990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. 1902

Go to www.irs.gov/Form990PF for instructions and the latest information.

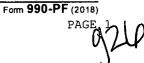
Open to Public Inspection

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D: In	epartn temal	nent of the Treasury Revenue Service	Do not enter so ► Go to www.irs.	cial security numbers o gov/Form990PF for instr	n this form as ructions and th	rt may be r he latest in	nade public iformation.	1902 0	pen to Public Inspection
F	or ca	lendar year 2018	or tax year beginning		3/01 , 2018 ,				02/28, 2019
_		f foundation	, ,					nployer identifi	cation number
	THE	HERMINE AND	DAVID HELLER FOU	NDATION			13	3-393647	6
N	umber	and street (or P O bo	ox number if mail is not delivered to	o street address)		Room/suit	е В Те	lephone numb	er (see instructions)
						l I	ł		
	C/0	AYCO COMPAN	Y, P.O. BOX 15014					(518) 64	0-5000
Ci	ty or t	own, state or province	, country, and ZIP or foreign posta	al code					
							C If e	exemption applica	ition is
	ALB	ANY, NY 1221	2~5014) pe	naing, check here	
G	Che	ck all that apply	Initial return	Initial return	of a former p	ublic cha	rity n 1	Foreign organizat	ions, check here >
_		· · · · · · · · · · · · · · · · · · ·	Final return	Amended re			, I , .	Foreign organiza	
			Address change	Name chang	e			85% test, check h computation	
н	Che	ck type of organi	zation X Section 501(,	
ſ	_	• • • •	nonexempt charitable trust	Other taxable pr		tion			n status was terminated
ī		market value o		unting method X C		rual		•	in a 60-month termination
•		of year (from Pa		ther (specify)			I		(1)(B), check here
			- <u></u>	column (d) must be on ca	ash basis)				
			venue and Expenses (The		T				(d) Disbursements
		total of amounts i	in columns (b), (c), and (d)	(a) Revenue and expenses per	(b) Net inve			usted net come	for charitable purposes
		may not necessar column (a) (see in	rily equal the amounts in istructions))	books	incom	ie	HIC	Joine	(cash basis only)
_	1		ts, etc , received (attach schedule) .		2:57.20, 2.7.4.65		7 1 1	2. i	
	2	Check X if the	e foundation is not required to	A PART OF THE STATE	1 63. 25	y	(,), , , (),),), (31" (m m' , m	
	3		ch Sch B	69,645.		9,645.			CONT. 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	4	=	erest from securities	89,526.	8	9,526.		-	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	5a								45.37 110 110,110,111
	ь	Net rental income or		1. 4. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.		() A:	1, 32 6	· (20)	
ā			m sale of assets not on line 10	338,029.	14			٠- کې پې کې پې	3.22 4 3, 1911 JOY
Ē	b	Gross sales price for a		J. 1987 S. 2 A. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.	Tym Bysam)			DECE	INED MALE
Revenue	7		come (from Part IV, line 2) .	14 W 18 (18 7)	33	8,029.	S 185	THU	
č	8	. •	oital gain	1,9,1,11 to 1,12, 1, 25,	T	m* (1 /2	14 14 9	0.2020
	9	•	ons			* * * * * * * * * * * * * * * * * * *		JAIA 9	S COLOR
	10 a	Gross sales less return and allowances		一を変わられる さかか	regist, the		3:	~~ <u>~</u>	
	ь	Less Cost of goods so		` ";\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	1 1000 1000	'A , , , ,	334,200		Fig. UT C. SE
	l	•	s) (attach schedule)				<u> </u>		*************
	11	Other income (atta	ach schedule) ATCH 1	64,711.		4,711.			***, ***, *** * * * * * * * * * * * * *
	12	Total. Add lines 1	through 11	561,911.	56	1,911.			
	13	Compensation of office	cers, directors, trustees, etc	0.					
šės	14	Other employee sa	alaries and wages			•			
ens	15	Pension plans, em	ployee benefits						
ă	14 15 16a c 17 18 19 20 21	Legal fees (attach	schedule)						
Щ	ь	Accounting fees (a	attach schedule)ATCH 2	21,150.					
Ę	С	•	fees (attach schedule)			0.40			
trai	17		.3	242.		242.			
ij	18	Taxes (attach sche	dule) (see instructions)[4].	3,672.		3,672.			
Ē	19	Depreciation (attac	ch schedule) and depletion.						25-23 60 60 60 5 60 C
P	20	, ,							
ğ	21	•	s, and meetings						
a	22	= :	cations	220 505	22	0 207			
ng	23 24 25		ttach schedule) ATCH .5	220,595.	×22	0,387.			
rati	24	• •	nd administrative expenses.	245 (50	22	4 201			
pel			gh 23	245,659.	l	4,301.	· ·, ** ·, ş'	~, <u>;</u> , ~, , , , , , , , , , , , , , , , , ,	2,640,504
		-	s, grants paid	2,640,504.	`yr."'', 'y.".		1, 4, 1	<u> </u>	
	26		oursements Add lines 24 and 25	2,886,163.	22	4,301.	J 3 V		2,640,504
	27	Subtract line 26 from		0 304 050	\$ 15 m				
	a		er expenses and disbursements	-2,324,252.		7,610.		(1879 g 2 11)	
	b		come (if negative, enter -0-)			7,610.		, , , , , , , , , , , , , , , , , , ,	
		adjusted not incor	me (if negative enter -0-)	1 8 8 2	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				I NOT THE STATE OF

JSA For Paperwork Reduction Act Notice, see instructions. 8E1410 1 000 HKSC3T 3814 1/2/2020 9:42:

