

EXTENDED TO JANUARY 15, 2020

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2018 or tax year beginning

MAR 1, 2018

, and ending

FEB 28, 2019

Name of foundation

HERMAN GOLDMAN FOUNDATION

A Employer identification number

13-6066039

Number and street (or P O box number if mail is not delivered to street address)

14 WALL STREET, 20TH FLOOR

Room/suite

B Telephone number

212-461-2132

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10005-2101

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

J Accounting method:

☐ Cash☒ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

\$ 18,047,901. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	438,508.	438,508.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	1,508,905.			
b Gross sales price for all assets on line 6a	6,486,266.			
7 Capital gain net income (from Part IV, line 2)		1,508,905.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	1,967.	0.		STATEMENT 2
12 Total. Add lines 1 through 11	1,949,380.	1,947,413.		
13 Compensation of officers, directors, trustees, etc	156,000.	51,995.		104,005.
14 Other employee salaries and wages	196,662.	29,499.		167,163.
15 Pension plans, employee benefits	17,620.	2,643.		14,977.
16a Legal fees				
b Accounting fees STMT 3	41,400.	20,700.		20,700.
c Other professional fees STMT 4	148,445.	148,445.		0.
17 Interest				
18 Taxes STMT 5	19,379.	2,540.		14,396.
19 Depreciation and depletion				
20 Occupancy	41,805.	6,271.		35,534.
21 Travel, conferences, and meetings	20,732.	6,911.		13,822.
22 Printing and publications				
23 Other expenses STMT 6	42,255.	21,128.		21,128.
24 Total operating and administrative expenses. Add lines 13 through 23	684,298.	290,132.		391,725.
25 Contributions, gifts, grants paid	811,500.			880,600.
26 Total expenses and disbursements. Add lines 24 and 25	1,495,798.	290,132.		1,272,325.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	453,582.			
b Net investment income (if negative, enter -0-)		1,657,281.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1 Cash - non-interest-bearing		101,180.	127,049.	127,049.
2 Savings and temporary cash investments		911,632.	724,095.	724,095.
3 Accounts receivable ▶				
Less: allowance for doubtful accounts ▶				
4 Pledges receivable ▶				
Less: allowance for doubtful accounts ▶				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons				
7 Other notes and loans receivable ▶				
Less: allowance for doubtful accounts ▶				
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges				
10a Investments - U.S. and state government obligations				
b Investments - corporate stock				
c Investments - corporate bonds				
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8		17,780,273.	16,707,079.	16,707,079.
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)		443,661.	489,678.	489,678.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		19,236,746.	18,047,901.	18,047,901.
Liabilities				
17 Accounts payable and accrued expenses		98,378.	91,691.	
18 Grants payable		315,000.	255,900.	
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶ DEFERRED TAXES PAY)		32,034.	16,400.	
23 Total liabilities (add lines 17 through 22)		445,412.	363,991.	
Net Assets or Fund Balances				
Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.				
24 Unrestricted		18,357,527.	17,248,629.	
25 Temporarily restricted		433,807.	435,281.	
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances		18,791,334.	17,683,910.	
31 Total liabilities and net assets/fund balances		19,236,746.	18,047,901.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,791,334.
2 Enter amount from Part I, line 27a	2	453,582.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	1,474.
4 Add lines 1, 2, and 3	4	19,246,390.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS ON SECURITIES	5	1,562,480.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	17,683,910.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE ATTACHED	P		
b SCHEDULE ATTACHED	P		
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,070,044.		2,119,667.	-49,623.
b 4,387,047.		2,857,694.	1,529,353.
c 29,175.			29,175.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-49,623.
b			1,529,353.
c			29,175.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,508,905.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	1,142,583.	17,481,328.	.065360
2016	1,213,727.	17,301,534.	.070151
2015	1,218,445.	18,228,604.	.066842
2014	1,214,327.	20,141,421.	.060290
2013	1,193,752.	19,725,616.	.060518

2 Total of line 1, column (d)	2	.323161
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.064632
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	18,102,710.
5 Multiply line 4 by line 3	5	1,170,014.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,573.
7 Add lines 5 and 6	7	1,186,587.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,272,325.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2018 estimated tax payments and 2017 overpayment credited to 2018

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2019 estimated tax

2,731. Refunded

Part VII Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions.

