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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation
The Anna and John J Sie Foundation

Number and street (or P O box number if mail is not delivered to street address)
3239 E 2nd Ave

City or town, state or province, country, and ZIP or foreign postal code
Denver, CO 80206

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶\$ 45,825,810

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

A Employer identification number
83-6058353

B Telephone number (see instructions)
(303) 468-6060

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)			
	2 Check ▶ ☒ if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments			
	4 Dividends and interest from securities . . .	327,694	327,694	
	5a Gross rents			
	b Net rental income or (loss) _____			
	6a Net gain or (loss) from sale of assets not on line 10	5,300,062		
	b Gross sales price for all assets on line 6a _____ 7,634,448			
	7 Capital gain net income (from Part IV, line 2) . . .		6,016,241	
	8 Net short-term capital gain			
	9 Income modifications			
	10a Gross sales less returns and allowances _____			
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	 362,820	362,820		
12 Total. Add lines 1 through 11	5,990,576	6,706,755		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	126,823	120	126,703
	14 Other employee salaries and wages	156,245	0	156,245
	15 Pension plans, employee benefits	50,896	0	50,896
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	 7,335	0	7,335
	c Other professional fees (attach schedule)	 95,000	95,000	0
	17 Interest			
	18 Taxes (attach schedule) (see instructions) . . .			
	19 Depreciation (attach schedule) and depletion . . .			
	20 Occupancy			
	21 Travel, conferences, and meetings	3,339	0	3,339
	22 Printing and publications	277	0	277
	23 Other expenses (attach schedule)	 25,236	7,570	17,666
	24 Total operating and administrative expenses. Add lines 13 through 23	465,151	102,690	362,461
	25 Contributions, gifts, grants paid	6,362,068		6,362,068
	26 Total expenses and disbursements. Add lines 24 and 25	6,827,219	102,690	6,724,529
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	-836,643		
	b Net investment income (if negative, enter -0-)		6,604,065	
c Adjusted net income (if negative, enter -0-) . . .				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2018)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing		3			
	2	Savings and temporary cash investments	6,934,536	9,569,288	9,569,288		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ 1,000,000 Less allowance for doubtful accounts ▶ _____ 0	1,000,000	1,000,000	1,000,000		
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	9,008,110	8,007,846	9,837,054		
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	24,382,561	21,911,433	25,416,343		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)	3,125	3,125	3,125			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	41,328,335	40,491,692	45,825,810			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule).					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	0	0			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0	0			
	29	Retained earnings, accumulated income, endowment, or other funds	41,328,335	40,491,692			
	30	Total net assets or fund balances (see instructions)	41,328,335	40,491,692			
31	Total liabilities and net assets/fund balances (see instructions) .	41,328,335	40,491,692				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	41,328,335
2	Enter amount from Part I, line 27a	2	-836,643
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	40,491,692
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	40,491,692

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,016,241
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	6,962,454	56,003,715	0 124321
2016	11,767,540	59,185,426	0 198825
2015	17,367,399	75,197,675	0 230957
2014	5,755,166	86,270,634	0 066711
2013	13,521,815	84,474,994	0 160069
2 Total of line 1, column (d)			2 0 780883
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0 156177
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 50,882,086
5 Multiply line 4 by line 3			5 7,946,612
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 66,041
7 Add lines 5 and 6			7 8,012,653
8 Enter qualifying distributions from Part XII, line 4			8 6,724,529

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	132,081
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	132,081
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	132,081
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	146,708
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	146,708
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	563
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	14,064
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ 14,064 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► Mary Beth Wallingford Telephone no ► (303) 468-6060			

Located at **►** 3239 E 2nd Ave. Denver, COZIP+4 **►** 80206

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► ☐	15		
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ► 20____, 20____, 20____, 20____ ☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	☐ Yes ☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anna M Sie 3239 E 2nd Ave Denver, CO 80206	Trustee 7 00	0	0	0
John J Sie 3239 E 2nd Ave Denver, CO 80206	Trustee 25 00	12,000	16,284	0
Michelle S Whitten 3239 E 2nd Ave Denver, CO 80206	Executive Director 20 00	114,823	9,708	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

