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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

905

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning 06/01 , 2018, and ending 05/31, 2019

Name of foundation: F & A ZIMMERMAN CHARITABLE TR 480008002

A Employer identification number: 23-7848279

Number and street (or P O box number if mail is not delivered to street address): PO BOX 66916 | Room/suite:

B Telephone number (see instructions): 314-515-4948

City or town, state or province, country, and ZIP or foreign postal code: ST. LOUIS, MO 63166-6916

C If exemption application is pending, check here ▶ ☐ 6

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,211,332.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)	401,587.			
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	24,870.	24,865.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	34,404.			
b	Gross sales price for all assets on line 6a 385,353.				
7	Capital gain net income (from Part IV, line 2)		34,404.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	583,572.	583,572.		STMT 2
12	**Total** Add lines 1 through 11	1,044,433.	642,841.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	11,297.	11,297.		
14	Other employee salaries and wages		NONE	NONE	
15	Pension plans, employee benefits		NONE	NONE	
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 3	600.	NONE	NONE	600.
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 4	795.	684.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule)				
24	**Total operating and administrative expenses.** Add lines 13 through 23	12,692.	11,981.	NONE	600.
25	Contributions, gifts, grants paid	40,000.			40,000.
26	Total expenses and disbursements Add lines 24 and 25	52,692.	11,981.	NONE	40,600.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	991,741.			
b	**Net investment income** (if negative, enter -0-)		630,860.		
c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	11,194.	53,234.	53,234.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶ NONE			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U S and state government obligations (attach schedule)			
b Investments - corporate stock (attach schedule)			
c Investments - corporate bonds (attach schedule)			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans			
13 Investments - other (attach schedule)	162,372.	1,207,147.	1,158,098.
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	173,566.	1,260,381.	1,211,332.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	173,566.	1,260,381.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see instructions)	173,566.	1,260,381.	
31 **Total liabilities and net assets/fund balances** (see instructions)	173,566.	1,260,381.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	173,566.
2 Enter amount from Part I, line 27a	2	991,741.
3 Other increases not included in line 2 (itemize) ▶ BOOK TO FMV DIFFERENCE OF CONTRIBUTIONS	3	95,074.
4 Add lines 1, 2, and 3	4	1,260,381.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,260,381.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	385,353.		350,949.	34,404.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				34,404.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	34,404.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	22,600.	204,157.	0.110699
2016	29,982.	209,309.	0.143243
2015	33,373.	225,957.	0.147696
2014	36,045.	264,345.	0.136356
2013	38,390.	281,465.	0.136394

2	**Total** of line 1, column (d)	2	0.674388
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.134878
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,021,912.
5	Multiply line 4 by line 3	5	137,833.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,309.
7	Add lines 5 and 6	7	144,142.
8	Enter qualifying distributions from Part XII, line 4	8	40,600.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	12,617.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	NONE
3	Add lines 1 and 2	3	12,617.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	12,617.
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 . . . . 6a		
b	Exempt foreign organizations - tax withheld at source . . . . 6b NONE		
c	Tax paid with application for extension of time to file (Form 8868) . . . . 6c NONE		
d	Backup withholding erroneously withheld 6d		
7	Total credits and payments Add lines 6a through 6d	7	NONE
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	10.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	12,627.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ NONE **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ PA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X	

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ EDWARD JONES TRUST COMPANY Telephone no ▶ (314)515-4948

