

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

, and ending

Name of foundation DRAPER RICHARDS KAPLAN FOUNDATION		A Employer identification number 91-2172351
Number and street (or P O box number if mail is not delivered to street address) 1600 EL CAMINO REAL	Room/suite 155	B Telephone number 650-319-7808
City or town, state or province, country, and ZIP or foreign postal code MENLO PARK, CA 94025		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation 63 ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 38,589,806.	J Accounting method. ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☒

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		6,586,752.			
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		855,723.	857,968.	857,968.	STATEMENT 2
4 Dividends and interest from securities					
5a Gross rents					
b Not rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		98,979.			STATEMENT 1
b Gross sales price for all assets on line 6a 7,877,188.					
7 Capital gain net income (from Part IV, line 2)			1,508,820.		
8 Net short-term capital gain				50,872.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		28,951.	8,948.	37,899.	STATEMENT 3
12 Total. Add lines 1 through 11		7,570,405.	2,375,736.	946,739.	
13 Compensation of officers, directors, trustees, etc		937,429.	0.	937,427.	0.
14 Other employee salaries and wages		2,119,733.	0.	2,119,733.	0.
15 Pension plans, employee benefits		1,660,171.	0.	1,660,171.	0.
16a Legal fees STMT 4		24,880.	0.	24,880.	0.
b Accounting fees STMT 5		33,400.	0.	33,400.	0.
c Other professional fees STMT 6		293,590.	66,254.	227,336.	0.
17 Interest					
18 Taxes STMT 7		24,288.	0.	0.	24,288.
19 Depreciation and depletion		46,239.	0.	0.	
20 Occupancy		455,073.	0.	455,073.	0.
21 Travel, conferences, and meetings		343,664.	0.	343,664.	0.
22 Printing and publications		6,851.	0.	6,851.	0.
23 Other expenses STMT 8		1,030,380.	11,645.	-4,861,796.	5,892,176.
24 Total operating and administrative expenses. Add lines 13 through 23		6,975,698.	77,899.	946,739.	5,916,464.
25 Contributions, gifts, grants paid		4,450,000.			4,300,000.
26 Total expenses and disbursements. Add lines 24 and 25		11,425,698.	77,899.	946,739.	10,216,464.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-3,855,293.			
b Net investment income (if negative, enter -0-)			2,297,837.		
c Adjusted net income (if negative, enter -0-)				0.	

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	1,389,798.	1,617,748.	1,617,748.
	2 Savings and temporary cash investments	321,362.	170,298.	170,298.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ 9,428,857.			
	Less: allowance for doubtful accounts ▶	13,465,207.	9,428,857.	9,428,857.
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 200,000.			
	Less: allowance for doubtful accounts ▶ 0.	0.	200,000.	200,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	134,732.	296,404.	296,404.
	10a Investments - U.S. and state government obligations STMT 9	812,872.	550,361.	550,361.
	b Investments - corporate stock			
	c Investments - corporate bonds STMT 10	24,795,688.	23,241,507.	23,241,507.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	984,662.	847,271.	847,271.
	14 Land, buildings, and equipment basis ▶ 200,969.			
	Less: accumulated depreciation STMT 12 ▶ 126,027.	116,033.	74,942.	74,942.
	15 Other assets (describe ▶ STATEMENT 13)	798,556.	2,162,418.	2,162,418.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	42,818,910.	38,589,806.	38,589,806.
	17 Accounts payable and accrued expenses	523,653.	618,966.	
	18 Grants payable	100,000.	150,000.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ ACCRUED PAYROLL LI)	46,562.	44,720.	
	23 Total liabilities (add lines 17 through 22)	670,215.	813,686.	
	Foundations that follow SFAS 117, check here ▶ ☒			
	24 Unrestricted	28,683,488.	28,257,264.	
	25 Temporarily restricted	13,465,207.	9,518,856.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	42,148,695.	37,776,120.	
	31 Total liabilities and net assets/fund balances	42,818,910.	38,589,806.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	42,148,695.
2 Enter amount from Part I, line 27a	2	-3,855,293.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	38,293,402.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSSES ON INVESTMENTS	5	517,282.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	37,776,120.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LEGACY VENTURE IV, LLC	P	01/01/18	12/31/18
b PUBLICLY TRADED SECURITIES	D	01/05/18	12/10/18
c FUNDS AT MORGAN STANLEY	P	01/01/18	12/31/18
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 146,725.		93,603.	53,122.
b 2,131,689.		673,741.	1,457,948.
c 7,730,463.		7,732,713.	-2,250.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			53,122.
b			1,457,948.
c			-2,250.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,508,820.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	50,872.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	11,009,276.	30,109,395.	.365643
2016	9,314,139.	30,860,211.	.301817
2015	7,327,640.	27,765,109.	.263915
2014	6,018,824.	23,173,856.	.259725
2013	4,700,178.	22,739,851.	.206693

2 Total of line 1, column (d)	2	1.397793
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.279559
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	28,326,406.
5 Multiply line 4 by line 3	5	7,918,902.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	22,978.
7 Add lines 5 and 6	7	7,941,880.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	11,546,512.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	22,978.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	22,978.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	22,978.
6 Credits/Payments			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	17,000.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	7,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	24,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,022.	
11 Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ 0. Refunded ☒	11	1,022.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☒ CA, MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.DRKFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>MARY LACHNIT</u> Telephone no. ► <u>650-319-7808</u> Located at ► <u>1600 EL CAMINO REAL, SUITE 155, MENLO PARK, CA</u> ZIP+4 ► <u>94025</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☒ Yes ☐ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here	☐	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		
SEE STATEMENT 16	☒ Yes ☐ No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		748,028.	189,399.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARTER MITCHELL STEWART - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR	233,698.	92,208.	0.
STEPHANIE FERN CORNELL - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR	216,200.	98,208.	0.
NATHALIE KATRINA LAIDLER-KYLANDER - 1600 EL CAMINO REAL #155, MENLO	MANAGING DIRECTOR	205,308.	96,617.	0.
WILLIAM RICHARD RODRIGUEZ - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR	207,150.	61,976.	0.
NANCY HUANG - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	CHIEF OF STAFF	180,575.	63,996.	0.

Total number of other employees paid over \$50,000

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 17	5,780,048.
2 SEE STATEMENT 18	4,859,233.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 THE RENEWAL WORKSHOP TO REPAIR AND RESELL BRAND-NAME APPAREL TO REDUCE LANDFILL.	200,000.
2 COWTRIBE - TO HELP LIVESTOCK FARMERS IN GHANA TO KEEP THEIR LIVESTOCK HEALTHY.	200,000.
All other program-related investments. See instructions.	
3 SEE STATEMENT.	
	930,048.
Total. Add lines 1 through 3	1,330,048.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	26,091,257.
b	Average of monthly cash balances	1b	1,237,834.
c	Fair market value of all other assets	1c	1,428,682.
d	Total (add lines 1a, b, and c)	1d	28,757,773.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	28,757,773.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	431,367.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	28,326,406.
6	Minimum investment return. Enter 5% of line 5	6	1,416,320.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2018 from Part VI, line 5	2a	
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	10,216,464.
b	Program-related investments - total from Part IX-B	1b	1,330,048.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	11,546,512.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	22,978.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,523,534.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2018)

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only				
b Total for prior years				
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income: Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

12/12/01

- b Check box to indicate whether the foundation is a private operating foundation described in section

☒ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a

- c Qualifying distributions from Part XII, line 4 for each year listed

- d Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon

- a "Assets" alternative test - enter (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2018	(b) 2017	(c) 2016	(d) 2015	
110.	0.	0.	0.	110.
0.	0.	0.	0.	0.
11,546,512.	11,025,559.	9,343,186.	7,365,026.	39,280,283.
0.	0.	0.	0.	0.
11,546,512.	11,025,559.	9,343,186.	7,365,026.	39,280,283.
1				110.
				0.
944,213.	1,003,647.	1,028,674.	925,503.	3,902,037.
				0.
				0.
				0.
				0.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 19

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed

SEE STATEMENT 20

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICA ACHIEVES ONE THOMAS CIRCLE NW, SUITE 700 WASHINGTON, DC 20005		PC	PROJECT: MERIT AMERICA	50,000.
BAREFOOT LAW PLOT 1544, KOIRE CLOSE, BUKOTO KAMPALA, UGANDA		NC	GENERAL OPERATING SUPPORT	100,000.
BRAVEN (FORMERLY BEYOND Z) 1046 WEST KINZIE STREET, SUITE 301 CHICAGO, IL 60642		PC	GENERAL OPERATING SUPPORT	50,000.
CAST (COMMUNITY ARTS STABILIZATION TRUST) 70 OTIS STREET SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	50,000.
CATIE'S CLOSET 19 SCHOOL STREET DRACUT, MA 01826		PC	GENERAL OPERATING SUPPORT	50,000.
Total	SEE CONTINUATION SHEET(S)			4,300,000.
b Approved for future payment				
KHEYTI INC. 444 WASHINGTON BLVD, SUITE 4313 NEW YORK, NY 10027		PC	GENERAL OPERATING SUPPORT	100,000.
URBAN JUSTICE CENTER 40 RECTOR STREET, 9TH FLOOR NEW YORK, NY 10006		PC	PROJECT: INTERNATIONAL REFUGEE ASSISTANCE PROJECT	50,000.
Total				150,000.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|-------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions.
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | Yes | No |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | | | |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

CHIEF EXECUTIVE
OFFICER

May the IRS discuss this return with the preparer shown below? See instr

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ self-employed

PTIN

MAGA E. KISRIV

11/7/19

P01008919

Firm's name ► HOOD & STRONG LLP

Firm's EIN ► 94-1254756

Firm's address ► 275 BATTERY ST, STE 900
SAN FRANCISCO, CA 94111

Phone no. **415.781.0793**

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

Employer identification number

DRAPER RICHARDS KAPLAN FOUNDATION

91-2172351

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

DRAPER RICHARDS KAPLAN FOUNDATION

91-2172351

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ADOLPH COORS FOUNDATION 215 SAINT PAUL STREET, SUITE 300 DENVER, CO 80206	\$ 600,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	DAVID POTTRUCK 201 SPEAR ST., SUITE 1750 SAN FRANCISCO, CA 94105	\$ 201,820.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
3	FIDELITY CHARITABLE 200 SEAPORT BLVD, MAIL ZONE NCW4B BOSTON, MA 02210	\$ 400,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	JONES DAY 77 WEST WACKER, SUITE 3500 CHICAGO, IL 60601	\$ 90,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
5	KRESGE FOUNDATION 3215 WEST BIG BEAVER TROY, MI 48084	\$ 600,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
6	MARIN COMMUNITY FOUNDATION 5 HAMILTON LANDING, SUITE 200 NOVATO, CA 94949	\$ 250,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

DRAPER RICHARDS KAPLAN FOUNDATION

91-2172351

Part I. **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	NANCY FARESE 10 W CEDAR STREET BOSTON, MA 02108	\$ 515,185.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
8	PERKINS REVOCABLE TRUST 4836 MAIN STREET, SUITE 102 MOSS POINT, MS 39563	\$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
9	PEW CHARITABLE TRUSTS 2005 MARKET STREET, SUITE 2800 PHILADELPHIA, PA 19103	\$ 300,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
10	ROBERTA AND JAMES R. GATES 433 EL ARROYO ROAD HILLSBOROUGH, CA 94010	\$ 1,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
11	STEPHEN J. MCKEE FOUNDATION P.O. BOX 1146 TROY, NY 12181	\$ 500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
12	THE COLUMBUS FOUNDATION 1234 EAST BROAD STREET COLUMBUS, OH 43205	\$ 400,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization DRAPER RICHARDS KAPLAN FOUNDATION	Employer identification number 91-2172351
--	---

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	THE CROTTY FAMILY FOUNDATION 1 MARINA PARK DRIVE, NO. 1150 BOSTON, MA 02210	\$ 62,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
14	THE DRAPER FOUNDATION 55 EAST THIRD AVENUE SAN MATEO, CA 94401	\$ 60,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
15	THE MARGARET BROWN DE CLERCQ FUND 3833 CLEGHORN AVE, SUITE 400 NASHVILLE, TN 37215	\$ 135,393.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
16	THE SAN FRANCISCO FOUNDATION ONE EMBARCADERO CENTER, NO. 1400 SAN FRANCISCO, CA 94111	\$ 1,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
17	VANGUARD CHARITABLE 2670 WARWICK AVENUE WARWICK, RI 02889	\$ 400,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

DRAPER RICHARDS KAPLAN FOUNDATION

91-2172351

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	1,820 SHARES MICROSOFT CORP	\$ 201,820.	12/03/18
7	2,750 SHARES S&P MIDCAP 400 INDEX	\$ 515,185.	04/04/18
15	12 SHARES PALO ALTO NETWORKS, 220 SHARES WORKDAY INC, AND 1,060 SHARES PROCTER & GAMBLE	\$ 135,393.	12/07/18
		\$	
		\$	
		\$	

Name of organization

Employer identification number

DRAPER RICHARDS KAPLAN FOUNDATION**91-2172351****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COALFIELD DEVELOPMENT P.O. BOX 1133 WAYNE, WV 25570		PC	GENERAL OPERATING SUPPORT	50,000.
COMMON MARKET 428 EAST ERIE AVENUE PHILADELPHIA, PA 19134		PC	GENERAL OPERATING SUPPORT	100,000.
COMMUNITY PARTNERS 1000 N. ALAMEDA ST. SUITE 240 LOS ANGELES, CA 90012		PC	PROJECT: CENTER FOR GOOD FOOD PURCHASING	50,000.
DETROIT JUSTICE CENTER 1420 WASHINGTON BLVD, SUITE 301 DETROIT, MI 48226		PC	GENERAL OPERATING SUPPORT	50,000.
DIGDEEP P.O. BOX 26779 LOS ANGELES, CA 90026		PC	GENERAL OPERATING SUPPORT	50,000.
DIGIAL NEST 1961 MAIN STREET, #221 WATSONVILLE, CA 95076		PC	GENERAL OPERATING SUPPORT	50,000.
EDBUILD 140 BAY STREET, SUITE 2 JERSEY CITY, NJ 07302		PC	GENERAL OPERATING SUPPORT	50,000.
EDUCATION OPENS DOORS P.O. BOX 601971 DALLAS, TX 75360		PC	GENERAL OPERATING SUPPORT	100,000.
EFORALL 175 CABOT STREET, SUITE 100 LOWELL, MA 01854		PC	GENERAL OPERATING SUPPORT	100,000.
EMPOWER SCHOOLS 24 SCHOOL STREET, 3RD FLOOR BOSTON, MA 02108		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				4,000,000.