[illegible]

Total number of others receiving over \$50,000 for professional services.	0
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
--	----------

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	

[illegible]

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	43,561,588
b	Average of monthly cash balances.	1b	7,095,352
c	Fair market value of all other assets (see instructions).	1c	1,000,000
d	Total (add lines 1a, b, and c).	1d	51,656,940
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	51,656,940
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	774,854
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	50,882,086
6	Minimum investment return. Enter 5% of line 5.	6	2,544,104

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,544,104
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	132,081
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	132,081
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,412,023
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,412,023
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,412,023

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	6,724,529
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	6,724,529
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,724,529

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2,412,023
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.	9,518,083			
b From 2014.	1,510,490			
c From 2015.	13,724,341			
d From 2016.	8,966,922			
e From 2017.	4,202,233			
f Total of lines 3a through e.	37,922,069			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>6,724,529</u>				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				2,412,023
e Remaining amount distributed out of corpus	4,312,506			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	42,234,575			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	9,518,083			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	32,716,492			
10 Analysis of line 9				
a Excess from 2014.	1,510,490			
b Excess from 2015.	13,724,341			
c Excess from 2016.	8,966,922			
d Excess from 2017.	4,202,233			
e Excess from 2018.	4,312,506			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) See Additional Data Table	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
a The name, address, and telephone number or email address of the person to whom applications should be addressed	
b The form in which applications should be submitted and information and materials they should include	
c Any submission deadlines	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				
b <i>Approved for future payment</i> See Additional Data Table				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	327,694	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	362,820	
8 Gain or (loss) from sales of assets other than inventory			18	5,300,062	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .		0		5,990,576	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13	5,990,576	5,990,576

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2019-10-28	*****	May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	Laurie Anderson		2019-10-22		P01416697
	Firm's name ▶ Kundinger Corder & Engle PC				Firm's EIN ▶
Firm's address ▶ 475 Lincoln St Ste 200 Denver, CO 80203					Phone no (303) 534-5953

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 Marketable securities			
1 Marketable securities			
Wellington Equity Research	P		
Newport Asia	P		
Highclere	P		
Fortress Opportunity Fund	P		
Eaton Park	P		
CampCap Energy	P		
Viking Global	P		
Convexity	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
500,055		517,433	-17,378
3,004,093		306,810	2,697,283
			738,364
			188,362
			188,322
			10,351
10,248		19,411	-9,163
64		92	-28
1,000,000		321,272	678,728
1,000,000		621,875	378,125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17,378
			2,697,283
			738,364
			188,362
			188,322
			10,351
			-9,163
			-28
			678,728
			378,125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Indus Asia	P		
1 Highfields Capital	P		
Farallon Capital Institutional Partners	P		
Capital Gains Dividends	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,177		47,840	-43,663
1,757,000		1,061,502	695,498
			152,629
358,811			358,811

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43,663
			695,498
			152,629
			358,811

