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Form **990-PF**

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2018**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2018 or tax year beginning 04/01/18, and ending 03/31/19

Name of foundation <b>TR U/W VIVIAN S WEST - WEST MEMORIAL FUND</b>		A Employer identification number <b>56-6520255</b>
Number and street (or P O box number if mail is not delivered to street address) <b>4242 SIX FORKS RD, 17TH FLOOR US TR</b>	Room/suite	B Telephone number (see instructions) <b>919-829-6771</b>
City or town, state or province, country, and ZIP or foreign postal code <b>RALEIGH NC 27601-1754</b>		C If exemption application is pending, check here <input type="checkbox"/> <b>6</b>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>7,363,639</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	142,839	142,839		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	339,247			
b	Gross sales price for all assets on line 6a <b>1,740,283</b>				
7	Capital gain net income (from Part IV, line 2)		339,247		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) Stmt 1	-3,250	-3,250		
12	Total. Add lines 1 through 11	478,836	478,836	0	
13	Compensation of officers, directors, trustees, etc	144,586	88,707		55,879
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) Stmt 2	8,800	4,400		4,400
c	Other professional fees (attach schedule) Stmt 3	1,600	1,600		
17	Interest				
18	Taxes (attach schedule) (see instructions) Stmt 4	14,372	5,995		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att sch) Stmt 5	2,754	1,014		1,740
24	Total operating and administrative expenses. Add lines 13 through 23	172,112	101,716	0	62,019
25	Contributions, gifts, grants paid	300,532			300,532
26	Total expenses and disbursements. Add lines 24 and 25	472,644	101,716	0	362,551
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	6,192			
b	Net investment income (if negative, enter -0-)		377,120		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	61,253	84,460	84,460
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) See Stmt 6	4,623,927	4,612,629	6,967,349
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶ Stmt 7	237,410		
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) See Statement 8	-32,937	-38,654	38,030
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	4,889,653	4,895,845	7,363,639
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	4,889,653	4,895,845	
	30 Total net assets or fund balances (see instructions)	4,889,653	4,895,845	
	31 Total liabilities and net assets/fund balances (see instructions)	4,889,653	4,895,845	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,889,653
2 Enter amount from Part I, line 27a	2	6,192
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	4,895,845
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,895,845

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> PUBLICLY TRADED SECURITIES	P	Various	Various
<b>b</b> PUBLICLY TRADED SECURITIES	P	Various	Various
<b>c</b> PUBLICLY TRADED SECURITIES	P	Various	Various
<b>d</b> CAPITAL GAIN DISTRIBUTIONS			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b> 147,853		147,497	356
<b>b</b> 1,444,466		1,169,845	274,621
<b>c</b> 79,638		83,694	-4,056
<b>d</b> 68,326			68,326
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			356
<b>b</b>			274,621
<b>c</b>			-4,056
<b>d</b>			68,326
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	339,247
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	328,354	7,236,872	0.045372
2016	319,482	6,640,170	0.048114
2015	370,766	6,773,813	0.054735
2014	352,098	7,264,192	0.048470
2013	328,590	7,040,429	0.046672

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.243363
<b>3</b> Average distribution ratio for the 5-year base period – divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.048673
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	<b>4</b>	7,270,291
<b>5</b> Multiply line 4 by line 3	<b>5</b>	353,867
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	3,771
<b>7</b> Add lines 5 and 6	<b>7</b>	357,638
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	362,551

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	3,771
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	3,771
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,771
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	5,528
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	5,528
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,757
11	Enter the amount of line 10 to be Credited to 2019 estimated tax <input type="checkbox"/> 1,757 Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ (2) On foundation managers <input type="checkbox"/> \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/> NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► JAMES G SULLIVAN 1100 CONFERENCE DR Located at ► GREENVILLE NC ZIP+4 ► 27858 Telephone no ► 252-756-8888		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days )	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► N/A		1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? N/A		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions ) N/A		2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018 ) N/A		3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

		Yes	No
<b>5a</b>	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	
	If "Yes" to 6b, file Form 8870		X
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9				

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services</b>		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total. Add lines 1 through 3</b>	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,985,110
b	Average of monthly cash balances	1b	122,096
c	Fair market value of all other assets (see instructions)	1c	273,800
d	Total (add lines 1a, b, and c)	1d	7,381,006
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	7,381,006
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	110,715
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,270,291
6	Minimum investment return. Enter 5% of line 5	6	363,515

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	363,515
2a	Tax on investment income for 2018 from Part VI, line 5	2a	3,771
b	Income tax for 2018 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,771
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	359,744
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	359,744
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	359,744

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	362,551
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	362,551
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	3,771
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	358,780