Part XV. Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
ESSIE JUSTICE GROUP 300 FRANK H OGAWA PLAZA #420 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	100,000.
FATHERS UPLIFT 100 WARREN STREET BOSTON, MA 02119		PC	GENERAL OPERATING SUPPORT	100,000.
FEEDING TEXAS PRODUCE CO-OP 1524 SOUTH IH-35, STE. 342 AUSTIN, TX 78704		PC	GENERAL OPERATING SUPPORT	150,000.
FOOD FOR EDUCATION P.O BOX 65737-00607 NAIROBI, KENYA 00100		NC	GENERAL OPERATING SUPPORT	100,000.
FOOD FORWARD INC. 7412 FULTON AVE. #3 NORTH HOLLYWOOD, CA 91605		PC	GENERAL OPERATING SUPPORT	50,000.
FUND FOR THE CITY OF NY 121 SIXTH AVENUE 6TH FL NEW YORK, NY 10013		PC	PROJECT: POWER OF TWO	50,000.
GLOBALXPLOER 1500 1ST AVE N STE F104 BIRMINGHAM, AL 35203		PC	GENERAL OPERATING SUPPORT	100,000.
GREENWAVE 315 FRONT ST NEW HAVEN, CT 06513		PC	GENERAL OPERATING SUPPORT	100,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE, SUITE 236 NEW YORK, NY 10004		PC	GENERAL OPERATING SUPPORT	50,000.
INDUS ACTION INITIATIVES B-19 DEFENCE COLONY NEW DELHI, INDIA 110024		NC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JUSTICE RAPID RESPONSE 205 EAST 42ND SREET, 20TH FLOOR NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	50,000.
LABORATORIA 61 GREENPOINT AVE STE 114 BROOKLYN, NY 11222		PC	GENERAL OPERATING SUPPORT	100,000.
LANDED, INC. 450 TOWNSEND STREET, #201 SAN FRANCISCO, CA 94107		NC	GENERAL OPERATING SUPPORT	50,000.
MICROBIOME HEALTH RESEARCH INSTITUTE, INC. AKA OPENBIOME 2067 MASSACHUSETTS AVE CAMBRIDGE, MA 02140		PC	GENERAL OPERATING SUPPORT	50,000.
NEW STORY 870 MARKET STREET, SUITE 1142 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	50,000.
NOORA HEALTH 2443 FILLMORE STREET, #380-3203 SAN FRANCISCO, CA 94115		PC	GENERAL OPERATING SUPPORT	100,000.
NUDGE FOUNDATION 1227 WILLOWDALE LN IRVING, TX 75063		PC	GENERAL OPERATING SUPPORT	100,000.
OCEANMIND ELECTRON BUILDING, FERMI AVENUE, HARWELL DIDCOT, UNITED KINGDOM OX11 0QR		NC	GENERAL OPERATING SUPPORT	200,000.
OPEN DOOR LEGAL 4622 3RD ST SAN FRANCISCO, CA 94124		PC	GENERAL OPERATING SUPPORT	100,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
POLLINATE ENERGY LEVEL 2, 4/1, MILLERS TANK BUND RD VASANTH NAGAR, BENGALURU, KARNATAKA, INDIA 560051		NC	GENERAL OPERATING SUPPORT	100,000.
RAINFOREST CONNECTION 77 VAN NESS AVE, SUITE 101-1717 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	50,000.
REPLATE 1759 ALCATRAZ AVE BERKELEY, CA 94703		PC	GENERAL OPERATING SUPPORT	50,000.
RULING OUR EXPERIENCES (ROX) 420 CHARTIERS AVENUE MCKEES ROCKS, PA 15136		PC	GENERAL OPERATING SUPPORT	100,000.
SAFE PASSAGES 250 FRANK OGAWA PLZ STE 6306 OAKLAND, CA 94612		PC	PROJECT ADVANCE PEACE	50,000.
SAVELIFE FOUNDATION USA 200 E 61ST APT 254B NEW YORK, NY 10065		PC	GENERAL OPERATING SUPPORT	100,000.
SERVICE YEAR EXCHANGE 1400 EYE ST. NW, SUITE 900 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	100,000.
SIMPRINTS TECHNOLOGY THE BRADFELD CENTRE, UNIT 184, MILTON ROAD CAMBRIDGE, CAMBRIDGESHIRE, UNITED KINGDOM		NC	GENERAL OPERATING SUPPORT	100,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVE, #224 NEW YORK, NY 10016		PC	GENERAL OPERATING SUPPORT	100,000.
STRONGMINDS 515 VALLEY STREET, SUITE 6 MAPLEWOOD, NJ 07040		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

Part XV. Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
THE EARTH GENOME 121 PEPPER DRIVE LOS ALTOS, CA 94022		PC	GENERAL OPERATING SUPPORT	100,000.
THE GROUNDTRUTH PROJECT 10 GUEST STREET BOSTON, MA 02135		PC	GENERAL OPERATING SUPPORT	100,000.
THE TIDES CENTER DEPOSITORY ACCOUNT 1014 TORNEY AVE SAN FRANCISCO, CA 94129		PC	PROJECTS: EYELLIANCE AND FOSTER AMERICA	200,000.
TINY TOTOS BROOKSIDE DRIVE ROUNDABOUT LOWER KABETE ROAD NAIROBI, KENYA		NC	GENERAL OPERATING SUPPORT	50,000.
TRANSCEND EDUCATION 159 LINCOLN AVENUE NEW YORK, NY 10706		PC	GENERAL OPERATING SUPPORT	50,000.
UPTRUST 3981 25TH STREET SAN FRANCISCO, CA 94114		NC	GENERAL OPERATING SUPPORT	50,000.
URBAN JUSTICE CENTER 40 RECTOR STREET, 9TH FLOOR NEW YORK, NY 10006		PC	PROJECT: INTERNATIONAL REFUGEE ASSISTANCE PROJECT	50,000.
VOTE.ORG 1270 GROVE STREET #301 SAN FRANCISCO, CA 94117		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
LEGACY VENTURE IV, LLC	PURCHASED	01/01/18	12/31/18	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
146,725.	45,496.	0.	0.	101,229.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
FUNDS AT MORGAN STANLEY	PURCHASED	01/01/18	12/31/18	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
7,730,463.	7,732,713.	0.	0.	-2,250.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	98,979.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	855,723.	857,968.	857,968.
TOTAL TO PART I, LINE 3	855,723.	857,968.	857,968.

FORM 990-PF	OTHER INCOME		STATEMENT 3
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH INCOME	0.	8,948.	8,948.
EXCISE TAX REFUND	28,951.	0.	28,951.
TOTAL TO FORM 990-PF, PART I, LINE 11	28,951.	8,948.	37,899.

FORM 990-PF	LEGAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED- NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	24,880.	0.	24,880.	0.
TO FM 990-PF, PG 1, LN 16A	24,880.	0.	24,880.	0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	33,400.	0.	33,400.	0.
TO FORM 990-PF, PG 1, LN 16B	33,400.	0.	33,400.	0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	293,590.	66,254.	227,336.	0.
TO FORM 990-PF, PG 1, LN 16C	293,590.	66,254.	227,336.	0.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	24,288.	0.	0.	24,288.
TO FORM 990-PF, PG 1, LN 18	24,288.	0.	0.	24,288.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ENTREPRENEURIAL EXPENSES	540,000.	0.	540,000.	0.
MEALS & ENTERTAINMENT	109,102.	0.	109,102.	0.
DONORS EVENT	96,617.	0.	96,617.	0.
DUES & SUBSCRIPTIONS	48,225.	0.	48,225.	0.
COMMUNICATIONS	60,523.	0.	60,523.	0.
OFFICE SUPPLIES	50,883.	0.	50,883.	0.
MARKETING	24,034.	0.	24,034.	0.
STAFF TRAINING & DEVELOPMENT	49,924.	0.	49,924.	0.
COMPUTER SUPPLIES & EQUIPMENT	19,571.	0.	19,571.	0.
INSURANCE	15,583.	0.	15,583.	0.
WEBSITE	7,055.	0.	7,055.	0.
REPAIRS & SERVICES	4,517.	0.	4,517.	0.
POSTAGE & DELIVERY	4,346.	0.	4,346.	0.
PASSTHROUGH DEDUCTIONS	0.	11,645.	0.	0.
EXEMPT FUNCTION EXPENSES				
EXCEEDING INCOME	0.	0.	-5,892,176.	5,892,176.
TO FORM 990-PF, PG 1, LN 23	1,030,380.	11,645.	-4,861,796.	5,892,176.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT 9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MS FUND III GOVERNMENT SECURITIES	X		300,787.	300,787.
MS FUND III MUNICIPAL BONDS		X	249,574.	249,574.
TOTAL U.S. GOVERNMENT OBLIGATIONS			300,787.	300,787.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			249,574.	249,574.
TOTAL TO FORM 990-PF, PART II, LINE 10A			550,361.	550,361.

FORM 990-PF		CORPORATE BONDS	STATEMENT 10
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
MS FUND III FIXED INCOME- SEE ATTACHMENT A		23,241,507.	23,241,507.
TOTAL TO FORM 990-PF, PART II, LINE 10C		23,241,507.	23,241,507.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 11
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LEGACY VENTURES IV, LLC	FMV	847,271.	847,271.
TOTAL TO FORM 990-PF, PART II, LINE 13		847,271.	847,271.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT		STATEMENT 12
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE	63,200.	38,116.	25,084.
EQUIPMENT	21,858.	12,127.	9,731.
LEASEHOLD IMPROVEMENTS	42,517.	22,777.	19,740.
INTANGIBLE ASSETS	73,394.	53,007.	20,387.
TOTAL TO FM 990-PF, PART II, LN 14	200,969.	126,027.	74,942.

FORM 990-PF	OTHER ASSETS		STATEMENT 13
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS	40,946.	42,626.	42,626.
EMPLOYEE ADVANCES	10,247.	0.	0.
INTEREST RECEIVABLE	0.	42,381.	42,381.
AVANTI	97,363.	97,363.	97,363.
FINCH THERAPEUTICS, INC	150,000.	150,000.	150,000.
LANDED, INC	150,000.	150,000.	150,000.
UPTRUST	200,000.	200,000.	200,000.
KINVOLVED	150,000.	150,000.	150,000.
CLOUD TO STREET	0.	75,000.	75,000.
COWTRIBE TECHNOLOGY LIMITED	0.	200,000.	200,000.
KANPUR FLOWERCYCLING	0.	155,048.	155,048.
MACRO-EYES, INC.	0.	150,000.	150,000.
MATONTINE, INC.	0.	150,000.	150,000.
THE RENEWAL WORKSHOP, INC.	0.	200,000.	200,000.
SUYO PUBLIC BENEFIT CORP	0.	150,000.	150,000.
WASH CYCLE LAUNDRY, INC.	0.	150,000.	150,000.
WATTTIME CORP	0.	100,000.	100,000.
TO FORM 990-PF, PART II, LINE 15	798,556.	2,162,418.	2,162,418.

FORM 990-PF	OTHER LIABILITIES		STATEMENT 14
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
ACCRUED PAYROLL LIABILITIES	46,562.	44,720.	
TOTAL TO FORM 990-PF, PART II, LINE 22	46,562.	44,720.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 15
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM H. DRAPER III 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 20.00	0.	0.	0.
ROBIN RICHARDS DONOHUE 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
ROBERT S. KAPLAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
JAMES BILDNER 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF EXECUTIVE OFFICER 50.00	335,181.	82,769.	0.
CHRISTINE REMEY CHIN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	MANAGING PARTNER 50.00	229,450.	23,652.	0.
JARED THEODORE LEIDERMAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF FINANCIAL OFFICER 50.00	183,397.	82,978.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		748,028.	189,399.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 16

GRANTEE'S NAME

LANDED, INC.

GRANTEE'S ADDRESS

148 TOWNSEND ST, #201
SAN FRANCISCO, CA 94107

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
100,000.	03/17/17	100,000.	10/19/19

PURPOSE OF GRANT

HELP ESSENTIAL EDUCATORS, STARTING WITH PUBLIC EDUCATORS, BUILD FINANCIAL SECURITY NEAR THE COMMUNITIES THEY SERVE.

DATES OF REPORTS BY GRANTEE

10/19/2019

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

LANDED HAS LAUNCHED DOWN PAYMENT ASSISTANCE PROGRAMS FOR EDUCATORS IN SAN FRANCISCO, LOS ANGELES, SEATTLE, PORTLAND, DENVER, HAWAII, SAN DIEGO, AND BOULDER. THEY HAVE HELPED OVER 250 EDUCATORS BUY HOMES.

