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OMB No 1545 0052

2018

Open to Public Inspection

Form 990-PF

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

Department of the Treasury  
Internal Revenue Service

For calendar year 2018 or tax year beginning 6/01, 2018, and ending 5/31, 2019

The DEKA Foundation  
340 Commercial Street  
Manchester, NH 03101

A Employer identification number  
27-1188399  
B Telephone number (see instructions)  
603-669-5139

G Check all that apply  
Initial return ☐ Initial return of a former public charity ☐  
Final return ☐ Amended return ☐  
Address change ☐ Name change ☐

C If exemption application is pending, check here ☐  
D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 13,506,357.  
J Accounting method ☐ Cash ☒ Accrual  
Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 7,478,610.

7 Capital gain net income (from Part IV, line 2)

8 Net short term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

See Statement 1

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other professional fees (attach sch) See St 2

17 Interest

18 Taxes (attach schedule)(see instrs) See Stm 3

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid Part XV

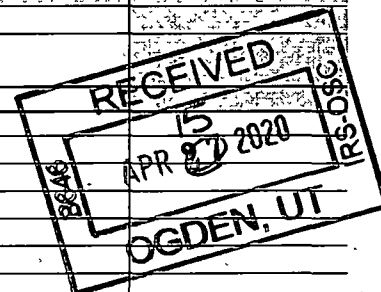
26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)



02 Received in

AUG 12 2020

03/04

SCANNED OCT 01 2020 Revenue Operating and Administrative Expenses

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	2,314,373.	1,750,242.	1,750,242.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) <b>Statement 4</b>	10,996,272.	10,798,214.	10,798,214.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule) <b>Statement 5</b>	669,090.	956,638.	956,638.	
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe <b>See Statement 6</b> )	2,727.	1,263.	1,263.	
16 <b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I)	13,982,462.	13,506,357.	13,506,357.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31.</b> <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	13,982,462.	13,506,357.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see instructions)	13,982,462.	13,506,357.	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	13,982,462.	13,506,357.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,982,462.
2 Enter amount from Part I, line 27a	2	-18,531.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	13,963,931.
5 Decreases not included in line 2 (itemize) <b>See Statement 7</b>	5	457,574.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	13,506,357.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Publicly Traded Securities - Long Term</b>		P	Various	Various
<b>b Publicly Traded Securities - Short-Term</b>		P	Various	Various
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b> 3,352,353.		2,664,080.	688,273.
<b>b</b> 4,126,257.		4,293,935.	-167,678.
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			688,273.
<b>b</b>			-167,678.
<b>c</b>			
<b>d</b>			
<b>e</b>			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	520,595.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	-167,678.
	If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	911,123.	13,763,507.	0.066198
2016	595,000.	11,814,094.	0.050364
2015	465,000.	10,604,153.	0.043851
2014	25,000.	10,656,222.	0.002346
2013	325,000.	10,302,986.	0.031544

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.194303
<b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.038861
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	<b>4</b>	13,734,198.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	533,725.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	6,619.
<b>7</b> Add lines 5 and 6	<b>7</b>	540,344.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	670,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1	a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)		
	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	6,619.
	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3	Add lines 1 and 2	3	6,619.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	6,619.
6	Credits/Payments		
	a 2018 estimated tax pmts and 2017 overpayment credited to 2018	6a	10,242.
	b Exempt foreign organizations – tax withheld at source	6b	
	c Tax paid with application for extension of time to file (Form 8868)	6c	
	d Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	10,242.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,623.
11	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> 3,623. <b>Refunded</b>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes.		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col. (c), and Part XV.	X	
8 a Enter the states to which the foundation reports or with which it is registered. See instructions. DE		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If 'Yes,' complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>Deka Research &amp; Devel. Corp.</u> Telephone no <u>603-669-5139</u> Located at <u>340 Commercial Street Manchester NH</u> ZIP + 4 <u>03101</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>	N/A	N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4 b	X

