Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
PFAFFINGER FOUNDATION

A Employer identification number
95-1661675

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
420 EAST THIRD STREET NO 1010

B Telephone number (see instructions)
(213) 680-7460

City or town, state or province, country, and ZIP or foreign postal code
LOS ANGELES, CA 90013

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 75,338,885

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	1,920,115	1,920,115		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	5,753,703			
	b	Gross sales price for all assets on line 6a 25,877,002				
	7	Capital gain net income (from Part IV, line 2)		5,753,703		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	4,788	4,788		
	12	**Total.** Add lines 1 through 11	7,678,606	7,678,606		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	286,906	57,381		229,525
	14	Other employee salaries and wages	514,997	44,271		470,726
	15	Pension plans, employee benefits	178,130	15,931		162,199
	16a	Legal fees (attach schedule)	35,979	2,525		33,454
	b	Accounting fees (attach schedule)	16,600	1,392		15,208
	c	Other professional fees (attach schedule)	393,749	353,821		39,928
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	74,311	0		0
	19	Depreciation (attach schedule) and depletion	32,107	0		
	20	Occupancy	105,508	1,958		103,550
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	-40,035	8,810		185,909
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1,598,252	486,089		1,240,499
	25	Contributions, gifts, grants paid	3,355,339			3,355,339
	26	**Total expenses and disbursements.** Add lines 24 and 25	4,953,591	486,089		4,595,838
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	2,725,015			
	b	**Net investment income** (if negative, enter -0-)		7,192,517		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		267,463	267,463
	2	Savings and temporary cash investments	160,966	2,022,262	2,022,262
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 2,700,743			
		Less allowance for doubtful accounts ▶ 1,424,601	1,523,085	1,276,142	1,276,142
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	56,132	39,117	39,117
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	49,351,255	40,291,834	40,291,834
	c	Investments—corporate bonds (attach schedule)	27,336,859	24,890,483	24,890,483
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	6,084,597	6,463,779	6,463,779
	14	Land, buildings, and equipment basis ▶ 213,873			
		Less accumulated depreciation (attach schedule) ▶ 138,533	99,429	75,340	75,340
	15	Other assets (describe ▶)	24,730	12,465	12,465
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	84,637,053	75,338,885	75,338,885
Liabilities	17	Accounts payable and accrued expenses	225,989	244,886	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	314,873	117,774	
	23	**Total liabilities**(add lines 17 through 22)	540,862	362,660	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	84,096,191	74,976,225	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	84,096,191	74,976,225	
	31	**Total liabilities and net assets/fund balances** (see instructions)	84,637,053	75,338,885	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	84,096,191
2	Enter amount from Part I, line 27a	2	2,725,015
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	86,821,206
5	Decreases not included in line 2 (itemize) ▶	5	11,844,981
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	74,976,225

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	INVESTMENTS-DETAILS AVAILABLE	P		
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	25,877,002		20,123,299	5,753,703
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				5,753,703
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	5,753,703
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	4,721,850	79,518,852	0 059380
2016	4,440,033	75,133,935	0 059095
2015	4,207,599	78,447,013	0 053636
2014	4,463,796	82,468,124	0 054128
2013	4,244,924	79,042,606	0 053704

2	**Total** of line 1, column (d)	2	0 279943
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 055989
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	79,905,652
5	Multiply line 4 by line 3	5	4,473,838
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	71,925
7	Add lines 5 and 6	7	4,545,763
8	Enter qualifying distributions from Part XII, line 4	8	4,595,838

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	71,925
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2.		3	71,925
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	71,925
6	Credits/Payments			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 32,156		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0		
d	Backup withholding erroneously withheld	6d 0		
7	Total credits and payments Add lines 6a through 6d.		7	32,156
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached		8	317
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	40,086
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶HTTPS //PFOUNDATION ORG/

14 The books are in care of ▶THE FOUNDATION Telephone no ▶(213) 680-7460

Located at ▶420 EAST THIRD STREET NO 1010 LOS ANGELES CA ZIP+4 ▶90013

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions .		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b	Yes	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
KRISTAL C MIRANDA 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	CONTROLLER 30 00	75,897	8,290	14,286
COURTNEY REICH 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	CASE MANAGER 40 00	64,625	6,992	11,893
JOSE YNIGUEZ 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	CASE MANAGER 40 00	67,687	6,992	4,471
SHUK-LI EBIHARA 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	CASE MANAGER 30 00	55,685	6,073	11,742