NY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

N/A

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Website address **N/A**

- 14 The books are in care of **RICHARD K. BARON** Telephone no. **212-461-2132**
 Located at **14 WALL STREET, 20TH FLOOR, NEW YORK, NY** ZIP+4 **10005-2101**

- 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year

15 **N/A**

- 16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

☐ Yes ☒ No☐ Yes ☒ No☐ Yes ☒ No☒ Yes ☐ No☐ Yes ☒ No☐ Yes ☒ No

- b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
 Organizations relying on a current notice regarding disaster assistance, check here

1b ☐

- c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?

1c ☐

- 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

- a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?

☐ Yes ☒ No

If "Yes," list the years

- b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)

2b **N/A**

- c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

- 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)

3b **N/A**

- 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

4a ☐ **X**

- b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

4b ☐ **X**

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
Organizations relying on a current notice regarding disaster assistance, check hereN/A
☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		156,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD K. BARON - 14 WALL STREET, 20TH FLOOR, NEW YORK, NY 10005-2401	EXECUTIVE DIRECTOR	153,481.	17,620.	0.

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	17,412,849.
b	Average of monthly cash balances	1b	530,256.
c	Fair market value of all other assets	1c	435,281.
d	Total (add lines 1a, b, and c)	1d	18,378,386.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,378,386.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	275,676.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,102,710.
6	Minimum investment return. Enter 5% of line 5	6	905,136.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	905,136.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	16,573.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	16,573.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	888,563.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	888,563.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	888,563.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,272,325.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,272,325.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	16,573.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,255,752.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				888,563.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	226,195.			
b From 2014	240,594.			
c From 2015	316,089.			
d From 2016	356,496.			
e From 2017	310,967.			
f Total of lines 3a through e	1,450,341.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 1,272,325.			0.	
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				888,563.
e Remaining amount distributed out of corpus	383,762.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,834,103.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	226,195.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	1,607,908.			
10 Analysis of line 9:				
a Excess from 2014	240,594.			
b Excess from 2015	316,089.			
c Excess from 2016	356,496.			
d Excess from 2017	310,967.			
e Excess from 2018	383,762.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
1. SCHEDULE ATTACHED: PAYABLE AS AT 2/28/18 C/O FOUNDATION NEW YORK, NY 10005-2401	N/A	501(C)(3)	ARTS EDUCATION HEALTH JUSTICE WELFARE	315,000.
2. SCHEDULE ATTACHED: AUTHORIZED DURING F/Y/E 2/28/19 C/O FOUNDATION NEW YORK, NY 10005-2401	N/A	501(C)(3)	ARTS EDUCATION HEALTH JUSTICE WELFARE	811,500.
3. SCHEDULE ATTACHED: PAYABLE AS AT 2/28/19 C/O FOUNDATION NEW YORK, NY 10005-2401	N/A	501(C)(3)	ARTS EDUCATION HEALTH JUSTICE WELFARE	-245,900.
Total			3a	880,600.
b Approved for future payment				
NONE				
Total			3b	0.

Form 990-PF (2018)

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

May the IRS discuss this return with the preparer shown below? See instr

☐ Yes ☐ No

Phone no. 212-425-3300

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL GAIN					
DIVIDENDS	29,175.	29,175.	0.	0.	
DIVIDENDS & INTEREST	438,508.	0.	438,508.	438,508.	
TO PART I, LINE 4	467,683.	29,175.	438,508.	438,508.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DISTRIBUTION FROM TRUST	1,967.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,967.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING & TAX PREPARATION	28,000.	14,000.		14,000.
PENSION PLAN ADMINISTRATION	1,900.	950.		950.
REVIEW SERVICE	10,000.	5,000.		5,000.
PROFESSIONAL FEES	1,500.	750.		750.
TO FORM 990-PF, PG 1, LN 16B	41,400.	20,700.		20,700.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	148,445.	148,445.		0.
TO FORM 990-PF, PG 1, LN 16C	148,445.	148,445.		0.