9:42:38 AM V 18-7.6F 20040981F1



Part II		Balamas Chasta	Attached schedules and amounts in the	Beginning of year		End o	of year
	artii	Bajance Sneets	description column should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value		(c) Fair Market Value
	1 '	Cash - non-interest-bear	ing				
	2	Savings and temporary	cash investments	4,129,605.			
	3	Accounts receivable -	•			74 7	
		Less allowance for dou	btful accounts ▶				
	4	Pledges receivable ▶				at Lin	
		Less allowance for dou	btful accounts ▶				
	5	Grants receivable					
	6	Receivables due from	officers, directors, trustees, and other				
			tach schedule) (see instructions)				
	7	Other notes and loans r	receivable (attach schedule) -				
	1	Less allowance for dou	btful accounts ▶				
š	8	Inventones for sale or us	e				
Assets	9	Prepaid expenses and d	eferred charges				
Ä	1,04		e government obligations (attach schedule)				
	b	Investments - corporate	stock (attach schedule) ATCH 6	626,912.	626,9	<u>}12.</u>	1,147,744
			bonds (attach schedule)				
	11	Investments - land, buildings and equipment basis	<u> </u>			, , , , ,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
		Less accumulated deprecia (attach schedule)					
	12	•	loans				
		Investments - other (atta	loansATCH 7	11,369,155.			13,699,753
	1	Land, buildings, and equipment basis	>				
	l	Less accumulated deprecia (attach schedule)					
	15	Other assets (describe	ATCH 8)		4,4	168.	4,468
			completed by all filers - see the				
	l	instructions Also, see p	age 1, item I)	16,125,672.	13,801,4	20.	
	17	Accounts payable and a	accrued expenses				
	18	Grants payable					
es	19	Deferred revenue					
Liabilities	20	Loans from officers, directo	ors, trustees, and other disqualified persons				And this
ab	21	Mortgages and other no	ites payable (attach schedule)				
Ξ	22	Other liabilities (describe	· • • • • • • • • • • • • • • • • • • •	,			
_	23	Total liabilities (add line	es 17 through 22)	0.		0.	
			w SFAS 117, check here ▶				
alances		and complete lines 24	through 26, and lines 30 and 31.				
ă	24	Unrestricted		·			/%_*** * 1.07 \$5 , \$\frac{\fir}{\fin}}}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\fir}{\fir}}}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\fir}}}}}}{\frac{\frac{\frac{\frac{\fir}{\fir}}}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\fi}}}}}}}{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\frac{\fi
Mal	25	Temporarily restricted .					· • • • • • • • • • • • • • • • • • • •
9	26						, , , , , , , , , , , , , , , , , , ,
٥			ot follow SFAS 117, check here ► X	,			
Ë		and complete lines 27	through 31.	16 105 670	12 001 4		
et Assets or Fund B	27	Capital stock, trust princ	cipal, or current funds	16,125,672.	13,801,4	.20.	
set	28	Paid-in or capital surplus, or	land, bldg, and equipment fund				**************************************
Ąŝ	29		ulated income, endowment, or other funds	16 105 670	12 001 4		right, (graff), which it is not been a second or the contract of the contract
et /	3024		balances (see instructions)	16,125,672.	13,801,4	20.	
ž	3130		net assets/fund balances (see	16 105 670	12 22 4		
_				16,125,672.	13,801,4	20.	
			nges in Net Assets or Fund Bala				
1			palances at beginning of year - Part				16 105 670
			ed on prior year's return)		T I	1	16,125,672
			line 27a			2	-2,324,252
			\			3	
4						4	13,801,420
5		reases not included ir				5	100000
6	Tota	I net assets or fund b	alances at end of year (line 4 minus	s line 5) - Part II, column (b), line 30	_6_	13,801,420

C '4 - 1 O - !					
	s and Losses for Tax on Inve		(b) How	 -	
	lescribe the kind(s) of property sold (for e brick warehouse, or common stock, 200	•	acquired P - Purchase	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
•		SIIS WILC CO)	D - Donation		() ()
1 a SEE PART IV SCH	EDOFE				
<u>b</u>					
			ļ		
				-	
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (los ((e) plus (f) minu	
a					
b					
C					
d					
e					
	showing gain in column (h) and owned	by the foundation on 12/31/69	(h)	Gains (Col (h) ga	ain minus
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69		(k), but not less the Losses (from col	han -0-) or	
a					
b					
C					
					
