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EXTENDED TO FEBRUARY 18, 2020

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

1903

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning APR 1, 2018 **, and ending** MAR 31, 2019

Name of foundation DOROT FOUNDATION	**A Employer identification number** 13-6116927
Number and street (or P O box number if mail is not delivered to street address) C/O MARKS PANETH 685 THIRD AVE — Room/suite	**B** Telephone number 212-503-8800
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017-6701	**C** If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity	**D 1** Foreign organizations, check here ▶ ☐

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Form **990-PF** (2018)

823541 12-11-18

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
1 Cash - non-interest-bearing	719,827.	269,240.	269,240.
2 Savings and temporary cash investments	7,838,889.	4,667,397.	4,667,397.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			

sets

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	40,586,411.		35,735,176.	4,851,235.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				4,851,235.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 4,851,235.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	4,088,325.	84,285,691.	.048506
2016	7,173,448.	107,276,450.	.066869
2015	5,879,219.	112,653,396.	.052189
2014	5,583,645.	118,585,740.	.047085
2013	4,449,243.	103,568,418.	.042959

2	**Total** of line 1, column (d)	2	.257608
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.051522
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	120,764,520.
5	Multiply line 4 by line 3	5	6,222,030.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	77,133.
7	Add lines 5 and 6	7	6,299,163.
8	Enter qualifying distributions from Part XII, line 4	8	6,317,611.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	77,133.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3	Add lines 1 and 2		3	77,133.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	77,133.
6	Credits/Payments:			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 229,462.		
b	Exempt foreign organizations - tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d 0.		
7	Total credits and payments. Add lines 6a through 6d		7	229,462.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	0.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	152,329.
11	Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ 152,329. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ DOROT.ORG

14 The books are in care of ▶ STEVEN BAUM - DOROT FOUNDATION Telephone no. ▶ 212-503-8800

Located at ▶ C/O MARKS PANETH 685 THIRD AVE, NEW YORK, NY ZIP+4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | Yes | No

1a During the year, did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No

If "Yes," list the years ▶ ______ , ______ , ______ , ______

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

▶ ______ , ______ , ______ , ______

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		X
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		263,771.	3,680.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL HILL - C/O MARKS ET AL 685 THIRD AVENUE, NEW YORK, NY 10017	ADMINISTRATION 40.00	184,000.	3,680.	0.
STEVEN JACOBSON - C/O MARKS ET AL 685 THIRD AVENUE, NEW YORK, NY 10017	ADMINISTRATION 40.00	148,750.	2,975.	0.
AAREN ALPERT - C/O MARKS ET AL 685 THIRD AVENUE, NEW YORK, NY 10017	ADMINISTRATION 40.00	83,000.	1,660.	0.
[illegible] - C/O MARKS ET AL 685	ADMINISTRATION			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
GREENHAVEN 3 MANHATTANVILLE ROAD, PURCHASE, NY 10577	INVESTMENT FEES	492,841.
NEUBERGER & BERMAN 605 THIRD AVENUE, NEW YORK, NY 10158	INVESTMENT FEES	320,898.
FIRST MANHATTAN 437 MADISON AVENUE, NEW YORK, NY 10022	INVESTMENT FEES	278,446.
MARKS PANETH LLP 685 THIRD AVENUE, NEW YORK, NY 10017	ACCOUNTING FEES	270,526.
CLEAN SLATE CONSULTING 2878 POST ROCK ROAD, TARPON SPRINGS, FL 34688	CONSULTING FEES	79,771.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	119,300,784.
b	Average of monthly cash balances	1b	3,302,790.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	122,603,574.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	122,603,574.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,839,054.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	120,764,520.
6	**Minimum investment return.** Enter 5% of line 5	6	6,038,226.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,038,226.
2a	Tax on investment income for 2018 from Part VI, line 5 — 2a: 77,133.		
b	Income tax for 2018. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	77,133.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,961,093.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,961,093.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,961,093.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,317,611.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,317,611.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	77,133.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	6,240,478.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				5,961,093.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013 190,984.				
b From 2014 1,026,370.				
c From 2015 478,272.				
d From 2016 1,773,060.				
e From 2017				
f Total of lines 3a through e	3,468,686.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 6,317,611.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN FRIENDS OF HAND IN HAND 1501 CHERRY STREET PHILADELPHIA, PA 19102	NONE	PC	SUPPORT GENERAL OPERATIONS	100,000.
AMERICAN FRIENDS OF KOL HANESHAMA P.O. BOX 1970 NEW YORK 10025	NONE	PC	SUPPORT FELLOWSHIP	75,000.
AMERICAN FRIENDS OF THE ISRAEL MUSEUM 500 FIFTH AVE NEW YORK, NY 10110	NONE	PC	SUPPORT THE DIRECTOR'S FUND &SHRINE OF THE BOOK INFO	40,000.
AMERICAN JEWISH WORLD SERVICE 45 W. 36 STREET NEW YORK, NY 10018	NONE	PC	TO SUPPORT INTL JEWISH COLLEGE CORPS & TSUNAMI RELIE	5,000.
AVODAH: THE JEWISH SERVICE CORPS 116 EAST 27TH STREET NEW YORK, NY 10016	NONE	PC	TO SUPPORT GENERAL OPERATIONS	315,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	4,228,561.
b Approved for future payment				
AMERICAN FRIENDS OF HAND IN HAND 1501 CHERRY STREET PHILADELPHIA, PA 19102	NONE	PC	SUPPORT GENERAL OPERATIONS	50,000.
AVODAH: THE JEWISH SERVICE CORPS 116 EAST 27TH STREET NEW YORK, NY 10016	NONE	PC	TO SUPPORT GENERAL OPERATIONS	210,000.
INSTITUTE OF JEWISH SPIRITUALALITY 135 W 29TH STREET, SUITE 1103 NEW YORK 10001	NONE	PC	TO SUPPORT GENERAL OPERATIONS	65,000.
Total SEE CONTINUATION SHEET(S)			▶ 3b	605,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4,409.	
4 Dividends and interest from securities			14	4,167,615.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	865.	
8 Gain or (loss) from sales of assets other than inventory			18	4,851,235.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		9,024,124.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 9,024,124.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AIG SECURITY LITIGATION SETTLEMENT	P		06/29/18
b	STATEMENT D-3 - NEUBERGER BERMAN	P		
c	STATEMENT D-1 - GREENHAVEN	P		
d	STATEMENT D-2 - FIRST MANHATTAN	P		
e	FROM BLACKSTONE	P		
f	FROM BLACKSTONE	P		
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	546.			546.
b	7,236,298.		6,108,542.	1,127,756.
c	5,695,325.		2,894,932.	2,800,393.
d	27,651,233.		26,731,702.	919,531.
e	3,002.			3,002.
f	7.			7.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				546.
b				1,127,756.
c				2,800,393.
d				919,531.
e				3,002.
f				7.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 } 2 4,851,235.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BATTAT, SIVAN 20 MANVILLE ROAD WOODBRIDGE, CT 06525	NONE	INDIVIDUAL	DOROT FELLOWSHIP PROGRAM	32,661.
BELOVED BUILDERS INC. 123 CAMBRIDGE PLACE BROOKLYN, NY 11238	NONE	PC	TO SUPPORT GENERAL OPERATIONS	10,000.
BEND THE ARC 330 7TH AVE. 19TH FLOOR NEW YORK, NY 10001	NONE	PC	TO SUPPORT GENERAL OPERATIONS	95,000.
BOSTON JEWISH FILM FESTIVAL 1001 WATERTOWN STREET, 3RD FLOOR WEST NEWTON, MA 02645	NONE	PC	SUPPORT THE ENCORE SERIES	5,000.
BROWN HILLEL FOUNDATION 80 BROWN STREET PROVIDENCE, RI 02906	NONE	PC	TO SUPPORT GENERAL OPERATIONS	7,000.
CITIZEN SCHOOLS MUSEUM WHARF, 308 CONGRESS STREET BOSTON, MA 02210	NONE	PC	SUPPORT TEACHING FELLOWS PROGRAM	100,000.
COLLEGE OF SOCIAL INNOVATION 89 SOUTH STREET BOSTON, MA 02111	NONE	PC	SUPPORT GENERAL OPERATIONS	250,000.
DANA-FARBER INC. 450 BROOKLINE AVE BOSTON, MA 02215	NONE	PC	SUPPORT GENERAL OPERATIONS	35,000.
DOROT, INC. 171 W. 85 STREET NEW YORK, NY 10024	NONE	PC	SUPPORT FELLOWSHIP/INTERNSHIP AND COLLEGE VOLUNTEER	25,000.
ENCOUNTER 520 8TH AVENUE, 20TH FLOOR NEW YORK, NY 10018	NONE	PC	SUPPORT JEWISH LEADERS' EXPOSURE TO PALESTINIAN LIFE	120,000.
Total from continuation sheets				3,693,561.

