

Form 990-PF

OMB No 1545-0052

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2018

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending

ROY E. CRUMMER FOUNDATION
567 SAN NICOLAS DRIVE 410B
NEWPORT BEACH, CA 92660-6513

A Employer identification number 88-6004422

B Telephone number (see instructions) (949) 644-4702

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return, Final return, Address change, Initial return of a former public charity, Amended return, Name change

H Check type of organization: Section 501(c)(3) exempt private foundation, Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 4,545,914. J Accounting method: Cash, Accrual, Other (specify)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

REQUIRED IN CORRESPONDENCE WITH IRS BY NOV 12 2019

RECEIVED IN CORRESPONDENCE WITH IRS BY NOV 12 2019

3/1/19

6

922

**Part III Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
<b>Assets</b>	1	Cash – non-interest-bearing	18,675.	20,607.	20,607.
	2	Savings and temporary cash investments	32,183.	815,940.	815,940.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	123,577.	123,577.	123,577.
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) <b>STATEMENT 6</b>	3,283,567.	2,844,341.	3,581,260.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment: basis			
	Less accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)				
14	Land, buildings, and equipment basis <b>17,415.</b>				
	Less accumulated depreciation (attach schedule) <b>SEE STMT 7 17,415.</b>				
15	Other assets (describe <b>SEE STATEMENT 8</b> )	4,530.	4,530.	4,530.	
16	<b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)	3,462,532.	3,808,995.	4,545,914.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe <b>SEE STATEMENT 9</b> )	742.	975.	
	23	<b>Total liabilities</b> (add lines 17 through 22)	742.	975.	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31.</b> <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	3,012,349.	3,012,349.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	449,441.	795,671.	
30	<b>Total net assets or fund balances</b> (see instructions)	3,461,790.	3,808,020.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	3,462,532.	3,808,995.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,461,790.
2	Enter amount from Part I, line 27a	2	346,230.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	3,808,020.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,808,020.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SEE STATEMENT 10			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) <span style="float:right">[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]</span>		2	670,623.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 <span style="float:right">]</span>		3	155,811.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	230,000.	4,253,268.	0.054076
2016	230,000.	4,658,298.	0.049374
2015	294,826.	4,894,144.	0.060241
2014	300,000.	6,082,935.	0.049318
2013	300,000.	5,987,585.	0.050104
2	Total of line 1, column (d)		2 0.263113
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years		3 0.052623
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5		4 4,496,097.
5	Multiply line 4 by line 3		5 236,598.
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 6,611.
7	Add lines 5 and 6		7 243,209.
8	Enter qualifying distributions from Part XII, line 4		8 212,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	13,223.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	13,223.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	13,223.
6 Credits/Payments			
a 2018 estimated tax pmts and 2017 overpayment credited to 2018	6 a	16,953.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d	2,115.	
7 Total credits and payments Add lines 6a through 6d	7	19,068.	
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 <b>Overpayment</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,845.	
11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input type="checkbox"/> 5,845. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered See instructions NV		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>NONE</b>	X	
14 The books are in care of <b>MARGE BROWN@FOUNDATION OFFICE</b> Telephone no <b>(949) 644-4702</b> Located at <b>567 SAN NICHOLAS DRIVE, #410B NEWPORT BEACH</b> ZIP + 4 <b>92660-6513</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> - check here <b>N/A</b> and enter the amount of tax-exempt interest received or accrued during the year <b>15</b>		N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <b>20__ , 20__ , 20__ , 20__</b>		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>20__ , 20__ , 20__ , 20__</b>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)		N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

**Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5 a** During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  Yes  No

Organizations relying on a current notice regarding disaster assistance, check here

**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d) N/A

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  Yes  No

**Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		36,075.	7,446.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000  Yes  No 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE ----- -----		
----- -----		
----- -----		
----- -----		
----- -----		
Total number of others receiving over \$50,000 for professional services		0

**Part IX:A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A ----- -----	
2 ----- -----	
3 ----- -----	
4 ----- -----	

**Part IX:B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A ----- -----	
2 ----- -----	
All other program-related investments See instructions 3 ----- -----	
Total. Add lines 1 through 3	