Located at ▶ 12555 MANCHESTER ROAD, ST LOUIS, MO ZIP+4 ▶ 63131

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year, did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b	
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	X
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDWARD JONES TRUST COMPANY 12555 MANCHESTER ROAD, ST LOUIS, MO 63131	TRUSTEE	11,297.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	994,418.
b	Average of monthly cash balances	1b	43,056.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	1,037,474.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,037,474.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,562.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,021,912.
6	**Minimum investment return.** Enter 5% of line 5	6	51,096.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	51,096.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	12,617.		
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	12,617.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	38,479.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	38,479.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	38,479.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	40,600.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	40,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	40,600.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					38,479.
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only				NONE	
b Total for prior years 20____,20____,20____			NONE		
3 Excess distributions carryover, if any, to 2018					
a From 2013	24,705.				
b From 2014	23,215.				
c From 2015	22,263.				
d From 2016	19,753.				
e From 2017	12,623.				
f **Total** of lines 3a through e		102,559.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 40,600.					
a Applied to 2017, but not more than line 2a				NONE	
b Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c Treated as distributions out of corpus (Election required - see instructions)		NONE			
d Applied to 2018 distributable amount					38,479.
e Remaining amount distributed out of corpus		2,121.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)		NONE			NONE
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		104,680.			
b Prior years' undistributed income Subtract line 4b from line 2b			NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions			NONE		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				NONE	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		NONE			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)		24,705.			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		79,975.			
10 Analysis of line 9					
a Excess from 2014	23,215.				
b Excess from 2015	22,263.				
c Excess from 2016	19,753.				
d Excess from 2017	12,623.				
e Excess from 2018	2,121.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TAMAQUA SALVATION ARMY 105 W. BROAD STREET TAMAQUA PA 18252	NONE	PC	PROGRAM SUPPORT	5,000.
AMERICAN CANCER SOCIETY P.O. BOX 33 LANFORD PA 18232	NONE	PC	PROGRAM SUPPORT	5,000.
EVANGELICAL THEOLOGICAL SEMINARY 121 SOUTH COLLEGE STREET MYERSTOWN PA 17067-	NONE	PC	PROGRAM SUPPORT	5,000.
BLUE MOUNTAIN CHRISTIAN RETREAT 1 CHRISTIAN LANE NEW RINGGOLD PA 17960-9560	NONE	PC	PROGRAM SUPPORT	5,000.
ZION CHURCH LEWISTOWN VALLEY 489 VALLEY ROAD TAMAQUA PA 18252	NONE	PC	PROGRAM SUPPORT	5,000.
INTERVARSITY CAMPUS ACCESS FUND P.O. BOX 7895 MADISON WI 53791	NONE	PC	PROGRAM SUPPORT	5,000.
GOOD NEWS IN ACTION PO BOX 1660 ALBANY LA 70711	NONE	PC	PROGRAM SUPPORT	5,000.
FMI INC PO BOX 932 NEWTON SQUARE PA 19073	NONE	PC	PROGRAM SUPPORT	5,000.
Total . . . . . . ▶ **3a**				40,000.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	24,870.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	34,404.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b IRA / SEP DISTRIBU			14	29,342.	
c LINCOLN NATIONAL A			14	554,230.	
d					
e					
12 Subtotal Add columns (b), (d), and (e)				642,846.	

13 **Total** Add line 12, columns (b), (d), and (e) . . . . . 13 642,846.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Tom Steffen | 07/08/2019 | TRUST TAX LEADE

Signature of officer or trustee | Date | Title

TOM STEFFEN

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ERIC W COURSEY		07/08/2019		P00387963

Firm's name ▶ ERNST & YOUNG U.S. LLP — Firm's EIN ▶ 34-6565596

Firm's address ▶ 2100 ONE PPG PLACE PITTSBURGH, PA 15222 — Phone no 412-644-5302

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No 1545-0047

2018

Name of the organization: F & A ZIMMERMAN CHARITABLE TR 480008002

Employer identification number: 23-7848279

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: F & A ZIMMERMAN CHARITABLE TR 480008002

Employer identification number: 23-7848279

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ZIMMERMAN INH IRA FBO CHAR TRST	$ 401,587.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization: F & A ZIMMERMAN CHARITABLE TR 480008002

