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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation  
ROSENBERG FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)  
131 STEUART STREET NO 650

City or town, state or province, country, and ZIP or foreign postal code  
SAN FRANCISCO, CA 94105

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 53,557,752

J Accounting method

☐ Cash

☒ Accrual

☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis )

A Employer identification number  
94-1186182

B Telephone number (see instructions)  
(415) 644-9777








C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐  
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	255,450		
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . .			
	3 Interest on savings and temporary cash investments	2,857	2,857	
	4 Dividends and interest from securities . . . . .	1,730,476	1,730,417	
	5a Gross rents . . . . .			
	b Net rental income or (loss) _____			
	6a Net gain or (loss) from sale of assets not on line 10 	826,146		
	b Gross sales price for all assets on line 6a _____ 11,480,497			
	7 Capital gain net income (from Part IV, line 2) . . . . .		828,758	
	8 Net short-term capital gain . . . . .			
	9 Income modifications . . . . .			
	10a Gross sales less returns and allowances _____			
b Less Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) . . . . . 	-235,774	-309,727		
12 Total. Add lines 1 through 11 . . . . .	2,579,155	2,252,305		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	276,712	13,836	262,876
	14 Other employee salaries and wages . . . . .	248,152	5,333	275,598
	15 Pension plans, employee benefits . . . . .	147,398	7,370	138,292
	16a Legal fees (attach schedule) . . . . . 	64,261	0	64,261
	b Accounting fees (attach schedule) . . . . . 	61,200	11,280	46,470
	c Other professional fees (attach schedule) . . . . . 	102,788	0	107,570
	17 Interest . . . . .	210	177	0
	18 Taxes (attach schedule) (see instructions) . . . . . 	7,725	7,577	0
	19 Depreciation (attach schedule) and depletion . . . . .	4,308	0	
	20 Occupancy . . . . .	116,289	5,814	106,021
	21 Travel, conferences, and meetings . . . . .	72,830	0	76,387
	22 Printing and publications . . . . .			
	23 Other expenses (attach schedule) . . . . . 	605,578	343,418	248,860
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	1,707,451	394,805	1,326,335
	25 Contributions, gifts, grants paid . . . . .	3,922,700		2,239,033
26 Total expenses and disbursements. Add lines 24 and 25	5,630,151	394,805	3,565,368	
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	-3,050,996		
	b Net investment income (if negative, enter -0-)		1,857,500	
c Adjusted net income (if negative, enter -0-) . . . . .				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2018)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .	478,674	538,243	538,243
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .	630,000	560,000	560,000
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .	22,566	45,635	45,635
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	43,902,138	39,045,966	39,045,966
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	13,978,296	13,240,896	13,240,896
	<b>14</b> Land, buildings, and equipment basis ▶ _____ 113,891 Less accumulated depreciation (attach schedule) ▶ 105,397	11,702	8,494	8,494
<b>15</b> Other assets (describe ▶ _____)	118,538	118,518	118,518	
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	59,141,914	53,557,752	53,557,752	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .	266,967	180,538	
	<b>18</b> Grants payable . . . . .	1,003,666	2,687,333	
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	1,270,633	2,867,871	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .	57,508,851	50,362,536	
	<b>25</b> Temporarily restricted . . . . .	362,430	327,345	
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>30</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	57,871,281	50,689,881	
	<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	59,141,914	53,557,752	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	57,871,281
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-3,050,996
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	54,820,285
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	4,130,404
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	50,689,881

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a PUBLICLY TRADED SECURITIES</b>			
<b>b PARTNERSHIP INVESTMENT</b>	P		
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 11,480,497		10,288,058	1,192,439
<b>b</b>			-363,681
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			1,192,439
<b>b</b>			-363,681
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	828,758
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	3,820,206	42,468,405	0 089954
2016	3,711,006	54,296,779	0 068347
2015	3,442,983	57,118,935	0 060277
2014	3,551,634	59,602,124	0 059589
2013	3,345,049	56,675,814	0 059021
<b>2 Total</b> of line 1, column (d)			<b>2</b> 0 337188
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 067438
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			<b>4</b> 55,665,350
<b>5</b> Multiply line 4 by line 3			<b>5</b> 3,753,960
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 18,575
<b>7</b> Add lines 5 and 6			<b>7</b> 3,772,535
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 3,677,868