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				359,744
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only			354,246	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013				
<b>b</b> From 2014				
<b>c</b> From 2015				
<b>d</b> From 2016				
<b>e</b> From 2017				
<b>f</b> Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>362,551</u>				
<b>a</b> Applied to 2017, but not more than line 2a			354,246	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2018 distributable amount				8,305
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions				
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount – see instructions				
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				351,439
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014				
<b>b</b> Excess from 2015				
<b>c</b> Excess from 2016				
<b>d</b> Excess from 2017				
<b>e</b> Excess from 2018				



**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
HOOKER MEMORIAL CHRISTIAN 1111 GREENVILLE BLVD GREENVILLE NC 27858	NONE	501c3 501c3 CHARITABLE	PURPOSES	40,000
THE ECU FOUNDATION INC 2200 S CHARLES BLVD GREENVILLE NC 27858	NONE	501c3 501c3 CHARITABLE	PURPOSES	60,000
GREENVILLE CHORAL SOCIETY PO BOX 1357 GREENVILLE NC 27835	NONE	501c3 501c3 CHARITABLE	PURPOSES	5,000
BOYS & GIRLS CLUBS OF COASTAL PLAIN 621 WEST FIRE TOWER ROAD WINTERVILLE NC 28590	N/A	501c3 501c3	PURPOSES	25,000
FOOD BANK OF CENTRAL & EASTERN NC 1712 UNION STREET GREENVILLE NC 27834	N/A	501c3 501c3	PURPOSES	15,000
HOPE OF GLORY MINISTRIES 103 E ARLINGTON BLVD GREENVILLE NC 27858	N/A	501c3 501c3	PURPOSES	15,000
THE LITTLE WILLIE CENTER 807 W 5TH STREET GREENVILLE NC 27834	N/A	501c3 501c3	PURPOSES	8,000
GREENVILLE MUSEUM OF ART 802 SOUTH EVANS STREET GREENVILLE NC 27834	N/A	501c3 501c3	PURPOSES	56,232
CARENET COUNSELING EAST 108 OAKMONT DRIVE GREENVILLE NC 27858	N/A	501c3 501c3	PURPOSES	25,800
JESUS OTHERS & YOU INC 107 LOUIS STREET GREENVILLE NC 27858	N/A	501c3 501c3	PURPOSES	42,000
<b>Total</b>			<b>3a</b>	<b>300,532</b>
<b>b Approved for future payment</b> N/A				
<b>Total</b>			<b>3b</b>	

**Part XV Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year EAST CAROLINA VILLAGE & FARM MUSEUM PO BOX 2740 GREENVILLE NC 27836-0740	N/A	501c3 501c3	PURPOSES	8,500
<b>Total</b>			▶ 3a	
<b>b</b> Approved for future payment N/A				
<b>Total</b>			▶ 3b	



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

BANK OF AMERICA By:

May the IRS discuss this return with the preparer shown below?  
See instructions ☐ Yes

Signature of officer or trustee

Date \_\_\_\_\_

Title

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ if self-employed

JAMES G. SULLIVAN

05/16/19

Firm's name ► Sullivan, Shearin & Co

PTIN P00238015

Firm's address ► 1100 Conference Dr  
Greenville, NC 27858

Firm's EIN ► 56-1350306

Phone no 252-756-8888

## Federal Statements

### Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
WEST REALTY COMPANY	\$ -198	\$ -198	\$
PINE RIDGE INVESTORS LP	-3,052	-3,052	
Total	<u>\$ -3,250</u>	<u>\$ -3,250</u>	<u>\$ 0</u>

### Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
SULLIVAN, SHEARIN & COMPANY	\$ 8,800	\$ 4,400	\$	\$ 4,400
Total	<u>\$ 8,800</u>	<u>\$ 4,400</u>	<u>\$ 0</u>	<u>\$ 4,400</u>

### Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REAL ESTATE APPRAISAL	\$ 1,600	\$ 1,600	\$	\$
Total	<u>\$ 1,600</u>	<u>\$ 1,600</u>	<u>\$ 0</u>	<u>\$ 0</u>

### Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REAL ESTATE PROPERTY TAX	\$ 5,995	\$ 5,995	\$	\$
990-PF EXCISE TAX - PR YR	2,849			
990-PF EXCISE TX - EST PMTS	5,528			
Total	<u>\$ 14,372</u>	<u>\$ 5,995</u>	<u>\$ 0</u>	<u>\$ 0</u>

# Federal Statements

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## Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
INSURANCE - DISTRIBUTION COMM	1,740			1,740
INSURANCE - REAL ESTATE	1,014	1,014		
Total	2,754	1,014	0	1,740

## Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE SCHEDULE	\$ 4,623,927	\$ 4,612,629		\$ 6,967,349
Total	\$ 4,623,927	\$ 4,612,629		\$ 6,967,349

## Statement 7 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
LAND - STATESVILLE, NC	\$ 237,410	\$ 237,410	\$	\$ 273,800
Total	\$ 237,410	\$ 237,410	\$ 0	\$ 273,800

## Statement 8 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
WEST REALTY CO PARTNERSHIP	\$ 40,707	\$ 38,029		\$ 38,029
PINE RIDGE INVESTORS PARTNERSHIP	-73,644	-76,683		1
Total	\$ -32,937	\$ -38,654		\$ 38,030



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56-6520255

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**Federal Statements**

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**Statement 9 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.**

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
DANNY MCNALLY 502 BREMERTON DRIVE GREENVILLE NC 27858	DIRECTOR	0.50	4,860	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CHAIRMAN	2.35	21,450	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CO-TRUSTEE	4.20	38,560	0	0
DAVID EMSWILER ONE HANOVER SQUARE RALEIGH NC 27601	COMMITTEE	1.00	0	0	0
BANK OF AMERICA 4242 SIX FORKS ROAD 17TH FLOOR US TR RALEIGH NC 27601	CO-TRUSTEE	3.76	79,716	0	0

**Federal Statements**

**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**

Description

APPLICATION FORMS AVAILABLE AT ABOVE ADDRESS

**Form 990-PF, Part XV, Line 2c - Submission Deadlines**

Description

NONE

**Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**

Description

501(C)(3) CHARITIES

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2019

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
<u>CORPORATE STOCK</u>			
58	ABBVIE INC		
300	ACCENTURE PLC	52,806 00	22,404 68
39	ACCENTURE PLC	6,864 78	5,555 28
149	ACI WORLDWIDE INC	4,897 63	3,662 20
162	ACTIVISION BLIZZARD INC	7,375 86	10,487 28
31	ADOBE INC	8,261 19	7,952 30
44	ADVANCED ENERGY INDS	2,185 92	1,487 80
5	ADYEN N V	3,918 75	3,808 77
125	AERCAP HOLDINGS NV	5,817 50	5,936 71
821	AIA GROUP LTD	8,173 44	7,913 31
23	ALIGN TECHNOLOGY INC	6,539 59	5,408 08
42	AIRBUS SE	5,560 13	5,341 72
58	ALEXION PHARMACEUTICALS INC	7,840 44	6,867 92
49	ALIBABA GROUP HLDG LTD	8,940 05	8,371 40
62	ALIBABA GROUP HLDG LTD	11,311 90	12,289 97
100	ALPHABET INC CLASS C	117,331 00	60,964 25
60	ALPHABET INC CLASS A	70,613 40	32,977 24
40	AMAZON COM INC	71,230 00	8,382 15
6	AMAZON COM INC	10,684 50	10,268 29
44	AMDOCS LTD	2,380 84	3,066 80
113	AMEREN CORP	8,311 15	6,858 64
104	AMERICAN ELEC PWR INC	8,710 00	5,252 53
700	AMERICAN EXPRESS CO	76,510 00	28,183 54
25	AMETEK INC	2,074 25	1,898 00
270	ANGLO AMERICAN PLC	7,222 94	7,135 53
175	ANHEUSER BUSCH INBEV	14,694 75	17,433 05
21	AON PLC	3,584 70	2,976 47
72	APERGY CORP	2,956 32	3,203 95
650	APPLE INC	123,467 50	68,767 80
164	APPLE INC	31,151 80	17,115 75
164	APPLIED MATLS INC	6,504 24	7,894 57
202	ARRAY BIOPHARMA INC	4,924 76	3,325 23
171	ARROWHEAD PHARMACEUTICALS INC	3,137 85	2,241 61
2895 15	ASAHI GROUP HLDGS LTD	2,895 15	2,844 99
36	ASML HOLDINGS NV	6,769 80	6,562 34
108	ASTRAZENECA PLC	4,366 44	4,366 52
30	ATLASSIAN CORP PLC	3,371 70	1,983 75
256	AT&T INC	8,028 16	8,528 83
28	AUTOLIV INC	2,058 84	1,318 49
67	AUTOMATIC DATA PROCESSING INC	10,702 58	3,924 05
124	AXALTA COATING SYSTEMS LTD	3,126 04	4,100 81
206	BANK NEW YORK MELLON CORP	10,388 58	11,055 99
100	BAXTER INTL INC	8,131 00	6,774 89
274	BB&T CORP	12,749 22	12,748 40
111	BP PLC	4,852 92	4,700 83
24	BEIGENE LTD	3,168 00	4,124 43
24	BELDEN INC	1,288 80	1,449 04
39	BERKLEY W R CORP	3,304 08	2,958 37
500	BERKSHIRE HATHAWAY INC	100,445 00	39,692 64
109	BERRY GLOBAL GROUP INC	5,871 83	5,064 87
118	BIOGEN IDEC INC	27,892 84	12,841 70
150	BLACKROCK INC	64,105 50	49,107 95
19	BLACKROCK INC	8,120 03	4,283 94
12105 422	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND	130,254 34	125,000 00
40	BLUEBIRD BIO INC	6,293 20	5,019 23
18	BOEING CO	6,865 56	3,178 19
13	BOOKING HLDGS INC	22,683 83	5,094 06
5	BOOKING HLDGS INC	8,724 55	10,210 48
4679 175	BOSTON PARTNERS LONG/SHORT RESH FUND	70,140 83	70,000 00
125	BOSTON SCIENTIFIC CORP	4,797 50	3,998 74
20	BRIGHT HORIZONS FAMILY SOLUTIONS	2,542 20	2,358 85
325	BRISTOL MYERS SQUIBB CO	15,505 75	13,651 89
129	BRISTOL MYERS SQUIBB CO	6,154 59	7,644 76
118	BRISTOL MYERS SQUIBB CO	5,629 78	6,325 61

