

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 04-01-2018 , and ending 03-31-2019

Name of foundation MONTGOMERY HUMANE TRUST		A Employer identification number 27-6402231	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 635455		Room/suite	
B Telephone number (see instructions) (936) 715-4122		C If exemption application is pending, check here <input type="checkbox"/>	
City or town, state or province, country, and ZIP or foreign postal code NACOGDOCHES, TX 75963		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>2,033,618</u>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	8,574	8,574		
	4 Dividends and interest from securities	40,341	40,341		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	37,403			
	b Gross sales price for all assets on line 6a <u>366,995</u>				
	7 Capital gain net income (from Part IV, line 2)		37,403		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	86,318	86,318			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	18,374	16,474		1,900
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,581	367		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	19,955	16,841		1,900
	25 Contributions, gifts, grants paid	97,876			97,876
26 Total expenses and disbursements. Add lines 24 and 25	117,831	16,841		99,776	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-31,513				
b Net investment income (if negative, enter -0-)		69,477			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	470,349	557,739	558,188
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	670,571	601,273	1,389,215
	c Investments—corporate bonds (attach schedule)	137,639	87,309	86,000
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)		215	215	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,278,559	1,246,536	2,033,618	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	97,966	98,132	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	510		
	23 Total liabilities (add lines 17 through 22)	98,476	98,132	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,522,724	1,522,724	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	-342,641	-374,320		
30 Total net assets or fund balances (see instructions)	1,180,083	1,148,404		
31 Total liabilities and net assets/fund balances (see instructions) .	1,278,559	1,246,536		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,180,083
2 Enter amount from Part I, line 27a	2	-31,513
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	1,148,570
5 Decreases not included in line 2 (itemize) ▶ _____	5	166
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,148,404

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	37,403
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	94,834	2,013,525	0 047098
2016	92,054	1,905,438	0 048311
2015	97,071	1,852,388	0 052403
2014	89,806	1,957,252	0 045884
2013	81,135	1,887,148	0 042993

2 Total of line 1, column (d)	2	0 236689
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 047338
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	2,012,738
5 Multiply line 4 by line 3	5	95,279
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	695
7 Add lines 5 and 6	7	95,974
8 Enter qualifying distributions from Part XII, line 4	8	99,776

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and credits/payments. Total amount owed is 215, with 215 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political activities, unrelated business income, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of DAVID L SHOFNER Telephone no (936) 715-4122

Located at PO BOX 635455 NACOGDOCHES TX ZIP+4 75963

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Table with Yes/No columns.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with columns for questions and Yes/No responses. Rows include 1a (1-6), 1b, 1c, 2 (a-c), 3a, 3b, 4a, 4b.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,522,961
b	Average of monthly cash balances.	1b	519,879
c	Fair market value of all other assets (see instructions).	1c	549
d	Total (add lines 1a, b, and c).	1d	2,043,389
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,043,389
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	30,651
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,012,738
6	Minimum investment return. Enter 5% of line 5.	6	100,637

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	100,637
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	695
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	695
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	99,942
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	99,942
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	99,942

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	99,776
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	99,776
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	695
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	99,081

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				99,942
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			97,966	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>99,776</u>				
a Applied to 2017, but not more than line 2a			97,966	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				1,810
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				98,132
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MONTGOMERY HUMANE TRUST
215 EAST MAIN
NACOGDOCHES, TX 75961
(936) 715-4122
DSHOFNER@CBTX.COM

b The form in which applications should be submitted and information and materials they should include

FURNISH CORRECT NAME, ADDRESS AND PHONE NUMBER OF APPLICANT ALSO PROVIDE A BRIEF RESUME OF (1) THE OPERATIONS OF THE APPLICANT, AND (2) EXPLANATION OF THE REQUEST EVIDENCING THE NEED, THE SERVICE TO BE RENDERED AND HOW, WHEN AND WHERE MEMBERS OF THE PUBLIC WILL BE BENEFITED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS ARE MADE ONLY TO CHARITABLE ORGANIZATIONS HAVING AN EXEMPTION LETTER FROM THE INTERNAL REVENUE SERVICE EVIDENCING THAT IT IS SUCH AN ORGANIZATION HAS A POLICY OF MAKING GRANTS TO ORGANIZATIONS WHICH ARE ACTIVELY ENGAGED IN THE PREVENTION OF CRUELTY TO ANIMALS, THE RELIEF OF SUFFERING AMONG ANIMALS, AND EDUCATION IN THE HUMANE TREATMENT OF ANIMALS

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> HUMANE SOCIETY - NAC CTY 207 E HOSPITAL RM 107 NACOGDOCHES, TX 75963	NONE	PC	GENERAL OPERATIONS	53,983
ST FRANCIS RESCUE OF NAC PO BOX 631106 NACOGDOCHES, TX 75963	NONE	PC	GENERAL OPERATIONS	25,000
NACOGDOCHES FOSTER RESCUE 807 CROOKED CREEK NACOGDOCHES, TX 75965	NONE	PC	GENERAL OPERATIONS	10,000
O'MALLEY ALLEY CATS ORG 611 NORTH FREDONIA STREET NACOGDOCHES, TX 75961	NONE	PC	GENERAL OPERATIONS	8,893
Total			▶ 3a	
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 10,000 ALLY BANK 1 050% 4/9/18	P	2016-12-13	2018-04-09
1 9823 WESTINGHOUSE AIR BRAKE TECH	P	2010-08-02	2019-03-20
10,000 CAPITAL ONE BK 1 05% 8/10/18	P	2016-08-04	2018-08-10
400 AT&T INC	P	2010-07-19	2019-03-21
10,000 DISCOVER BANK 1 75% 8/20/18	P	2015-04-06	2018-08-20
60 CHEMOURS CO	P	2015-07-02	2019-03-21
10,000 GOLDMAN SACHS 1 95% 3/12/19	P	2015-06-15	2019-03-12
1,300 GENERAL ELECTRIC CO	P	2010-08-02	2019-03-21
10,000 GOLDMAN SACHS 1 95% 3/19/19	P	2015-12-30	2019-03-19
200 MICROSOFT CORP	P	2010-07-19	2019-03-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,000		9,958	42
69			69
10,000		10,000	
12,388		9,968	2,420
10,000		10,001	-1
2,454		551	1,903
10,000		10,002	-2
13,334		20,578	-7,244
10,000		10,003	-3
23,778		5,083	18,695