**BAA** Form 990-PF (2018)

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	4,417,334.
b	Average of monthly cash balances	1 b	19,124.
c	Fair market value of all other assets (see instructions)	1 c	128,107.
d	<b>Total</b> (add lines 1a, b, and c)	1 d	4,564,565.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,564,565.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	68,468.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,496,097.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	224,805.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	224,805.
2a	Tax on investment income for 2018 from Part VI, line 5	2 a	13,223.
b	Income tax for 2018 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	13,223.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	211,582.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	211,582.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	211,582.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	212,000.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	212,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	212,000.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				211,582.
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only			202,521.	
<b>b</b> Total for prior years: 20 , 20 , 20		0.		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013				
<b>b</b> From 2014				
<b>c</b> From 2015				
<b>d</b> From 2016				
<b>e</b> From 2017				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 212,000.				
<b>a</b> Applied to 2017, but not more than line 2a			202,521.	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)	0.			
<b>d</b> Applied to 2018 distributable amount				9,479.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions		0.		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				202,103.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0.			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014				
<b>b</b> Excess from 2015				
<b>c</b> Excess from 2016				
<b>d</b> Excess from 2017				
<b>e</b> Excess from 2018				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
<b>a</b> 'Assets' alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> 'Support' alternative test – enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
 NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
 NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

SEE STATEMENT 12

**b** The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

**c** Any submission deadlines

SEE STATEMENT FOR LINE 2A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE STATEMENT 13			CHARITABLE	212,000.
<b>Total</b>				▶ <b>3 a</b> 212,000.
<i>b Approved for future payment</i>				
<b>Total</b>				▶ <b>3 b</b>





## ROY E. CRUMMER FOUNDATION

88-6004422

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 16A**  
**LEGAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BRADLEY, DRENDAL & JEANNEY	\$ 100.			
TOTAL	<u>\$ 100.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STROM LAMORENA ACCOUNTANCY CORP.	\$ 3,340.			
TOTAL	<u>\$ 3,340.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JP MORGAN-MNGT FEES	\$ 42,961.	\$ 42,961.		
TOTAL	<u>\$ 42,961.</u>	<u>\$ 42,961.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CA STATE TAX	\$ 10.			
FORGEIGN TAX W/H	2,115.			
TOTAL	<u>\$ 2,125.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

## ROY E. CRUMMER FOUNDATION

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**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGE	\$ 39.			
BUSINESS INSURANCE	632.			
INSURANCE-DIREC & OFFICERS	1,037.			
LICENSES	75.			
OFFICE EXPENSES	283.			
STROAGE EXPENSES	2,403.			
<b>TOTAL</b>	<b>\$ 4,469.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SPDR GOLD TR FORMERLY STREETRACL GOLD	MKT VAL	\$ 547,540.	\$ 540,412.
BERKSHIRE HATTHAWAY INC DEL	MKT VAL	338,722.	735,048.
VAN ECK FUNDS	MKT VAL	23,731.	8,885.
FRANCO NEVADA CORP	MKT VAL	423,702.	633,916.
NEW GOLD INC	MKT VAL	0.	0.
MCEWEN MINING INC	MKT VAL	114,112.	86,308.
NOVAGOLD RESOURCES INC NEW	MKT VAL	25,717.	24,557.
FEDERAL EQUITY FDS	MKT VAL	1,239,596.	1,343,722.
ALPHABET INC	MKT VAL	0.	0.
CONCHO RESOURCES	MKT VAL	0.	0.
KLONDEX MINES	MKT VAL	0.	0.
STARBUCKS CORP	MKT VAL	0.	0.
TWITTER INC	MKT VAL	0.	0.
WELLS FARGO & CO	MKT VAL	0.	0.
CALL TWTR 3/16/18	MKT VAL	0.	0.
CALL BRKB 1/19/18	MKT VAL	-41,953.	-7,776.
CALL COST 1/18/19	MKT VAL	-29,000.	-7,893.
COSTCO WHOLESALE	MKT VAL	202,174.	224,081.
<b>TOTAL</b>		<b>\$ 2,844,341.</b>	<b>\$ 3,581,260.</b>

