

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

| | | | |
|--|--|---|---|
| Name of foundation THE JAMES AND GERALDINE GODFREY FOUNDATION | | A Employer identification number 81-0793649 | |
| Number and street (or P O box number if mail is not delivered to street address) 17720 MARINE DRIVE | | Room/suite | B Telephone number (see instructions) (206) 624-1230 |
| City or town, state or province, country, and ZIP or foreign postal code STANWOOD, WA 98292 | | C If exemption application is pending, check here ▶ <input type="checkbox"/> | |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/> | |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,756,967 | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/> | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | 650,000 | | | |
| | 2 Check ▶ <input type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 12,303 | 12,303 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) _____ | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -201,832 | | | |
| | b Gross sales price for all assets on line 6a _____ 11,626,664 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances _____ | | | | |
| Operating and Administrative Expenses | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 Total. Add lines 1 through 11 | 460,471 | 12,303 | | |
| | 13 Compensation of officers, directors, trustees, etc | 0 | 0 | | 0 |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | 1,365 | 1,365 | | 0 |
| | b Accounting fees (attach schedule) | 1,590 | 1,590 | | 0 |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | 337 | 337 | | 0 |
| | 18 Taxes (attach schedule) (see instructions) | 2,119 | 2,119 | | 0 |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 14,343 | 14,343 | | 0 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 19,754 | 19,754 | | 0 |
| | 25 Contributions, gifts, grants paid | 57,350 | | | 57,350 |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 77,104 | 19,754 | | 57,350 |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | 383,367 | | | |
| | b Net investment income (if negative, enter -0-) | | 0 | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | | |
|-----------------------------|--|---|---|-----------|---------|
| | | Beginning of year (a) Book Value | End of year (b) Book Value (c) Fair Market Value | | |
| Assets | 1 | Cash—non-interest-bearing | 719,547 | 325,429 | 325,429 |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 4 | Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 0 | 511,367 | 466,446 |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ _____ 964,880 Less accumulated depreciation (attach schedule) ▶ _____ | 690,887 | 964,880 | 964,880 |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 | Other assets (describe ▶ _____) | 0 | 212 | 212 | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 1,410,434 | 1,801,888 | 1,756,967 | |
| Liabilities | 17 | Accounts payable and accrued expenses | | 274 | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | 7,653 | |
| | 22 | Other liabilities (describe ▶ _____) | 0 | 160 | |
| | 23 | Total liabilities (add lines 17 through 22) | 0 | 8,087 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 | Capital stock, trust principal, or current funds | 0 | 0 | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | 0 | 0 | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 1,410,434 | 1,793,801 | |
| | 30 | Total net assets or fund balances (see instructions) | 1,410,434 | 1,793,801 | |
| 31 | Total liabilities and net assets/fund balances (see instructions) . | 1,410,434 | 1,801,888 | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | | |
|---|--|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,410,434 |
| 2 | Enter amount from Part I, line 27a | 2 | 383,367 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 1,793,801 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ | 5 | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . | 6 | 1,793,801 |

Part IV Capital Gains and Losses for Tax on Investment Income

| | | | |
|--|--|--|--|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 1a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|------------------------------------|--|---|--|
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|--|---|--|
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|---|----------|----------|
| 2 Capital gain net income or (net capital loss) <div style="float: right; font-size: small;"> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> | 2 | -201,832 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2017 | 45,740 | 245,739 | 0 186132 |
| 2016 | 17,000 | 290,439 | 0 058532 |
| 2015 | 0 | 295,500 | 0 000000 |
| 2014 | | | |
| 2013 | | | |

| | | |
|--|----------|----------|
| 2 Total of line 1, column (d) | 2 | 0 244664 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 081555 |
| 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | 4 | 741,107 |
| 5 Multiply line 4 by line 3 | 5 | 60,441 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 0 |
| 7 Add lines 5 and 6 | 7 | 60,441 |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 57,350 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|---|-----------|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 0 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 0 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 0 |
| 6 | Credits/Payments | | |
| a | 2018 estimated tax payments and 2017 overpayment credited to 2018 | 6a | 0 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 0 |
| d | Backup withholding erroneously withheld | 6d | 0 |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 0 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | 0 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶ | 9 | 0 |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶ | 10 | |
| 11 | Enter the amount of line 10 to be Credited to 2019 estimated tax ▶ Refunded ▶ | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----------|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | 1b | No |
| c Did the foundation file Form 1120-POL for this year? | 1c | No |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0 | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0 | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | 2 | No |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | No |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | No |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T | 5 | No |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | 7 | Yes |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WA _____ | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | 8b | No |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | No |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | No |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|-----------|--|-----------|------------|-----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A | 13 | Yes | |
| 14 | The books are in care of ▶ JAMES GODFREY Telephone no ▶ (206) 931-2880 | | | |

Located at **▶** 17720 MARINE DRIVE STANWOOD WA ZIP+4 **▶** 98292

| | | | | |
|-----------|---|-----------|------------|-----------|
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/> | | | |
| | and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | | |
| 16 | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|-----------|---|------------|-----------|
| 1a | During the year did the foundation (either directly or indirectly) | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. ▶ <input type="checkbox"/> | 1b | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? | 1c | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | 4b | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | |
|--|---|------------|-----------|
| 5a During the year did the foundation pay or incur any amount to | | Yes | No |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. | | 5b | |
| Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/> | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| If "Yes," attach the statement required by Regulations section 53.4945–5(d) | | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 6b | No |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| If "Yes" to 6b, file Form 8870 | | | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 7b | |
| b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | <input type="checkbox"/> Yes <input type="checkbox"/> No | | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| JAMES A GODFREY 17720 MARINE DRIVE STANWOOD, WA 98292 | PRESIDENT, TREASURER, DIRE 1 00 | 0 | 0 | 0 |
| MARY G GODFREY 17720 MARINE DRIVE STANWOOD, WA 98292 | SECRETARY, DIRECTOR 1 00 | 0 | 0 | 0 |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

| | |
|--|---|
| Total number of other employees paid over \$50,000. ▶ | 0 |
|--|---|

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|----------|----------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |
| Total. Add lines 1 through 3 | 0 |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|---------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities. | 1a | 441,406 |
| b | Average of monthly cash balances. | 1b | 310,987 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 752,393 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 752,393 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 11,286 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. | 5 | 741,107 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 37,055 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|--|-----------|--------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 37,055 |
| 2a | Tax on investment income for 2018 from Part VI, line 5. | 2a | |
| b | Income tax for 2018 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 0 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 37,055 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 37,055 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 37,055 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|--------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 57,350 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. | 4 | 57,350 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 57,350 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | 37,055 |
| 2 Undistributed income, if any, as of the end of 2018 | | | | |
| a Enter amount for 2017 only. | | | 0 | |
| b Total for prior years 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2018 | | | | |
| a From 2013. | | | | |
| b From 2014. | | | | |
| c From 2015. | | | | |
| d From 2016. | | | | 1,344 |
| e From 2017. | | | | 33,453 |
| f Total of lines 3a through e. | 34,797 | | | |
| 4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 57,350 | | | | |
| a Applied to 2017, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2018 distributable amount. | | | | 37,055 |
| e Remaining amount distributed out of corpus | 20,295 | | | |
| 5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0 | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 55,092 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). | 0 | | | |
| 9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a | 55,092 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2014. | | | | |
| b Excess from 2015. | | | | |
| c Excess from 2016. | | | | 1,344 |
| d Excess from 2017. | | | | 33,453 |
| e Excess from 2018. | | | | 20,295 |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2018 | (b) 2017 | (c) 2016 | (d) 2015 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c "Support" alternative test—enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | ▶ 3a | |
| b <i>Approved for future payment</i> | | | | |
| Total | | | ▶ 3b | |

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2018)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | |
|---|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash. | 1a(1) | | No |
| (2) Other assets. | 1a(2) | | No |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization. | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization. | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets. | 1b(3) | | No |
| (4) Reimbursement arrangements. | 1b(4) | | No |
| (5) Loans or loan guarantees. | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations. | 1b(6) | | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|-------------|---------------------|---|--|
| | | | |
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| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

| | | | | |
|------------------|---------------------------------|------------|-------|---|
| Sign Here | ***** | 2019-11-14 | ***** | May the IRS discuss this return with the preparer shown below? (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| | Signature of officer or trustee | Date | Title | |

| | | | | | |
|--|---------------------------------------|----------------------|------------|---|--------------------------------|
| Paid Preparer Use Only | Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed <input type="checkbox"/> | PTIN |
| | ROSS D MCIVOR CPA | | 2019-11-14 | | P00003577 |
| | Firm's name BAUER EVANS INC PS | | | | Firm's EIN 91-1507971 |
| Firm's address 2050 112TH AVE NE SUITE 130 BELLEVUE, WA 98004 | | | | | Phone no (425) 450-0585 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 1 3222 SH OF GUGGENHEIM | | 2018-03-05 | 2018-04-04 |
| 1 3186 SH OF FIRST TR EXCHANGE TRADED FD | | | 2018-12-26 |
| 905 SH OF ISHARES LIQUIDATION | | 2018-03-05 | 2018-08-16 |
| 450 SH OF TRI-CONTINENTIAL CORP | | 2018-03-05 | 2018-11-08 |
| 114 SH OF SPDR GOLD SHARES | | 2018-10-03 | 2018-10-03 |
| FOREIGN CURRENCY TRANSLATION | P | | |
| TRI-CONTINENTIAL CORP | P | | |
| 260 SH AAC TECHNOLOGIES HOLDINGS IN | | 2018-11-07 | 2018-11-16 |
| 6 SH AAL 28DEC18 34 0 C | | 2018-12-11 | 2018-12-28 |
| 161 SH ABBOTT LABORATORIES | | 2018-09-19 | 2018-09-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 161,576 | | 161,698 | -122 |
| 76,869 | | 93,102 | -16,233 |
| 27,154 | | 26,515 | 639 |
| 12,055 | | 12,083 | -28 |
| 12,928 | | 12,933 | -5 |
| | | 5,235 | -5,235 |
| 2,641 | | | 2,641 |
| 1,727 | | 1,958 | -231 |
| | | 843 | -843 |
| 11,034 | | 11,034 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -122 |
| | | | -16,233 |
| | | | 639 |
| | | | -28 |
| | | | -5 |
| | | | -5,235 |
| | | | 2,641 |
| | | | -231 |
| | | | -843 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|--|---|---|-------------------------------------|
| 181 SH ABBOTT LABORATORIES | | | 2018-10-02 | 2018-10-04 |
| 1 60 SH ABBOTT LABORATORIES | | | 2018-10-25 | 2018-10-25 |
| 98 SH ABBOTT LABORATORIES | | | 2018-10-31 | 2018-11-01 |
| 138 SH ABBOTT LABORATORIES | | | 2018-11-05 | 2018-11-05 |
| 16 SH ABBOTT LABORATORIES | | | 2018-11-12 | 2018-11-12 |
| 40 SH ABBOTT LABORATORIES | | | 2018-11-13 | 2018-11-13 |
| 160 SH ABBOTT LABORATORIES | | | 2018-11-19 | 2018-11-19 |
| 152 SH ABBOTT LABORATORIES | | | 2018-11-21 | 2018-11-21 |
| 220 SH ABBOTT LABORATORIES | | | 2018-11-26 | 2018-11-26 |
| 213 SH ABBOTT LABORATORIES | | | 2018-12-06 | 2018-12-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 12,995 | | 13,038 | -43 |
| 4,079 | | 4,079 | 0 |
| 6,851 | | 6,851 | 0 |
| 9,722 | | 9,722 | 0 |
| 1,128 | | 1,128 | 0 |
| 2,783 | | 2,783 | 0 |
| 11,278 | | 11,278 | 0 |
| 10,426 | | 10,426 | 0 |
| 15,135 | | 15,135 | 0 |
| 15,193 | | 15,139 | 54 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|----------------------------|---|---|-------------------------------------|
| 216 SH ABBOTT LABORATORIES | | | 2018-12-07 | 2018-12-07 |
| 1 | 208 SH ABBOTT LABORATORIES | | 2018-12-14 | 2018-12-14 |
| 217 SH ABBOTT LABORATORIES | | | 2018-12-20 | 2018-12-20 |
| 69 SH ABBOTT LABORATORIES | | | 2018-12-21 | 2018-12-26 |
| 441 SH ABBOTT LABORATORIES | | | | 2018-09-06 |
| 382 SH ABBOTT LABORATORIES | | | | 2018-09-28 |
| 168 SH ABBOTT LABORATORIES | | | | 2018-11-14 |
| 252 SH ABBOTT LABORATORIES | | | | 2018-12-03 |
| 57 SH ABBVIE INC | | | 2018-09-05 | 2018-09-05 |
| 9 SH ABBVIE INC | | | 2018-09-10 | 2018-09-14 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 15,076 | | 15,072 | 4 |
| 14,658 | | 14,658 | 0 |
| 14,856 | | 14,856 | 0 |
| 4,637 | | 4,750 | -113 |
| 29,082 | | 29,043 | 39 |
| 28,024 | | 27,996 | 28 |
| 11,673 | | 11,671 | 2 |
| 18,724 | | 18,690 | 34 |
| 5,404 | | 5,374 | 30 |
| 864 | | 846 | 18 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 4 |
| | | | 0 |
| | | | 0 |
| | | | -113 |
| | | | 39 |
| | | | 28 |
| | | | 2 |
| | | | 34 |
| | | | 30 |
| | | | 18 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------|---|---|-------------------------------------|
| 184 SH ABBVIE INC | | | 2018-09-18 | 2018-09-26 |
| 1 | 143 SH ABBVIE INC | | 2018-10-02 | 2018-10-02 |
| | 143 SH ABBVIE INC | | 2018-10-05 | 2018-10-05 |
| | 78 SH ABBVIE INC | | 2018-10-15 | 2018-10-15 |
| | 124 SH ABBVIE INC | | 2018-10-18 | 2018-10-18 |
| | 157 SH ABBVIE INC | | 2018-10-31 | 2018-11-01 |
| | 190 SH ABBVIE INC | | 2018-11-02 | 2018-11-05 |
| | 143 SH ABBVIE INC | | 2018-11-06 | 2018-11-06 |
| | 133 SH ABBVIE INC | | 2018-11-19 | 2018-11-19 |
| | 152 SH ABBVIE INC | | 2018-11-21 | 2018-11-21 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 17,482 | | 17,177 | 305 |