DOROT FOUNDATION 13-6116927

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EYE TO EYE 50 BROAD STREET SUITE 1702 NEW YORK, NY 10004	NONE	PC	SUPPORT GENERAL OPERATIONS	85,000.
FLEISCHACKER, NOA 1717 WEST RASCHER AVENUE CHICAGO, IL 60640	NONE	INDIVIDUAL	DOROT FELLOWSHIP PROGRAM	32,653.
FOOTSTEPS	NONE	PC	TO SUPPORT GENERAL	

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INSTITUTE OF JEWISH SPIRITUALALITY 135 W 29TH STREET, SUITE 1103 NEW YORK 10001	NONE	PC	TO SUPPORT GENERAL OPERATIONS	110,000.

DOROT FOUNDATION 13-6116927

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount

[redacted]

FORM 990-PF	CORPORATE STOCK	STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STATEMENT A- SEE ATTACHED	76,710,999.	107,024,017.
TOTAL TO FORM 990-PF, PART II, LINE 10B	76,710,999.	107,024,017.

DOROT FOUNDATION 13-6116927

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STEIN, RACHAEL 166 PRIMROSE DRIVE LONGMEADOW, MA 01106	NONE	INDIVIDUAL	DOROT FELLOWSHIP PROGRAM	32,714.
STEP, JOSEPH 123 ADELINE ROAD NEWTON, MA 02459	NONE	INDIVIDUAL	DOROT FELLOWSHIP PROGRAM	32,730.
THE FLEDGLING FUND 165 5TH AVENUE NEW YORK, NY 10010	NONE	PC	SUPPORT GENERAL OPERATIONS	100,000.
THE SHABBAT PROJECT 79 MADISON AVE NEW YORK, NY 10016	NONE	PC	SUPPORT GENERAL OPERATIONS	15,000.
T'RUAH 266 WEST 37TH STREET, SUITE 803 NEW YORK, NY 10018	NONE	PC	SUPPORT GENERAL OPERATIONS	45,000.
UNION FOR REFORM JUDAISM 633 THIRD AVE NEW YORK 10017	NONE	PC	SUPPORT "JUST CONGREGATION INITIATIVE"	250,000.
URBAN ADAMAH 1050 PARKER ST BERKELEY, CA 94710	NONE	PC	SUPPORT GENERAL OPERATIONS	160,000.
W.F. ALBRIGHT INSTITUTE OF ARCHAELOGICAL RESEARCH PO BOX 40151 PHILADELPHIA, PA 40151	NONE	PC	SUPPORT FELLOWSHIPS AND DIRECTOR'S FUND	25,000.
WACHS, GABRIELLA A 9 WAVERLY STREET PHILADELPHIA, PA 19147	NONE	INDIVIDUAL	DOROT FELLOWSHIP PROGRAM	32,776.
WOLFSON, DALIA 3840 GREYSTONE AVENUE, APT. 5S BRONX, NY 10463	NONE	INDIVIDUAL	DOROT FELLOWSHIP PROGRAM	32,764.
Total from continuation sheets				

