

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending

BYRON & ALICE LOCKWOOD FOUNDATION
P.O. BOX 4
MERCER ISLAND, WA 98040

A Employer identification number
91-0833426

B Telephone number (see instructions)

C If exemption application is pending, check here ▶ ☐ **6**

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation **04**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) **\$ 19,597,410.**
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2,284.

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

124,125.

124,125.

N/A

4 Dividends and interest from securities

95,393.

95,393.

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

-39,522.

b Gross sales price for all assets on line 6a **980,663.**

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

SEE STATEMENT 1

1,351,977.

1,351,977.

12 Total Add lines 1 through 11

1,534,257.

1,571,495.

13 Compensation of officers, directors, trustees, etc.

127,000.

63,500.

63,500.

14 Other employee salaries and wages

96,300.

48,150.

48,150.

15 Pension plans, employee benefits

16a Legal fees (attach schedule) SEE ST 2

8,794.

4,397.

4,397.

b Accounting fees (attach sch) SEE ST 3

9,150.

4,575.

4,575.

c Other professional fees (attach sch) SEE ST 4

38,288.

38,288.

17 Interest

18 Taxes (attach schedule) (see instrs) SEE STM 5

51,782.

9,726.

3,459.

19 Depreciation (attach schedule) and depletion SEE STMT 6

337.

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 7

8,720.

4,373.

4,347.

24 Total operating and administrative expenses Add lines 13 through 23

340,371.

173,009.

128,428.

25 Contributions, gifts, grants paid PART XV

610,000.

610,000.

610,000.

26 Total expenses and disbursements Add lines 24 and 25

950,371.

173,009.

738,428.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

583,886.

b Net investment income (if negative, enter -0-)

1,398,486.

c Adjusted net income (if negative, enter -0-)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash — non-interest-bearing	698,363.	39,308.	39,308.
	2 Savings and temporary cash investments	441,972.	244,364.	244,364.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) STATEMENT 8	2,433,592.	2,920,534.	3,201,770.
	c Investments — corporate bonds (attach schedule) STATEMENT 9	1,969,718.	2,111,574.	2,045,137.
	Liabilities	11 Investments — land, buildings, and equipment basis		
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans		2,703,042.	1,978,452.	1,871,008.
13 Investments — other (attach schedule) STATEMENT 10		10,578,817.	12,112,653.	12,195,823.
14 Land, buildings, and equipment basis		4,233.		
Less accumulated depreciation (attach schedule) SEE STMT 11		3,700.	870.	533.
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I.)		18,826,374.	19,407,418.	19,597,410.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, & other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	18,826,374.	19,407,418.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	18,826,374.	19,407,418.		
31 Total liabilities and net assets/fund balances (see instructions)	18,826,374.	19,407,418.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,826,374.
2 Enter amount from Part I, line 27a	2	583,886.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	19,410,260.
5 Decreases not included in line 2 (itemize) SEE STATEMENT 12	5	2,842.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	19,407,418.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE STATEMENT 13				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>		2	-39,522.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c) See instructions</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>		3	-20,467.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	556,044.	18,020,234.	0.030857
2016	846,257.	15,790,597.	0.053592
2015	1,145,436.	17,258,873.	0.066368
2014	993,067.	2,659,071.	0.373464
2013	899,532.	18,538,255.	0.048523

2 Total of line 1, column (d)	2	0.572804
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.114561
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	19,910,402.
5 Multiply line 4 by line 3	5	2,280,956.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,985.
7 Add lines 5 and 6	7	2,294,941.
8 Enter qualifying distributions from Part XII, line 4	8	738,428.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	27,970.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	27,970.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	27,970.
6 Credits/Payments			
a 2018 estimated tax pmts and 2017 overpayment credited to 2018	6 a	20,000.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	20,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	328.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 14	9	8,298.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2019 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered. See instructions WA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X
14 The books are in care of <u>STEVEN A FINLEY, CPA</u> Telephone no <u>(253) 952-2992</u> Located at <u>PO BOX 430 MILTON WA</u> ZIP + 4 <u>98354</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u> <u>20</u> <u></u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration

