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AMENDED RETURN

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

1903

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning APR 1, 2018 , and ending MAR 31, 2019

Name of foundation: FRED J HARDER FOUNDATION

A Employer identification number: 01-0721940

Number and street (or P O box number if mail is not delivered to street address): 440 S. WARREN ST. SUITE 400 | Room/suite:

B Telephone number: 315-474-7571

City or town, state or province, country, and ZIP or foreign postal code: SYRACUSE, NY 13202

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [X] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 2,436,009.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ______ (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	62,670.	62,670.	62,670.	STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	63,100.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		63,100.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total** Add lines 1 through 11	125,770.	125,770.	62,670.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	4,441.	2,219.	0.	2,222.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	7,500.	1,500.	0.	6,000.
	b Accounting fees				
	c Other professional fees STMT 3	18,350.	18,350.	0.	0.
	17 Interest				
	18 Taxes STMT 4	2,517.	0.	0.	2,517.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	24.	0.	0.	24.
	24 **Total operating and administrative expenses** Add lines 13 through 23	32,832.	22,069.	0.	10,763.
	25 Contributions, gifts, grants paid	115,000.			115,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	147,832.	22,069.	0.	125,763.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-22,062.			
	b **Net investment income** (if negative, enter -0-)		103,701.		
	c **Adjusted net income** (if negative, enter -0-)			62,670.	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	41,340.	46,066.	46,066.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock			
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶ STATEMENT 6)	2,391,406.	2,364,618.	2,389,943.
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,432,746.	2,410,684.	2,436,009.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	2,432,746.	2,410,684.	
30 **Total net assets or fund balances**	2,432,746.	2,410,684.	
31 **Total liabilities and net assets/fund balances**	2,432,746.	2,410,684.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,432,746.
2 Enter amount from Part I, line 27a	2	-22,062.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,410,684.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,410,684.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED			
b			
c			
d			
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				63,100.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				63,100.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	63,100.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	63,100.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	118,283.	2,513,635.	.047057
2016	123,645.	2,425,998.	.050967
2015	133,725.	2,509,152.	.053295
2014	139,221.	2,696,937.	.051622
2013	73,663.	2,720,085.	.027081

2 Total of line 1, column (d)	2	.230022
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.046004
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	2,452,376.
5 Multiply line 4 by line 3	5	112,819.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,037.
7 Add lines 5 and 6	7	113,856.
8 Enter qualifying distributions from Part XII, line 4	8	125,763.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	1,037.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3	Add lines 1 and 2		3	1,037.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,037.
6	Credits/Payments			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 1,128.		
b	Exempt foreign organizations - tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d 0.		
7	Total credits and payments. Add lines 6a through 6d		7	1,128.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	0.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	91.
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶	91. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions. ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ MACKENZIE HUGHES. LLP Telephone no. ▶ 315-233-8239 Located at ▶ 440 S. WARREN STREET, SYRACUSE, NY ZIP+4 ▶ 13202			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐ and enter the amount of tax exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AMI LONGSTREET 440 S. WARREN STREET SYRACUSE, NY 13202	TRUSTEE 2.00	1,481.	0.	0.
RICHARD ENGEL 440 S. WARREN STREET SYRACUSE, NY 13202	TRUSTEE 2.00	1,481.	0.	0.
ANNE RUFFER 440 S. WARREN STREET SYRACUSE, NY 13202	TRUSTEE 2.00	1,479.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.			
a	Average monthly fair market value of securities		1a	2,411,876.
b	Average of monthly cash balances		1b	77,846.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,489,722.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,489,722.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	37,346.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	2,452,376.
6	**Minimum investment return** Enter 5% of line 5		6	122,619.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	122,619.
2a	Tax on investment income for 2018 from Part VI, line 5	2a 1,037.		
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1,037.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	121,582.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	121,582.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	121,582.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	125,763.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	125,763.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	1,037.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	124,726.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				121,582.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			111,913.	
b Total for prior years ______, ______, ______		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 125,763.				
a Applied to 2017, but not more than line 2a			111,913.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				13,850.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				107,732.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF THE ROSAMOND GIFFORD ZOO AT BURNET PARK ONE CONSERVATION PLACE SYRACUSE, NY 13204-2528			ANIMAL WELFARE AND EDUCATION PROGRAMS	56,000.
SYRACUSE AREA LANDMARK THEATER 362 SOUTH SALINA ST. SYRACUSE, NY, NY 13202			IMPROVEMENTS	1,500.
THE DANISH HOME FOR THE AGED 1065 EAST QUAKER BRIDGE ROAD CROTON-ON HUDSON, NY 10520			IMPROVEMENTS	1,500.
THE SAMARITAN CENTER 310 MONTGOMERY ST. SYRACUSE, NY, NY 13202			CAPITAL IMPROVEMENTS AND ORGANIZATION NEEDS	56,000.
Total			▶ 3a	115,000.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					62,670.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					63,100.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	125,770.