GRANTEE'S NAME

UPTRUST, INC.

GRANTEE'S ADDRESS

156 2ND STREET
SAN FRANCISCO, CA 94105

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
250,000.	06/30/17	150,000.	10/18/19

PURPOSE OF GRANT

LOWER THE NUMBER OF PEOPLE IN JAIL BY ELIMINATING TECHNICAL VIOLATIONS SUCH AS FAILING TO APPEAR AT COURT.

DATES OF REPORTS BY GRANTEE

10/18/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

AT THE BEGINNING OF 2018, UPTRUST HAD ONLY 3 SITES AND HAD REACHED 5,000 PEOPLE. IN TURN UPTRUST KEPT APPROXIMATELY 500 PEOPLE OUT OF JAIL ON TECHNICAL VIOLATIONS. IN 2018, UPTRUST EXPANDED TO OVER 10 SITES AND STARTED REACHING 50,000 PEOPLE. THE INVESTMENT ALLOWED UPTRUST TO HIRE STAFF THAT ALLOWED THEM TO EXPAND THEIR REACH AND IMPACT MORE PEOPLE.

GRANTEE'S NAME

COWTRIBE TECHNOLOGY LTD

GRANTEE'S ADDRESS

212 BLCK A. WATER WORKS ROAD
TAMALE, GHANA

GRANT AMOUNT

200,000.

DATE OF GRANT

10/29/18

AMOUNT EXPENDED

35,740.

VERIFICATION DATE

04/12/19

PURPOSE OF GRANT

SUPPORT TO DELIVER LIFE-SAVING ANIMAL VACCINES AND OTHER SERVICES TO
LOW-INCOME FARMERS IN GHANA.

DATES OF REPORTS BY GRANTEE

04/12/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

TECHNOLOGY PLATFORM IS 80% COMPLETE, INCREASED VACCINE COVERAGE TO THREE
NEW DISTRICTS AND SUBSCRIBED OVER 6,000 NEW FARMERS IN THE PAST 3 MONTHS.

GRANTEE'S NAME

FOOD FOR EDUCATION FOUNDATION

GRANTEE'S ADDRESS

P.O BOX 65737-00607
NAIROBI, KENYA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
100,000.	05/30/18	100,000.	03/05/19

PURPOSE OF GRANT

PROVIDE SCHOOL MEALS TO PUBLIC PRIMARY SCHOOL CHILDREN IN AFRICA.

DATES OF REPORTS BY GRANTEE

03/05/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

FOOD FOR EDUCATION HAS INCREASED NUMBER OF STUDENTS SERVED A DAY FROM 1,500 TO 10,000.

GRANTEE'S NAME

MACRO-EYES, INC.

GRANTEE'S ADDRESS

30002 ISSAQUAH FALL CITY RD
FALL CITY, WA 98024

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
150,000.	07/27/18	93,048.	02/18/19

PURPOSE OF GRANT

GROWTH AND SCALE OF PRODUCT SIBYL (THE SOFTWARE MACRO-EYES DEVELOPED TO EXTEND THE REACH AND ABILITY OF HEALTHCARE PROVIDERS) AND GLOBAL HEALTH IMPACT.

DATES OF REPORTS BY GRANTEE

02/18/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

PILOT IS LIVE AT ARKANSAS HEART HOSPITAL, EXPANSION OF SIBYL PILOTS TO UAMS, PARTNERSHIP AGREEMENT ESTABLISHED AND SIGNED BETWEEN MACRO-EYES AND THE LARGEST PRIMARY CARE ASSOCIATION IN THE COUNTRY. GLOBAL HEALTH IMPACT HAS EXPANDED AS MACRO-EYES BECAME EXPERT PRESENTER TO WORLD HEALTH ORGANIZATION AND GATES FOUNDATION. PARTNERSHIPS HAVE BEEN ESTABLISHED WITH NEW GLOBAL DEVELOPMENT ORGANIZATIONS.

GRANTEE'S NAME

MATONTINE, INC.

GRANTEE'S ADDRESS

3510 BAINBRIDGE AVE, SUITE S5
BRONX, NY 10467

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
150,000.	04/05/18	120,000.	04/17/19

PURPOSE OF GRANT

SUPPORT MATONTINE'S EFFORT TO PROVIDE ACCESS TO FINANCIAL SERVICES TO LOW INCOME POPULATION IN WEST AFRICA & OTHER REGIONS.

DATES OF REPORTS BY GRANTEE

04/17/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

MATONTINE HAS BEEN SUCCESSFUL IN PROVIDING A RANGE OF FINANCIAL SERVICES: LOANS, HEALTH & LIFE INSURANCE TO TARGET GROUP OF POOR (MOSTLY WOMEN). MATONTINE HAS ALSO BEEN VERY SUCCESSFUL IN ATTRACTING PARTNERS LIKE MFI'S, INSURERS, AND TELECO OPERATORS TO FACILITATE THIS PROCESS.

GRANTEE'S NAME

OCEANMIND LIMITED

GRANTEE'S ADDRESS

ELECTRON BUILDING, FERMI AVENUE, HARWELL
OXFORD, UNITED KINGDOM, OX11 0QR

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
200,000.	07/25/18	200,000.	02/12/19

PURPOSE OF GRANT

PROVIDE ACTIONABLE INSIGHTS INTO FISHING AND FISHING VESSEL COMPLIANCE IN ORDER TO INCREASE THE SUSTAINABILITY OF FISHING GLOBALLY.

DATES OF REPORTS BY GRANTEE

02/12/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

HELPED THAILAND RECEIVE AN EU GREEN CARD THROUGH CAPACITY TRAINING AND DIRECT SUPPORT. HELPED CAPTURE STS-50, FREEING UP TO 14 TRAFFICKED PERSONS. MONITORED OVER 5 MILLION SQUARE KILOMETERS OF OCEAN (1.4%).

GRANTEE'S NAME

SIMPRINTS TECHNOLOGY LTD.

GRANTEE'S ADDRESS

THE BRADFELD CENTRE, UNIT 184, CAMBRIDGE SCIENCE PARK, MILTON ROAD
CAMBRIDGE, CAMBRIDGESHIRE, UNITED KINGDOM

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
100,000.	04/21/17	100,000.	05/08/19

PURPOSE OF GRANT

PERSONNEL COSTS.

DATES OF REPORTS BY GRANTEE

05/08/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

OVER THE PAST YEAR, SIMPRINTS'S HEADCOUNT HAS GROWN BY OVER 100%. THE ADDITIONAL BANDWIDTH HAS HELPED DELIVER AT SCALE, BUILD A STRONG REVENUE PIPELINE FOR THE FUTURE, AND START WORKING TOWARDS LAUNCHING A CAMERA PRODUCT IN 2019. SIMPRINTS IS CONTRACTED TO REACH 4.1M BENEFICIARIES BY THE END OF 2021, INCREASING THEIR BENEFICIARY NUMBERS BY OVER 1600%.

GRANTEE'S NAME

SUYO PUBLIC BENEFIT CORPORATION

GRANTEE'S ADDRESS

2309 34TH AVE. S
SEATTLE, WA 98144

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
150,000.	12/12/18	39,625.	03/01/19

PURPOSE OF GRANT

TO SUPPORT PROPERTY FORMALIZATION SERVICES FOR LOW-INCOME FAMILIES IN COLUMBIA.

DATES OF REPORTS BY GRANTEE

03/01/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

IN THE MONTH OF DECEMBER, 113 LOW-INCOME INDIVIDUALS RECEIVED PROPERTY DIAGNOSTIC AND FORMALIZATION SERVICES FROM SUYO.

GRANTEE'S NAME

THE RENEWAL WORKSHOP, INC.

GRANTEE'S ADDRESS184 HERMAN CREEK LN, #101
CASCADE LOCKS, OR 97014

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
200,000.	11/22/17	200,000.	10/30/19

PURPOSE OF GRANT

TO REDUCE WASTE FROM THE APPAREL INDUSTRY AND ITS ASSOCIATED NEGATIVE ENVIRONMENTAL CONSEQUENCES.

DATES OF REPORTS BY GRANTEE

10/30/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

THE RENEWAL WORKSHOP HAS BEEN ABLE TO DIVERT OVER 100,000 LBS. OF TEXTILE WASTE FROM LANDFILL AND HAVE 20 DIFFERENT BRANDS STOPPING THEIR PRODUCTS FROM BECOMING WASTE AND DIVERTING IT INTO THE CIRCULAR ECONOMY. THEY HAVE GENERATED OVER \$500,000 OF PRODUCT SALES IN THE CIRCULAR ECONOMY. THEY ARE ALSO SHOWING THAT THERE IS WASTE, WATER, ENERGY, AND CARBON REDUCTION TO BE HAD WITH RENEWAL AND THAT THERE IS REVENUE TO BE GENERATED.

GRANTEE'S NAME

TINY TOTOS KENYA LIMITED

GRANTEE'S ADDRESS

14473-00800
WESTLANDS, NAIROBI, KENYA

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
50,000.	07/17/18	27,797.	02/08/19

PURPOSE OF GRANT

TINY TOTOS' GOAL IS TO TRANSFORM PROSPECTS FOR VULNERABLE MOTHERS AND CHILDREN LIVING IN SLUMS BY BUILDING A NETWORK OF STANDARD-SETTING, SELF-SUSTAINING URBAN DAYCARES EACH DELIVERING ENHANCED SERVICE TO CUSTOMERS WHILE GENERATING VALUE THROUGH ASSOCIATION TO FINANCE OPERATIONS.

DATES OF REPORTS BY GRANTEE

02/08/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

IN THE LAST SIX MONTHS, TINY TOTOS HAS BEEN ABLE TO SCALE UP AND BRING ON BOARD 30 NEW PARTNERS BRINGING THE TOTAL NUMBER OF DAYCARES IN THEIR NETWORK TO 55. SUBSEQUENTLY TINY TOTOS IS NOW ABLE TO REACH OUT TO MORE PEOPLE IMPACT A LARGER POPULATION. STEPS HAVE ALSO BEEN TAKEN TO BUILD THEIR OWN CAPACITY INTERNALLY TO ENABLE TINY TOTOS TO DELIVER ON THE GOALS AND OBJECTIVES SUCH AS HIRING OF A KNOWLEDGE MANAGER, ONBOARDING OF COMMUNITY HEALTH VOLUNTEERS AND KICK STARTING THE DEVELOPMENT OF A FIELD REPORTING AND DATA APP. TINY TOTOS ALSO REVIEWED THEIR BOARD AND BROUGHT IN NEW MEMBERS TO IMPROVE ON OVERSIGHT, ACCOUNTABILITY AND PROVIDE INSIGHT DURING DECISION MAKING.

GRANTEE'S NAME

WASH CYCLE LAUNDRY INC.

GRANTEE'S ADDRESS

230 S BROAD ST
PHILADELPHIA, PA 19102

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
150,000.	01/31/18	150,000.	10/29/19

PURPOSE OF GRANT

TO SUPPORT THE CREATION OF "SECOND CHANCE" EMPLOYMENT OPPORTUNITIES, ESPECIALLY FOR ADULTS FACING SIGNIFICANT BARRIERS TO WORK, AND THE CODIFICATION AND DISSEMINATION OF SUCH PRACTICES SUCH THAT THEY CAN BE ADOPTED BY OTHER EMPLOYERS.

DATES OF REPORTS BY GRANTEE

10/29/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

IN 2018, WASH CYCLE LAUNDRY CLOSED FINANCING, BUILT, AND BEGAN OPERATIONS IN THEIR BOSTON PLANT. THEY MADE HIRES FROM THREE LOCAL NONPROFITS AND DELIVERED AN INTENSIVE TWO-WEEK ONBOARDING PROGRAM PRIOR TO PLANT OPENING. WASH CYCLE LAUNDRY ALSO OVERCAME SEVERAL SIGNIFICANT CHALLENGES AND OBSTACLES RELATED TO THE OPENING OF THE PLANT.

GRANTEE'S NAME

BAREFOOT LAW

GRANTEE'S ADDRESS

PLOT 1544, KOIRE CLOSE, BUKOTO
KAMPALA, UGANDA

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
100,000.	12/19/17	95,939.	10/31/19

PURPOSE OF GRANT

FOR CHARITABLE PURPOSES TOWARDS ORGANIZATIONAL GROWTH AND DEVELOPMENT OF BAREFOOTLAW IN PREPARATION FOR REACHING ITS GOALS TO MAKE ACCESS TO JUSTICE AND THE LAW READILY AVAILABLE TO UNDERSERVED COMMUNITIES IN AFRICA.

DATES OF REPORTS BY GRANTEE

10/31/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

A) DEVELOPMENT OF A TECHNOLOGY PLATFORM: TECH PLATFORM CALLED BIOS FULLY DEVELOPED AND IMPLEMENTED. THE TECH PLATFORM SERVES AS A BACKBONE FOR ALL BAREFOOTLAW ACTIVITIES AND INCLUDES AN AI LAWYER, WINNIE, WHO LEVERAGES THE DATA TO OFFER LEGAL GUIDANCE TO THE LAWYERS. B) ORGANIZATIONAL GROWTH AND DEVELOPMENT: A NUMBER OF VOLUNTEER STAFF WERE UPGRADED TO FULL TIME EMPLOYEES. THE FULL-TIME STAFF HAVE SINCE GROWN FROM 3 TO 25 DURING THE GRANT PERIOD. C) COMMUNITY OUTREACH PROGRAMS: COMMUNITY OUTREACHES CARRIED OUT IN THE MOST RURAL AND HARD TO REACH AREAS OF UGANDA. THIS INCLUDES SETTING UP OF COMMUNITY MEDIATORS. D) THOUGHT LEADERSHIP: ADVOCACY TOWARDS ADOPTION OF TECHNOLOGY FOR LEGAL PRACTICE AND ACCESS TO JUSTICE WAS CARRIED OUT. THIS INCLUDES PUBLICATIONS AND CONFERENCES DIRECTED AT SYSTEMS CHANGE.