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			42
			69
			2,420
			-1
			1,903
			-2
			-7,244
			-3
			18,695

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
50,000 WELLS FARGO 1% 7/20/18	P	2016-07-07	2018-07-20
1 15,000 WELLS FARGO 1 05% 8/3/18	P	2016-08-03	2018-08-03
25,000 WELLS FARGO 1 1% 7/2/18	P	2016-06-20	2018-07-02
50,000 WELLS FARGO 1 5% 10/26/18	P	2017-04-13	2018-10-26
20,000 WELLS FARGO 1 5% 11/13/18	P	2017-05-04	2018-11-13
20,000 JPMORGAN 1 625% 5/15/18	P	2013-12-30	2018-05-15
200 BRISTOL MYERS SQUIBB CO	P	2012-06-19	2018-06-05
900 BEMIS CO INC	P	2010-07-19	2018-08-07
10,000 CATERPILLAR 2 45% 9/6/18	P	2014-03-11	2018-09-06
20,000 GOLDMAN SACHS 2 25% 11/15/18	P	2013-05-23	2018-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,000		50,000	
15,000		15,000	
25,000		25,000	
50,000		50,000	
20,000		20,000	
20,000		19,870	130
10,368		6,984	3,384
44,604		26,134	18,470
10,000		10,303	-303
20,000		20,157	-157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			130
			3,384
			18,470
			-303
			-157

TY 2018 Investments Corporate Bonds Schedule**Name:** MONTGOMERY HUMANE TRUST**EIN:** 27-6402231**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BARCLAY BANK 3.75%	10,001	10,167
GE ELECT CAP CRP 4.0%	25,003	25,057
GENERAL ELECTRIC CO 4.375%	10,947	10,195
GOLDMAN SACHS GP 4.5%	20,002	20,221
JPMORGAN CHASE 4.25%	10,905	10,234
WALMART INC 3.25%	10,451	10,126
CATERPILLAR FINANCIAL 2.45%		
GOLDMAN SACHS 2.25%		
JPMORGAN CHASE 1.625%		

TY 2018 Investments Corporate Stock Schedule**Name:** MONTGOMERY HUMANE TRUST**EIN:** 27-6402231**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BP	29,768	30,604
3M CO	18,615	47,789
ARTHUR J GALLAGHER & CO	10,084	31,240
AUTOMATIC DATA PROCESSING INC	7,204	31,948
BAXTER INTERNATIONAL INC	4,799	20,328
CATERPILLAR INC	13,008	27,098
CLOROX CORPORATION	19,508	48,138
CULLEN FROST BANKERS INC	18,122	33,974
DOWDUPONT INC	30,942	62,106
DEERE & CO	40,183	102,298
EXXON MOBIL CORP	23,320	32,320
FEDEX CORPORATION	27,928	59,865
GENUINE PARTS CO	17,076	44,812
HERSHEY CO	15,230	34,449
INTEL CORPORATION	5,498	10,740
JPMORGAN CHASE & CO	13,983	35,431
JOHNSON & JOHNSON	21,012	48,927
MEDTRONICS PLC	7,446	18,216
MICROSOFT CORPORATION	22,875	106,146
NORFOLK SOUTHERN CORP	17,198	56,067
NUCOR CORPORATION	40,159	59,517
PEPSICO INC	21,929	42,893
PFIZER INC	21,312	56,060
POLARIS INDUSTRIES INC	11,892	33,772
SOUTHERN COMMON	4,815	5,168
SYSCO CORP	20,384	46,732
TEXAS INSTRUMENTS INC	5,647	21,214
THE COCA-COLA COMPANY	27,141	46,860
THE JM SMUCKER COMPANY	12,331	23,300
TORONTO-DOMINION BANK	19,565	29,338

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNION PACIFIC CORP	13,550	66,880
UNITED TECHNOLOGIES CORP	13,297	25,778
WALMART INC	25,452	48,765
WESTINGHOUSE AIR BRAKE CORP		442
AT&T INC		
BEMIS CO INC		
BRISTOL MYERS SQUIBB CO		
CHEMOURS		
GENERAL ELECTRIC CO		

TY 2018 Other Assets Schedule**Name:** MONTGOMERY HUMANE TRUST**EIN:** 27-6402231**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID EXCISE TAX		215	215

TY 2018 Other Decreases Schedule**Name:** MONTGOMERY HUMANE TRUST**EIN:** 27-6402231

Description	Amount
INCREASE IN GRANTS PAYABLE	166

TY 2018 Other Liabilities Schedule**Name:** MONTGOMERY HUMANE TRUST**EIN:** 27-6402231

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	510	

TY 2018 Other Professional Fees Schedule**Name:** MONTGOMERY HUMANE TRUST**EIN:** 27-6402231

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK TRUSTEE FEES	14,574	14,574		
AXLEY & RODE LLP	3,800	1,900		1,900

TY 2018 Taxes Schedule**Name:** MONTGOMERY HUMANE TRUST**EIN:** 27-6402231

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	695			
FOREIGN TAXES	367	367		
PENALTIES	519			