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 14**  
**LAND, BUILDINGS, AND EQUIPMENT**

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
FURNITURE AND FIXTURES	\$ 10,504.	\$ 10,504.	\$ 0.	\$ 0.
MACHINERY AND EQUIPMENT	1,183.	1,183.	0.	0.
IMPROVEMENTS	5,728.	5,728.	0.	0.
<b>TOTAL</b>	<b>\$ 17,415.</b>	<b>\$ 17,415.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>

## ROY E. CRUMMER FOUNDATION

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**STATEMENT 8**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
RENT DEPOSIT	\$ 4,045.	\$ 4,045.
WORKERS COMP DEPOSIT	485.	485.
<b>TOTAL</b>	<u>\$ 4,530.</u>	<u>\$ 4,530.</u>

**STATEMENT 9**  
**FORM 990-PF, PART II, LINE 22**  
**OTHER LIABILITIES**

FICA	\$ 230.
FED W/H	400.
CA-PIT	255.
CA-SDI	90.
<b>TOTAL</b>	<u>\$ 975.</u>

**STATEMENT 10**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

<u>ITEM</u>	<u>(A) DESCRIPTION</u>	<u>(B) HOW ACQUIRED</u>	<u>(C) DATE ACQUIRED</u>	<u>(D) DATE SOLD</u>
1	290 SHS ALPHABET INC CLASS A	PURCHASED	10/24/2018	3/01/2018
2	44 SHS ALPHABET INC CLASS A	PURCHASED	2/08/2018	3/01/2018
3	107 SHS ALPHABET INC CLASS A	PURCHASED	5/07/2018	5/15/2018
4	111SHS ALPHABET INC CLASS A	PURCHASED	5/07/2018	5/16/2018
5	112 SHS ALPHABET INC CLASS A	PURCHASED	5/07/2018	8/15/2018
6	115 SHS ALPHABET INC CLASS A	PURCHASED	5/30/2018	8/15/2018
7	106 SHS ALPHABET INC CLASS A	PURCHASED	6/19/2018	8/15/2018
8	116 SHS ALPHABET INC CLASS A	PURCHASED	6/21/2018	8/15/2018
9	78 SHS ALPHABET INC CLASS A	PURCHASED	6/21/2018	8/15/2018
10	78 SHS ALPHABET INC CLASS A	PURCHASED	6/21/2018	8/15/2018
11	100 SHS BERSHIRE HATHAWAY INC DEL CL B	PURCHASED	5/08/2012	1/18/2018
12	200 SHS BERSHIRE HATHAWAY INC DEL CL B	PURCHASED	5/08/2012	4/02/2018
13	72 SHS BERSHIRE HATHAWAY INC DEL CL B	PURCHASED	5/08/2012	1/01/2018
14	5191 SHS COCA COLA COMPANY	PURCHASED	7/18/2018	8/15/2018
15	9700 SHS COCA COLA COMPANY	PURCHASED	9/26/2018	10/19/2018
16	19 SHS COCA COLA COMPANY	PURCHASED	9/26/2018	11/01/2018
17	20 SHS CONCHO RESOURCES INC	PURCHASED	12/07/2017	1/24/2018
18	1025 SHS CONCHO RESOURCES INC	PURCHASED	12/07/2017	3/01/2018
19	208 SHS CONCHO RESOURCES INC	PURCHASED	2/06/2018	3/01/2018
20	230 SHS COSTCO WHOLESALE CORP	PURCHASED	3/28/2018	7/20/2018
21	870 SHS CONCHO RESOURCES INC	PURCHASED	3/28/2018	7/20/2018
22	86 SHS CONCHO RESOURCES INC	PURCHASED	3/28/2018	8/16/2018
23	9.20 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	6/30/2017	5/15/2018
24	3.3 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	9/29/2017	5/15/2018
25	4.27 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	3/27/2018	5/15/2018
26	894.9 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	12/07/2016	5/15/2018
27	41.48 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	3/31/2017	5/15/2018
28	4430 SHS KLONDEX MINES US LISTED	PURCHASED	1/20/2017	1/10/2018