GRANTEE'S NAME

CLOUD TO STREET

GRANTEE'S ADDRESS

68 3RD STREET
BROOKLYN, NY 11231

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
75,000.	09/27/18	75,000.	11/01/19

PURPOSE OF GRANT

TO SUPPORT CLOUD TO STREET IN ENSURING THAT ALL CLIMATE VULNERABLE COMMUNITIES CAN PREPARE AND RESPOND TO FLOODING AND HAS ACCESS TO FINANCIAL PROTECTION BY REDUCING THE BARRIERS TO THE NECESSARY INFORMATION.

DATES OF REPORTS BY GRANTEE

11/1/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

CLOUD TO STREET CREATED AN OPERATIONAL NEW NEAR REAL-TIME FLOOD DETECTION SYSTEM FOR ONE COUNTRY—THE REPUBLIC OF CONGO—USING MANY PUBLIC SATELLITES INCLUDING LANDSAT, MODIS, AND SENTINEL-2. THE SYSTEM WAS TESTED AND VALIDATED WITH USERS ON THE GROUND.

GRANTEE'S NAME

KANPUR FLOWERCYCLING PVT. LTD.

GRANTEE'S ADDRESS

ARAAZI 428, 429, BHAUNTI, PRATAPPUR
KANPUR, UTTAR PRADESH, INDIA, 209305

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
155,048.	12/17/18	119,779.	10/31/19

PURPOSE OF GRANT

TO FUND AND SUPPORT EFFORTS TO IMPROVE ENVIRONMENTAL CONDITIONS AND PROVIDE
EMPLOYMENT OPPORTUNITIES TO LOW-INCOME INDIANS.

DATES OF REPORTS BY GRANTEE

10/31/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

KANPUR FLOWERCYCLING COMPLETED THE PILOT INCENSE FACTORY AT KANPUR, UTTAR
PRADESH, HIRED CORE STAFF AND EMPLOYED/TRAINED WOMEN FROM THE MANUAL
SCAVENGING COMMUNITY IN INDIA. THE FUNDS WERE ALSO UTILIZED TO DEVELOP THE
WORLD'S FIRST ANIMAL-FREE LEATHER SUBSTITUTE MADE FROM FARM WASTE.

GRANTEE'S NAME

INDUS ACTION

GRANTEE'S ADDRESS

G-7, 2ND FLOOR, LAJPAT NAGAR III
NEW DELHI, INDIA, 110024

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
100,000.	11/29/16	83,126.	11/05/19

PURPOSE OF GRANT

TO SUPPORT AND DEVELOP INDUS ACTION INITIATIVES OVER THE COURSE OF 3 YEARS TO ACHIEVE THE FOLLOWING MILESTONES VIS A VIS RTE 12.1.C IMPLEMENTATION IN INDIA: (1) CUMULATIVE STUDENT ENROLLMENT OF 128,163 ACROSS 10 STATES IN INDIA, (2) AVERAGE RETENTION RATE OF 90% AND (3) HAVE A DATABASE OF 600,000 BENEFICIARY FAMILIES.

DATES OF REPORTS BY GRANTEE

11/5/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

(1) STUDENT ENROLLMENTS ACHIEVED TO DATE: 236,000, (2) EXPANSION: 19 STATES, (3) BENEFICIARY DATABASE STRENGTH TO DATE: APPROXIMATELY 400,000, (4) RETENTION RATE: 83%, (5) PER CHILD COST: APPROXIMATELY 5 USD, AND (6) MOUS SIGNED WITH 11 STATE GOVERNMENT DEPARTMENTS.

GRANTEE'S NAME

KINVOLVED

GRANTEE'S ADDRESS

25 BROADWAY, 12TH FLOOR
NEW YORK, NY 10004

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
150,000.	12/15/17	150,000..	04/13/19

PURPOSE OF GRANT

TO EXPAND KINVOLVED'S BUSINESS AND IMPACT TO NEW SCHOOL SYSTEMS AND COMMUNITIES NATIONALLY; CONTINUE TO BUILD NEW PRODUCT FEATURES AND SCALABLE INFRASTRUCTURE.

DATES OF REPORTS BY GRANTEE

04/13/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

IN OCTOBER 2017, KINVOLVED CLOSED ON THEIR FIRST NON-NYC SCHOOL DISTRICT. TODAY, THEY WORK WITH ABOUT 15 SCHOOL DISTRICTS ACROSS NINE STATES, PLUS A WA STATE AGENCY.

GRANTEE'S NAME

POLLINATE ENERGY

GRANTEE'S ADDRESSLEVEL 2, 4/1, MILLERS TANK BUND RD
VASANTH NAGAR, BENGALURU, KARNATAKA, INDIA, 560051

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>	<u>VERIFICATION DATE</u>
100,000.	12/20/16	100,000.	11/01/19

PURPOSE OF GRANTTO EMPOWER WOMEN AS LEADERS OF CHANGE TO DISTRIBUTE PRODUCTS THAT IMPROVE
HEALTH, SAVE TIME AND SAVE MONEY FOR THE WORLD'S MOST NEGLECTED
COMMUNITIES.DATES OF REPORTS BY GRANTEE

11/1/19

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATIONIMPLEMENTED A FIRST-OF-ITS KIND MERGER BETWEEN TWO SOCIAL IMPACT
ORGANIZATIONS, POSITIONING POLLINATE AS A MARKET LEADER IN COLLABORATION
FOR SCALE AND IMPACT; EMBEDDED GENDER AND EMPOWERMENT AT THE CORE OF THE
ORGANIZATIONAL VISION, MISSION AND VALUES; NAVIGATED THE ORGANIZATION
THROUGH A COMPLEX CHANGE PROCESS.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 17
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ACTIVITY ONE

IN 2018, THE FOUNDATION FUNDED 64 SOCIAL ENTERPRISE ORGANIZATIONS CREATING SOCIAL CHANGE AROUND THE WORLD THROUGH PROGRAM RELATED INVESTMENTS AND/OR UNRESTRICTED GRANTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1	5,780,048.
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FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 18
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ACTIVITY TWO

THE FOUNDATION PROVIDES EACH PORTFOLIO ORGANIZATION WITH SIGNIFICANT HUMAN AND OTHER SUPPORT SERVICES INCLUDING BOARD SERVICE, STRATEGIC AND OPERATING SUPPORT, FINANCIAL, ORGANIZATIONAL, GOVERNANCE AND LEADERSHIP TRAINING AND A HOST OF OTHER CRITICAL NETWORK AND OTHER SUPPORT THROUGHOUT THE FOUNDATION'S THREE-YEAR COMMITMENT TO EACH ORGANIZATION. BETWEEN INCEPTION AND DECEMBER 31, 2018, DRK HAS FUNDED AND SUPPORTED 147 SOCIAL IMPACT ORGANIZATIONS AROUND THE WORLD.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2	4,859,233.
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FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT 19
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NAME OF MANAGER

WILLIAM H. DRAPER III
ROBIN RICHARDS DONOHUE
ROBERT S. KAPLAN

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 20

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JIM BILDNER, CHIEF EXECUTIVE OFFICER
1600 EL CAMINO REAL, SUITE 155
MENLO PARK, CA 94025

TELEPHONE NUMBER

NAME OF GRANT PROGRAM

650-319-7808

ALL PROGRAMS

EMAIL ADDRESS

N/A

FORM AND CONTENT OF APPLICATIONS

ORGANIZATIONS INTERESTED IN REQUESTING A GRANT FROM THE FOUNDATION ARE ENCOURAGED TO REVIEW OUR FUNDING CRITERIA AND OBJECTIVES FIRST AND THEN SUBMIT AN ONLINE APPLICATION THROUGH OUR WEBSITE AT WWW.DRKFOUNDATION.ORG. THE FOUNDATION REVIEWS ALL ONLINE APPLICATIONS AND BASED ON OUR APPRAISAL OF THE FIT OF THE VENTURE WITH OUR INVESTMENT STYLE, A SELECT NUMBER OF APPLICATIONS WILL MOVE TO THE SUBSEQUENT PHASES OF DILIGENCE.

ANY SUBMISSION DEADLINES

THERE ARE NO DEADLINES FOR SUBMISSION; ACCEPTED ALL YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

ORGANIZATIONS MUST BE AT THE BEGINNING OF THEIR DEVELOPMENT. WE TYPICALLY PROVIDE THE ORGANIZATION'S FIRST MAJOR INVESTMENT. WE DO NOT FUND ORGANIZATIONS LATER IN THEIR LIFECYCLE. PREFERENCE IS GIVEN TO THOSE ORGANIZATIONS THAT ARE NATIONAL OR GLOBAL IN REACH AND SOCIAL ISSUE.

GENERAL EXPLANATION

STATEMENT 21

FORM/LINE IDENTIFIER

FORM 990-PF, PART VIII, COLUMN (D):

EXPLANATION:

PART VIII, COLUMN (D) INCLUDES 401K, HEALTH AND LIFE INSURANCE, AND
HEALTH SAVINGS ACCOUNT DEPOSITS.

GENERAL EXPLANATION

STATEMENT 22

FORM/LINE IDENTIFIER

FORM 990-PF, PART IX-B, LINE 3 ALL OTHER PROGRAM-RELATED INVESTMENTS:

EXPLANATION:

OTHER PROGRAM RELATED INVESTMENTS INCLUDE INVESTMENTS IN MACRO-EYES TO DELIVER LAST MILE INTELLIGENCE THAT BRINGS AI TO THE FOUNDATIONS OF HEALTHCARE TO INCREASE UTILIZATION AND ACCESS IN WAYS THAT IMPROVE OUTCOMES AND CUT COST, MATONTINE TO UNLOCK ACCESS TO FINANCIAL SERVICES FOR THE FINANCIALLY EXCLUDED IN AFRICA, SUYO PUBLIC BENEFIT CORPORATION TO UNLOCK THE ECONOMIC AND SOCIAL VALUE OF PROPERTY OWNERSHIP BY PROVIDING RELIABLE AND AFFORDABLE PROPERTY RIGHTS FORMALIZATION SERVICES TO LOW-INCOME FAMILIES, WASH CYCLE LAUNDRY AN ENVIRONMENTALLY-FRIENDLY B2B LAUNDRY OUTSOURCING COMPANY THAT SERVES HOTELS, UNIVERSITIES, AND OTHER SMALL-AND MID-SIZED CLIENTS AND A "SECOND CHANCE EMPLOYER" FOR HIGH-BARRIER POPULATIONS SUCH AS RETURNING CITIZENS, FORMERLY HOMELESS, FORMER WELFARE RECIPIENTS, AND INDIVIDUALS IN RECOVERY FROM SUBSTANCE ABUSE, WATTTIME TO DEVELOP GROUNDBREAKING AUTOMATED EMISSIONS REDUCTION (AER) SOFTWARE WHICH WORKS BY DETECTING SPECIFIC MOMENTS WHEN USING ELECTRICITY WON'T ACTIVATE HIGHLY-EMITTING POWER PLANTS AND AUTOMATICALLY SYNCING ELECTRICITY-CONSUMING DEVICES TO USE ENERGY AT THOSE SPECIFIC MOMENTS, KANPUR FLOWERCYCLING (HELPUSSGREEN) TO EMPLOY MARGINALIZED, AT-RISK WOMEN FROM HISTORICALLY OPPRESSED DALIT COMMUNITIES TO COLLECT FLORAL WASTE STREAM FROM RELIGIOUS INSTITUTIONS BEFORE IT CAN POLLUTE THE RIVER, AND RECYCLES IT INTO A RANGE OF HIGH-MARGIN PRODUCTS, INCLUDING INCENSE, COMPOST, PROPRIETARY INDUSTRIAL-GRADE STYROFOAM, AND A PROPRIETARY BIO (NON-ANIMAL HIDE) LEATHER, AND CLOUD TO STREET A FLOOD-MAPPING AND MONITORING PLATFORM DESIGNED TO PROTECT THE MOST VULNERABLE COMMUNITIES FROM NATURAL DISASTERS.