DOROT FOUNDATION 13-6116927

Part XV **Supplementary Information**

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW ISRAEL FUND 1101 14 STREET NW WASHINGTON, DC 20005	NONE	PC	SUPPORT SOCIAL CHANGE IN ISRAEL	200,000.
URBAN ADAMAH 1050 PARKER ST BERKELEY 94710	NONE	PC	SUPPORT GENERAL OPERATIONS	80,000.
Total from continuation sheets				280,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	4,313.	4,313.	
CHASE	21.	21.	
RI SAVINGS	75.	75.	
TOTAL TO PART I, LINE 3	4,409.	4,409.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE	4,996.	0.	4,996.	4,996.	
FIRST MANHATTAN	2,471,079.	0.	2,471,079.	2,471,079.	
GREENHAVEN	1,135,463.	0.	1,135,463.	1,135,463.	
NEUBERGER & BERMAN	505,503.	0.	505,503.	505,503.	
TREASURY NOTES	50,574.	0.	50,574.	50,574.	
TO PART I, LINE 4	4,167,615.	0.	4,167,615.	4,167,615.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BLACKSTONE INVESTMENTS	865.	865.	
TOTAL TO FORM 990-PF, PART I, LINE 11	865.	865.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	31,919.	0.		31,919.
TO FM 990-PF, PG 1, LN 16A	31,919.	0.		31,919.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	270,526.	135,263.		135,263.
TO FORM 990-PF, PG 1, LN 16B	270,526.	135,263.		135,263.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	1,095,828.	1,095,828.		0.
TO FORM 990-PF, PG 1, LN 16C	1,095,828.	1,095,828.		0.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL FICA	29,227.	0.		29,227.
PAYROLL/MEDICARE	7,813.	0.		7,813.
RHODE ISLAND UNEMPLOYMENT	821.	0.		821.
PA UNEMPLOYMENT	363.	0.		363.
EXCISE TAXES	241,538.	0.		0.
RHODE ISLAND JOB DEV FUND	251.	0.		251.
TASC ANNUAL FEE	633.	0.		633.
FILING FEES	1,525.	0.		1,525.
PROPERTY TAXES	167.	0.		167.
TO FORM 990-PF, PG 1, LN 18	282,338.	0.		40,800.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REPAIRS & MAINTENANCE	18,134.	0.		18,134.
BOOKKEEPER	12,623.	0.		12,623.
INSURANCE	116,146.	0.		116,146.
OFFICE EXPENSE	19,629.	0.		19,629.
TELEPHONE	5,747.	0.		5,747.
DFI - TRAVEL EXPENSES	49,082.	0.		49,082.
DFI- ALUMNI	152,079.	0.		152,079.
DFI -EXPENSES	480,857.	0.		840,582.
MEMBERSHIP	36,215.	0.		36,215.
TO FORM 990-PF, PG 1, LN 23	890,512.	0.		1,250,237.

FOOTNOTES	STATEMENT 9

NOT ALL OF THE INFORMATION IS AVAILABLE IN ORDER TO COMPLETE THE TAX RETURN. AS SOON AS THE INFOMATION IS AVAILABLE, WE WILL ISSUE AN AMENDED TAX RETURN IF NECESSARY.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
STATEMENT A- SEE ATTACHED	X		6,564,519.	6,626,883.
TOTAL U.S. GOVERNMENT OBLIGATIONS			6,564,519.	6,626,883.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			6,564,519.	6,626,883.

FORM 990-PF	CORPORATE STOCK	STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STATEMENT A- SEE ATTACHED	76,710,999.	107,024,017.
TOTAL TO FORM 990-PF, PART II, LINE 10B	76,710,999.	107,024,017.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 12

COMPUTER EQUIPMENT	1,147.	1,147.	0.
FURNITURE & FIXTURES	200.	167.	33.
COMPUTER EQUIPMENT	1,541.	1,408.	133.
TOTAL TO FM 990-PF, PART II, LN 14	91,255.	88,996.	2,259.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JEANE UNGERLEIDER C/O MARKS ET AL - 685 THIRD AVE NEW YORK, NY 10017	PRESIDENT 1.50	0.	0.	0.
STEVEN C. BAUM C/O MARKS ET AL - 685 THIRD AVE NEW YORK, NY 10017	SECRETARY/TREASURER 20.00	79,771.	0.	0.
MICHAEL HILL C/O MARKS ET AL - 685 THIRD AVE NEW YORK, NY 10017	EXEC V.P. 40.00	184,000.	3,680.	0.
SARA E. NATHAN C/O MARKS ET AL - 685 THIRD AVE NEW YORK, NY 10017	DIRECTOR 1.50	0.	0.	0.
SIMEON SPRINGER C/O MARKS ET AL - 685 THIRD AVE NEW YORK, NY 10017	DIRECTOR 1.50	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		263,771.	3,680.	0.

ng ted ion	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
0.		0.	1,020.
0.		0.	2,740.
6.		0.	3,786.
0.		0.	850.
6.		0.	726.
1.		0.	3,991.
0.		0.	10,360.
7.		0.	477.
0.		0.	11,500.
3.		0.	10,483.
0.		0.	3,490.
2.		0.	6,632.
5.		0.	3,645.
0.		0.	8,080.
5.		0.	2,375.
8.		0.	1,488.
0.		400.	5,000.
0.		400.	5,000.

ommercial Revitalization Deduction, GO Zone

2018 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
19	LEASEHOLD IMPROVEMENT	11/28/06	SL	15.00		16	500.				500.	374.		33.	407.
20	COMPUTER EQUIPMENT	10/22/08	200DB	5.00	HY	17	4,224.			2,112.	2,112.	2,112.		0.	2,112.
31	COMPUTER EQUIPMENT	09/17/14	200DB	5.00	HY	17	1,147.				1,147.	1,147.		0.	1,147.
32	FURNITURE & FIXTURES	08/31/15	200DB	7.00	HY	17	200.			100.	100.	55.		12.	67.
33	COMPUTER EQUIPMENT	08/31/15	200DB	5.00	HY	17	1,541.			771.	770.	548.		89.	637.
	* TOTAL 990-PF PG 1 DEPR						91,255.			2,983.	88,272.	85,079.		934.	86,013.