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2019

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
	23 BROADCOM INC	6,916 33	6,515 09
	29 BROADCOM INC	8,720 59	6,000 19
	64 BROADRIDGE FINL SOLUTIONS INC	6,636 16	3,874 83
	27 BROADRIDGE FINL SOLUTIONS INC	2,799 63	3,039 45
	5 CABLE ONE INC	4,906 90	3,772 87
	117 CANADA GOOSE HLDGS INC	5,618 34	7,098 15
	50 CANADIAN NATIONAL RAILWAY	4,474 00	1,903 73
	95 CANADIAN PAC RY LTD	19,572 85	12,720 03
	28 CAPGEMINI SA	3,398 64	3,253 84
	13 CARLISLE COS INC	1,594 06	1,647 04
	13 CARTER HLDGS INC	1,310 27	1,401 09
	450 CBS CORP	21,388 50	19,446 86
	50 CELGENE CORP	4,717 00	4,323 45
	14 CELGENE CORP	1,320 76	1,090 53
922	306 CHESAPEAKE ENERGY CORP	2,859 15	3,211 15
	750 CHEVRON CORP	92,385 00	26,595 90
	169 CHEVRON CORP	20,817 42	18,611 80
	121 CHUBB LTD	16,949 68	12,421 42
	27 CHURCHILL DOWNS INC	2,437 02	2,507 59
	19 CIMPRESS	1,522 47	2,769 82
	632 CISCO SYS INC	34,121 68	21,575 40
	405 CITIGROUP INC	25,199 10	17,050 97
	50 CLOROX CO	8,023 00	3,402 75
	57 CME GROUP INC	9,381 06	4,585 09
12241	376 CMG ULTRA SHORT TERM BOND FUND	110,417 21	110,049 98
	128 CMS ENERGY CORP	7,109 12	4,082 29
	400 COCA COLA CO	18,744 00	8,929 85
	57 COGNEX CORP	2,899 02	3,073 17
	123 COGNEX CORP	6,255 78	5,657 60
10925	011 COHEN & STEERS PFD SECS & INCOME FUND	147,050 65	149,945 84
	42 COLOPLAST A/S - B	4,612 73	4,135 29
	0 838 COLUMBIA DIVIDEND INCOME FUND	18 69	8 22
4319	475 COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND	151,483 99	70,000 00
	0 558 COLUMBIA SELECT LARGE CAP GROWTH FUND	9 20	5 65
	800 COMCAST CORP	31,984 00	18,565 76
	501 COMCAST CORP	20,029 98	13,982 38
	200 CONOCOPHILLIPS	13,348 00	13,828 86
	10 COOPER COS INC	2,961 70	2,327 26
	123 COPART INC	7,452 57	3,867 09
	38 CORNERSTONE ONDEMAND INC	2,081 64	2,035 87
	7 COSTAR GROUP INC	3,264 94	2,877 10
	48 CRANE CO	4,061 76	3,974 59
	44 CROWN CASTLE INTL CORP	5,632 00	4,168 67
	30 CROWN CASTLE INTL CORP	3,840 00	3,059 14
	900 CSX CORP	67,338 00	15,435 16
	133 CTRIP COM INTL LTD	5,810 77	5,441 55
	37 CUMMINS INC	5,841 19	5,806 21
	50 CVS HEALTH CORP	2,696 50	4,883 70
	88 DAIFUKU CO LTD	4,579 48	4,407 85
	68 DAIKIN KOGYO INDUSTRIES	7,968 20	7,482 22
	125 DANAHER CORP	16,502 50	10,158 61
	31 DASSAULT SYSTEMES	4,620 81	4,515 38
	39 DELL TECHNOLOGIES INC	2,288 91	1,021 66
	98 DEUTSCHE WOHNEN AG	4,757 00	4,687 86
	55 DIGITAL RLTY TR INC	6,545 00	5,552 53
	750 DISNEY WALT CO	83,272 50	64,185 31
	79 DISNEY WALT CO	8,771 37	8,444 87
	92 DOLBY LABORATORIES INC	5,793 24	4,574 03
	42 DOMINION ENERGY INC	3,219 72	2,341 31
	18 DOMINOS PIZZA INC	4,645 80	3,786 63
	325 DOWDUPONT INC	17,325 75	23,354 72
	142 DOWDUPONT INC	7,570 02	7,556 79
	65 DUNKIN BRANDS GROUP INC	4,881 50	3,384 34
	42 DUNKIN BRANDS GROUP INC	3,154 20	2,867 44
14023	745 EATON VANCE FLTG RATE FUND	124,530 86	124,903 07
	227 EBAY INC	8,430 78	4,498 95