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
MEKETA INVESTMENT GROUP 100 LOWDER BROOK DRIVE SUITE 100 WESTWOOD, MA 02090	INVESTMENT ADVISORY SERVICES	110,000
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	80,786,386
b	Average of monthly cash balances			1b	336,103
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	81,122,489
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	81,122,489
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	1,216,837
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	79,905,652
6	**Minimum investment return.** Enter 5% of line 5			6	3,995,283

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	3,995,283
2a	Tax on investment income for 2018 from Part VI, line 5	2a	71,925		
b	Income tax for 2018 (This does not include the tax from Part VI)	2b	2,386		
c	Add lines 2a and 2b			2c	74,311
3	Distributable amount before adjustments Subtract line 2c from line 1			3	3,920,972
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	3,920,972
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	3,920,972

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	4,595,838
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,595,838
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	71,925
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	4,523,913

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					3,920,972
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2018					
a From 2013.	126,491				
b From 2014.	437,512				
c From 2015.	327,934				
d From 2016.	732,784				
e From 2017.	809,165				
f **Total** of lines 3a through e.		2,433,886			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 4,595,838					
a Applied to 2017, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2018 distributable amount.					3,920,972
e Remaining amount distributed out of corpus		674,866			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		3,108,752			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .		126,491			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		2,982,261			
10 Analysis of line 9					
a Excess from 2014. . . .	437,512				
b Excess from 2015. . . .	327,934				
c Excess from 2016. . . .	732,784				
d Excess from 2017. . . .	809,165				
e Excess from 2018. . . .	674,866				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PFAFFINGER FOUNDATION ATTN DEIDRE S
420 EAST THIRD STREET SUITE 1010
LOS ANGELES, CA 90013
(213) 680-7460

b The form in which applications should be submitted and information and materials they should include

SEE WEBSITE HTTPS //PFOUNDATION ORG/

c Any submission deadlines

YES SEE WEBSITE HTTPS //PFOUNDATION ORG/ YES SEE WEBSITE HTTPS //PFOUNDATION ORG/

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

YES SEE WEBSITE HTTPS //PFOUNDATION ORG/

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,920,115	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	531390	4,788			
8 Gain or (loss) from sales of assets other than inventory			18	5,753,703	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		4,788		7,673,818	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 7,678,606

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-10-08	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JOHN BOVARD MIRON				P01358141
Firm's name ▶ QUIGLEY & MIRON				Firm's EIN ▶95-4656881
Firm's address ▶ 3550 WILSHIRE BLVD 1660 LOS ANGELES, CA 90010				Phone no (213) 639-3550