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

DOROT FOUNDATION
EIN: 13-6116927

ANALYTICAL PORTFOLIO SERVICES

UNREALIZED GAINS AND LOSSES

DOROT FOUNDATION

GREENHAVEN # 46Z-001854

March 31, 2019

Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
CASH AND EQUIVALENTS								
		CASH		2,908,489 64		2,908,489 64		
				2,908,489 64		2,908,489 64		
COMMON STOCKS								
06-08-2017	17,500	ADIENT PLC ORD SHS	64 48	1,128,348 35	12 96	226,800 00	-901,548 35	-79 9
06-09-2016	4,000	AECOM COM	32 24	128,954 60	29 67	118,680 00	-10,274 60	-8 0
03-09-2015	30,400	AIRBUS SE SHS	65 94	2,004,678 64	132 37	4,023,936 43	2,019,257 79	100 7
07-22-2014	96,700	CITIGROUP INC COM	50 57	4,890,491 00	62 22	6,016,674 00	1,126,183 00	23 0
11-20-2014	93,900	D R HORTON INC COM	29 36	2,757,018 39	41 38	3,885,582 00	1,128,563 61	40 9
11-22-2007	21,100	FEDEX CORP COM	94 99	2,004,350 98	181 41	3,827,751 00	1,823,400 02	91 0
10-06-2017	269,800	FORD MOTOR CO PAR $0 01	11 76	3,171,833 97	8 78	2,368,844 00	-802,989 97	-25 3
03-28-2018	153,900	GENERAL MOTORS COMPANY	37 22	5,728,001 34	37 10	5,709,690 00	-18,311 34	-0 3
06-02-2014	26,800	GOLDMAN SACHS GROUP INC	169 86	4,552,268 05	191 99	5,145,332 00	593,063 95	13 0
11-12-2014	43,000	JPMORGAN CHASE & CO	59 43	2,555,496 56	101 23	4,352,890 00	1,797,393 44	70 3
12-24-2015	78,300	LENNAR CORP CL A	46 00	3,602,032 14	49 09	3,843,747 00	241,714 86	6 7
12-24-2015	1,484	LENNAR CORP CL B	42 19	62,604 56	39 12	58,054 08	-4,550 48	-7 3
[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]

Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
COMMON STOCKS								
06-08-2017	17,500	ADIENT PLC ORD SHS	64 48	1,128,348 35	12 96	226,800 00	-901,548 35	-79 9
06-09-2016	4,000	AECOM COM	32 24	128,954 60	29 67	118,680 00	-10,274 60	-8 0
03-09-2015	30,400	AIRBUS SE SHS	65 94	2,004,678 64	132 37	4,023,936 43	2,019,257 79	100 7
07-22-2014	96,700	CITIGROUP INC COM	50 57	4,890,491 00	62 22	6,016,674 00	1,126,183 00	23 0
11-20-2014	93,900	D R HORTON INC COM	29 36	2,757,018 39	41 38	3,885,582 00	1,128,563 61	40 9
11-22-2007	21,100	FEDEX CORP COM	94 99	2,004,350 98	181 41	3,827,751 00	1,823,400 02	91 0

ANALYTICAL PORTFOLIO SERVICES

UNREALIZED GAINS AND LOSSES

DOROT FOUNDATION

First Manhattan Co. # 54Z-002237

March 31, 2019

Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
CASH AND EQUIVALENTS								
		CASH		1,280,346 40		1,280,346 40		
				1,280,346 40		1,280,346 40		
COMMON STOCKS								
04-29-2016	900	ALPHABET INC CL A	707 44	636,695 78	1,176 89	1,059,201 00	422,505 22	66 4
09-13-2016	380	ALPHABET INC CLASS C CAPITAL STOCK	761 77	289,471 27	1,173 31	445,857 80	156,386 53	54 0
01-28-2011	23,500	AMEREN CORP COM	28 95	680,333 49	73 55	1,728,425 00	1,048,091 52	154 1
12-18-2018	6,000	APPLE INC	165 17	990,991 60	189 95	1,139,700 00	148,708 40	15 0
04-30-2009	65,100	BROOKFIELD ASSET MGMT INC VTG SHS CL A ISI	12 31	801,507 83	46 65	3,036,915 00	2,235,407 17	278 9
02-20-2007	37,900	CANADIAN NATURAL RES LTD ISIN#CA1363851017	29 66 •	1,124,034 83	27 50	1,042,250 00	-81,784 83	-7 3
01-15-2016	6,680	CHUBB LTD	111 08	742,014 40	140 08	935,734 40	193,720 00	26 1
12-14-2017	27,800	CRITEO S A	26 23	729,217 34	20 03	556,834 00	-172,383 34	-23 6
09-18-2018	13,000	D R HORTON INC COM	42 62	554,117 68	41 38	537,940 00	-16,177 68	-2 9
03-30-2016	16,400	GILEAD SCIENCES	86 47	1,418,132 45	65 01	1,066,164 00	351,968 45	24 8