13 Total Add line 12, columns (b), (d), and (e) 13 125,770.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	THE FOUNDATION IS A REVOCABLE TRUST THAT BECAME IRREVOCABLE AT THE
	DEATH OF THE SETTLOR FRED J. HARDER. THE PRINCIPAL OF THE TRUST
	IS INVESTED AND UNDER THE TERMS OF THE TRUST THE NET INCOME IS
	DISTRIBUTED AS THE TRUSTEES DETERMINE AMONG CERTAIN PUBLIC
	CHARITIES NAMED IN THE TRUST.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 12/20/19 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MARY ANNE CODY	Mary Anne Cody	12/20/19		P00845754

Firm's name ▶ MACKENZIE HUGHES LLP — Firm's EIN ▶ 01-0690915

Firm's address ▶ 440 S. WARREN STREET, STE 400 SYRACUSE, NY 13202 — Phone no. 315-474-7571

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	62,670.	0.	62,670.	62,670.	62,670.
TO PART I, LINE 4	62,670.	0.	62,670.	62,670.	62,670.

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TO FM 990-PF, PG 1, LN 16A				

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	18,350.	18,350.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	18,350.	18,350.	0.	0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS DEPT OF LAW	250.	0.	0.	250.
FEDERAL EXCISE TAX	2,267.	0.	0.	2,267.
TO FORM 990-PF, PG 1, LN 18	2,517.	0.	0.	2,517.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANOUS	24.	0.	0.	24.
TO FORM 990-PF, PG 1, LN 23	24.	0.	0.	24.

FORM 990-PF OTHER ASSETS STATEMENT 6

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	2,391,406.	2,364,618.	2,389,943.
TO FORM 990-PF, PART II, LINE 15	2,391,406.	2,364,618.	2,389,943.

Fred J. Harder Foundation
Schedule G
Balance On Hand

No. of Shares			Market Value 03/31/2019		Inventory Value
	Cash and Cash Equivalents				
	Morgan Stanley Account No. 621-128271	$	44,795 72	$	44,795.72
	Morgan Stanley Account No. 621-123691		1,269.79		1,269.79
	Total Cash and Cash Equivalents	**$**	**46,065.51**	**$**	**46,065.51**
	Mutual Funds				
4,616 869	American Beacon AHL Managed Futures Strategy Fd Cl Y	$	48,107.78	$	47,932 05
15,078 516	Blackrock Low Duration Bond Fd, Instl Cl		143,999 83		142,195 57
2,342.563	Blackrock Global Small Cap		48,912 71		52,401.30
4,864.059	Blackrock Global L/S Credit		47,862 34		49,690 09
7,873 941	Blackrock Global L/S Equity		97,085.69		89,666.40
13,907.27	Causeway Emerging Markets Fd Instl Cl		172,172 00		167,905.26
25,945.645	Center Coast MLP Focus I		177,727.67		176,945.70
3,369.489	Delaware Value Fund Inst		72,376.62		74,070 02
5,061.342	Goldman Sachs Absolute Return Trackr I		48,437.05		46,414.05
9,211.493	Harding Loevner International Equity Inst		198,507.68		192,253.35
9,171.701	Hartford World Bond Fund I		97,311.75		96,867.64
331	Ishares Russell 1000 Growth		50,100.16		34,433.21
189	Ishares Russell Russell Mid Cap Growth ETF		25,641.63		18,055.52
2,861	Ishares MSCI Emerging Markets ETF		122,794.12		117,754 75
2,093.956	JP Morgan Value Advantage		71,927 39		75,083.37
3,064 488	Loomis Growth Fd Cl Y		50,809 21		49,486.68

Schedule G (Continued)