FORM 990-PF	TAXES		STATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	16,936.	2,540.		14,396.
FEDERAL EXCISE TAX	2,443.	0.		0.
TO FORM 990-PF, PG 1, LN 18	19,379.	2,540.		14,396.

FORM 990-PF	OTHER EXPENSES		STATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TELEPHONE & ANSWERING SERVICE	2,530.	1,265.		1,265.
EQUIPMENT SUPPLIES & LEASING	14,950.	7,475.		7,475.
MISCELLANEOUS EXPENSES	5,595.	2,798.		2,798.
INSURANCE PREMIUMS	19,180.	9,590.		9,590.
TO FORM 990-PF, PG 1, LN 23	42,255.	21,128.		21,128.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 7
DESCRIPTION		AMOUNT
UNREALIZED GAIN ON REMAINDER INTEREST IN TRUST		1,474.
TOTAL TO FORM 990-PF, PART III, LINE 3		1,474.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 8	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SECURITIES AT COST—SCHEDULES ATTACHED	COST	15,347,631.	15,347,631.
UNREALIZED APPRECIATION	FMV	1,359,448.	1,359,448.
TOTAL TO FORM 990-PF, PART II, LINE 13		16,707,079.	16,707,079.

FORM 990-PF	OTHER ASSETS	STATEMENT 9	
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED DIVIDENDS AND INTEREST	2,350.	19,568.	19,568.
PREPAID EXPENSES AND SECURITY DEPOSIT	7,504.	34,829.	34,829.
REMAINDER INTEREST IN TRUST	433,807.	435,281.	435,281.
TO FORM 990-PF, PART II, LINE 15	443,661.	489,678.	489,678.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 10	
DESCRIPTION		BOY AMOUNT	EOY AMOUNT
DEFERRED TAXES PAYABLE		32,034.	16,400.
TOTAL TO FORM 990-PF, PART II, LINE 22		32,034.	16,400.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MEL P. BARKAN 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	DIRECTOR 1.00	12,000.	0.	0.
DAVID A. BRAUNER 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	VICE PRESIDENT & DIRECTOR 1.00	12,000.	0.	0.
MICHAEL J. CLAIN 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	PRESIDENT & DIRECTOR 1.00	12,000.	0.	0.
CHARLES A. DAMATO 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	IMM PAST PRES & DIRECTOR 1.00	12,000.	0.	0.
JOHN H.F. ENTEMAN 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	DIRECTOR 1.00	12,000.	0.	0.
DONALD GIBSON 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	DIRECTOR 1.00	12,000.	0.	0.
MICHAEL L. GOLDSTEIN 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	VICE PRESIDENT & DIRECTOR 1.00	12,000.	0.	0.
DAVID R. KAY 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	TREASURER & DIRECTOR 1.00	12,000.	0.	0.
ALAN MICHIGAN 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	DIRECTOR 1.00	12,000.	0.	0.
ALAN NISSELSON 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	DIRECTOR 1.00	12,000.	0.	0.

<u>HERMAN GOLDMAN FOUNDATION</u>		<u>13-6066039</u>	
CHRISTOPHER C. SCHWABACHER 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	DIRECTOR 1.00	12,000.	0. 0.
HOWARD L. SIMON 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	DIRECTOR 1.00	12,000.	0. 0.
ROY M. SPARBER 14 WALL STREET, 20TH FLOOR NEW YORK, NY 10005-2401	SECRETARY & DIRECTOR 1.00	12,000.	0. 0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		<u>156,000.</u>	<u>0. 0.</u>