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**Part VII B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	11,897,754.
b	Average of monthly cash balances	1 b	2,045,594.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	13,943,348.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,943,348.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	209,150.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,734,198.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	686,710.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	686,710.
2a	Tax on investment income for 2018 from Part VI, line 5	2 a	6,619.
b	Income tax for 2018 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	6,619.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	680,091.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	680,091.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	680,091.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	670,000.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	670,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	6,619.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	663,381.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				680,091.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016	9,659.			
e From 2017	240,702.			
f Total of lines 3a through e	250,361.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 670,000.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2018 distributable amount				670,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	10,091.			10,091.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	240,270.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	240,270.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017	240,270.			
e Excess from 2018				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a 'Assets' alternative test — enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

Dean Kamen

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include:





- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> See Statement 9				
<b>Total</b>			<b>3 a</b>	670,000.
<i>b Approved for future payment</i>				
<b>Total</b>			<b>3 b</b>	



	Yes	No
		
1 a (1)		X
1 a (2)		X
		
1 b (1)		X
1 b (2)		X
1 b (3)		X
1 b (4)		X
1 b (5)		X
1 b (6)		X
1 c		X

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below? See instructions.

Yes

\_\_\_\_\_

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**Statement 1**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

Schedule K-1: Amoskeag Medical LLC

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Total	\$ -2,898.	\$ 0.	\$ 0.

**Statement 2**  
**Form 990-PF, Part I, Line 16c**  
**Other Professional Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Fees	\$ 61,430.	\$ 61,430.		
Total	\$ 61,430.	\$ 61,430.	\$ 0.	\$ 0.

**Statement 3**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
2016 Estimate for Excise Tax on Invest.	\$ 7,500.			
Foreign Withholding Tax	\$ 2,658.	\$ 2,658.		
Total	\$ 10,158.	\$ 2,658.	\$ 0.	\$ 0.

**Statement 4**  
**Form 990-PF, Part II, Line 10b**  
**Investments - Corporate Stocks**

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
See Attached Statement	Mkt Val	\$ 10,798,214.	\$ 10,798,214.
	Total	\$ 10,798,214.	\$ 10,798,214.

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**Statement 5**  
**Form 990-PF, Part II, Line 13**  
**Investments - Other**

	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
<u>Other Publicly Traded Securities</u>			
See Attached Schedule	Mkt Val	\$ 956,638.	\$ 956,638.
	Total	<u>\$ 956,638.</u>	<u>\$ 956,638.</u>

**Statement 6**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

	<u>Book Value</u>	<u>Fair Market Value</u>
Rounding	\$ 1.	
Schedule K-1: Amoskeag Medical LLC	1,262.	\$ 1,263.
Total	<u>\$ 1,263.</u>	<u>\$ 1,263.</u>

**Statement 7**  
**Form 990-PF, Part III, Line 5**  
**Other Decreases**

Net Unrealized Gains or Losses on Investments	Total	\$ 457,574.
		<u>\$ 457,574.</u>

**Statement 8**  
**Form 990-PF, Part VIII, Line 1**  
**List of Officers, Directors, Trustees, and Key Employees**

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP &amp; DC</u>	<u>Expense Account/ Other</u>
Dean Kamen 340 Commercial Street Manchester, NH 03101	Director 0.10	\$ 0.	\$ 0.	\$ 0.
Robert Tuttle 340 Commercial Street Manchester, NH 03101	Director 0.20	0.	0.	0.
Steve Hazard 340 Commercial Street Manchester, NH 03101	Director 0.05	0.	0.	0.

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**Statement 8 (continued)**  
**Form 990-PF, Part VIII, Line 1**  
**List of Officers, Directors, Trustees, and Key Employees**

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compensation</u>	<u>Contri- bution to EBP &amp; DC</u>	<u>Expense Account/ Other</u>
Maureen Toohey 340 Commercial Street Manchester, NH 03101	Director 0.10	\$ 0.	\$ 0.	\$ 0.
Brendan Duffy 340 Commercial Street Manchester, NH 03101	Asst. Secretary 0.20	0.	0.	0.
	Total	\$ 0.	\$ 0.	\$ 0.

**Statement 9**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
FIRST 200 Bedford Street Manchester NH 03101	N/A	509(a) (1)	To assist FIRST in their efforts to teach children the value of technology and invention.	\$ 200,000.
INTERNATIONAL FIRST COMMITTEE ASSOCIATIO 340 COMMERCIAL STREET MANCHESTER NH 03101	N/A	509(a) (1)	To assist International FIRST Committee Association in their efforts to teach children the value of technology and invention.	450,000.
JDRF 175 AMMON DRIVE, SUITE 201 MANCHESTER NH 03103	N/A	509(a) (1)	To assist JDRF in improving lives. Curing type 1 diabetes.	10,000.