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	37,150
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	37,150
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	37,150
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	76,644
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	76,644
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	39,494
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <input type="checkbox"/> 39,494 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ 0 <b>(2)</b> On foundation managers <input type="checkbox"/> \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	Yes
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	Yes
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW.ROSENBERGFOUND.ORG</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>LINDA MOLL</b> Telephone no <b>(415) 644-9777</b>			

Located at **131 STEUART STREET SUITE 650 SAN FRANCISCO CA** ZIP+4 **94105**

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b>			
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>▶</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			
	If "Yes" to 6b, file Form 8870			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LINDA MOLL 131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105	BUS /GRANTS MANAGER 40 00	106,650	33,187	0
JONATHAN MOORE 131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105	OFFICE MANAGER AND E 40 00	90,833	7,213	0
NAOMI BRILEY 131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105	PROGRAM MANAGER 30 00	58,349	17,873	0
<b>Total</b> number of other employees paid over \$50,000.				0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CHANGE CONSULTING BILEN MESFIN 214 GRANT AVENUE APT 48 OAKLAND, CA 94610	COMMUNICATIONS SUPPORT	70,127
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> THE MOST COMMON FORMS OF DCA INCLUDED IMPLEMENTING THE LEADING EDGE FUND, LEVERAGING FUNDS FROM CALIFORNIA-BASED AND NATIONAL FOUNDATIONS FOR OUR GRANTEES' WORK, ORGANIZING CONVENINGS, CONFERENCES AND BRIEFINGS, AND, PROVIDING TECHNICAL ASSISTANCE, PROGRAM PLANNING, AND STRATEGIC COMMUNICATIONS SUPPORT FOR INDIVIDUAL GRANTEES AND GRANTEE COLLABORATIVES	523,211
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> MISSION ASSET FUND FOR DAPA/DACA APPLICATION FEE REVOLVING LOAN FUND	112,500
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	112,500

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	42,439,969
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	432,136
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	13,640,941
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	56,513,046
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	56,513,046
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	847,696
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	55,665,350
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	2,783,268

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	2,783,268
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	37,150
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	37,150
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	2,746,118
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	2,746,118
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	2,746,118

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	3,565,368
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	112,500
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	3,677,868
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	3,677,868

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				2,746,118
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .	568,658			
<b>b</b> From 2014. . . . .	611,984			
<b>c</b> From 2015. . . . .	634,368			
<b>d</b> From 2016. . . . .	1,035,537			
<b>e</b> From 2017. . . . .	1,773,662			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	4,624,209			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 3,677,868				
<b>a</b> Applied to 2017, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				2,746,118
<b>e</b> Remaining amount distributed out of corpus	931,750			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,555,959			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	568,658			
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	4,987,301			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .	611,984			
<b>b</b> Excess from 2015. . . . .	634,368			
<b>c</b> Excess from 2016. . . . .	1,035,537			
<b>d</b> Excess from 2017. . . . .	1,773,662			
<b>e</b> Excess from 2018. . . . .	931,750			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2018</b>	<b>(b) 2017</b>	<b>(c) 2016</b>	<b>(d) 2015</b>	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

