

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation THE BROAD ART FOUNDATION		A Employer identification number 95-4664939
Number and street (or P O box number if mail is not delivered to street address) 2121 AVENUE OF THE STARS NO 3000	Room/suite	B Telephone number (see instructions) (310) 954-5026
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90067		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>1,504,404,276</u>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	49,988,642			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	171,181	152,488	171,181	
	4 Dividends and interest from securities	1,672	674,595	1,672	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	16,328,871			
	b Gross sales price for all assets on line 6a	42,170,390			
	7 Capital gain net income (from Part IV, line 2)		18,025,980		
	8 Net short-term capital gain			0	
	9 Income modifications			298,000	
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	80,338	37,646	1,505		
12 Total. Add lines 1 through 11	66,570,704	18,890,709	472,358		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	143,750	0	0	143,750
	14 Other employee salaries and wages	76,275	0	0	76,275
	15 Pension plans, employee benefits	31,915	0	0	34,339
	16a Legal fees (attach schedule)	23,450	0	0	22,464
	b Accounting fees (attach schedule)	74,714	37,357	0	25,223
	c Other professional fees (attach schedule)	262,483	98,998	0	167,402
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	230,235	356	0	200,235
	19 Depreciation (attach schedule) and depletion	13,218	0	0	
	20 Occupancy	2,091	0	0	2,030
	21 Travel, conferences, and meetings	69,334	0	0	69,840
	22 Printing and publications	1,833	0	0	1,872
	23 Other expenses (attach schedule)	619,712	376,255	566	624,360
	24 Total operating and administrative expenses. Add lines 13 through 23	1,549,010	512,966	566	1,367,790
	25 Contributions, gifts, grants paid	6,227,381			13,848,500
26 Total expenses and disbursements. Add lines 24 and 25	7,776,391	512,966	566	15,216,290	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	58,794,313				
b Net investment income (if negative, enter -0-)		18,377,743			
c Adjusted net income (if negative, enter -0-)			471,792		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	17,146	45,924	45,924
	2 Savings and temporary cash investments	11,926,514	17,514,835	17,514,835
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	83,500	109,943	109,943
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	79,715,127	68,557,527	68,557,527
	14 Land, buildings, and equipment basis ▶ _____ 68,834 Less accumulated depreciation (attach schedule) ▶ 57,777	24,276	11,057	11,057
15 Other assets (describe ▶ _____)	491,477,199	540,236,478	1,418,164,990	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	583,243,762	626,475,764	1,504,404,276	
Liabilities	17 Accounts payable and accrued expenses	75,223	96,166	
	18 Grants payable	55,710,953	48,089,833	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)	110,574	31,741	
	23 Total liabilities (add lines 17 through 22)	55,896,750	48,217,740	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	527,347,012	578,258,024	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	527,347,012	578,258,024		
31 Total liabilities and net assets/fund balances (see instructions) .	583,243,762	626,475,764		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	527,347,012
2 Enter amount from Part I, line 27a		2	58,794,313
3 Other increases not included in line 2 (itemize) ▶ _____		3	0
4 Add lines 1, 2, and 3		4	586,141,325
5 Decreases not included in line 2 (itemize) ▶ _____		5	7,883,301
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		6	578,258,024

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	18,025,980
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-54,919

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	28,545,605	110,732,900	0.257788
2016	21,015,876	115,591,900	0.181811
2015	54,620,360	148,117,413	0.368764
2014	48,925,852	166,581,609	0.293705
2013	51,272,387	168,663,312	0.303993

2 Total of line 1, column (d)	2	1.406061
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.281212
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	88,282,626
5 Multiply line 4 by line 3	5	24,826,134
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	183,777
7 Add lines 5 and 6	7	25,009,911
8 Enter qualifying distributions from Part XII, line 4	8	60,618,573

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 76,068.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW BROADARTFOUNDATION.ORG
14 The books are in care of JULIE BAKER Telephone no (310) 954-5026