	· · · · · · · · · · · · · · · · · · ·		1		
	(If a:	ain, also enter in Part I, line 7	 - - - - - - - - 		
2 Capital gain net incom	e or (net capital loce)	oss), enter -0- in Part I, line 7	2		338,029.
3 Net short-term capital	gain or (loss) as defined in sections		 - -		
]]		
If goin also enter in	Part I line 8 column (c) See ins	tructions It (loss) anter () in			
	Part I, line 8, column (c) See ins		,		Λ
Part I, line 8		<u>,</u>	3		0.
Part I, line 8	Under Section 4940(e) for Rec	duced Tax on Net Investment I	•		0.
Part I, line 8		duced Tax on Net Investment I	•	me)	0.
Part I, line 8	Under Section 4940(e) for Rectic private foundations subject to the	duced Tax on Net Investment I	•	me)	0.
Part I, line 8	Under Section 4940(e) for Rectic private foundations subject to the	duced Tax on Net Investment I	•	me)	0.
Part I, line 8 Part V Qualification (For optional use by domes If section 4940(d)(2) applies	Under Section 4940(e) for Rectice private foundations subject to the state of the s	duced Tax on Net Investment I e section 4940(a) tax on net invest	ment inco		
Part I, line 8 Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for	Under Section 4940(e) for Rectic private foundations subject to the s, leave this part blank or the section 4942 tax on the distributions.	duced Tax on Net Investment I e section 4940(a) tax on net invest	ment inco		0. Yes ⊠ No
Part I, line 8 Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does	Under Section 4940(e) for Red tic private foundations subject to the s, leave this part blank or the section 4942 tax on the distrib sn't qualify under section 4940(e)	duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the b o not complete this part	ment inco	d?	
Part I, line 8 Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate	Under Section 4940(e) for Rectic private foundations subject to the s, leave this part blank or the section 4942 tax on the distributions.	duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the b o not complete this part	ment inco	d?	
Part I, line 8 Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for if "Yes," the foundation does 1 Enter the appropriate (a)	Under Section 4940(e) for Rectice private foundations subject to the section 4942 tax on the distribusin't qualify under section 4940(e) Examount in each column for each year (b)	duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the b onot complete this part ar, see the instructions before mak	ment inco	d?] Yes ∑ No
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Part I, line 8 Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for lif "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015	Under Section 4940(e) for Rectic private foundations subject to the section 4942 tax on the distribution of the section 4942 tax on the distribution of the section 4940(e) Deamount in each column for each year (b) Adjusted qualifying distributions 2,405,482. 2,130,927. 1,969,124. 500,000.	duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of noncharitable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348.	ment inco	d? (d) Distribution ra (col (b) divided by	Yes X No tio col (c)) 0.128187 0.110062 0.094329 0.023351
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Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for if "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013 2 Total of line 1, column 3 Average distribution ra	Under Section 4940(e) for Rectic private foundations subject to the strip in the section 4942 tax on the distribution of the section 4942 tax on the distribution of the section 4940(e) Examount in each column for each year (b) Adjusted qualifying distributions 2,405,482. 2,130,927. 1,969,124. 500,000. 147,000.	duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of nonchamtable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by	ase perior	d? Intries (d) Distribution ra (col (b) divided by	tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286
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Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for lif "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013 2 Total of line 1, column 3 Average distribution rathe number of years the	Under Section 4940(e) for Rectic private foundations subject to the section 4942 tax on the distribution of the section 4942 tax on the distribution of the section 4940(e) Described amount in each column for each year amount in each year amount in each column for each year amount in each y	duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of noncharitable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years	ase perior	d? Itries (d) Distribution ra (col (b) divided by	tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215
Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for if "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013 2 Total of line 1, column 3 Average distribution rathe number of years the	Under Section 4940(e) for Red tic private foundations subject to the s, leave this part blank or the section 4942 tax on the distribution of the section 4940(e) Definition of the section 4942 tax on the distribution of the section 4940(e) Definition 4940(e) Definiti	duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of noncharitable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years rom Part X, line 5	ase perior ing any er	d? Intries (d) Distribution ra (col (b) divided by	Two X No tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215 0.072643 393,064.
Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for if "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013 2 Total of line 1, column 3 Average distribution rathe number of years the	Under Section 4940(e) for Rectic private foundations subject to the section 4942 tax on the distribution of the section 4942 tax on the distribution of the section 4940(e) Deamount in each column for each year (b) Adjusted qualifying distributions 2,405,482. 2,130,927. 1,969,124. 500,000. 147,000.	duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of noncharitable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years rom Part X, line 5	ase perior	d? Intries (d) Distribution ra (col (b) divided by	Tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215
Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for if "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013 2 Total of line 1, column 3 Average distribution rathe number of years the	Under Section 4940(e) for Red tic private foundations subject to the s, leave this part blank or the section 4942 tax on the distribution of the section 4940(e) Definition of the section 4942 tax on the distribution of the section 4940(e) Definition 4940(e) Definiti	duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of noncharitable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years rom Part X, line 5	ase perior ing any er	d? Intries (d) Distribution ra (col (b) divided by	tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215 0.072643 393,064.
Part I, line 8	Under Section 4940(e) for Rectic private foundations subject to the section 4942 tax on the distribution of the section 4942 tax on the distribution of the section 4940(e) Examount in each column for each year (b) Adjusted qualifying distributions 2,405,482. 2,130,927. 1,969,124. 500,000. 147,000. (d)	duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of nonchamtable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years rom Part X, line 5	ase perior ing any er	d? Intries (d) Distribution ra (col (b) divided by	Two X No tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215 0.072643 393,064.
Part I, line 8	Under Section 4940(e) for Red tic private foundations subject to the s, leave this part blank or the section 4942 tax on the distribution of the section 4940(e) Definition of the section 4942 tax on the distribution of the section 4940(e) Definition 4940(e) Definiti	duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of nonchamtable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years rom Part X, line 5	ase perior ing any er	d? Intries (d) Distribution ra (col (b) divided by	tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215 0.072643 393,064.
Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for if "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013 2 Total of line 1, column 3 Average distribution rathe number of years the 4 Enter the net value of 5 Multiply line 4 by line: 6 Enter 1% of net invest	Under Section 4940(e) for Rectic private foundations subject to the section 4942 tax on the distribution of the section 4942 tax on the distribution of the section 4940(e) Deamount in each column for each year mount in each column for each year 1000 (b) Adjusted qualifying distributions 2,405,482. 2,130,927. 1,969,124. 500,000. 147,000. (d)	duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of noncharitable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years from Part X, line 5	ase perior ing any er	d? Intries (d) Distribution ra (col (b) divided by 16,	tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215 0.072643 393,064.
Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for if "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013 2 Total of line 1, column 3 Average distribution rathe number of years the 4 Enter the net value of 5 Multiply line 4 by line: 6 Enter 1% of net invest	Under Section 4940(e) for Rectic private foundations subject to the section 4942 tax on the distribution of the section 4942 tax on the distribution of the section 4940(e) Examount in each column for each year (b) Adjusted qualifying distributions 2,405,482. 2,130,927. 1,969,124. 500,000. 147,000. (d)	duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of noncharitable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years from Part X, line 5	ase perior ing any er 2 3 4 5	d? Intries (d) Distribution ra (col (b) divided by 16,	tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215 0.072643 393,064. 190,841. 3,376.
Part V Qualification (For optional use by domes If section 4940(d)(2) applies Was the foundation liable for lif "Yes," the foundation does 1 Enter the appropriate (a) Base period years Calendar year (or tax year beginning in) 2017 2016 2015 2014 2013 2 Total of line 1, column 3 Average distribution rathe number of years the number of years the foundation of the number of the line is 4 Enter the net value of 5 Multiply line 4 by line is 6 Enter 1% of net invest 7 Add lines 5 and 6	Under Section 4940(e) for Rectic private foundations subject to the section 4942 tax on the distribution of the section 4942 tax on the distribution of the section 4940(e) Deamount in each column for each year mount in each column for each year 1000 (b) Adjusted qualifying distributions 2,405,482. 2,130,927. 1,969,124. 500,000. 147,000. (d)	duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the boo not complete this part ar, see the instructions before mak (c) Net value of noncharitable-use assets 18,765,442. 19,361,096. 20,875,114. 21,412,348. 20,175,631. e the total on line 2 by 5 0, or by if less than 5 years rom Part X, line 5	ase perior ing any er 2 3 4 5	d? Intries (d) Distribution ra (col (b) divided by 16,	tio (c)) 0.128187 0.110062 0.094329 0.023351 0.007286 0.363215 0.072643 393,064. 190,841. 3,376.