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2019

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
200	ECOLAB INC	35,308 00	24,553 70
31	EDWARDS LIFESCIENCES CORP	5,931 23	4,739 96
73	EMCOR GROUP INC	5,334 84	4,608 67
159	INTEGRIS INC	5,674 71	1,468 05
64	ENVESTNET INC	4,184 96	3,821 60
250	EOG RES INC	23,795 00	22,960 00
69	ETSY INC	4638 18	3155 56
32	EVERCORE PARTNERS INC	2,912 00	2,412 93
108	EVERSOURCE ENERGY	7,662 60	6,117 27
58	EXACT SCIENCES CORP	5,023 96	4,319 41
247	EXELIXIS INC	5,878 60	5,259 46
246	EXXON MOBIL CORP	19,876 80	17,593 59
500	EXXON MOBIL CORP	40,400 00	16,865 00
65	FACEBOOK INC	10,834 85	12,178 12
18	FANUC CORP	3,070 33	3,127 79
38967 574	FEDERATED STRATEGIC VALUE FUND	224,453 23	200,000 00
74	FIBROGEN INC	4,021 90	3,890 56
19	FIDELITY NATL INFORMATION SVCS	2,148 90	2,038 35
123	FLEXTRONICS	1,230 00	1,742 18
58	FORTINET INC	4,870 26	1,974 17
20	FRONTDOOR INC	688 40	777 17
80	GARRETT MOTION INC	1,178 40	249 88
13	GARTNER GROUP INC	1,971 84	1,795 09
78	GENERAL DYNAMICS CORP	13,203 84	12,104 54
134	GENERAL MTRS CO	4,971 40	5,229 67
29	GENOMIC HEALTH INC	2,031 45	2,212 58
74	GILDAN ACTIVEWEAR INC	2,661 78	2,149 97
500	GILEAD SCIENCES INC	32,505 00	17,067 78
61	GILEAD SCIENCES INC	3,965 61	4,484 27
729	GLENCORE XSTRATA PLC	3,019 80	2,896 27
2696 639	GLENMEDE SMALL CAP EQUITY PORTFOLIO	68,575 53	75,000 00
29	GLOBAL PMTS INC	3,959 08	3,353 02
15	GODADDY INC	1,127 85	1,130 98
200	GOLDMAN SACHS GROUP INC	38,398 00	39,817 96
112	GRACO INC	5,546 24	4,803 95
41	GUIDEWIRE SOFTWARE INC	3,983 56	2,262 01
1179	HAIER ELECTRONICS GROUP CO LTD	3,424 37	3,494 52
212	HELIX ENERGY SOLUTIONS GROUP INC	1,676 92	2,211 85
36	HENRY JACK & ASSOC INC	4,994 64	4,751 63
40	HERSHEY CO	4,593 20	4,182 44
59	HEXAGON AB	3,085 08	3,037 16
174	HOME DEPOT INC	33,388 86	8,044 29
96	HOME DEPOT INC	18,421 44	5,827 22
800	HONEYWELL INTL INC	127,136 00	22,275 92
129	HONEYWELL INTL INC	20,500 68	9,378 06
91	HUAZHU GROUP LTD	3,834 74	838 38
26	IAC / INTERACTIVECORP	5,462 86	1,089 17
614	ICIC BK LTD	7,036 44	6,004 92
36	IDEXX LABS INC	8,049 60	7,865 82
26	ILLUMINA INC	8,077 94	7,519 57
229	IMMUNOMEDICS INC	4,399 09	3,838 88
341	ING GROUP NV	4,139 74	4,269 32
109	INGERSOLL-RAND CO LTD	11,766 55	9,689 92
402	INTEL CORP	21,587 40	13,652 90
125	INTERNATIONAL BUSINESS MACHS	17,637 50	10,447 07
101	INTERNATIONAL BUSINESS MACHS	14,251 10	14,361 02
13	INTUIT	3,398 33	2,714 34
25	IQVIA HLDGS INC	3,596 25	2,588 61
1775	ISHARES COR MSCI EAFE ETF	107,866 75	106,011 88
174	ISHARES EDGE MSCI MIN VOL EAFE ETF	12,528 00	12,357 26
1000	ISHARES RUSSELL 2000 ETF	153,090 00	68,653 00
500	ISHARES TR S&P GLOBAL INFO TECHNOLOGY	85,535 00	30,072 17
0 589	JANUS ENTERPRISE FUND	76 43	47 96
5414 528	JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND	107,803 25	100,000 00
725	JOHNSON & JOHNSON	101,347 75	26,490 00
273	JOHNSON & JOHNSON	38,162 67	26,077 60