| 13,474 | | 13,449 | 25 |
| 13,495 | | 13,373 | 122 |
| 6,970 | | 6,970 | 0 |
| 11,144 | | 11,144 | 0 |
| 12,590 | | 12,590 | 0 |
| 15,700 | | 15,535 | 165 |
| 11,968 | | 11,952 | 16 |
| 11,883 | | 11,883 | 0 |
| 13,097 | | 13,097 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 305 |
| | | | 25 |
| | | | 122 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 165 |
| | | | 16 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------|---|---|-------------------------------------|
| 72 SH ABBVIE INC | | | 2018-11-26 | 2018-11-26 |
| 1 | 103 SH ABBVIE INC | | 2018-12-03 | 2018-12-03 |
| 50 SH ABBVIE INC | | | 2018-12-06 | 2018-12-06 |
| 40 SH ABBVIE INC | | | 2018-12-07 | 2018-12-07 |
| 169 SH ABBVIE INC | | | 2018-12-11 | 2018-12-11 |
| 60 SH ABBVIE INC | | | 2018-12-13 | 2018-12-13 |
| 171 SH ABBVIE INC | | | 2018-12-14 | 2018-12-14 |
| 175 SH ABBVIE INC | | | 2018-12-18 | 2018-12-19 |
| 95 SH ABBVIE INC | | | 2018-12-21 | 2018-12-24 |
| 116 SH ABBVIE INC | | | 2018-12-27 | 2018-12-27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 6,212 | | 6,212 | 0 |
| 9,646 | | 9,646 | 0 |
| 4,499 | | 4,499 | 0 |
| 3,488 | | 3,488 | 0 |
| 14,926 | | 14,906 | 20 |
| 5,270 | | 5,270 | 0 |
| 14,569 | | 14,569 | 0 |
| 14,925 | | 14,914 | 11 |
| 8,146 | | 8,146 | 0 |
| 10,364 | | 10,345 | 19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 20 |
| | | | 0 |
| | | | 0 |
| | | | 11 |
| | | | 0 |
| | | | 19 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 137 SH ABBVIE INC | | | 2018-09-18 |
| 1 158 SH ABBVIE INC | | | 2018-10-29 |
| 2 SH ABCAM PLC | | 2018-11-29 | 2018-11-29 |
| 51 SH ABCAM PLC | | 2018-11-30 | 2018-11-30 |
| 531 SH ADVANCED MICRO DEVICES | | 2018-11-08 | 2018-11-08 |
| 24 SH ALBEMARLE CORP | | 2018-09-12 | 2018-09-12 |
| 91 SH ALCOA CORP | | 2018-09-11 | 2018-09-11 |
| 294 SH ALCOA CORP | | 2018-09-13 | 2018-09-13 |
| 326 SH ALCOA CORP | | 2018-09-13 | 2018-09-14 |
| 83 SH ALCOA CORP | | 2018-09-14 | 2018-09-14 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,133 | | 12,837 | 296 |
| 12,830 | | 12,794 | 36 |
| 29 | | 29 | 0 |
| 732 | | 747 | -15 |
| 11,254 | | 11,302 | -48 |
| 2,395 | | 2,389 | 6 |
| 3,722 | | 3,722 | 0 |
| 12,380 | | 12,268 | 112 |
| 13,824 | | 13,649 | 175 |
| 3,537 | | 3,509 | 28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 296 |
| | | | 36 |
| | | | 0 |
| | | | -15 |
| | | | -48 |
| | | | 6 |
| | | | 0 |
| | | | 112 |
| | | | 175 |
| | | | 28 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 41 SH ALCOA CORP | | 2018-09-19 | 2018-09-20 |
| 1 153 SH ALCOA CORP | | 2018-09-19 | 2018-09-21 |
| 290 SH ALCOA CORP | | 2018-09-24 | 2018-10-03 |
| 289 SH ALCOA CORP | | 2018-10-03 | 2018-10-04 |
| 772 SH ALCOA CORP | | 2018-10-05 | 2018-10-05 |
| 156 SH ALCOA CORP | | 2018-10-09 | 2018-10-12 |
| 253 SH ALCOA CORP | | 2018-10-09 | 2018-10-18 |
| 195 SH ALCOA CORP | | 2018-10-19 | 2018-10-19 |
| 218 SH ALCOA CORP | | 2018-10-23 | 2018-12-24 |
| 246 SH ALCOA CORP | | | 2018-09-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,768 | | 1,762 | 6 |
| 6,684 | | 6,575 | 109 |
| 12,948 | | 12,549 | 399 |
| 12,424 | | 12,424 | 0 |
| 32,307 | | 32,333 | -26 |
| 5,565 | | 5,565 | 0 |
| 9,863 | | 9,818 | 45 |
| 7,548 | | 7,832 | -284 |
| 5,555 | | 8,636 | -3,081 |
| 10,239 | | 10,128 | 111 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 6 |
| | | | 109 |
| | | | 399 |
| | | | 0 |
| | | | -26 |
| | | | 0 |
| | | | 45 |
| | | | -284 |
| | | | -3,081 |
| | | | 111 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 150 SH ALCOA CORP | | | 2018-09-21 |
| 1 90 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-09-05 | 2018-09-05 |
| 72 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-09-14 | 2018-09-14 |
| 59 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-09-17 | 2018-09-26 |
| 44 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-09-26 | 2018-09-27 |
| 79 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-09-27 | 2018-09-28 |
| 107 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-10-10 | 2018-10-22 |
| 81 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-10-29 | 2018-11-01 |
| 102 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-11-16 | 2018-11-16 |
| 77 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-11-19 | 2018-11-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 6,570 | | 6,448 | 122 |
| 14,765 | | 14,765 | 0 |
| 11,882 | | 11,869 | 13 |
| 9,853 | | 9,479 | 374 |
| 7,340 | | 7,264 | 76 |
| 13,008 | | 13,008 | 0 |
| 15,926 | | 15,926 | 0 |
| 12,188 | | 12,114 | 74 |
| 15,714 | | 15,714 | 0 |
| 11,491 | | 11,491 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 122 |
| | | | 0 |
| | | | 13 |
| | | | 374 |
| | | | 76 |
| | | | 0 |
| | | | 0 |
| | | | 74 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 78 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-11-21 | 2018-11-21 |
| 1 79 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-12-03 | 2018-12-24 |
| 63 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-12-28 | 2018-12-28 |
| 73 SH ALIBABA GROUP HOLDING-SP ADR | | 2018-12-31 | 2018-12-31 |
| 70 SH ALIBABA GROUP HOLDING-SP ADR | | | 2018-09-14 |
| 59 SH ALIBABA GROUP HOLDING-SP ADR | | | 2018-09-26 |
| 75 SH ALIBABA GROUP HOLDING-SP ADR | | | 2018-09-27 |
| 161 SH ALIBABA GROUP HOLDING-SP ADR | | | 2018-10-05 |
| 80 SH ALIBABA GROUP HOLDING-SP ADR | | | 2018-11-14 |
| 13 SH ALPHABET INC-CL C | | 2018-09-06 | 2018-09-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 11,653 | | 11,856 | -203 |
| 10,418 | | 10,418 | 0 |
| 8,757 | | 8,988 | -231 |
| 9,970 | | 13,026 | -3,056 |
| 11,665 | | 11,412 | 253 |
| 9,849 | | 9,700 | 149 |
| 12,512 | | 12,396 | 116 |
| 24,850 | | 24,849 | 1 |
| 12,087 | | 12,087 | 0 |
| 15,222 | | 15,136 | 86 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -203 |
| | | | 0 |
| | | | -231 |
| | | | -3,056 |
| | | | 253 |
| | | | 149 |
| | | | 116 |
| | | | 1 |
| | | | 0 |
| | | | 86 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 11 SH ALPHABET INC-CL C | | 2018-09-12 | 2018-09-12 |
| 1 4 SH ALPHABET INC-CL C | | 2018-09-12 | 2018-09-14 |
| 10 SH ALPHABET INC-CL C | | 2018-09-14 | 2018-09-14 |
| 10 SH ALPHABET INC-CL C | | 2018-09-17 | 2018-09-17 |
| 9 SH ALPHABET INC-CL C | | 2018-09-19 | 2018-09-19 |
| 9 SH ALPHABET INC-CL C | | 2018-09-21 | 2018-09-21 |
| 8 SH ALPHABET INC-CL C | | 2018-10-01 | 2018-10-01 |
| 11 SH ALPHABET INC-CL C | | 2018-10-02 | 2018-10-02 |
| 12 SH ALPHABET INC-CL C | | 2018-10-03 | 2018-10-03 |
| 11 SH ALPHABET INC-CL C | | 2018-10-04 | 2018-10-05 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 12,790 | | 12,766 | 24 |
| 4,704 | | 4,647 | 57 |
| 11,731 | | 11,731 | 0 |
| 11,663 | | 11,663 | 0 |
| 10,475 | | 10,475 | 0 |
| 10,514 | | 10,514 | 0 |
| 9,543 | | 9,543 | 0 |
| 13,230 | | 13,216 | 14 |
| 14,387 | | 14,384 | 3 |
| 12,664 | | 12,664 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 24 |
| | | | 57 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 14 |
| | | | 3 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|---|---|-------------------------------------|
| 18 SH ALPHABET INC-CL C | | 2018-10-05 | 2018-10-09 |
| 1 20 SH ALPHABET INC-CL C | | 2018-10-10 | 2018-10-12 |
| 8 SH ALPHABET INC-CL C | | 2018-10-15 | 2018-10-16 |
| 8 SH ALPHABET INC-CL C | | 2018-10-16 | 2018-10-16 |
| 25 SH ALPHABET INC-CL C | | 2018-10-17 | 2018-10-17 |
| 9 SH ALPHABET INC-CL C | | 2018-10-18 | 2018-10-18 |
| 10 SH ALPHABET INC-CL C | | 2018-10-23 | 2018-10-23 |
| 10 SH ALPHABET INC-CL C | | 2018-10-26 | 2018-10-26 |
| 15 SH ALPHABET INC-CL C | | 2018-10-29 | 2018-10-30 |
| 10 SH ALPHABET INC-CL C | | 2018-11-01 | 2018-11-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 20,489 | | 20,489 | 0 |
| 22,174 | | 22,174 | 0 |
| 8,936 | | 8,936 | 0 |
| 8,936 | | 8,936 | 0 |
| 27,861 | | 27,861 | 0 |
| 9,778 | | 9,778 | 0 |
| 11,030 | | 11,030 | 0 |
| 10,662 | | 10,662 | 0 |
| 15,514 | | 15,514 | 0 |
| 10,695 | | 10,695 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------|---|---|-------------------------------------|
| 10 SH ALPHABET INC-CL C | | | 2018-11-05 | 2018-11-05 |
| 1 | 5 SH ALPHABET INC-CL C | | 2018-11-08 | 2018-11-08 |
| 15 SH ALPHABET INC-CL C | | | 2018-11-09 | 2018-11-09 |
| 7 SH ALPHABET INC-CL C | | | 2018-11-13 | 2018-11-13 |
| 8 SH ALPHABET INC-CL C | | | 2018-11-13 | 2018-11-14 |
| 9 SH ALPHABET INC-CL C | | | 2018-11-16 | 2018-11-16 |
| 15 SH ALPHABET INC-CL C | | | 2018-11-23 | 2018-11-23 |
| 14 SH ALPHABET INC-CL C | | | 2018-11-26 | 2018-11-26 |
| 15 SH ALPHABET INC-CL C | | | 2018-11-27 | 2018-11-27 |
| 10 SH ALPHABET INC-CL C | | | 2018-12-04 | 2018-12-04 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 10,397 | | 10,397 | 0 |
| 5,400 | | 5,400 | 0 |
| 16,002 | | 16,002 | 0 |
| 7,256 | | 7,256 | 0 |
| 8,388 | | 8,388 | 0 |
| 9,571 | | 9,571 | 0 |
| 15,376 | | 15,376 | 0 |
| 14,627 | | 14,622 | 5 |
| 15,658 | | 15,949 | -291 |
| 10,517 | | 11,589 | -1,072 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 5 |
| | | | -291 |
| | | | -1,072 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|--|--|--|
| 7 SH AMAZON COM INC | | 2018-10-03 | 2018-10-03 |
| 1 7 SH AMAZON COM INC | | 2018-10-04 | 2018-10-04 |
| 18 SH AMAZON COM INC | | 2018-10-08 | 2018-10-08 |
| 10 SH AMAZON COM INC | | 2018-10-09 | 2018-10-09 |
| 19 SH AMAZON COM INC | | 2018-10-10 | 2018-10-10 |
| 25 SH AMAZON COM INC | | 2018-10-11 | 2018-10-11 |
| 5 SH AMAZON COM INC | | 2018-10-15 | 2018-10-15 |
| 10 SH AMAZON COM INC | | 2018-10-29 | 2018-10-31 |
| 7 SH AMAZON COM INC | | 2018-11-01 | 2018-11-01 |
| 6 SH AMAZON COM INC | | 2018-11-05 | 2018-11-05 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) (e) plus (f) minus (g) |
|-----------------------|--|---|----------------------------|
| 13,715 | | 13,698 | 17 |
| 13,360 | | 13,360 | 0 |
| 33,603 | | 33,402 | 201 |
| 18,716 | | 18,716 | 0 |
| 33,696 | | 33,696 | 0 |
| 43,089 | | 43,089 | 0 |
| 8,838 | | 8,838 | 0 |
| 16,052 | | 16,052 | 0 |
| 11,476 | | 11,476 | 0 |
| 9,776 | | 9,847 | -71 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------------------|---|---|-------------------------------------|
| 9 SH AMAZON COM INC | | | 2018-11-08 | 2018-11-08 |
| 1 | 3 SH AMAZON COM INC | | 2018-11-09 | 2018-11-09 |
| | 3 SH AMAZON COM INC | | 2018-11-09 | 2018-12-03 |
| | 3 SH AMAZON COM INC | | 2018-12-04 | 2018-12-04 |
| | 9 SH AMAZON COM INC | | 2018-12-07 | 2018-12-07 |
| | 9 SH AMAZON COM INC | | 2018-12-13 | 2018-12-13 |
| | 9 SH AMAZON COM INC | | 2018-12-14 | 2018-12-14 |
| | 319 SH AMERICAN AIRLINES GROUP INC | | 2018-11-05 | 2018-11-07 |
| | 306 SH AMERICAN AIRLINES GROUP INC | | 2018-11-16 | 2018-11-16 |
| | 238 SH AMERICAN AIRLINES GROUP INC | | 2018-12-03 | 2018-12-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 15,873 | | 15,873 | 0 |
| 5,137 | | 5,226 | -89 |
| 5,317 | | 5,252 | 65 |
| 5,317 | | 5,294 | 23 |
| 14,676 | | 14,676 | 0 |
| 14,910 | | 14,910 | 0 |
| 14,318 | | 15,220 | -902 |
| 11,781 | | 11,336 | 445 |
| 11,261 | | 11,232 | 29 |
| 9,433 | | 9,403 | 30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -89 |
| | | | 65 |
| | | | 23 |
| | | | 0 |
| | | | 0 |
| | | | -902 |
| | | | 445 |
| | | | 29 |
| | | | 30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------------------|---|---|-------------------------------------|
| 306 SH AMERICAN AIRLINES GROUP INC | | | 2018-12-13 | 2018-12-18 |
| 1 | 86 SH AMERICAN AIRLINES GROUP INC | | 2018-12-21 | 2018-12-26 |
| | 178 SH AMERICAN AIRLINES GROUP INC | | | 2018-11-23 |
| | 56 SH AMERICAN EXPRESS CO | | 2018-10-12 | 2018-10-12 |
| | 49 SH AMERICAN EXPRESS CO | | 2018-10-16 | 2018-10-16 |
| | 95 SH AMERICAN EXPRESS CO | | 2018-10-18 | 2018-10-18 |
| | 63 SH AMERICAN EXPRESS CO | | 2018-10-26 | 2018-10-29 |
| | 33 SH AMERICAN EXPRESS CO | | 2018-11-01 | 2018-11-01 |
| | 40 SH AMERICAN EXPRESS CO | | 2018-11-02 | 2018-11-05 |
| | 128 SH AMERICAN EXPRESS CO | | 2018-11-06 | 2018-11-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 10,136 | | 10,116 | 20 |
| 2,576 | | 2,576 | 0 |
| 6,684 | | 6,467 | 217 |
| 5,762 | | 5,762 | 0 |
| 5,087 | | 5,039 | 48 |
| 9,737 | | 9,737 | 0 |
| 6,495 | | 6,495 | 0 |
| 3,430 | | 3,430 | 0 |
| 4,181 | | 4,176 | 5 |
| 13,416 | | 13,475 | -59 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 20 |
| | | | 0 |
| | | | 217 |
| | | | 0 |
| | | | 48 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 5 |
| | | | -59 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 156 SH AMERICAN EXPRESS CO | | 2018-12-20 | 2018-12-31 |
| 1 345 SH AMERICAN EXPRESS CO | | | 2018-10-09 |
| 69 SH AMERICAN EXPRESS CO | | | 2018-11-02 |
| 33 SH ANGLO AMERICAN PLC | | 2018-11-14 | 2018-11-14 |
| 102 SH ANGLO AMERICAN PLC | | 2018-11-15 | 2018-11-15 |
| 102 SH ANGLO AMERICAN PLC | | 2018-11-16 | 2018-11-16 |
| 15 SH ANGLO AMERICAN PLC | | 2018-11-20 | 2018-11-20 |
| 27 SH ANGLO AMERICAN PLC | | 2018-11-20 | 2018-11-21 |
| 33 SH ANGLO AMERICAN PLC | | 2018-11-21 | 2018-11-21 |
| 76 SH ANGLO AMERICAN PLC | | 2018-11-26 | 2018-11-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 14,757 | | 15,291 | -534 |
| 36,772 | | 36,544 | 228 |
| 7,148 | | 7,148 | 0 |
| 694 | | 694 | 0 |
| 2,195 | | 2,195 | 0 |
| 2,227 | | 2,227 | 0 |
| 333 | | 332 | 1 |
| 587 | | 587 | 0 |
| 717 | | 717 | 0 |
| 1,524 | | 1,524 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -534 |
| | | | 228 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|--------------------------|---|---|-------------------------------------|
| 76 SH ANGLO AMERICAN PLC | | | 2018-11-27 | 2018-11-27 |
| 1 | 77 SH ANGLO AMERICAN PLC | | | 2018-11-21 |
| 53 SH APPLE INC | | | 2018-09-17 | 2018-09-17 |
| 12 SH APPLE INC | | | 2018-09-20 | 2018-09-20 |
| 48 SH APPLE INC | | | 2018-09-20 | 2018-09-24 |
| 52 SH APPLE INC | | | 2018-09-26 | 2018-09-27 |
| 64 SH APPLE INC | | | 2018-09-27 | 2018-09-28 |
| 54 SH APPLE INC | | | 2018-10-01 | 2018-10-01 |
| 150 SH APPLE INC | | | 2018-10-05 | 2018-10-05 |
| 162 SH APPLE INC | | | 2018-10-08 | 2018-10-08 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 1,490 | | 1,575 | -85 |
| 1,676 | | 1,675 | 1 |
| 11,583 | | 11,583 | 0 |
| 2,658 | | 2,658 | 0 |
| 10,563 | | 10,563 | 0 |
| 11,730 | | 11,647 | 83 |
| 14,400 | | 14,400 | 0 |
| 12,269 | | 12,269 | 0 |
| 33,667 | | 33,326 | 341 |
| 36,104 | | 35,812 | 292 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -85 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 83 |
| | | | 0 |
| | | | 0 |
| | | | 341 |
| | | | 292 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|---|---|-------------------------------------|
| 68 SH APPLE INC | | 2018-10-09 | 2018-10-09 |
| 1 144 SH APPLE INC | | 2018-10-10 | 2018-10-12 |
| 86 SH APPLE INC | | 2018-10-15 | 2018-10-15 |
| 61 SH APPLE INC | | 2018-10-16 | 2018-10-16 |
| 45 SH APPLE INC | | 2018-10-17 | 2018-10-17 |
| 13 SH APPLE INC | | 2018-10-26 | 2018-10-26 |
| 55 SH APPLE INC | | 2018-11-08 | 2018-11-08 |
| 66 SH APPLE INC | | 2018-11-12 | 2018-11-12 |
| 18 SH APPLE INC | | 2018-11-14 | 2018-11-26 |
| 26 SH APPLE INC | | 2018-11-30 | 2018-11-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 15,386 | | 15,129 | 257 |
| 31,639 | | 31,639 | 0 |
| 18,758 | | 18,758 | 0 |
| 13,391 | | 13,391 | 0 |
| 9,978 | | 9,978 | 0 |
| 2,821 | | 2,821 | 0 |
| 11,418 | | 11,418 | 0 |
| 12,813 | | 12,813 | 0 |
| 3,114 | | 3,114 | 0 |
| 4,638 | | 4,638 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 1 SH APPLE INC | | 2018-11-30 | 2018-12-03 |
| 1 88 SH APPLE INC | | 2018-12-07 | 2018-12-12 |
| 89 SH APPLE INC | | 2018-12-14 | 2018-12-14 |
| 8 SH APPLE INC | | | 2018-10-26 |
| 39 SH APPLE INC | | | 2018-11-01 |
| 52 SH APPLE INC | | | 2018-11-26 |
| 77 SH APPLE INC | | | 2018-11-29 |
| 77 SH APPLE INC | | | 2018-12-03 |
| 160 SH ASHTEAD GROUP PLC | | 2018-11-15 | 2018-11-15 |
| 52 SH ASHTEAD GROUP PLC | | 2018-11-16 | 2018-11-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 183 | | 183 | 0 |
| 15,082 | | 15,265 | -183 |
| 14,731 | | 15,836 | -1,105 |
| 1,726 | | 1,726 | 0 |
| 8,583 | | 8,583 | 0 |
| 8,995 | | 8,995 | 0 |
| 13,842 | | 13,780 | 62 |
| 14,168 | | 14,107 | 61 |
| 3,860 | | 3,860 | 0 |
| 1,254 | | 1,254 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -183 |
| | | | -1,105 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 62 |
| | | | 61 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|---|---|-------------------------------------|
| 79 SH ASHTEAD GROUP PLC | | 2018-11-19 | 2018-11-21 |
| 1 80 SH ASHTEAD GROUP PLC | | 2018-11-20 | 2018-11-21 |
| 146 SH ASHTEAD GROUP PLC | | | 2018-11-21 |
| 77 SH ASOS PLC | | 2018-12-03 | 2018-12-03 |
| 50 SH ASOS PLC | | 2018-12-04 | 2018-12-05 |
| 16 SH ASOS PLC | | 2018-12-04 | 2018-12-06 |
| 37 SH ASOS PLC | | 2018-12-04 | 2018-12-07 |
| 12 SH ASOS PLC | | 2018-12-05 | 2018-12-07 |
| 28 SH ASOS PLC | | 2018-12-05 | 2018-12-27 |
| 48 SH ASOS PLC | | 2018-12-07 | 2018-12-27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,755 | | 1,756 | -1 |
| 1,791 | | 1,925 | -134 |
| 3,276 | | 3,441 | -165 |
| 4,950 | | 4,950 | 0 |
| 2,983 | | 2,983 | 0 |
| 927 | | 927 | 0 |
| 2,164 | | 2,164 | 0 |
| 697 | | 697 | 0 |
| 790 | | 790 | 0 |