Located at 2121 AVENUE OF THE STARS LOS ANGELES CA ZIP+4 90067

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
FAMILY OFFICE FINANCIAL SERVICES 2121 AVENUE OF THE STARS STE 3000 LOS ANGELES, CA 90067	ACCTG, TAX, TECHNOLOGY & HR	167,402
FAMILY OFFICE INVESTMENT SERVICES LLC 2121 AVENUE OF THE STARS STE 3000 LOS ANGELES, CA 90067	INVESTMENT MGT	64,672
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 ART ACQUISITION FOR LOAN PROGRAM	45,402,283
2 ART EXHIBITION, STUDY, AND LOAN PROGRAM (SEE ATTACHED DESCRIPTION OF ACTIVITIES)	1,367,790
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	109,772
b	Average of monthly cash balances.	1b	10,830,973
c	Fair market value of all other assets (see instructions).	1c	78,686,286
d	Total (add lines 1a, b, and c).	1d	89,627,031
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	89,627,031
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,344,405
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	88,282,626
6	Minimum investment return. Enter 5% of line 5.	6	4,414,131

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	15,216,290
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	45,402,283
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	60,618,573
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	183,777
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	60,434,796

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ _____				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. 1998-04-30

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	471,792	670,393	5,779,595	5,983,368	12,905,148
b 85% of line 2a	401,023	569,834	4,912,656	5,085,863	10,969,376
c Qualifying distributions from Part XII, line 4 for each year listed	60,618,573	28,664,489	21,015,876	54,806,984	165,105,922
d Amounts included in line 2c not used directly for active conduct of exempt activities	13,848,500	12,289,500	12,646,752	24,712,054	63,496,806
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	46,770,073	16,374,989	8,369,124	30,094,930	101,609,116
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.	2,942,754	3,691,097	3,853,063	4,937,247	15,424,161
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
 See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a
b <i>Approved for future payment</i>				
Total				▶ 3b

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 PUBLICLY TRADED SECURITIES			
1 ALTERNATIVE INVESTMENTS (SHORT TERM)	P		
ALTERNATIVE INVESTMENTS (LONG TERM)	P		
ARTWORK	D		
ARTWORK	P		
PASS THROUGH INVESTMENTS	P		
DISPOSAL OF JCF III CO-INVEST I LP	P		
DISPOSAL OF JCF III CO-INVEST INVESTORS I	D		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
431,131		136,487	294,644
15,650,437		16,000,000	-349,563
809,287			809,287
8,445,800		726,600	7,719,200
7,283,000		308,000	6,975,000
9,420,329		6,908,109	2,512,220
65,092		65,214	-122
65,314			65,314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			294,644
			-349,563
			809,287
			7,719,200
			6,975,000
			2,512,220
			-122
			65,314

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ELI BROAD

EDYTHE BROAD

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
826LA1714 W SUNSET BLVD LOS ANGELES, CA 90026	NONE	PC	GENERAL FUND	5,000
AMERICAN FEDERATION OF ARTS 305 EAST 47TH ST 10TH FL NEW YORK, NY 10017	NONE	PC	GENERAL FUND	50,000
AMERICAN PATRONS OF THE NATIONAL LIBRARY 275 MADISON AVE 6TH FL NEW YORK, NY 10016	NONE	PC	EXHIBITION SUPPORT	10,000
Total ▶ 3a				13,848,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ARMAND HAMMER MUSEUM OF ART 10899 WILSHIRE BLVD LOS ANGELES, CA 900244201	NONE	PC	GENERAL FUND	48,500
FOUNDATION ART & PRESERVATION EMBASSIES 1725 I STREET NW STE 300 WASHINGTON, DC 20006	NONE	PC	GENERAL FUND	2,500
GUILD HALL OF EAST HAMPTON INC 158 MAIN ST EAST HAMPTON, NY 11937	NONE	PC	EXHIBITION SUPPORT	5,000
Total				13,848,500