## ROY E. CRUMMER FOUNDATION

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STATEMENT 10 (CONTINUED)  
 FORM 990-PF, PART IV, LINE 1  
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
29	3328 SHS KLONDEX MINES US LISTED	PURCHASED	1/20/2017	1/11/2018
30	1550 SHS NEW GOLD INC	PURCHASED	12/02/2014	1/24/2018
31	9154 SHS NEW GOLD INC	PURCHASED	12/02/2014	4/18/2018
32	4048 SHS NEW GOLD INC	PURCHASED	6/11/2015	4/18/2018
33	14745 SHS NEW GOLD INC	PURCHASED	6/11/2015	5/02/2018
34	1614 SHS NEW GOLD INC	PURCHASED	6/11/2015	5/15/2018
35	12990 SHS NEW GOLD INC	PURCHASED	6/25/2015	6/15/2018
36	11010 SHS NEW GOLD INC	PURCHASED	6/25/2015	6/20/2018
37	3044 SHS NEW GOLD INC	PURCHASED	10/14/2015	6/20/2018
38	1916 SHS NEW GOLD INC	PURCHASED	10/14/2015	7/02/2018
39	7717 SHS NEW GOLD INC	PURCHASED	10/14/2015	7/02/2018
40	4814 SHS NEW GOLD INC	PURCHASED	10/15/2015	7/02/2018
41	522 SHS NEW GOLD INC	PURCHASED	10/16/2015	7/02/2018
42	10781 SHS NEW GOLD INC	PURCHASED	10/16/2015	7/19/2020
43	421 SHS NEW GOLD INC	PURCHASED	10/16/2015	7/26/2018
44	1014 SHS NEW GOLD INC	PURCHASED	10/16/2015	7/26/2018
45	9275 SHS NEW GOLD INC	PURCHASED	10/19/2015	7/26/2018
46	5071 SHS NEW GOLD INC	PURCHASED	10/19/2015	7/26/2018
47	1652 SHS NEW GOLD INC	PURCHASED	10/19/2015	8/15/2018
48	645 SHS NEW GOLD INC	PURCHASED	10/19/2015	8/16/2018
49	5809 SHS NEW GOLD INC	PURCHASED	10/20/2015	8/16/2018
50	1216 SHS NEW GOLD INC	PURCHASED	10/21/2015	8/16/2018
51	1406 SHS NEW GOLD INC	PURCHASED	10/21/2015	8/24/2018
52	2804 SHS NEW GOLD INC	PURCHASED	10/21/2015	9/10/2018
53	1655 SHS NEW GOLD INC	PURCHASED	10/21/2015	9/12/2018
54	970 SHS NEW GOLD INC	PURCHASED	10/22/2015	9/12/2018
55	2067 SHS NEW GOLD INC	PURCHASED	10/28/2015	9/12/2018
56	661 SHS NEW GOLD INC	PURCHASED	10/29/2015	9/12/2018
57	4076 SHS NEW GOLD INC	PURCHASED	10/29/2015	9/12/2018
58	15905 SHS NEW GOLD INC	PURCHASED	10/29/2015	9/12/2018
59	1885 SHS NOVAGOLD RESOURCE INC	PURCHASED	10/07/2016	1/24/2018
60	5000 SHS NOVAGOLD RESOURCE INC	PURCHASED	10/07/2016	4/18/2018
61	2404 SHS NOVAGOKI RESOURCES INS NEW US	PURCHASED	10/07/2016	9/13/2018
62	12379 SHS NOVAGOKI RESOURCES INS NEW US	PURCHASED	10/07/2016	8/15/2018
63	1619 SHS SPDR S&P 500 ETF	PURCHASED	3/28/2018	4/18/2018
64	125 SHS STARBUCKS CORP	PURCHASED	7/07/2017	3/01/2018
65	1144 SHS STARBUCKS CORP	PURCHASED	7/07/2017	3/01/2018
66	2831 SHS STARBUCKS CORP	PURCHASED	7/07/2017	3/01/2018
67	1175 SHS STARBUCKS CORP	PURCHASED	10/24/2017	3/01/2018
68	11950 SHS TWITTER INC	PURCHASED	1/11/2017	6/15/2018
69	11186 SHS TWITTER INC	PURCHASED	1/12/2017	6/15/2018
70	3564 SHS TWITTER INC	PURCHASED	4/25/2015	6/15/2018
71	545000 SHS UNITED STATES TREASURY BOND STRIP	PURCHASED	8/27/2018	10/05/2018
72	545000 SHS UNITED STATES TREASURY BOND STRIP	PURCHASED	8/27/2018	10/09/2018
73	30 SHS WELLS FARGO & CO	PURCHASED	10/24/2017	1/18/2018
74	2950 SHS WELLS FARGO & CO	PURCHASED	10/24/2017	2/06/2018
75	74 SHS WELLS FARGO & CO	PURCHASED	3/29/2018	10/01/2018
76	4806 SHS WELLS FARGO & CO	PURCHASED	3/29/2018	10/19/2018
77	17 SHS WELLS FARGO & CO	PURCHASED	5/17/2018	10/19/2018
78	1598 SHS WELLS FARGO & CO	PURCHASED	5/18/2018	10/19/2018
79	1679 SHS WELLS FARGO & CO	PURCHASED	5/18/2018	10/19/2018
80	96.87 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	12/07/2017	5/15/2018
81	54.96 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	12/07/2017	5/15/2018
82	3.3 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	12/07/2017	5/15/2018