or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KYLE T. CRESSMAN 3834 175TH AVENUE NE # 202 REDMOND, WA 98052	TRUSTEE 5.00	7,000.	0.	0.
PAUL R. CRESSMAN, JR. 999 THIRD AVENUE, SUITE 3800 SEATTLE, WA 98104	PRESIDENT 15.00	120,000.	0.	0.
LEE KRAFT 827 LAKE STREET # 305 KIRKLAND, WA 98033	TRUSTEE 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LEE KRAFT 827 LAKE STREET # 305 KIRKLAND, WA 98033	EXEC DIRECTOR 15	0.	0.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	7,330,656.
b	Average of monthly cash balances	1 b	747,978.
c	Fair market value of all other assets (see instructions)	1 c	12,134,972.
d	Total (add lines 1a, b, and c)	1 d	20,213,606.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	20,213,606.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	303,204.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,910,402.
6	Minimum investment return. Enter 5% of line 5	6	995,520.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	995,520.
2a	Tax on investment income for 2018 from Part VI, line 5	2 a	27,970.
b	Income tax for 2018 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	27,970.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	967,550.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	967,550.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	967,550.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	738,428.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	738,428.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	738,428.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				967,550.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			700,374.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 738,428.				
a Applied to 2017, but not more than line 2a			700,374.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions).	0.			
d Applied to 2018 distributable amount				38,054.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				929,496.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(h)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

BAA

Form 990-PF (2018)

N/A

- (4) Gross investment income**

[illegible]

Form 990-PF (2018)

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a</i> Paid during the year SEE STATEMENT ATTACHED	NONE	N/A	CHARITABLE	610,000.
Total			3 a	610,000.
<i>b</i> Approved for future payment				
Total			3 b	

Part XVI-A	Analysis of Income-Producing Activities
-------------------	--

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	(e) Related or exempt function income (See instructions)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					124,125.
4	Dividends and interest from securities					95,393.
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					-39,522.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a	OTHER INCOME			14		195.
b	PARTNERSHIP INCOME			14		1,351,782.
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					1,531,973.
13	Total. Add line 12, columns (b), (d), and (e)					1,531,973.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
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[illegible]

BYRON & ALICE LOCKWOOD FOUNDATION

91-0833426

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	\$ 195.	\$ 195.	
PARTNERSHIP INCOME	1,351,782.	1,351,782.	
TOTAL	\$ 1,351,977.	\$ 1,351,977.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	\$ 8,794.	\$ 4,397.		\$ 4,397.
TOTAL	\$ 8,794.	\$ 4,397.		\$ 4,397.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STEVEN A. FINLEY, CPA PLLC	\$ 9,150.	\$ 4,575.		\$ 4,575.
TOTAL	\$ 9,150.	\$ 4,575.		\$ 4,575.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY	\$ 38,288.	\$ 38,288.		
TOTAL	\$ 38,288.	\$ 38,288.		\$ 0.

BYRON & ALICE LOCKWOOD FOUNDATION

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STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	\$ 38,597.			
FOREIGN INCOME TAXES	2,485.	\$ 2,485.		
PAYROLL TAXES	6,917.	3,458.		\$ 3,459.
PROPERTY TAXES	3,783.	3,783.		
TOTAL	\$ 51,782.	\$ 9,726.		\$ 3,459.

STATEMENT 6
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

DATE ACQUIRED	COST BASIS	PRIOR YR DEPR	METHOD	RATE	LIFE	CURRENT YR DEPR	NET INVEST INCOME	ADJUSTED NET INCOME
COMPUTER								
8/16/15	1,684	814	S/L	0.2		337	0	0

STATEMENT 7
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	\$ 51.	\$ 51.		
INSURANCE	4,662.	2,331.		\$ 2,331.
MISCELLANEOUS	25.			25.
OFFICE EXPENSE	792.	396.		396.
WEBSITE	3,190.	1,595.		1,595.
TOTAL	\$ 8,720.	\$ 4,373.		\$ 4,347.