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
IF INNOVATION FOUNDATION 7112 MACAPA DR LOS ANGELES, CA 90068	NONE	PC	GENERAL FUND	15,000
LOS ANGELES BROTHERHOOD CRUSADE 200 EAST SLAUSON AVE LOS ANGELES, CA 90011	NONE	PC	GENERAL FUND	1,500
MUSEUM OF MODERN ART 11 WEST 53 ST NEW YORK, NY 10019	NONE	PC	GENERAL FUND	150,000
Total ▶ 3a				13,848,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MUSEUM OF MODERN ART 11 WEST 53 ST NEW YORK, NY 10019	NONE	PC	GENERAL FUND	25,000
REDCAT24700 MCBEAN PKWY VALENCIA, CA 91355	NONE	PC	GENERAL FUND	5,000
SKOWHEGAN SCHOOL OF PAINTING & SCULPTURE 136 W 22ND ST NEW YORK, NY 10011	NONE	PC	GENERAL FUND	1,000
Total ▶ 3a				13,848,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE BROAD221 S GRAND AVE LOS ANGELES, CA 90012	NONE	POF	EXHIBITION SUPPORT	1,500,000
THE BROAD221 S GRAND AVE LOS ANGELES, CA 90012	NONE	POF	TOWARDS OPERATING SUPPORT	12,000,000
THE DRAWING CENTER35 WOOSTER ST NEW YORK, NY 10013	NONE	PC	GENERAL FUND	2,500
Total ▶ 3a				13,848,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
THE J PAUL GETTY TRUST 1200 GETTY CENTER DR LOS ANGELES, CA 900491681	NONE	EOF	EXHIBITION SUPPORT	25,000
WHITNEY MUSEUM OF AMERICAN ART 99 GANSEVOORT ST NEW YORK, NY 10014	NONE	PC	TOWARDS WHITNEY FELLOWS PROGRAM	2,500
Total ▶ 3a				13,848,500

TY 2018 Accounting Fees Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	74,714	37,357	0	25,223

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Expenditure Responsibility Statement

Name: THE BROAD ART FOUNDATION

EIN: 95-4664939

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
THE BROAD	2121 AVENUE OF THE STARS SUITE 3000 LOS ANGELES, CA 90067	2017-03-28	12,000,000	OPERATING SUPPORT	12,000,000	TO OUR KNOWLEDGE, NO FUNDS HAVE BEEN DIVERTED	9/28/18, 10/10/19		
ART PRACTICE	4346 DEGNAN BOULEVARD LOS ANGELES, CA 90008	2017-09-01	10,000	CONTEMPORARY ART PROGRAMS	10,000	TO OUR KNOWLEDGE, NO FUNDS HAVE BEEN DIVERTED	5/10/18, 10/24/18		
THE BROAD	2121 AVENUE OF THE STARS SUITE 3000 LOS ANGELES, CA 90067	2018-02-14	1,500,000	EXHIBITION SUPPORT	1,500,000	TO OUR KNOWLEDGE, NO FUNDS HAVE BEEN DIVERTED	10/10/19		
THE BROAD	2121 AVENUE OF THE STARS SUITE 3000 LOS ANGELES, CA 90067	2018-12-31	12,000,000	OPERATING SUPPORT	12,000,000	TO OUR KNOWLEDGE, NO FUNDS HAVE BEEN DIVERTED	10/10/19		