Employer identification number: 23-7848279

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
1	SECURITIES	$ 401,587.	10/01/2018
		$	
		$	
		$	
		$	
		$	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ISHARES US AGG BND INDX-K	1,467.	1,467.
BLACKROCK EQTY DVDND-KD	1,937.	1,937.
ISHARES-MSCI EAFE INTL INDEX K	3,730.	3,726.
AMER FND CAP WRLD BND-F3	342.	342.
CREDIT SUISSE COMM RET STRATEGY FD	131.	131.
DELAWARE EMERGING MARKETS-R6	336.	336.
DELAWARE SMALL CAP VALUE-R6	879.	879.
DODGE & COX INCOME FD	1,894.	1,894.
DODGE & COX STK FD	441.	441.
DREYFUS BD MKT INDEX-BASIC CL #710	529.	529.
AMER FNDS EUROPAC GROW-F3	1,197.	1,197.
AMER FND FNDMNTL INVST-F3	936.	936.
HARBOR CAPITAL APPRECIA-RTR	175.	175.
OAKMARK INTERNATIONAL-INST	1,475.	1,475.
HARTFORD DVDND & GRWTH-F	865.	865.
AMER FND INTL GR & INC-F3	291.	291.
JPMORGAN MID CAP VALUE-R6	795.	795.
JANUS FLEX BOND FUND CL I	32.	31.
JANUS FLEXIBLE BOND F-N	1,144.	1,144.
JENSEN QUALITY GROWTH-Y	443.	443.
JPMORGAN CORE BOND-R6	2,098.	2,098.
JPMORGAN HIGH YIELD-R6	1,250.	1,250.
LEGG MASON BW GLOBL OP BD-IS	185.	185.
FEDERATED GOVT OBLI FD-PRM	979.	979.
MORGAN STANLEY INS GLBL RE-A	860.	860.
PRUDENTIAL TOTAL RETRN BND-Q	459.	459.
TOTAL	24,870.	24,865.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
IRA / SEP DISTRIBUTIONS	29,342.	29,342.
LINCOLN NATIONAL ANNUITY #955646322#	554,230.	554,230.
TOTALS	583,572.	583,572.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (ALLOCABLE	600.			600.
TOTALS	600.	NONE	NONE	600.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL TAX PAYMENT - PRIOR YE	111.	
FOREIGN TAXES ON QUALIFIED FOR	664.	664.
FOREIGN TAXES ON NONQUALIFIED	20.	20.
TOTALS	795.	684.

F & A ZIMMERMAN CHARITABLE TR 480008002 23-7848279

PENALTY COMPUTATION DETAIL - FORM 2220

DATE PD	UNDERPAYMENT	BEG.DATE	END DATE	DAYS	%	PENALTY
Quarter 1, Rate Period 1 (10/16/2018 - 12/31/2018)						
	58.	10/15/2018	12/31/2018	77	5	1.
TOTAL FOR QUARTER 1, RATE PERIOD 1						1.
Quarter 1, Rate Period 2 (01/01/2019 - 03/31/2019)						
	58.	12/31/2018	03/31/2019	90	6	1.
TOTAL FOR QUARTER 1, RATE PERIOD 2						1.
Quarter 1, Rate Period 3 (04/01/2019 - 10/15/2019)						
	58.	03/31/2019	10/15/2019	198	5	2.
TOTAL FOR QUARTER 1, RATE PERIOD 3						2.
Quarter 2, Rate Period 1 (11/16/2018 - 12/31/2018)						
	58.	11/15/2018	12/31/2018	46	5	
TOTAL FOR QUARTER 2, RATE PERIOD 1						
Quarter 2, Rate Period 2 (01/01/2019 - 03/31/2019)						
	58.	12/31/2018	03/31/2019	90	6	1.
TOTAL FOR QUARTER 2, RATE PERIOD 2						1.
Quarter 2, Rate Period 3 (04/01/2019 - 10/15/2019)						
	58.	03/31/2019	10/15/2019	198	5	2.
TOTAL FOR QUARTER 2, RATE PERIOD 3						2.
Quarter 3, Rate Period 2 (02/16/2019 - 03/31/2019)						
	58.	02/15/2019	03/31/2019	44	6	
TOTAL FOR QUARTER 3, RATE PERIOD 2						
Quarter 3, Rate Period 3 (04/01/2019 - 10/15/2019)						

STATEMENT 1

PENALTY COMPUTATION DETAIL - FORM 2220

DATE PD	UNDERPAYMENT	BEG.DATE	END DATE	DAYS	%	PENALTY
	58.	03/31/2019	10/15/2019	198	5	2.
TOTAL FOR QUARTER 3, RATE PERIOD 3						2.
Quarter 4, Rate Period 3 (05/16/2019 - 10/15/2019)						
	57.	05/15/2019	10/15/2019	153	5	1.
TOTAL FOR QUARTER 4, RATE PERIOD 3						1.

TOTAL UNDERPAYMENT PENALTY 10.