| 1,355 | | 4,769 | -3,414 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|----------------------------------|---|---|-------------------------------------|
| 26 SH ASOS PLC | | | | 2018-12-27 |
| 1 | 131 SH ASTRAZENECA PLC | | 2018-11-14 | 2018-11-14 |
| | 134 SH ASTRAZENECA PLC | | 2018-11-15 | 2018-11-15 |
| | 146 SH ASTRAZENECA PLC | | 2018-11-16 | 2018-11-16 |
| | 77 SH ASTRAZENECA PLC | | 2018-11-19 | 2018-11-19 |
| | 51 SH ASTRAZENECA PLC | | 2018-11-21 | 2018-11-21 |
| | 51 SH ASTRAZENECA PLC | | 2018-11-26 | 2018-11-26 |
| | 77 SH ASTRAZENECA PLC | | 2018-11-27 | 2018-11-27 |
| | 131 SH AUST AND NZ BANKING GROUP | | 2018-11-11 | 2018-11-12 |
| | 48 SH AUST AND NZ BANKING GROUP | | 2018-11-13 | 2018-11-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 733 | | 947 | -214 |
| 10,564 | | 10,564 | 0 |
| 10,944 | | 10,944 | 0 |
| 11,578 | | 11,573 | 5 |
| 6,058 | | 6,058 | 0 |
| 4,056 | | 4,056 | 0 |
| 4,015 | | 4,056 | -41 |
| 6,011 | | 6,345 | -334 |
| 2,485 | | 2,481 | 4 |
| 884 | | 903 | -19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -214 |
| | | | 0 |
| | | | 0 |
| | | | 5 |
| | | | 0 |
| | | | 0 |
| | | | -41 |
| | | | -334 |
| | | | 4 |
| | | | -19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|----------------------------|---|---|-------------------------------------|
| 59 SH BAIDU INC - SPON ADR | | | 2018-09-10 | 2018-09-13 |
| 1 | 36 SH BAIDU INC - SPON ADR | | 2018-09-13 | 2018-09-13 |
| 68 SH BAIDU INC - SPON ADR | | | 2018-09-13 | 2018-09-14 |
| 66 SH BAIDU INC - SPON ADR | | | 2018-09-14 | 2018-09-17 |
| 69 SH BAIDU INC - SPON ADR | | | 2018-09-18 | 2018-09-18 |
| 17 SH BAIDU INC - SPON ADR | | | 2018-09-21 | 2018-09-24 |
| 33 SH BAIDU INC - SPON ADR | | | 2018-09-21 | 2018-09-26 |
| 30 SH BAIDU INC - SPON ADR | | | 2018-09-27 | 2018-09-27 |
| 76 SH BAIDU INC - SPON ADR | | | 2018-10-09 | 2018-10-12 |
| 43 SH BAIDU INC - SPON ADR | | | 2018-10-15 | 2018-10-15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,229 | | 12,591 | 638 |
| 8,017 | | 7,977 | 40 |
| 15,263 | | 15,132 | 131 |
| 14,356 | | 14,356 | 0 |
| 14,966 | | 14,963 | 3 |
| 3,851 | | 3,851 | 0 |
| 7,630 | | 7,630 | 0 |
| 6,928 | | 6,928 | 0 |
| 15,475 | | 15,475 | 0 |
| 8,610 | | 8,610 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 638 |
| | | | 40 |
| | | | 131 |
| | | | 0 |
| | | | 3 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|----------------------------|---|---|-------------------------------------|
| 23 SH BAIDU INC - SPON ADR | | | 2018-10-16 | 2018-10-16 |
| 1 | 26 SH BAIDU INC - SPON ADR | | 2018-10-17 | 2018-10-17 |
| 56 SH BAIDU INC - SPON ADR | | | 2018-10-19 | 2018-10-19 |
| 38 SH BAIDU INC - SPON ADR | | | 2018-10-23 | 2018-10-23 |
| 62 SH BAIDU INC - SPON ADR | | | 2018-10-24 | 2018-10-24 |
| 30 SH BAIDU INC - SPON ADR | | | 2018-10-29 | 2018-10-29 |
| 30 SH BAIDU INC - SPON ADR | | | 2018-10-29 | 2018-11-01 |
| 78 SH BAIDU INC - SPON ADR | | | 2018-12-31 | 2018-12-31 |
| 66 SH BAIDU INC - SPON ADR | | | | 2018-09-14 |
| 39 SH BAIDU INC - SPON ADR | | | | 2018-09-27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 4,673 | | 4,673 | 0 |
| 5,219 | | 5,219 | 0 |
| 10,760 | | 10,760 | 0 |
| 7,341 | | 7,341 | 0 |
| 11,451 | | 11,451 | 0 |
| 5,447 | | 6,344 | -897 |
| 5,982 | | 6,330 | -348 |
| 12,312 | | 15,649 | -3,337 |
| 14,531 | | 14,531 | 0 |
| 8,997 | | 8,997 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -897 |
| | | | -348 |
| | | | -3,337 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | | |
|--|-----------------------------|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 51 SH BAIDU INC - SPON ADR | | | | 2018-09-28 |
| 1 | 110 SH BAIDU INC - SPON ADR | | | 2018-10-05 |
| 35 SH BAIDU INC - SPON ADR | | | | 2018-10-09 |
| 68 SH BAIDU INC - SPON ADR | | | | 2018-10-12 |
| 52 SH BAIDU INC - SPON ADR | | | | 2018-12-20 |
| 628 SH BARRICK GOLD CORP | | | 2018-10-03 | 2018-10-04 |
| 26 SH BERKELEY GROUP HOLDINGS/THE | | | 2018-11-16 | 2018-11-16 |
| 77 SH BHP BILLITON PLC | | | 2018-11-14 | 2018-11-14 |
| 230 SH BHP BILLITON PLC | | | 2018-11-15 | 2018-11-15 |
| 177 SH BHP BILLITON PLC | | | 2018-11-20 | 2018-11-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 11,659 | | 11,659 | 0 |
| 22,546 | | 22,546 | 0 |
| 7,029 | | 7,029 | 0 |
| 13,842 | | 13,842 | 0 |
| 8,548 | | 8,548 | 0 |
| 7,293 | | 7,331 | -38 |
| 1,120 | | 1,139 | -19 |
| 1,527 | | 1,525 | 2 |
| 4,646 | | 4,646 | 0 |
| 3,641 | | 3,677 | -36 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -38 |
| | | | -19 |
| | | | 2 |
| | | | 0 |
| | | | -36 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 10 SH BIOGEN INC | | 2018-09-13 | 2018-09-13 |
| 1 34 SH BIOGEN INC | | 2018-09-14 | 2018-09-17 |
| 70 SH BIOGEN INC | | 2018-09-28 | 2018-09-28 |
| 39 SH BIOGEN INC | | 2018-10-02 | 2018-10-02 |
| 38 SH BIOGEN INC | | 2018-10-25 | 2018-10-25 |
| 45 SH BIOGEN INC | | 2018-11-08 | 2018-11-08 |
| 51 SH BIOGEN INC | | 2018-11-14 | 2018-11-14 |
| 28 SH BIOGEN INC | | 2018-11-21 | 2018-11-21 |
| 13 SH BIOGEN INC | | 2018-11-26 | 2018-11-26 |
| 21 SH BIOGEN INC | | 2018-11-29 | 2018-11-29 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,400 | | 3,384 | 16 |
| 11,341 | | 11,341 | 0 |
| 24,913 | | 24,892 | 21 |
| 13,468 | | 13,470 | -2 |
| 11,360 | | 11,360 | 0 |
| 14,654 | | 14,654 | 0 |
| 16,055 | | 16,054 | 1 |
| 8,839 | | 8,839 | 0 |
| 4,152 | | 4,150 | 2 |
| 6,858 | | 6,940 | -82 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 16 |
| | | | 0 |
| | | | 21 |
| | | | -2 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 2 |
| | | | -82 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|----------------------------|---|---|-------------------------------------|
| 29 SH BIOGEN INC | | | 2018-12-03 | 2018-12-03 |
| 1 | 14 SH BLUE PRISM GROUP PLC | | 2018-12-07 | 2018-12-07 |
| 97 SH BOEING CO/THE | | | 2018-09-05 | 2018-09-05 |
| 86 SH BOEING CO/THE | | | 2018-09-10 | 2018-09-11 |
| 79 SH BOEING CO/THE | | | 2018-09-13 | 2018-09-13 |
| 14 SH BOEING CO/THE | | | 2018-09-14 | 2018-09-14 |
| 56 SH BOEING CO/THE | | | 2018-09-17 | 2018-09-17 |
| 49 SH BOEING CO/THE | | | 2018-09-20 | 2018-09-20 |
| 3 SH BOEING CO/THE | | | 2018-09-20 | 2018-09-21 |
| 11 SH BOEING CO/THE | | | 2018-09-24 | 2018-09-24 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 9,633 | | 9,817 | -184 |
| 203 | | 198 | 5 |
| 33,635 | | 33,430 | 205 |
| 29,640 | | 29,563 | 77 |
| 28,124 | | 28,066 | 58 |
| 5,035 | | 5,030 | 5 |
| 20,034 | | 20,020 | 14 |
| 17,983 | | 17,983 | 0 |
| 1,114 | | 1,114 | 0 |
| 4,050 | | 4,050 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -184 |
| | | | 5 |
| | | | 205 |
| | | | 77 |
| | | | 58 |
| | | | 5 |
| | | | 14 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|--------------------|---|---|-------------------------------------|
| 32 SH BOEING CO/THE | | | 2018-09-24 | 2018-09-25 |
| 1 | 3 SH BOEING CO/THE | | 2018-09-26 | 2018-09-26 |
| 65 SH BOEING CO/THE | | | 2018-09-27 | 2018-09-27 |
| 77 SH BOEING CO/THE | | | 2018-09-28 | 2018-09-28 |
| 25 SH BOEING CO/THE | | | 2018-10-23 | 2018-10-23 |
| 29 SH BOEING CO/THE | | | 2018-10-29 | 2018-10-31 |
| 22 SH BOEING CO/THE | | | 2018-11-13 | 2018-11-13 |
| 40 SH BOEING CO/THE | | | 2018-11-14 | 2018-11-16 |
| 30 SH BOEING CO/THE | | | 2018-12-04 | 2018-12-24 |
| 48 SH BOEING CO/THE | | | | 2018-11-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 11,753 | | 11,752 | 1 |
| 1,103 | | 1,100 | 3 |
| 23,882 | | 23,878 | 4 |
| 28,602 | | 28,531 | 71 |
| 8,753 | | 8,675 | 78 |
| 10,335 | | 10,335 | 0 |
| 7,693 | | 7,693 | 0 |
| 13,450 | | 13,450 | 0 |
| 8,951 | | 10,601 | -1,650 |
| 15,171 | | 15,155 | 16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 1 |
| | | | 3 |
| | | | 4 |
| | | | 71 |
| | | | 78 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -1,650 |
| | | | 16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---------------------------|---|---|-------------------------------------|
| 46 SH BOEING CO/THE | | | | 2018-12-03 |
| 1 | 1 SH BOOKING HOLDINGS INC | | 2018-09-05 | 2018-09-06 |
| | 6 SH BOOKING HOLDINGS INC | | 2018-09-12 | 2018-09-12 |
| | 7 SH BOOKING HOLDINGS INC | | 2018-09-17 | 2018-09-17 |
| | 7 SH BOOKING HOLDINGS INC | | 2018-09-19 | 2018-09-19 |
| | 1 SH BOOKING HOLDINGS INC | | 2018-09-21 | 2018-09-21 |
| | 2 SH BOOKING HOLDINGS INC | | 2018-09-21 | 2018-09-24 |
| | 5 SH BOOKING HOLDINGS INC | | 2018-09-27 | 2018-09-28 |
| | 2 SH BOOKING HOLDINGS INC | | 2018-10-01 | 2018-10-01 |
| | 7 SH BOOKING HOLDINGS INC | | 2018-10-02 | 2018-10-05 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 16,557 | | 16,048 | 509 |
| 1,907 | | 1,901 | 6 |
| 11,272 | | 11,272 | 0 |
| 13,263 | | 13,263 | 0 |
| 13,418 | | 13,354 | 64 |
| 1,948 | | 1,941 | 7 |
| 3,925 | | 3,924 | 1 |
| 9,892 | | 9,878 | 14 |
| 3,975 | | 3,975 | 0 |
| 13,352 | | 13,352 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 509 |
| | | | 6 |
| | | | 0 |
| | | | 0 |
| | | | 64 |
| | | | 7 |
| | | | 1 |
| | | | 14 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 6 SH BOOKING HOLDINGS INC | | 2018-11-01 | 2018-11-01 |
| 1 6 SH BOOKING HOLDINGS INC | | 2018-11-02 | 2018-11-02 |
| 5 SH BOOKING HOLDINGS INC | | 2018-11-06 | 2018-11-06 |
| 8 SH BOOKING HOLDINGS INC | | 2018-11-08 | 2018-11-08 |
| 4 SH BOOKING HOLDINGS INC | | 2018-11-09 | 2018-11-09 |
| 8 SH BOOKING HOLDINGS INC | | 2018-12-12 | 2018-12-12 |
| 8 SH BOOKING HOLDINGS INC | | 2018-12-19 | 2018-12-19 |
| 14 SH BOOKING HOLDINGS INC | | | 2018-09-11 |
| 7 SH BOOKING HOLDINGS INC | | | 2018-09-13 |
| 6 SH BOOKING HOLDINGS INC | | | 2018-09-28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 11,368 | | 11,368 | 0 |
| 11,242 | | 11,242 | 0 |
| 9,784 | | 9,784 | 0 |
| 15,820 | | 15,820 | 0 |
| 7,758 | | 7,758 | 0 |
| 14,866 | | 14,866 | 0 |
| 13,791 | | 15,480 | -1,689 |
| 26,313 | | 26,313 | 0 |
| 13,157 | | 13,151 | 6 |
| 11,921 | | 11,862 | 59 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -1,689 |
| | | | 0 |
| | | | 6 |
| | | | 59 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 8 SH BOOKING HOLDINGS INC | | | 2018-11-16 |
| 1 90 SH BOX INC - CLASS A | | 2018-09-19 | 2018-09-20 |
| 170 SH BOX INC - CLASS A | | | 2018-12-24 |
| 77 SH BP PLC | | 2018-11-14 | 2018-11-14 |
| 247 SH BP PLC | | 2018-11-15 | 2018-11-15 |
| 253 SH BP PLC | | 2018-11-16 | 2018-11-16 |
| 761 SH BP PLC | | 2018-11-19 | 2018-11-19 |
| 253 SH BP PLC | | 2018-11-27 | 2018-11-27 |
| 253 SH BP PLC | | 2018-11-28 | 2018-11-28 |
| 102 SH BRITISH AMERICAN TOBACCO PLC | | 2018-11-15 | 2018-11-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 14,862 | | 14,862 | 0 |
| 2,142 | | 2,103 | 39 |
| 2,743 | | 4,003 | -1,260 |
| 507 | | 506 | 1 |
| 1,650 | | 1,648 | 2 |
| 1,705 | | 1,705 | 0 |
| 5,111 | | 5,111 | 0 |
| 1,699 | | 1,714 | -15 |
| 1,688 | | 1,720 | -32 |
| 3,538 | | 3,538 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 39 |
| | | | -1,260 |
| | | | 1 |
| | | | 2 |
| | | | 0 |
| | | | 0 |
| | | | -15 |
| | | | -32 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------------|---|---|-------------------------------------|
| 101 SH BRITISH AMERICAN TOBACCO PLC | | | 2018-11-16 | 2018-11-20 |
| 1 | 128 SH BRITISH AMERICAN TOBACCO PLC | | | 2018-11-15 |
| 53 SH BRITISH AMERICAN TOBACCO PLC | | | | 2018-11-20 |
| 29 SH BROADCOM INC | | | 2018-11-01 | 2018-11-01 |
| 12 SH BROADCOM INC | | | 2018-11-09 | 2018-11-09 |
| 27 SH BROADCOM INC | | | 2018-11-12 | 2018-11-12 |
| 31 SH BROADCOM INC | | | 2018-11-13 | 2018-11-13 |
| 110 SH BROADCOM INC | | | 2018-11-16 | 2018-11-16 |
| 28 SH BROADCOM INC | | | 2018-11-26 | 2018-11-26 |
| 37 SH BROADCOM INC | | | 2018-11-27 | 2018-11-27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 3,560 | | 3,989 | -429 |
| 4,547 | | 4,547 | 0 |
| 1,865 | | 1,955 | -90 |
| 6,667 | | 6,667 | 0 |
| 2,829 | | 2,829 | 0 |
| 6,058 | | 6,014 | 44 |
| 6,965 | | 6,965 | 0 |
| 26,031 | | 25,837 | 194 |
| 6,585 | | 6,517 | 68 |
| 8,727 | | 8,727 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -429 |
| | | | 0 |
| | | | -90 |
| | | | 0 |
| | | | 0 |
| | | | 44 |
| | | | 0 |
| | | | 194 |
| | | | 68 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 65 SH BROADCOM INC | | 2018-11-30 | 2018-11-30 |
| 1 37 SH BROADCOM INC | | 2018-12-03 | 2018-12-03 |
| 71 SH BROADCOM INC | | 2018-12-06 | 2018-12-06 |
| 65 SH BROADCOM INC | | 2018-12-07 | 2018-12-07 |
| 65 SH BROADCOM INC | | 2018-12-24 | 2018-12-26 |
| 3 SH BROADCOM INC | | 2018-12-31 | 2018-12-31 |
| 29 SH BROADCOM INC | | | 2018-11-05 |
| 30 SH BROADCOM INC | | | 2018-11-06 |
| 51 SH BURFORD CAPITAL LTD | | 2018-12-03 | 2018-12-03 |
| 28 SH BURFORD CAPITAL LTD | | 2018-12-07 | 2018-12-07 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 15,348 | | 15,308 | 40 |
| 8,956 | | 8,956 | 0 |
| 15,977 | | 15,863 | 114 |
| 14,879 | | 14,879 | 0 |
| 15,755 | | 15,731 | 24 |
| 762 | | 755 | 7 |
| 6,382 | | 6,382 | 0 |
| 6,855 | | 6,701 | 154 |
| 992 | | 994 | -2 |
| 482 | | 490 | -8 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 40 |
| | | | 0 |
| | | | 114 |
| | | | 0 |
| | | | 24 |
| | | | 7 |
| | | | 0 |
| | | | 154 |
| | | | -2 |
| | | | -8 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 3 SH CATERPILLAR INC | | 2018-09-07 | 2018-09-07 |
| 1 106 SH CATERPILLAR INC | | 2018-09-11 | 2018-09-11 |
| 152 SH CATERPILLAR INC | | 2018-09-13 | 2018-09-13 |
| 73 SH CATERPILLAR INC | | 2018-09-14 | 2018-09-14 |
| 94 SH CATERPILLAR INC | | 2018-09-17 | 2018-09-17 |
| 48 SH CATERPILLAR INC | | 2018-09-20 | 2018-09-20 |
| 119 SH CATERPILLAR INC | | 2018-09-26 | 2018-09-26 |
| 126 SH CATERPILLAR INC | | 2018-10-05 | 2018-10-05 |
| 172 SH CATERPILLAR INC | | 2018-10-08 | 2018-10-08 |
| 93 SH CATERPILLAR INC | | 2018-10-25 | 2018-10-25 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 423 | | 426 | -3 |
| 15,051 | | 14,965 | 86 |
| 22,138 | | 22,059 | 79 |
| 10,584 | | 10,512 | 72 |
| 13,733 | | 13,733 | 0 |
| 7,484 | | 7,463 | 21 |
| 18,395 | | 18,303 | 92 |
| 19,278 | | 19,278 | 0 |
| 26,311 | | 26,128 | 183 |
| 10,749 | | 11,049 | -300 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -3 |
| | | | 86 |
| | | | 79 |
| | | | 72 |
| | | | 0 |
| | | | 21 |
| | | | 92 |
| | | | 0 |
| | | | 183 |
| | | | -300 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 48 SH CATERPILLAR INC | | 2018-10-26 | 2018-10-26 |
| 1 89 SH CATERPILLAR INC | | 2018-11-08 | 2018-11-30 |
| 89 SH CATERPILLAR INC | | 2018-12-04 | 2018-12-13 |
| 138 SH CATERPILLAR INC | | 2018-12-24 | 2018-12-24 |
| 86 SH CATERPILLAR INC | | 2018-12-28 | 2018-12-28 |
| 86 SH CATERPILLAR INC | | 2018-12-31 | 2018-12-31 |
| 84 SH CATERPILLAR INC | | | 2018-10-02 |
| 147 SH CATERPILLAR INC | | | 2018-10-23 |
| 141 SH CATERPILLAR INC | | | 2018-12-18 |
| 253 SH CENTAMIN PLC | | 2018-11-29 | 2018-11-29 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 5,514 | | 7,034 | -1,520 |
| 11,826 | | 11,826 | 0 |
| 11,436 | | 11,436 | 0 |
| 16,282 | | 16,282 | 0 |
| 10,987 | | 12,859 | -1,872 |
| 10,858 | | 12,404 | -1,546 |
| 12,971 | | 12,900 | 71 |
| 17,508 | | 17,508 | 0 |
| 17,540 | | 17,540 | 0 |
| 330 | | 327 | 3 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -1,520 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -1,872 |
| | | | -1,546 |
| | | | 71 |
| | | | 0 |
| | | | 0 |
| | | | 3 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 507 SH CENTAMIN PLC | | 2018-11-30 | 2018-11-30 |
| 1 2,037 SH CENTAMIN PLC | | 2018-12-03 | 2018-12-03 |
| 1,623 SH CENTAMIN PLC | | 2018-12-04 | 2018-12-04 |
| 501 SH CENTAMIN PLC | | 2018-12-07 | 2018-12-07 |
| 118 SH CHEVRON CORP | | 2018-09-14 | 2018-09-14 |
| 114 SH CHEVRON CORP | | 2018-09-20 | 2018-09-21 |
| 210 SH CHEVRON CORP | | 2018-09-26 | 2018-09-27 |
| 110 SH CHEVRON CORP | | 2018-09-27 | 2018-09-28 |
| 12 SH CHEVRON CORP | | 2018-10-02 | 2018-10-02 |
| 105 SH CHEVRON CORP | | 2018-11-01 | 2018-11-02 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 664 | | 655 | 9 |
| 2,643 | | 2,643 | 0 |
| 2,069 | | 2,138 | -69 |
| 635 | | 641 | -6 |
| 13,843 | | 13,843 | 0 |
| 13,731 | | 13,636 | 95 |
| 25,793 | | 25,799 | -6 |
| 13,531 | | 13,614 | -83 |
| 1,496 | | 1,496 | 0 |
| 12,053 | | 11,715 | 338 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 9 |
| | | | 0 |
| | | | -69 |
| | | | -6 |
| | | | 0 |
| | | | 95 |
| | | | -6 |
| | | | -83 |
| | | | 0 |
| | | | 338 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 31 SH CHEVRON CORP | | 2018-11-01 | 2018-11-05 |
| 1 60 SH CHEVRON CORP | | 2018-11-06 | 2018-11-06 |
| 233 SH CHEVRON CORP | | | 2018-09-13 |
| 266 SH CHINA MOBILE LTD | | 2018-12-05 | 2018-12-06 |
| 87 SH CHINA MOBILE LTD | | 2018-12-05 | 2018-12-10 |
| 30 SH CHINA MOBILE LTD | | 2018-12-05 | 2018-12-11 |
| 13 SH CHINA MOBILE LTD | | 2018-12-05 | 2018-12-13 |
| 2 SH CHINA MOBILE LTD | | 2018-12-05 | 2018-12-28 |
| 262 SH CLP HOLDINGS LTD | | 2018-12-02 | 2018-12-03 |
| 244 SH CLP HOLDINGS LTD | | 2018-12-02 | 2018-12-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,698 | | 3,470 | 228 |
| 7,134 | | 7,085 | 49 |
| 27,133 | | 27,133 | 0 |
| 2,580 | | 2,565 | 15 |
| 857 | | 839 | 18 |
| 291 | | 289 | 2 |
| 128 | | 125 | 3 |
| 19 | | 19 | 0 |
| 2,889 | | 2,889 | 0 |
| 2,730 | | 2,733 | -3 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 228 |
| | | | 49 |
| | | | 0 |
| | | | 15 |
| | | | 18 |
| | | | 2 |
| | | | 3 |
| | | | 0 |