No. of Shares	Mutual Funds		Market Value 03/31/2019		Inventory Value
9,272 359	Metropolitan West Total Return Bond Fd Cl I	$	98,657 90	$	94,871 38
8,595 882	Oakmark International Advisor Fund		191,602 21		200,533.36
9,660 15	Pimco Short Term Fund P		94,669 47		95,061 83
7,178 487	Salient Tactical Growth Inst		189,727 41		185,585 15
8,457 374	Templeton Global Bond Fund		95,568 33		108,328 59
5,103 906	Victory Floating Rate Fund Y		47,772 56		50,861 79
2,040 116	Victory RS Value Fd Cl Y		49,472 82		54,467.27
4,437.158	Wells Fargo Absolute Return I		48,764 36		48,716.62
8,637 349	Western Asset Core Plus Bond Fd		99,934 12		95,037.99
	Total Mutual Funds	**$**	**2,389,942.81**	**$**	**2,364,618.94**
	Total Schedule G	**$**	**2,436,008.32**	**$**	**2,410,684.45**
	Unrealized Increases			**$**	**65,657.04**
	Unrealized Decreases			**$**	**40,333.17**

Fred J. Harder Foundation

Schedule A-1

Statement of Increases on Sales, Liquidation or Distribution

		Proceeds or Distribution Value	Inventory Value	Increase
Capital Gain Distributions				
American Beacon AHL Managed Futures Strategy Fd Cl Y				
12/21/2018	Short term capital gain reinvested @ $10.49	$ 261 70	$ 0.00	$ 261.70
Blackrock Global Small Cap				
11/28/2018	Short term capital gain reinvested @ $19.69	692.96	0.00	692.96
11/28/2018	Long term capital gain reinvested @ $19.69	210.99	0 00	210.99
Blackrock Global L/S Equity				
12/20/2018	Short term capital gain reinvested @ $12 18	480.24	0.00	480.24
12/20/2018	Long term capital gain reinvested @ $12.18	418.97	0.00	418 97
Davis NY Venture Fd Inc Cl Y				
06/28/2018	Long Term Capital Gain Distribution reinvested @ $31.99	9,347 22	0.00	9,347.22
Delaware Value Fund Inst				
12/24/2018	Long term capital gain reinvested @ $18.99	2,612.31	0.00	2,612 31
12/24/2018	Short term capital gain reinvested @ $18.99	175.43	0.00	175.43
Goldman Sachs Absolute Return Trackr I				
12/12/2018	Short term capital gain reinvested @ $9 30	516 14	0 00	516 14
12/12/2018	Long term capital gain reinvested @ $9 30	194.72	0.00	194.72
Hartford Global Capital Appreciation I				
10/25/2018	Short term capital gain reinvested @ $15.89	1,203.25	0.00	1,203.25
10/25/2018	Long term capital gain reinvested @ $15.89	3,156.77	0.00	3,156.77
Hartford World Bond Fund I				
12/18/2018	Short term capital gain reinvested @ $10 77	88.35	0.00	88.35

Schedule A-1 (Continued)

		Proceeds or Distribution Value	Inventory Value	Increase
Capital Gain Distributions				
JP Morgan Value Advantage				
12/13/2018	Long term capital gain reinvested @ $32 92	$ 2,998 96	$ 0 00	$ 2,998 96
12/13/2018	Short term capital gain reinvested @ $32 92	307.90	0 00	307 90
Loomis Growth Fd Cl Y				
12/21/2018	Long term capital gain reinvested @ $14 09	2,125 64	0 00	2,125 64
12/21/2018	Short term capital gain reinvested @ $14 09	6 94	0 00	6 94
Oakmark International Advisor Fund				
12/14/2018	Long term capital gain distribution reinvested @ $21 10	8,225 28	0 00	8,225 28
Pimco Short Term Fund P				
12/13/2018	Short term capital gain reinvested @ $9 81	92 65	0.00	92 65
12/13/2018	Long term capital gain reinvested @ $9 81	51 59	0 00	51 59
Salient Tactical Growth Inst				
12/10/2018	Short term capital gain reinvested @ $25 71	3,996 93	0 00	3,996 93
Victory RS Value Fd Cl Y				
12/20/2018	Long term capital gain reinvested @ $21 18	3,524 22	0 00	3,524.22
12/20/2018	Short term capital gain reinvested @ $21 18	1,777 47	0 00	1,777 47
	Total Capital Gain Distributions	**$ 42,466.63**	**$ 0.00**	**$ 42,466.63**
Sales				
Alliance Bernstein Select US Lg/Sht Adv				
05/25/2018	174.858 Shares Sold 174 858 shares @ $13 11	$ 2,292 39	$ 2,073 82	$ 218 57
09/28/2018	358 042 Shares Sold 358 042 shares @ $13 73	4,915 91	4,246 38	669.53
12/03/2018	3,563 552 Shares Sold 3,563 552 shares @ $13 07	46,575 62	42,368 50	4,207 12