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KARLENE W GOLLER 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	DIRECTOR 1 00	0	0	0
LOIS G INGHAM 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	CHAIR OF AUDIT COMMITTE 1 00	0	0	0
DEIDRE LIND 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	PRESIDENT & CEO 30 00	49,234	3,143	8,647
STEPHEN C MEIER 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	CHAIR 21 00	124,100	12,545	11,861
SALLY MELVIN-PICK 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	VP & PROGRAM DIRECTOR 40 00	113,572	11,986	13,725
DURHAM J MONSMA 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	CHAIR OF POLICY & PROGR 1 00	0	0	0
SUSAN E REARDON 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	DIRECTOR 1 00	0	0	0
JAMES R SIMPSON 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	CHAIR OF CONTRIBUTIONS 1 00	0	0	0
ROGER W SMITH 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	DIRECTOR 1 00	0	0	0
ERIC SOHLGREN 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	DIRECTOR 1 00	0	0	0
MICHAEL S UDOVIC 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	TREASURER & CFO 1 00	0	0	0
HOWARD G WEINSTEIN 420 E THIRD STREET SUITE 1010 LOS ANGELES, CA 90013	DIRECTOR 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
8-BALL WELFARE FOUNDATION PO BOX 10186 BURBANK, CA 915100186	NONE	PRIVATE FOUNDATION	UNRESTRICTED OPERATING SUPPORT	9,000
A PLACE CALLED HOME 2830 S CENTRAL AVENUE LOS ANGELES, CA 90011	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
AFFORDABLE LIVING FOR THE AGING 2029 CENTURY PARK EAST SUITE 4393 LOS ANGELES, CA 90067	NONE	OTHER PUBLIC CHARITY	CASE MANAGEMENT SERVICES	15,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALLIANCE FOR CHILDRENS RIGHTS 3333 WILSHIRE BLVD SUITE 550 LOS ANGELES, CA 90010	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
AMERICAN RED CROSS LOS ANGELES REGION 11355 OHIO AVE LOS ANGELES, CA 90025	NONE	OTHER PUBLIC CHARITY	NURSE ASSISTANT TRAINING PROGRAM SUPPORT	20,000
CHRYSALIS CENTER522 S MAIN ST LOS ANGELES, CA 90013	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	25,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COMMUNITY SENIOR SERVICES 141 SPRING ST CLAREMONT, CA 91711	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	10,000
DOOR OF HOPEPO BOX 90455 PASADENA, CA 91109	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	10,000
DOWNTOWN WOMEN'S CENTER 442 SOUTH SAN PEDRO ST LOS ANGELES, CA 90013	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILIES FORWARD8 THOMAS IRVINE, CA 92618	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
FAMILY SELF SUFFICIENCY PROGRAM 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	MISCELLANEOUS LIVING EXPENSES	177,140
FRIENDS OF THE FAMILY 16861 PARTHENIA STREET NORTH HILLS, CA 91343	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FVO SOLUTIONS INC 789 NORTH FAIR OAKS AVE PASADENA, CA 91105	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
HELPLINE YOUTH COUNSELING INC 14181 TELEGRAPH RD WHITTIER, CA 90604	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
HOMEBOY INDUSTRIES 130 W BRUNO STREET LOS ANGELES, CA 90012	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	25,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
IMAGINE LA 5455 WILSHIRE BLVD SUITE 1001 LOS ANGELES, CA 90036	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	10,000
INDIVIDUAL ASSISTANCE-DISCRETIONARY 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	ASSISTANCE FOR ESSENTIAL NEEDS	64,039
INDIVIDUAL ASSISTANCE-DOCTORS AND M 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	DOCTORS AND MISCELLANEOUS MEDICAL EXPENSES	590,661
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INDIVIDUAL ASSISTANCE-FUNERALS 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	FUNERAL EXPENSES	106,790
INDIVIDUAL ASSISTANCE-HOSPITALS 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	HOSPITAL EXPENSES	22,768
INDIVIDUAL ASSISTANCE-MISCELLANEOUS 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	MISCELLANEOUS LIVING EXPENSES	782,170
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INDIVIDUAL ASSISTANCE-NURSING SERVI 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	NURSING SERVICE EXPENSES	450,458
INDIVIDUAL ASSISTANCE-RECURRING MON 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	RETIREES MONTHLY ASSISTANCE	202,551
INDIVIDUAL ASSISTANCE-RETIREMENT FA 420 EAST THIRD STREET LOS ANGELES, CA 90013	NONE	N/A	REST AND CONVALESCENT HOME EXPENSES	284,762
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JENESSE CENTER INC 3761 STOCKER AVE 100 LOS ANGELES, CA 90008	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
JVS SOCAL1623 S LA CIENEGA BLVD LOS ANGELES, CA 90035	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	25,000
KOREATWON YOUTH AND COMMUNITY CENTER 3727 W 6TH ST SUITE 200 LOS ANGELES, CA 90020	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	10,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LA CONSERVATION CORPS 1400 N SPRING STREET LOS ANGELES, CA 90012	NONE	OTHER PUBLIC CHARITY	SUPPORT OF THE CASE MANAGEMENT PROGRAM	20,000
LIFT-LOS ANGELES 1910 MAGNOLIA AVE STE 404 LOS ANGELES, CA 90007	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
LITTLE TOKYO SERVICE CENTER 231 E THIRD STREET--SUITE G106 LOS ANGELES, CA 90013	NONE	OTHER PUBLIC CHARITY	SUPPORT OF THE CASE MANAGEMENT PROGRAM	20,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LOS ANGELES REGIONAL FOOD BANK 1734 EAST 41ST STREET LOS ANGELES, CA 90058	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	10,000
MEND-MEET EACH NEED WITH DIGNITY 10641 SAN FERNANDO RD PACOIMA, CA 91331	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
NEW DIRECTIONS FOR VETERANS 11303 WILSHIRE BLVD VA BLDG 116 LOS ANGLES, CA 900731003	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ONE GENERATION17400 VICTORY BLVD VAN NUYS, CA 91406	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
ORANGE COUNTY CONSERVATION CORPS 3515 N RAYMOND AVE ANAHEIM, CA 92801	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
PARA LOS NINOS234 S LOMA DRIVE LOS ANGELES, CA 90026	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST BARNABAS SENIOR SERVICES 675 CARONDOLET STREET LOS ANGELES, CA 90057	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
ST JOSEPH CENTER 204 HAMPTON DRIVE VENICE, CA 90291	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
ST VINCENT MEALS ON WHEELS 2303 MIRAMAR ST LOS ANGELES, CA 90057	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNION RESCUE MISSION 545 S SAN PEDRO ST LOS ANGELES, CA 90013	NONE	OTHER PUBLIC CHARITY	WORKFORCE DEVELOPMENT PROGRAM	20,000
UNION STATION HOMELESS SERVICES 825 E ORANGE GROVE BLVD PASADENA, CA 91104	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	10,000
UNITED FRIENDS OF THE CHILDREN 1055 WILSHIRE BLVD 1955 LOS ANGELES, CA 90017	NONE	OTHER PUBLIC CHARITY	PATHWAYS TO INDEPENDENCE	10,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UPWARD BOUND HOUSE 1104 WASHINGTON AVENUE SANTA MONICA, CA 90403	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
WEINGART CENTER ASSOCIATION 566 SOUTH SAN PEDRO STREET LOS ANGELES, CA 90013	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	10,000
WOMEN HELPING WOMENMEN2WORK 2803 MCGAW AVE IRVINE, CA 92614	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	10,000
Total . . . . ▶ **3a**				3,355,339