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2019

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
304	J P MORGAN CHASE & CO	30,773 92	17,884 31
300	KANSAS CITY SOUTHERN	34,794 00	16,311 41
104	KAR AUCTION SVCS INC	5,336 24	5,405 39
62	KBC GROEP NV	4,335 73	4,369 60
6	KERING	3,444 01	3,260 85
11	KEYENCE CORP	6,854 32	6,317 30
60	KIMBERLY CLARK CORP	7,434 00	5,040 93
53	KION GROUP AG	2,772 62	3,221 57
88	KLA-TENCOR CORP	10,508 08	9,151 67
26	KLA-TENCOR CORP	3,104 66	2,959 88
150	KRAFT HEINZ CORP	4,897 50	5,699 26
376	KUBOTA CORP	5,433 54	5,298 17
50	LABORATORY CORP AMER HLDGS	7,649 00	4,422 21
41	LAMAR ADVERTISING CO	3,249 66	2,956 06
47	LAM RESEARCH CORP	8,413 47	7,114 67
9	LAM RESEARCH CORP	1,611 09	1,635 54
128	LEGG MASON INC	3,503 36	4,708 38
94	LIBERTY FORMULA ONE	3,294 70	3,368 80
88	LILLY ELI & CO	11,418 88	7,882 83
136	LIVE NATION ENTERTAINMENT INC	8,641 44	5,688 77
51	LPL FINL HLDGS INC	3,552 15	3,588 42
125	LOCKHEED MARTIN CORP	37,520 00	17,724 37
63	LOCKHEED MARTIN CORP	18,910 08	14,745 83
14	LONZA GROUP AG	4,341 00	4,048 46
10	LVMH MOET HENNESSY	3,681 82	3,390 30
66	LYONDELLBASELL INDUSTRIES	5,549 28	6,142 85
52	MACQUARIE GROUP LTD	4,780 54	4,765 09
84	MAGNA INTL INC	4,089 96	4,417 61
174	MARSH & MCLENNAN COS INC	10,338 60	8,895 67
114	MARRIOTT INTL INC	14,260 26	4,836 87
55	MCDONALDS CORP	10,444 50	4,712 86
150	MEDTRONIC PLC	13,662 00	13,195 64
80	MEDTRONIC PLC	7,286 40	6,502 88
12	MERCODOLIBIRE INC	6,092 76	1,520 82
10	MERCODOLIBIRE INC	5,077 30	2,962 05
39	MERCK & CO INC	4,451 37	4,262 82
339	MERCK & CO INC	28,194 63	17,102 45
44	MICROCHIP TECHNOLOGY INC	3,650 24	4,494 52
600	MICROSOFT CORP	70,764 00	15,506 25
294	MICROSOFT CORP	34,674 36	12,450 23
31	MIDDLEBY CORP	4,030 93	3,409 89
36	MOLINA HEALTHCARE INC	5,110 56	4,878 52
500	MONDELEZ INTL INC	24,960 00	12,794 62
136	MONDELEZ INTL INC	6,789 12	5,998 95
28	MSCI INC	5,567 52	4,831 19
12	MSCI INC	2,386 08	2,070 51
20	MULTICHOICE GROUP LTD	167 39	-
27	M&T BANK CORP	4,239 54	4,456 12
20	NASPERS LTD	4,621 15	4,493 06
57	NATIONAL INSTRS CORP	2,528 52	2,472 23
119	NESTLE	11,339 59	10,888 86
150	NESTLE	14,298 00	9,537 96
18	NEUROCRINE BIOSCIENCES INC	1,585 80	1,784 30
92	NEW ORIENTAL ED & TECHNOLOGY	8,288 28	7,909 34
43	NEW RELIC INC	4,244 10	4,094 94
42	NEXTERA ENERGY INC	8,119 44	3,466 35
32	NICE LTD	3,920 32	3,460 32
23	NIDEC CORP	2,914 35	2,787 50
400	NIKE INC	33,684 00	6,660 80
95	NIKE INC	7,999 95	7,040 92
36	NORDSON CORP	4,770 72	1,890 74
32	NORWEGIAN CRUISE LINE HLDGS LTD	1,758 72	1,724 00
75	NOVARTIS A G	7,210 50	5,251 90
150	NOVO-NORDISK	7,846 50	4,704 26
84	NUTANIX INC	3,170 16	2,364 23
49	NVIDIA CORP	8,798 44	11,282 15