Schedule A-1 (Continued)

		Proceeds or Distribution Value	Inventory Value	Increase
Sales				
AllianzGI Global Water Cl P				
09/28/2018	326.064 Shares Sold 326 064 shares @ $15 58	$ 5,080 08	$ 3,139 35	$ 1,940 73
12/03/2018	4,648 389 Shares Sold 4,648 389 shares @ $14 56	67,680 54	47,911 41	19,769.13
Blackrock Global Allocation Fund				
09/28/2018	244 903 Shares Sold 244 903 shares @ $19 75	4,836 83	4,831.94	4 89
Blackrock Global L/S Credit				
09/28/2018	220 383 Shares Sold 220 383 shares @ $10 41	2,294.19	2,258 93	35 26
Blackrock Global L/S Equity				
09/28/2018	325 988 Shares Sold 325 988 shares @ $12 24	3,990 09	3,709 74	280 35
12/03/2018	261 781 Shares Sold 261 781 shares @ $12 03	3,149 22	2,979 07	170 15
Center Coast MLP Focus I				
09/28/2018	1,097 385 Shares Sold 1,097 385 shares @ $7.28	7,988 96	7,484 17	504 79
Davis Financial Fd Cl Y				
05/25/2018	46 604 Shares Sold 46 604 shares @ $54.35	2,532 91	1,460.63	1,072 28
09/28/2018	62 613 Shares Sold 62 613 shares @ $55 50	3,475 03	1,962.37	1,512 66
12/03/2018	859 935 Shares Sold 859 935 shares @ $52.28	44,957 40	29,442.05	15,515.35
12/03/2018	14 979 Shares Sold 14 979 shares @ $52 28	783 10	729 76	53 34
Goldman Sachs Absolute Return Trackr I				
05/25/2018	48 838 Shares Sold 48 838 shares @ $9 72	474 71	446 38	28.33
09/28/2018	282 534 Shares Sold 282 534 shares @ $9 81	2,771 66	2,582 36	189 30
12/03/2018	64 472 Shares Sold 64 472 shares @ $9 54	615 06	589 27	25 79
Harding Loevner International Equity Inst				

		Proceeds or Distribution Value	Inventory Value	Increase
Sales				
Harding Loevner International Equity Inst (Continued)				
05/25/2018	182.734 Shares Sold 182 734 shares @ $23 36	$ 4,268.66	$ 3,846 55	$ 422 11
09/28/2018	125 7 Shares Sold 125 700 shares @ $23 28	2,926 30	2,645 99	280 31
Hartford Global Capital Appreciation I				
05/25/2018	69 346 Shares Sold 69 346 shares @ $19 71	1,366 80	993 73	373 07
09/28/2018	147 191 Shares Sold 147 191 shares @ $19 90	2,942.34	2,109 25	833 09
Hartford International Equity Fd I				
12/03/2018	4,300 918 Shares Sold 4,300 918 shares @ $10 10	43,439 27	40,725 40	2,713 87
Hartford World Bond Fund I				
09/28/2018	500 608 Shares Sold 500 608 shares @ $10.71	5,361 51	5,306 44	55 07
12/03/2018	406 91 Shares Sold 406.910 shares @ $10 72	4,362 07	4,313 25	48.82
Ishares Russell 1000 Growth				
05/25/2018	31 Shares Sold 31 shares @ $141 7065	4,392 84	3,164 90	1,227 94
09/28/2018	47 Shares Sold 47 shares @ $155.4868	7,307 78	4,798 36	2,509.42
Ishares Russell Russell Mid Cap Growth ETF				
05/25/2018	15 Shares Sold 15 shares @ $126 4566	1,896 82	1,421 87	474 95
09/28/2018	23 Shares Sold 23 shares @ $135 65	3,119.90	2,180 21	939 69
Natixis ASG Global Alternative Y				
05/25/2018	56 98 Shares Sold 56 98 shares @ $11 20	638 18	617 66	20 52
09/28/2018	215.619 Shares Sold 215.619 shares @ $11 25	2,425 71	2,337 31	88.40
12/03/2018	4,318.057 Shares Sold 4,318.057 shares @ $10 86	46,894 10	46,522 94	371 16