EIN: 912172351
FOR YEAR END 12/31/18

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
JOHN DEERE CAPITAL CORP	1/5/16	150,000 000	\$99,994 \$99,994	\$99 987	\$149,991 00 \$149,991 00			\$1,463 00 \$1,405.62	0 97
Coupon Rate 1.950% Matures 01/08/2019, CUSIP 24422ZTE9 <i>Int Semi-Annually Jan/Jul 08, Yield to Maturity 2.595%; Moody A2 S&P A- Issued 01/08/16, Asset Class FI & Pref</i>						\$149,980 50	\$(10 50) LT		
DR PEPPER SNAPPLE GROUP INC	1/22/16	225,000 000	100.781 100 010	99 974	226,757 25 225,021 80			2,925 00 2,697 49	1 30
Coupon Rate 2.600% Matures 01/15/2019 CUSIP 26138EAN9 <i>Int Semi-Annually Jan/Jul 15- Yield to Maturity 3.231%; Moody BAA4 S&P BBB; Issued 11/15/11, Asset Class FI & Pref</i>						224,941 50	(80 30) LT		

EIN: 912172351
FOR YEAR END 12/31/18

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
GENERAL MOTORS FINANCIAL CO INC	1/27/16	225,000,000	99.523	99.996	223,926.75				
Coupon Rate 3.100% Matures 01/15/2019, CUSIP 37045YBB1	2/26/16	75,000,000	99.523	99.996	223,926.75	224,991.00	1,064.25 LT		
			99.099	99.996	74,324.18	74,324.18			
			99.099		74,324.18	74,997.00	672.82 LT		
Total		300,000,000			298,250.93			4,650.00	1.55
					298,250.93	299,988.00	1,737.07 LT	4,288.33	
Infl. Semi-Annually Jan/Jul 15, Yield to Maturity 3.158%, Moody BAA3 S&P BBB, Issued 10/13/15, Asset Class FI & Pref									
ORACLE CORP	10/14/16	100,000,000	102.208	99.976	102,209.00			1,188.00	1.18
Coupon Rate 2.375%, Matures 01/15/2019, CUSIP 68389YAO8			100.036		100,035.71	99,976.00	(59.71) LT	1,095.13	
Infl. Semi-Annually Jan/Jul 15, Yield to Maturity 2.960%, Moody A1 S&P AA-, Issued 07/16/13, Asset Class FI & Pref	2/26/16	150,000,000	99.488	99.966	149,232.00			1,950.00	1.30
SYNCHRONY FINANCIAL			99.488		149,232.00	149,949.00	717.00 LT	1,798.33	
Coupon Rate 2.600%, Matures 01/15/2019, CUSIP 87165BAJ2									
Infl. Semi-Annually Jan/Jul 15, Yield to Maturity 3.434%, S&P BBB-, Issued 12/04/15, Asset Class FI & Pref	1/29/16	291,000,000	100.980	99.971	293,854.71			3,128.00	1.07
WELLS FARGO & CO			100.012		291,035.38	290,915.61	(119.77) LT	2,884.94	
Coupon Rate 2.150%, Matures 01/15/2019, CUSIP 94974BFC08									
Infl. Semi-Annually Jan/Jul 15, Yield to Maturity 2.868%, Moody A2 S&P A-, Issued 10/28/13, Asset Class FI & Pref	1/27/16	275,000,000	101.317	99.906	278,623.40			3,025.00	1.10
SIMON PROPERTY GROUP LP			100.036		275,098.61	274,741.50	(357.11) LT	2,520.83	
Coupon Rate 2.200%, Matures 02/01/2019, CUSIP 828807C08									
Infl. Semi-Annually Feb/Aug 01, Callable \$100.00 on 01/30/19, Yield to Maturity 3.301%, Moody A2 S&P A, Issued 01/21/14, Asset Class FI & Pref	2/4/16	225,000,000	110.074	100.212	247,668.75			5,569.00	2.46
CISCO SYSTEMS INC			100.404		225,908.06	225,477.00	(431.06) LT	4,207.50	
Coupon Rate 4.950%, Matures 02/15/2019, CUSIP 17275RAE2									
Infl. Semi-Annually Feb/Aug 15, Yield to Maturity 3.150%, Moody A1 S&P AA-, Issued 02/17/09, Asset Class FI & Pref	2/16/16	100,000,000	99.988	99.815	99,988.00			850.00	0.85
TOYOTA MOTOR CREDIT CORP			99.988		99,988.00	99,815.00	(173.00) LT	623.33	
Coupon Rate 1.700%, Matures 02/19/2019, CUSIP 89236TCU7									
Infl. Semi-Annually Feb/Aug 19, Yield to Maturity 3.074%, Moody AA3 S&P AA-, Issued 02/19/16, Asset Class FI & Pref	2/16/16	200,000,000	99.983	99.817	199,966.00			—	—
APPLE INC			99.983		199,966.00	199,634.00	(332.00) LT	1,208.88	
Coupon Rate 1.700%, Matures 02/22/2019, CUSIP 037833B02			99.983						
Infl. Semi-Annually Feb/Aug 23, Yield to Maturity 2.979%, Moody AA1 S&P AA+, Issued 02/23/16, Asset Class FI & Pref	2/22/16	100,000,000	99.971	99.795	99,971.00			800.00	0.80
CISCO SYSTEMS INC			99.971		99,971.00	99,795.00	(176.00) LT	546.66	
Coupon Rate 1.600%, Matures 02/28/2019, CUSIP 17275RBB1									
Infl. Semi-Annually Feb/Aug 28, Yield to Maturity 2.885%, Moody A1 S&P AA-, Issued 02/29/16, Asset Class FI & Pref	6/17/16	300,000,000	101.773	99.890	305,319.00			3,750.00	1.25
SANTANDER UK PLC			100.132		300,395.47	299,670.00	(725.47) LT	2,229.16	
Coupon Rate 2.500%, Matures 03/14/2019, CUSIP 802831AK9									
Infl. Semi-Annually Mar/Sep 14, Yield to Maturity 3.023%, Moody AA3 S&P A, Issued 03/14/16, Asset Class FI & Pref	3/8/16	290,000,000	99.924	99.779	289,779.60			2,465.00	0.85
BERKSHIRE HATHAWAY FINANCE CORP			99.924		289,779.60	289,359.10	(420.50) LT	1,451.61	
Coupon Rate 1.700%, Matures 03/15/2019, CUSIP 084664CG4									
Infl. Semi-Annually Mar/Sep 15, Yield to Maturity 2.767%, Moody AA2 S&P AA-, Issued 03/15/16, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
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EIN: 912172351
FOR YEAR END 12/31/18

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income Accrued Interest	Current Yield %
INTERNATIONAL BUSINESS MACHINES CORP									
Coupon Rate 1.800%, Matures 05/17/2019, CUSIP 459200J2	10/21/16	230,000,000	101.264	99.577	232,907.20			2,070.00	0.90
Int. Semi-Annually May/Nov 17, Yield to Maturity 2.926%, Moody A1 (-), S&P A, Issued 02/19/16, Asset Class FI & Pref			100.187		230,429.49	229,027.10	(1,402.39) LT	505.99	
CITIGROUP INC									
Coupon Rate 2.050%, Matures 06/07/2019, CUSIP 172967KS9	6/3/16	250,000,000	99.948	99.578	249,870.00			2,563.00	1.02
Int. Semi-Annually Jun/Dec 07, Yield to Maturity 3.033%, Moody BAA1 (+), S&P BBB+, Issued 06/09/16, Asset Class FI & Pref			99.948		249,870.00	248,945.00	(925.00) LT	341.66	
CATERPILLAR FINANCIAL SERVICES CORP									
Coupon Rate 2.100%, Matures 06/09/2019, CUSIP 14912L6B2	12/12/16	165,000,000	100.427	99.641	165,706.20			1,733.00	1.05
Int. Semi-Annually Jun/Dec 09, Yield to Maturity 2.925%, Moody A3, S&P A, Issued 06/09/14, Asset Class FI & Pref			100.076		165,125.68	164,407.65	(718.03) LT	211.74	
GENERAL ELECTRIC CO									
Coupon Rate 6.000%, Matures 08/07/2019, CUSIP 36962G4D3	10/28/16	175,000,000	112.539	101.077	196,945.00			10,500.00	5.93
Int. Semi-Annually Feb/Aug 07, Yield to Maturity 4.156%, Moody BAA1, S&P BBB+, Issued 08/07/09, Asset Class FI & Pref			103.930		181,877.40	176,884.75	(4,992.65) LT	4,200.00	
HONEYWELL INTERNATIONAL INC									
Coupon Rate 1.400%, Matures 10/30/2019, CUSIP 438516B14	10/25/16	200,000,000	99.921	98.738	199,842.00			2,800.00	1.41
Int. Semi-Annually Apr/Oct 30, Yield to Maturity 2.948%, Moody A2, S&P A, Issued 10/31/16, Asset Class FI & Pref			99.921		199,842.00	197,476.00	(2,366.00) LT	466.66	
APPLE INC									
Coupon Rate 1.800%, Matures 11/13/2019, CUSIP 037833DH0	11/17/17	100,000,000	99.951	99.183	99,951.00			1,800.00	1.81
Int. Semi-Annually May/Nov 13, Yield to Maturity 2.759%, Moody A1, S&P AA+, Issued 11/13/17, Asset Class FI & Pref			99.951		99,951.00	99,183.00	(768.00) LT	239.99	
UNITEDHEALTH GROUP INC									
Coupon Rate 2.300%, Matures 12/15/2019, CUSIP 91324PCG5	1/23/17	200,000,000	101.026	99.342	202,054.00			4,600.00	2.31
Int. Semi-Annually Jun/Dec 15, Yield to Maturity 3.003%, Moody A3, S&P A+, Issued 12/08/14, Asset Class FI & Pref			100.344		200,688.26	198,684.00	(2,004.26) LT	204.44	
GENERAL ELECTRIC CO									
Coupon Rate 2.200%, Matures 01/09/2020, CUSIP 36962G7M0	11/30/17	150,000,000	99.902	98.544	149,853.00			3,300.00	2.23
Int. Semi-Annually Jan/Jul 09, Callable \$100.00 on 12/09/19, Yield to Maturity 3.664%, Moody BAA1, S&P BBB+, Issued 01/09/15, Asset Class FI & Pref			99.902		149,853.00	147,816.00	(2,037.00) LT	1,576.66	
MICROSOFT CORP									
Coupon Rate 1.850%, Matures 02/06/2020, CUSIP 594918BV5	1/30/17	175,000,000	99.933	99.274	174,882.75			3,238.00	1.86
Int. Semi-Annually Feb/Aug 06, Yield to Maturity 2.524%, Moody AAA, S&P AAA, Issued 02/06/17, Asset Class FI & Pref			99.933		174,882.75	173,729.50	(1,153.25) LT	1,303.99	
MEDTRONIC INC									
Coupon Rate 2.500%, Matures 03/15/2020, CUSIP 585055BG0	1/20/17	125,000,000	101.145	99.488	126,432.50			3,125.00	2.51
Int. Semi-Annually Mar/Sep 15, Yield to Maturity 2.934%, Moody A3, S&P A, Issued 03/15/15, Asset Class FI & Pref			100.447		125,558.65	124,360.00	(1,198.65) LT	920.13	
INTERNATIONAL FINANCE CORP									
Coupon Rate 1.750%, Matures 03/30/2020, CUSIP 45950KCL2	1/9/18	225,000,000	99.230	98.907	223,267.50			3,938.00	1.76
Int. Semi-Annually Mar/Sep 30, Yield to Maturity 2.646%, Moody AAA, S&P AAA, Issued 03/30/17, Asset Class FI & Pref			99.230		223,267.50	222,540.75	(726.75) ST	984.37	
INTEL CORP									
Coupon Rate 1.850%, Matures 05/11/2020, CUSIP 458140A23	5/9/17	175,000,000	99.968	98.775	174,944.00			3,238.00	1.87
Int. Semi-Annually May/Nov 11, Yield to Maturity 2.772%, Moody A1, S&P A+, Issued 05/11/17, Asset Class FI & Pref			99.968		174,944.00	172,856.25	(2,087.75) LT	449.65	

DRAPER RICHARDS KAPLAN FOUNDATION
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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
DISCOVER BANK Coupon Rate 3.100% Matures 06/04/2020 CUSIP 25466AAG6 Int. Semi-Annually Jun/Dec 04, Callable \$100.00 on 05/04/20, Yield to Maturity 3.590%, Moody BAA2	2/22/18	250,000,000	100.208 100.132	99.315	250,522.50 250,330.06	248,287.50	(2,042.56) ST	7,750.00 581.25	3.12
PRUDENTIAL FINANCIAL INC Coupon Rate 5.375% Matures 06/21/2020 CUSIP 744320BM6 Int. Semi-Annually Jun/Dec 21, Yield to Maturity 3.239%, Moody BAA1	10/4/17	250,000,000	108.999 104.929	103.046	272,500.00 262,322.58	257,615.00	(4,707.58) LT	13,438.00 373.26	5.21
JPMORGAN CHASE & CO Coupon Rate 2.750% Matures 06/23/2020, CUSIP 46625HLW8	10/18/17	150,000,000	101.693	99.427	152,541.00	149,140.50	(2,274.43) LT		
	1/30/18	150,000,000	100.943 100.475	99.427	151,414.93 150,712.50	149,140.50	(1,302.27) ST		
Total		300,000,000			303,253.50 301,857.70	298,281.00	(2,274.43) LT (1,302.27) ST	8,250.00 183.33	2.76
Int. Semi-Annually Jun/Dec 23, Callable \$100.00 on 05/23/20, Yield to Maturity 3.150%, Moody A2									
AT&T INC Coupon Rate 2.450% Matures 06/30/2020 CUSIP 00206RCL4 Int. Semi-Annually Jun/Dec 30, Callable \$100.00 on 05/30/20, Yield to Maturity 3.340%, Moody BAA2	11/9/17	150,000,000	100.373 100.215	98.699	150,561.00 150,322.02	148,048.50	(2,273.52) LT	3,675.00	2.48
FIFTH THIRD BANCORP Coupon Rate 2.875% Matures 07/27/2020, CUSIP 316773CT5 Int. Semi-Annually Jan/Jul 27, Callable \$100.00 on 06/27/20, Yield to Maturity 3.254%, Moody BAA1	9/21/17	350,000,000	102.265 101.266	99.423	357,931.00 354,430.88	347,980.50	(6,450.38) LT	10,063.00 4,304.51	2.89
KREDITANSTALT FUER WIEDERLUFBAU Coupon Rate 2.750%, Matures 10/01/2020, CUSIP 500769FW9 Int. Semi-Annually Apr/Oct 01, Yield to Maturity 2.669%, Moody AAA	9/12/18	175,000,000	99.764 99.764	100.135	174,587.00 174,587.00	175,236.25	649.25 ST	4,813.00 1,203.12	2.74
MARRIOTT INTERNATIONAL INC/MD Coupon Rate 3.375% Matures 10/15/2020 CUSIP 571903AL7 Int. Semi-Annually Apr/Oct 15, Callable \$100.00 on 07/15/20, Yield to Maturity 3.657%, Moody BAA2	11/3/17	200,000,000	102.962 101.820	99.512	205,924.00 203,640.75	199,024.00	(4,616.75) LT	6,750.00 1,424.99	3.39
AMERICAN EXPRESS CO Coupon Rate 2.200% Matures 10/30/2020 CUSIP 025816BP3	10/24/17	100,000,000	99.870	98.195	99,870.00	98,195.00	(1,675.00) LT		
	3/28/18	150,000,000	99.870 97.822	98.195	99,870.00 146,733.00	147,292.50	559.50 ST		
Total		250,000,000			246,603.00 246,603.00	245,487.50	(1,675.00) LT 559.50 ST	5,500.00 916.66	2.24
Int. Semi-Annually Apr/Oct 30, Callable \$100.00 on 09/29/20, Yield to Maturity 3.222%, Moody A3									
INTERNATIONAL FINANCE CORP Coupon Rate 2.250% Matures 01/25/2021 CUSIP 45950KCM0 Int. Semi-Annually Jan/Jul 25, Yield to Maturity 2.578%, Moody AAA	1/19/18	125,000,000	99.668 99.668	99.344	124,584.38 124,584.38	124,180.00	(404.38) ST	2,813.00 1,218.74	2.26