## ROY E. CRUMMER FOUNDATION

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STATEMENT 10 (CONTINUED)  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
83	3.16 SHS FEDERATED MDT EQUIPTY TR	PURCHASED	12/19/2017	5/15/2018
84	39 SHS CALL BRKB1/19/18	PURCHASED	1/10/2018	1/26/2017
85	38 SHS CALL BRKB6/15/18	PURCHASED	3/28/2018	3/01/2018
86	36 SHS CALL BRKB 9/21/18	PURCHASED	9/18/2018	8/15/2018
87	36 SHS CALL BRKB 10/19/18	PURCHASED	9/26/2018	8/19/2018
88	22 SHS CALL COST 5/18/18	PURCHASED	5/10/2018	5/03/2018
89	22 SHS CALL COST 5/18/18	PURCHASED	5/15/2018	5/11/2018
90	11 SHS CALL COST 7/20/18	PURCHASED	6/01/2018	5/15/2018
91	90 SHS CALL FNV 10/19/18	PURCHASED	9/26/2018	9/13/2018
92	41 SHS CALL GLD 1/5/18	PURCHASED	1/04/2018	12/18/2018
93	41 SHS CALL GLD 2/16/18	PURCHASED	2/12/2018	2/08/2018
94	44 SHS CALL GLD 8/17/18	PURCHASED	8/20/2018	8/02/2018
95	44 SHS CALL GLD 9/21/18	PURCHASED	9/12/2018	8/23/2018
96	3 SHS CALL GOOGL 3/16/18	PURCHASED	2/22/2018	2/15/2018
97	1050 SHS CALL NGD 5/18/18	PURCHASED	5/21/2018	5/02/2018
98	39 SHS CALL TWTR 3/16/18	PURCHASED	1/11/2018	12/14/2017
99	30 SHS CALL TWTR 3/16/18	PURCHASED	1/11/2018	12/15/2017
100	8 SHS CALL TWTR 3/16/18	PURCHASED	1/16/2018	12/14/2017
101	190 SHS CALL TWTR 3/16/18	PURCHASED	1/17/2018	12/14/2017
102	267 SHS CALL TWTR 3/16/18	PURCHASED	3/01/2018	2/13/2018
103	27 SHS CALL TWTR 6/15/18	PURCHASED	4/12/2018	3/01/2018
104	240 SHS CALL TWTR 6/15/18	PURCHASED	4/13/2018	3/01/2018
105	48 SHS CALL TWTR 6/15/18	PURCHASED	5/17/2018	5/15/2018
106	81 SHS CALL WFC 7/20/18	PURCHASED	7/23/2018	7/06/2018
107	81 SHS CALL WFC 1/18/19	PURCHASED	9/20/2018	8/15/2018