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2019

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
	19 NXP SEMICONDUCTORS	1,679 41	1,785 52
300	OCCIDENTAL PETE CORP	19,860 00	24,350 07
16	OMNICOM GROUP INC	1,167 84	1,198 26
84	ONEX CORP	4,778 63	4,931 21
144	ON SEMICONDUCTOR CORP	2,962 08	3,659 03
26	PACKAGING CORP	2,583 88	2,802 70
41	PARKER HANNIFIN CORP	7,036 42	3,618 51
13	PARTNERS GROUP NPV	9,450 75	9,265 23
300	PAYPAL HOLDINGS INC	31,152 00	9,767 12
74	PAYPAL HOLDINGS INC	7,684 16	6,335 43
475	PEPSICO INC	58,211 25	16,832 30
135	PEPSICO INC	16,544 25	13,706 28
33	PERKINELMER INC	3,179 88	2,618 06
571	PFIZER INC	24,250 37	14,121 18
181	PHILIP MORRIS INTL INC	15,998 59	8,681 88
150	PHILLIPS 66	14,275 50	8,306 88
16608	226 PIMCO INCOME FUND	199,298 71	198,769 75
941	PING AN INSURANCE GROUP CO	10,536 87	9,484 23
36	PIONEER NAT RES CO	5,482 08	6,283 12
106	PNC FINL SVCS GROUP INC	13,001 96	8,558 86
159	PORTOLA PHARMACEUTICALS INC	5,517 30	3,757 54
40	POWER INTEGRATIONS INC	2,797 60	2,536 29
54	PRICE T ROWE GROUP INC	5,406 48	2,315 39
105	PRINCIPALFINLGROUP INC	5,269 95	5,719 51
19554	209 PRINCIPAL PFD SECS FUND	193,000 04	200,000 00
153	PROCTER & GAMBLE CO	15,919 65	12,354 29
34	PUBLIC STORAGE INC	7,404 52	5,382 67
1350	QUALCOMM INC	76,990 50	29,111 00
64	QUALYS INC	5,295 36	5,832 15
77	RECKITT BENCKISER GROUP PLC	6,402 37	6,097 66
40	REINSURANCE GROUP AMER INC	5,679 20	5,031 95
133	RESIDEO TECHNOLOGIES INC	2,565 57	667 92
101	REXNORD CORP	2,539 14	2,988 86
76	RITCHIE BROS AUCTIONEERS INC	2,584 00	2,716 76
129	ROCHE HLDG LTD	4,436 31	4,497 59
750	ROCHE HLDG LTD	25,792 50	23,654 33
19	RYANIAR HLDGS PLC	1,423 86	2,252 35
36	SAGE THERAPEUTICS INC	5,725 80	5,602 12
62	SALESFORCE COM INC	9,818 94	8,488 40
23	SAREPTA THERAPEUTICS INC	2,741 37	3,219 65
200	SCHLUMBERGER LTD	8,714 00	14,313 07
161	SCHWAB CHARLES CORP	6,884 36	8,855 44
65	SEALED AIR CORP	2,993 90	2,799 18
72	SEMTECH CORP	3,665 52	3,947 79
79	SENSATA TECHNOLOGIES HLDG PLC	3,556 58	4,282 72
41	SERVICEMASTER GLOBAL HLDGS INC	1,914 70	1,612 50
35	SERVICENOW INC	8,627 15	6,330 09
59	SMITH A O	3,145 88	3,105 50
95	SOFTBANK GROUP CORP	9,222 34	8,710 62
102	SONOCO PRODS CO	6,276 06	5,259 69
600	S&P BIOTECH ETF	54,324 00	10,773 51
55	SPLUNK INC	6,853 00	3,087 57
54	SPLUNK INC	6,728 40	6,360 12
106	SQUARE INC	7,941 52	7,659 98
-63	SS&C TECHNOLOGIES HLDGS INC	4,012 47	3,315 31
600	STARBUCKS CORP	44,604 00	17,084 55
51	STERIS PLC	6,529 53	6,253 70
44	STERIS PLC	5,633 32	4,700 30
27	STERIS PLC	3,456 81	2,852 40
150	SUNCOR ENERGY INC	4,864 50	5,157 75
200	SUNCOR ENERGY INC	6,486 00	6,678 93
231	SUNNY OPTICAL TECH GROUP CO LTD	2,758 78	2,805 18
87	SUPERNUS PHARMACEUTICALS INC	3,048 48	1,492 79
0 63	TCW SELECT EQUITIES FUND	16 38	15 18
70	TD AMERITRADE HLDG CORP	3,499 30	4,217 28
43	TE CONNECTIVITY LTD	3,472 25	4,192 38