| | | | 0 |
| | | | -3 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------|---|---|-------------------------------------|
| 58 SH CLP HOLDINGS LTD | | | 2018-12-05 | 2018-12-11 |
| 1 | 27 SH CLP HOLDINGS LTD | | 2018-12-05 | 2018-12-13 |
| 15 SH CLP HOLDINGS LTD | | | 2018-12-05 | 2018-12-27 |
| 143 SH CLP HOLDINGS LTD | | | | 2018-12-10 |
| 52 SH COCA-COLA HBC AG-DI | | | 2018-12-03 | 2018-12-03 |
| 160 SH COCA-COLA HBC AG-DI | | | 2018-12-04 | 2018-12-04 |
| 75 SH COCA-COLA HBC AG-DI | | | 2018-12-06 | 2018-12-06 |
| 12 SH COMMONWEALTH BANK OF AUSTRAL | | | 2018-11-14 | 2018-11-16 |
| 33 SH COMMONWEALTH BANK OF AUSTRAL | | | | 2018-11-19 |
| 8 SH CSL LIMITED | | | 2018-11-11 | 2018-11-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 652 | | 654 | -2 |
| 303 | | 305 | -2 |
| 171 | | 169 | 2 |
| 1,606 | | 1,613 | -7 |
| 1,598 | | 1,591 | 7 |
| 4,988 | | 4,998 | -10 |
| 2,199 | | 2,241 | -42 |
| 601 | | 600 | 1 |
| 1,642 | | 1,648 | -6 |
| 1,114 | | 1,102 | 12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -2 |
| | | | -2 |
| | | | 2 |
| | | | -7 |
| | | | 7 |
| | | | -10 |
| | | | -42 |
| | | | 1 |
| | | | -6 |
| | | | 12 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 24 SH CSL LIMITED | | 2018-11-14 | 2018-11-16 |
| 1 6 SH CSL LIMITED | | 2018-11-14 | 2018-11-19 |
| 12 SH CSL LIMITED | | 2018-11-18 | 2018-11-19 |
| 20 SH CSL LIMITED | | 2018-11-19 | 2018-11-20 |
| 1 SH CSL LIMITED | | 2018-11-25 | 2018-11-27 |
| 3 SH CSL LIMITED | | 2018-11-25 | 2018-12-03 |
| 2 SH CSL LIMITED | | 2018-12-03 | 2018-12-06 |
| 8 SH CSL LIMITED | | 2018-12-03 | 2018-12-13 |
| 19 SH CSL LIMITED | | | 2018-11-19 |
| 10 SH CSL LIMITED | | | 2018-11-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,196 | | 3,196 | 0 |
| 803 | | 803 | 0 |
| 1,592 | | 1,592 | 0 |
| 2,540 | | 2,558 | -18 |
| 131 | | 134 | -3 |
| 396 | | 400 | -4 |
| 256 | | 266 | -10 |
| 1,045 | | 1,068 | -23 |
| 2,532 | | 2,532 | 0 |
| 1,314 | | 1,326 | -12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -18 |
| | | | -3 |
| | | | -4 |
| | | | -10 |
| | | | -23 |
| | | | 0 |
| | | | -12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------|---|---|-------------------------------------|
| 101 SH CYBG PLC | | | 2018-11-21 | 2018-11-21 |
| 1 | 505 SH CYBG PLC | | 2018-11-27 | 2018-11-27 |
| 505 SH CYBG PLC | | | 2018-11-29 | 2018-11-29 |
| 29 SH DAIWA ETF-NK225 LVRGD INDX | | | 2018-09-19 | 2018-09-21 |
| 7 SH DAIWA ETF-NK225 LVRGD INDX | | | 2018-09-19 | 2018-09-25 |
| 24 SH DAIWA ETF-NK225 LVRGD INDX | | | 2018-11-04 | 2018-11-06 |
| 17 SH DAIWA ETF-NK225 LVRGD INDX | | | 2018-11-04 | 2018-11-07 |
| 16 SH DCC PLC | | | 2018-11-15 | 2018-11-15 |
| 1 SH DEERE & CO | | | 2018-09-07 | 2018-09-07 |
| 53 SH DEERE & CO | | | 2018-09-12 | 2018-09-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 265 | | 260 | 5 |
| 1,355 | | 1,348 | 7 |
| 1,353 | | 1,356 | -3 |
| 4,451 | | 4,411 | 40 |
| 1,072 | | 1,065 | 7 |
| 3,159 | | 3,104 | 55 |
| 2,264 | | 2,199 | 65 |
| 1,199 | | 1,243 | -44 |
| 145 | | 148 | -3 |
| 7,832 | | 7,832 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 5 |
| | | | 7 |
| | | | -3 |
| | | | 40 |
| | | | 7 |
| | | | 55 |
| | | | 65 |
| | | | -44 |
| | | | -3 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 5 SH DEERE & CO | | 2018-09-13 | 2018-09-13 |
| 1 73 SH DEERE & CO | | 2018-09-14 | 2018-09-14 |
| 74 SH DEERE & CO | | 2018-09-27 | 2018-10-02 |
| 107 SH DEERE & CO | | 2018-10-09 | 2018-10-10 |
| 208 SH DEERE & CO | | 2018-10-11 | 2018-10-11 |
| 51 SH DEERE & CO | | 2018-10-12 | 2018-10-12 |
| 36 SH DEERE & CO | | 2018-10-16 | 2018-10-16 |
| 40 SH DEERE & CO | | 2018-10-17 | 2018-10-17 |
| 34 SH DEERE & CO | | 2018-10-18 | 2018-10-26 |
| 26 SH DEERE & CO | | 2018-10-18 | 2018-11-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 745 | | 745 | 0 |
| 10,794 | | 10,791 | 3 |
| 11,337 | | 11,306 | 31 |
| 15,940 | | 15,940 | 0 |
| 30,509 | | 30,509 | 0 |
| 7,568 | | 7,625 | -57 |
| 5,429 | | 5,429 | 0 |
| 6,056 | | 6,056 | 0 |
| 4,517 | | 4,517 | 0 |
| 3,646 | | 3,646 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 3 |
| | | | 31 |
| | | | 0 |
| | | | 0 |
| | | | -57 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------|---|---|-------------------------------------|
| 28 SH DEERE & CO | | | 2018-11-07 | 2018-11-07 |
| 1 | 70 SH DEERE & CO | | 2018-11-15 | 2018-11-15 |
| 63 SH DEERE & CO | | | 2018-11-20 | 2018-11-20 |
| 45 SH DEERE & CO | | | | 2018-10-02 |
| 55 SH DEERE & CO | | | | 2018-10-08 |
| 27 SH DEERE & CO | | | | 2018-11-07 |
| 67 SH DEERE & CO | | | | 2018-11-16 |
| 134 SH DEERE & CO | | | | 2018-12-31 |
| 155 SH DIAGEO PLC | | | 2018-11-14 | 2018-11-14 |
| 9 SH DIAGEO PLC | | | 2018-11-16 | 2018-11-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 4,155 | | 4,155 | 0 |
| 10,308 | | 10,303 | 5 |
| 8,724 | | 8,824 | -100 |
| 6,891 | | 6,873 | 18 |
| 8,462 | | 8,429 | 33 |
| 3,991 | | 3,790 | 201 |
| 9,870 | | 10,749 | -879 |
| 19,833 | | 18,745 | 1,088 |
| 5,438 | | 5,439 | -1 |
| 323 | | 323 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 5 |
| | | | -100 |
| | | | 18 |
| | | | 33 |
| | | | 201 |
| | | | -879 |
| | | | 1,088 |
| | | | -1 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 108 SH DIAGEO PLC | | 2018-11-19 | 2018-11-19 |
| 1 152 SH DIAGEO PLC | | 2018-11-21 | 2018-11-21 |
| 76 SH DIAGEO PLC | | 2018-11-26 | 2018-11-26 |
| 99 SH DIAMONDBACK ENERGY INC | | 2018-09-05 | 2018-09-05 |
| 107 SH DIAMONDBACK ENERGY INC | | 2018-09-06 | 2018-09-12 |
| 44 SH DIAMONDBACK ENERGY INC | | 2018-09-18 | 2018-09-18 |
| 45 SH DIAMONDBACK ENERGY INC | | 2018-09-18 | 2018-09-19 |
| 24 SH DIAMONDBACK ENERGY INC | | 2018-09-20 | 2018-09-20 |
| 16 SH DIAMONDBACK ENERGY INC | | 2018-09-26 | 2018-09-27 |
| 53 SH DIAMONDBACK ENERGY INC | | 2018-11-01 | 2018-11-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,864 | | 3,864 | 0 |
| 5,494 | | 5,494 | 0 |
| 2,749 | | 2,808 | -59 |
| 11,636 | | 11,627 | 9 |
| 12,862 | | 12,457 | 405 |
| 5,491 | | 5,501 | -10 |
| 5,716 | | 5,651 | 65 |
| 3,067 | | 3,076 | -9 |
| 2,137 | | 2,133 | 4 |
| 6,114 | | 6,075 | 39 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | -59 |
| | | | 9 |
| | | | 405 |
| | | | -10 |
| | | | 65 |
| | | | -9 |
| | | | 4 |
| | | | 39 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------|---|---|-------------------------------------|
| 42 SH DIAMONDBACK ENERGY INC | | | 2018-11-01 | 2018-11-02 |
| 1 | 7 SH DIAMONDBACK ENERGY INC | | 2018-11-01 | 2018-11-05 |
| 50 SH DIAMONDBACK ENERGY INC | | | 2018-11-06 | 2018-11-06 |
| 92 SH DIAMONDBACK ENERGY INC | | | 2018-11-07 | 2018-11-07 |
| 89 SH DIAMONDBACK ENERGY INC | | | 2018-11-08 | 2018-11-09 |
| 90 SH DIAMONDBACK ENERGY INC | | | 2018-11-12 | 2018-11-15 |
| 75 SH DIAMONDBACK ENERGY INC | | | 2018-11-20 | 2018-11-21 |
| 103 SH DIAMONDBACK ENERGY INC | | | 2018-11-27 | 2018-11-29 |
| 116 SH DIAMONDBACK ENERGY INC | | | 2018-11-30 | 2018-11-30 |
| 134 SH DIAMONDBACK ENERGY INC | | | 2018-12-03 | 2018-12-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 4,801 | | 4,801 | 0 |
| 806 | | 802 | 4 |
| 5,666 | | 5,665 | 1 |
| 10,605 | | 10,394 | 211 |
| 10,136 | | 9,988 | 148 |
| 10,079 | | 10,078 | 1 |
| 8,214 | | 8,214 | 0 |
| 11,606 | | 11,429 | 177 |
| 12,718 | | 12,447 | 271 |
| 15,027 | | 15,023 | 4 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 4 |
| | | | 1 |
| | | | 211 |
| | | | 148 |
| | | | 1 |
| | | | 0 |
| | | | 177 |
| | | | 271 |
| | | | 4 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------------|---|---|-------------------------------------|
| 69 SH DIAMONDBACK ENERGY INC | | | 2018-12-04 | 2018-12-04 |
| 1 | 91 SH DIAMONDBACK ENERGY INC | | 2018-12-10 | 2018-12-10 |
| 89 SH DIAMONDBACK ENERGY INC | | | 2018-12-11 | 2018-12-11 |
| 86 SH DIAMONDBACK ENERGY INC | | | 2018-12-12 | 2018-12-31 |
| 45 SH DIAMONDBACK ENERGY INC | | | | 2018-09-12 |
| 101 SH DIAMONDBACK ENERGY INC | | | | 2018-09-13 |
| 196 SH DIAMONDBACK ENERGY INC | | | | 2018-12-06 |
| 372 SH DIREXION DAILY BRAZIL BULL 3 | | | 2018-11-20 | 2018-11-21 |
| 416 SH DIREXION DAILY BRAZIL BULL 3 | | | 2018-11-23 | 2018-11-29 |
| 38 SH DIREXION DAILY BRAZIL BULL 3 | | | 2018-11-30 | 2018-11-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 7,248 | | 7,248 | 0 |
| 9,172 | | 9,172 | 0 |
| 8,899 | | 9,116 | -217 |
| 7,941 | | 9,253 | -1,312 |
| 5,402 | | 5,272 | 130 |
| 12,002 | | 11,994 | 8 |
| 19,952 | | 19,821 | 131 |
| 10,070 | | 9,930 | 140 |
| 11,616 | | 10,734 | 882 |
| 1,072 | | 1,045 | 27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | -217 |
| | | | -1,312 |
| | | | 130 |
| | | | 8 |
| | | | 131 |
| | | | 140 |
| | | | 882 |
| | | | 27 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 469 SH DIREXION DAILY BRAZIL BULL 3 | | 2018-11-30 | 2018-12-04 |
| 1 11 SH DIREXION DAILY BRAZIL BULL 3 | | 2018-12-07 | 2018-12-07 |
| 323 SH DIREXION DAILY BRAZIL BULL 3 | | 2018-12-21 | 2018-12-28 |
| 529 SH DIREXION DAILY BRAZIL BULL 3 | | | 2018-12-20 |
| 23 SH DIREXION DAILY ENERGY BUL 3X | | 2018-09-12 | 2018-09-12 |
| 229 SH DIREXION DAILY ENERGY BUL 3X | | 2018-09-12 | 2018-09-13 |
| 404 SH DIREXION DAILY ENERGY BUL 3X | | 2018-09-20 | 2018-09-21 |
| 303 SH DIREXION DAILY ENERGY BUL 3X | | 2018-09-21 | 2018-09-21 |
| 374 SH DIREXION DAILY ENERGY BUL 3X | | 2018-09-27 | 2018-09-28 |
| 368 SH DIREXION DAILY ENERGY BUL 3X | | 2018-09-28 | 2018-10-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,012 | | 12,729 | 283 |
| 283 | | 283 | 0 |
| 8,010 | | 8,010 | 0 |
| 12,751 | | 12,751 | 0 |
| 790 | | 789 | 1 |
| 7,837 | | 7,837 | 0 |
| 14,813 | | 14,513 | 300 |
| 11,067 | | 11,006 | 61 |
| 14,341 | | 14,072 | 269 |
| 14,144 | | 13,885 | 259 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 283 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 300 |
| | | | 61 |
| | | | 269 |
| | | | 259 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 345 SH DIREXION DAILY ENERGY BUL 3X | | 2018-10-02 | 2018-10-02 |
| 1 355 SH DIREXION DAILY ENERGY BUL 3X | | 2018-10-04 | 2018-10-04 |
| 123 SH DIREXION DAILY ENERGY BUL 3X | | 2018-10-05 | 2018-10-05 |
| 314 SH DIREXION DAILY ENERGY BUL 3X | | 2018-10-05 | 2018-10-09 |
| 232 SH DIREXION DAILY ENERGY BUL 3X | | | 2018-09-18 |
| 548 SH DIREXION DAILY ENERGY BUL 3X | | | 2018-09-19 |
| 757 SH DIREXION DAILY ENERGY BUL 3X | | | 2018-12-31 |
| 43 SH DIREXION DAILY FIN BULL 3X | | 2018-09-05 | 2018-09-10 |
| 160 SH DIREXION DAILY FIN BULL 3X | | 2018-09-05 | 2018-09-11 |
| 263 SH DIREXION DAILY FIN BULL 3X | | 2018-09-12 | 2018-09-13 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,523 | | 13,367 | 156 |
| 13,990 | | 13,990 | 0 |
| 4,815 | | 4,801 | 14 |
| 12,565 | | 12,299 | 266 |
| 8,298 | | 7,955 | 343 |
| 19,757 | | 19,215 | 542 |
| 11,322 | | 29,529 | -18,207 |
| 3,181 | | 3,181 | 0 |
| 11,912 | | 11,912 | 0 |
| 19,539 | | 19,450 | 89 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 156 |
| | | | 0 |
| | | | 14 |
| | | | 266 |
| | | | 343 |
| | | | 542 |
| | | | -18,207 |
| | | | 0 |
| | | | 0 |
| | | | 89 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 52 SH DIREXION DAILY FIN BULL 3X | | 2018-09-13 | 2018-09-13 |
| 1 89 SH DIREXION DAILY FIN BULL 3X | | 2018-09-13 | 2018-09-14 |
| 186 SH DIREXION DAILY FIN BULL 3X | | 2018-09-14 | 2018-09-14 |
| 194 SH DIREXION DAILY FIN BULL 3X | | 2018-09-17 | 2018-09-18 |
| 99 SH DIREXION DAILY FIN BULL 3X | | 2018-09-28 | 2018-10-03 |
| 19 SH DIREXION DAILY FIN BULL 3X | | 2018-10-05 | 2018-10-05 |
| 438 SH DIREXION DAILY FIN BULL 3X | | 2018-10-05 | 2018-10-08 |
| 349 SH DIREXION DAILY FIN BULL 3X | | 2018-10-09 | 2018-10-09 |
| 236 SH DIREXION DAILY FIN BULL 3X | | 2018-10-09 | 2018-10-16 |
| 13 SH DIREXION DAILY FIN BULL 3X | | 2018-10-10 | 2018-10-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,811 | | 3,803 | 8 |
| 6,573 | | 6,527 | 46 |
| 13,755 | | 13,730 | 25 |
| 14,352 | | 14,205 | 147 |
| 7,114 | | 7,013 | 101 |
| 1,335 | | 1,331 | 4 |
| 30,939 | | 30,845 | 94 |
| 24,626 | | 24,374 | 252 |
| 14,529 | | 14,529 | 0 |
| 806 | | 806 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 8 |
| | | | 46 |
| | | | 25 |
| | | | 147 |
| | | | 101 |
| | | | 4 |
| | | | 94 |
| | | | 252 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 17 SH DIREXION DAILY FIN BULL 3X | | 2018-10-18 | 2018-11-06 |
| 1 108 SH DIREXION DAILY FIN BULL 3X | | | 2018-10-01 |
| 504 SH DIREXION DAILY FIN BULL 3X | | | 2018-10-04 |
| 255 SH DIREXION DAILY FIN BULL 3X | | | 2018-10-17 |
| 363 SH DIREXION DAILY FIN BULL 3X | | | 2018-10-19 |
| 401 SH DIREXION DAILY FIN BULL 3X | | | 2018-12-24 |
| 216 SH DIREXION DAILY GOLD MINERS I | | 2018-10-03 | 2018-10-04 |
| 677 SH DIREXION DAILY GOLD MINERS I | | 2018-10-05 | 2018-10-05 |
| 1,077 SH DIREXION DAILY GOLD MINERS I | | 2018-10-08 | 2018-10-08 |
| 318 SH DIREXION DAILY GOLD MINERS I | | 2018-10-09 | 2018-10-09 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,052 | | 1,186 | -134 |
| 7,754 | | 7,660 | 94 |
| 35,939 | | 35,835 | 104 |
| 15,982 | | 15,982 | 0 |
| 22,415 | | 22,743 | -328 |
| 15,510 | | 28,821 | -13,311 |
| 2,872 | | 2,872 | 0 |
| 8,909 | | 8,909 | 0 |
| 14,060 | | 13,463 | 597 |
| 4,031 | | 4,008 | 23 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -134 |
| | | | 94 |
| | | | 104 |
| | | | 0 |
| | | | -328 |
| | | | -13,311 |
| | | | 0 |
| | | | 0 |
| | | | 597 |
| | | | 23 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------------|---|---|-------------------------------------|
| 265 SH DIREXION DAILY GOLD MINERS I | | | 2018-10-09 | 2018-10-10 |
| 1 | 747 SH DIREXION DAILY GOLD MINERS I | | 2018-10-10 | 2018-10-10 |
| 225 SH DIREXION DAILY GOLD MINERS I | | | 2018-10-17 | 2018-10-17 |
| 320 SH DIREXION DAILY GOLD MINERS I | | | | 2018-10-10 |
| 60 SH DIREXION DAILY SEMI BULL 3X | | | 2018-09-06 | 2018-09-10 |
| 52 SH DIREXION DAILY SEMI BULL 3X | | | 2018-09-06 | 2018-09-11 |
| 45 SH DIREXION DAILY SEMI BULL 3X | | | 2018-09-13 | 2018-09-14 |
| 63 SH DIREXION DAILY SEMI BULL 3X | | | 2018-09-14 | 2018-09-14 |
| 114 SH DIREXION DAILY SEMI BULL 3X | | | 2018-09-17 | 2018-09-18 |
| 16 SH DIREXION DAILY SEMI BULL 3X | | | 2018-09-18 | 2018-09-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 3,430 | | 3,340 | 90 |
| 9,736 | | 9,266 | 470 |
| 3,547 | | 3,545 | 2 |
| 4,143 | | 3,980 | 163 |
| 9,507 | | 9,507 | 0 |
| 8,156 | | 8,156 | 0 |
| 7,158 | | 7,152 | 6 |
| 9,954 | | 9,947 | 7 |
| 18,017 | | 17,985 | 32 |
| 2,515 | | 2,515 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 90 |
| | | | 470 |
| | | | 2 |
| | | | 163 |
| | | | 0 |
| | | | 0 |
| | | | 6 |
| | | | 7 |
| | | | 32 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 22 SH DIREXION DAILY SEMI BULL 3X | | 2018-09-20 | 2018-09-21 |
| 1 2 SH DIREXION DAILY SEMI BULL 3X | | 2018-09-21 | 2018-09-21 |
| 91 SH DIREXION DAILY SEMI BULL 3X | | 2018-09-21 | 2018-09-24 |
| 168 SH DIREXION DAILY SEMI BULL 3X | | 2018-09-28 | 2018-09-28 |
| 52 SH DIREXION DAILY SEMI BULL 3X | | 2018-10-01 | 2018-10-02 |
| 1 SH DIREXION DAILY SEMI BULL 3X | | 2018-10-02 | 2018-10-02 |
| 48 SH DIREXION DAILY SEMI BULL 3X | | 2018-10-02 | 2018-10-03 |
| 42 SH DIREXION DAILY SEMI BULL 3X | | 2018-10-03 | 2018-10-03 |
| 83 SH DIREXION DAILY SEMI BULL 3X | | 2018-10-03 | 2018-10-05 |
| 40 SH DIREXION DAILY SEMI BULL 3X | | 2018-10-08 | 2018-11-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,606 | | 3,590 | 16 |
| 329 | | 324 | 5 |
| 14,804 | | 14,737 | 67 |
| 25,981 | | 25,882 | 99 |
| 8,302 | | 8,200 | 102 |
| 156 | | 156 | 0 |
| 7,538 | | 7,499 | 39 |
| 6,644 | | 6,469 | 175 |
| 11,287 | | 11,287 | 0 |
| 4,422 | | 4,599 | -177 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 16 |
| | | | 5 |
| | | | 67 |
| | | | 99 |
| | | | 102 |
| | | | 0 |
| | | | 39 |
| | | | 175 |
| | | | 0 |
| | | | -177 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------------|---|---|-------------------------------------|
| 76 SH DIREXION DAILY SEMI BULL 3X | | | | 2018-09-13 |
| 1 | 75 SH DIREXION DAILY SEMI BULL 3X | | | 2018-09-14 |
| 133 SH DIREXION DAILY SEMI BULL 3X | | | | 2018-09-20 |
| 33 SH DIREXION DAILY SEMI BULL 3X | | | | 2018-09-28 |
| 42 SH DIREXION DAILY SEMI BULL 3X | | | | 2018-10-03 |
| 120 SH DIREXION DAILY SEMI BULL 3X | | | | 2018-12-24 |
| 61 SH DIREXION DAILY TECH BULL 3X | | | 2018-10-15 | 2018-10-19 |
| 88 SH DIREXION DAILY TECH BULL 3X | | | 2018-10-19 | 2018-10-19 |
| 85 SH DIREXION DAILY TECH BULL 3X | | | 2018-10-22 | 2018-10-22 |
| 37 SH DIREXION DAILY TECH BULL 3X | | | 2018-10-23 | 2018-10-23 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 11,930 | | 11,930 | 0 |
| 11,903 | | 11,874 | 29 |
| 21,736 | | 21,220 | 516 |
| 5,115 | | 5,046 | 69 |
| 6,639 | | 6,460 | 179 |
| 8,428 | | 17,846 | -9,418 |
| 8,336 | | 8,269 | 67 |
| 11,639 | | 11,531 | 108 |
| 11,495 | | 11,180 | 315 |
| 4,943 | | 4,694 | 249 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 29 |
| | | | 516 |
| | | | 69 |
| | | | 179 |
| | | | -9,418 |
| | | | 67 |
| | | | 108 |
| | | | 315 |
| | | | 249 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 33 SH DIREXION DAILY TECH BULL 3X | | 2018-10-23 | 2018-12-24 |
| 1 67 SH DIREXION DAILY TECH BULL 3X | | | 2018-12-26 |
| 132 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-05 | 2018-09-05 |