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

RICHARD BARON
14 WALL STREET, 20TH FLOOR
NEW YORK, NY 10005-2101

TELEPHONE NUMBER

212-461-2132

FORM AND CONTENT OF APPLICATIONS

INFORMATION FOR GRANT APPLICANTS: YOU ARE REQUESTED TO SUBMIT YOUR APPLICATION USING THE NEW YORK/NEW JERSEY AREA COMMON APPLICATION FORM, WHICH CAN BE OBTAINED AT THE WEBSITE OF THE NEW YORK REGIONAL ASSOCIATION OF GRANTMAKERS (NYRAG.ORG). NO ADDITIONAL COPIES ARE NEEDED. IT IS NOT NECESSARY TO SUBMIT A LETTER OF INQUIRY PRIOR TO FILING AN APPLICATION. CONSIDERATION WILL NOT BE GIVEN TO A GRANT PROPOSAL UNLESS IT IS ACCOMPANIED BY A COPY OF INTERNAL REVENUE SERVICE NOTIFICATION OF THE APPLYING ORGANIZATION'S TAX-EXEMPT STATUS. GRANTS TO INDIVIDUALS CANNOT BE CONSIDERED. THE FOUNDATION DOES NOT CONDUCT SITE VISITS OR IN-PERSON INTERVIEWS PRIOR TO CONSIDERATION OF GRANT REQUESTS.

ANY SUBMISSION DEADLINES

APPLICATIONS ARE ACCEPTED AT ANY TIME, BUT ARE CONSIDERED ONLY AT SPECIFIC TIMES DURING THE YEAR.

RESTRICTIONS AND LIMITATIONS ON AWARDS

MUST BE A 501(C)(3) ORGANIZATION.

**HERMAN GOLDMAN FOUNDATION
PROGRAM GRANT COMMITMENTS AND PAYMENTS
AS OF FEBRUARY 28, 2018**

	<u>Grant Amount</u>	<u>Paid</u>	<u>Unpaid Balance</u>
Awards of 4/19/17			
Brooklyn Historical Society	13,500	13,500	
Brooklyn Youth Chorus	2,500	2,500	
The Bryant Library	2,500	2,500	
Caringkind, The Heart of Alzheimer's Caregiving	30,000	30,000	
Chamber Orchestra of New York	2,500	2,500	
Dickinson College - The Herman M. and Mary Brauner Memorial Scholarsip Fund	12,500	12,500	
Gunwin Jewish Healthcare Foundation	4,000	4,000	
Hepatitis B Foundation	2,500	2,500	
HIAS (Hebrew Immigrant Aid Society)	5,000	5,000	
Lincoln Center Corporate Fund	7,500	7,500	
Museum of Modern Art	7,500	7,500	
New Rochelle Fund for Educational Excellence	5,000	5,000	
New York Botanical Garden	10,000	10,000	
New York City Center	10,000	10,000	
New York Shakespeare Festival	15,000	15,000	
Pollock-Krasner House and Study Center	1,000	1,000	
Raynaud's Association	2,500	2,500	
Read to Grow	2,500	2,500	
Symphony Space	6,500	6,500	
Touro Law Center	5,000	5,000	
Vivian Beaumont Theater/Lincoln Center Theater	5,000	5,000	
Wildlife Conservation Society	2,000	2,000	

	<u>Grant Amount</u>	<u>Paid</u>	<u>Unpaid Balance</u>
Awards of 5/17/17			
Eaglebrook School	2,500	2,500	
New York Philharmonic	12,000	12,000	
New York Shakespeare Festival	5,000	5,000	
Rebuilding Together Long Island	15,000	15,000	
Temple Sharey Tefilo-Israel	3,000	3,000	
Awards of 6/21/2017			
American Museum of Natural History	7,500	7,500	
Gingold Theatrical Group	5,000	5,000	
Harvard Law School	5,000	5,000	
Minds Matter of NYC, Inc.	5,000	5,000	
Awards of 7/19/17			
Alvin Ailey American Dance Theater	5,000	5,000	
Blamadon Center for the Arts	5,000	5,000	
Columbia Law School	10,000	10,000	
Harvard Law School	10,000	10,000	
The Kane Street Synagogue	5,000	5,000	
The Memorial Sloan-Kettering Cancer Center	20,000	10,000	10,000
	(10,000 per year for two years)		
My Sisters' Place	7,500	7,500	
New York Shakespeare Festival	7,000	7,000	
University of Maryland College Park, Foundation	6,000	6,000	