			0 000% Due 10-25-18				
09-18-2018	10-24-2018	13,400	D R HORTON INC COM	574,794 10	481,792 53	-93,001 57	
05-16-2018	10-25-2018	700,000	UNITED STATES TREASURY BILL 04/26/18-10/25/18 0 000% Due 10-25-18	693,795 24	693,795 24	0 00	
09-24-2007	10-26-2018	20,000	COMCAST CORP CL A	238,456 63	713,092 52		474,635 89
04-30-2008	10-26-2018	91	COMCAST CORP CL A	900 00	3,244 59		2,344 59
07-30-2008	10-26-2018	90	COMCAST CORP CL A	902 85	3,208 93		2,306 08
10-29-2008	10-26-2018	137	COMCAST CORP CL A	899 77	4,888 31		3,988 54
10-29-2008	10-30-2018	1	COMCAST CORP CL A	5 90	32 06		26 16
03-10-2003	10-31-2018	3,500	RECKITT BENCKISER GROUP PLC SLOUGH SHS ISI	52,855 23	283,206 23		230,351 00
03-12-2003	10-31-2018	4,000	RECKITT BENCKISER GROUP PLC SLOUGH SHS ISI	61,376 43	323,664 27		262,287 84
12-14-2017	11-16-2018	27,500	CRITEO S A	652,403 40	606,251 36	-46,152 04	
08-28-2018	12-06-2018	32,900	BROOKFIELD PROPERTY RE CL A	683,826 50	566,107 55	-117,718 95	

This report was compiled from information provided by various investment brokers and an independent pricing service

ANALYTICAL PORTFOLIO SERVICES

UNREALIZED GAINS AND LOSSES

DOROT FOUNDATION

Neuberger Berman # 550-17050

March 31, 2019

Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
CASH AND EQUIVALENTS								
		CASH		9,093 34		9,093 34		
				9,093 34		9,093 34		
COMMON STOCKS								
12-22-2016	1,250	ALPHABET INC CLASS C CAPITAL STOCK	837 23	1,046,535 53	1,173 31	1,466,637 50	420,101 97	40 1
06-23-2017	9,450	ANALOG DEVICES INC	81 93	774,194 46	105 27	994,801 50	220,607 04	28 5
04-03-2017	7,550	AON PLC COM USD0 01 CLA	119 29	900,609 63	170 70	1,288,785 00	388,175 37	43 1
11-05-2018	5,100	APPLE INC	184 30	939,918 54	189 95	968,745 00	28,826 46	3 1
03-29-2017	3,800	ATHENE HLDG LTD CL A	48 50	184,300 00	40 80	155,040 00	-29,260 00	-15 9
02-05-2019	3,900	BERKSHIRE HATHAWAY INC COM CL B	206 91	806,959 57	200 89	783,471 00	-23,488 57	-2 9
09-22-2017	5,500	BLACKSTONE GROUP LP UNT REPSTG PTNRSHP	29 99	164,956 00	34 97	192,335 00	27,379 00	16 6
04-04-2018	2,900	BOEING CO	321 85	933,368 77	381 42	1,106,118 00	172,749 23	18 5
03-05-2014	9,300	CIGNA CORP NEW COM	78 01	725,473 13	160 82	1,495,626 00	770,152 87	106 2
04-12-2012	26,000	CISCO SYSTEMS INC	20 08	522,017 60	53 99	1,403,740 00	881,722 40	168 9
09-21-2015	14,650	CITIGROUP INC COM	59 24	867,827 37	62 22	911,523 00	43,695 63	5 0
11-17-2014	38,250	D R HORTON INC COM	24 93	953,635 80	41 38	1,582,785 00	629,149 20	66 0
12-31-2012	25,300	DELTA AIR LINES INC DEL COM	17 40	440,167 53	51 65	1,306,745 00	866,577 47	196 9
03-17-2016	7,500	EOG RES INC	76 28	572,133 00	95 18	713,850 00	141,717 00	24 8
08-15-2011	6,325	FEDEX CORP COM	81 44	515,093 03	181 41	1,147,418 25	632,325 22	122 8
03-23-2011	24,800	JPMORGAN CHASE & CO	54 05	1,340,494 73	101 23	2,510,504 00	1,170,009 27	87 3
10-29-2015	7,900	LENNAR CORP CL A	46 43	366,818 29	49 09	387,811 00	20,992 71	5 7
06-01-2009	25,700	LINCOLN NATIONAL CORPIND	38 39	986,632 52	58 70	1,508,590 00	521,957 48	52 9
01-27-2015	10,930	LYONDELLBASELL INDUSTRIESN V COM USD0 01CL	88 15	963,436 12	84 08	918,994 40	-44,441 72	-4 6
08-10-2012	21,200	MICROSOFT CORP	28 88	612,327 14	117 94	2,500,328 00	1,888,000 87	308 3
01-02-2019	4,600	MOTOROLA SOLUTIONS INC	115 26	530,194 62	140 42	645,932 00	115,737 38	21 8
03-05-2008	23,400	ORACLE CORPORATION	18 82	440,301 42	53 71	1,256,814 00	816,512 58	185 4
10-01-2004	13,030	PIONEER NATURAL RESOURCESCO	36 88	480,551 90	152 28	1,984,208 40	1,503,656 50	312 9
03-11-2019	5,700	T MOBILE US INC	71 10	405,282 54	69 10	393,870 00	-11,412 54	-2 8
08-20-2009	4,600	TRAVELERS COMPANIES INCCOM STK NPV	49 33	226,930 92	137 16	630,936 00	404,005 08	178 0

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DOROT FOUNDATION
EIN: 13-6116927

ANALYTICAL PORTFOLIO SERVICES

UNREALIZED GAINS AND LOSSES

DOROT FOUNDATION

Neuberger Berman # 550-17050

March 31, 2019

Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
01-09-2019	5,000	UNION PACIFIC CORP COM	150 27	751,359 50	167 20	836,000 00	84,640 50	11 3
08-02-2017	3,400	UNITED RENTALS INC	114 81	390,359 44	114 25	388,450 00	-1,909 44	-0 5
10-09-2018	6,200	UNITED TECHNOLOGIES CORP COM	132 71	822,831 17	128 89	799,118 00	-23,713 17	-2 9
11-22-2017	30,000	WPX ENERGY INC COM USD1	14 62	438,673 00	13 11	393,300 00	-45,373 00	-10 3
				19,103,383 26		30,672,476 05	11,569,092 79	60 6
UNITED STATES TREASURY BILLS								
03-08-2019	940,000	UNITED STATES TREASURY BILL 3/7/19-9/5/19 0 000% Due 09-05-19	98 79	928,612 94	98 97	930,318 00	1,705 06	0 2
				928,612 94		930,318 00	1,705 06	0 2
TOTAL PORTFOLIO				**20,041,089.54**		**31,611,887.39**	**11,570,797.85**	**57.7**