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

Anna M Sie
John J Sie

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Adam's Camp 6767 South Spruce Street Suite 102 Centennial, CO 80112	N/A	PC	Sponsorship and scholarship support for camp for children with special needs	5,000
Alzheimers Association 225 N Michigan Ave Floor 17 Chicago, IL 60601	N/A	PC	For advancement of research on Alzheimer's disease and down syndrome	25,000
American Cancer Society Inc 10065 E Harvard Avenue Ste 400 Denver, CO 80231	N/A	PC	ACS is the nationwide community-based voluntary health organization dedicated to eliminating cancer as a major health problem by preventing cancer, saving lives, and diminishing suffering from cancer, through research, education, advocacy, and service	10,000
Total ▶ 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Anti-Defamation League 1120 Lincoln Street Suite 1301 Denver, CO 80203	N/A	PC	ADL fights anti-Semitism and all forms of bigotry, [defend] democratic ideals, and [defend] civil rights for all", doing so through "information, education, legislation, and advocacy	9,400
Arc ThriftMetropolitan Assoc for RC 12345 W Alameda Pkwy Ste 111 Lakewood, CO 80228	N/A	PC	Advocating for the rights and full participation of all children and adults with intellectual and developmental disabilities In honor of Lloyd and board chain	49,400
Bicycle Colorado 1525 Market Street Ste 100 Denver, CO 80202	N/A	PC	Support of the Colorado Classic to push for safer bikeways across the state	5,000
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Cancer League of ColoradoPO Box 5373 Englewood, CO 801555373	N/A	PC	Funds research and service programs in Colorado 2018 Hope Ball Sponsorship	25,000
CESASC1750 Fulton Ave Monterey Park, CA 91755	N/A	PC	Endowment for perpetual scholarship to students of Chinese descent to continue their American College education	2,500
CESASC1750 Fulton Ave Monterey Park, CA 91755	N/A	PC	Endowment for perpetual scholarship to students of Chinese descent to continue their American College education	-2,500
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Children's Diabetes Foundation 4380 S Syracuse St 430 Denver, CO 80237	N/A	PC	To support research in childhood diabetes and to provide the best possible clinical and educational programs for children with diabetes	22,500
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B045 Aurora, CO 80045	N/A	PC	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	93,713
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B045 Aurora, CO 80045	N/A	PC	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	10,000
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B Aurora, CO 80045	N/A	PC	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	25,000
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B Aurora, CO 80045	N/A	PC	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	16,099
Children's Hospital Colorado Foundation Anschutz Medical Campus 13123 E 16th Ave B Aurora, CO 80045	N/A	PC	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	170,000
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Chime Institute19722 Collier Street Woodland Hills, CA 91364	N/A	PC	To Support CHIME's mission of high quality education for children with or without disabilities and using annual funding gifts for the backbone of giving to CHIME because they allow the Institute to use the funds where they are most needed	25,000
Colorado Ballet1075 Santa Fe Drive Denver, CO 80204	N/A	PC	Mission is to present superior quality classical ballet and innovative dance through performances, training, and education programs that enhance the cultural life of our community	15,500
Colorado Symphony Association Boettcher Concert Hall 1000 14th St Unit 15 Denver, CO 80202	N/A	PC	To support Colorado cultural enterprise and orchestra's operating strategies to keep pace with the fluctuating economic and social environment	10,000
Total ▶ 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Denver Academy4400 East Iliff Avenue Denver, CO 80222	N/A	PC	Assist future generations of children with learning differences who will need financial aid to attend the school	2,500
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204	N/A	PC	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	2,400,000
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204	N/A	PC	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	50,000
Total			▶ 3a	6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204	N/A	PC	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	5,100
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204	N/A	PC	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	100,000
Denver Art Museum 100 West 14th Avenue Parkway Denver, CO 80204	N/A	PC	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	7,600
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Denver Film Society 1510 York St 3rd Floor Denver, CO 80206	N/A	PC	The Denver Film Societys mission is to develop opportunities for diverse audiences to discover film through creative thought-provoking experiences	1,000
Denver Film Society 1510 York St 3rd Floor Denver, CO 80206	N/A	PC	The Denver Film Societys mission is to develop opportunities for diverse audiences to discover film through creative thought-provoking experiences	20,000