<b>1 Information Regarding Foundation Managers:</b>
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.
<b>a</b> The name, address, and telephone number or e-mail address of the person to whom applications should be addressed BUSINESS AND GRANT MANAGER 131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105 (415) 644-9777
<b>b</b> The form in which applications should be submitted and information and materials they should include THE FOUNDATION ENCOURAGES LETTERS OF INQUIRY FOR PUBLIC-INTEREST ADVOCACY EFFORTS THAT WILL HELP MAKE CALIFORNIA A JUST AND ECONOMICALLY INCLUSIVE STATE AS ALIGNED WITH OUR GRANTMAKING PRIORITIES. LETTERS OF INQUIRY FOR PRELIMINARY RESEARCH AND PLANNING ALSO WILL BE ACCEPTED. LETTERS OF INQUIRY SHOULD BE LIMITED TO ONE TO TWO PAGES AND SHOULD INCLUDE THE FOLLOWING INFORMATION: 1) DESCRIPTION OF PROJECT AND ITS OBJECTIVES; 2) DESCRIPTION OF SPONSORING ORGANIZATION; 3) TOTAL REQUEST AMOUNT, PROJECT AMOUNT, AND SIZE OF AGENCY BUDGET. APPLICANTS SELECTED FROM THE LETTER OF INQUIRY PROCESS TO APPLY FOR A GRANT WILL BE CONTACTED AND GIVEN INSTRUCTIONS FOR SUBMITTING A FULL PROPOSAL. PROPOSALS ARE REVIEWED BY FOUNDATION STAFF AND ACTED UPON BY THE BOARD OF DIRECTORS AT MEETINGS THROUGHOUT THE YEAR. THE FOUNDATION WILL ACT SELECTIVELY TO ENSURE THAT THE FOUNDATION'S RESOURCES ARE USED MOST EFFECTIVELY.
<b>c</b> Any submission deadlines NO DEADLINES
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors IN ITS SOLE PROGRAM AREA, ECONOMIC INCLUSION AND HUMAN RIGHTS, THE ROSENBERG FOUNDATION CURRENTLY MAKES GRANTS TO FURTHER POLICY REFORM IN THREE PRIORITY AREAS: JUSTICE AND PUBLIC SAFETY, IMMIGRANT RIGHTS AND INTEGRATION, AND JUSTICE FOR FARM WORKERS. PLEASE VISIT OUR GRANT PROGRAMS FOR MORE INFORMATION ON EACH OF THESE AREAS. FIT AND ELIGIBILITY - APPLICANTS MUST MEET THE FOLLOWING CRITERIA: 1) NONPROFIT ORGANIZATIONS THAT ARE TAX-EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE SERVICE CODE AND NOT CLASSIFIED AS A PRIVATE FOUNDATION UNDER SECTION 509(A) OF THE CODE, OR PUBLIC AGENTS; 2) FOR ALL PROGRAM AREAS, BE BASED IN OR MANAGING SIGNIFICANT ACTIVITIES IN CALIFORNIA.

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>▶ 3a</b>	
<b>b</b> <i>Approved for future payment</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

	(b) Business code	(c) Amount	(d) Exclusion code	(e) Amount	(f) (See instructions )
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	2,857	
<b>4</b> Dividends and interest from securities. . . . .	900099	59	14	1,730,417	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .	900099	-2,612	18	828,758	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue					
<b>a</b> MISCELLANEOUS RECEIPTS			01	352	
<b>b</b> OTHER LOSS FROM PARTNERSHIP			01	-309,727	
<b>c</b> OTHER INCOME FROM PARTNERSHIP (UNRELATED BUSINESS INCOME)	900099	3,840			
<b>d</b> EXCISE TAXES			01	69,761	
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .		1,287		2,322,418	0
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		2,323,705

(See worksheet in line 13 instructions to verify calculations )

[illegible]

## Part XVII

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1)** Cash. . . . .
- (2)** Other assets. . . . .
- b** Other transactions
- (1)** Sales of assets to a noncharitable exempt organization. . . . .
- (2)** Purchases of assets from a noncharitable exempt organization. . . . .
- (3)** Rental of facilities, equipment, or other assets. . . . .
- (4)** Reimbursement arrangements. . . . .
- (5)** Loans or loan guarantees. . . . .
- (6)** Performance of services or membership or fundraising solicitations. . . . .
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** _____ Signature of officer or trustee	2019-11-12 _____ Date	***** _____ Title

May the IRS discuss this return with the preparer shown below?  
 (see instr.)? ☐ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN  P01225144
	Firm's name ▶ SQUAR MILNER LLP				Firm's EIN ▶ 33-0835986
	Firm's address ▶ 135 MAIN STREET 9TH FLOOR SAN FRANCISCO, CA 941051815				Phone no (415) 781-2500