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Statement 9 (continued)  
Form 990-PF, Part XV, Line 3a  
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
New England Science and Sailing Foundati 72 Water Street Stonington CT 06378	N/A		To assist New England Science and Sailing Foundation in their efforts to bring STEM-based education programs on and off the water, for students from all walks of life.	\$ 10,000.
Total				\$ <u>670,000.</u>

Statement 10

Form 990-PF, Part XV, Line 1a

Dean Kamen owns, directly and indirectly, 100% of Deka Products Limited Partnership ("DPLP"). DPLP did not make any contributions to the

Foundation during the current year, but it did become a substantial contributor during the tax year ended 5/31/10.

Dean Kamen also owns 100% of HHD, LLC ("HHD"), a single-member LLC. HHD did not make any contributions to the foundation during the current tax

year, but it did become a substantial contributor during the tax year ended 5/31/12.

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***Part II - Line 10b, Investments in Corporate Stock***

Security Name	Number of Shares	Fair Market Value
ADVANSIX INC	14	341 32
AFLAC INC	2,294	117,682 20
AMETEK INC	815	66,740 35
ANALOG DEVICES INC	102	9,855 24
APACHE CORP	1,666	43,432 62
ARLO TECHNOLOGIES INC	659	2,260 37
AT&T INC	3,061	93,605 38
BOEING CO	328	112,048 08
BRUNSWICK CORP	759	31,483 32
CENTERPOINT ENERGY INC	3,822	108,697 68
CHEMOURS CO	1,442	30,411 78
CISCO SYSTEMS INC	884	45,994 52
CMS ENERGY CORP	2,360	132,419 60
COCA-COLA EUROPEAN PARTNERS	2,635	145,979 00
COMERICA INC	615	42,324 30
COMMUNITY HEALTHCARE TR	606	23,712 78
DTE ENERGY CO	315	39,523 05
EATON CORP PLC	935	69,648 15
EMERSON ELECTRIC CO	1,363	82,107 12
GARRETT MOTION INC	36	553 68
GENERAL MOTORS CO	1,026	34,206 84
HALLIBURTON COMPANY	1,277	27,187.33
HOME DEPOT INC	470	89,229 50
HONEYWELL INTERNATIONAL INC	365	59,973 15
HP INC	5,909	110,380 12
ILLINOIS TOOL WORKS INC	237	33,094 68
JERNIGAN CAPITAL INC	879	18,564 48
JOHNSON & JOHNSON	436	57,181 40
JPMORGAN CHASE & CO	345	36,556 20
KELLOGG COMPANY	636	33,428 16
KIMBERLY-CLARK CORP	311	39,773 79
KOHL'S CORP	917	45,226 44
LOCKHEED MARTIN CORP	221	74,817 34
LYONDELLBASELL INDUSTRIES AF SCA	1,074	79,744 50
MARATHON PETROLEUM CORP	901	41,436 99
NATIONAL STORAGE AFFILIATES TR	843	25,112 97
NEXPOINT RESIDENTIAL TR INC	546	21,883 68
NEXTERA ENERGY INC	209	41,425 89
OMNICOM GROUP	542	41,929 12
ONEOK INC NEW	789	50,196 18
PACKAGING CORP OF AMER	553	49,261 24

PINNACLE WEST CAP CORP	626	58,787 66
PS BUSINESS PKS INC	135	21,724 20
QUALCOMM INC	520	34,746 40
RESIDEO TECHNOLOGIES	60	1,180 80
ROYAL CARIBBEAN CRUISES LTD	304	37,015 04
SCHLUMBERGER LTD	887	30,770 03
SERVICE CORP INTERNATL	1,296	56,855 52
STEEL DYNAMICS INC	1,418	35,662 70
STORE CAPITAL CORP	663	22,687 86
STRYKER CORP	670	122,770 80
T ROWE PRICE GROUP INC	392	39,646 88
TARGET CORP	545	43,845 25
TD AMERITRADE HOLDING INC	1,043	51,889 25
TEXAS INSTRUMENTS INC	1,518	158,342 58
TYSON FOODS INC CL A	637	48,341.93
UNITED TECHNOLOGIES CORP	272	34,353 60
VERIZON COMMUNICATIONS	672	36,523.20
WALGREENS BOOTS ALLIANCE INC	610	30,097 40
WASTE MGMT INC DEL	374	40,896 90
WELLCARE HEALTH PLANS INC	214	59,104 66
WILLIAMS SONOMA INC	699	40,891 50