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WOMEN IN NON TRADITIONAL EMPLOYMENT ROLES 3655 S GRAND AVE SUITE 210 LOS ANGELES, CA 90007	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	20,000
YMCA OF METROPOLITAN LOS ANGELES 625 S NEW HAMPSHIRE AVE LOS ANGELES, CA 90005	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	50,000
YOUNG & HEALTHYPO BOX 93397 PASADENA, CA 91009	NONE	OTHER PUBLIC CHARITY	UNRESTRICTED OPERATING SUPPORT	15,000
Total . . . . ▶ **3a**				3,355,339

TY 2018 Accounting Fees Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	16,600	1,392		15,208

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
SAFE	1988-09-08	2,761	2,761	SL	5 000000000000	0	0		
ARTWORK	2001-12-14	969	969	SL	5 000000000000	0	0		
4 OSC HEATERS	2001-12-31	216	216	SL	5 000000000000	0	0		
LOBBY SIGN	2002-01-16	1,290	1,290	SL	5 000000000000	0	0		
VISITOR CHAIRS	2002-02-05	1,400	1,400	SL	5 000000000000	0	0		
PRINTER	2005-01-25	511	511	SL	3 000000000000	0	0		
AUTOMOTIVE EQUIPMENT	2006-12-05	25,040	25,040	SL	5 000000000000	0	0		
2 FILE CABINETS (SIDE SLIDING DOOR)	2007-05-08	3,447	3,447	SL	5 000000000000	0	0		
SHARP COPIER	2007-07-19	10,137	10,137	SL	5 000000000000	0	0		
FLAT SCREEN MONITOR	2007-12-26	320	320	SL	3 000000000000	0	0		
2 DELL DESKTOP COMPUTERS	2008-11-24	2,667	2,667	SL	5 000000000000	0	0		
PRINTER	2009-03-12	379	379	SL	3 000000000000	0	0		
4 CHAIRS (NBF)	2011-01-31	2,701	2,701	SL	5 000000000000	0	0		
4 CHAIRS (NBF)	2011-03-15	2,701	2,701	SL	5 000000000000	0	0		
2 LAPTOPS FOR DISASTER PLANNING	2011-12-07	4,090	4,090	SL	3 000000000000	0	0		
PRINTER	2012-04-26	435	435	SL	3 000000000000	0	0		
FUJITSU SCANNERS	2012-09-19	1,697	1,697	SL	3 000000000000	0	0		
FUJITSU SCANNERS	2013-06-19	1,675	1,675	SL	3 000000000000	0	0		
COMPUTER PRINTER	2014-02-19	395	395	SL	3 000000000000	0	0		
FIREWALL	2014-06-23	1,034	1,034	SL	3 000000000000	0	0		