Part VI instructions

	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see	instruc	tions)
	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	~~ <u>}</u> ~ ~	******
Ia	Date of ruling or determination letter (attach copy of letter if necessary - see instructions)		, , , , , , , , , , , , , , , , , , ,
ь	Domestic foundations that meet the section 4940(e) requirements in Part V, check	~ ** ^	3,376.
~	here ▶ X and enter 1% of Part I, line 27b	Establish .	- 3 C - 617
_	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of	*()	
·	Part I, line 12, col (b)		**
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		
3	Add lines 1 and 2	_	3,376.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0		3,376.
6	Credits/Payments) 2 s	3000 (300
а	2018 estimated tax payments and 2017 overpayment credited to 2018 6a 33,352.		
b	Exempt foreign organizations - tax withheld at source	لِيْسِ :	
С	Tax paid with application for extension of time to file (Form 8868) 6c		
d	Backup withholding erroneously withheld		کارا این کار این کار در این کار در این کار در
7	Total credits and payments Add lines 6a through 6d		33,352.
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8		
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		29,976.
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ▶ 29,976. Refunded ▶ 11		
Pai	t VII-A Statements Regarding Activities	1	
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it	-	Yes No
	participate or intervene in any political campaign?	1a	X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the	1	
	instructions for the definition	1b	X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials		
	published or distributed by the foundation in connection with the activities	13	
	Did the foundation file Form 1120-POL for this year?	1c	X × × × × × × × × × × × × × × × × × × ×
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year		
	(1) On the foundation \$ (2) On foundation managers \$		
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed		
_	on foundation managers \$	2	' '
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	~ ~ , ,	3.8.5 38.5
	If "Yes," attach a detailed description of the activities Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles		
3	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	·
42	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	X
J	If "Yes," attach the statement required by General Instruction T	35° 7 , 1	1 7 7 1 7
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		
•	By language in the governing instrument, or	1 1 2	
	By state legislation that effectively amends the governing instrument so that no mandatory directions that	$1 \cdots 31 s^{n}$	Ati Fut
	conflict with the state law remain in the governing instrument?	6	X
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a	Enter the states to which the foundation reports or with which it is registered. See instructions.	7.7	
	NEW YORK		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General	in the second	
	(or designate) of each state as required by General Instruction G ² If "No," attach explanation	8b	Х
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or	1.353	,
	4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If "Yes,"		
	complete Part XIV	9	X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their		
	names and addresses	10	X

Form	990-PF (2018) THE HERMINE AND DAVID HELLER FOUNDATION 13-39.	36476	F	Page 5
Par	t'VII-A Statements Regarding Activities (continued)			
	1	7.00	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule See instructions			Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified			
	person had advisory privileges? If "Yes," attach statement See instructions			Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		Х	
13	Website address ► N/A			<u> </u>
14	The books are in care of THE AYCO COMPANY L.P NTG Telephone no 518-640	-500)	
14	Located at 25 BRITISH AMERICAN BOULEVARD, LATHAM, NY ZIP+4 12110			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here			TT
	and enter the amount of tax-exempt interest received or accrued during the year		,	
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authori	·v	Yes	No
	over a bank, secunties, or other financial account in a foreign country?	1		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of	to more etaions		7.27
	the foreign country		3	
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	13.000	Yes	No
1a	During the year, did the foundation (either directly or indirectly)		807	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No		3.5	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a			
	disqualified person? Yes X No	2.3		3700
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for			
	the benefit or use of a disqualified person)?			- 1
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			
	foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days) Yes X No			74
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	. 1b	V	m 102mms
	Organizations relying on a current notice regarding disaster assistance, check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			لببيد
	were not corrected before the first day of the tax year beginning in 2018?	C 0000 5 1 30	screp, 2000 20. 0	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
а	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2018?			
	If "Yes," list the years			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)	19889072 578986		
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			******
	all years listed, answer "No" and attach statement - see instructions)	2b	BeG Blinds	
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			Ϋ́
_	District formation hald are a first or 20% data to a reduce to the control of the	Carlo S		7.
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
D	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			31
		3b	ax800886.]	:
4	foundation had excess business holdings in 2018)	4a		
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
D	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X
		om 99)-PF	