ADVANSIX INC	46	1,121 48
AMETEK INC NEW	3,163	259,018 07
ANTHEM INC	1,121	311,615 58
BIOGEN INC	898	196,922 42
BROADCOM INC	542	136,388 88
CHEMED CORP	1,034	339,089 96
E TRADE FINANCIAL CORP	2,748	123,110 40
FACEBOOK INC	1,362	241,714 14
FORTINET INC	3,996	289,630 08
GARRETT MOTION INC	170	2,614 60
GENERAL MOTORS CO	7,222	240,781.48
HARRIS CORP DEL	1,684	315,227 96
HONEYWELL INTERNATIONAL INC	1,707	280,477 17
INGERSOLL-RAND PLC	2,566	303,660 44
INTUIT INC	1,290	315,856 50
LINCOLN NATL CORP IND	4,139	246,063 55
MASTERCARD INC CL A	1,344	338,002 56
MOLINA HEALTHCARE INC	2,040	290,210.40
PARKER-HANNIFIN CORP	1,025	156,128 00
REINSURANCE GROUP OF AMERICA INC	1,631	241,485.86
RESIDEO TECHNOLOGIES	284	5,589 12
T-MOBILE US INC	4,423	324,825 12
TD AMERITRADE HOLDING INC	4,613	229,496 75
TECH DATA CORP	2,869	260,074 85
UNITED TECHNOLOGIES CORP	1,422	179,598 60

UNITEDHEALTH GROUP INC	1,092	264,045 60
VERIZON COMMUNICATIONS	5,350	290,772 50
WALGREENS BOOTS ALLIANCE INC	4,917	242,604 78
WASHINGTON FED INC	7,666	242,015 62
WASTE MGMT INC DEL	2,998	327,831 30
ZEBRA TECHNOLOGIES CORP	1,642	281,504 48
ZOETIS INC	3,020	305,171.00
		<b><u>10,798,213.95</u></b>

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***Part II - Line 13, Investments - Other***

Security Name	Number of Shares	Fair Market Value
ISHARES MSCI ETF NETHERLANDS	1,749	51,857 85
ISHARES MSCI ETF AUSTRALIA	2,163	47,153 40
ISHARES MSCI ETF SWITZERLAND	3,606	129,671 76
ISHARES MSCI ETF HONG KONG	1,782	43,944 12
ISHARES MSCI FRANCE ETF	3,614	104,806 00
ISHARES MSCI GERMANY ETF	2,701	72,710 92
ISHARES MSCI ITALY ETF	564	14,630 16
ISHARES MSCI NEW ZEALAND ETF	302	15,432 20
ISHARES MSCI ETF SINGAPORE	1,256	28,687 04
ISHARES MSCI SWEDEN ETC	1,848	53,924 64
ISHARES TR ETF MSCI UTD KINGDOM ETF NEW	4,034	127,837 46
ALEXANDRIA REAL ESTATE	376	55,050 16
CARETRUST REIT INC	979	23,799 49
EASTGROUP PPTYS INC REIT	208	23,088 00
EQUITY LIFESTYLE PROP REIT	222	27,008 52
NATIONAL RETAIL PPTYS	398 00	21,304 94
REALTY INCOME CORP REIT	305	21,374 40
SIMON PROPERTY GROUP REIT INC	141	22,854 69
SUN COMMUNITIES INC REIT	189	23,865 03
TERRENO REALTY CORP	470	21,483 70
VANGUARD INDEX FDS ETF REAL ESTATE INDEX FDS	301	26,153 89
<b>Investments in Non-Corporate Securities</b>		<b>956,638.37</b>