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	318,458.		286,537.	31,921.				\$ 31,921.
2	48,318.		46,644.	1,674.				1,674.
3	115,976.		113,808.	2,168.				2,168.
4	121,018.		118,063.	2,955.				2,955.
5	137,976.		119,126.	18,850.				18,850.
6	141,672.		123,262.	18,410.				18,410.
7	130,584.		124,208.	6,376.				6,376.
8	142,903.		136,049.	6,854.				6,854.
9	96,090.		91,184.	4,906.				4,906.
10	96,090.		91,391.	4,699.				4,699.
11	21,421.		8,212.	13,209.				13,209.
12	38,863.		16,424.	22,439.				22,439.
13	15,433.		5,912.	9,521.				9,521.
14	239,308.		233,349.	5,959.				5,959.
15	449,597.		446,412.	3,185.				3,185.
16	911.		874.	37.				37.
17	3,211.		2,765.	446.				446.
18	155,074.		141,714.	13,360.				13,360.
19	31,469.		30,743.	726.				726.
20	47,018.		42,311.	4,707.				4,707.
21	177,850.		159,868.	17,982.				17,982.
22	19,220.		15,821.	3,399.				3,399.
23	261.		273.	-12.				-12.
24	94.		103.	-9.				-9.
25	121.		119.	2.				2.
26	25,361.		25,333.	28.				28.

## ROY E. CRUMMER FOUNDATION

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STATEMENT 10 (CONTINUED)  
 FORM 990-PF, PART IV, LINE 1  
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
27	1,176.		1,208.	-32.				\$ -32.
28	9,277.		22,460.	-13,183.				-13,183.
29	6,923.		16,629.	-9,706.				-9,706.
30	5,239.		6,846.	-1,607.				-1,607.
31	22,750.		40,431.	-17,681.				-17,681.
32	10,055.		13,106.	-3,051.				-3,051.
33	36,434.		47,764.	-11,330.				-11,330.
34	3,342.		5,228.	-1,886.				-1,886.
35	26,897.		38,170.	-11,273.				-11,273.
36	22,716.		32,352.	-9,636.				-9,636.
37	6,280.		9,375.	-3,095.				-3,095.
38	3,935.		5,901.	-1,966.				-1,966.
39	15,850.		23,877.	-8,027.				-8,027.
40	9,887.		14,907.	-5,020.				-5,020.
41	1,072.		1,536.	-464.				-464.
42	20,394.		31,719.	-11,325.				-11,325.
43	636.		1,238.	-602.				-602.
44	1,531.		2,758.	-1,227.				-1,227.
45	13,885.		25,227.	-11,342.				-11,342.
46	7,657.		14,176.	-6,519.				-6,519.
47	1,669.		4,493.	-2,824.				-2,824.
48	648.		1,754.	-1,106.				-1,106.
49	5,833.		16,561.	-10,728.				-10,728.
50	1,221.		3,319.	-2,098.				-2,098.
51	1,448.		3,837.	-2,389.				-2,389.
52	2,215.		7,652.	-5,437.				-5,437.
53	1,520.		4,517.	-2,997.				-2,997.
54	891.		2,664.	-1,773.				-1,773.
55	1,899.		5,622.	-3,723.				-3,723.
56	607.		1,744.	-1,137.				-1,137.
57	4,001.		10,753.	-6,752.				-6,752.
58	15,610.		39,503.	-23,893.				-23,893.
59	8,218.		7,797.	421.				421.
60	23,101.		20,682.	2,419.				2,419.
61	8,751.		9,944.	-1,193.				-1,193.
62	52,525.		51,206.	1,319.				1,319.
63	438,443.		422,607.	15,836.				15,836.
64	7,611.		7,279.	332.				332.
65	64,365.		66,613.	-2,248.				-2,248.
66	159,280.		163,600.	-4,320.				-4,320.
67	66,109.		63,776.	2,333.				2,333.
68	487,162.		206,662.	280,500.				280,500.
69	456,016.		191,733.	264,283.				264,283.
70	145,292.		52,486.	92,806.				92,806.
71	202,484.		228,679.	-26,195.				-26,195.
72	204,337.		228,754.	-24,417.				-24,417.
73	1,919.		1,660.	259.				259.
74	169,321.		163,250.	6,071.				6,071.
75	3,866.		3,885.	-19.				-19.
76	255,866.		252,340.	3,526.				3,526.
77	905.		920.	-15.				-15.
78	85,076.		86,470.	-1,394.				-1,394.
79	89,388.		90,419.	-1,031.				-1,031.