Par	t VII-B	Statements Regarding Activities	for Which Form	4720 May Be Red	juired (con	inuea)			
5a	During the	year, did the foundation pay or incur any amo	ount to	-			` * *	Yes	No
	(1) Carry o	n propaganda, or otherwise attempt to influe	ence legislation (section	on 4945(e))?	. Yes	X No		8 . 2	ű* ;;
	(2) Influence	e the outcome of any specific public ele	ection (see section	4955), or to carry or	1,		1, * ~ "	125	د برز
	directly	or indirectly, any voter registration drive?			. Yes	X No	, 5	17.00)» s
	(3) Provide	a grant to an individual for travel, study, or o	ther similar purposes	,	. Yes	X No	,	3 3	· `;
	(4) Provide	a grant to an organization other than a	charitable, etc., org	ganization described i	n		1.7	81.	
	section	4945(d)(4)(A)? See instructions			. Yes	X No			^ ×
		for any purpose other than religious, ch					1.		,,,,,,
	purpose	es, or for the prevention of cruelty to children	or animals?		. Yes	X No		1 × 1	
b	If any ans	wer is "Yes" to 5a(1)-(5), did any of the	transactions fail to	qualify under the e	xceptions de	scribed in) (2.35 c) (4.50/s)	,,,,,,	5 5 5
	Regulations	section 53 4945 or in a current notice regar	ding disaster assistan	ice? See instructions.			5b		
	Organizations relying on a current notice regarding disaster assistance, check here								År, Ö
С		ver is "Yes" to question 5a(4), does the							~~~~
		naintained expenditure responsibility for the			1 1	No) ; ; r	18 ° 72 °	,
		ach the statement required by Regulations so							3.5
6a	Did the for	undation, during the year, receive any fun	ds, directly or indir	ectly, to pay premiur	ns		1 6		· · , *
	on a person	al benefit contract?			. Yes	X No) P = 1	\$ 17	,
b		ndation, during the year, pay premiums, dire			act?		6b		Х
	If "Yes" to 6	6b, file Form 8870					~ <u>}}</u>	3.5	343
7a	At any time	during the tax year, was the foundation a pa	arty to a prohibited ta	x shelter transaction?.	. Yes	X No		, ()** - 1	*,,,,,,,,,
b	If "Yes," did	the foundation receive any proceeds or have	e any net income atti	ributable to the transacti	on?		7b		
8	Is the found	lation subject to the section 4960 tax on pay	ment(s) of more that	an \$1,000,000 in			~, s'''	, `.	·
	remuneration	on or excess parachute payment(s) during the	year?	 	. Yes	X No		1,200	**************************************
Par	t VIII	nformation About Officers, Director nd Contractors	rs, Trustees, Fou	undation Managers	s, Highly P	aid Emp	oloyees,		
	a	na contractors							
1	List all offi	cers, directors, trustees, and foundati	on managers and	their compensation	. See instruc	tions.			
1	List all offi	cers, directors, trustees, and foundati	(b) Title, and average	(c) Compensation	(d) Contribut	ions to	(e) Expen		
1	List all offi	cers, directors, trustees, and foundati (a) Name and address		their compensation (c) Compensation (If not paid, enter -0-)		ions to efit plans	(e) Expen other al		
			(b) Title, and average hours per week	(c) Compensation (if not paid, enter -0-)	(d) Contribut employee ben	efit plans inpensation			es
1 ATCH			(b) Title, and average hours per week	(c) Compensation (if not paid,	(d) Contribut employee ben	ions to efit plans			
			(b) Title, and average hours per week	(c) Compensation (if not paid, enter -0-)	(d) Contribut employee ben	efit plans inpensation			es
			(b) Title, and average hours per week	(c) Compensation (if not paid, enter -0-)	(d) Contribut employee ben	efit plans inpensation			es
			(b) Title, and average hours per week	(c) Compensation (if not paid, enter -0-)	(d) Contribut employee ben	efit plans inpensation			es
			(b) Title, and average hours per week	(c) Compensation (if not paid, enter -0-)	(d) Contribut employee ben	efit plans inpensation			es
			(b) Title, and average hours per week	(c) Compensation (if not paid, enter -0-)	(d) Contribut employee ben	efit plans inpensation			es
ATCF	1 9	(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributemployee ben- and deferred con	ions to afit plans inpensation	other al	lowance	0.
ATCF	1 9		(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contribu- employee ben- and deferred cor	nstruction	other al	lowance	0.
ATCF	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those)	(c) Compensation (if not paid, enter -0-) 0.	(d) Contribute mployee ben and deferred con	nstructions to	other al	one,	0.
ATCF	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contribu	nstruction tions to sefit plans in pensation 0.	other al	one,	O.
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0.	(d) Contribu- employee ben- and deferred cor e 1 - see i (d) Contribu- employee i	nstruction tions to sefit plans in pensation 0.	ons). If n	one,	O.
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0.	(d) Contribu	nstruction tions to sefit plans in pensation 0.	ons). If n	one,	O.
ATCF	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter
2 (a)	Compensa	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those by Title, and average hours per week	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter
2 (a) N/A	Compensa "NONE."	(a) Name and address	(b) Title, and average hours per week devoted to position (other than those (b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-) 0 . see included on line (c) Compensation	(d) Contribu	nstruction nstruction	ons). If n	one,	enter

All other program-related investments. See instructions

Form	990-PF (2018)		Page 8
Pai	Minimum Investment Return (All domestic foundations must complete this part. Fore see instructions.)	ign foun	idations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes		
а	Average monthly fair market value of securities	1a	1,271,028.
þ	Average of monthly cash balances	1b	2,493,705.
С	Fair market value of all other assets (see instructions)	1c	12,877,972.
d	Total (add lines 1a, b, and c)	1d	16,642,705.
е			
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	16,642,705.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see		
	instructions)	4	249,641.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	16,393,064.
6	Minimum investment return. Enter 5% of line 5	6	819,653.
Pai	T XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating four	ndations	
	and certain foreign organizations, check here ▶ and do not complete this part)		
1	Minimum investment return from Part X, line 6	1	819,653.
2 a	Tax on investment income for 2018 from Part VI, line 5 2a 3,376.		
	Income tax for 2018 (This does not include the tax from Part VI) 2b		
	Add lines 2a and 2b	2c	3,376.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	816,277.
4	Recoveries of amounts treated as qualifying distributions,	4	
5	Add lines 3 and 4	5	816,277.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	816,277.
Pai	t XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	2,640,504.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes,	2	
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
þ	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,640,504.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
	Enter 1% of Part I, line 27b See instructions	5	3,376.
6	Adjusted qualifying distributions. Subtract line 5 from line 4		2,637,128.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when ca		whether the foundation
	qualifies for the section 4940(e) reduction of tax in those years	ŭ	

Page **9**

_	1 990-PF (2018)		<u></u> _		Page 3
Pa	rt XIII Undistributed Income (see instr	uctions)	· · · · · · · · · · · · · · · · · · ·		
		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1	Distributable amount for 2018 from Part XI, line 7	Corpus	Signal telephone to be an		816,277.
•	Undistributed income, if any, as of the end of 2018	* ",	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		(* 5 1
	Enter amount for 2017 only				
	Total for pnor years 20 16 ,20 15 ,20 14	*Z \$34.57 * 4 , ZZ		Same of the same of the same	
3	Excess distributions carryover, if any, to 2018	10 mgs 8-31 / 12 mg / 70 / 1	THE STATE OF THE S	- 1,72, sa *1, 40 \$	A. C. Garage
	From 2013				
	From 2014				
	From 2015		Rafakoig Najii		
	From 2016 1,202,706.				
	From 2017				
	Total of lines 3a through e	2,979,140.		· · · · · · · · · · · · · · · · · · ·	3, 6, 40, 40, 10, 10, 10, 10
	Qualifying distributions for 2018 from Part XII,				1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
•	line 4 ▶ \$ 2,640,504.				
а	Applied to 2017, but not more than line 2a				
	Applied to undistributed income of prior years				
	(Election required - see instructions)				
c	Treated as distributions out of corpus (Election	-			
•	required - see instructions)			R,786, 1990 (6,7)	
d	Applied to 2018 distributable amount		PRIVATE ENGINEE		816,277.
	Remaining amount distributed out of corpus	1,824,227.			
5	Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6	Enter the net total of each column as				
	indicated below:	4,803,367.			
	Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,003,307.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
С	Enter the amount of prior years' undistributed				
	income for which a notice of deficiency has				
	been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable				
	amount - see instructions	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	13 Mes 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
е	Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
_		20341x-12 27		of many the light of	
T	Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be				
	distributed in 2019				
7	Amounts treated as distributions out of corpus		Purk Miller Sin		
	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (Election may be				
	required - see instructions)				
8	Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
۵	Excess distributions carryover to 2019.			2333	4 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3
3	Subtract lines 7 and 8 from line 6a	4,803,367.			
10	Analysis of line 9				
	Excess from 2014	Name (Name)			
	Excess from 2015 309, 224.		FF ABM 7		Paul Fai Jul
	Excess from 2016 1,202,706.				
	Excess from 2017 1,467,210.				
	Excess from 2018 1,824,227.				
			— ···		Form 990-PF (2018)