Denver Health and Hospitals Foundation 601 Broadway MC 0111 Denver, CO 80203	N/A	PC	Gold-level sponsor of 2018 Night Shine gala for the Child and Adolescent Behavioral Health Endowment	24,500
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Denver Health and Hospitals Foundation 601 Broadway MC 0111 Denver, CO 80203	N/A	PC	Denver Health and the Global Down Syndrome Foundation are piloting a clinic that will provide quality medical care to Colorado adults with Down syndrome	12,500
Denver Health and Hospitals Foundation 601 Broadway MC 0111 Denver, CO 80203	N/A	PC	Denver Health and the Global Down Syndrome Foundation are piloting a clinic that will provide quality medical care to Colorado adults with Down syndrome	12,500
Denver School of Science and Technology 3401 Quebec St Suite 2000 Denver, CO 80207	N/A	PC	To Support the 2018 Slice of Pi - Xenon Sponsorship event benefitting the education for students with special needs	49,800
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Denver School of Science and Technology 3401 Quebec St Suite 2000 Denver, CO 80207	N/A	PC	To support DSST Byers High School Special needs opening a "PLEX Center Program" for Denver Public Schools The PLEX (Pragmatic Language Executive Functioning) program is a special education program for specific students with particular needs	25,000
Down Syndrome Affiliates in Action 1335 34th St NE Paris, TX 75462	N/A	PC	Support of DSAIA's conference which is focused on making a difference in the growth capabilities of Down Syndrome organizations across the nation	10,000
Friends of the Haven 3610 W Princeton Circle Denver, CO 80236	N/A	PC	To support improving the quality of life and productivity of individuals and families affected by substance abuse, dependence and co-occurring mental health disorders	5,000
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	28,600
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	13,150
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	10,000
Total ▶ 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	25,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	50,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	5,000
Total	▶ 3a			6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	6,500
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	5,000
Global Down Syndrome Foundation 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	11,500
Total ▶ 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Down Syndrome Foundation - In-kind paid to Colorado Rapids 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	6,700
Global Down Syndrome Foundation - In-kind from AJSF to GDSF 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	746,983
Global Down Syndrome Foundation - In-kind paid to Cooley 3239 E 2nd Avenue Denver, CO 80206	Sister	PC	To improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	16,000
Total ▶ 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Global Livingston Institute 3001 Brighton Blvd Suite 2662 Denver, CO 80216	N/A	PC	To educate students and community leaders on innovative approaches to international development and empower awareness, collaboration, conversations and personal growth	15,000
Global Livingston Institute 3001 Brighton Blvd Suite 2662 Denver, CO 80216	N/A	PC	To educate students and community leaders on innovative approaches to international development and empower awareness, collaboration, conversations and personal growth	450
In-Colorado Initiative for IHE 1805 Kipling Street Suite 111 Lakewood, CO 80215	N/A	PC	To support Colorado students with intellectual disabilities (ID/D), who have the desire to continue their education, the opportunity to attend college in our state	2,500
Total ▶ 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Judaism Your Way 600 N Grant St Suite 308 Denver, CO 80203	N/A	PC	To offer innovative opportunities to connect Jewishly for all Jews and loved ones Meeting Jews and their loved ones wherever they are on the their Jewish journeys	50,000
Judi's House1741 Gaylord St Denver, CO 80206	N/A	PC	Provide long-term, clinically proven efforts to help children and caregivers in their processing of grief through in-house programs, as well as partnerships with schools, workplaces, and community agencies We ensure that grieving children and their families do not lose sight that hope and healing is possible	10,000
Make-a-Wish Foundation - Colorado Chapter 7951 E Maplewood Ave Ste 126 Greenwood Village, CO 80111	N/A	PC	Granting the wishes of children with life-threatening medical conditions to enrich the human experience with hope, strength, and joy	10,226
Total ▶ 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Mayo Clinic200 1st St SW Rochester, MN 55905	N/A	PC	To inspire hope and contribute to health and well-being by providing the best care to every patient through integrated clinical practice, education and research	5,000
Memorial Sloan Kettering Cancer Center PO Box 27106 New York, NY 10087	N/A	PC	To provide patients with the best care available and to discover more-effective strategies to prevent, control, and ultimately cure cancer in the future	5,000
Mile High Montessori Early Learning Cnts 1799 Pennysylvania Street 4th Floor Denver, CO 80203	N/A	PC	To ensuring that low income children have the chance to learn, grow and develop, and that their families are actively engaged in their educational experiences	15,000
Total ► 3a				6,362,068