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US BANCORP Coupon Rate 2.350% Matures 01/29/2021, CUSIP 91159HHL7 Int. Semi-Annually Jan/Jul 29, Callable \$100.00 on 12/29/20, Yield to Maturity 3.089%, Moody A1	5/16/18	225,000,000	98.051 98.051	98.523	220,614.75 220,614.75	221,676.75	1,062.00 ST	5,288.00 2,232.49	2.38
BB&T CORP Coupon Rate 2.150%, Matures 02/01/2021, CUSIP 05531FAZ6 Int. Semi-Annually Feb/Aug 01, Callable \$100.00 on 01/01/21, Yield to Maturity 3.201%, Moody A2	4/24/18	150,000,000	97.759 97.759	97.896	146,638.50 146,638.50	146,844.00	205.50 ST	3,225.00 1,343.75	2.19
REGIONS FINANCIAL CORP Coupon Rate 3.200%, Matures 02/08/2021, CUSIP 7591EPK6 Int. Semi-Annually Feb/Aug 08, Callable \$100.00 on 01/08/21, Yield to Maturity 3.517%, Moody BAA2	11/11/18	175,000,000	99.269 99.269	99.360	173,720.75 173,720.75	173,880.00	159.25 ST	5,600.00 2,224.44	3.22
AIR LEASE CORP Coupon Rate 2.500%, Matures 03/01/2021, CUSIP 00912XAZ7 Int. Semi-Annually Mar/Sep 01, Yield to Maturity 3.717%, S&P BBB- Issued 01/16/18, Asset Class FI & Pref	1/19/18	225,000,000	99.087 99.087	97.486	222,945.75 222,945.75	219,343.50	(3,602.25) ST	5,625.00 1,874.99	2.56
MARRIOTT INTERNATIONAL INC/MD Coupon Rate 2.815%, Matures 03/01/2021, CUSIP 571903AN3 Int. Semi-Annually Mar/Sep 01, Callable \$100.00 on 02/01/21, Yield to Maturity 3.545%, Moody BAA2	5/18/18	125,000,000	99.022 99.022	98.612	123,777.50 123,777.50	123,265.00	(512.50) ST	3,594.00 1,197.91	2.91
JOHN DEERE CAPITAL CORP Coupon Rate 2.800%, Matures 03/04/2021, CUSIP 24422ESL4 Int. Semi-Annually Mar/Sep 04, Yield to Maturity 3.073%, Moody A2	3/28/18	125,000,000	99.695 99.695	99.427	124,618.75 124,618.75	124,283.75	(335.00) ST	3,500.00 1,137.50	2.81
BEST BUY CO INC Coupon Rate 5.500%, Matures 03/15/2021, CUSIP 086516AL5 Int. Semi-Annually Mar/Sep 15, Callable \$100.00 on 12/15/20, Yield to Call 3.831%, Moody BAA1	7/24/18	150,000,000	104.436 103.729	103.113	156,654.00 155,592.78	154,669.50	(923.28) ST	8,250.00 2,429.16	5.33
EI DU PONT DE NEMOURS & CO Coupon Rate 4.250%, Matures 04/01/2021, CUSIP 263534CE7 Int. Semi-Annually Apr/Oct 01, Yield to Maturity 2.919%, Moody A3	6/11/18	165,000,000	103.316 102.659	102.874	170,473.05 169,387.31	169,742.10	354.79 ST	7,013.00 1,753.12	4.73
UNITED PARCEL SERVICE INC Coupon Rate 2.050%, Matures 04/01/2021, CUSIP 911312BP0 Int. Semi-Annually Apr/Oct 01, Yield to Maturity 2.856%, Moody A1	7/11/18	175,000,000	97.535 97.535	98.253	170,686.75 170,686.25	171,942.75	1,256.50 ST	3,588.00 896.87	2.08
AUTOZONE INC Coupon Rate 2.500%, Matures 04/15/2021, CUSIP 053332AS1 Int. Semi-Annually Apr/Oct 15, Callable \$100.00 on 03/15/21, Yield to Maturity 3.573%, Moody BAA1	8/14/18	225,000,000	97.789 97.789	97.787	220,025.25 220,025.25	220,020.75	(4.50) ST	5,625.00 1,187.49	2.55
PACCAR FINANCIAL CORP Coupon Rate 3.100%, Matures 05/10/2021, CUSIP 69371RP26 Int. Semi-Annually May/Nov 10, Yield to Maturity 3.276%, Moody A1	8/7/18	250,000,000	99.918 99.918	99.601	249,795.00 249,795.00	249,002.50	(792.50) ST	7,750.00 1,097.91	3.11
GENERAL DYNAMICS CORP Coupon Rate 3.000%, Matures 05/11/2021, CUSIP 369550BE7 Int. Semi-Annually May/Nov 11, Yield to Maturity 2.963%, Moody A2	5/9/18	225,000,000	99.568 99.568	100.081	224,028.00 224,028.00	225,182.25	1,154.25 ST	6,750.00 937.50	2.99

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CATERPILLAR INC Coupon Rate 3.900% Matures 05/27/2021 CUSIP 149123BV2 Int. Semi-Annually May/Nov 27, Yield to Maturity 3.112%; Moody A3 S&P A-, Issued 05/27/11, Asset Class FI & Pref	4/30/18	125,000,000	102 296 101 813	101 810	127,871.25 127,266 02	127,262.50	(3.52) ST	4,875 00 460 41	3.83
NEXTERA ENERGY CAPITAL HOLDINGS INC Coupon Rate 4.500% Matures 06/01/2021 CUSIP 65339KAA8 Int. Semi-Annually Jun/Dec 01 Callable \$100.00 on 03/01/21, Yield to Call 3.653%; Moody BAA1 S&P BBB+, Issued 06/10/11; Asset Class FI & Pref	5/16/18	120,000,000	103 019 102 421	101,744	123,622 80 122,904 77	122,092 80	(811 97) ST	5,400 00 449 99	4 42
TORONTO-DOMINION BANK/THE Coupon Rate 3.250% Matures 06/11/2021 CUSIP 89114QBZ0 Int. Semi-Annually Jun/Dec 11 Yield to Maturity 3.074%; Moody AA1 S&P AA-, Issued 06/12/18, Asset Class FI & Pref	9/10/18	225,000 000	100 114 100 102	100 410	225,256 50 225,228 70	225,922 50	693 80 ST	7,313 00 406 25	3.23
KREDITANSTALT FUER WIEDERAUFBAU Coupon Rate 1.500% Matures 06/15/2021 CUSIP 500769GZ1 Int. Semi-Annually Jun/Dec 15, Yield to Maturity 2.653%; Moody AAA S&P AAA-, Issued 05/25/16, Asset Class FI & Pref	11/19/18	125,000 000	96 080 96 080	97 275	120,100 00 120,100 00	121,593 75	1,493 75 ST	1,875 00 83 33	1 54
UNILEVER CAPITAL CORP Coupon Rate 1.375% Matures 07/28/2021 CUSIP 904764AT4 Int. Semi-Annually Jan/Jul 28, Yield to Maturity 3.071%; Moody A1 S&P A+, Issued 07/28/16, Asset Class FI & Pref	7/27/18	225,000 000	95,017 95,017	95 831	213,788.25 213,788 25	215,619.75	1,831 50 ST	3,094 00 1,314 84	1 43
AT&T INC Coupon Rate 3.875% Matures 08/15/2021 CUSIP 00206RAZ5 Int. Semi-Annually Feb/Aug 15, Yield to Maturity 3.473%; Moody BAA2 S&P BBB-, Issued 08/18/11, Asset Class FI & Pref	8/8/18	150,000 000	100 985 100 861	100 996	151,479 00 151,292.12	151,494 00	201 88 ST	5,813 00 2,195 83	3 83
ABBVIE INC Coupon Rate 3.375% Matures 11/14/2021 CUSIP 00287YBE8 Int. Semi-Annually May/Nov 14, Callable \$100.00 on 10/14/21, Yield to Call 3.409%; First Coupon 05/14/19 Moody BAA2 S&P A-, Issued 09/18/18, Asset Class FI & Pref	9/13/18	150,000,000	99 828 99 828	99 906	149,742 00 149 742 00	149,859 00	117.00 ST	5,063 00 1,448 43	3 37
CORPORATE FIXED INCOME									
Percentage of Holdings		Face Value	Orig Total Cost Adj Total Cost		Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %	
97.28%		10,261,000 000	\$10,340,569 52 \$10,261,794 11		\$10,217,989 61	\$(42,081.99) LT \$(1,722 51) ST	\$236,961 00 \$73,241 16	2 32%	
TOTAL CORPORATE FIXED INCOME (includes accrued interest)			\$10,291,230.77						

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

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CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Org Unit Cost Adj Unit Cost	Unit Price	Org Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CVS HEALTH CORP Coupon Rate 2.800%, Matures 07/20/2020, CUSIP 126650C17 Int. Semi-Annually Jan/Jul 20, Callable \$100.00 on 06/20/20, Yield to Maturity 3.407%, Moody BAA2	3/16/16	75,000,000	\$103.373 \$101.238	\$99.098	\$77,529.75 \$75,928.27	\$74,323.50	\$(1,604.77) LT	\$2,100.00 \$939.16	2.82
RYDER SYSTEM INC Coupon Rate 2.875%, Matures 09/01/2020, CUSIP 78355HKA6 Int. Semi-Annually Mar/Sep 01, Callable \$100.00 on 08/01/20, Yield to Maturity 3.369%, Moody BAA1	12/8/15	250,000,000	99.450 99.450	99.203	248,625.00 248,625.00	248,007.50	(617.50) LT	7,188.00 2,395.83	2.89