STATEMENT 8
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB SECURITIES - STMT ATTACHE	COST	\$ 2,920,534.	\$ 3,201,770.
TOTAL		\$ 2,920,534.	\$ 3,201,770.

BYRON & ALICE LOCKWOOD FOUNDATION

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STATEMENT 9
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CHARLES SCHWAB BONDS - STMT ATTACHED	COST	\$ 2,111,574.	\$ 2,045,137.
	TOTAL	<u>\$ 2,111,574.</u>	<u>\$ 2,045,137.</u>

STATEMENT 10
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
RENO TERMINAL	COST	\$ 0.	\$ 0.
DIAMOND MARINA LLC	COST	664,095.	523,169.
BELLEVUE OFFICE BUILDING	COST	0.	0.
WALLACE PROPERTIES/WASHINGTON PARK	COST	-69,657.	1,027,500.
MILL CREEK SOUTH RETAIL CENTER	COST	820,115.	759,737.
WRP GATEWAY LLC	COST	830,877.	852,413.
BOLSER REAL ESTATE	COST	3,068,761.	1,907,400.
WALLACE PROPERTIES-KENNEWICK	COST	589,767.	855,000.
WALLACE PROPERTIES - SLI LLC	COST	0.	0.
WALLACE NORTHGATE 3 LLC	COST	2,000,000.	2,000,000.
LENOX APARTMENTS	COST	457,258.	500,000.
SOUTH LANDING INVESTMENTS	COST	999,973.	1,000,000.
WEST SIDE HOLDINGS	COST	481,068.	550,000.
WYLDs WOODS APARTMENTS	COST	435,310.	500,000.
TOTAL OTHER INVESTMENTS		<u>\$ 10,277,567.</u>	<u>\$ 10,475,219.</u>
<u>OTHER PUBLICLY TRADED SECURITIES</u>			
CHARLES SCHWAB OTHER - STMT ATTACHED	COST	1,835,086.	1,720,604.
TOTAL OTHER PUBLICLY TRADED SECURITIES		<u>\$ 1,835,086.</u>	<u>\$ 1,720,604.</u>
	TOTAL	<u>\$ 12,112,653.</u>	<u>\$ 12,195,823.</u>

STATEMENT 11
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
MISCELLANEOUS	\$ 4,233.	\$ 3,700.	\$ 533.	\$ 0.
TOTAL	<u>\$ 4,233.</u>	<u>\$ 3,700.</u>	<u>\$ 533.</u>	<u>\$ 0.</u>

BYRON & ALICE LOCKWOOD FOUNDATION

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STATEMENT 12
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

ADJUSTMENT TO COST BASIS OF CHARLES SCHWAB ASSETS
 NONDEDUCTIBLE EXPENSES

\$ 2,010.
 832.
 TOTAL \$ 2,842.