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CLARA SHIN	CHAIR 3 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
SARAH STEIN	VICE CHAIR/SECRETARY 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
MICK HELLMAN	SECOND VICE CHAIR 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
ROBERT FRIEDMAN	TREASURER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
PHYLLIS COOK	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
DANIEL GROSSMAN	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
JULIE GUTMAN DICKINSON	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
KATE KENDELL	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
BILL LANN LEE	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
SHAUNA MARSHALL	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
HUGO MORALES	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
ALBERT MORENO	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
TIMOTHY SILARD	PRESIDENT 40 00	276,712	43,367	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
LATEEFAH SIMON	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
BENJAMIN TODD JEALOUS	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UC BERKELEY CENTER FOR LABOR RESEARCH AND EDUCATION 2521 CHANNING WAY 5555 BERKELEY, CA 94720		PC	GENERAL SUPPORT	12,000
TIDES FOUNDATIONPO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	75,000
BAY AREA LEGAL AID 1735 TELEGRAPH AVE OAKLAND, CA 94612		PC	GENERAL SUPPORT	65,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PEACE DEVELOPMENT FUND 3221 22ND STREET SAN FRANCISCO, CA 94110		PC	GENERAL SUPPORT	75,000
COMMUNITY PARTNERS 1000 N ALAMEDA STREET SUITE 240 LOS ANGELES, CA 90012		PC	GENERAL SUPPORT	75,000
SILICON VALLEY DE-BUG 701 LENZEN AVE SAN JOSE, CA 95126		PC	GENERAL SUPPORT	75,000
<b>Total . . . . . ▶ 3a</b>				2,239,033



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALAMEDA COUNTY PUBLIC DEFENDER 1401 LAKESIDE DR 400 OAKLAND, CA 94612		PC	GENERAL SUPPORT	55,000
IMPACT JUSTICE 2633 TELEGRAPH AVE 104 OAKLAND, CA 94612		PC	GENERAL SUPPORT	75,000
POLICY LINK1438 WEBSTER ST 303 OAKLAND, CA 94612		PC	GENERAL SUPPORT	75,000
<b>Total . . . . .</b> ► <b>3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALLIANCE FOR JUSTICE 11 DUPONT CIRCLE NW SUITE 200 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	25,000
NEW VENTURE FUND 1201 CONNECTICUT NW 3RD FLOOR WASHINGTON, DC 20036		PC	GENERAL SUPPORT	33,333
NEW VENTURE FUND 1201 CONNECTICUT NW 3RD FLOOR WASHINGTON, DC 20036		PC	GENERAL SUPPORT	50,000
<b>Total . . . . .</b> ► <b>3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MUJERES UNIDAS Y ACTIVAS 3543 18TH STREET BOX 23 SAN FRANCISCO, CA 94110		PC	GENERAL SUPPORT	20,000
CALIFORNIA RURAL LEGAL ASSISTANCE FOUNDATION 2210 K STREET SUITE 200 SACRAMENTO, CA 95816		PC	GENERAL SUPPORT	40,000
MEXICAN AMERICAN LEGAL DEFENSE & ED FUND 634 S SPRING STREET LOS ANGELES, CA 90014		PC	GENERAL SUPPORT	50,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SIERRA HEALTH FOUNDATION CENTER FOR HEALTH PROGRAM MANAGEMENT 1321 GARDEN HIGHWAY SACRAMENTO, CA 95833		PC	GENERAL SUPPORT	35,000
NORTHERN CALIFORNIA GRANTMAKERS 160 SPEAR ST SUITE 360 SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT	5,000
PUBLIC POLICY INSTITUTE OF CALIFORNIA 500 WASHINGTON STREET SUITE 600 SAN FRANCISCO, CA 94111		PC	GENERAL SUPPORT	10,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COURAGE CAMPAIGN INSTITUTE 7119 W SUNSET BLVD 195 LOS ANGELES, CA 90046		PC	GENERAL SUPPORT	5,000
MIGRATION DIALOGUE 1004 EAGLE PLACE DAVIS, CA 95616		PC	GENERAL SUPPORT	2,500
FOUNDATION FOR NATIONAL PROGRESS 222 SUTTER ST SUITE 600 SAN FRANCISCO, CA 94108		PC	GENERAL SUPPORT	1,000
<b>Total . . . . .</b> ► <b>3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ESSIE JUSTICE GROUP 1700 BROADWAY SUITE 200 OAKLAND, CA 94612		PC	GENERAL SUPPORT	10,000
LAWYERS' COMMITTEE FOR CIVIL RIGHTS OF THE SF BAY AREA 131 STEUART ST 400 SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT	15,000
NATIONAL CENTER FOR LESBIAN RIGHTS 870 MARKET STREET SUITE 370 SAN FRANCISCO, CA 94102		PC	GENERAL SUPPORT	3,500
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
ADVANCEMENT PROJECT 1910 WEST SUNSET BLVD SUITE 500 LOS ANGELES, CA 90026		PC	GENERAL SUPPORT	50,000
TIDES CENTERPO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	400,000
CALIFORNIA IMMIGRANT POLICY CENTER 1225 8TH ST SUITE 590 SACRAMENTO, CA 95814		PC	GENERAL SUPPORT	40,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CENTER FOR MEDIA JUSTICE 436 14TH STREET 5TH FLOOR OAKLAND, CA 94612		PC	GENERAL SUPPORT	30,000
FARMWORKER JUSTICE 1126 16TH STREET NW SUITE 270 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	60,000
IMMIGRANT LEGAL RESOURCE CENTER 1458 HOWARD ST SAN FRANCISCO, CA 94103		PC	GENERAL SUPPORT	20,000
<b>Total . . . . . ▶ 3a</b>				2,239,033