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255

FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2019

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
22	TELADOC HEALTH INC	1,223 20	1,514 21
9	TELEFLEX INC	2,719 44	2,556 99
185	TENCENT HOLDINGS LTD	8,507 70	8,002 51
74	TEXAS INSTRS INC	7,849 18	2,701 07
151	TEXAS INSTRS INC	16,016 57	7,579 96
48	THALES SA	5,753 48	5,861 08
350	THERMO FISHER SCIENTIFIC CORP	95,802 00	17,091 26
300	3M CO	62,334 00	24,721 57
54	3M CO	11,220 12	9,871 29
88	TJX COS INC	4,682 48	3,396 95
141	TORONTO DOMINION BK	7,660 53	8,154 36
161	TOTAL SA	8,959 65	9,097 89
73	TOTAL SYS SVCS INC	6,935 73	1,758 05
58	TRACTOR SUPPLY CO	5,670 08	4,366 97
82	TRIPADVISOR INC	4,218 90	3,880 02
35	UBISOFT ENTERTAINMENT	3,118 83	2,630 99
2139 69	UNDISCOVERED MANAGERS FDS BEHAVIORALVALUE FUND	130,371 31	120,000 00
128	UNILEVER PLC	7,329 61	7,191 76
1998	UNION PAC CORP	334,065 60	85,109 07
148	UNION PAC CORP	24,745 60	18,522 42
20	UNITEDHEALTH GROUP INC	4,945 20	3,041 24
23	UNITEDHEALTH GROUP INC	5,686 98	5,839 14
67	UNITED PARCEL SVC INC	7,486 58	7,211 46
99	UNITED RENTALS INC	11,310 75	4,848 27
42	UNITED RENTALS INC	4,798 50	3,238 66
1000	UNITED TECHNOLOGIES CORP	128,890 00	39,642 59
273	US BANCORP	13,155 87	11,220 24
97	VALERO ENERGY CORP	8,228 51	7,508 68
21	VARIAN MED SYS INC	2,976 12	2,584 79
48	VEEVA SYS INC	6,089 28	1,553 98
39	VERISK ANALYTICS INC	5,187 00	4,296 44
300	VERIZON COMMUNICATIONS INC	17,739 00	8,450 82
160	VIKING THERAPEUTICS INC	1,590 40	2,257 88
700	VISA INC	109,333 00	25,360 45
45	VISA INC	7,028 55	6,086 65
15	VISTEON CORP	1,010 25	1,188 56
165	VIVENDI SA	4,785 53	4,537 35
36	VOLKSWAGEN AG	5,672 10	5,971 23
133	WALMART INC	12,971 49	10,663 82
109	WASTE MGMT INC	11,326 19	5,817 63
11	WATERS CORP	2,768 81	2,281 63
52	WEIBO CORP	3,223 48	3,838 44
117	WEC ENERGY GROUP INC	9,252 36	6,237 33
21	WELLCARE GROUP INC	5,664 75	6,221 40
300	WELLS FARGO & CO	14,496 00	12,293 33
74	WESTERN ALLIANCE BANCORP	3,036 96	3,625 32
20	WEX INC	3,839 80	3,804 12
28	WIRECARD AG	2,508 45	2,486 76
203	WPX ENERGY INC	2,661 33	3,299 35
157	WRIGHT MED GROUP NV	4,937 65	4,260 98
121	XCEL ENERGY INC	6,801 41	5,880 57
21	XILINX INC	2,662 59	1,474 41
16	ZURICH INSURANCE GROUP AG	5,295 31	5,228 08
		<u>6,967,348 90</u>	<u>4,612,628 54</u>