| 158 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-06 | 2018-09-10 |
| 285 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-11 | 2018-09-11 |
| 324 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-12 | 2018-09-12 |
| 116 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-12 | 2018-09-13 |
| 186 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-13 | 2018-09-14 |
| 131 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-14 | 2018-09-14 |
| 139 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-17 | 2018-09-18 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,398 | | 4,187 | -1,789 |
| 5,078 | | 8,666 | -3,588 |
| 12,419 | | 12,405 | 14 |
| 14,678 | | 14,678 | 0 |
| 26,504 | | 26,491 | 13 |
| 29,853 | | 29,785 | 68 |
| 10,852 | | 10,794 | 58 |
| 17,417 | | 17,198 | 219 |
| 12,268 | | 12,200 | 68 |
| 12,753 | | 12,753 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -1,789 |
| | | | -3,588 |
| | | | 14 |
| | | | 0 |
| | | | 13 |
| | | | 68 |
| | | | 58 |
| | | | 219 |
| | | | 68 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 29 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-18 | 2018-09-18 |
| 1 114 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-18 | 2018-09-20 |
| 93 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-21 | 2018-09-25 |
| 129 SH DIREXION DLY SM CAP BULL 3X | | 2018-09-21 | 2018-10-03 |
| 24 SH DIREXION DLY SM CAP BULL 3X | | 2018-10-17 | 2018-10-26 |
| 91 SH DIREXION DLY SM CAP BULL 3X | | 2018-10-31 | 2018-11-07 |
| 224 SH DIREXION DLY SM CAP BULL 3X | | 2018-12-28 | 2018-12-28 |
| 377 SH DIREXION DLY SM CAP BULL 3X | | | 2018-09-11 |
| 142 SH DIREXION DLY SM CAP BULL 3X | | | 2018-09-14 |
| 111 SH DIREXION DLY SM CAP BULL 3X | | | 2018-09-18 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,679 | | 2,672 | 7 |
| 10,526 | | 10,509 | 17 |
| 8,543 | | 8,543 | 0 |
| 10,941 | | 10,941 | 0 |
| 1,400 | | 1,400 | 0 |
| 6,345 | | 6,345 | 0 |
| 9,185 | | 25,876 | -16,691 |
| 35,272 | | 35,029 | 243 |
| 13,302 | | 13,237 | 65 |
| 10,235 | | 10,209 | 26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 7 |
| | | | 17 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -16,691 |
| | | | 243 |
| | | | 65 |
| | | | 26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------------------|---|---|-------------------------------------|
| 207 SH DIREXION DLY SM CAP BULL 3X | | | | 2018-09-20 |
| 1 | 187 SH DIREXION DLY SM CAP BULL 3X | | | 2018-10-16 |
| | 162 SH DIREXION DLY SM CAP BULL 3X | | | 2018-10-17 |
| | 157 SH DIREXION DLY SM CAP BULL 3X | | | 2018-10-25 |
| | 228 SH DIREXION DLY SM CAP BULL 3X | | | 2018-10-31 |
| | 40 SH DIREXION DLY SM CAP BULL 3X | | | 2018-11-02 |
| | 154 SH DIREXION DLY SM CAP BULL 3X | | | 2018-11-26 |
| | 203 SH DIREXION DLY SM CAP BULL 3X | | | 2018-12-03 |
| | 581 SH DIREXION DLY SM CAP BULL 3X | | | 2018-12-21 |
| | 97 SH DRXN DAILY S&P BIOTECH BULL | | 2018-09-05 | 2018-09-05 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 19,237 | | 19,045 | 192 |
| 13,634 | | 13,634 | 0 |
| 11,793 | | 11,793 | 0 |
| 9,483 | | 9,483 | 0 |
| 14,205 | | 14,205 | 0 |
| 2,648 | | 2,648 | 0 |
| 9,337 | | 9,337 | 0 |
| 13,256 | | 13,137 | 119 |
| 21,962 | | 31,179 | -9,217 |
| 10,070 | | 10,070 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 192 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 119 |
| | | | -9,217 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------------|---|---|-------------------------------------|
| 120 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-06 | 2018-09-10 |
| 1 | 80 SH DRXN DAILY S&P BIOTECH BULL | | 2018-09-11 | 2018-09-12 |
| 131 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-13 | 2018-09-14 |
| 97 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-14 | 2018-09-18 |
| 95 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-17 | 2018-09-19 |
| 10 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-19 | 2018-09-19 |
| 166 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-19 | 2018-09-20 |
| 26 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-21 | 2018-09-24 |
| 9 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-21 | 2018-09-25 |
| 5 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-21 | 2018-09-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 11,467 | | 11,467 | 0 |
| 7,540 | | 7,540 | 0 |
| 12,609 | | 12,609 | 0 |
| 8,930 | | 8,930 | 0 |
| 8,939 | | 8,939 | 0 |
| 927 | | 925 | 2 |
| 15,878 | | 15,840 | 38 |
| 2,489 | | 2,489 | 0 |
| 875 | | 875 | 0 |
| 487 | | 487 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 2 |
| | | | 38 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------------------|---|---|-------------------------------------|
| 109 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-21 | 2018-10-19 |
| 1 | 104 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-11 |
| | 77 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-13 |
| | 94 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-14 |
| | 37 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-09-19 |
| | 284 SH DRXN DAILY S&P BIOTECH BULL | | | 2018-12-24 |
| | 12 SH EEM 09NOV18 41 0 C | | 2018-11-05 | 2018-11-09 |
| | 227 SH ENERGY SELECT SECTOR SPDR | | 2018-11-01 | 2018-11-01 |
| | 161 SH ENERGY SELECT SECTOR SPDR | | 2018-11-06 | 2018-11-06 |
| | 236 SH ENERGY SELECT SECTOR SPDR | | 2018-12-06 | 2018-12-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 7,748 | | 7,748 | 0 |
| 9,943 | | 9,943 | 0 |
| 7,304 | | 7,303 | 1 |
| 8,956 | | 8,947 | 9 |
| 3,429 | | 3,429 | 0 |
| 7,411 | | 27,095 | -19,684 |
| | | 620 | -620 |
| 15,359 | | 15,255 | 104 |
| 11,076 | | 11,002 | 74 |
| 15,199 | | 15,011 | 188 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 9 |
| | | | 0 |
| | | | -19,684 |
| | | | -620 |
| | | | 104 |
| | | | 74 |
| | | | 188 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 282 SH ENERGY SELECT SECTOR SPDR | | 2018-12-28 | 2018-12-28 |
| 1 76 SH EXPERIAN PLC | | 2018-11-19 | 2018-11-20 |
| 61 SH EXPERIAN PLC | | 2018-11-20 | 2018-11-21 |
| 75 SH EXPERIAN PLC | | 2018-11-21 | 2018-11-21 |
| 76 SH EXPERIAN PLC | | 2018-11-26 | 2018-11-26 |
| 304 SH EXPERIAN PLC | | 2018-11-27 | 2018-11-27 |
| 177 SH EXPERIAN PLC | | 2018-11-28 | 2018-11-28 |
| 103 SH EXPERIAN PLC | | 2018-11-29 | 2018-11-29 |
| 76 SH EXPERIAN PLC | | 2018-11-30 | 2018-11-30 |
| 102 SH EXPERIAN PLC | | 2018-12-03 | 2018-12-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 16,059 | | 16,106 | -47 |
| 1,765 | | 1,765 | 0 |
| 1,407 | | 1,407 | 0 |
| 1,755 | | 1,755 | 0 |
| 1,823 | | 1,823 | 0 |
| 7,292 | | 7,292 | 0 |
| 4,250 | | 4,247 | 3 |
| 2,486 | | 2,486 | 0 |
| 1,832 | | 1,832 | 0 |
| 2,540 | | 2,536 | 4 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -47 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 3 |
| | | | 0 |
| | | | 0 |
| | | | 4 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|----------------------|---|---|-------------------------------------|
| 120 SH EXPERIAN PLC | | | 2018-12-04 | 2018-12-04 |
| 1 | 76 SH EXPERIAN PLC | | 2018-12-05 | 2018-12-05 |
| | 75 SH EXPERIAN PLC | | 2018-12-06 | 2018-12-06 |
| | 180 SH EXPERIAN PLC | | 2018-12-07 | 2018-12-07 |
| | 16 SH EXPERIAN PLC | | | 2018-11-21 |
| | 88 SH FACEBOOK INC-A | | 2018-09-06 | 2018-09-11 |
| | 96 SH FACEBOOK INC-A | | 2018-09-11 | 2018-09-11 |
| | 84 SH FACEBOOK INC-A | | 2018-09-12 | 2018-09-19 |
| | 84 SH FACEBOOK INC-A | | 2018-09-27 | 2018-10-03 |
| | 71 SH FACEBOOK INC-A | | 2018-10-03 | 2018-10-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 3,008 | | 3,007 | 1 |
| 1,825 | | 1,825 | 0 |
| 1,734 | | 1,734 | 0 |
| 4,214 | | 4,262 | -48 |
| 369 | | 369 | 0 |
| 14,653 | | 14,522 | 131 |
| 15,929 | | 15,929 | 0 |
| 13,713 | | 13,713 | 0 |
| 13,661 | | 13,661 | 0 |
| 11,519 | | 11,519 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | -48 |
| | | | 0 |
| | | | 131 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------|---|---|-------------------------------------|
| 136 SH FACEBOOK INC-A | | | 2018-10-04 | 2018-10-05 |
| 1 | 194 SH FACEBOOK INC-A | | 2018-10-05 | 2018-10-08 |
| 162 SH FACEBOOK INC-A | | | 2018-10-08 | 2018-10-08 |
| 226 SH FACEBOOK INC-A | | | 2018-10-09 | 2018-10-09 |
| 121 SH FACEBOOK INC-A | | | 2018-10-10 | 2018-10-11 |
| 126 SH FACEBOOK INC-A | | | 2018-10-12 | 2018-10-12 |
| 9 SH FACEBOOK INC-A | | | 2018-10-15 | 2018-10-15 |
| 72 SH FACEBOOK INC-A | | | 2018-10-16 | 2018-10-16 |
| 47 SH FACEBOOK INC-A | | | 2018-10-17 | 2018-10-17 |
| 69 SH FACEBOOK INC-A | | | 2018-10-18 | 2018-10-18 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 21,457 | | 21,457 | 0 |
| 30,589 | | 30,545 | 44 |
| 25,517 | | 25,431 | 86 |
| 35,712 | | 35,712 | 0 |
| 18,573 | | 18,573 | 0 |
| 19,300 | | 19,300 | 0 |
| 1,385 | | 1,385 | 0 |
| 11,361 | | 11,397 | -36 |
| 7,493 | | 7,493 | 0 |
| 10,679 | | 10,679 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 44 |
| | | | 86 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -36 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 74 SH FACEBOOK INC-A | | 2018-10-23 | 2018-10-23 |
| 1 148 SH FACEBOOK INC-A | | | 2018-09-24 |
| 75 SH FACEBOOK INC-A | | | 2018-10-22 |
| 73 SH FACEBOOK INC-CLASS A | | 2018-10-24 | 2018-10-24 |
| 73 SH FACEBOOK INC-CLASS A | | 2018-10-26 | 2018-10-26 |
| 75 SH FACEBOOK INC-CLASS A | | 2018-10-26 | 2018-10-31 |
| 111 SH FACEBOOK INC-CLASS A | | 2018-10-31 | 2018-10-31 |
| 103 SH FACEBOOK INC-CLASS A | | 2018-11-01 | 2018-11-01 |
| 57 SH FACEBOOK INC-CLASS A | | 2018-11-01 | 2018-11-02 |
| 61 SH FACEBOOK INC-CLASS A | | 2018-11-06 | 2018-11-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 11,412 | | 11,409 | 3 |
| 24,366 | | 24,161 | 205 |
| 11,628 | | 11,628 | 0 |
| 10,658 | | 10,658 | 0 |
| 10,823 | | 10,822 | 1 |
| 11,561 | | 11,486 | 75 |
| 16,944 | | 16,917 | 27 |
| 15,588 | | 15,588 | 0 |
| 8,578 | | 8,578 | 0 |
| 9,144 | | 9,143 | 1 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 3 |
| | | | 205 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 75 |
| | | | 27 |
| | | | 0 |
| | | | 0 |
| | | | 1 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|----------------------------|---|---|-------------------------------------|
| 88 SH FACEBOOK INC-CLASS A | | | 2018-11-07 | 2018-11-07 |
| 1 | 71 SH FACEBOOK INC-CLASS A | | 2018-11-08 | 2018-11-08 |
| 40 SH FACEBOOK INC-CLASS A | | | 2018-11-09 | 2018-11-09 |
| 55 SH FACEBOOK INC-CLASS A | | | 2018-11-13 | 2018-11-13 |
| 26 SH FACEBOOK INC-CLASS A | | | 2018-11-13 | 2018-11-14 |
| 74 SH FACEBOOK INC-CLASS A | | | 2018-11-15 | 2018-11-15 |
| 75 SH FACEBOOK INC-CLASS A | | | 2018-11-27 | 2018-11-29 |
| 82 SH FACEBOOK INC-CLASS A | | | 2018-12-03 | 2018-12-04 |
| 109 SH FACEBOOK INC-CLASS A | | | 2018-12-07 | 2018-12-07 |
| 103 SH FACEBOOK INC-CLASS A | | | 2018-12-13 | 2018-12-13 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,334 | | 13,328 | 6 |
| 10,476 | | 10,476 | 0 |
| 5,805 | | 5,805 | 0 |
| 7,821 | | 7,821 | 0 |
| 3,744 | | 3,743 | 1 |
| 10,610 | | 10,611 | -1 |
| 10,320 | | 10,320 | 0 |
| 11,298 | | 11,298 | 0 |
| 15,010 | | 15,010 | 0 |
| 14,857 | | 14,857 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 6 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | -1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------|---|---|-------------------------------------|
| 108 SH FACEBOOK INC-CLASS A | | | 2018-12-19 | 2018-12-19 |
| 1 | 121 SH FACEBOOK INC-CLASS A | | 2018-12-28 | 2018-12-28 |
| 78 SH FACEBOOK INC-CLASS A | | | 2018-12-31 | 2018-12-31 |
| 76 SH FACEBOOK INC-CLASS A | | | | 2018-11-05 |
| 111 SH FACEBOOK INC-CLASS A | | | | 2018-11-12 |
| 106 SH FACEBOOK INC-CLASS A | | | | 2018-11-14 |
| 114 SH FACEBOOK INC-CLASS A | | | | 2018-11-27 |
| 118 SH FERGUSON PLC | | | 2018-11-29 | 2018-11-29 |
| 165 SH FERGUSON PLC | | | 2018-11-30 | 2018-11-30 |
| 165 SH FERGUSON PLC | | | 2018-12-03 | 2018-12-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 14,483 | | 14,483 | 0 |
| 16,060 | | 16,823 | -763 |
| 10,196 | | 11,717 | -1,521 |
| 11,299 | | 11,290 | 9 |
| 15,707 | | 15,707 | 0 |
| 15,311 | | 15,310 | 1 |
| 15,380 | | 15,380 | 0 |
| 7,566 | | 7,552 | 14 |
| 10,613 | | 10,583 | 30 |
| 10,944 | | 10,919 | 25 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -763 |
| | | | -1,521 |
| | | | 9 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 14 |
| | | | 30 |
| | | | 25 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---------------------|---|---|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) |
| 102 SH FERGUSON PLC | | | 2018-12-04 |
| 1 | 102 SH FERGUSON PLC | | 2018-12-05 |
| 50 SH FERGUSON PLC | | | 2018-12-06 |
| 258 SH FERGUSON PLC | | | 2018-12-07 |
| 51 SH FEVERTREE DRINKS PLC | | | 2018-12-03 |
| 59 SH FEVERTREE DRINKS PLC | | | 2018-12-04 |
| 100 SH FEVERTREE DRINKS PLC | | | 2018-12-06 |
| 863 SH FREEPORT-MCMORAN INC | | | 2018-10-04 |
| 879 SH FREEPORT-MCMORAN INC | | | 2018-10-12 |
| 4 SH FXI 07DEC18 41 0 C | | | 2018-11-30 |
| | | | 2018-12-07 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 6,488 | | 6,486 | 2 |
| 6,444 | | 6,444 | 0 |
| 2,997 | | 2,994 | 3 |
| 15,554 | | 15,581 | -27 |
| 1,630 | | 1,609 | 21 |
| 1,806 | | 1,806 | 0 |
| 2,847 | | 2,924 | -77 |
| 11,417 | | 11,417 | 0 |
| 9,082 | | 12,030 | -2,948 |
| | | 516 | -516 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 2 |
| | | | 0 |
| | | | 3 |
| | | | -27 |
| | | | 21 |
| | | | 0 |
| | | | -77 |
| | | | 0 |
| | | | -2,948 |
| | | | -516 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 23 SH GILEAD SCIENCES INC | | 2018-09-18 | 2018-09-18 |
| 1 30 SH GILEAD SCIENCES INC | | 2018-09-20 | 2018-09-20 |
| 155 SH GLAXOSMITHKLINE PLC | | 2018-11-14 | 2018-11-14 |
| 138 SH GLAXOSMITHKLINE PLC | | 2018-11-15 | 2018-11-15 |
| 65 SH GLAXOSMITHKLINE PLC | | 2018-11-16 | 2018-11-16 |
| 76 SH GLAXOSMITHKLINE PLC | | 2018-11-21 | 2018-11-21 |
| 76 SH GLAXOSMITHKLINE PLC | | 2018-11-26 | 2018-11-26 |
| 127 SH GLAXOSMITHKLINE PLC | | 2018-11-27 | 2018-11-27 |
| 52 SH GLENCORE PLC | | 2018-11-14 | 2018-11-14 |
| 1,047 SH GLENCORE PLC | | 2018-11-19 | 2018-11-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,671 | | 1,666 | 5 |
| 2,229 | | 2,223 | 6 |
| 3,082 | | 3,082 | 0 |
| 2,754 | | 2,754 | 0 |
| 1,300 | | 1,300 | 0 |
| 1,536 | | 1,536 | 0 |
| 1,534 | | 1,542 | -8 |
| 2,537 | | 2,599 | -62 |
| 194 | | 194 | 0 |
| 4,041 | | 4,018 | 23 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 5 |
| | | | 6 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -8 |
| | | | -62 |
| | | | 0 |
| | | | 23 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 1,766 SH GLENCORE PLC | | 2018-11-20 | 2018-11-20 |
| 1 2,454 SH GLENCORE PLC | | 2018-11-21 | 2018-11-21 |
| 2,528 SH GLENCORE PLC | | 2018-11-26 | 2018-11-26 |
| 1,768 SH GLENCORE PLC | | 2018-11-27 | 2018-11-27 |
| 504 SH GLENCORE PLC | | 2018-11-28 | 2018-11-28 |
| 3,288 SH GLENCORE PLC | | 2018-11-29 | 2018-11-29 |
| 1,521 SH GLENCORE PLC | | 2018-11-30 | 2018-11-30 |
| 2,037 SH GLENCORE PLC | | 2018-12-03 | 2018-12-03 |
| 1,405 SH GLENCORE PLC | | 2018-12-04 | 2018-12-04 |
| 3,521 SH GLENCORE PLC | | 2018-12-05 | 2018-12-05 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 6,774 | | 6,756 | 18 |
| 9,328 | | 9,325 | 3 |
| 9,255 | | 9,231 | 24 |
| 6,382 | | 6,382 | 0 |
| 1,840 | | 1,840 | 0 |
| 12,348 | | 12,341 | 7 |
| 5,645 | | 5,625 | 20 |
| 7,921 | | 7,905 | 16 |
| 5,328 | | 5,314 | 14 |
| 12,813 | | 12,813 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 18 |
| | | | 3 |
| | | | 24 |
| | | | 0 |
| | | | 0 |
| | | | 7 |
| | | | 20 |
| | | | 16 |
| | | | 14 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------|---|---|-------------------------------------|
| 1,766 SH GLENCORE PLC | | | 2018-12-06 | 2018-12-06 |
| 1 | 8,422 SH GLENCORE PLC | | 2018-12-07 | 2018-12-07 |
| 24 SH GOLDMAN SACHS GROUP INC | | | 2018-09-13 | 2018-09-14 |
| 24 SH GOLDMAN SACHS GROUP INC | | | 2018-09-20 | 2018-09-20 |
| 1 SH GOLDMAN SACHS GROUP INC | | | 2018-09-20 | 2018-10-01 |
| 50 SH GOLDMAN SACHS GROUP INC | | | 2018-10-03 | 2018-10-03 |
| 20 SH GOLDMAN SACHS GROUP INC | | | 2018-10-04 | 2018-10-05 |
| 92 SH GOLDMAN SACHS GROUP INC | | | 2018-10-09 | 2018-10-10 |
| 35 SH GOLDMAN SACHS GROUP INC | | | 2018-10-12 | 2018-10-15 |
| 11 SH GOLDMAN SACHS GROUP INC | | | 2018-10-16 | 2018-10-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 6,034 | | 6,034 | 0 |
| 29,513 | | 29,699 | -186 |
| 5,518 | | 5,479 | 39 |
| 5,690 | | 5,690 | 0 |
| 227 | | 227 | 0 |
| 11,398 | | 11,380 | 18 |
| 4,504 | | 4,504 | 0 |
| 19,983 | | 19,983 | 0 |
| 7,579 | | 7,579 | 0 |
| 2,424 | | 2,424 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -186 |
| | | | 39 |
| | | | 0 |
| | | | 0 |
| | | | 18 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------|---|---|-------------------------------------|
| 49 SH GOLDMAN SACHS GROUP INC | | | 2018-10-22 | 2018-10-29 |
| 1 | 48 SH GOLDMAN SACHS GROUP INC | | 2018-11-02 | 2018-11-02 |
| | 47 SH GOLDMAN SACHS GROUP INC | | 2018-11-05 | 2018-11-05 |
| | 19 SH GOLDMAN SACHS GROUP INC | | 2018-11-06 | 2018-11-06 |
| | 29 SH GOLDMAN SACHS GROUP INC | | 2018-11-06 | 2018-11-07 |
| | 35 SH GOLDMAN SACHS GROUP INC | | 2018-11-07 | 2018-11-07 |
| | 51 SH GOLDMAN SACHS GROUP INC | | 2018-11-08 | 2018-11-08 |