STATEMENT 2

F & A ZIMMERMAN CHARITABLE TR 480008002 23-7848279

Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
PUBLICLY TRADED SECURITIES					
189.71 DREYFUS BD MKT INDEX-BASIC CL	01/31/2018	11/16/2018	1,871.00	1,931.00	-60.00
7617.742 DREYFUS BD MKT INDEX-BASIC CL #710	09/06/2018	11/16/2018	75,111.00	76,194.00	-1,083.00
4.955 HARBOR INTERNATIONAL-RET	12/18/2017	11/16/2018	288.00	332.00	-44.00
678.06 HARBOR INTERNATIONAL-RET	09/06/2018	11/16/2018	39,477.00	43,725.00	-4,248.00
978.6 AMER FND INTL GR & INC-F3	09/06/2018	11/16/2018	29,896.00	32,398.00	-2,502.00
8.425 LEGG MASON BW GLOBL OP BD-IS	12/21/2017	11/16/2018	83.00	90.00	-7.00
2176.866 LEGG MASON BW GLOBL OP BD-IS	06/28/2018	11/16/2018	21,551.00	22,217.00	-666.00
24.128 MORGAN STANLEY INS GLBL RE-A	12/18/2017	11/16/2018	258.00	270.00	-12.00
385.464 ISHARES US AGG BND INDX-K	11/16/2018	11/26/2018	3,739.00	3,743.00	-4.00
184.58 ISHARES-MSCI EAFE INTL INDEX K	11/16/2018	11/26/2018	2,385.00	2,392.00	-7.00
53.655 AMER FND CAP WRLD BND-F3	11/16/2018	11/26/2018	1,016.00	1,020.00	-4.00
3008.146 CREDIT SUISSE COMM RET STRATEGY FD	09/06/2018	03/27/2019	14,108.00	14,198.00	-90.00
1730.049 CREDIT SUISSE COMM RET STRATEGY FD	09/28/2018	03/27/2019	8,114.00	8,443.00	-329.00
3297.403 JANUS FLEXIBLE BOND F-N	09/06/2018	03/27/2019	33,535.00	33,106.00	429.00
2128.792 JANUS FLEXIBLE BOND F-N	09/28/2018	03/27/2019	21,650.00	21,309.00	341.00
1405.538 JPMORGAN CORE BOND-R6	09/06/2018	03/27/2019	16,220.00	15,854.00	366.00
TOTAL PUBLICLY TRADED SECURITIES			269,302.00	277,222.00	-7,920.00
Totals			269,302.00	277,222.00	-7,920.00

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F & A ZIMMERMAN CHARITABLE TR 480008002 23-7848279

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
PUBLICLY TRADED SECURITIES					
148.065 CREDIT SUISSE COMM RET					
STRATEGY FD	12/02/2015	11/16/2018	709.00	697.00	12.00
9.925 DELAWARE EMERGING MARKETS-R6	05/10/2017	11/16/2018	173.00	174.00	-1.00
160.639 DODGE & COX INCOME FD	04/28/2015	11/16/2018	2,132.00	2,204.00	-72.00
1123.964 DREYFUS BD MKT INDEX-BASIC CL					
#710	09/05/2013	11/16/2018	11,082.00	11,631.00	-549.00
110.931 HARBOR INTERNATIONAL-RET	02/07/2012	11/16/2018	6,458.00	6,567.00	-109.00
169.568 AMER FND INTL GR & INC-F3	05/10/2017	11/16/2018	5,180.00	5,378.00	-198.00
135.924 JANUS FLEXIBLE BOND F-N	09/05/2013	11/16/2018	1,340.00	1,404.00	-64.00
16.097 JENSEN QUALITY GROWTH-Y	12/14/2016	11/16/2018	829.00	661.00	168.00
226.88682 JPMORGAN CORE BOND-R6	02/02/2012	11/16/2018	2,525.00	2,712.00	-187.00
39.794 JPMORGAN HIGH YIELD-R6	12/02/2015	11/16/2018	280.00	281.00	-1.00
348.25 LEGG MASON BW GLOBL OP BD-IS	12/02/2015	11/16/2018	3,448.00	3,492.00	-44.00
48.122 BLACKROCK EQTY DVDND-KD	02/02/2012	11/26/2018	1,076.00	1,063.00	13.00
151.676 CREDIT SUISSE COMM RET					
STRATEGY FD	12/02/2015	11/26/2018	705.00	701.00	4.00
50.7 DELAWARE EMERGING MARKETS-R6	05/10/2017	11/26/2018	871.00	890.00	-19.00
16.56 DELAWARE SMALL CAP VALUE-R6	01/27/2017	11/26/2018	1,065.00	1,064.00	1.00
270.33635 DODGE & COX INCOME FD	02/02/2012	11/26/2018	3,582.00	3,675.00	-93.00
3.117 DODGE & COX STK FD	05/10/2017	11/26/2018	633.00	598.00	35.00
32.308 AMER FNDS EUROPAC GROW-F3	02/07/2012	11/26/2018	1,561.00	1,250.00	311.00
12.142 AMER FND FNDMNTL INVST-F3	02/02/2012	11/26/2018	731.00	577.00	154.00
74.71 OAKMARK INTERNATIONAL-INST	12/14/2016	11/26/2018	1,753.00	1,697.00	56.00
51.322 HARTFORD DVDND & GRWTH-F	02/02/2012	11/26/2018	1,314.00	1,070.00	244.00
25.061 IVY MID CAP GROWTH FUND-N	12/02/2015	11/26/2018	674.00	580.00	94.00
33.633 JPMORGAN MID CAP VALUE-R6	02/02/2012	11/26/2018	1,313.00	1,123.00	190.00
277.738 JANUS FLEXIBLE BOND F-N	09/05/2013	11/26/2018	2,733.00	2,869.00	-136.00
16.567 JENSEN QUALITY GROWTH-Y	12/14/2016	11/26/2018	830.00	680.00	150.00
407.736 JPMORGAN CORE BOND-R6	02/02/2012	11/26/2018	4,542.00	4,873.00	-331.00
137.659 JPMORGAN HIGH YIELD-R6	12/02/2015	11/26/2018	966.00	973.00	-7.00
289.818 CREDIT SUISSE COMM RET					
STRATEGY FD	12/02/2015	03/27/2019	1,359.00	1,339.00	20.00
Totals					