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STATEMENT A

MARKS PANETH
ACCOUNTANTS & ADVISORS

DOROT FOUNDATION
EIN: 13-6116927

ANALYTICAL PORTFOLIO SERVICES
REALIZED GAINS AND LOSSES
DOROT FOUNDATION
GREENHAVEN # 46Z-001854
From 04-01-18 Through 03-31-19

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
02-06-2015	06-22-2018	1,500	AIRBUS SE SHS	82,349 67	174,792 90		92,443 23
10-22-2014	09-14-2018	4,900	JPMORGAN CHASE & CO	284,202 94	555,292 13		271,089 19
02-06-2015	12-18-2018	4,400	AIRBUS SE SHS	241,559 03	443,386 68		201,827 65
02-11-2015	12-18-2018	4,100	AIRBUS SE SHS	229,167 39	413,155 77		183,988 38
02-13-2015	12-18-2018	500	AIRBUS SE SHS	28,499 69	50,384 85		21,885 16
10-22-2014	12-18-2018	2,100	JPMORGAN CHASE & CO	121,801 26	209,136 70		87,335 44
10-23-2014	12-18-2018	3,400	JPMORGAN CHASE & CO	198,350 56	338,602 27		140,251 71
10-28-2014	12-18-2018	1,600	JPMORGAN CHASE & CO	94,820 64	159,342 25		64,521 61
02-13-2015	02-22-2019	3,900	AIRBUS SE SHS	222,297 58	500,809 14		278,511 56
10-28-2014	02-22-2019	1,800	JPMORGAN CHASE & CO	106,673 22	189,704 03		83,030 81
11-07-2014	02-22-2019	1,210	JPMORGAN CHASE & CO	74,222 73	127,523 27		53,300 54
11-12-2014	02-22-2019	890	JPMORGAN CHASE & CO	53,868 05	93,798 10		39,930 05
11-22-2007	02-25-2019	2,800	FEDEX CORP COM	258,926 08	508,333 95		249,407 87
02-13-2015	03-08-2019	1,850	AIRBUS SE SHS	105,448 85	231,153 06		125,704 21
02-17-2015	03-08-2019	4,900	AIRBUS SE SHS	282,548 13	612,243 24		329,695 11
02-17-2015	03-11-2019	50	AIRBUS SE SHS	2,883 14	6,331 87		3,448 73
02-17-2015	03-12-2019	50	AIRBUS SE SHS	2,883 14	6,436 52		3,553 38
02-17-2015	03-12-2019	5,000	AIRBUS SE SHS	288,314 90	643,651 50		355,336 60
03-05-2015	03-12-2019	1,250	AIRBUS SE SHS	81,798 72	160,912 87		79,114 15
03-09-2015	03-12-2019	2,100	AIRBUS SE SHS	134,316 18	270,333 63		136,017 45
TOTAL GAINS						0 00	2,800,392 82
TOTAL LOSSES						0 00	0 00
				2,894,931.91	**5,695,324.73**	**0.00**	**2,800,392.82**

TOTAL REALIZED GAIN/LOSS 2,800,392 82

This report was compiled from information provided by various investment brokers and an independent pricing service

STATEMENT D-1

MARKS PANETH
ACCOUNTANTS & ADVISORS

DOROT FOUNDATION
EIN: 13-6116927

ANALYTICAL PORTFOLIO SERVICES

REALIZED GAINS AND LOSSES

DOROT FOUNDATION

First Manhattan Co. # 54Z-002237

From 04-01-18 Through 03-31-19

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-13-2017	04-09-2018	100,000	UNITED STATES TREASURY BILL 4/12/18 0 000% Due 04-12-18	99,399 50	99,986 10	586 60	
10-13-2017	04-12-2018	800,000	UNITED STATES TREASURY BILL 4/12/18 0 000% Due 04-12-18	795,195 97	795,195 97	0 00	
09-26-2001	05-07-2018	8,800	EOG RES INC	58,377 48	1,016,951 66		958,574 18
11-09-2017	05-10-2018	2,100,000	UNITED STATES TREAS BILL 05/10/18 0 000% Due 05-10-18	2,086,115 79	2,086,115 79	0 00	
11-09-2017	05-10-2018	1,200,000	UNITED STATES TREAS BILL 05/10/18 0 000% Due 05-10-18	1,192,066 17	1,192,066 17	0 00	
11-30-2017	05-10-2018	2,000,000	UNITED STATES TREAS BILL 05/10/18 0 000% Due 05-10-18	1,988,040 00	1,988,040 00	0 00	
05-16-2018	06-27-2018	1,000,000	UNITED STATES TREASURY BILL 04/26/18-10/25/18 0 000% Due 10-25-18	991,136 06	993,548 33	2,412 27	
04-19-2018	06-29-2018	500,000	UNITED STATES TREASURY BILLS 4/19/18-10/18/18 0 000% Due 10-18-18	495,061 47	497,040 00	1,978 53	
05-16-2018	07-18-2018	1,400,000	UNITED STATES TREASURY BILL 04/26/18-10/25/18 0 000% Due 10-25-18	1,387,590 48	1,392,444 38	4,853 90	
02-06-2015	07-24-2018	9,600	MICROSOFT CORP	405,985 00	1,030,948 17		624,963 17
02-06-2015	07-24-2018	950	MICROSOFT CORP	40,175 39	102,020 91		61,845 52
05-16-2018	07-25-2018	700,000	UNITED STATES TREASURY BILL 04/26/18-10/25/18 0 000% Due 10-25-18	693,795 24	696,526 87	2,731 63	
06-03-2014	08-01-2018	1,814	THERAVANCE BIOPHARMA I COM	37,794 68	46,690 50		8,895 82
06-03-2014	08-01-2018	2,142	THERAVANCE BIOPHARMA I COM	44,628 57	55,132 89		10,504 32
07-11-2014	08-01-2018	10,200	THERAVANCE BIOPHARMA I COM	306,447 28	262,537 55		-43,909 73
07-17-2014	08-01-2018	3,844	THERAVANCE BIOPHARMA I COM	122,748 35	98,940 63		-23,807 72
07-17-2014	08-02-2018	6,356	THERAVANCE BIOPHARMA I COM	202,962 66	165,222 49		-37,740 17
12-21-2017	08-09-2018	25,000	YELP INC CL A	1,078,230 00	1,197,699 42	119,469 42	
10-20-2016	08-17-2018	14,800	GCP APPLIED TECHNOLOGIES INC COM	386,360 30	383,566 77		-2,793 53
10-20-2016	08-20-2018	2,100	GCP APPLIED TECHNOLOGIES INC COM	54,821 39	54,285 12		-536 27