STATEMENT 13
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	250 CELGENE CORP	PURCHASED	VARIOUS	1/03/2018
2	120 EXPEDIA INC	PURCHASED	4/11/2017	1/03/2018
3	70 LAM RESEARCH CORP	PURCHASED	6/14/2017	1/03/2018
4	200 PROLOGIS INC	PURCHASED	VARIOUS	VARIOUS
5	550 WELLS FARGO BK NA	PURCHASED	VARIOUS	VARIOUS
6	222 ISHARES CORE S&P MID CAPETF	PURCHASED	VARIOUS	VARIOUS
7	435 METLIFE INC MET	PURCHASED	VARIOUS	VARIOUS
8	149 VANGUARD SMALL CAP ETF	PURCHASED	9/26/2017	2/16/2018
9	110 VANGUARD SMALL CAP ETF	PURCHASED	11/24/2017	2/16/2018
10	150 METLIFE INC MET	PURCHASED	1/19/2017	2/16/2018
11	15 BROADCOM LTD	PURCHASED	6/14/2017	3/23/2018
12	45 BROADCOM LTD	PURCHASED	12/14/2017	3/23/2018
13	5 FACEBOOK INC	PURCHASED	6/14/2017	3/23/2018
14	95 FACEBOOK INC	PURCHASED	10/25/2017	3/23/2018
15	300 QUALCOMM INC	PURCHASED	4/11/2017	3/23/2018
16	225 QUALCOMM INC	PURCHASED	11/24/2017	3/23/2018
17	205 BB&T CORP	PURCHASED	7/11/2017	6/14/2018
18	15 LAM RESEARCH CORP	PURCHASED	6/14/2017	6/14/2018
19	100 PERSPECTA INC	PURCHASED	6/14/2017	6/14/2018
20	135 VALERO ENERGY CORP	PURCHASED	11/24/2017	6/14/2018
21	125 TIME WARNER INC	PURCHASED	9/22/2017	6/15/2018
22	.395 AT&T INC	PURCHASED	9/22/2017	6/18/2018
23	55 GENERAL DYNAMICS CO	PURCHASED	7/27/2017	6/20/2018
24	.5 PERSPECTA INC	PURCHASED	5/16/2017	6/01/2018
25	55 LAM RESEARCH CORP	PURCHASED	4/11/2017	6/14/2018
26	13.5 PERSPECTA INC	PURCHASED	3/14/2017	6/14/2018
27	22.5 PERSPECTA INC	PURCHASED	4/11/2017	6/14/2018
28	12 PERSPECTA INC	PURCHASED	5/16/2017	6/14/2018
29	100 TIME WARNER INC	PURCHASED	3/14/2017	6/15/2018
30	110 TIME WARNER INC	PURCHASED	4/11/2017	6/15/2018
31	80 GENERAL DYNAMICS CO	PURCHASED	4/11/2017	6/20/2018
32	160 ISHARES CORE S&P MID CAPETF	PURCHASED	6/19/2018	7/17/2018
33	110 ISHARES CORE S&P MID CAPETF	PURCHASED	2/16/2018	7/17/2018
34	145 VANGUARD SMALL CAP ETF	PURCHASED	6/19/2018	7/17/2018
35	45 ISHARES S&P SMLL CAP	PURCHASED	6/14/2017	7/17/2018
36	400 STARBUCKS CORP	PURCHASED	4/11/2017	7/17/2018
37	100 MOHAWK INDUSTRIES	PURCHASED	11/09/2017	8/28/2018
38	65 MOHAWK INDUSTRIES	PURCHASED	7/17/2018	8/28/2018
39	65 STANLEY BLACK & DECK	PURCHASED	9/22/2017	8/28/2018
40	460 ISHARES MSCI EUROZONE	PURCHASED	9/22/2017	9/17/2018
41	455 ISHARES MSCI EUROZONE	PURCHASED	12/14/2017	9/17/2018
42	535 INTEL CORP	PURCHASED	6/14/2018	9/20/2018
43	100 MARRIOTT INTL INC	PURCHASED	10/25/2017	9/20/2018
44	680 ISHARES MSCI EUROZONE ETF	PURCHASED	8/01/2017	9/17/2018
45	170 SCHLUMBERGER LTD	PURCHASED	4/11/2017	9/20/2018