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
AMERICAN EXPRESS CREDIT CORP Coupon Rate 2.600% Matures 09/14/2020, CUSIP 0258MODX4	12/15/15	150,000.000	100.024 100.009	98.977	150,037.50 150,013.94	148,465.50	(1,548.44) LT		
	3/16/16	150,000.000	101.459 100.570	98.977	152,190.00 150,855.30	148,465.50	(2,389.80) LT		
Total		300,000.000			302,227.50 300,869.24	296,931.00	(3,938.24) LT	7,800.00 2,318.33	2.62
Int. Semi-Annually Mar/Sep 14; Callable \$100.00 on 08/14/20, Yield to Maturity 3.221%, Moody A2 S&P A-, Issued 09/14/15, Asset Class FI & Pref									
DOW CHEMICAL CO/THE Coupon Rate 4.250% Matures 11/15/2020, CUSIP 260543CC5	1/7/16	200,000.000	105.513 102.219	101.778	211,028.00 204,437.71	203,556.00	(881.71) LT	8,500.00 1,086.11	4.17
Int. Semi-Annually May/Nov 15, Callable \$100.00 on 08/15/20, Yield to Call 3.116%, Moody BAA2 S&P BBB, Issued 11/09/10, Asset Class FI & Pref									
ROPER TECHNOLOGIES INC Coupon Rate 3.000% Matures 12/15/2020, CUSIP 776743AA4	12/4/15	150,000.000	100.174 100.071	99.350	150,261.00 150,105.97	149,025.00	(1,080.97) LT		
	3/16/16	150,000.000	100.926 100.396	99.350	151,389.00 150,593.84	149,025.00	(1,568.84) LT		
Total		300,000.000			301,650.00 300,699.81	298,050.00	(2,649.81) LT	9,000.00 399.99	3.01
Int. Semi-Annually Jun/Dec 15, Callable \$100.00 on 11/15/20, Yield to Maturity 3.346%, Moody BAA3 S&P BBB+, Issued 12/07/15, Asset Class FI & Pref									
SANTANDER UK GROUP HOLDINGS PLC Coupon Rate 3.125% Matures 01/08/2021, CUSIP 80281LAD7	1/5/16	200,000.000	99.899 99.899	98.172	199,798.00 199,798.00	196,344.00	(3,454.00) LT	6,250.00 3,003.47	3.18
Int. Semi-Annually Jan/Jul 08, Yield to Maturity 4.077%, Moody BAA1 S&P BBB, Issued 01/08/16, Asset Class FI & Pref									
ANHEUSER-BUSCH INBEV FINANCE INC Coupon Rate 2.650% Matures 02/01/2021, CUSIP 035242A5	1/13/16	200,000.000	99.687 99.687	98.340	199,374.00 199,374.00	196,680.00	(2,694.00) LT	5,300.00 2,208.33	2.69
Int. Semi-Annually Feb/Aug 01, Callable \$100.00 on 01/01/21, Yield to Maturity 3.482%, Moody BAA1 S&P A-, Issued 01/25/16, Asset Class FI & Pref									
FORD MOTOR CREDIT CO LLC Coupon Rate 5.750% Matures 02/01/2021, CUSIP 345397VR1	12/3/15	200,000.000	111.513 104.878	101.990	223,026.00 209,756.31	203,980.00	(5,776.31) LT	11,500.00 4,791.66	5.63
Int. Semi-Annually Feb/Aug 01, Yield to Maturity 4.733%, Moody BAA3 S&P BBB, Issued 02/07/11, Asset Class FI & Pref									
REGIONS FINANCIAL CORP Coupon Rate 3.200% Matures 02/08/2021, CUSIP 7591EPK6	2/13/16	170,000.000	99.895 99.895	99.360	169,821.50 169,821.50	168,912.00	(909.50) LT	5,440.00 2,160.88	3.22
Int. Semi-Annually Feb/Aug 08, Callable \$100.00 on 01/08/21, Yield to Maturity 3.517%, Moody BAA2 S&P BBB+, Issued 02/08/16, Asset Class FI & Pref									
AMERICAN INTERNATIONAL GROUP INC Coupon Rate 3.300% Matures 03/01/2021, CUSIP 026814DG9	3/17/16	300,000.000	101.554 100.708	99.661	304,665.00 302,122.57	298,983.00	(3,139.57) LT	9,900.00 3,299.99	3.31
Int. Semi-Annually Mar/Sep 01, Callable \$100.00 on 02/01/21, Yield to Maturity 3.462%, Moody BAA1 S&P BBB+, Issued 02/26/16, Asset Class FI & Pref									
WELLS FARGO & CO Coupon Rate 2.500% Matures 03/04/2021, CUSIP 949746RS2	2/26/16	300,000.000	99.977 99.977	98.357	299,931.00 299,931.00	295,071.00	(4,860.00) LT	7,500.00 2,437.50	2.54
Int. Semi-Annually Mar/Sep 04, Yield to Maturity 3.288%, Moody A2 S&P A-, Issued 03/04/16, Asset Class FI & Pref									
BEST BUY CO INC Coupon Rate 5.500% Matures 03/15/2021, CUSIP 086516AL5	12/3/15	200,000.000	104.374 101.952	103.113	208,750.00 203,903.81	206,226.00	2,322.19 LT	11,000.00 3,238.88	5.33

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MASCO CORP									
Int. Semi-Annually Mar/Sep 15; Callable \$100.00 on 12/15/20; Yield to Call 3.831%; Moody BAA1	3/17/16	300,000,000	100,773	99.533	302,319.00			10,500.00	3.51
Coupon Rate 3.500%; Matures 04/01/2021; CUSIP 574599BK1			100,361		301,083.15	298,599.00	(2,484.15) LT	2,625.00	
AUTOZONE INC									
Int. Semi-Annually Apr/Oct 01; Callable \$100.00 on 03/01/21; Yield to Maturity 3.716%; Moody BAA3	12/4/15	150,000,000	98,156	97.787	147,234.00			3,750.00	2.55
Coupon Rate 2.500%; Matures 04/15/2021; CUSIP 053332AS1			98,156		147,234.00	146,680.50	(553.50) LT	791.66	
Int. Semi-Annually Apr/Oct 15; Callable \$100.00 on 03/15/21; Yield to Maturity 3.513%; Moody BAA1	12/3/15	200,000,000	110,314	103.803	220,630.00			10,000.00	4.81
Coupon Rate 5.000%; Matures 05/13/2021; CUSIP 06051GEH8			104,682		209,364.38	207,606.00	(1,758.38) LT	1,333.33	
TORONTO-DOMINION BANK/THE									
Int. Semi-Annually May/Nov 13; Yield to Maturity 3.314%; Moody A3 (+)	7/17/16	300,000,000	99,895	96.769	299,685.00			5,400.00	1.86
Coupon Rate 1.800%; Matures 07/13/2021; CUSIP 891140BL1			99,895		299,685.00	290,307.00	(9,378.00) LT	2,520.00	
CITIGROUP INC									
Int. Semi-Annually Jan/Jul 13; Yield to Maturity 3.137%; Moody AA1	12/3/15	200,000,000	108,673	102.477	217,348.00			9,500.00	4.63
Coupon Rate 4.750%; Matures 07/15/2021; CUSIP 14040HAY1			104,102		208,204.44	204,954.00	(3,250.44) LT	4,380.55	
SYNCHRONY FINANCIAL									
Int. Semi-Annually Feb/Aug 02; Yield to Maturity 3.513%; Moody BAA1 (+)	10/11/16	255,000,000	100,204	97.146	255,520.20			5,993.00	2.41
Coupon Rate 3.750%; Matures 08/15/2021; CUSIP 87165BAC7			100,112		255,286.62	247,722.30	(7,564.32) LT	2,480.22	
AMERICAN TOWER CORP									
Int. Semi-Annually Mar/Sep 15; Callable \$100.00 on 06/15/21; Yield to Maturity 4.849%; S&P BBB-	11/2/17	200,000,000	101,783	99.981	203,566.00			6,900.00	3.45
Coupon Rate 3.450%; Matures 09/15/2021; CUSIP 03027XAE0			101,063		202,126.59	199,962.00	(2,164.59) LT	2,031.66	
AERCAP IRELAND CAPITAL DAC / AERCAP GLOBAL AVIATION TRUST									
Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 01/01/22; Yield to Maturity 4.566%; Moody BAA3	5/17/16	300,000,000	99,813	98.244	299,439.00			11,850.00	4.02
Coupon Rate 3.200%; Matures 03/01/2022; CUSIP 002068DN9			99,813		299,439.00	294,732.00	(4,707.00) LT	4,937.50	
AT&T INC									
Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 01/01/22; Yield to Maturity 4.566%; Moody BAA3	2/1/17	230,000,000	99,924	98.646	229,825.20			226,885.80	
Coupon Rate 3.200%; Matures 03/01/2022; CUSIP 002068DN9	6/27/17	70,000,000	101,596	98.646	71,117.20			69,052.20	
			101,102		70,771.70				
TOTAL									
		300,000,000			303,692.00			11,250.00	3.85
					301,848.51	291,960.00	(9,888.51) LT	4,250.00	
AMERICAN TOWER CORP									
Int. Semi-Annually Feb/Aug 15; Callable \$100.00 on 06/15/21; Yield to Maturity 4.849%; S&P BBB-	11/2/17	200,000,000	101,783	99.981	203,566.00			6,900.00	3.45
Coupon Rate 3.450%; Matures 09/15/2021; CUSIP 03027XAE0			101,063		202,126.59	199,962.00	(2,164.59) LT	2,031.66	
AERCAP IRELAND CAPITAL DAC / AERCAP GLOBAL AVIATION TRUST									
Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 01/01/22; Yield to Maturity 4.566%; Moody BAA3	5/17/16	300,000,000	99,813	98.244	299,439.00			11,850.00	4.02
Coupon Rate 3.200%; Matures 03/01/2022; CUSIP 002068DN9			99,813		299,439.00	294,732.00	(4,707.00) LT	4,937.50	
AT&T INC									
Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 01/01/22; Yield to Maturity 4.566%; Moody BAA3	2/1/17	230,000,000	99,924	98.646	229,825.20			226,885.80	
Coupon Rate 3.200%; Matures 03/01/2022; CUSIP 002068DN9	6/27/17	70,000,000	101,596	98.646	71,117.20			69,052.20	
			101,102		70,771.70				

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Total		300,000,000			300,942.40 300,596.90	295,938.00	(4,658.90) LT	9,600.00 3,199.99	3.24
Intl Semi-Annually Mar/Sep 01: Callable \$100.00 on 02/01/22, Yield to Maturity 3.656%; Moody BAA2									
METHANEX CORP	2/2/18	230,000,000	105,400	100.843	242,422.30	231,938.90	(7,867.89) ST	12,075.00	5.20
Coupon Rate 5.250%, Matures 03/01/2022 CUSIP 59151KAG3			104,264		239,806.79			4,024.99	
Intl Semi-Annually Mar/Sep 01: Yield to Maturity 4.957%; Moody BAA3									
DELTA AIR LINES INC	5/21/18	305,000,000	98,790	97.859	301,309.50			11,056.00	3.70
Coupon Rate 3.625%, Matures 03/15/2022 CUSIP 247361ZU0			98,790		301,309.50	298,469.95	(2,839.55) ST	3,255.45	
Intl Semi-Annually Mar/Sep 15: Callable \$100.00 on 02/15/22, Yield to Maturity 4.746%; Moody BAA3									
GENERAL MOTORS FINANCIAL CO INC	12/3/15	200,000,000	96,418	96.706	192,836.00	193,412.00	576.00 LT		
Coupon Rate 3.450%, Matures 04/10/2022, CUSIP 37045XAW6			96,418		192,836.00				
	3/16/16	100,000,000	97,031	96.706	97,031.00	96,706.00	(325.00) LT		
			97,031		97,031.00				
Total		300,000,000			289,867.00 289,867.00	290,118.00	251.00 LT	10,350.00 2,328.75	3.56
Intl Semi-Annually Apr/Oct 10: Callable \$100.00 on 02/10/22, Yield to Maturity 4.542%; Moody BAA3									
SHERWIN-WILLIAMS CO/THE	6/27/17	300,000,000	100,472	96.801	301,416.00	290,403.00	(10,597.60) LT	8,250.00	2.84
Coupon Rate 2.750%, Matures 06/01/2022, CUSIP 824348AU0			100,334		301,000.60			687.49	
Intl Semi-Annually Jun/Dec 01: Callable \$100.00 on 05/01/22, Yield to Maturity 3.756%; Moody BAA3									
WESTERN GAS PARTNERS LP	8/16/17	300,000,000	103,809	99.144	311,427.00	297,432.00	(10,950.82) LT	12,000.00	4.03
Coupon Rate 4.000%, Matures 07/01/2022, CUSIP 958254AB0			102,794		308,382.82			6,000.00	
Intl Semi-Annually Jan/Jul 01: Callable \$100.00 on 04/01/22, Yield to Maturity 4.266%; Moody EA1									
METLIFE INC	1/11/17	136,000,000	101,159	98.564	137,576.24	134,047.04	(3,035.06) LT		
Coupon Rate 3.048%, Matures 12/15/2022, CUSIP 59156RBF4			100,796		137,082.10				
	1/11/17	89,000,000	101,159	98.564	90,031.51	87,721.96	(1,986.17) LT	6,858.00	3.09
			100,796		89,708.13			304.79	
Total		225,000,000			227,607.75 226,790.23	221,769.00	(5,021.23) LT	6,500.00	3.26
Interest Paid Quarterly Dec 15: Yield to Maturity 3.439%; Moody A3									
ECOLAB INC	1/11/16	200,000,000	99,950	99.613	199,900.00	199,226.00	(674.00) LT	3,015.27	
Coupon Rate 3.250%, Matures 01/14/2023, CUSIP 278865AU4			99,950		199,900.00				
Intl Semi-Annually Jan/Jul 14: Callable \$100.00 on 11/14/22, Yield to Maturity 3.353%; Moody BAA1									
BAIDU INC	3/23/18	300,000,000	99,828	99.625	299,484.00	298,875.00	(609.00) ST	11,625.00	3.88
Coupon Rate 3.875%, Matures 09/29/2023, CUSIP 056752AK4			99,828		299,484.00			2,970.83	
Intl Semi-Annually Mar/Sep 29: Callable \$100.00 on 08/29/23, Yield to Maturity 3.961%; Moody A3									
COMCAST CORP	1/6/17	100,000,000	99,693	97.494	99,693.00	97,494.00	(2,199.00) LT	3,000.00	3.07
Coupon Rate 3.000%, Matures 02/01/2024, CUSIP 20030NBX8			99,693		99,693.00			1,250.00	

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
<i>Int. Semi-Annually Feb/Aug 01 Callable \$100.00 on 01/01/24, Yield to Maturity 3.543%, Moody A3</i>									
KINROSS GOLD CORP	6/19/18	300,000.00	104.165	99.500	312,495.00			17,850.00	5.97
Coupon Rate 5.950% Matures 03/15/2024, CUSIP 496902AN7			103.824		311,472.68	298,500.00	(12,972.68) ST	5,255.83	
<i>Int. Semi-Annually Mar/Sep 15 Callable \$100.00 on 12/15/23, Yield to Maturity 6.061%, Moody BA1</i>									
JPMORGAN CHASE & CO FXD TO 04/2023 VAR THEREAFTER	4/16/18	240,000.00	100.000	99.244	240,000.00	238,185.60	(1,814.40) ST	8,542.00	3.58
Coupon Rate 3.559% Matures 04/23/2024, CUSIP 46647PAPT			100.000		240,000.00			1,613.41	
<i>Int. Semi-Annually Apr/Oct 23 Callable \$100.00 on 04/23/23, Yield to Maturity 3.716%, Floater, Moody A2</i>									
<i>S&P A- Issued 01/10/17, Asset Class: FI & Pref</i>									
<i>S&P BBB- Issued 09/15/14, Asset Class: FI & Pref</i>									
<i>S&P A- Issued 04/23/18, Asset Class: FI & Pref</i>									

Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
	8,000,000.00	\$8,122,422.90 \$8,057,842.43	\$7,927,936.25	\$(103,802.66) LT \$(26,103.52) ST	\$284,327.00 \$87,536.85	3.59%

TOTAL CORPORATE FIXED INCOME
(includes accrued interest)

\$8,015,473.10

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
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TOTAL VALUE

TOTAL VALUE (includes accrued interest)

\$8,147,822.94

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

p - One or more tax lots of this position may either be missing cost basis, or has a Pending Corporate Action event. Unrealized Gain/Loss includes only tax lots for which we have cost basis.
* The total quantity and market value includes shares you have on loan in the Fully Paid Lending program (FPL) pursuant to your master securities loan agreement. The interest rate is that which was in effect on the last business day of the month, and may have varied during the month. Loaned securities are not protected by the Securities Investor Protection Act of 1970 (SIPA). The market value of the shares on loan is fully collateralized with Treasury Securities held in a separate account in your name at The Bank of New York. Such collateral deposits may constitute the only source for satisfaction of our obligation if we fail to return the securities on loan to you. For more information regarding your collateral account, please contact The Bank of New York.