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
DELL PRECISION T1700 MT CTO BASE	2015-12-03	1,182	821	SL	3 000000000000	361	0		
9 DELL PCS	2016-01-21	9,059	6,039	SL	3 000000000000	3,020	0		
DELL - MONITOR	2016-03-04	134	82	SL	3 000000000000	45	0		
HP - PRINTER	2016-03-31	1,090	666	SL	3 000000000000	363	0		
MBSG - SERVERS	2016-03-31	13,105	8,008	SL	3 000000000000	4,368	0		
CISCO MERAKI MR32 CLOUD-MANAGED WIFI	2016-07-01	1,747	873	SL	3 000000000000	582	0		
KEILHAUER CHAIR	2016-07-22	274	82	SL	5 000000000000	55	0		
2 CAHOOTS CHAIRS & TABLE - RECEPTION	2016-07-31	2,296	688	SL	5 000000000000	459	0		
9 CHERRYMAN OROBLANCO SIDE CHAIRS - OFFICES	2016-08-15	2,825	800	SL	5 000000000000	565	0		
14 CHERRYMAN OROBLANCO CHAIR - CONFERENCE ROOM	2016-08-15	6,714	1,903	SL	5 000000000000	1,343	0		
WAVELL-HUBER RECEPTION DESK	2016-08-11	10,232	2,899	SL	5 000000000000	2,046	0		
IKEA - 4 CHAIRS & 1 TABLE - LUNCH ROOM	2016-08-19	764	217	SL	5 000000000000	153	0		
FILING CABINETS - NEW OFFICE	2016-08-31	16,838	4,771	SL	5 000000000000	3,368	0		
REFRIGERATOR	2016-08-30	637	169	SL	5 000000000000	127	0		
A/C FOR SERVER	2016-08-31	1,129	502	SL	3 000000000000	376	0		
LEASEHOLD IMPROVEMENTS	2016-08-31	36,361	7,196	SL	7 000000000000	5,194	0		
SAMSUNG TV, MOUNTING & INSTALLATION	2016-08-31	2,302	1,087	SL	3 000000000000	767	0		
OFFICE EQUIPMENT	2016-09-13	4,573	1,067	SL	5 000000000000	915	0		
MICROAGE - OPTIPLEX 3046 COMPUTER	2016-09-27	1,055	469	SL	3 000000000000	352	0		
OFFICE EQUIPMENT	2016-12-01	1,375	321	SL	5 000000000000	275	0		

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
SQL SERVER LISC	2017-09-30	2,819	234	SL	3 000000000000	940	0		
HAMER TOYOTA	2017-02-28	25,479	3,667	SL	5 000000000000	5,096	0		
COMPUTER	2018-07-01	2,105		SL	3 000000000000	351	0		
CANNON	2018-07-01	5,913		SL	3 000000000000	986	0		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Expenditure Responsibility Statement

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
8-BALL WELFARE FOUNDATION	PO BOX 10186 BURBANK, CA 915100186	2018-12-17	9,000	GRANTS TO NEEDY JOURNALISTS AND PRESS PHOTOGRAPHERS BASED ON GRANTEES ESTABLISHED CRITERIA AND FOR GENERAL OPERATING EXPENSES		NONE	9/30/18 FOR 2017 GRANT		GRANTEE SPENT ALL MONEY GRANTED PRIOR TO FILING OF REPORT ON 9/30/2018 ALL EXPENDITURES WERE IN COMPLIANCE WITH THE GRANT AGREEMENT

TY 2018 Investments Corporate Bonds Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME MUTUAL FUNDS	20,842,452	20,842,452
SKY HARBOR BROAD HIGH YEILD MKT	4,048,031	4,048,031

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491281003179

TY 2018 Investments Corporate Stock Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
RHUMBLINE QSI PORTFOLIO	9,432,562	9,432,562
HIGHLINE CAPITAL INTERNATIONAL	1,379,649	1,379,649
EQUITY MUTUAL FUNDS	26,539,878	26,539,878
COMMON STOCKS	240	240
GQG INTERNATIONAL EQUITY FUND	2,939,505	2,939,505

TY 2018 Investments - Other Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PARK STREET CAPITAL VIII	FMV	507,017	507,017
GOLDEN TREE OFFSHORE FUND	FMV	255,893	255,893
MONTAUK TRIGUARD FUND	FMV	192,162	192,162
ABERDEEN E&R	FMV	933,222	933,222
AMERICAN CORE REALTY	FMV	2,578,119	2,578,119
IRONSIDE DIRECT INVEST FD V LP	FMV	582,474	582,474
IRONSIDE PARTNERSHIP FD III	FMV	994,257	994,257
IRONSIDE CO-INVEST FD III LP	FMV	420,635	420,635