BYRON & ALICE LOCKWOOD FOUNDATION

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STATEMENT 13 (CONTINUED)
 FORM 990-PF, PART IV, LINE 1
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
46	100 STANLEY BLACK & DECK	PURCHASED	7/17/2018	10/19/2018
47	.5 GARRETT MOTION INC	PURCHASED	7/27/2017	10/01/2018
48	7 GARRETT MOTION INC	PURCHASED	1/19/2017	10/12/2018
49	10 GARRETT MOTION INC	PURCHASED	4/11/2017	10/12/2018
50	8 GARRETT MOTION INC	PURCHASED	7/27/2017	10/12/2018
51	150 STANLEY BLACK & DECK	PURCHASED	4/11/2017	10/19/2018
52	20 STANLEY BLACK & DECK	PURCHASED	9/22/2017	10/19/2018
53	.5 RESIDEO TECHNOLOGIES INC	PURCHASED	1/19/2017	10/29/2018
54	130 NVIDIA CORP	PURCHASED	1/03/2018	11/28/2018
55	45 NVIDIA CORP	PURCHASED	6/19/2018	11/28/2018
56	11.6666 RESIDEO TECHNOLOGIES INC	PURCHASED	1/19/2017	11/16/2018
57	16.6666 RESIDEO TECHNOLOGIES INC	PURCHASED	4/11/2017	11/16/2018
58	13.6666 RESIDEO TECHNOLOGIES INC	PURCHASED	7/27/2017	11/16/2018
59	40 BOEING CO	PURCHASED	6/19/2018	12/10/2018
60	40 ISHARES CORE S&P MID CAPETF	PURCHASED	6/19/2018	12/10/2018
61	10 ISHARES CORE S&P MID CAPETF	PURCHASED	10/16/2018	12/10/2018
62	250 ISHARES MSCI EAFE ETF	PURCHASED	12/14/2017	12/10/2018
63	15,000 AMAZON.COM, INC	PURCHASED	2/17/2017	12/10/2018
64	35,000 AMAZON.COM INC	PURCHASED	3/01/2017	12/10/2018
65	10,000 AUTOMATIC DATA PROCESSING	PURCHASED	1/31/2017	12/10/2018
66	50,000 BERKSHIRE HATHAWAY	PURCHASED	3/02/2017	12/10/2018
67	10,000 INTEL CORP	PURCHASED	1/24/2017	12/10/2018
68	250 ISHARES MSCI EAFE ETF	PURCHASED	11/24/2017	12/10/2018
69	60,000 NVIDIA CORP	PURCHASED	6/15/2017	12/10/2018
70	15,000 THE HOME DEPOT	PURCHASED	2/22/2017	12/10/2018
71	10,000 THE WALT DISNE	PURCHASED	1/31/2017	12/10/2018
72	300 VANGUARD FTSE DEVELOPED MARKETS ETF	PURCHASED	11/24/2017	12/10/2018
73	160 VANGUARD FTSE EMERGING MARKETS ETF	PURCHASED	11/24/2017	12/10/2018
74	30,000 ANHEUSER-BUSCH I 2.65%	PURCHASED	6/27/2017	12/18/2018

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	27,188.		31,112.	-3,924.				\$ -3,924.
2	15,101.		15,463.	-362.				-362.
3	13,409.		10,912.	2,497.				2,497.
4	12,588.		12,059.	529.				529.
5	31,714.		29,679.	2,035.				2,035.
6	42,196.		39,296.	2,900.				2,900.
7	20,299.		21,094.	-795.				-795.
8	22,136.		20,750.	1,386.				1,386.
9	16,342.		16,054.	288.				288.
10	7,000.		7,247.	-247.				-247.
11	3,626.		3,668.	-42.				-42.
12	10,877.		11,572.	-695.				-695.
13	823.		757.	66.				66.
14	15,629.		16,315.	-686.				-686.
15	16,439.		16,217.	222.				222.
16	12,329.		15,426.	-3,097.				-3,097.
17	10,797.		9,317.	1,480.				1,480.
18	2,745.		2,338.	407.				407.
19	2,255.		1,971.	284.				284.
20	15,874.		11,173.	4,701.				4,701.
21	6,719.		6,719.	0.				0.
22	13.		13.	0.				0.