Schedule A-1 (Continued)

		Proceeds or Distribution Value	Inventory Value	Increase
Sales				
Pimco Commodity Real Return Strategy Fund, Class P				
05/25/2018	433.808 Shares Sold 433 808 shares @ $7.07	$ 3,067 02	$ 2,598.51	$ 468 51
Pimco Short Term Fund P				
09/28/2018	546 503 Shares Sold 546 503 shares @ $9 89	5,404 91	5,377.59	27 32
12/03/2018	395 117 Shares Sold 395 117 shares @ $9 86	3,895 85	3,887.95	7.90
Salient Tactical Growth Inst				
05/25/2018	139 719 Shares Sold 139 179 shares @ $27 46	3,836 69	3,684 96	151.73
09/28/2018	733 982 Shares Sold 733 982 shares @ $27 99	20,544 15	19,358 10	1,186.05
12/03/2018	1,228 294385 Shares Sold 1,228 294385 shares @ $26 87	33,004 27	32,395 13	609 14
12/03/2018	2,272 315615 Shares Sold 2,272 315615 shares @ $26 87	61,057 13	58,906 36	2,150 77
Schroder Emerging Market Equity Fund				
05/25/2018	21 147 Shares Sold 21.147 shares @ $16 44	347 66	275.12	72 54
12/03/2018	4,711 666 Shares Sold 4,711 666 shares @ $14 57	68,648 98	58,356 62	10,292 36
12/03/2018	93 362 Shares Sold 93.362 shares @ $14 57	1,360 28	1,279 38	80 90
Tweedy Browne Global Value Fund				
05/25/2018	146 885 Shares Sold 146 885 shares @ $29 22	4,291.99	3,924 77	367.22
09/28/2018	154 539 Shares Sold 154 539 shares @ $29 28	4,524 91	4,129 28	395 63
12/03/2018	4,084 329 Shares Sold 4,084 329 shares @ $27.77	113,421.81	108,383 51	5,038 30
12/03/2018	104 759 Shares Sold 104 759 shares @ $27 77	2,909 16	2,784 15	125 01
Victory RS Value Fd Cl Y				
05/25/2018	35 833 Shares Sold 35 833 shares @ $26 89	963 55	906 55	57 00

Schedule A-1 (Continued)

		Proceeds or Distribution Value	Inventory Value	Increase
Sales				
Victory RS Value Fd Cl Y (Continued)				
09/28/2018	173 446 Shares Sold 173 446 shares @ $28 09	$ 4,872 10	$ 4,388 04	$ 484 06
Wells Fargo Absolute Return I				
09/28/2018	111 913 Shares Sold 111 913 shares @ $11.24	1,257 90	1,234 55	23 35
Wells Fargo Emerging Markets Equity Inst				
12/03/2018	3,023 942 Shares Sold 3,023 942 shares @ $23 01	69,580 91	66,162 40	3,418 51
12/03/2018	57 489 Shares Sold 57 489 shares @ $23 01	1,322 82	1,271 40	51 42
	Total Sales	**$ 740,068.07**	**$ 658,504.36**	**$ 81,563.71**
	Total Schedule A-1	**$ 782,534.70**	**$ 658,504.36**	**$ 124,030.34**

Fred J. Harder Foundation

Schedule B

Statement of Decreases Due to Sales, Liquidation, Collection, Distribution, or Uncollectibility

		Proceeds or Distribution Value	Inventory Value	Decrease
Sales				
AllianzGI Global Water Cl P				
12/03/2018	57.403 Shares Sold 57 403 shares @ $14 56	$ 835 79	$ 849 87	$ 14 08
AQR Managed Futures Strategy I				
09/28/2018	322 127 Shares Sold 322 127 shares @ $8 89	2,863 71	3,343 68	479 97
12/03/2018	8,216 464 Shares Sold 8,216 464 shares @ $8 38	68,853 97	83,009 57	14,155 60
12/03/2018	1 246 Shares Sold 1 246 shares @ $8 38	10 44	11 70	1 26
Blackrock Global Small Cap				
05/25/2018	88 666 Shares Sold 88.666 shares @ $22 12	1,961 29	2,057 05	95 76
09/28/2018	147 772 Shares Sold 147 772 shares @ $22 66	3,348 51	3,428 31	79 80
Blackrock Global Allocation Fund				
12/03/2018	4,809 485 Shares Sold 4,809 485 shares @ $19 00	91,380 22	97,418 04	6,037.82
12/03/2018	154 482 Shares Sold 154.482 shares @ $19 00	2,935.15	2,953 75	18.60
Blackrock Global L/S Credit				
12/03/2018	118 15 Shares Sold 118 15 shares @ $10 25	1,211 04	1,211 04	0 00
Davis NY Venture Fd Inc Cl Y				
05/23/2018	232 043 Shares Sold 232 043 shares @ $36 15	8,388 37	8,453 33	64 96
09/28/2018	216 082 Shares Sold 216 082 shares @ $33 38	7,212.83	7,871 87	659.04
12/03/2018	2,858 177 Shares Sold 2,858 177 shares @ $30 24	86,431.27	99,277 69	12,846.42
12/03/2018	67 247 Shares Sold 2130.20 shares @ $30 24	2,033 55	2,130.20	96 65
Delaware Diversified Income Fund				