TY 2018 General Explanation Attachment

Name: THE BROAD ART FOUNDATION

EIN: 95-4664939

General Explanation Attachment

Identifier	Return Reference	Explanation	
1	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	FORM 990-PF, PART IX-A	<p>THE BROAD ART FOUNDATION IS A PRIVATE OPERATING FOUNDATION CREATED IN 1984 (ON JULY 1, 1999, BASED ON AN IRS RULING RECEIVED 4/30/98, ALL THE ASSETS OF THE ELI BROAD FAMILY FOUNDATION WERE TRANSFERRED TO A NEWLY FORMED TRUST, THE BROAD ART FOUNDATION) THE PURPOSE OF THE FOUNDATION IS TO BUILD A COLLECTION OF CONTEMPORARY ART FOR LOAN TO MUSEUMS AND UNIVERSITY AND COLLEGE ART GALLERIES NOW IN ITS THIRTY-FOURTH YEAR OF ACTIVITY, THE FOUNDATION IS AN EDUCATIONAL AND LENDING RESOURCE PROMOTING KNOWLEDGE AND APPRECIATION OF THE BEST OF CONTEMPORARY ART AND FACILITATING THE EXHIBITION PROGRAMMING OF EXISTING ART INSTITUTIONS THROUGH THE SELECTIVE ACQUISITION OF KEY WORKS OF CONTEMPORARY ART, THE FOUNDATION CONTINUES ITS ONGOING COMMITMENT TO THE GROWTH OF ITS COLLECTION TARGETING LATE 20TH AND EARLY 21ST CENTURY ARTISTIC DEVELOPMENTS, THE FOUNDATION STRIVES TO COLLECT WORKS OF UNPARALLELED IMPORTANCE IN THE RECENT HISTORY OF AMERICAN ART DURING 2018, THE FOUNDATION BROADENED ITS HOLDINGS OF SEVERAL KEY ARTISTS, INCLUDING SAM FRANCIS, ANDREAS GURSKY, THOMAS HOUSEAGO, JEFF KOONS, YAYOI KUSAMA, SHERRIE LEVINE, SHARON LOCKHART, JULIE MEHREU, LARI PITTMAN, JEFF WALL, AND JONAS WOOD ADDITIONALLY, THE FOUNDATION INTRODUCED, SHIO KUSAKA AND KERRY JAMES MARSHALL TO THE COLLECTION THE NUMBER OF WORKS IN THE COLLECTION NOW TOTALS 1,497 PUBLIC ACCESSIBILITY THE FOUNDATION MOVED FROM ITS ORIGINAL OFFICE AND EXHIBITION SPACE, WHICH IT HAD OCCUPIED SINCE 1988, INTO ITS NEW HEADQUARTERS AT THE BROAD MUSEUM IN DOWNTOWN LOS ANGELES ON MAY 15, 2015 IN ITS ORIGINAL SPACE, THE FOUNDATION HAD LIMITED ABILITY TO MAKE ITS ARTWORKS AVAILABLE FOR VIEW EITHER TO THE PUBLIC OR TO ART PROFESSIONALS THE SPACE WAS NOT LARGE ENOUGH TO HOLD ALL THE WORKS IN THE COLLECTION, SO MOST WERE STORED IN CRATES OFF SITE THIS MEANT THAT MUSEUM PERSONNEL WISHING TO BORROW OR SCHOLARS WISHING TO STUDY WORKS OF ART EITHER HAD TO WORK FROM PHOTOGRAPHS OR THAT FOUNDATION STAFF HAD TO GO TO A STORAGE FACILITY, UNCRATE THE ARTWORK AND BRING THE ART PROFESSIONAL TO SEE IT, SOMETHING THAT WAS INEFFICIENT AND NOT OPTIMAL FOR THE PRESERVATION OF THE ART FURTHER, THE ORIGINAL BUILDING WAS A PRIVATE FACILITY OPEN ONLY BY APPOINTMENT WITH ITS MOVE TO THE BROAD, THE FOUNDATION IMMEDIATELY AND SIGNIFICANTLY INCREASED THE ACCESSIBILITY OF THE COLLECTION THE GALLERIES OF THE BROAD MUSEUM DISPLAY A LARGE NUMBER OF LOANS FROM THE BROAD ART FOUNDATION, ALLOWING BOTH THE PUBLIC AND ART PROFESSIONALS TO SEE THE WORKS FIRST HAND, IN SITU IN ADDITION, THE BROAD WAS DELIBERATELY DESIGNED WITH STATE-OF-THE-ART, ON-SITE STORAGE FACILITIES THAT ALLOW CONSOLIDATION OF THE COLLECTION UNDER ONE ROOF AND PERMIT ART PROFESSIONALS EASILY AND EFFICIENTLY TO VIEW THE ORIGINAL WORKS THE GREATLY ENHANCED ACCESSIBILITY SERVES TO ENCOURAGE THE STUDY AND LENDING OF WORKS FROM THE BROAD ART FOUNDATION COLLECTION TO OTHER PUBLIC INSTITUTIONS LOAN ACTIVITY THE CENTERPIECE OF THE FOUNDATION'S ACTIVITIES IS ITS LENDING PROGRAM IN 2018, IN ADDITION TO THE BROAD, 12 PUBLIC VENUES AVAILED THEMSELVES OF 26 FOUNDATION LOANS AMONG THE MAJOR MUSEUMS AND ARTS INSTITUTIONS BORROWING FROM THE FOUNDATION WERE HAS DER KUNST, VANCOUVER ART GALLERY, JOSYLN ART MUSEUM, THE MET BREUER, DALLAS CONTEMPORARY, MODERN ART MUSEUM OF FORT WORTH, THE NATIONAL GALLERY IN LONDON, FRIST ART MUSEUM, THE WHITNEY MUSEUM OF AMERICAN ART, GAGOSIAN GALLERY, AND REGEN PROJECTS</p>