## ROY E. CRUMMER FOUNDATION

88-6004422

**STATEMENT 10 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
80	2,745.		2,693.	52.				\$ 52.
81	1,558.		1,528.	30.				30.
82	93.		92.	1.				1.
83	90.		89.	1.				1.
84	2,556.		1,357.	1,199.				1,199.
85	75,996.		51,709.	24,287.				24,287.
86	54,035.		112,655.	-58,620.				-58,620.
87	44,115.		32,050.	12,065.				12,065.
88	4,230.		5,245.	-1,015.				-1,015.
89	813.		333.	480.				480.
90	4,870.		3,695.	1,175.				1,175.
91	9,902.		4,677.	5,225.				5,225.
92	1,556.		3,216.	-1,660.				-1,660.
93	1,278.		1,393.	-115.				-115.
94	1,626.		0.	1,626.				1,626.
95	9,055.		10,971.	-1,916.				-1,916.
96	3,300.		5,837.	-2,537.				-2,537.
97	5,239.		0.	5,239.				5,239.
98	3,796.		6,359.	-2,563.				-2,563.
99	2,920.		4,891.	-1,971.				-1,971.
100	877.		1,488.	-611.				-611.
101	20,829.		33,433.	-12,604.				-12,604.
102	18,415.		5,091.	13,324.				13,324.
103	12,285.		6,832.	5,453.				5,453.
104	109,198.		59,700.	49,498.				49,498.
105	4,592.		3,548.	1,044.				1,044.
106	3,300.		0.	3,300.				3,300.
107	73,539.		52,539.	21,000.				21,000.
							TOTAL	\$ <u>670,623.</u>

**STATEMENT 11**  
**FORM 990-PF, PART VIII, LINE 1**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
ROY E. CRUMMER III 567 SAN NICOLAS DRIVE, #410B NEWPORT BEACH, CA 92660	PRES/TRUSTEE 4.00	\$ 0.	\$ 0.	0.
DONN J. CRUMMER 567 SAN NICHOLAS DRIVE, #410B NEWPORT BEACH, CA 92660	VP/TRUSTEE 2.00	0.	0.	0.

ROY E. CRUMMER FOUNDATION

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**STATEMENT 11 (CONTINUED)**  
**FORM 990-PF, PART VIII, LINE 1**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
MARGARITE BROWN 567 SAN NICHOLAS DRIVE, #410B NEWPORT BEACH, CA 92660	SEC/TREAS/TRST 32.00	\$ 36,075.	\$ 7,446.	\$ 0.
KEITH R. CRUMMER 567 SAN NICHOLAS DRIVE, #410B NEWPORT BEACH, CA 92660	TRUSTEE 2.00		0.	0.
IAN F. GOW 567 SAN NICHOLAS DRIVE, # 410B NEWPORT BEACH, CA 92660	TRUSTEE 2.00		0.	0.
		TOTAL \$ 36,075.	\$ 7,446.	\$ 0.

**STATEMENT 12**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM:  
NAME: ROY E CRUMMER FOUNDATION  
CARE OF: MARGE BROWN  
STREET ADDRESS: 567 SAN NICOLAS DRIVE, SUITE 410B  
CITY, STATE, ZIP CODE: NEWPORT BEACH, CA 92660  
TELEPHONE: (949) 644-4702  
E-MAIL ADDRESS: NONE  
FORM AND CONTENT: IN WRITTEN FORM DESCRIBE ORGANIZATION AND PURPOSE.  
PROVIDE IRS FEDERAL TAX IDENTIFICATION NUMBER AND IRS  
APPROVAL LETTER OF YOUR ORGANIZATION'S TAX FREE STATUS.  
SUBMISSION DEADLINES: PRIOR TO OCTOBER 31 OF EACH YEAR  
RESTRICTIONS ON AWARDS: NONE