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DOROT FOUNDATION
EIN: 13-6116927

ANALYTICAL PORTFOLIO SERVICES

REALIZED GAINS AND LOSSES

DOROT FOUNDATION

First Manhattan Co. # 54Z-002237

From 04-01-18 Through 03-31-19

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
05-16-2018	08-21-2018	2,100,000	UNITED STATES TREASURY BILL 04/26/18-10/25/18 0 000% Due 10-25-18	2,081,385 72	2,092,583 50	11,197 78	
07-24-2018	08-28-2018	87,500	GGP INC COM	1,839,100 00	27,300 00	-1,811,800 00	
10-20-2016	08-31-2018	3,500	GCP APPLIED TECHNOLOGIES INC COM	91,368 99	87,940 57		-3,428 42
12-09-2016	08-31-2018	15,800	GCP APPLIED TECHNOLOGIES INC COM	457,033 68	396,988 87		-60,044 81
03-08-2017	09-07-2018	1,950	AMERCO COMMON STOCK	734,419 18	701,670 51		-32,748 67
03-09-2017	09-07-2018	1,600	AMERCO COMMON STOCK	602,844 68	575,729 65		-27,115 03

04-03-2017	17,500	IAC INTERACTIVECORP COM PAR $ 001	74 35	1,301;141 02	210 11	3,676,925 00	2,375,783 98	182 6
01-24-2019	65,500	KKR & CO INC CL A	22 63	1,482,018 07	23 49	1,538,595 00	56,576 93	3 8
08-20-2018	29,500	LENNAR CORP CL A	51 35	1,514,699 55	49 09	1,448,155 00	-66,544 55	-4 4
05-25-2017	15,200	LYONDELLBASELL INDUSTRIESN V COM USD0 01CL	89 33	1,357,843 65	84 08	1,278,016 00	-79,827 65	-5 9
02-06-2015	21,450	MICROSOFT CORP	42 31	907,479 95	117 94	2,529,813 00	1,622,333 05	178 8
07-17-2018	29,000	NASPERS LTD SPONSORED ADR	51 09	1,481,542 20	46 43	1,346,470 00	-135,072 20	-9 1
04-24-2002	22,800	NESTLE S A SPONSORED ADR	23 50	535,776 70	95 32	2,173,296 00	1,637,519 30	305 6
12-06-2017	2,700	ULTA BEAUTY INC COMMON STOCK	223 79	604,230 11	348 73	941,571 00	337,340 89	55 8
04-20-2017	31,000	ULTRA PETROLEUM CORP COM NEW	11 12	344,847 11	0 61	18,910 00	-325,937 11	-94 5
07-21-2015	5,600	UNITED TECHNOLOGIES CORP COM	99 53	557,342 04	128 89	721,784 00	164,441 96	29 5
08-12-2009	12,200	WABCO HOLDINGS INC	82 92	1,011,680 55	131 83	1,608,326 00	596,645 45	59 0

DOROT FOUNDATION
EIN: 13-6116927

ANALYTICAL PORTFOLIO SERVICES

REALIZED GAINS AND LOSSES

DOROT FOUNDATION

First Manhattan Co. # 54Z-002237

From 04-01-18 Through 03-31-19

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-14-2018	12-19-2018	900,000	UNITED STATES TREASURY BILL 1/3/19 0 000% Due 01-03-19	892,558 73	899,140 31	6,581 58	
04-13-2017	12-24-2018	969	ULTRA PETROLEUM CORP COM NEW	30,797 58	678 00		-30,119 58
04-13-2017	12-24-2018	7,406	ULTRA PETROLEUM CORP COM NEW	235,383 79	5,181 92		-230,201 87
04-13-2017	12-24-2018	10,744	ULTRA PETROLEUM CORP COM NEW	642,865 09	7,517 50		-635,347 59
04-13-2017	12-24-2018	1,406	ULTRA PETROLEUM CORP COM NEW	84,127 73	983 77		-83,143 96
04-20-2017	12-24-2018	10,800	ULTRA PETROLEUM CORP COM NEW	120,456 03	7,556 68		-112,899 35
08-14-2018	01-03-2019	700,000	UNITED STATES TREASURY BILL 1/3/19 0 000% Due 01-03-19	694,212 34	694,212 34	0 00	
03-12-2003	01-22-2019	8,140	RECKITT BENCKISER GROUP PLC SLOUGH SHS ISI	124,901 05	630,298 34		505,397 29
10-04-2018	03-05-2019	300,000	UNITED STATES TREAS BILLS 10/4/18-4/4/19 0 000% Due 04-04-19	296,463 71	299,413 63	2,949 92	
03-04-2019	03-19-2019	5,800	MULTICHOICE GROUP LTD SPONS ADR	38,383 24	47,759 41	9,376 17	
12-06-2017	03-28-2019	2,700	ULTA BEAUTY INC COMMON STOCK	604,230 11	933,141 45		328,911 34
TOTAL GAINS						163,748 04	4,148,292 55
TOTAL LOSSES						-2,068,672 57	-1,323,836 70
				26,731,701.76	**27,651,233.08**	**-1,904,924.52**	**2,824,455.84**
TOTAL REALIZED GAIN/LOSS		919,531 32					