	<u>Grant Amount</u>	<u>Paid</u>	<u>Unpaid Balance</u>
Awards of 8/16/17			
Brooklyn Book Festival	3,500	3,500	
Child Abuse Prevention Services	5,000	5,000	
New York Shakespeare Festival	12,500	12,500	
Awards of 9/20/17			
Animal Rescue Fund of the Hamptons, Inc.	12,000	12,000	
Fine Arts Work Center in Provincetown	5,000	5,000	
Westchester Children's Association	10,000	10,000	
Awards of 10/18/17			
Brooklyn Botanic Garden	2,500	2,500	
Bucknell University	10,000	10,000	
General Needs	2,500	2,500	
The John Hart Hunter Educational Foundation, Inc.	35,000	35,000	
WNET	2,500	2,500	
Awards of 11/15/17			
The Bridge, Inc.	19,000	19,000	
Children's Museum of Manhattan	5,000	5,000	
DOROT	3,600	3,600	
Frost Valley YMCA	2,500	2,500	
The Hotchkiss School	5,000	5,000	
The Metropolitan Museum of Art	10,000	10,000	
National Jewish Health	10,000	10,000	
The New Jewish Home	25,000	25,000	
Planned Parenthood of New York City	4,500	4,500	

Awards of 11/15/17

Primary Stages	3,000	3,000
Signature Theatre Company	8,000	8,000
The TLC Foundation for Body-Focused Repetitive Behaviors	20,000	20,000
Williams College	7,500	7,500

Awards of 12/20/17

JBI International	5,000	5,000
Legal Services NYC-Bronx	15,000	15,000
National Coalition Against Censorship	5,000	5,000
New Rochelle Fund for Educational Excellence	5,000	5,000
The Rockefeller University	2,500	2,500
Roundabout Theatre Company	8,000	8,000

Awards of 1/17/18

John Taylor Babbitt Foundation	5,000	5,000
Chabad Lubavitch of Riverdale	5,000	5,000
Fairfield University	5,000	5,000
Hobart and William Smith Colleges	20,000	20,000

Awards of 2/21/18

American Friends of Bar-Ilan University	5,000	5,000
Aplastic Anemia & MDS International Foundation	7,500	7,500
Ars Nova	10,000	10,000
Artists Space	5,000	5,000
Association of Community Employment Programs for the Homeless	2,000	2,000
Barrington Stage Company	1,500	1,500
Bates College	7,500	7,500

Awards of 2/21/18

BloomAgainBklyn	2,500	2,500
Broadway Cares/Equity Fights Aids	28,000	28,000
Brooklyn Historical Society	6,500	6,500
The Brotherhood Synagogue	4,800	4,800
Cancer Research & Treatment Fund	7,500	7,500
Central Park Conservancy	1,750	1,750
Doctors Without Borders	4,000	4,000
The Early Alzheimer's Foundation, Inc.-The Memory Tree	2,000	2,000
FJC/Hope Reichbach Fund	5,000	5,000
Fractured Atlas	1,500	1,500
Friedreich's Ataxia Research Alliance	3,500	3,500
Furniture Sharehouse	5,000	5,000
Georgetown Day School	3,000	3,000
Giffords Law Center to Prevent Gun Violence		
(formerly: Law Center to Prevent Gun Violence)		
The Greater New York Affiliate of	12,500	12,500
Susan G. Komen for the Cure	3,000	3,000
Heather on Earth Music Foundation	5,000	5,000
Hofstra University-Center for Civic Engagement	2,000	2,000
The Horse Shelter	5,000	5,000
Huntington Hospital Dolan Family Health Center	3,500	3,500
The Jewish Historical Society of New Jersey	5,000	5,000
The Metropolitan Museum of Art	2,000	2,000
The Metropolitan Opera	4,000	4,000
Mount Sinai Beth Israel Medical Center	10,000	10,000
Mount Sinai School of Medicine - Palliative Care Program	5,000	5,000
The Museum of Modern Art	2,000	2,000
The Nature Conservancy on Long Island	1,750	1,750
New York Public Radio WQXR	2,500	2,500
New York Shakespeare Festival/The Public	10,700	10,700
New York University School of Law	5,000	5,000
Options for Community Living, Inc	1,000	1,000
The Parrish Art Museum	2,500	2,500
Pelham Children's Center	5,000	5,000
St. Francis de Sales School for the Deaf	7,500	7,500