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Mizel Institute 4350 S Monaco Street 5th Floor Denver, CO 80237	N/A	PC	To bring global security and political experts to the city of Denver and provide the public with unparalleled opportunities to learn about the challenges facing our nation with regards to emerging threats	25,000
National Down Syndrome Congress 30 Mansell Ct Suite 108 Roswell, GA 30076	N/A	PC	Provides information, advocacy and support concerning all aspects of life for individuals with Down Syndrome	25,000
National Jewish Health 1400 Jackson Street S724 Denver, CO 80206	N/A	PC	Provide support for clinical, research, and educational programs to the benefit of people with respiratory, cardiac,, immune and related diseases worldwide	50,000
Total ► 3a				6,362,068

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NEWSED Community Development Corp Inc 2120 West 7th Avenue Denver, CO 80204	N/A	PC	NEWSED promotes the economic success of underserved populations in the seven-county Denver metro area through community development with a focus on affordable housing, small businesses and asset creation	4,840
Parent Possible800 Grant Street Ste 200 Denver, CO 80203	N/A	PC	To equip parents of young children with the tools and information to be their child's most valuable teacher, trainer, and mentor in life	12,000
Parent Project for Muscular Dystrophy 401 Hackensack Ave 9th Floor Hackensack, NJ 07601	N/A	PC	Improve the treatment, quality of life and long term outlook for all individuals affected by Duchenne and Becker muscular dystrophy	5,000
Total ► 3a				6,362,068

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a <i>Paid during the year</i>				
Rocky Mountain Children's Law Center 1325 S Colorado Blvd Ste 701 Denver, CO 80222	N/A	PC	Provide legal aid for abused children	10,000
Rocky Mountain Down Syndrome Association 7200 E Hampden Ave Ste 301 Denver, CO 80224	N/A	PC	To support children and families with Down Syndrome	1,000
Rocky Mountain Down Syndrome Association 7200 E Hampden Ave Ste 301 Denver, CO 80224	N/A	PC	To support children and families with Down Syndrome	15,000
Total			3a	6,362,068

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a <i>Paid during the year</i>				
Rocky Mountain Human Services - Denver Options Inc 9900 East Iliff Avenue Denver, CO 80231	N/A	PC	Responding to the needs of individuals with developmental and intellectual disabilities, especially when no other agency in Denver can respond	5,000
Roundup River RanchPO Box 8589 Avon, CO 81620	N/A	PC	Roundup River Ranch enriches the lives of children with serious illnesses and their families by offering free, medically- supported camp programs that provide unforgettable opportunities to discover joy, friendships, and confidence	5,000
Special Olympics Inc 1809 7th Avenue Suite 1509 Seattle, WA 981014400	N/A	PC	Providing sports training and athletic competition for children and adults with intellectual disabilities	5,000
Total ► 3a				6,362,068

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a <i>Paid during the year</i>				
Stanley British Primary School 350 Quebec Street Denver, CO 802306809	N/A	PC	To support the Inclusion School Study benefiting children's education & 2018 Teacher support	47,578
Stanley British Primary School 350 Quebec Street Denver, CO 802306809	N/A	PC	To support the Inclusion School Study benefiting children's education & 2018 Teacher support	25,000
Sun Foundation 325 Inverness Drive South Englewood, CO 80112	N/A	PC	To provide financial resources in serving unmet needs of individuals with developmental disabilities/delays in their pursuit of a meaningful life	1,000
Total ▶ 3a				6,362,068

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a <i>Paid during the year</i>				
The 1990 InstituteP O Box 383 San Francisco, CA 941040383	N/A	PC	China's Green Student Forum which promotes solidarity of the youth to save China's environment	5,000
The Cable Center2000 Buchtel Blvd Denver, CO 80210	N/A	PC	Support unique and compelling programs that educate people about the cable business and offer new insights and historical perspective to industry leaders, up-and-comers, the academic community, journalists,and researchers	25,000
The CELL4350 S Monaco Street 5th floor Denver, CO 80237	N/A	PC	To bring global security and political experts to the city of Denver and provide the public with unparalleled opportunities to learn about the challenges facing our nation with regards to emerging threats	25,000
Total ► 3a				6,362,068

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a <i>Paid during the year</i>				
The Chosen & Dearly Loved Founder 1400 Wewatta Street Suite 900 Denver, CO 80202	N/A	PC	To provide special needs children with life-saving and life- enhancing care, and whenever possible, a loving permanent family	10,000
The Joshua School dba Joshua Inc 2303 E Dartmouth Avenue Englewood, CO 80113	N/A	PC	Supporting an educational and therapeutic day treatment center for individuals and families challenged by autism spectrum disorders and developmental disabilities	5,000
The Rise School of Denver 4901 E Eastman Avenue Denver, CO 80222	N/A	PC	Supporting the school to provide early childhood education to all children, including those children with developmental disabilities	5,000
Total ▶ 3a				6,362,068