This report was compiled from information provided by various investment brokers and an independent pricing service

STATEMENT D-2

MARKS PANETH
ACCOUNTANTS & ADVISORS

DOROT FOUNDATION
EIN: 13-6116927

ANALYTICAL PORTFOLIO SERVICES

REALIZED GAINS AND LOSSES

DOROT FOUNDATION

Neuberger Berman # 550-17050

From 04-01-18 Through 03-31-19

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
06-02-2017	04-04-2018	1,500	WHIRLPOOL CORP	283,509 30	223,028 05	-60,481 25	
08-17-2017	04-04-2018	1,200	WHIRLPOOL CORP	206,703 96	178,422 44	-28,281 52	
02-17-2016	04-04-2018	2,200	WHIRLPOOL CORP	305,684 28	327,107 80		21,423 52
02-12-2016	04-04-2018	1,300	WHIRLPOOL CORP	170,877 23	193,290 97		22,413 74
08-10-2012	04-12-2018	700	MICROSOFT CORP	21,378 36	65,579 45		44,201 09
01-12-2018	06-08-2018	17,000	TAYLOR MORRISON HOME CL A	467,500 00	361,566 59	-105,933 41	
11-28-2017	07-10-2018	58	LENNAR CORP CL B	2,948 72	2,566 75	-381 97	
10-29-2015	07-10-2018	90	LENNAR CORP CL B	3,602 41	3,982 90		380 49
11-17-2014	07-10-2018	6,000	COMCAST CORP CL A	161,862 30	200,969 18		39,106 88
11-01-2017	07-10-2018	38,000	FGL HOLDINGS	417,749 20	330,922 49	-86,826 71	
11-11-2009	07-17-2018	800	ASSURANT INC	25,547 10	86,039 36		60,492 26
06-08-2018	10-09-2018	8,300	GENERAL MOTORS COMPANY	367,093 23	272,169 23	-94,924 00	
07-10-2018	10-09-2018	8,700	GENERAL MOTORS COMPANY	347,996 52	285,285 82	-62,710 70	
11-25-2013	10-22-2018	1,800	AMERICAN AIRLINES GROUPINC COM USD1	43,612 38	58,202 24		14,589 86
12-01-2015	11-05-2018	900	ORACLE CORPORATION	35,330 13	43,884 59		8,554 46
12-01-2015	11-05-2018	1,000	ORACLE CORPORATION	39,255 70	48,760 66		9,504 96
12-01-2015	11-05-2018	500	ORACLE CORPORATION	19,627 85	24,380 33		4,752 48
04-09-2012	11-05-2018	500	ORACLE CORPORATION	14,580 78	24,380 33		9,799 55
04-09-2012	11-05-2018	500	ORACLE CORPORATION	14,580 78	24,380 33		9,799 55
04-09-2012	11-05-2018	1,000	ORACLE CORPORATION	29,161 56	48,760 66		19,599 10
03-05-2008	11-05-2018	900	ORACLE CORPORATION	16,934 67	43,884 60		26,949 93
03-26-2012	12-19-2018	500	FEDEX CORP COM	46,330 61	83,622 91		37,292 30
08-15-2011	12-19-2018	2,800	FEDEX CORP COM	228,189 92	468,288 31		240,098 39
11-25-2013	01-09-2019	16,700	AMERICAN AIRLINES GROUPINC COM USD1	404,625 97	549,466 28		144,840 31
11-25-2013	01-09-2019	12,500	AMERICAN AIRLINES GROUPINC COM USD1	302,427 50	411,277 15		108,849 65
12-23-2014	02-05-2019	855	GOLDMAN SACHS GROUP INC	167,033 66	169,035 23		2,001 57
12-19-2014	02-05-2019	1,300	GOLDMAN SACHS GROUP INC	251,844 58	257,012 64		5,168 06
03-08-2011	02-05-2019	700	GOLDMAN SACHS GROUP INC	113,048 52	138,391 42		25,342 90
08-10-2012	02-28-2019	1,300	MICROSOFT CORP	39,702 66	145,884 10		106,181 44
11-17-2014	03-07-2019	5,200	COMCAST CORP CL A	140,280 66	199,273 89		58,993 23
11-17-2014	03-07-2019	14,600	COMCAST CORP CL A	393,864 93	559,499 76		165,634 83
08-10-2012	03-07-2019	1,500	MICROSOFT CORP	45,810 77	165,219 70		119,408 94
09-08-2016	03-11-2019	4,000	CHUBB LTD	508,202 80	532,994 67		24,791 87
08-15-2011	03-11-2019	1,500	FEDEX CORP COM	122,244 60	263,808 32		141,563 72
04-16-2015	03-11-2019	4,300	LINCOLN NATIONAL CORPIND	250,094 45	262,392 04		12,297 59
03-05-2008	03-11-2019	2,700	ORACLE CORPORATION	50,804 01	142,330 80		91,526 79
03-29-2017	03-25-2019	1,000	ATHENE HLDG LTD CL A	48,500 00	40,235 57		-8,264 43
TOTAL GAINS						0 00	1,575,559 46
TOTAL LOSSES						-439,539 56	-8,264 43
				6,108,542.09	**7,236,297.56**	**-439,539.56**	**1,567,295.03**

TOTAL REALIZED GAIN/LOSS 1,127,755 47

This report was compiled from information provided by various investment brokers and an independent pricing service

MARKS PANETH
ACCOUNTANTS & ADVISORS

STATEMENT D-3