| | 66 SH GOLDMAN SACHS GROUP INC | | 2018-11-16 | 2018-11-19 |
| | 61 SH GOLDMAN SACHS GROUP INC | | 2018-11-23 | 2018-11-23 |
| | 56 SH GOLDMAN SACHS GROUP INC | | 2018-11-29 | 2018-11-29 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 10,491 | | 10,491 | 0 |
| 11,003 | | 11,003 | 0 |
| 10,763 | | 10,763 | 0 |
| 4,324 | | 4,324 | 0 |
| 6,689 | | 6,689 | 0 |
| 8,107 | | 8,106 | 1 |
| 11,800 | | 11,800 | 0 |
| 13,056 | | 13,056 | 0 |
| 11,539 | | 11,539 | 0 |
| 10,938 | | 10,938 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|--------------------------------|---|---|-------------------------------------|
| 80 SH GOLDMAN SACHS GROUP INC | | | 2018-11-30 | 2018-11-30 |
| 1 | 55 SH GOLDMAN SACHS GROUP INC | | 2018-12-03 | 2018-12-04 |
| | 56 SH GOLDMAN SACHS GROUP INC | | 2018-12-06 | 2018-12-06 |
| | 53 SH GOLDMAN SACHS GROUP INC | | 2018-12-07 | 2018-12-07 |
| | 84 SH GOLDMAN SACHS GROUP INC | | 2018-12-11 | 2018-12-11 |
| | 109 SH GOLDMAN SACHS GROUP INC | | 2018-12-12 | 2018-12-12 |
| | 55 SH GOLDMAN SACHS GROUP INC | | 2018-12-13 | 2018-12-13 |
| | 85 SH GOLDMAN SACHS GROUP INC | | 2018-12-14 | 2018-12-14 |
| | 54 SH GOLDMAN SACHS GROUP INC | | 2018-12-17 | 2018-12-17 |
| | 34 SH GOLDMAN SACHS GROUP INC | | | 2018-09-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 15,227 | | 15,227 | 0 |
| 10,127 | | 10,127 | 0 |
| 10,317 | | 10,283 | 34 |
| 9,563 | | 9,563 | 0 |
| 14,842 | | 14,842 | 0 |
| 19,289 | | 19,289 | 0 |
| 9,651 | | 9,651 | 0 |
| 14,672 | | 16,247 | -1,575 |
| 9,025 | | 11,285 | -2,260 |
| 7,742 | | 7,742 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 34 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -1,575 |
| | | | -2,260 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 12 SH GOLDMAN SACHS GROUP INC | | | 2018-09-20 |
| 1 60 SH GOLDMAN SACHS GROUP INC | | | 2018-10-02 |
| 46 SH GOLDMAN SACHS GROUP INC | | | 2018-10-04 |
| 11 SH GOLDMAN SACHS GROUP INC | | | 2018-11-07 |
| 81 SH GOLDMAN SACHS GROUP INC | | | 2018-11-16 |
| 1 SH GOOG 26OCT18 1100 0 C | | 2018-10-24 | 2018-10-26 |
| 102 SH HANG SENG BANK LTD | | 2018-11-09 | 2018-11-12 |
| 38 SH HANG SENG BANK LTD | | 2018-11-09 | 2018-11-13 |
| 12 SH HANG SENG BANK LTD | | 2018-11-09 | 2018-11-15 |
| 3 SH HANG SENG BANK LTD | | 2018-11-09 | 2018-11-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,848 | | 2,833 | 15 |
| 13,563 | | 13,505 | 58 |
| 10,474 | | 10,473 | 1 |
| 2,546 | | 2,546 | 0 |
| 16,351 | | 16,351 | 0 |
| | | 1,429 | -1,429 |
| 2,322 | | 2,313 | 9 |
| 866 | | 862 | 4 |
| 275 | | 272 | 3 |
| 69 | | 68 | 1 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 15 |
| | | | 58 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | -1,429 |
| | | | 9 |
| | | | 4 |
| | | | 3 |
| | | | 1 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 191 SH HANG SENG BANK LTD | | 2018-11-18 | 2018-11-19 |
| 1 62 SH HANG SENG BANK LTD | | 2018-11-18 | 2018-11-21 |
| 102 SH HISCOX LTD | | 2018-11-29 | 2018-11-29 |
| 55 SH HISCOX LTD | | 2018-11-30 | 2018-11-30 |
| 51 SH HISCOX LTD | | 2018-12-03 | 2018-12-03 |
| 53 SH HISCOX LTD | | 2018-12-07 | 2018-12-07 |
| 171 SH HONDA MOTOR CO LTD | | 2018-09-11 | 2018-09-14 |
| 1 SH HONDA MOTOR CO LTD | | 2018-09-25 | 2018-10-29 |
| 180 SH HONDA MOTOR CO LTD | | 2018-12-10 | 2018-12-11 |
| 106 SH HONDA MOTOR CO LTD | | 2018-12-13 | 2018-12-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 4,358 | | 4,400 | -42 |
| 1,399 | | 1,428 | -29 |
| 2,179 | | 2,179 | 0 |
| 1,175 | | 1,169 | 6 |
| 1,093 | | 1,093 | 0 |
| 1,071 | | 1,084 | -13 |
| 4,882 | | 4,753 | 129 |
| 27 | | 27 | 0 |
| 4,789 | | 4,789 | 0 |
| 2,823 | | 2,920 | -97 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -42 |
| | | | -29 |
| | | | 0 |
| | | | 6 |
| | | | 0 |
| | | | -13 |
| | | | 129 |
| | | | 0 |
| | | | 0 |
| | | | -97 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 113 SH HONDA MOTOR CO LTD | | | 2018-10-29 |
| 1 110 SH HONDA MOTOR CO LTD | | | 2018-12-14 |
| 2,070 SH HSBC HOLDINGS PLC | | 2018-11-09 | 2018-11-16 |
| 128 SH HSBC HOLDINGS PLC | | 2018-11-15 | 2018-11-15 |
| 354 SH HSBC HOLDINGS PLC | | 2018-11-16 | 2018-11-16 |
| 414 SH HSBC HOLDINGS PLC | | 2018-11-19 | 2018-11-19 |
| 253 SH HSBC HOLDINGS PLC | | 2018-11-28 | 2018-11-28 |
| 138 SH INTL BUSINESS MACHINES CORP | | 2018-09-06 | 2018-09-06 |
| 1 SH INTL BUSINESS MACHINES CORP | | 2018-09-12 | 2018-09-14 |
| 76 SH INTL BUSINESS MACHINES CORP | | 2018-09-14 | 2018-09-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,003 | | 3,208 | -205 |
| 2,983 | | 3,013 | -30 |
| 17,410 | | 17,205 | 205 |
| 1,070 | | 1,070 | 0 |
| 2,966 | | 2,966 | 0 |
| 3,469 | | 3,487 | -18 |
| 2,160 | | 2,214 | -54 |
| 20,242 | | 20,126 | 116 |
| 149 | | 147 | 2 |
| 11,310 | | 11,293 | 17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -205 |
| | | | -30 |
| | | | 205 |
| | | | 0 |
| | | | 0 |
| | | | -18 |
| | | | -54 |
| | | | 116 |
| | | | 2 |
| | | | 17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|---|---|-------------------------------------|
| 80 SH INTL BUSINESS MACHINES CORP | | 2018-09-17 | 2018-09-17 |
| 1 77 SH INTL BUSINESS MACHINES CORP | | 2018-09-17 | 2018-09-18 |
| 52 SH INTL BUSINESS MACHINES CORP | | 2018-09-19 | 2018-09-19 |
| 69 SH INTL BUSINESS MACHINES CORP | | 2018-10-03 | 2018-10-03 |
| 128 SH INTL BUSINESS MACHINES CORP | | 2018-10-09 | 2018-10-09 |
| 134 SH INTL BUSINESS MACHINES CORP | | 2018-10-11 | 2018-10-11 |
| 54 SH INTL BUSINESS MACHINES CORP | | 2018-10-12 | 2018-10-12 |
| 69 SH INTL BUSINESS MACHINES CORP | | 2018-10-17 | 2018-10-19 |
| 87 SH INTL BUSINESS MACHINES CORP | | 2018-10-25 | 2018-10-25 |
| 5 SH INTL BUSINESS MACHINES CORP | | 2018-10-26 | 2018-10-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 11,842 | | 11,842 | 0 |
| 11,437 | | 11,430 | 7 |
| 7,760 | | 7,760 | 0 |
| 10,571 | | 10,571 | 0 |
| 18,835 | | 18,835 | 0 |
| 18,713 | | 18,713 | 0 |
| 7,581 | | 7,581 | 0 |
| 8,917 | | 8,917 | 0 |
| 10,996 | | 10,996 | 0 |
| 623 | | 623 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------------|---|---|-------------------------------------|
| 83 SH INTL BUSINESS MACHINES CORP | | | 2018-10-29 | 2018-10-29 |
| 1 | 80 SH INTL BUSINESS MACHINES CORP | | 2018-10-30 | 2018-11-05 |
| 58 SH INTL BUSINESS MACHINES CORP | | | 2018-11-12 | 2018-11-12 |
| 86 SH INTL BUSINESS MACHINES CORP | | | 2018-11-14 | 2018-11-14 |
| 16 SH INTL BUSINESS MACHINES CORP | | | 2018-11-15 | 2018-11-15 |
| 54 SH INTL BUSINESS MACHINES CORP | | | 2018-11-15 | 2018-11-16 |
| 74 SH INTL BUSINESS MACHINES CORP | | | 2018-11-20 | 2018-11-21 |
| 52 SH INTL BUSINESS MACHINES CORP | | | 2018-11-26 | 2018-11-26 |
| 89 SH INTL BUSINESS MACHINES CORP | | | 2018-11-29 | 2018-11-30 |
| 94 SH INTL BUSINESS MACHINES CORP | | | 2018-12-03 | 2018-12-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 10,193 | | 10,193 | 0 |
| 9,623 | | 9,620 | 3 |
| 7,007 | | 7,007 | 0 |
| 10,341 | | 10,341 | 0 |
| 1,940 | | 1,940 | 0 |
| 6,553 | | 6,553 | 0 |
| 8,806 | | 8,802 | 4 |
| 6,208 | | 6,208 | 0 |
| 11,017 | | 10,996 | 21 |
| 11,778 | | 11,771 | 7 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 3 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 4 |
| | | | 0 |
| | | | 21 |
| | | | 7 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------------------|---|---|-------------------------------------|
| 123 SH INTL BUSINESS MACHINES CORP | | | 2018-12-07 | 2018-12-07 |
| 1 | 126 SH INTL BUSINESS MACHINES CORP | | 2018-12-17 | 2018-12-17 |
| 68 SH INTL BUSINESS MACHINES CORP | | | 2018-12-20 | 2018-12-24 |
| 118 SH INTL BUSINESS MACHINES CORP | | | 2018-12-26 | 2018-12-26 |
| 95 SH INTL BUSINESS MACHINES CORP | | | 2018-12-27 | 2018-12-27 |
| 85 SH INTL BUSINESS MACHINES CORP | | | 2018-12-28 | 2018-12-31 |
| 107 SH INTL BUSINESS MACHINES CORP | | | | 2018-09-14 |
| 4 SH INTL BUSINESS MACHINES CORP | | | | 2018-10-05 |
| 285 SH INTL BUSINESS MACHINES CORP | | | | 2018-10-08 |
| 11 SH INTL BUSINESS MACHINES CORP | | | | 2018-10-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 14,709 | | 14,709 | 0 |
| 14,522 | | 14,522 | 0 |
| 7,377 | | 7,377 | 0 |
| 12,838 | | 12,838 | 0 |
| 10,745 | | 10,899 | -154 |
| 9,614 | | 10,345 | -731 |
| 15,942 | | 15,758 | 184 |
| 595 | | 595 | 0 |
| 42,306 | | 42,197 | 109 |
| 1,503 | | 1,503 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -154 |
| | | | -731 |
| | | | 184 |
| | | | 0 |
| | | | 109 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 83 SH INTL BUSINESS MACHINES CORP | | | 2018-12-11 |
| 1 688 SH INTL CONSOLIDATED AIRLINE-DI | | 2018-11-29 | 2018-11-29 |
| 253 SH INTL CONSOLIDATED AIRLINE-DI | | 2018-11-30 | 2018-11-30 |
| 763 SH INTL CONSOLIDATED AIRLINE-DI | | 2018-12-03 | 2018-12-03 |
| 759 SH INTL CONSOLIDATED AIRLINE-DI | | 2018-12-04 | 2018-12-04 |
| 983 SH INTL CONSOLIDATED AIRLINE-DI | | 2018-12-07 | 2018-12-07 |
| 127 SH ISHARES CORE FTSE 100 | | 2018-11-30 | 2018-11-30 |
| 126 SH ISHARES CORE FTSE 100 | | 2018-12-05 | 2018-12-05 |
| 506 SH IWG PLC | | 2018-11-29 | 2018-11-29 |
| 253 SH IWG PLC | | 2018-11-30 | 2018-11-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 10,041 | | 10,041 | 0 |
| 5,492 | | 5,492 | 0 |
| 2,015 | | 2,014 | 1 |
| 6,088 | | 6,083 | 5 |
| 5,910 | | 5,910 | 0 |
| 7,468 | | 7,600 | -132 |
| 1,126 | | 1,124 | 2 |
| 1,108 | | 1,111 | -3 |
| 1,507 | | 1,508 | -1 |
| 745 | | 757 | -12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 5 |
| | | | 0 |
| | | | -132 |
| | | | 2 |
| | | | -3 |
| | | | -1 |
| | | | -12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---|---|-------------------------------------|
| 8 SH IWM 07DEC18 152 5 C | | 2018-12-03 | 2018-12-07 |
| 1 9 SH IWM 21DEC18 144 0 C | | 2018-12-11 | 2018-12-21 |
| 19 SH IWM 26OCT18 163 0 C | | 2018-10-05 | 2018-10-26 |
| 381 SH JD COM INC-ADR | | 2018-09-14 | 2018-09-26 |
| 447 SH JD COM INC-ADR | | 2018-09-26 | 2018-10-05 |
| 273 SH JD COM INC-ADR | | 2018-10-12 | 2018-10-12 |
| 475 SH JD COM INC-ADR | | 2018-10-19 | 2018-10-22 |
| 512 SH JD COM INC-ADR | | 2018-10-24 | 2018-10-24 |
| 405 SH JD COM INC-ADR | | 2018-11-02 | 2018-12-04 |
| 690 SH JD COM INC-ADR | | 2018-12-19 | 2018-12-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| | | 1,299 | -1,299 |
| | | 2,156 | -2,156 |
| | | 5,600 | -5,600 |
| 9,804 | | 9,804 | 0 |
| 10,560 | | 10,560 | 0 |
| 6,637 | | 6,637 | 0 |
| 11,191 | | 11,185 | 6 |
| 11,152 | | 11,302 | -150 |
| 8,689 | | 8,689 | 0 |
| 13,745 | | 13,745 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -1,299 |
| | | | -2,156 |
| | | | -5,600 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 6 |
| | | | -150 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|----------------------------|---|---|-------------------------------------|
| 422 SH JD COM INC-ADR | | | 2018-12-21 | 2018-12-28 |
| 1 | 131 SH JPMORGAN CHASE & CO | | 2018-09-05 | 2018-09-05 |
| | 2 SH JPMORGAN CHASE & CO | | 2018-09-05 | 2018-09-11 |
| | 6 SH JPMORGAN CHASE & CO | | 2018-09-12 | 2018-09-12 |
| | 101 SH JPMORGAN CHASE & CO | | 2018-09-12 | 2018-09-17 |
| | 23 SH JPMORGAN CHASE & CO | | 2018-09-18 | 2018-09-18 |
| | 18 SH JPMORGAN CHASE & CO | | 2018-09-20 | 2018-09-20 |
| | 115 SH JPMORGAN CHASE & CO | | 2018-10-01 | 2018-10-01 |
| | 118 SH JPMORGAN CHASE & CO | | 2018-10-02 | 2018-10-02 |
| | 113 SH JPMORGAN CHASE & CO | | 2018-10-03 | 2018-10-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 9,157 | | 9,930 | -773 |
| 15,003 | | 15,003 | 0 |
| 229 | | 229 | 0 |
| 679 | | 679 | 0 |
| 11,515 | | 11,515 | 0 |
| 2,620 | | 2,618 | 2 |
| 2,134 | | 2,133 | 1 |
| 13,052 | | 13,052 | 0 |
| 13,431 | | 13,392 | 39 |
| 13,006 | | 12,957 | 49 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -773 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 2 |
| | | | 1 |
| | | | 0 |
| | | | 39 |
| | | | 49 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|---|---|-------------------------------------|
| 70 SH JPMORGAN CHASE & CO | | 2018-10-12 | 2018-10-16 |
| 1 86 SH JPMORGAN CHASE & CO | | 2018-10-16 | 2018-10-16 |
| 88 SH JPMORGAN CHASE & CO | | 2018-11-07 | 2018-11-07 |
| 80 SH JPMORGAN CHASE & CO | | 2018-12-06 | 2018-12-06 |
| 92 SH JPMORGAN CHASE & CO | | 2018-12-07 | 2018-12-07 |
| 87 SH JPMORGAN CHASE & CO | | 2018-12-10 | 2018-12-10 |
| 147 SH JPMORGAN CHASE & CO | | 2018-12-11 | 2018-12-11 |
| 89 SH JPMORGAN CHASE & CO | | 2018-12-12 | 2018-12-12 |
| 148 SH JPMORGAN CHASE & CO | | 2018-12-18 | 2018-12-18 |
| 75 SH JPMORGAN CHASE & CO | | 2018-12-20 | 2018-12-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 7,577 | | 7,547 | 30 |
| 9,343 | | 9,305 | 38 |
| 9,763 | | 9,681 | 82 |
| 8,405 | | 8,292 | 113 |
| 9,512 | | 9,512 | 0 |
| 8,827 | | 8,827 | 0 |
| 14,774 | | 14,774 | 0 |
| 9,069 | | 9,069 | 0 |
| 14,606 | | 14,606 | 0 |
| 7,335 | | 7,333 | 2 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 111 SH JPMORGAN CHASE & CO | | 2018-12-27 | 2018-12-27 |
| 1 108 SH JPMORGAN CHASE & CO | | 2018-12-28 | 2018-12-28 |
| 116 SH JPMORGAN CHASE & CO | | 2018-12-31 | 2018-12-31 |
| 208 SH KOMATSU LTD | | 2018-09-11 | 2018-09-12 |
| 210 SH KOMATSU LTD | | 2018-09-24 | 2018-09-25 |
| 171 SH KOMATSU LTD | | 2018-09-26 | 2018-09-28 |
| 17 SH KOMATSU LTD | | 2018-09-30 | 2018-10-03 |
| 25 SH KOMATSU LTD | | 2018-10-01 | 2018-10-15 |
| 50 SH KOMATSU LTD | | 2018-10-26 | 2018-11-05 |
| 2 SH KOMATSU LTD | | 2018-11-06 | 2018-11-07 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 10,701 | | 10,514 | 187 |
| 10,445 | | 10,445 | 0 |
| 11,264 | | 11,372 | -108 |
| 5,541 | | 5,541 | 0 |
| 6,376 | | 6,365 | 11 |
| 5,198 | | 5,088 | 110 |
| 516 | | 515 | 1 |
| 720 | | 720 | 0 |
| 1,364 | | 1,205 | 159 |
| 56 | | 56 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 187 |
| | | | 0 |
| | | | -108 |
| | | | 0 |
| | | | 11 |
| | | | 110 |
| | | | 1 |
| | | | 0 |
| | | | 159 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 4 SH KOMATSU LTD | | 2018-11-06 | 2018-11-19 |
| 1 303 SH KOMATSU LTD | | 2018-12-02 | 2018-12-10 |
| 48 SH KOMATSU LTD | | 2018-12-10 | 2018-12-11 |
| 7 SH KOMATSU LTD | | 2018-12-10 | 2018-12-13 |
| 126 SH KOMATSU LTD | | | 2018-10-04 |
| 133 SH KOMATSU LTD | | | 2018-11-19 |
| 518 SH LINK REIT | | 2018-11-09 | 2018-11-13 |
| 1,110 SH LINK REIT | | 2018-11-09 | 2018-11-15 |
| 592 SH LINK REIT | | 2018-11-09 | 2018-11-16 |
| 51 SH LLOYDS BANKING GROUP PLC | | 2018-11-14 | 2018-11-14 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 104 | | 104 | 0 |
| 6,972 | | 8,146 | -1,174 |
| 1,070 | | 1,371 | -301 |
| 165 | | 198 | -33 |
| 3,871 | | 3,811 | 60 |
| 3,455 | | 3,455 | 0 |
| 4,780 | | 4,751 | 29 |
| 10,480 | | 10,181 | 299 |
| 5,516 | | 5,430 | 86 |
| 38 | | 38 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -1,174 |
| | | | -301 |
| | | | -33 |
| | | | 60 |
| | | | 0 |
| | | | 29 |
| | | | 299 |
| | | | 86 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------------|---|---|-------------------------------------|
| 296 SH LLOYDS BANKING GROUP PLC | | | 2018-11-15 | 2018-11-15 |
| 1 | 1,011 SH LLOYDS BANKING GROUP PLC | | 2018-11-16 | 2018-11-16 |
| | 1,010 SH LLOYDS BANKING GROUP PLC | | 2018-11-27 | 2018-11-27 |
| | 10 SH MACQUARIE GROUP LTD | | 2018-11-11 | 2018-11-12 |
| | 4 SH MACQUARIE GROUP LTD | | 2018-11-18 | 2018-11-20 |
| | 23 SH MACQUARIE GROUP LTD | | 2018-11-25 | 2018-11-26 |
| | 13 SH MACQUARIE GROUP LTD | | 2018-11-25 | 2018-12-03 |
| | 19 SH MACQUARIE GROUP LTD | | | 2018-11-19 |
| | 233 SH MEITUAN DIANPING-CLASS B | | 2018-12-05 | 2018-12-06 |
| | 46 SH MEITUAN DIANPING-CLASS B | | 2018-12-05 | 2018-12-10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 210 | | 210 | 0 |
| 704 | | 704 | 0 |
| 730 | | 757 | -27 |
| 881 | | 880 | 1 |
| 334 | | 334 | 0 |
| 1,900 | | 1,938 | -38 |
| 1,102 | | 1,107 | -5 |
| 1,606 | | 1,606 | 0 |
| 1,582 | | 1,582 | 0 |
| 307 | | 313 | -6 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | -27 |
| | | | 1 |
| | | | 0 |
| | | | -38 |
| | | | -5 |
| | | | 0 |
| | | | 0 |
| | | | -6 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 1 SH MEITUAN DIANPING-CLASS B | | 2018-12-05 | 2018-12-11 |
| 1 1,010 SH MELROSE INDUSTRIES PLC | | 2018-11-26 | 2018-11-26 |
| 506 SH MELROSE INDUSTRIES PLC | | 2018-11-28 | 2018-11-28 |
| 505 SH MELROSE INDUSTRIES PLC | | 2018-11-29 | 2018-11-29 |
| 206 SH MERCK & CO INC | | 2018-11-01 | 2018-11-05 |
| 135 SH MERCK & CO INC | | 2018-11-13 | 2018-11-13 |
| 70 SH MERCK & CO INC | | 2018-11-14 | 2018-11-14 |
| 155 SH MERCK & CO INC | | 2018-11-20 | 2018-11-20 |
| 280 SH MICRON TECHNOLOGY INC | | 2018-09-19 | 2018-09-19 |
| 53 SH MICRON TECHNOLOGY INC | | 2018-09-20 | 2018-09-27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 7 | | 7 | 0 |
| 2,273 | | 2,271 | 2 |
| 1,164 | | 1,164 | 0 |
| 1,183 | | 1,213 | -30 |
| 15,047 | | 15,047 | 0 |
| 10,066 | | 10,066 | 0 |
| 5,185 | | 5,229 | -44 |
| 11,589 | | 11,814 | -225 |
| 12,615 | | 12,615 | 0 |
| 2,398 | | 2,398 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 2 |
| | | | 0 |
| | | | -30 |
| | | | 0 |
| | | | 0 |
| | | | -44 |
| | | | -225 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 86 SH MICRON TECHNOLOGY INC | | 2018-09-20 | 2018-10-01 |
| 1 283 SH MICRON TECHNOLOGY INC | | 2018-10-01 | 2018-10-02 |
| 298 SH MICRON TECHNOLOGY INC | | 2018-10-02 | 2018-10-05 |
| 156 SH MICRON TECHNOLOGY INC | | 2018-10-09 | 2018-10-10 |