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a <i>Paid during the year</i>				
The University of Texas Foundation PO Box 250 Austin, TX 787670250	N/A	PC	To support MD Anderson Cancer Center's academic, research, and public service efforts	100,000
Third Sector New England Inc 1705 17th Street Suite 200 Denver, CO 80202	N/A	PC	To support Early Childhood Philmathropist Group in an effort to increase the quality, coordination, creativity and impacts in Colorado's ECD system	10,000
Third Way CenterP O Box 61385 Denver, CO 80206	N/A	PC	Providing a continuum of services in a home-like environment where high-risk, homeless, mentally ill and disadvantage youth can work through their problems, learn to take responsibility for their actions and become productive members of society	5,000
Total ► 3a				6,362,068

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Name and address (home or business)				
a <i>Paid during the year</i>				
University of Colorado Foundation 1800 Grant Street Ste 725 Denver, CO 80203	N/A	PC	Memorial Fund 0223932-Dr Richard J Traystman In honor of Dr Richard J Traystman	1,000
University of Colorado Foundation 1800 Grant Street Ste 725 Denver, CO 80203	N/A	PC	Linda Crnic Institute Flexible Endowment Research Fund to support basic science research in Down Syndrome at the Linda Crnic Institute at the University of Colorado Anschutz Medical Campus	250,000
University of Colorado Foundation 1800 Grant Street Ste 725 Denver, CO 80203	N/A	PC	Linda Crnic Institute Flexible Endowment Research Fund to support basic science research in Down Syndrome at the Linda Crnic Institute at the University of Colorado Anschutz Medical Campus Linda Crnic Institute DS Operating Funds FY 2018/19	500,000
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Name and address (home or business)				
a <i>Paid during the year</i>				
University of Colorado Foundation 1800 Grant Street Ste 725 Denver, CO 80203	N/A	PC	Linda Crnic Institute Flexible Endowment Research Fund to support basic science research in Down Syndrome at the Linda Crnic Institute at the University of Colorado Anschutz Medical Campus Linda Crnic Institute - Recruitment of Dr Joaquin Espinosa, payment 4 of 5	150,000
University of Denver - 2101 2101 S University Blvd Denver, CO 802088921	N/A	PC	Support Daniels College of Business - Rizzuto Chair for Excellance, payment 3 of 4 The campaign for the University of Denver that strengthens people and programs of the university	500,000
University of Denver - 2101 2101 S University Blvd Denver, CO 802088921	N/A	PC	Project Echo - Constellation Philanthropy The campaign for the University of Denver that strengthens people and programs of the university	10,000
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Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
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University of Denver - 2190 2190 E Asbury Ave Denver, CO 80208	N/A	PC	Founder's Day sponsorship - Brick Path The campaign for the University of Denver that strengthens people and programs of the university	10,000
University of Denver - 2190 2190 E Asbury Ave Denver, CO 80208	N/A	PC	Newman Center for The Performing Arts Endowed Building Fund The campaign for the University of Denver that strengthens people and programs of the university	1,000
University of Denver - 2190 2190 E Asbury Ave Denver, CO 80208	N/A	PC	2018 Korbel Dinner Sponsorship The campaign for the University of Denver that strengthens people and programs of the university	48,000
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Name and address (home or business)				
a <i>Paid during the year</i>				
University of Denver - 2190 2190 E Asbury Ave Denver, CO 80208	N/A	PC	Payment 3 of 3 Dan Ritchie Tribute Garden and Scholarship Fund The campaign for the University of Denver that strengthens people and programs of the university	100,000
University of Denver - 2190 2190 E Asbury Ave Denver, CO 80208	N/A	PC	Margo & M Allan Frank Endowed Scholarship Fund The campaign for the University of Denver that strengthens people and programs of the university	1,000
University of Denver - 2201 2201 S Gaylord St Denver, CO 80208	N/A	PC	2018 Sabel Award Dinner - China Center The campaign for the University of Denver that strengthens people and programs of the university	4,870
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a <i>Paid during the year</i>				
University of Denver - CEMMP 2101 South University Blvd Denver, CO 80208	N/A	PC	CEMMP 2018 Reunion program expenses associated with Chinese Executive Media Management Program The campaign for the University of Denver that strengthens people and programs of the university	6,859
University of Washington Foundation Box 357925 Seattle, WA 981987925	N/A	PC	Funding for Experimental Education Unit (EEU), the Applied Research Unit, and the Professional Development Unit where individuals with disabilities learn how to learn and families are supported	15,000
Volunteers of America 2660 Larimer Street Denver, CO 80205	N/A	PC	To support Volunteers of America who give critical services to families, women and children	23,200
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a <i>Paid during the year</i>				
Von's Vision Foundation Inc 59 Cavalier Blvd Ste 310 Florence, KY 41042	N/A	PC	Von Miller's charitable foundation that provides Denver children access to free eye exams and glasses	10,000
WISH Charter School6550 W 80th St Los Angeles, CA 90045	N/A	PC	The WISH mission is to maximize every scholar's learning potential within an atmosphere of caring and belonging WISH is modeled after the award-winning CHIME Charter Elementary School in Woodland Hills, which received the distinction of "Charter School of the Year" by the US Department of Education in 2005	25,000
Total ▶ 3a				6,362,068