San Francisco Lesbian Gay Bisexual
Transgender Community Center
Sidwell Friends School
Special Olympics New York
Union College
University Settlement Society of New York
Youth Service Opportunities Project

Unpaid Grants from Prior Fiscal Year	Unpaid Grants as of February 28, 2018
100	100

**HERMAN GOLDMAN FOUNDATION
PROGRAM GRANT COMMITMENTS AND PAYMENTS
AS OF FEBRUARY 28, 2019**

	<u>Grant Amount</u>	<u>Paid</u>	<u>Unpaid Balance</u>
Awards of 3/21/18			
Community Mainstreaming Associates	10,000	10,000	
New York County Lawyers Association Foundation	10,000	10,000	
Rebuilding Together Long Island	25,000	25,000	
Awards of 4/18/18			
Brooklyn Historical Society	13,500	13,500	
Caringkind, The Heart of Alzheimer's Caregiving	30,000	30,000	
Crohn's & Colitis Foundation of America/Greater New York Chapter	5,000	5,000	
Dickinson College	12,500	12,500	
Frost Valley YMCA	2,500	2,500	
Heights and Hills	2,500	2,500	
HIAS	5,000	5,000	
The Museum of Modern Art	2,500	2,500	
The New York Botanical Garden	10,000	10,000	
New York City Center	10,000	10,000	
Pollock-Krasner House and Study Center	1,000	1,000	
Raynaud's Association	3,000	3,000	
Read to Grow	2,500	2,500	
Symphony Space	6,000	6,000	
Vivian Beaumont Theater/Lincoln Center Theater	7,500	7,500	
Wildlife Conservation Society	2,000	2,000	

	<u>Grant Amount</u>	<u>Paid</u>	<u>Unpaid Balance</u>
Awards of 5/16/18			
Brooklyn Youth Chorus	2,500	2,500	
The Bryant Library	2,500	2,500	
Eaglebrook School	2,500	2,500	
Let's Get Ready	7,500	7,500	
New York Shakespeare Festival	22,000	22,000	
Awards of 6/20/18			
Birthright Israel Foundation	12,000	12,000	
The Center for Arts Education	5,000	5,000	
Chamber Orchestra of New York	2,500	2,500	
Harvard Law School	5,000	5,000	
The Hotchkiss School	5,000	5,000	
Gingold Theatrical Group	5,000	5,000	
Gurwin Jewish Healthcare Foundation	4,000	4,000	
Awards of 7/18/18			
Alvin Ailey American Dance Theater	5,000	5,000	
Columbia Law School	10,000	10,000	
Hepatitis B Foundation	2,500	2,500	
Lincoln Center Corporate Fund	7,500	7,500	
The Metropolitan Opera	7,500	7,500	
My Sisters' Place	7,500	7,500	
New York Shakespeare Festival	12,500	12,500	
Westchester Children's Association	5,000	5,000	