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UC BERKELEY CENTER FOR LABOR RESEARCH AND EDUCATION 2521 CHANNING WAY 5555 BERKELEY, CA 94720		PC	GENERAL SUPPORT	16,000
ALLIANCE SAN DIEGOPO BOX 12266 SAN DIEGO, CA 92112		PC	GENERAL SUPPORT	30,000
UNITED FARM WORKER FOUNDATION 3002 WHITTIER BLVD LOS ANGELES, CA 90023		PC	GENERAL SUPPORT	50,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LEGAL SERVICES FOR PRISONERS WITH CHILDREN 4400 MARKET ST OAKLAND, CA 94608		PC	GENERAL SUPPORT	4,000
FUTURES WITHOUT VIOLENCE 100 MONTGOMERY ST THE PRESIDIO SAN FRANCISCO, CA 94128		PC	GENERAL SUPPORT	5,000
GRASSROOTS INSTITUTE FOR FUNDRAISING PO BOX 1229 OAKLAND, CA 94604		PC	GENERAL SUPPORT	5,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SIERRA HEALTH FOUNDATION CENTER FOR HEALTH PROGRAM MANAGEMENT 1321 GARDEN HIGHWAY SACRAMENTO, CA 95833		PC	GENERAL SUPPORT	15,000
ELLA BAKER CENTER FOR HUMAN RIGHTS 1419 34TH AVE SUITE 202 OAKLAND, CA 94601		PC	GENERAL SUPPORT	40,000
LIBERTY HILL FOUNDATION 6420 WILSHIRE BLVD 700 LOS ANGELES, CA 90048		PC	GENERAL SUPPORT	50,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SILICON VALLEY DE-BUG 701 LENZEN AVE SAN JOSE, CA 95126		PC	GENERAL SUPPORT	25,000
ANTI-RECIDIVISM COALITION 1320 E 7TH ST SUITE 260 LOS ANGELES, CA 90021		PC	GENERAL SUPPORT	50,000
TIDES CENTERPO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	60,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SIERRA HEALTH FOUNDATION CENTER FOR HEALTH PROGRAM MA 1321 GARDEN HIGHWAY SACRAMENTO, CA 95833		PC	GENERAL SUPPORT	10,000
ROCKEFELLER PHILANTHROPY ADVISORS 575 MARKET STREET SUITE 3625 SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT	10,000
MEXICAN AMERICAN LEGAL DEFENSE & ED FUND 634 S SPRING STREET LOS ANGELES, CA 90014		PC	GENERAL SUPPORT	35,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
ALLIED MEDIA PROJECTS INC 4126 3RD AVE DETROIT, MI 48201		PC	GENERAL SUPPORT	25,000
WAREHOUSE WORKER RESOURCE CENTER 521 N EUCLID AVE ONTARIO, CA 91762		PC	GENERAL SUPPORT	45,000
NATIONAL QUEER ASIAN PACIFIC ISLANDER PO BOX 1277 OLD CHELSEA STATION NEW YORK, NY 10113		PC	GENERAL SUPPORT	5,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FARMWORKER JUSTICE 1126 16TH STREET NW SUITE 270 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	15,000
EQUAL JUSTICE SOCIETY 1939 HARRISON STREET SUITE 818 OAKLAND, CA 94612		PC	GENERAL SUPPORT	15,000
MOVEMENT STRATEGY CENTER 436 14TH STREET SUITE 500 OAKLAND, CA 94612		PC	GENERAL SUPPORT	15,000
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COALITION FOR HUMANE IMMIGRANT RIGHTS OF LOS ANGELES 2533 WEST 3RD STREET SUITE 101 LOS ANGELES, CA 90057		PC	GENERAL SUPPORT	1,200
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 615 WEST 131ST STREET 6TH FLOOR NEW YORK, NY 10027		PC	GENERAL SUPPORT	15,000
MEXICAN AMERICAN LEGAL DEFENSE & ED FUND 634 S SPRING STREET LOS ANGELES, CA 90014		PC	GENERAL SUPPORT	5,000
<b>Total . . . . . ▶ 3a</b>				2,239,033