TY 2018 Accounting Fees Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Hanson & Co CPAs	235	0		235
Kundinger, Corder & Engle, PC	7,100	0		7,100

TY 2018 Investments Corporate Stock Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Longleaf Small Cap Fund	3,668,521	2,856,000
Dodge & Cox International Equity Fund	2,855,913	3,024,261
AT&T	327,512	446,194
Liberty Media Corporation - FWONA	35,654	255,455
Liberty Media Corporation - FWONK	68,799	123,635
Liberty Broadband Corporation - LBRDA	80,456	298,730
Liberty Broadband Corporation - LBRDK	159,609	599,362
Liberty Broadband Corporation - LSXMA	191,370	612,462
Liberty Broadband Corporation - LSXMK	370,337	1,230,953
Liberty Broadband Corporation - BATRA	17,217	41,500
Liberty Broadband Corporation - BATRK	27,330	82,834
Liberty Broadband Corporation - LGF.B	205,128	265,668

TY 2018 Investments - Other Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Wellington Trust Company, CTF Research Equity Fund	AT COST	7,821,632	7,108,979
Newport Asia Institutional Fund	AT COST	1,680,043	2,104,954
Highclere Investment Fund	AT COST	2,848,851	2,282,259
Viking Global Equities	AT COST	1,556,907	4,625,942
Maverick Fund LDC	AT COST	2,000,000	2,519,791
Highfields Capital	AT COST	647,650	1,071,992
Fortress Credit Opportunities Fund	AT COST	453,129	408,924
CampCap Energy Offshore Fund	AT COST	94,040	28,012
Convexity Capital Offshore LP	AT COST	1,040,719	1,682,784
Sowood Alpha Fund	AT COST	74,511	6,772
Eaton Park Overseas Fund	AT COST	17,039	6,646
Farallon	AT COST	3,676,912	3,569,288

TY 2018 Other Assets Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Public service videos	1,000	1,000	1,000
Deposits	2,125	2,125	2,125

TY 2018 Other Expenses Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Office supplies	10,270	0		10,270
Telephone	1,146	0		1,146
Payroll processing fee	5,424	0		5,424
Subscriptions	810	0		810
Bank service charges	16	0		16
Investment expense	7,570	7,570		0

TY 2018 Other Income Schedule

Name: The Anna and John J Sie Foundation

EIN: 83-6058353

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Farallon Capital Institutional Partners, LP	154,509	154,509	154,509
Wellington Equity Research	128,244	128,244	128,244
Newport Asia Institutional Fund	8,091	8,091	8,091
Highclere Investment Fund	66,521	66,521	66,521
Fortress Credit Opportunities Fund	4,738	4,738	4,738
Miscellaneous	717	717	717

TY 2018 Other Professional Fees Schedule**Name:** The Anna and John J Sie Foundation**EIN:** 83-6058353

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Monticello Associates	75,000	75,000		0
Paul Comstock Partners	20,000	20,000		0