	<u>Grant Amount</u>	<u>Paid</u>	<u>Unpaid Balance</u>
Awards of 8/15/18			
Animal Rescue Fund of the Hamptons	12,000	12,000	
Minds Matter of NYC, Inc.	5,000	5,000	
Awards of 9/12/18			
Child Abuse Prevention Services	5,000	5,000	
Hobart and William Smith Colleges	20,000	20,000	
New Rochelle YMCA	5,000	5,000	
New York Philharmonic	12,000	12,000	
New York Shakespeare Festival	11,000	11,000	
Awards of 10/17/18			
Association of Nonprofit Specialists	3,500	3,500	
Brooklyn Historical Society	6,500	6,500	
Bucknell University	10,000	10,000	
DOROT	3,600	3,600	
Fine Arts Work Center in Provincetown	5,000	5,000	
Hope Reichbach Fund/FJC	5,000	5,000	
The John Hart Hunter Educational Foundation	35,000	35,000	
The New Jewish Home	25,000	25,000	
Rebuilding Together Long Island	1,500	1,500	
University of Maryland College Park Foundation	6,000	6,000	
Awards of 11/21/18			
Alexia Foundation	5,000	5,000	
BloomAgainBklyn	2,500	2,500	
Brooklyn Botanic Garden	5,000	5,000	

	<u>Grant Amount</u>	<u>Paid</u>	<u>Unpaid Balance</u>
Awards of 11/21/18			
The Horse Shelter	5,000	5,000	
The Metropolitan Museum of Art	10,000	10,000	
Museum of Modern Art	2,000	2,000	
National Jewish Health	10,000	10,000	
Planned Parenthood of New York City	5,000	5,000	
Primary Stages	3,000	3,000	
Roundabout Theatre Company, Inc.	8,000	8,000	
Signature Theatre Company	8,000	8,000	
The TLC Foundation for Body-Focused Repetitive Behaviors	20,000	20,000	
Awards of 12/19/18			
JBI International	5,000		5,000
The Jewish Historical Society of North Jersey	2,500		2,500
Legal Services NYC-Bronx	20,000		20,000
The Rockefeller University	2,500		2,500
Williams College	7,500	7,500	
Awards of 1/16/19			
Barrington Stage Company	2,000		2,000
Blamadon Center for the Arts	5,000		5,000
Fairfield University	5,000		5,000
National Coalition Against Censorship	5,000		5,000
Awards os 2/20/19			
Aplastic Anemia & MDS International Foundation	7,500		7,500
Artists Space	5,000		5,000
Bates College	5,000		5,000
The Bridge	16,500		16,500
Broadway Cares/Equity Fights Aids	30,000		30,000

Awards of 2/20/19

	Grant Amount	Paid	Unpaid Balance
Brooklyn Historical Society	5,500		5,500
The Brotherhood Synagogue	4,800		4,800
Cancer Research & Treatment Fund	7,500		7,500
Central Park Conservancy	2,000		2,000
Crohn's & Colitis Foundation of America/Greater New York Chapter	5,000		5,000
Doctors Without Borders	5,400		5,400
Early Alzheimer's Foundation	2,000		2,000
General Needs	2,500		2,500
Georgetown Day School	3,000		3,000
Huntington Hospital Dolan Family Health Center	3,500		3,500
John Taylor Babbitt Foundation	5,000		5,000
KoreanAmericanStory.org	6,000		6,000
Long Island Alliance for Peaceful Alternatives	4,000		4,000
Mt. Sinai School of Medicine-Palliative Care Program	7,500		7,500
Nature Conservancy on Long Island	2,000		2,000
New Rochelle Fund for Educational Excellence	7,500		7,500
New York Shakespeare Festival/The Public	10,700		10,700
New York University School of Law	5,000		5,000
The Parrish Art Museum	2,500		2,500
St. Francis de Sales School for the Deaf	7,500		7,500
San Francisco LGBT Community Center	2,000		2,000
Sidwell Friends School	3,000		3,000
Special Olympics New York	5,000		5,000
Stamford Senior Center	5,500		5,500
Union College	2,500		2,500
Westchester Library System	18,000		18,000
The Whole Life Center	10,000	10,000	
TOTALS	811,500	565,600	245,900

Unpaid Grants from Prior Fiscal Year

Unpaid Grants as of February 28, 2019

245,900