Pa	rt XIV Private Op	erating Foundations	(see instructions a	nd Part VII-A, quest	ion 9)	NOT APPLICABLE
	If the foundation has	received a ruling or d	etermination letter tha	t it is a private oper	ating	
	foundation, and the ruling	a is effective for 2018, e	nter the date of the ruling		▶	
ь	Check box to indicate v				1 1 .	(j)(3) or 4942(j)(5)
	Enter the lesser of the ad-	Tax year		Prior 3 years		
	justed net income from Part	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
	I or the minimum investment		(0) 2011	(0) 2010	(4) 2010	
	return from Part X for each					
	year listed					
b	85% of line 2a				-	<u> </u>
C	Qualifying distributions from Part		\			
	XII, line 4 for each year listed .					
d	Amounts included in line 2c not used directly for active conduct					
	of exempt activities					
е	Qualifying distributions made					
	directly for active conduct of exempt activities Subtract line					
	2d from line 2c					
3	Complete 3a, b, or c for the			\		
а	"Assets" alternative test - enter					
_	(1) Value of all assets	/				
	(2) Value of assets qualifying					
	under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test-					
	enter 2/3 of minimum invest-					
	ment return shown in Part X,					
r	"Support" alternative test - enter					
·	(1) Total support other than					\downarrow
	gross investment income					
	(interest, dividends, rents, payments on securities					
	toans (section 512(a)(5)),					
	or royalties)					+
	public and 5 or more					
	exempt organizations as provided in section 4942					
	(j)(3)(B)(m)					
	(3) Largest amount of sup- port from an exempt					
	organization		<u>-</u>			-
	(4) Gross investment income]]	amber if Abor forend	otion had \$5 000	_
Pa		ntary information () uring the year - see		only if the found	ation nad \$5,000 (or more in assets at
	<u> </u>		·			
1	Information Regarding List any managers of			e than 2% of the tot	al contributions race	ived by the foundation
a	before the close of any					
	•		io, nato communica i	(0	,00 000	'
_	DAVID B. HE		400/	f the stant of a seri		U. large parties of the
D	List any managers of ownership of a partner					ly large portion of the
	Ownership or a partner	ship of other entity) of	willon the foundation	inas a 1070 or greater	interest	
	27 / 7					
_	N/A	0 4 1 4 2 2	O'8 O-b-	·		
2	Information Regarding	=		•		
	Check here ► X If t	he foundation only	makes contributions	to preselected char	itable organizations	and does not accept
	unsolicited requests for			ints, etc., to individua	ais or organizations t	inder other conditions,
	complete items 2a, b,	·				
а	The name, address, a	nd telephone number	or email address of th	ne person to whom ap	plications should be ad	dressed
b	The form in which app	lications should be sub	omitted and information	on and materials they	should include	
С	Any submission deadle	nes				
d	Any restrictions or li	mitations on awards	such as by geogra	aphical areas, charita	able fields, kinds of	institutions, or other
	factors					

Page **11**

Part XV Supplementary Information (continued)									
3 Grants and Contributions Paid During Recipient Name and address (home or business)	ing the Year or App	roved for	Future Payment						
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount					
Name and address (home or business)	or substantial contributor	recipient	Contribution						
a Paid during the year									
ATCH 10									
	:								
				1					
				1					
				į.					
Total			▶ 3a	2,640,504.					
b Approved for future payment									
				!					
				I					
		L							
Total	 	<u> </u>	<u> ▶ 3b</u>	<u> </u>					

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Form 990-PF (2018)

Page **12**

THE HERMINE AND DAVID HELLER FOUNDATION

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by	y section 512, 513, or 514	4 (e)	
	service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)	
_	del vide revenue					(++++,	
. —							
		-					
							
f				-			
-	and contracts from government agencies						
	ship dues and assessments			14	69,645.		
	n savings and temporary cash investments -			14	89,526.		
	s and interest from securities	, ,, ,	, , , , , , , , , , , , , , , , , , , ,	1 10 5	, , ,	· · · · · · · · · · · · · · · · · · ·	
	al income or (loss) from real estate	ļ	, , , , , , , , , , , , , , , , , , , ,	1,1	* '3' * '		
	financed property	<u> </u>					
	lebt-financed property						
	I income or (loss) from personal property						
	vestment income		<u> </u>	18	338,029.		
,	oss) from sales of assets other than inventory			10	33373231		
	me or (loss) from special events · · ·						
•	ofit or (loss) from sales of inventory					· · ·	
11 Other rev	venue a TCH 11		<u> </u>		64,711.		
	- · ·			-	04,711.		
	<u>.</u>						
e		·, , , , , ,	· · · -	v., ', , , , , , , , , , , , , , , , , ,	561,911.		
12 Subtotal	Add columns (b), (d), and (e)	y ** 153m3				561,911.	
	id line 12, columns (b), (d), and (e)				13		
	Relationship of Activitie		seemplichment of Ex	omnt Dur	20205		
Part XVI-							
Line No.	Explain below how each activit						
▼	accomplishment of the foundation	on's exemp	t purposes (other than t	by providing	funds for such purposes	s) (See instructions)	
N/A	NOT APPLICABLE						
	NOT THE PROPERTY.						
		···-					
							