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CORPORATE FIXED INCOME
CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
NOVARTIS SECURITIES INVESTMENT LTD Coupon Rate 5.125%, Matures 02/10/2019 CUSIP 66989GAA8 Int. Semi-Annually Feb/Aug 10, Yield to Maturity 2.800%; Moody A1	11/20/17	164,000.00	\$103.805 \$100.330	\$100.245	\$170,241.84 \$164,540.90	\$164,401.80	\$(139.10) LT	\$4,203.00 \$3,291.95	2.55
TOYOTA MOTOR CREDIT CORP Coupon Rate 1.700%, Matures 02/19/2019 CUSIP 89236TCU7 Int. Semi-Annually Feb/Aug 19, Yield to Maturity 3.074%; Moody A43	2/20/18	200,000.00	99.530 99.530	99.815	199,060.00 199,060.00	199,630.00	570.00 ST	1,700.00 1,246.66	0.85

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
BRISTOL-MYERS SQUIBB CO Coupon Rate 1.600% Matures 02/27/2019 CUSIP 110122BA5 Int Semi-Annually Feb/Aug 27 Yield to Maturity 3.049% Moody A2	2/23/17	250,000,000	99.920 99.920	99.773	249,800.00 249,800.00	249,432.50	(367.50) LT	2,000.00 1,377.77	0.80
BERKSHIRE HATHAWAY FINANCE CORP Coupon Rate 1.700% Matures 03/15/2019 CUSIP 084664CG4 Int Semi-Annually Mar/Sep 15 Yield to Maturity 2.767% Moody A2	2/5/18	200,000,000	99.527 99.527	99.779	199,054.00 199,054.00	199,558.00	504.00 ST	1,700.00 1,001.11	0.85
BOEING CO/THE Coupon Rate 6.000% Matures 03/15/2019 CUSIP 097023AW5 Int Semi-Annually Mar/Sep 15 Yield to Maturity 3.565% Moody A2	3/20/18	225,000,000	103.430 100.709	100.484	232,719.75 226,595.94	226,089.00	(506.94) ST	6,750.00 3,974.99	2.98
ROYAL BANK OF CANADA Coupon Rate 3.318% Matures 03/15/2019 CUSIP 78010USP3 Interest Paid Quarterly Jun 16 Yield to Maturity 3.189% Fitcher Moody A2	12/20/17	200,000,000	100.442 100.072	100.020	200,884.00 200,143.09	200,040.00	(103.09) LT	276.50	—
WALT DISNEY CO/THE Coupon Rate 5.500% Matures 03/15/2019 CUSIP 25468PCK0 Int Semi-Annually Mar/Sep 15 Yield to Maturity 3.109% Moody A2	1/12/18	225,000,000	103.913 100.684	100.478	233,804.25 226,538.07	226,075.50	(462.57) ST	6,188.00 3,643.74	2.73
MEDTRONIC GLOBAL HOLDINGS SCA Coupon Rate 1.700% Matures 03/28/2019 CUSIP 58507LAB5 Int Semi-Annually Mar/Sep 28 Yield to Maturity 2.758% Moody A3	3/22/17	250,000,000	99.926 99.926	99.743	249,815.00 249,815.00	249,357.50	(457.50) LT	2,125.00 1,097.91	0.85
METROPOLITAN LIFE GLOBAL FUNDING I REGS Coupon Rate 2.300% Matures 04/10/2019 CUSIP 59217HAL1 Int Semi-Annually Apr/Oct 10 Yield to Maturity 2.908% Moody A43	3/23/18	200,000,000	99.697 99.697	99.830	199,394.00 199,394.00	199,660.00	266.00 ST	2,300.00 1,035.00	1.15
ASIAN DEVELOPMENT BANK Coupon Rate 1.875% Matures 04/12/2019 CUSIP 045167CM3 Int Semi-Annually Apr/Oct 12 Yield to Maturity 2.511% Moody AAA	1/18/18	250,000,000	99.802 99.802	99.820	249,505.00 249,505.00	249,550.00	45.00 ST	2,344.00 1,028.64	0.93
JOHN DEERE CAPITAL CORP Coupon Rate 2.250% Matures 04/17/2019 CUSIP 24422ERR2 Int Semi-Annually Apr/Oct 17 Yield to Maturity 3.007% Moody A2	3/16/18	110,000,000	99.725 99.725	99.775	109,697.50 109,697.50	109,752.50	55.00 ST	1,238.00 508.75	1.12
WELLS FARGO & CO Coupon Rate 2.125% Matures 04/22/2019 CUSIP 94974BFU9 Int Semi-Annually Apr/Oct 22 Yield to Maturity 3.078% Moody A2	5/6/16	200,000,000	102.134 100.224	99.705	204,268.00 200,447.46	199,410.00	(1,037.46) LT	2,125.00 814.58	1.06
US BANCORP Coupon Rate 2.200% Matures 04/25/2019 CUSIP 91159HHH6 Int Semi-Annually Apr/Oct 25 Callable \$100.00 on 03/25/19 Yield to Maturity 2.886% Moody A1	2/17/18	225,000,000	100.026 100.007	99.781	225,060.75 225,015.76	224,507.25	(508.51) ST	2,475.00 907.49	1.10
BRANCH BANKING & TRUST CO Coupon Rate 1.450% Matures 05/10/2019 CUSIP 07330NAL9 Int Semi-Annually May/Nov 10 Callable \$100.00 on 04/10/19 Yield to Maturity 3.080% Moody A1	2/18/18	250,000,000	98.967 98.967	99.420	247,417.50 247,417.50	248,550.00	1,132.50 ST	1,813.00 513.54	0.72

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AFRICAN DEVELOPMENT BANK									
Coupon Rate 1.000%, Matures 05/15/2019, CUSIP 00828EBN8	5/17/18	200,000,000	98.598	99.423	197,196.00			1,000.00	0.50
Int. Semi-Annually May/Nov 15; Yield to Maturity 2.562%, Moody AAA			98.598		197,196.00	198,846.00	1,650.00 ST	255.55	
CATERPILLAR FINANCIAL SERVICES CORP									
Coupon Rate 1.350%, Matures 05/18/2019, CUSIP 14912L6R7	3/28/18	100,000,000	98.730	99.406	98,730.00			675.00	0.67
Int. Semi-Annually May/Nov 18; Yield to Maturity 2.924%, Moody A3			98.730		98,730.00	99,406.00	676.00 ST	161.24	
COCA-COLA CO/THE									
Coupon Rate 1.375%, Matures 05/30/2019, CUSIP 191216BV1	5/21/18	100,000,000	98.933	99.450	98,932.50			688.00	0.69
Int. Semi-Annually May/Nov 30; Yield to Maturity 2.716%, Moody A1			98.933		98,932.50	99,450.00	517.50 ST	114.58	
CITIGROUP INC									
Coupon Rate 2.050%, Matures 06/07/2019, CUSIP 172967KS9	6/3/16	150,000,000	99.948	99.578	149,922.00			1,538.00	1.02
Int. Semi-Annually Jun/Dec 07; Yield to Maturity 3.033%, Moody BAA1 (+)			99.948		149,922.00	149,367.00	(555.00) LT	205.00	
CATERPILLAR FINANCIAL SERVICES CORP									
Coupon Rate 2.100%, Matures 06/09/2019, CUSIP 14912L6B2	6/4/18	150,000,000	99.431	99.641	149,146.50			1,575.00	1.05
Int. Semi-Annually Jun/Dec 09; Yield to Maturity 2.925%, Moody A3			99.431		149,146.50	149,461.50	315.00 ST	192.49	
HOME DEPOT INC/THE									
Coupon Rate 2.000%, Matures 06/15/2019, CUSIP 437076BE1	4/13/18	125,000,000	99.495	99.715	124,368.75			1,250.00	1.00
Int. Semi-Annually Jun/Dec 15; Callable \$100.00 on 05/15/19; Yield to Maturity 2.631%, Moody A2			99.495		124,368.75	124,643.75	275.00 ST	111.11	
SOUTHERN CO/THE									
Coupon Rate 1.850%, Matures 07/01/2019, CUSIP 842587C08	4/12/18	50,000,000	98.963	99.510	49,481.50				
			98.963		49,481.50	49,755.00	273.50 ST		
	5/21/18	100,000,000	99.002	99.510	99,002.00				
			99.002		99,002.00	99,510.00	508.00 ST		
Total		150,000,000			148,483.50			2,775.00	1.85
					148,483.50	149,265.00	781.50 ST	1,387.50	
KREDITANSTALT FUER WIEDERAUFBAU									
Coupon Rate 1.000%, Matures 07/15/2019, CUSIP 500769HA5	1/4/18	125,000,000	98.442	99.150	123,051.88				
			98.442		123,051.88	123,937.50	885.62 ST		
	4/5/18	100,000,000	98.336	99.150	98,336.00				
			98.336		98,336.00	99,150.00	814.00 ST		
Total		225,000,000			221,387.88			2,250.00	1.00
					221,387.88	223,087.50	1,699.62 ST	1,037.49	
SANTANDER UK PLC									
Coupon Rate 2.350%, Matures 09/10/2019, CUSIP 80283LAH6	2/28/18	125,000,000	99.463	99.335	124,328.75				
			99.463		124,328.75	124,168.75	(160.00) ST		
	3/21/18	100,000,000	99.142	99.335	99,142.00				
			99.142		99,142.00	99,335.00	193.00 ST		

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Total		225,000,000			223,470.75 223,470.75	223,503.75	33.00 ST	5,288.00 1,630.31	2.36
Int. Semi-Annually Mar/Sep 10, Yield to Maturity 3.327%, Moody A43 S&P A, Issued 09/10/14, Asset Class FI & Pref									
WALMART INC	6/5/18	150,000,000	98.995	99.298	148,492.50			2,625.00	1.76
Coupon Rate 1.750%, Matures 10/09/2019, CUSIP 031142DY6			98.995		148,492.50	148,947.00	454.50 ST	597.91	
Int. Semi-Annually Apr/Oct 09, Yield to Maturity 2.673%, Moody A42 S&P A4, Issued 10/20/17, Asset Class FI & Pref									
HONEYWELL INTERNATIONAL INC	4/9/18	200,000,000	98.391	98.738	196,782.00			2,800.00	1.41
Coupon Rate 1.400%, Matures 10/30/2019, CUSIP 438516BJ4			98.391		196,782.00	197,476.00	694.00 ST	466.66	
Int. Semi-Annually Apr/Oct 30, Yield to Maturity 2.948%, Moody A2 S&P A, Issued 10/31/16, Asset Class FI & Pref									
EI DU PONT DE NEMOURS & CO	6/4/18	100,000,000	98.739	99.298	98,739.00			2,200.00	2.21
Coupon Rate 2.200%, Matures 05/01/2020, CUSIP 263534CL1			98.739		98,739.00	99,298.00	559.00 ST	366.66	
Int. Semi-Annually May/Nov 01, Yield to Maturity 2.739%, Moody A3 S&P A-, Issued 05/02/17, Asset Class FI & Pref									
UNILEVER CAPITAL CORP	6/19/18	100,000,000	98.198	98.512	98,198.00			1,800.00	1.82
Coupon Rate 1.800%, Matures 05/05/2020, CUSIP 904764NV9			98.198		98,198.00	98,512.00	314.00 ST	280.00	
Int. Semi-Annually May/Nov 05, Yield to Maturity 2.936%, Moody A1 S&P A+, Issued 05/05/17, Asset Class FI & Pref									
CORPORATE FIXED INCOME		4,924,000,000			\$4,924,570.97 \$4,900,873.60	\$4,907,277.55	\$ (2,659.65) LT \$9,063.60 ST	\$63,425.00 \$27,525.13	1.29%

TOTAL CORPORATE FIXED INCOME
(includes accrued interest)

\$4,934,802.68