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Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
520.643 JANUS FLEXIBLE BOND F-N	09/05/2013	03/27/2019	5,295.00	5,373.00	-78.00
699.793 JPMORGAN CORE BOND-R6	02/02/2012	03/27/2019	8,076.00	8,131.00	-55.00
TOTAL PUBLICLY TRADED SECURITIES			73,235.00	73,727.00	-492.00
Totals			73,235.00	73,727.00	-492.00

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FEDERAL WASH SALES

SECURITY SOLD	DATE ACQUIRED	DATE SOLD	COST BASIS	SALES PRICE	DISALLOWED LOSS
100.507 MORGAN STANLEY INS GLB	12/18/2017	11/26/2018	1,123.00	1,076.00	-47.00
8.19618 JPMORGAN CORE BOND-R6	02/02/2012	11/16/2018	98.00	91.00	-7.00
24.663 BLACKROCK EQTY DVDND-KD	12/01/2014	11/26/2018	565.00	551.00	-14.00
12.14465 DODGE & COX INCOME FD	02/02/2012	11/26/2018	165.00	161.00	-4.00
4.44 AMER FNDS EUROPAC GROW-F3	06/14/2017	11/26/2018	231.00	215.00	-16.00

FEDERAL CAPITAL GAIN DISTRIBUTIONS

LONG-TERM CAPITAL GAIN DISTRIBUTIONS

15% RATE CAPITAL GAIN DISTRIBUTIONS

BLACKROCK EQTY DVDND-KD	6,346.00	
DELAWARE SMALL CAP VALUE-R6	2,558.00	
DODGE & COX INCOME FD	161.00	
DODGE & COX STK FD	3,181.00	
AMER FNDS EUROPAC GROW-F3	1,258.00	
AMER FND FNDMNTL INVST-F3	4,255.00	
HARBOR CAPITAL APPRECIA-RTR	5,609.00	
OAKMARK INTERNATIONAL-INST	2,601.00	
HARTFORD DVDND & GRWTH-F	5,451.00	
IVY MID CAP GROWTH FUND-N	3,798.00	
JPMORGAN MID CAP VALUE-R6	2,579.00	
JENSEN QUALITY GROWTH-Y	3,741.00	
JPMORGAN CORE BOND-R6	90.00	
LEGG MASON BW GLOBL OP BD-IS	1.00	
MORGAN STANLEY INS GLBL RE-A	1,186.00	
TOTAL 15% RATE CAPITAL GAIN DISTRIBUTIONS		42,816.00
TOTAL LONG-TERM CAPITAL GAIN DISTRIBUTIONS		42,816.00