TY 2018 Land, Etc. Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
SAFE	2,761	2,761	0	0
ARTWORK	969	969	0	0
4 OSC. HEATERS	216	216	0	0
LOBBY SIGN	1,290	1,290	0	0
VISITOR CHAIRS	1,400	1,400	0	0
PRINTER	511	511	0	0
AUTOMOTIVE EQUIPMENT	25,040	25,040	0	0
2 FILE CABINETS (SIDE SLIDING DOOR)	3,447	3,447	0	0
SHARP COPIER	10,137	10,137	0	0
FLAT SCREEN MONITOR	320	320	0	0
2 DELL DESKTOP COMPUTERS	2,667	2,667	0	0
PRINTER	379	379	0	0
4 CHAIRS (NBF)	2,701	2,701	0	0
4 CHAIRS (NBF)	2,701	2,701	0	0
2 LAPTOPS FOR DISASTER PLANNING	4,090	4,090	0	0
PRINTER	435	435	0	0
FUJITSU SCANNERS	1,697	1,697	0	0
FUJITSU SCANNERS	1,675	1,675	0	0
COMPUTER PRINTER	395	395	0	0
FIREWALL	1,034	1,034	0	0
DELL PRECISION T1700 MT CTO BASE	1,182	1,182	0	0
9 DELL PCS	9,059	9,059	0	0
DELL - MONITOR	134	127	7	7
HP - PRINTER	1,090	1,029	61	61
MBSG - SERVERS	13,105	12,376	729	729
CISCO MERAKI MR32 CLOUD-MANAGED WIFI	1,747	1,455	292	292
KEILHAUER CHAIR	274	137	137	137
2 CAHOOTS CHAIRS & TABLE - RECEPTION	2,296	1,147	1,149	1,149
9 CHERRYMAN OROBLANCO SIDE CHAIRS - OFFICES	2,825	1,365	1,460	1,460
14 CHERRYMAN OROBLANCO CHAIR - CONFERENCE ROOM	6,714	3,246	3,468	3,468

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
WAVELL-HUBER RECEPTION DESK	10,232	4,945	5,287	5,287
IKEA - 4 CHAIRS & 1 TABLE - LUNCH ROOM	764	370	394	394
FILING CABINETS - NEW OFFICE	16,838	8,139	8,699	8,699
REFRIGERATOR	637	296	341	341
A/C FOR SERVER	1,129	878	251	251
LEASEHOLD IMPROVEMENTS	36,361	12,390	23,971	23,971
SAMSUNG TV, MOUNTING & INSTALLATION	2,302	1,854	448	448
OFFICE EQUIPMENT	4,573	1,982	2,591	2,591
MICROAGE - OPTIPLEX 3046 COMPUTER	1,055	821	234	234
OFFICE EQUIPMENT	1,375	596	779	779
SQL SERVER LISC	2,819	1,174	1,645	1,645
HAMER TOYOTA	25,479	8,763	16,716	16,716
COMPUTER	2,105	351	1,754	1,754
CANNON	5,913	986	4,927	4,927

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491281003179

TY 2018 Legal Fees Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	35,979	2,525		33,454

TY 2018 Other Assets Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
INTEREST AND DIVIDENDS RECEIVABLE	34	1,073	1,073
PREPAID FEDERAL EXCISE TAXES	13,304		0
DEPOSITS	11,392	11,392	11,392

TY 2018 Other Decreases Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Description	Amount
UNREALIZED LOSS ON INVESTMENTS	11,844,981

TY 2018 Other Expenses Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSE	124,977	4,215		120,730
POSTAGE, DELIVERY & TELECOMMUNICATIONS	26,797	942		23,177
OTHER GENERAL AND ADMINISTRATIVE EXPENSES	45,091	3,653		42,002
DEFERRED PORTION OF FEDERAL EXCISE TAX	-236,900	0		0

TY 2018 Other Income Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	4,788	4,788	4,788

TY 2018 Other Liabilities Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED FEDERAL EXCISE TAX PAYABLE	314,873	77,973
FEDERAL EXCISE TAX PAYABLE	0	39,801

TY 2018 Other Notes/Loans Receivable Short Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Name of 501(c)(3) Organization	Balance Due
UNSECURED NOTES RECEIVABLE	932,837
SECURED NOTES RECEIVABLE	1,767,906

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491281003179

TY 2018 Other Professional Fees Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	349,385	349,385		0
OUTSIDE CONSULTANTS	44,364	4,436		39,928

TY 2018 Taxes Schedule

Name: PFAFFINGER FOUNDATION

EIN: 95-1661675

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CURRENT PORTION OF FEDERAL EXCISE TAX	71,925	0		0
UBIT	2,386	0		0