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TIDES CENTERPO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	5,000
EQUAL JUSTICE SOCIETY 1939 HARRISON STREET SUITE 818 OAKLAND, CA 94612		PC	GENERAL SUPPORT	1,500
BEND THE ARC A JEWISH PARTNERSHIP FOR JUSTICE 332 PINE STREET SAN FRANCISCO, CA 94104		PC	GENERAL SUPPORT	30,000
<b>Total . . . . .</b> ► <b>3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
DIGNITY AND POWER NOW 3655 S GRAND AVE LOS ANGELES, CA 90007		PC	GENERAL SUPPORT	25,000
ASIAN AMERICANS ADVANCING JUSTICE - ASIAN LAW CAUCUS 55 COLUMBUS AVE SAN FRANCISCO, CA 94111		PC	GENERAL SUPPORT	15,000
EDUCATION AND LEADERSHIP FOUNDATION 4290 E ASHLAN AVE FRESNO, CA 93726		PC	GENERAL SUPPORT	30,000
<b>Total . . . . . ▶ 3a</b>				2,239,033


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ORANGE COUNTY COMMUNITIES ORGANIZED FOR RESPONSIBLE DEV 13252 GARDEN GROVE BLVD SUITE 204 GARDEN GROVE, CA 92843		PC	GENERAL SUPPORT	25,000
TIDES FOUNDATIONPO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	40,000
ASIAN AMERICANS PACIFIC ISLANDERS IN PHILANTHROPY 300 FRANK H OGAWA PLAZA 256 OAKLAND, CA 94612		PC	GENERAL SUPPORT	500
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ASSOCIATION OF BLACK FOUNDATION EXECUTIVES 42 BROADWAY 20TH FLOOR NEW YORK, NY 10004		PC	GENERAL SUPPORT	500
FOUNDATION CENTER 32 OLD SLIP 24TH FLOOR NEW YORK, NY 10005		PC	GENERAL SUPPORT	1,000
FUNDERS FOR LGBTQ ISSUES 45 WEST 36TH ST NEW YORK, NY 10018		PC	GENERAL SUPPORT	500
<b>Total . . . . .</b> ► <b>3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES 777 TILTON ROAD SEBASTOPOL, CA 95472		PC	GENERAL SUPPORT	5,000
PEAK GRANTMAKING (FORMERLY GRANT MANAGERS NETWORK) 1666 K STREET NW SUITE 440 WASHINGTON, DC 20006		PC	GENERAL SUPPORT	750
HISPANICS IN PHILANTHROPY 414 - 13TH STREET SUITE 200 OAKLAND, CA 94612		PC	GENERAL SUPPORT	500
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1900 L STREET SUITE 825 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	1,000
NATIVE AMERICANS IN PHILANTHROPY 1000 ALAMEDA ST SUITE 116 LOS ANGELES, CA 90012		PC	GENERAL SUPPORT	500
NEIGHBORHOOD FUNDERS GROUP 300 FRANK OGAWA PLAZA SUITE 700 OAKLAND, CA 94612		PC	GENERAL SUPPORT	750
<b>Total . . . . . ▶ 3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NORTHERN CALIFORNIA GRANTMAKERS 160 SPEAR ST SUITE 360 SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT	2,000
SARGENT SHRIVER NATIONAL CENTER ON POVERTY LAW 67 E MADISON ST 2000 CHICAGO, IL 60603		PC	GENERAL SUPPORT	5,000
PROTEUS FUND 15 RESEARCH DRIVE SUITE B AMHERST, MA 01002		PC	GENERAL SUPPORT	15,000
<b>Total . . . . .</b> ► <b>3a</b>				2,239,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SOUTHERN CALIFORNIA GRANTMAKERS 1000 ALAMEDA ST 230 LOS ANGELES, CA 90012		PC	GENERAL SUPPORT	1,000
<b>Total</b> . . . . .  <b>3a</b>				2,239,033



