COM (PG)	675.000	109.6500	74,013.75	52,179.21
ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR0 07 (RDSA)	1,000.000	65.0700	65,070.00	55,190.20
SEMPRA ENERGY (SRE)	675.000	137.4400	92,772.00	73,148.16
SMUCKER J M CO COM NEW (SJM)	500.000	115.1900	57,595.00	46,061.81
TJX COS INC NEW COM (TJX)	750.000	52.8800	39,660.00	37,727.33
TEMPLETON GLOBAL INCOME FD INC DELAWARE (GIM)	15,000.000	6.3600	95,400.00	91,442.66
UNILEVER NV EUR0.16 (NEW YORK SHARES) (UN)	675.000	60.7200	40,986.00	37,625.55
VERIZON COMMUNICATIONS (VZ)	2,125.000	57.1300	121,401.25	102,906.15
VIACOM INC NEW CL B (VIAB)	2,000.000	29.8700	59,740.00	58,718.29
WESTERN ASSET INVESTMENT GRADE COM (PAI)	7,500.000	14.8700	111,525.00	99,708.83
WESTERN ASSET FUNDS INC COM USD0.001 (HYI)	5,000.000	14.9700	74,850.00	70,751.93
XCEL ENERGY INC COM USD2.5 (XEL)	875.000	59.4900	52,053.75	42,929.48
XYLEM INC COM USD0.01 (XYL)	1,000.000	83.6400	83,640.00	69,737.93
Preferred Stock				
ANNALY CAP MGMT INC PFD SER D 7.50000% (NLYPRD)	2,000.000	25.3100	50,620.00	49,600.46
CAPITAL ONE FINL CORP PFD SER B 6.00000% ISIN #US14040H4020 SEDOL #B833K01 (COFPRP)	2,000.000	25.2500	50,500.00	47,687.75
DIGITAL RLTY TR INC PFD SER G 5.87500% (DLRPRG)	2,375.000	25.1200	59,660.00	51,829.81
KIMCO RLTY CORP DEP 1/1000 PFD J 5.50000% (KIMPRJ)	2,500.000	25.1500	62,875.00	50,994.14
PEBBLEBROOK HOTEL TR 6.375 PFD SER E 6.37500% (PEBPRE)	2,125.000	24.9600	53,040.00	50,900.51
VORNADO RLTY TR PFD SER K 5.70000% (VNOPRK)	2,250.000	24.8500	55,912.50	47,267.22

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis
Mutual Funds				
INVESCO OPPENHEIMER STEELPATH SLCT 40 A (MLPFX)	25,303.777	7.4600	188,766.17	192,911.48
COHEN & STEERS MLP AND ENERGY OPPORT I (MLOIX)	20,553.864	8.0100	164,636.45	158,102.62
TORTOISE MLP & PIPELINE FD CL C (TORCX)	15,128.593	12.8800	194,856.27	197,715.56
Other				
AMERICAN CAMPUS CMNTYS INC (ACC)	1,250.000	46.1600	57,700.00	47,307.95
APARTMENT INVT & MGMT CO CL A (AIV)	1,000.000	50.1200	50,120.00	41,944.03
BOSTON PROPERTIES INC COM USD0.01 (BXP)	425.000	129.0000	54,825.00	47,989.94
CARETRUST REIT INC COM (CTRE)	2,250.000	23.7800	53,505.00	35,586.63
CROWN CASTLE INTL CORP NEW COM ISIN #US22822V1017 SEDOL #BTGQCX1 (CCI)	500.000	130.3500	65,175.00	55,053.07
ESSEX PROPERTY TRUST INC COM USD0.0001 (ESS)	200.000	291.9300	58,386.00	48,493.32
NATIONAL STORAGE AFFILIATES (NSA)	2,000.000	28.9400	57,880.00	43,655.29
PROLOGIS INC COM (PLD)	750.000	80.1000	60,075.00	43,987.28
VENTAS INC (VTR)	875.000	68.3500	59,806.25	44,287.50
WELLTOWER INC COM (WELL)	625.000	81.5300	50,956.25	33,715.38
ALPS ETF TR ALERIAN MLP ETF (AMLP)	18,250.000	\$9.8500	179,762.50	185,148.94
ISHARES TR USD INV GRDE ETF (USIG)	1,500.000	57.0700	85,605.00	80,830.50
PIMCO ETF TRUST 0-5 YR HIGH YLD CORP	1,000.000	100.4000	100,400.00	100,668.13
INVESCO EXCHNG TRADED FD TR II VAR	13,625.000	24.9300	339,671.25	337,390.65
TOTAL PART II Line 106			<u><u>7,087,665</u></u>	<u><u>5,819,891</u></u>

INVESTMENT REPORT
June 1, 2019 - June 30, 2019

ATTACHMENT To PART II LINE 10c INVESTMENTS

Holdings

Mutual Funds

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis
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Bond Funds

VANGUARD LONG-TERM BOND INDEX PORT INV (VBLTX)	19,884.964	14.6800	291,911.27	271,974.02
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