TY 2018 Investments - Other Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INTERNATIONAL FIXED INCOME	FMV	17,228,375	17,228,375
INTERNATIONAL EQUITY	FMV	6,003	6,003
HEDGE FUNDS	FMV	19,508,159	19,508,159
LBO FUNDS	FMV	6,592,639	6,592,639
DISTRESSED - PRIVATE EQUITY	FMV	15,731,931	15,731,931
REAL ESTATE AND HARD ASSETS	FMV	1,641,900	1,641,900
VENTURE CAPITAL	FMV	3,200,179	3,200,179
SECONDARIES	FMV	3,331,081	3,331,081
PUBLICLY TRADED SECURITIES	FMV	1,317,260	1,317,260

**TY 2018 Land, Etc.
Schedule****Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
IMPROVEMENTS	48,373	42,599	5,774	5,774
FURNITURE AND FIXTURES	17,651	12,608	5,043	5,043
COMPUTERS & EQUIPMENT	2,810	2,570	240	240

TY 2018 Legal Fees Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	23,450	0	0	22,464

TY 2018 Other Assets Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
CURRENT ASSETS - ART	3,900,000	9,399,978	9,399,978
FINE ARTS COLLECTION AND ART LIBRARY	470,101,004	527,071,488	1,405,000,000
INVEST REDEMPTION RECEIV	17,476,195	3,765,012	3,765,012

TY 2018 Other Decreases Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939

Description	Amount
UNREALIZED GAIN/LOSS	7,883,301

TY 2018 Other Expenses Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ART STORAGE	410,081	0	0	408,616
PAYROLL SERVICE	1,074	0	0	0
SHIPPING	37,731	0	0	37,732
POSTAGE & DELIVERY	97	0	0	97
INSURANCE	166,474	0	0	174,224
BANK FEES	566	475	566	0
SUPPLIES	225	0	0	225
MISCELLANEOUS	96	0	0	98
MARKETING & PROMOTION	3,368	0	0	3,368
PASS THROUGH OTHER INVESTMENT EXPENSES	0	375,780	0	0

TY 2018 Other Income Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PASS THROUGH OTHER INV INC	0	36,141	0
ROYALTIES	1,505	1,505	1,505
DEFERRED TAX ASSET	78,833	0	0

TY 2018 Other Liabilities Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED TAX LIABILITY	110,574	31,741

TY 2018 Other Professional Fees Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT & MANAGEMENT FEES	262,483	98,998	0	167,402

TY 2018 Taxes Schedule**Name:** THE BROAD ART FOUNDATION**EIN:** 95-4664939

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	125,000	0	0	200,000
UNRELATED BUSINESS INCOME TAX	100,000	0	0	0
FILING FEES	225	0	0	225
STATE TAXES	5,010	0	0	10
FOREIGN TAXES	0	356	0	0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Go to www.irs.gov/Form990 for the latest information

OMB No 1545-0047
2018

Name of the organization
THE BROAD ART FOUNDATION

Employer identification number
95-4664939

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE BROAD ART FOUNDATION	Employer identification number 95-4664939
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Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELI AND EDYTHE L BROAD 2121 AVENUE OF THE STARS SUITE 3000	\$ 27,688,642	Person <input checked="" type="checkbox"/>
	LOS ANGELES, CA 90067		Payroll <input type="checkbox"/>
			Noncash <input checked="" type="checkbox"/>
			(Complete Part II for noncash contributions)
2	THE ELI AND EDYTHE BROAD FOUNDATION 2121 AVENUE OF THE STARS SUITE 3000	\$ 22,300,000	Person <input checked="" type="checkbox"/>
	LOS ANGELES, CA 90067		Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)

Name of organization THE BROAD ART FOUNDATION	Employer identification number 95-4664939
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Part II Noncash Property

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	MARKETABLE SECURITIES, ARTWORK	\$ 25,888 642	2018-12-31
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization THE BROAD ART FOUNDATION	Employer identification number 95-4664939
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____