| 344 SH MICRON TECHNOLOGY INC | | 2018-10-09 | 2018-10-11 |
| 81 SH MICRON TECHNOLOGY INC | | 2018-10-12 | 2018-10-15 |
| 225 SH MICRON TECHNOLOGY INC | | 2018-10-16 | 2018-10-16 |
| 248 SH MICRON TECHNOLOGY INC | | 2018-10-17 | 2018-12-03 |
| 270 SH MICRON TECHNOLOGY INC | | 2018-12-04 | 2018-12-20 |
| 271 SH MICRON TECHNOLOGY INC | | 2018-12-26 | 2018-12-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,949 | | 3,949 | 0 |
| 13,087 | | 12,987 | 100 |
| 12,816 | | 12,816 | 0 |
| 6,576 | | 6,576 | 0 |
| 14,493 | | 14,493 | 0 |
| 3,439 | | 3,449 | -10 |
| 9,708 | | 10,139 | -431 |
| 9,926 | | 9,926 | 0 |
| 8,715 | | 8,715 | 0 |
| 8,082 | | 10,725 | -2,643 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 100 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -10 |
| | | | -431 |
| | | | 0 |
| | | | 0 |
| | | | -2,643 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 135 SH MICROSOFT CORP | | 2018-09-19 | 2018-09-19 |
| 1 248 SH MICROSOFT CORP | | 2018-10-10 | 2018-10-10 |
| 154 SH MICROSOFT CORP | | 2018-10-15 | 2018-10-15 |
| 242 SH MICROSOFT CORP | | 2018-10-17 | 2018-10-17 |
| 89 SH MICROSOFT CORP | | 2018-10-24 | 2018-10-25 |
| 46 SH MICROSOFT CORP | | 2018-10-29 | 2018-10-29 |
| 47 SH MICROSOFT CORP | | 2018-10-29 | 2018-10-31 |
| 89 SH MICROSOFT CORP | | 2018-11-01 | 2018-11-02 |
| 97 SH MICROSOFT CORP | | 2018-11-06 | 2018-11-06 |
| 65 SH MICROSOFT CORP | | 2018-11-14 | 2018-11-14 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 15,090 | | 15,080 | 10 |
| 26,677 | | 26,677 | 0 |
| 16,687 | | 16,687 | 0 |
| 26,788 | | 26,788 | 0 |
| 9,635 | | 9,626 | 9 |
| 4,779 | | 4,779 | 0 |
| 5,059 | | 5,059 | 0 |
| 9,439 | | 9,439 | 0 |
| 10,443 | | 10,671 | -228 |
| 6,862 | | 7,022 | -160 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 10 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 9 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -228 |
| | | | -160 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 61 SH MICROSOFT CORP | | 2018-11-30 | 2018-11-30 |
| 1 129 SH MITSUBISHI CORP | | 2018-09-30 | 2018-10-01 |
| 101 SH MITSUBISHI CORP | | 2018-11-09 | 2018-11-16 |
| 93 SH MITSUBISHI CORP | | 2018-12-10 | 2018-12-11 |
| 6 SH MITSUBISHI CORP | | 2018-12-10 | 2018-12-27 |
| 97 SH MITSUBISHI CORP | | | 2018-09-25 |
| 268 SH MITSUBISHI CORP | | | 2018-09-28 |
| 87 SH MITSUBISHI CORP | | | 2018-11-20 |
| 17 SH MITSUI MATSUSHIMA CO LTD | | 2018-09-10 | 2018-09-11 |
| 154 SH MITSUI MATSUSHIMA CO LTD | | 2018-09-13 | 2018-09-14 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 6,728 | | 6,820 | -92 |
| 4,011 | | 3,978 | 33 |
| 2,708 | | 2,708 | 0 |
| 2,492 | | 2,558 | -66 |
| 166 | | 165 | 1 |
| 3,051 | | 3,038 | 13 |
| 8,290 | | 8,212 | 78 |
| 2,337 | | 2,401 | -64 |
| 265 | | 263 | 2 |
| 2,527 | | 2,520 | 7 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -92 |
| | | | 33 |
| | | | 0 |
| | | | -66 |
| | | | 1 |
| | | | 13 |
| | | | 78 |
| | | | -64 |
| | | | 2 |
| | | | 7 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 145 SH MITSUI MATSUSHIMA CO LTD | | 2018-09-13 | 2018-09-18 |
| 1 61 SH MITSUI MATSUSHIMA CO LTD | | 2018-09-19 | 2018-09-21 |
| 61 SH MITSUI MATSUSHIMA CO LTD | | 2018-09-25 | 2018-09-25 |
| 11 SH MITSUI MATSUSHIMA CO LTD | | | 2018-09-14 |
| 47 SH MITSUI MATSUSHIMA HOLDINGS C | | 2018-09-26 | 2018-10-15 |
| 123 SH MITSUI MATSUSHIMA HOLDINGS C | | | 2018-11-19 |
| 61 SH MITSUI MATSUSHIMA HOLDINGS CO | | 2018-09-26 | 2018-10-01 |
| 475 SH MORGAN STANLEY | | 2018-10-09 | 2018-10-09 |
| 124 SH MORGAN STANLEY | | 2018-10-22 | 2018-10-25 |
| 329 SH MORGAN STANLEY | | 2018-10-30 | 2018-10-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,402 | | 2,370 | 32 |
| 1,024 | | 1,010 | 14 |
| 1,027 | | 1,038 | -11 |
| 181 | | 175 | 6 |
| 768 | | 768 | 0 |
| 1,727 | | 2,053 | -326 |
| 1,027 | | 1,037 | -10 |
| 21,958 | | 21,923 | 35 |
| 5,541 | | 5,541 | 0 |
| 14,614 | | 14,551 | 63 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 32 |
| | | | 14 |
| | | | -11 |
| | | | 6 |
| | | | 0 |
| | | | -326 |
| | | | -10 |
| | | | 35 |
| | | | 0 |
| | | | 63 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------|---|---|-------------------------------------|
| 106 SH MORGAN STANLEY | | | 2018-11-01 | 2018-11-01 |
| 1 | 146 SH MORGAN STANLEY | | 2018-11-02 | 2018-11-07 |
| 202 SH MORGAN STANLEY | | | 2018-11-09 | 2018-11-15 |
| 167 SH MORGAN STANLEY | | | 2018-11-16 | 2018-11-19 |
| 237 SH MORGAN STANLEY | | | 2018-11-20 | 2018-11-20 |
| 344 SH MORGAN STANLEY | | | 2018-11-27 | 2018-11-27 |
| 176 SH MORGAN STANLEY | | | 2018-11-29 | 2018-11-29 |
| 182 SH MORGAN STANLEY | | | 2018-11-30 | 2018-11-30 |
| 245 SH MORGAN STANLEY | | | 2018-12-03 | 2018-12-20 |
| 244 SH MORGAN STANLEY | | | 2018-12-28 | 2018-12-28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 4,865 | | 4,865 | 0 |
| 6,788 | | 6,784 | 4 |
| 8,887 | | 8,887 | 0 |
| 7,448 | | 7,448 | 0 |
| 10,209 | | 10,209 | 0 |
| 15,150 | | 15,150 | 0 |
| 7,832 | | 7,832 | 0 |
| 8,080 | | 8,080 | 0 |
| 9,460 | | 10,201 | -741 |
| 9,579 | | 11,186 | -1,607 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 4 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -741 |
| | | | -1,607 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 223 SH MORGAN STANLEY | | | 2018-10-29 |
| 1 207 SH MORGAN STANLEY | | | 2018-11-02 |
| 206 SH MORGAN STANLEY | | | 2018-11-16 |
| 162 SH MORGAN STANLEY | | | 2018-11-19 |
| 2 SH MU 21SEP18 44 5 C | | 2018-09-18 | 2018-09-21 |
| 1 SH MU 21SEP18 46 0 C | | 2018-09-18 | 2018-09-21 |
| 138 SH NATIONAL AUSTRALIA BANK LIMITED | | 2018-12-02 | 2018-12-03 |
| 13 SH NATIONAL AUSTRALIA BANK LIMITED | | 2018-12-02 | 2018-12-06 |
| 52 SH NATIONAL AUSTRALIA BANK LIMITED | | 2018-12-02 | 2018-12-10 |
| 112 SH NETFLIX INC | | 2018-09-13 | 2018-09-13 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 9,777 | | 9,777 | 0 |
| 9,506 | | 9,506 | 0 |
| 9,085 | | 9,084 | 1 |
| 7,227 | | 7,227 | 0 |
| 27 | | 443 | -416 |
| | | 142 | -142 |
| 2,469 | | 2,477 | -8 |
| 223 | | 233 | -10 |
| 877 | | 933 | -56 |
| 41,409 | | 41,348 | 61 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | -416 |
| | | | -142 |
| | | | -8 |
| | | | -10 |
| | | | -56 |
| | | | 61 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------|---|---|-------------------------------------|
| 86 SH NETFLIX INC | | | 2018-09-14 | 2018-09-14 |
| 1 | 34 SH NETFLIX INC | | 2018-09-14 | 2018-09-19 |
| | 22 SH NETFLIX INC | | 2018-09-19 | 2018-09-19 |
| | 9 SH NETFLIX INC | | 2018-09-19 | 2018-09-21 |
| | 32 SH NETFLIX INC | | 2018-09-20 | 2018-09-24 |
| | 1 SH NETFLIX INC | | 2018-09-24 | 2018-09-25 |
| | 26 SH NETFLIX INC | | 2018-09-28 | 2018-09-28 |
| | 23 SH NETFLIX INC | | 2018-10-02 | 2018-10-02 |
| | 68 SH NETFLIX INC | | 2018-10-03 | 2018-10-03 |
| | 46 SH NETFLIX INC | | 2018-10-04 | 2018-10-05 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 31,633 | | 31,617 | 16 |
| 12,768 | | 12,663 | 105 |
| 8,196 | | 8,172 | 24 |
| 3,265 | | 3,265 | 0 |
| 11,800 | | 11,795 | 5 |
| 369 | | 369 | 0 |
| 9,727 | | 9,727 | 0 |
| 8,669 | | 8,669 | 0 |
| 25,716 | | 25,688 | 28 |
| 15,932 | | 15,932 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 16 |
| | | | 105 |
| | | | 24 |
| | | | 0 |
| | | | 5 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 28 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------|---|---|-------------------------------------|
| 146 SH NETFLIX INC | | | 2018-10-08 | 2018-10-08 |
| 1 | 63 SH NETFLIX INC | | 2018-10-09 | 2018-10-09 |
| | 54 SH NETFLIX INC | | 2018-10-10 | 2018-10-12 |
| | 28 SH NETFLIX INC | | 2018-10-15 | 2018-10-15 |
| | 41 SH NETFLIX INC | | 2018-10-17 | 2018-10-17 |
| | 31 SH NETFLIX INC | | 2018-10-18 | 2018-10-18 |
| | 16 SH NETFLIX INC | | 2018-10-19 | 2018-10-25 |
| | 27 SH NETFLIX INC | | 2018-11-07 | 2018-11-07 |
| | 33 SH NETFLIX INC | | 2018-11-08 | 2018-11-15 |
| | 68 SH NETFLIX INC | | 2018-12-26 | 2018-12-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|--|--|
| 51,031 | | 50,652 | 379 |
| 22,399 | | 22,345 | 54 |
| 18,241 | | 18,241 | 0 |
| 9,385 | | 9,385 | 0 |
| 14,990 | | 14,990 | 0 |
| 10,750 | | 10,750 | 0 |
| 4,937 | | 4,937 | 0 |
| 8,842 | | 8,842 | 0 |
| 9,570 | | 9,901 | -331 |
| 16,493 | | 16,780 | -287 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 379 |
| | | | 54 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -331 |
| | | | -287 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------|---|---|-------------------------------------|
| 40 SH NETFLIX INC | | | 2018-12-27 | 2018-12-27 |
| 1 | 5 SH NETFLIX INC | | 2018-12-28 | 2018-12-28 |
| 32 SH NETFLIX INC | | | | 2018-09-21 |
| 18 SH NETFLIX INC | | | | 2018-11-01 |
| 29 SH NETFLIX INC | | | | 2018-11-07 |
| 49 SH NETFLIX INC | | | | 2018-12-17 |
| 8 SH NIKKO-ETF NIKKEI LEVERAGED | | | 2018-09-11 | 2018-09-12 |
| 6 SH NIKKO-ETF NIKKEI LEVERAGED | | | 2018-09-11 | 2018-09-14 |
| 2 SH NIKKO-ETF NIKKEI LEVERAGED | | | 2018-09-24 | 2018-09-28 |
| 4 SH NIKKO-ETF NIKKEI LEVERAGED | | | 2018-09-30 | 2018-10-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 10,167 | | 13,125 | -2,958 |
| 1,279 | | 1,611 | -332 |
| 11,557 | | 11,557 | 0 |
| 5,563 | | 5,563 | 0 |
| 9,449 | | 9,449 | 0 |
| 13,154 | | 13,421 | -267 |
| 1,368 | | 1,363 | 5 |
| 1,066 | | 1,022 | 44 |
| 391 | | 376 | 15 |
| 787 | | 785 | 2 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -2,958 |
| | | | -332 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -267 |
| | | | 5 |
| | | | 44 |
| | | | 15 |
| | | | 2 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 15 SH NIKKO-ETF NIKKEI LEVERAGED | | 2018-10-25 | 2018-10-29 |
| 1 6 SH NIKKO-ETF NIKKEI LEVERAGED | | 2018-12-03 | 2018-12-10 |
| 100 SH NIKKO-ETF NIKKEI LEVERAGED | | 2018-12-03 | 2018-12-20 |
| 8 SH NIKKO-ETF NIKKEI LEVERAGED | | | 2018-10-29 |
| 34 SH NIKKO-ETF NIKKEI LEVERAGED | | | 2018-11-16 |
| 31 SH NIKKO-ETF NIKKEI LEVERAGED | | | 2018-11-19 |
| 83 SH NIKKO-ETF NIKKEI LEVERAGED | | | 2018-11-26 |
| 25 SH NOMURA ETF - NIKKEI 225 | | 2018-09-19 | 2018-09-21 |
| 5 SH NOMURA ETF - NIKKEI 225 | | 2018-10-08 | 2018-10-09 |
| 60 SH NOMURA ETF - NIKKEI 225 | | 2018-10-08 | 2018-10-29 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,265 | | 2,224 | 41 |
| 892 | | 892 | 0 |
| 13,898 | | 17,327 | -3,429 |
| 1,230 | | 1,213 | 17 |
| 5,370 | | 5,121 | 249 |
| 4,915 | | 4,897 | 18 |
| 13,099 | | 12,937 | 162 |
| 5,378 | | 5,371 | 7 |
| 1,078 | | 1,075 | 3 |
| 11,606 | | 11,606 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 41 |
| | | | 0 |
| | | | -3,429 |
| | | | 17 |
| | | | 249 |
| | | | 18 |
| | | | 162 |
| | | | 7 |
| | | | 3 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------|---|---|-------------------------------------|
| 41 SH NOMURA ETF - NIKKEI 225 | | | 2018-11-04 | 2018-11-06 |
| 1 | 51 SH NOMURA ETF - NIKKEI 225 | | 2018-11-09 | 2018-11-16 |
| 19 SH NVIDIA CORP | | | 2018-09-20 | 2018-09-21 |
| 20 SH NVIDIA CORP | | | 2018-09-20 | 2018-09-24 |
| 13 SH NVIDIA CORP | | | 2018-09-26 | 2018-09-26 |
| 38 SH NVIDIA CORP | | | 2018-09-26 | 2018-09-27 |
| 53 SH NVIDIA CORP | | | 2018-09-27 | 2018-09-27 |
| 108 SH NVIDIA CORP | | | 2018-10-08 | 2018-10-08 |
| 184 SH NVIDIA CORP | | | 2018-10-09 | 2018-10-09 |
| 97 SH NVIDIA CORP | | | 2018-10-10 | 2018-10-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 8,205 | | 8,381 | -176 |
| 10,090 | | 11,339 | -1,249 |
| 4,997 | | 4,997 | 0 |
| 5,313 | | 5,300 | 13 |
| 3,468 | | 3,468 | 0 |
| 10,200 | | 10,188 | 12 |
| 14,171 | | 14,171 | 0 |
| 28,673 | | 28,375 | 298 |
| 48,915 | | 48,754 | 161 |
| 24,075 | | 24,075 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -176 |
| | | | -1,249 |
| | | | 0 |
| | | | 13 |
| | | | 0 |
| | | | 12 |
| | | | 0 |
| | | | 298 |
| | | | 161 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|--|---|---|-------------------------------------|
| 42 SH NVIDIA CORP | | | 2018-10-15 | 2018-10-16 |
| 1 38 SH NVIDIA CORP | | | 2018-10-17 | 2018-10-17 |
| 27 SH NVIDIA CORP | | | 2018-10-19 | 2018-10-25 |
| 10 SH NVIDIA CORP | | | 2018-10-19 | 2018-10-26 |
| 17 SH NVIDIA CORP | | | 2018-11-01 | 2018-11-07 |
| 37 SH NVIDIA CORP | | | 2018-11-29 | 2018-12-17 |
| 5 SH NVIDIA CORP | | | 2018-11-29 | 2018-12-18 |
| 66 SH NVIDIA CORP | | | 2018-12-19 | 2018-12-19 |
| 81 SH NVIDIA CORP | | | 2018-12-26 | 2018-12-26 |
| 76 SH NVIDIA CORP | | | 2018-12-27 | 2018-12-27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) (e) plus (f) minus (g) |
|-----------------------|--|---|----------------------------|
| 10,182 | | 10,182 | 0 |
| 9,230 | | 9,230 | 0 |
| 5,481 | | 5,533 | -52 |
| 1,972 | | 2,545 | -573 |
| 3,634 | | 3,634 | 0 |
| 5,463 | | 5,463 | 0 |
| 744 | | 744 | 0 |
| 9,049 | | 9,049 | 0 |
| 10,451 | | 10,451 | 0 |
| 9,929 | | 10,139 | -210 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 74 SH NVIDIA CORP | | 2018-12-31 | 2018-12-31 |
| 1 27 SH NVIDIA CORP | | | 2018-11-01 |
| 68 SH NVIDIA CORP | | | 2018-11-29 |
| 246 SH PANASONIC CORP | | 2018-09-13 | 2018-09-14 |
| 3 SH PANASONIC CORP | | 2018-10-08 | 2018-10-09 |
| 33 SH PANASONIC CORP | | 2018-10-14 | 2018-10-29 |
| 21 SH PANASONIC CORP | | 2018-11-08 | 2018-11-16 |
| 351 SH PANASONIC CORP | | 2018-11-08 | 2018-11-19 |
| 13 SH PANASONIC CORP | | 2018-12-03 | 2018-12-10 |
| 251 SH PANASONIC CORP | | 2018-12-10 | 2018-12-11 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 9,811 | | 14,933 | -5,122 |
| 5,857 | | 5,779 | 78 |
| 10,721 | | 10,721 | 0 |
| 2,798 | | 2,798 | 0 |
| 35 | | 35 | 0 |
| 358 | | 358 | 0 |
| 208 | | 208 | 0 |
| 3,453 | | 3,583 | -130 |
| 124 | | 154 | -30 |
| 2,328 | | 2,748 | -420 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -5,122 |
| | | | 78 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -130 |
| | | | -30 |
| | | | -420 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 17 SH PANASONIC CORP | | 2018-12-10 | 2018-12-13 |
| 1 328 SH PANASONIC CORP | | | 2018-09-25 |
| 552 SH PANASONIC CORP | | | 2018-10-29 |
| 155 SH PERSIMMON PLC | | 2018-11-15 | 2018-11-15 |
| 51 SH PERSIMMON PLC | | 2018-11-16 | 2018-11-16 |
| 76 SH PERSIMMON PLC | | 2018-11-19 | 2018-11-19 |
| 51 SH PERSIMMON PLC | | 2018-11-27 | 2018-11-27 |
| 34 SH PETROFAC LTD | | 2018-11-30 | 2018-11-30 |
| 52 SH PETROFAC LTD | | 2018-12-07 | 2018-12-07 |
| 363 SH PING AN INSURANCE GROUP CO-H | | 2018-11-11 | 2018-11-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 166 | | 185 | -19 |
| 3,933 | | 3,916 | 17 |
| 5,975 | | 6,049 | -74 |
| 4,319 | | 4,315 | 4 |
| 1,390 | | 1,390 | 0 |
| 2,057 | | 2,054 | 3 |
| 1,377 | | 1,488 | -111 |
| 221 | | 220 | 1 |
| 310 | | 308 | 2 |
| 3,555 | | 3,557 | -2 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -19 |
| | | | 17 |
| | | | -74 |
| | | | 4 |
| | | | 0 |
| | | | 3 |
| | | | -111 |
| | | | 1 |
| | | | 2 |
| | | | -2 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------------|---|---|-------------------------------------|
| 510 SH PING AN INSURANCE GROUP CO-H | | | 2018-11-11 | 2018-11-13 |
| 1 | 280 SH PING AN INSURANCE GROUP CO-H | | 2018-11-11 | 2018-11-16 |
| 252 SH PLAYTECH PLC | | | 2018-11-28 | 2018-11-28 |
| 152 SH PLAYTECH PLC | | | 2018-11-29 | 2018-11-29 |
| 306 SH PLAYTECH PLC | | | 2018-12-03 | 2018-12-03 |
| 253 SH PLAYTECH PLC | | | 2018-12-05 | 2018-12-05 |
| 250 SH PLAYTECH PLC | | | 2018-12-07 | 2018-12-07 |
| 951 SH POLYMETAL INTERNATIONAL PLC | | | 2018-11-29 | 2018-11-29 |
| 1,096 SH POLYMETAL INTERNATIONAL PLC | | | 2018-11-30 | 2018-11-30 |
| 763 SH POLYMETAL INTERNATIONAL PLC | | | 2018-12-03 | 2018-12-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 5,030 | | 4,998 | 32 |
| 2,750 | | 2,744 | 6 |
| 1,410 | | 1,410 | 0 |
| 857 | | 854 | 3 |
| 1,717 | | 1,717 | 0 |
| 1,343 | | 1,352 | -9 |
| 1,238 | | 1,292 | -54 |
| 9,556 | | 9,556 | 0 |
| 10,962 | | 10,961 | 1 |
| 7,600 | | 7,627 | -27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 32 |
| | | | 6 |
| | | | 0 |
| | | | 3 |
| | | | 0 |
| | | | -9 |
| | | | -54 |
| | | | 0 |
| | | | 1 |
| | | | -27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------------------------|---|---|-------------------------------------|
| 44 SH POLYMETAL INTERNATIONAL PLC | | | 2018-12-04 | 2018-12-04 |
| 1 | 252 SH POLYMETAL INTERNATIONAL PLC | | 2018-12-05 | 2018-12-05 |
| | 195 SH PROSHARES ULTRAPRO QQQ | | 2018-09-06 | 2018-09-12 |
| | 380 SH PROSHARES ULTRAPRO QQQ | | 2018-09-12 | 2018-09-12 |
| | 79 SH PROSHARES ULTRAPRO QQQ | | 2018-09-14 | 2018-09-14 |
| | 285 SH PROSHARES ULTRAPRO QQQ | | 2018-09-19 | 2018-09-19 |
| | 174 SH PROSHARES ULTRAPRO QQQ | | 2018-10-03 | 2018-10-16 |
| | 163 SH PROSHARES ULTRAPRO QQQ | | 2018-10-17 | 2018-10-19 |
| | 25 SH PROSHARES ULTRAPRO QQQ | | 2018-10-19 | 2018-10-26 |
| | 88 SH PROSHARES ULTRAPRO QQQ | | 2018-10-29 | 2018-11-07 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 441 | | 454 | -13 |
| 2,530 | | 2,587 | -57 |
| 13,143 | | 13,086 | 57 |
| 25,664 | | 25,469 | 195 |
| 5,337 | | 5,515 | -178 |
| 19,160 | | 19,119 | 41 |
| 10,417 | | 10,417 | 0 |
| 9,592 | | 9,592 | 0 |
| 1,249 | | 1,249 | 0 |
| 4,985 | | 4,985 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -13 |
| | | | -57 |
| | | | 57 |
| | | | 195 |
| | | | -178 |
| | | | 41 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------|---|---|-------------------------------------|