						· · · · · · · · · · · · · · · · · · ·	
			<u> </u>	-			
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				<u></u>			
	<u> </u>						
	1000						

8E1492 1 000

Form **990-PF** (2018)

Form 99	90-PF (2	2018)	THE HER	MINE AND	DAVID HELLE	R FOUNI	DATION	13-39	36476	Pa	ge 13
Part	XVII			ansfers to	o and Transac	tions ar	nd Relation	ships With No	nchari	table	,
1 [oid the	Exempt Organ e organization direct		engage in	any of the follow	ing with	any other org	anization describe	<u>a</u>]	Yes	No
		tion 501(c) (other	-		•	-	-		ı	105	140
		rations?			,		•	,			
a 7	Transfe	ers from the reportin	ng foundation to	o a nonchar	itable exempt org	anization (of:		- }	l	
•	•	sh									X
•	•	er assets	• • • • • • • •					• • • • • • • • • •	. 1a(2)	<u> </u>	X
		ransactions:	nobositable ove	mnt omoni-	ation				45.45		x
•	•	es of assets to a no chases of assets fro		. •							X
	•	ntal of facilities, equi		-	-						х
		mbursement arrange									Х
		ins or loan guarantee									X
		formance of service									X
		g of facilities, equipm answer to any of th									
		of the goods, other									
		n any transaction or		_							
(a) Line	e no.	(b) Amount involved	(c) Name of	nonchantable (exempt organization	(d) D	escription of trans	sfers, transactions, and s	hanng arra	ingeme	nts
						N/A					
						 					
—	_										
	\dashv					_					—
											
								<u></u>			
	_					-					
	-				 						
			(<u> </u>								
		foundation directly of					ore tax-exemp	ot organizations	П.,	T V	1
		ed in section 501(c)		ction 501(c)	(3)) or in section	527?			Y	es <u> </u>	J No
<u>D</u> I	res,	" complete the follow (a) Name of organization		(b) Type of organization			(c) Description of relation	onship		
		(-,	<u> </u>	`	, ,,,		_	(-,			
					 ,						
	Linder	penalties of perjury, I decla	une that I have ever	land this cotum	Including accompanying	echedules an	nd statements and	to the hest of my knowl	edge and h	ollof It	la tara
		r, and complete Declaration of						to the best of my known	and b		15 (146,
Sign		- LAGY	\sim	11/	13/2020	TRI	13 T8 &		IRS discuss		
Here	SigR	ature of officer or trustee	•	Date	17	Title		See instruct		Yes	No
	-	100	9 ME	RULNE	Heirez	TR	USTEE 1	18/2020			
Paid		Print ype preparer's nar		Preparer	s signature		Date /	Check if	PTIN		
Prepa	CHRISTINE DITUNNA (MALUAS), UT/13/2020 ser-employed P003939.								3		
Use (200	BOX 15014					Firm's EIN ► 33-	115/43		
-3C (~···y	Firm's address PO	2011 13013					1			

ALBANY, NY

12212-5014 Phone no. 518-640-5000

990-PF THE HERMINE AND DAVID HELLER FOUNDATION 13-3936476
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ı					<u></u>	P	ENT INCOM	Date sold
Kind of P	Property	Contac	FMV	ription Adj basis	Excess of	Ď	acquired Gain	
Gross sale price less expenses of sale	allowable	Cost or other basis	as of 12/31/69	as of 12/31/69	FMV over adj basis		or (loss)	
		TOTAL LONG-	rerm Capital	L GAIN DIVI	DENDS		2,881.	
		TOTAL LONG-T PARTNERSHIP, ESTATES OR	S CORPORA	rion, and o			8,849.	
		GS LONG SHOP		NT PTNRS		Р	VAR	VAR
9,797.		10,697.					-900.	
		GS MEZZANINE		Ε		P	VAR	VAR
1,674.		4,185.					-2,511.	
		GS MEZZANINE		/ L.P.		Р	VAR	VAR
16,500.		90,539.	 0111 				-74,039.	
		DRAWBRIDGE:		DRE		P	VAR	VAR
71.		PROPERTI	et: OTHER				71.	
		818 POTOMAC		CAP		D	VAR	VAR
274.	,	PROPERTY TY	PE: OTHER				274.	
		DRAWBRIDGE (FUND		P	VAR	VAR
4,468.		PROPERTY TYI	PE: OTHER				-96,596.	
		MACELLUM SP				P	VAR	02/28/2019
500,000.		PROPERTY TYI	e. OTHER				500,000.	
TOTAL GAIN(LC	oss)						338,029.	^
						Ш		

THE HERMINE AND DAVID HELLER FOUNDATION

OTHER INCOME

PART I

FORM 990PF,

ATTACHMENT

NET	INVESTMENT	INCOME	-103,870.	-4,694.	-2,306.	175,423.	118.	40.
	EXPENSES		870.	-4,694.	-2,306.	175,423.	118.	40.

64,71
64,711.

TOTALS

ATTACHMENT 1 PAGE 15

13-3936476

ATTACHMENT

FEES	
ACCOUNTING	
- 1	
H	
PART I - AC	
990PF,	
FORM	

CHARITABLE PURPOSES		
ADJUSTED NET INCOME		
NET INVESTMENT INCOME		
REVENUE AND EXPENSES PER BOOKS	21,150.	21,150.
		TOTALS
DESCRIPTION	ACCOUNTING FEE	

THE HERMINE AND DAVID HELLER FOUNDATION

9:42:38 AM V 18-7.6F HKSC3T 3814 1/2/2020

ATTACHMENT 3 PAGE 17

ATTACHMENT

- INTEREST EXPENSE PART I FORM 990PF,

EXPENSES PER BOOKS REVENUE AND

NET

INVESTMENT INCOME

242.

INTEREST EXPENSE

DESCRIPTION

TOTALS

242.

242.

242.

13-3936476

20040981F1

2018 FORM 990-PF

13-3936476

- TAXES PART I FORM 990PF,

REVENUE	AND NET	PER BOOKS INCOME	D 3,672. 3,672
		DESCRIPTION	FOREIGN TAXES PAID

3,672.