STATEMENT 13 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

	(E) GROSS	(F) DEPREC.	(G) COST	(H) GAIN	(I) FMV	(J) ADJ. BAS.	(K) EXCESS (I) - (J)	(L) GAIN
ITEM	SALES	ALLOWED	BASIS	(LOSS)	12/31/69	12/31/69		(LOSS)
23	10,515.		10,617.	-102.			\$	-102.
24	12.		10.	2.				2.
25	10,066.		6,967.	3,099.				3,099.
26	304.		226.	78.				78.
27	507.		436.	71.				71.
28	271.		251.	20.				20.
29	5,375.		5,166.	209.				209.
30	5,913.		5,703.	210.				210.
31	15,294.		15,109.	185.				185.
32	31,655.		31,826.	-171.				-171.
33	23,646.		23,134.	512.				512.
34	23,031.		23,103.	-72.				-72.
35	8,745.		7,116.	1,629.				1,629.
36	20,331.		23,129.	-2,798.				-2,798.
37	19,269.		26,407.	-7,138.				-7,138.
38	12,525.		14,432.	-1,907.				-1,907.
39	9,422.		9,884.	-462.				-462.
40	18,830.		19,895.	-1,065.				-1,065.
41	18,625.		19,656.	-1,031.				-1,031.
42	25,147.		29,671.	-4,524.				-4,524.
43	13,102.		11,649.	1,453.				1,453.
44	27,835.		28,543.	-708.				-708.
45	10,506.		13,541.	-3,035.				-3,035.
46	11,885.		13,486.	-1,601.				-1,601.
47	9.		7.	2.				2.
48	106.		89.	17.				17.
49	152.		134.	18.				18.
50	121.		118.	3.				3.
51	17,828.		19,922.	-2,094.				-2,094.
52	2,377.		3,041.	-664.				-664.
53	11.		0.	11.				11.
54	20,390.		27,291.	-6,901.				-6,901.
55	7,058.		11,580.	-4,522.				-4,522.
56	251.		238.	13.				13.
57	359.		359.	0.				0.
58	294.		324.	-30.				-30.
59	12,980.		13,638.	-658.				-658.
60	7,083.		7,957.	-874.				-874.
61	1,771.		1,882.	-111.				-111.
62	15,090.		17,501.	-2,411.				-2,411.
63	15,056.		15,406.	-350.				-350.
64	35,132.		35,875.	-743.				-743.
65	9,876.		10,053.	-177.				-177.
66	51,045.		52,102.	-1,057.				-1,057.
67	9,640.		9,804.	-164.				-164.
68	15,090.		17,592.	-2,502.				-2,502.
69	58,051.		59,688.	-1,637.				-1,637.
70	14,710.		15,108.	-398.				-398.
71	10,105.		10,369.	-264.				-264.
72	11,452.		13,431.	-1,979.				-1,979.
73	6,110.		7,289.	-1,179.				-1,179.
74	29,606.		30,278.	-672.				-672.
							TOTAL \$	-39,522.

BYRON & ALICE LOCKWOOD FOUNDATION

91-0833426

**STATEMENT 14
FORM 990-PF, PART VI, PENALTY AND INTEREST
BALANCE DUE**

TAX DUE	\$	8,298.
LATE PAYMENT PENALTY		239.
LATE INTEREST		214.
TOTAL	\$	<u>8,751.</u>

**STATEMENT 15
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM:

NAME: BYRON & ALICE LOCKWOOD FOUNDATION

CARE OF: LEE KRAFT, EXECUTIVE DIRECTOR

STREET ADDRESS: P.O. BOX 2925

CITY, STATE, ZIP CODE: KIRKLAND, WA 98083

TELEPHONE:

E-MAIL ADDRESS:

FORM AND CONTENT:

APPLICATIONS MUST BE IN WRITING AND INCLUDE A SPECIFIC PROJECT AND GRANT REQUEST ALONG WITH AMOUNT REQUESTED, A LIST OF OFFICERS, BOARD OF DIRECTORS AND THEIR AFFILIATIONS, A LIST OF MAJOR CONTRIBUTORS, AND A COPY OF THE ORGANIZATION'S LATEST 990 FORM.