**TY 2018 Accounting Fees Schedule****Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING AND AUDIT	61,200	11,280		46,470

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## **TY 2018 Gain/Loss from Sale of Other Assets Schedule**

**Name:** ROSENBERG FOUNDATION

**EIN:** 94-1186182

### **Gain Loss Sale Other Assets Schedule**

<b>Name</b>	<b>Date Acquired</b>	<b>How Acquired</b>	<b>Date Sold</b>	<b>Purchaser Name</b>	<b>Gross Sales Price</b>	<b>Basis</b>	<b>Basis Method</b>	<b>Sales Expenses</b>	<b>Total (net)</b>	<b>Accumulated Depreciation</b>
LOSS FROM PARTNERSHIP INVESTMENT		PURCHASED						0	-2,612	

**TY 2018 Investments Corporate Stock Schedule**

**Name:** ROSENBERG FOUNDATION  
**EIN:** 94-1186182

**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AGILENT TECHNOLOGIES	173,372	173,372
AIR LEASE CORP CL A	227,632	227,632
ALPHABET INC CLASS A	10,450	10,450
ALPHABET INC CLASS C	665,897	665,897
BAYER A G SPONSORED ADRF	43,837	43,837
BERKSHIRE HATHAWAY	207,243	207,243
BOEING CO	278,962	278,962
BROOKFIELD ASSET MGM F CLASS A	463,268	463,268
CHARTER COMMUNITY	342,249	342,249
CHUBB LTD	158,891	158,891
COGNIZANT TECH SOLU	176,474	176,474
DANAHER CORP	298,532	298,532
DIGITAL REALTY TRUST	214,698	214,698
HANNON ARMSTRONG SUS	114,681	114,681
HOLOGIC INC	251,943	251,943
IHS MARKIT LTD F	237,212	237,212
JOHNSON & JOHNSON	187,768	187,768
JPMORGAN CHASE & CO	273,336	273,336
MICROSOFT CORP	397,647	397,647
MONOLIRHIX POWER SYS	155,775	155,775
NEXTERA ENERGY INC	329,389	329,389
NOVARTIS A G SPON ADR F	332,085	332,085
PENTAIR PLC	178,322	178,322
RPM INTERNATIONAL	212,490	212,490
SERVICEMASTER GLBL	177,087	177,087
SYNOPSYS INC.	160,056	160,056
TELEFLEX INCORPORATED	210,661	210,661
US FOODS HOLDING COR	334,435	334,435
VICI PROPERTIES INC	210,899	210,899
VISA INC.	206,486	206,486

## Investments Corporation Stock Schedule

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
WASTE CONECTIONS INC. F	223,493	223,493
3M CO	212,452	212,452
DOUBLE CORE FIXED	4,676,243	4,676,243
VANGUARD INTERM TERM	2,336,512	2,336,512
VANGUARD SHORT TERM	2,323,631	2,323,631
AMG YACKTMAN FD I	2,091,584	2,091,584
IVA INTL FUND CL 1	1,621,734	1,621,734
VANGUARD DIV GROWTH FD	2,085,282	2,085,282
WELLS FARGO ADVANTAGE EMERGING MARKET	1,338,680	1,338,680
VANGUARD FTSE DEVELOPED	1,541,245	1,541,245
VANGUARD FTSE EMERGING	1,322,641	1,322,641
VANGUARD REAL ESTATE	1,044,353	1,044,353
VANGUARD TOTAL STOCK MKT	3,995,968	3,995,968
TIAA CREF SOCIAL CHOICE	4,965,449	4,965,449
CASH RESERVE PREMIUM IN SCHWAB ACCT	2,034,922	2,034,922