Schedule B (Continued)

		Proceeds or Distribution Value	Inventory Value	Decrease
Sales				
Delaware Diversified Income Fund (Continued)				
09/28/2018	601.834 Shares Sold 601 834 shares @ $8 30	$ 4,995.22	$ 5,566 96	$ 571 74
12/03/2018	10,810 825 Shares Sold 10,810 825 shares @ $8 18	88,432 55	95,902 49	7,469 94
12/03/2018	1,102 542 Shares Sold 1,102.542 shares @ $8 18	9,018 79	9,496 98	478 19
Goldman Sachs Strategic Income				
09/28/2018	378 389 Shares Sold 378 389 shares @ $9 31	3,522 80	3,681.72	158 92
12/03/2018	10,034 433 Shares Sold 10,034 433 shares @ $9 14	91,714 72	98,563 74	6,849 02
12/03/2018	528 629 Shares Sold 528 629 shares @ $9 14	4,831.67	5,064 80	233 13
Hartford International Equity Fd I				
12/03/2018	146 004 Shares Sold 146 0004 shares @ $10 10	1,474 64	1,573 07	98 43
Janus Unconstrained Global Bond Fund Cl I				
09/28/2018	50 35 Shares Sold 50 35 shares @ $8 86	446.10	488 90	42 80
12/03/2018	7,751 984 Shares Sold 7,751 984 shares @ $8 89	68,915 13	75,005 26	6,090 13
12/03/2018	598 526 Shares Sold 598 526 shares @ $8 89	5,320.90	5,666 35	345 45
Natixis ASG Global Alternative Y				
12/03/2018	37 454 Shares Sold 37 454 shares @ $10 86	406 75	420 32	13 57
Pimco Commodity Real Return Strategy Fund, Class P				
12/03/2018	6,773 635 Shares Sold 6,773 635 shares @ $6 03	40,845 02	41,043 96	198.94
12/03/2018	938 645 Shares Sold 938 645 shares @ $6 03	5,660 03	6,299.17	639 14

Schedule B (Continued)

		Proceeds or Distribution Value	Inventory Value	Decrease
Sales				
Pimco Dynamic Bond Fund, Class I-2				
09/28/2018	249 591 Shares Sold 249 591 shares @ $10 73	$ 2,678 11	$ 2,772 96	$ 94 85
12/03/2018	4,043 683 Shares Sold 4,043 683 shares @ $10 69	43,226.98	44,645 91	1,418.93
12/03/2018	551 934 Shares Sold 551 934 shares @ $10 69	5,900.17	5,920 21	20 04
Templeton Global Bond Fund				
09/28/2018	190 183 Shares Sold 190 183 shares @ $11 33	2,154 77	2,506 61	351.84
12/03/2018	626 446 Shares Sold 626 446 shares @ $11 60	7,266 77	8,256 56	989 79
Victory Floating Rate Fund Y				
05/25/2018	44.815 Shares Sold 44 815 shares @ $9 57	428 88	452 63	23 75
09/28/2018	340 297 Shares Sold 340 297 shares @ $9 60	3,266 85	3,437 00	170 15
12/03/2018	165.581 Shares Sold 165 581 shares @ $9 41	1,558 12	1,672 37	114 25
Wells Fargo Absolute Return I				
12/03/2018	68 118 Shares Sold 68 118 shares @ $10 93	744 53	751 44	6.91
	Total Sales	**$ 670,275.64**	**$ 731,205.51**	**$ 60,929.87**
	Total Schedule B	**$ 670,275.64**	**$ 731,205.51**	**$ 60,929.87**