TOTALS

9:42:38 AM V 18-7.6F HKSC3T 3814 1/2/2020

20040981F1

ATTACHMENT 5

- OTHER EXPENSES

PART I

FORM 990PF,

	NET	INVESTMENT	INCOME	24,000.	84,304.	84,858.	25,933.		1,292.
REVENUE	AND	EXPENSES	PER BOOKS	24,000.	84,304.	84,858.	25,933.	208.	1,292.
			DESCRIPTION	CONSULTING FEES	MANAGEMENT FEES	PORTFOLIO DEDUCTIONS - K-1	OTHER DEDUCTIONS - K-1	TAX EXEMPT NON-DEDUCTIBLE EXP	OTHER EXPENSES

220,595.

TOTALS

ATTACHMENT 6 PAGE 20

HKSC3T 3814 1/2/2020 9:42:38 AM V 18-7.6F

Y
ATTACHMENT

	ENDING <u>FMV</u>	1,147,744.
,	ENDING BOOK VALUE	626,912.
RPORATE STOCK	BEGINNING BOOK VALUE	626,912.
FORM 990PF, PART II - CORPORATE STOCK	DESCRIPTION	GOLDMAN SACHS #197

626,912.

626,912.

TOTALS

FORM 990PF, PART II - OTHER INVESTMENTS

ENDING <u>FMV</u>	7,458. 7,006. 1,884. 45,464. 39,816. 854,621. 708,502. 205,242. 85,999. 2,170,887. 1,736,631. 1,745,900. 1,745	13, 699, 753.
ENDING BOOK VALUE	4,830. 38,177. 25,134. 86,663. 37,935. 405,153. 689,416. 208,416. 208,416. 205,242. 85,999. 2,170,887. 1,736,984. 311,154. 1,736,987. 1,736,987. 1,736,999. 254,912. 350,000. 920,000. 108,383. 590,773. 254,912. 350,000. 9,606.	12,745,754.
BEGINNING BOOK VALUE	101,064. 4,830. 42,362. 25,134. 106,966. 128,474. 401,251. 671,359. 28,977. 392,425. 167,990. 156,786. 315,896. 1,000,000. 472,513. 702,000. 865,000. 865,000. 865,000. 865,000. 865,000. 350,000. 350,000.	11,369,155.
DESCRIPTION	DRAWBRIDGE GLOBAL MACRO DRAWBRIDGE SPECIAL OPP GS MEZZANINE HEDGE LIBERTY HARBOR SPV II GS LONG SHORT INVESTMENT PTNRS GS ELONG SHORT INVESTMENT PTNRS GS CIP 2011 - 1 A PARTNERS, LP GS ABS RETURN TRACKER FUND GOLDSTAR ASHBURY LLC AVANTEL ACQUISTION LLC DECATHLON ALPHA II DECATHLON ALPHA III GOLDSTAR SHELTER COVE, LLC MACELLUM SPV III, LP MACELLUM SPV III, LP MACELLUM RETAIL OPPORTUNITY FD VALINOR PRIVATE CAPITAL PTNRS CAT ROCK CAPITAL PARTNERS GOLDIEBLOX, INC. COMMON SHS KAWISAFI VENTURES LIMITED TP DR HOLDINGS, LLC ACCELERATE-IT VENTURES FUND I AITV FASHION, LP ARCADIA RL INVESTORS LLC SWAY VENTURES FUND II MADISON AVE OPPORTUNITIES LLC PLAYA GRANDE PIC PROM NOTE PLAYA GRANDE PIC PROM N	TOTALS

THE HERMINE AND DAVID HELLER FOUNDATION

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				l •II
ATTACHMENT 8		ENDING <u>FMV</u>	4,468	4,468.
ATTACH		ENDING BOOK VALUE	4,468.	4,468.
	[O]	BOOK VALUE		
	FORM 990PF, PART II - OTHER ASSETS	DESCRIPTION	DISTRIBUTIONS RECEIVABLE	TOTALS

THE HERMINE AND DAVID HELLER FOUNDATION

ATTACHMENT 9	EXPENSE ACCT AND OTHER ALLOWANCES	· · ·	.0	.0	0
ATTACH	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	.0	·		0
	COMPENSATION	.0			•0
ICERS, DIRECTORS, AND TRUSTEES	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	TRUSTEE - AS NEEDED	TRUSTEE - AS NEEDED	TRUSTEE - AS NEEDED	GRAND TOTALS
FORM 990PE, PART VIII - LIST OF OFFICERS,	NAME AND ADDRESS	DAVID B. HELLER C/O AYCO COMPANY, P.O. BOX 15014 ALBANY, NY 12212-5014	ROBERT M. HELLER C/O AYCO COMPANY, P.O. BOX 15014 ALBANY, NY 12212-5014	HERMINE RIEGERL HELLER C/O AYCO COMPANY, P.O. BOX 15014 ALBANY, NY 12212-5014	

PURPOSE OF GRANT OR CONTRIBUTION	GENERAL CHARITABLE PURPOSES	TOTAL CONTRIBUTIONS PAID .	АТТАСНИ
WIIAL CONTRIBUTOR OF RECIPIENT			20040981E1
RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	NONE 501 (C) (3)		V 18-7 6F
			9 42 38 AM
DRESS			1/2/2020
RECIPIENT NAME AND ADDRESS	SEE ATTACHED LIST		HKSC3T 3814

ATTACHMENT 10 PAGE 24

ATTACHMENT 10

13-3936476

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

2018 FORM 990-PF

THE HERMINE AND DAVID HELLER FOUNDATION

2,640,504

2,640,504

AMOUNT

Ç

13-3936476

THE HERMINE AND DAVID HELLER FOUNDATION

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FORM 990-PE, PART XVI-A - ANALYSIS OF OTHER REVENUE				THE THE THE	-1-1
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DESCRIPTION	BUSINESS CODE	AMOUNT	CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
ORDINARY INCOME - K-1			01	-103,870.	
RENTAL REAL ESTATE INCOME - K-1		•	01	-4,694.	
SECTION 988 GAIN/LOSS			01	-2,306.	
OID INCOME - TP DR HOLDINGS LLC			01	175,423.	
OTHER INCOME - K-1			01	118.	
OTHER PORTFOLIO INCOME - K-1			01	40.	

TOTALS