## TY 2018 Investments - Other Schedule

**Name:** ROSENBERG FOUNDATION

**EIN:** 94-1186182

### Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
OSTERWEIS EMERG OPPTY FD	FMV	171,116	171,116
ANTERO MIDSTREAM GP LP	FMV	134,160	134,160
CROWN CASTLE INTL CO	FMV	303,621	303,621
AMERICAN CENTURY GIFTTRUST INVESTMENTS	FMV	2,345	2,345
1607 CAPITAL PARTNERS INTERNATIONAL EQUITY	FMV	3,863,206	3,863,206
HRJ CAPITAL VC VI (INT'L)	FMV	706,935	706,935
HRJ CAPITAL VC VI (U.S.)	FMV	892,181	892,181
HRJ GLOBAL BUY-OUT III (ASIA)	FMV	27,250	27,250
HRJ GLOBAL BUY-OUT III (EUROPE)	FMV	103,825	103,825
HRJ GLOBAL BUY-OUT III (U.S.)	FMV	161,794	161,794
HRJ SPECIAL OPPORTUNITIES II (INT'L)	FMV	89,988	89,988
HRJ SPECIAL OPPORTUNITIES II (U.S.)	FMV	163,526	163,526
METROPOLITAN REAL ESTATE PARTNERS II	FMV	79,323	79,323
METROPOLITAN REAL ESTATE PARTNERS V	FMV	99,339	99,339
MAGNITUDE INTERNATIONAL CLASS A SHARES	FMV	4,379,285	4,379,285
PALOMA INTERNATIONAL LTD	FMV	1,289,126	1,289,126
RIMROCK HIGH INCOME PLUS (CAYMAN) FUND	FMV	773,876	773,876

**TY 2018 Legal Fees Schedule****Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL SERVICES	64,261	0		64,261

**TY 2018 Other Assets Schedule****Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	112,520	112,500	112,500
DEPOSITS	6,018	6,018	6,018

**TY 2018 Other Decreases Schedule**

**Name:** ROSENBERG FOUNDATION  
**EIN:** 94-1186182

Description	Amount
UNREALIZED LOSS FROM INVESTMENT	4,130,404



**TY 2018 Other Expenses Schedule****Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE SUPPLIES	9,610	480		9,129
TELEPHONE/POSTAGE/DELIVERY	9,994	500		9,495
COMPUTER SOFTWARE	10,722	536		10,186
BOARD MEETING EXPENSES	6,139	614		5,138
INSURANCE	17,671	1,767		34,364
PROFESSIONAL DEVELOPMENT	3,683	0		2,408
PUBLICATIONS AND MEMBERSHIPS	1,000	0		1,000
OTHER K1 DEDUCTIONS	80,044	79,947		0
INVESTMENT FEE	259,015	259,015		0
LEADING EDGE PROGRAM EXPENSES	196,513	0		166,513

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FURNITURE AND EQUIPMENT EXPENSE	11,187	559		10,627

**TY 2018 Other Income Schedule****Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS RECEIPTS	352		352
OTHER LOSS FROM PARTNERSHIP	-309,727	-309,727	-309,727
OTHER INCOME FROM PARTNERSHIP (UNRELATED BUSINESS INCOME)	3,840		3,840
EXCISE TAXES	69,761		69,761

**TY 2018 Other Professional Fees Schedule****Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
IT/COMPUTER CONSULTING	6,000	0		6,000
WEBSITE DESIGN	14,209	0		14,209
OTHER CONSULTING FEES	80,548	0		85,330
PAYROLL PROCESSING FEES	2,031	0		2,031

**TY 2018 Taxes Schedule****Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
EXCISE TAXES	0	0		0
TAXES PER SCHEDULE K-1S	6,858	7,577		0
FOREIGN TAXES	867	0		0

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	<b>Schedule of Contributors</b>  ▶ <b>Attach to Form 990, 990-EZ, or 990-PF</b> ▶ Go to <u><a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a></u> for the latest information	OMB No 1545-0047
		<b>2018</b>
<b>Name of the organization</b> ROSENBERG FOUNDATION		<b>Employer identification number</b> 94-1186182

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> ROSENBERG FOUNDATION	<b>Employer identification number</b> 94-1186182
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**Part I** **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HELLMAN FOUNDATION 1714 STOCKTON STREET 400 SAN FRANCISCO, CA 94133	\$ 250,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

Employer identification number

94-1186182

Part II	Noncash Property
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(See instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	



<b>Name of organization</b> ROSENBERG FOUNDATION	<b>Employer identification number</b> 94-1186182
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<b>Part III</b>	<b>Exclusively</b> religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of <b>exclusively</b> religious, charitable, etc., contributions of <b>\$1,000 or less</b> for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	