SUBMISSION DEADLINES:

OCTOBER 1 OF EACH CALENDAR YEAR

RESTRICTIONS ON AWARDS:

MUST BE LOCATED IN KING COUNTY, WASHINGTON

2018 Form 990 PF**91-0833426****BYRON AND ALICE LOCKWOOD FOUNDATION****PART XV- GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR 2018**

All organizations listed are 501(c)3 charitable organizations

The Byron and Alice Lockwood Foundation grant seekers provide the Lockwood Foundation with 501(c)3 letters from the IRS, a list of officers and directors and if requesting more than \$1,000.00 Form 990. All grantees are known to Lockwood trustees and/or personal visit are made to certain entities by Lockwood trustees.

Acres of Diamonds	\$29,500
Provides housing for homeless women and children in east King County.	
AGC Education Foundation	\$17,500
Provides funds for apprenticeship programs in construction.	
Assistance League of the Eastside	\$10,000
Provides clothing and school supplies for low income youth upon referral from school counselors.	
Assistance League of Seattle	\$10,000
Provides clothing and school supplies for low income youth.	
Bridge Disability Ministries	\$30,000
Provides wheelchairs and help for disabled low-income persons.	
Center for Infectious Disease Research	\$15,000
Research for cures for infectious diseases.	
Childcare Resources	\$ 5,000
Provides funds for childcare while low income parents are at work.	
Crisis Connection (Crisis Center)	\$10,000
Hotline for help for desperate people in need of help.	
Eastside Academy	\$50,000
Alternative high school for school dropouts and at-risk kids.	
Farestart	\$20,000
Culinary training program for homeless and out-of-work adults.	
Friends of Youth	\$ 5,000
Housing for homeless young people.	
Harmony Hill of Union	\$10,000
Cancer wellness center.	

Hopelink	\$20,000
Multi-service agency providing food, housing, etc.	
Jubilee Ranch	\$25,000
Multi-service agency on the Eastside of King County.	
Kinderling	\$10,000
Medical assistance for low income disabled children.	
King County Sexual Assault Unit.	\$ 7,500
Provides care for sexual assault victims.	
Lifewire	\$15,000
Domestic violence victim's shelters.	
Mary's Place	\$33,000
Shelters for homeless women and children.	
New Horizons	\$ 5,000
Training and job preparation for homeless youth.	
Northwest School for Hearing Impaired	\$ 7,500
School for young people with hearing loss or are deaf.	
Outdoors for All	\$10,000
Non-Profit outdoors programs for children with disabilities.	
Overlake Hospital	\$10,000
Unreimbursed care for uninsured or low-income patients.	
Pacific Northwest Ballet	\$ 5,000
Program for low income kids to experience world of dance.	
Pediatrics Interim Care Center	\$ 5,000
Care for babies born with opioid addiction.	
Planned Parenthood	\$15,000
Peer based program in schools to prevent teenage pregnancies.	
Real Escape from the Sex Trade	\$10,000
Safety for victims of sex trafficking.	
Salvation Army Eastside	\$25,000
Multi-Service agency for the poor.	
Seattle Urban Academy	\$20,000
School for at-risk kids and school dropouts.	

Sóphia Way Housing for homeless women and children on the Eastside.	\$20,000
Sound Mental Health Care for low income mentally ill people.	\$20,000
Union Gospel Mission Housing, food, job training for those in need of help.	\$50,000
University of Washington Business College Scholarship Program.	\$ 5,000
University of Washington Communications Scholarship Program.	\$ 5,000
University of Washington Environmental College Research for safe forest practices and environmental protection.	\$50,000
Ventures Assistance for people wanting to start a business.	\$ 5,000
Washington Women in Need Education grants and dental assistance for low income women.	\$ 5,000
Westside Baby Diapers and other items for babies of low-income women.	\$ 5,000
Youth Eastside Services Counseling, teen center for young people on the Eastside.	\$10,000
TOTAL:	<hr/> <u>\$ 610,000</u>