| 88 SH PROSHARES ULTRAPRO QQQ | | | 2018-11-02 | 2018-11-08 |
| 1 | 445 SH PROSHARES ULTRAPRO QQQ | | 2018-12-28 | 2018-12-28 |
| | 305 SH PROSHARES ULTRAPRO QQQ | | | 2018-09-12 |
| | 120 SH PROSHARES ULTRAPRO QQQ | | | 2018-09-19 |
| | 183 SH PROSHARES ULTRAPRO QQQ | | | 2018-10-17 |
| | 162 SH PROSHARES ULTRAPRO QQQ | | | 2018-10-19 |
| | 65 SH PROSHARES ULTRAPRO QQQ | | | 2018-10-25 |
| | 76 SH PROSHARES ULTRAPRO QQQ | | | 2018-10-31 |
| | 28 SH PROSHARES ULTRAPRO QQQ | | | 2018-11-02 |
| | 144 SH PROSHARES ULTRAPRO QQQ | | | 2018-11-26 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 4,962 | | 4,962 | 0 |
| 16,020 | | 26,800 | -10,780 |
| 20,587 | | 20,379 | 208 |
| 8,058 | | 8,056 | 2 |
| 11,121 | | 11,120 | 1 |
| 9,182 | | 9,182 | 0 |
| 3,434 | | 3,434 | 0 |
| 4,030 | | 4,030 | 0 |
| 1,451 | | 1,451 | 0 |
| 6,404 | | 6,404 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -10,780 |
| | | | 208 |
| | | | 2 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 144 SH PROSHARES ULTRAPRO QQQ | | | 2018-11-30 |
| 1 287 SH PROSHARES ULTRAPRO QQQ | | | 2018-12-03 |
| 291 SH PROSHARES ULTRAPRO QQQ | | | 2018-12-21 |
| 77 SH PRUDENTIAL PLC | | 2018-11-14 | 2018-11-14 |
| 391 SH PRUDENTIAL PLC | | 2018-11-15 | 2018-11-15 |
| 77 SH PRUDENTIAL PLC | | 2018-11-16 | 2018-11-16 |
| 102 SH PRUDENTIAL PLC | | 2018-11-19 | 2018-11-19 |
| 76 SH PRUDENTIAL PLC | | 2018-11-20 | 2018-11-20 |
| 76 SH PRUDENTIAL PLC | | 2018-11-27 | 2018-11-27 |
| 5 SH QQQ 21DEC18 159 0 C | | 2018-12-17 | 2018-12-21 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 7,215 | | 7,215 | 0 |
| 15,261 | | 14,922 | 339 |
| 9,542 | | 9,542 | 0 |
| 1,592 | | 1,592 | 0 |
| 7,951 | | 7,951 | 0 |
| 1,542 | | 1,542 | 0 |
| 2,040 | | 2,061 | -21 |
| 1,492 | | 1,689 | -197 |
| 1,510 | | 1,604 | -94 |
| | | 1,077 | -1,077 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 339 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -21 |
| | | | -197 |
| | | | -94 |
| | | | -1,077 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 5 SH QQQ 21DEC18 165 0 C | | 2018-12-12 | 2018-12-21 |
| 1 12 SH QQQ 26OCT18 181 5 C | | 2018-10-05 | 2018-10-26 |
| 157 SH QUALCOMM INC | | 2018-09-26 | 2018-09-28 |
| 70 SH QUALCOMM INC | | 2018-10-03 | 2018-10-04 |
| 75 SH QUALCOMM INC | | 2018-10-03 | 2018-10-05 |
| 267 SH QUALCOMM INC | | 2018-10-09 | 2018-10-09 |
| 287 SH QUALCOMM INC | | 2018-10-11 | 2018-10-16 |
| 159 SH QUALCOMM INC | | 2018-10-25 | 2018-10-25 |
| 135 SH QUALCOMM INC | | 2018-10-26 | 2018-10-29 |
| 157 SH QUALCOMM INC | | 2018-10-31 | 2018-10-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| | | 1,392 | -1,392 |
| | | 4,065 | -4,065 |
| 11,388 | | 11,388 | 0 |
| 5,074 | | 5,074 | 0 |
| 5,333 | | 5,333 | 0 |
| 18,723 | | 18,723 | 0 |
| 18,890 | | 18,888 | 2 |
| 10,064 | | 10,064 | 0 |
| 8,384 | | 8,384 | 0 |
| 9,916 | | 9,912 | 4 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -1,392 |
| | | | -4,065 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 2 |
| | | | 0 |
| | | | 0 |
| | | | 4 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 59 SH QUALCOMM INC | | 2018-11-01 | 2018-11-01 |
| 1 33 SH QUALCOMM INC | | 2018-11-02 | 2018-11-02 |
| 177 SH QUALCOMM INC | | 2018-11-06 | 2018-11-19 |
| 14 SH RAMSAY HEALTH CARE LIMITED | | 2018-11-15 | 2018-11-19 |
| 6 SH RAMSAY HEALTH CARE LIMITED | | 2018-11-15 | 2018-11-20 |
| 15 SH RAMSAY HEALTH CARE LIMITED | | 2018-12-02 | 2018-12-03 |
| 2 SH RAMSAY HEALTH CARE LIMITED | | 2018-12-02 | 2018-12-06 |
| 1 SH RAMSAY HEALTH CARE LIMITED | | 2018-12-02 | 2018-12-10 |
| 4 SH RAMSAY HEALTH CARE LIMITED | | 2018-12-02 | 2018-12-13 |
| 8 SH RANDGOLD RESOURCES LTD | | 2018-11-28 | 2018-11-28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,784 | | 3,792 | -8 |
| 2,090 | | 2,118 | -28 |
| 9,727 | | 11,488 | -1,761 |
| 551 | | 551 | 0 |
| 234 | | 234 | 0 |
| 597 | | 598 | -1 |
| 80 | | 80 | 0 |
| 39 | | 40 | -1 |
| 157 | | 161 | -4 |
| 640 | | 638 | 2 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -8 |
| | | | -28 |
| | | | -1,761 |
| | | | 0 |
| | | | 0 |
| | | | -1 |
| | | | 0 |
| | | | -1 |
| | | | -4 |
| | | | 2 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 78 SH RANDGOLD RESOURCES LTD | | 2018-11-29 | 2018-11-29 |
| 1 51 SH RANDGOLD RESOURCES LTD | | 2018-11-30 | 2018-11-30 |
| 77 SH RANDGOLD RESOURCES LTD | | 2018-11-30 | 2018-12-03 |
| 64 SH RANDGOLD RESOURCES LTD | | 2018-12-03 | 2018-12-03 |
| 73 SH RANDGOLD RESOURCES LTD | | 2018-12-03 | 2018-12-04 |
| 100 SH RANDGOLD RESOURCES LTD | | 2018-12-04 | 2018-12-04 |
| 25 SH RANDGOLD RESOURCES LTD | | 2018-12-05 | 2018-12-05 |
| 172 SH RANDGOLD RESOURCES LTD | | 2018-12-07 | 2018-12-07 |
| 3 SH RANDGOLD RESOURCES LTD | | | 2018-12-03 |
| 6 SH RANDGOLD RESOURCES LTD | | | 2018-12-04 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 6,418 | | 6,412 | 6 |
| 4,081 | | 4,081 | 0 |
| 6,182 | | 6,182 | 0 |
| 5,124 | | 5,124 | 0 |
| 5,946 | | 5,945 | 1 |
| 8,213 | | 8,219 | -6 |
| 2,039 | | 2,039 | 0 |
| 14,349 | | 14,399 | -50 |
| 241 | | 241 | 0 |
| 490 | | 486 | 4 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 6 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 1 |
| | | | -6 |
| | | | 0 |
| | | | -50 |
| | | | 0 |
| | | | 4 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|--------------------------|---|---|-------------------------------------|
| 51 SH RELX PLC | | | 2018-11-29 | 2018-11-29 |
| 1 | 62 SH RIO TINTO LIMITED | | 2018-11-11 | 2018-11-12 |
| | 20 SH RIO TINTO LIMITED | | 2018-11-18 | 2018-11-19 |
| | 43 SH RIO TINTO LIMITED | | 2018-11-25 | 2018-11-26 |
| | 24 SH RIO TINTO LIMITED | | 2018-11-25 | 2018-12-03 |
| | 30 SH RIO TINTO LIMITED | | 2018-12-03 | 2018-12-06 |
| | 47 SH RIO TINTO LIMITED | | 2018-12-03 | 2018-12-10 |
| | 20 SH RIO TINTO LIMITED | | 2018-12-03 | 2018-12-13 |
| | 109 SH RIO TINTO LIMITED | | | 2018-11-16 |
| | 128 SH RIO TINTO PLC | | 2018-11-14 | 2018-11-14 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 1,075 | | 1,080 | -5 |
| 3,703 | | 3,694 | 9 |
| 1,156 | | 1,150 | 6 |
| 2,331 | | 2,331 | 0 |
| 1,303 | | 1,303 | 0 |
| 1,557 | | 1,557 | 0 |
| 2,446 | | 2,537 | -91 |
| 1,073 | | 1,120 | -47 |
| 6,214 | | 6,172 | 42 |
| 6,128 | | 6,114 | 14 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -5 |
| | | | 9 |
| | | | 6 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -91 |
| | | | -47 |
| | | | 42 |
| | | | 14 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 128 SH RIO TINTO PLC | | 2018-11-15 | 2018-11-15 |
| 1 26 SH RIO TINTO PLC | | 2018-11-16 | 2018-11-16 |
| 76 SH RIO TINTO PLC | | 2018-11-19 | 2018-11-19 |
| 51 SH RIO TINTO PLC | | 2018-11-26 | 2018-11-26 |
| 51 SH RIO TINTO PLC | | 2018-11-27 | 2018-11-29 |
| 13 SH ROYAL BANK OF SCOTLAND GROUP | | 2018-11-14 | 2018-11-14 |
| 77 SH ROYAL DUTCH SHELL PLC-A SHS | | 2018-11-14 | 2018-11-14 |
| 372 SH ROYAL DUTCH SHELL PLC-A SHS | | 2018-11-15 | 2018-11-15 |
| 8 SH SALESFORCE COM INC | | 2018-12-12 | 2018-12-12 |
| 103 SH SHIRE PLC | | 2018-11-29 | 2018-11-29 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 6,234 | | 6,234 | 0 |
| 1,290 | | 1,290 | 0 |
| 3,779 | | 3,779 | 0 |
| 2,379 | | 2,410 | -31 |
| 2,361 | | 2,405 | -44 |
| 42 | | 41 | 1 |
| 2,350 | | 2,346 | 4 |
| 11,306 | | 11,322 | -16 |
| 1,123 | | 1,123 | 0 |
| 5,863 | | 5,833 | 30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -31 |
| | | | -44 |
| | | | 1 |
| | | | 4 |
| | | | -16 |
| | | | 0 |
| | | | 30 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 129 SH SHIRE PLC | | 2018-11-30 | 2018-11-30 |
| 1 51 SH SHIRE PLC | | 2018-12-03 | 2018-12-03 |
| 102 SH SHIRE PLC | | 2018-12-04 | 2018-12-04 |
| 100 SH SHIRE PLC | | 2018-12-06 | 2018-12-06 |
| 100 SH SHIRE PLC | | 2018-12-07 | 2018-12-07 |
| 61 SH SKYWORKS SOLUTIONS INC | | 2018-09-11 | 2018-09-11 |
| 1 SH SKYWORKS SOLUTIONS INC | | 2018-09-11 | 2018-09-12 |
| 107 SH SKYWORKS SOLUTIONS INC | | 2018-09-12 | 2018-09-12 |
| 66 SH SKYWORKS SOLUTIONS INC | | 2018-09-17 | 2018-09-19 |
| 53 SH SKYWORKS SOLUTIONS INC | | 2018-10-03 | 2018-10-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 7,445 | | 7,415 | 30 |
| 2,988 | | 2,984 | 4 |
| 5,924 | | 5,924 | 0 |
| 5,772 | | 5,772 | 0 |
| 5,681 | | 5,723 | -42 |
| 5,248 | | 5,203 | 45 |
| 84 | | 84 | 0 |
| 9,027 | | 8,964 | 63 |
| 5,874 | | 5,711 | 163 |
| 4,903 | | 4,856 | 47 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 30 |
| | | | 4 |
| | | | 0 |
| | | | 0 |
| | | | -42 |
| | | | 45 |
| | | | 0 |
| | | | 63 |
| | | | 163 |
| | | | 47 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------|---|---|-------------------------------------|
| 135 SH SKYWORKS SOLUTIONS INC | | | 2018-10-04 | 2018-10-05 |
| 1 | 132 SH SKYWORKS SOLUTIONS INC | | 2018-11-05 | 2018-11-05 |
| 49 SH SKYWORKS SOLUTIONS INC | | | 2018-11-06 | 2018-11-06 |
| 137 SH SKYWORKS SOLUTIONS INC | | | 2018-11-07 | 2018-11-08 |
| 64 SH SKYWORKS SOLUTIONS INC | | | 2018-11-09 | 2018-11-09 |
| 110 SH SKYWORKS SOLUTIONS INC | | | 2018-11-26 | 2018-11-26 |
| 36 SH SKYWORKS SOLUTIONS INC | | | 2018-11-29 | 2018-11-29 |
| 101 SH SKYWORKS SOLUTIONS INC | | | 2018-12-03 | 2018-12-03 |
| 216 SH SKYWORKS SOLUTIONS INC | | | 2018-12-07 | 2018-12-24 |
| 37 SH SKYWORKS SOLUTIONS INC | | | | 2018-09-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 11,809 | | 12,383 | -574 |
| 10,950 | | 10,841 | 109 |
| 4,049 | | 4,049 | 0 |
| 11,461 | | 11,377 | 84 |
| 4,918 | | 4,918 | 0 |
| 7,796 | | 7,795 | 1 |
| 2,567 | | 2,567 | 0 |
| 7,419 | | 7,419 | 0 |
| 13,813 | | 15,578 | -1,765 |
| 3,111 | | 3,101 | 10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -574 |
| | | | 109 |
| | | | 0 |
| | | | 84 |
| | | | 0 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | -1,765 |
| | | | 10 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 65 SH SKYWORKS SOLUTIONS INC | | | 2018-09-18 |
| 1 111 SH SKYWORKS SOLUTIONS INC | | | 2018-11-19 |
| 71 SH SOFTBANK GROUP CORP | | 2018-09-13 | 2018-09-14 |
| 67 SH SOFTBANK GROUP CORP | | 2018-09-17 | 2018-09-18 |
| 37 SH SOFTBANK GROUP CORP | | 2018-09-24 | 2018-09-28 |
| 43 SH SOFTBANK GROUP CORP | | 2018-09-24 | 2018-10-01 |
| 31 SH SOFTBANK GROUP CORP | | 2018-10-08 | 2018-10-12 |
| 6 SH SOFTBANK GROUP CORP | | 2018-10-08 | 2018-10-29 |
| 1 SH SOFTBANK GROUP CORP | | 2018-10-09 | 2018-10-09 |
| 72 SH SOFTBANK GROUP CORP | | 2018-11-05 | 2018-11-08 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 5,656 | | 5,615 | 41 |
| 7,855 | | 7,855 | 0 |
| 6,896 | | 6,825 | 71 |
| 6,392 | | 6,371 | 21 |
| 3,697 | | 3,578 | 119 |
| 4,300 | | 4,159 | 141 |
| 2,699 | | 2,699 | 0 |
| 454 | | 454 | 0 |
| 101 | | 96 | 5 |
| 5,545 | | 5,545 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 41 |
| | | | 0 |
| | | | 71 |
| | | | 21 |
| | | | 119 |
| | | | 141 |
| | | | 0 |
| | | | 0 |
| | | | 5 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|---|---|-------------------------------------|
| 91 SH SOFTBANK GROUP CORP | | 2018-11-15 | 2018-11-19 |
| 1 109 SH SOFTBANK GROUP CORP | | 2018-11-19 | 2018-11-26 |
| 131 SH SOFTBANK GROUP CORP | | 2018-11-25 | 2018-11-27 |
| 148 SH SOFTBANK GROUP CORP | | 2018-11-30 | 2018-12-03 |
| 102 SH SOFTBANK GROUP CORP | | 2018-12-03 | 2018-12-10 |
| 72 SH SOFTBANK GROUP CORP | | 2018-12-13 | 2018-12-14 |
| 27 SH SOFTBANK GROUP CORP | | 2018-12-13 | 2018-12-20 |
| 2 SH SOFTBANK GROUP CORP | | | 2018-09-25 |
| 170 SH SOFTBANK GROUP CORP | | | 2018-10-29 |
| 12 SH SOFTBANK GROUP CORP | | | 2018-11-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 7,333 | | 7,217 | 116 |
| 8,511 | | 8,511 | 0 |
| 10,401 | | 10,372 | 29 |
| 12,763 | | 12,663 | 100 |
| 7,753 | | 7,753 | 0 |
| 5,434 | | 5,434 | 0 |
| 1,895 | | 1,895 | 0 |
| 192 | | 192 | 0 |
| 12,865 | | 12,865 | 0 |
| 925 | | 925 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l[illegible]

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 22 SH SONY CORPORATION | | 2018-09-10 | 2018-09-11 |
| 1 21 SH SONY CORPORATION | | 2018-09-17 | 2018-09-18 |
| 30 SH SONY CORPORATION | | 2018-09-30 | 2018-10-04 |
| 150 SH SONY CORPORATION | | 2018-09-30 | 2018-10-15 |
| 259 SH SONY CORPORATION | | 2018-10-17 | 2018-10-17 |
| 89 SH SONY CORPORATION | | 2018-10-28 | 2018-11-05 |
| 8 SH SONY CORPORATION | | 2018-11-12 | 2018-11-19 |
| 52 SH SONY CORPORATION | | 2018-11-19 | 2018-11-26 |
| 81 SH SONY CORPORATION | | 2018-12-03 | 2018-12-10 |
| 128 SH SONY CORPORATION | | | 2018-09-25 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,242 | | 1,242 | 0 |
| 1,238 | | 1,234 | 4 |
| 1,800 | | 1,800 | 0 |
| 8,353 | | 8,353 | 0 |
| 14,383 | | 14,965 | -582 |
| 4,785 | | 4,785 | 0 |
| 416 | | 416 | 0 |
| 2,607 | | 3,362 | -755 |
| 4,063 | | 4,063 | 0 |
| 7,465 | | 7,383 | 82 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 4 |
| | | | 0 |
| | | | 0 |
| | | | -582 |
| | | | 0 |
| | | | 0 |
| | | | -755 |
| | | | 0 |
| | | | 82 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 109 SH SONY CORPORATION | | | 2018-10-15 |
| 1 186 SH SONY CORPORATION | | | 2018-11-19 |
| 102 SH SOUND ENERGY PLC | | 2018-11-19 | 2018-11-19 |
| 82 SH SPOTIFY TECHNOLOGY SA | | 2018-09-05 | 2018-09-05 |
| 40 SH SPOTIFY TECHNOLOGY SA | | 2018-09-11 | 2018-09-11 |
| 64 SH SPOTIFY TECHNOLOGY SA | | 2018-09-12 | 2018-09-12 |
| 2 SH SPOTIFY TECHNOLOGY SA | | 2018-09-13 | 2018-09-13 |
| 24 SH SPOTIFY TECHNOLOGY SA | | 2018-09-13 | 2018-09-25 |
| 1 SH SPOTIFY TECHNOLOGY SA | | 2018-09-14 | 2018-09-27 |
| 36 SH SPOTIFY TECHNOLOGY SA | | 2018-09-28 | 2018-10-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 6,052 | | 6,052 | 0 |
| 9,639 | | 9,793 | -154 |
| 23 | | 24 | -1 |
| 14,548 | | 14,548 | 0 |
| 7,310 | | 7,310 | 0 |
| 11,756 | | 11,598 | 158 |
| 367 | | 371 | -4 |
| 4,381 | | 4,381 | 0 |
| 184 | | 184 | 0 |
| 6,618 | | 6,598 | 20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -154 |
| | | | -1 |
| | | | 0 |
| | | | 0 |
| | | | 158 |
| | | | -4 |
| | | | 0 |
| | | | 0 |
| | | | 20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------|---|---|-------------------------------------|
| 61 SH SPOTIFY TECHNOLOGY SA | | | 2018-10-02 | 2018-10-03 |
| 1 | 71 SH SPOTIFY TECHNOLOGY SA | | 2018-10-04 | 2018-10-05 |
| 109 SH SPOTIFY TECHNOLOGY SA | | | 2018-10-08 | 2018-10-08 |
| 129 SH SPOTIFY TECHNOLOGY SA | | | 2018-10-09 | 2018-10-12 |
| 25 SH SPOTIFY TECHNOLOGY SA | | | 2018-10-16 | 2018-10-16 |
| 10 SH SPOTIFY TECHNOLOGY SA | | | 2018-12-31 | 2018-12-31 |
| 51 SH SPOTIFY TECHNOLOGY SA | | | | 2018-09-11 |
| 47 SH SPOTIFY TECHNOLOGY SA | | | | 2018-09-27 |
| 57 SH SPOTIFY TECHNOLOGY SA | | | | 2018-09-28 |
| 27 SH SPOTIFY TECHNOLOGY SA | | | | 2018-10-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 10,782 | | 10,782 | 0 |
| 11,633 | | 11,633 | 0 |
| 17,817 | | 17,676 | 141 |
| 20,399 | | 20,399 | 0 |
| 4,083 | | 4,444 | -361 |
| 1,133 | | 1,812 | -679 |
| 9,326 | | 9,324 | 2 |
| 8,671 | | 8,661 | 10 |
| 10,304 | | 10,304 | 0 |
| 4,869 | | 4,869 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 141 |
| | | | 0 |
| | | | -361 |
| | | | -679 |
| | | | 2 |
| | | | 10 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 61 SH SPOTIFY TECHNOLOGY SA | | | 2018-10-02 |
| 1 33 SH SPOTIFY TECHNOLOGY SA | | | 2018-10-15 |
| 77 SH SPOTIFY TECHNOLOGY SA | | | 2018-12-21 |
| 10 SH SPOTIFY TECHNOLOGY SA | | | 2018-12-28 |
| 59 SH SUN HUNG KAI PROPERTIES | | 2018-11-11 | 2018-11-12 |
| 186 SH SUN HUNG KAI PROPERTIES | | 2018-11-11 | 2018-11-13 |
| 265 SH SUN HUNG KAI PROPERTIES | | 2018-11-11 | 2018-11-16 |
| 12 SH SUNNY OPTICAL TECH | | 2018-11-08 | 2018-11-13 |
| 248 SH SUNNY OPTICAL TECH | | 2018-11-08 | 2018-11-16 |
| 992 SH SUNNY OPTICAL TECH | | 2018-12-06 | 2018-12-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 10,870 | | 10,870 | 0 |
| 5,231 | | 5,631 | -400 |
| 8,152 | | 11,458 | -3,306 |
| 1,120 | | 1,710 | -590 |
| 767 | | 771 | -4 |
| 2,439 | | 2,431 | 8 |
| 3,557 | | 3,464 | 93 |
| 113 | | 113 | 0 |
| 2,341 | | 2,337 | 4 |
| 8,756 | | 8,820 | -64 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -400 |
| | | | -3,306 |
| | | | -590 |
| | | | -4 |
| | | | 8 |
| | | | 93 |
| | | | 0 |
| | | | 4 |
| | | | -64 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 108 SH SWIRE PACIFIC LTD - CL A | | 2018-12-05 | 2018-12-06 |
| 1 55 SH SWIRE PACIFIC LTD - CL A | | 2018-12-05 | 2018-12-10 |
| 15 SH SWIRE PACIFIC LTD - CL A | | 2018-12-05 | 2018-12-11 |
| 2 SH SWIRE PACIFIC LTD - CL A | | 2018-12-05 | 2018-12-13 |
| 9 SH TAKADA CORP | | 2018-09-11 | 2018-09-18 |
| 1 SH TAKADA CORP | | 2018-09-11 | 2018-09-25 |
| 1 SH TAKADA CORP | | 2018-09-11 | 2018-10-01 |
| 4 SH TAKADA CORP | | 2018-09-11 | 2018-10-15 |
| 1 SH TAKADA CORP | | 2018-10-26 | 2018-11-08 |
| 6 SH TAKADA CORP | | 2018-10-26 | 2018-11-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,145 | | 1,146 | -1 |
| 569 | | 586 | -17 |
| 156 | | 160 | -4 |
| 21 | | 21 | 0 |
| 54 | | 54 | 0 |
| 6 | | 6 | 0 |
| 6 | | 6 | 0 |
| 24 | | 24 | 0 |
| 6 | | 6 | 0 |
| 34 | | 35 | -1 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------|---|---|-------------------------------------|
| 8 SH TAKADA CORP | | | | 2018-09-14 |
| 1 | 1,042 SH TENCENT HOLDINGS LTD | | 2018-10-11 | 2018-10-15 |
| | 175 SH TENCENT HOLDINGS LTD | | 2018-10-25 | 2018-11-05 |
| | 18 SH TENCENT HOLDINGS LTD | | 2018-11-06 | 2018-11-07 |
| | 31 SH TENCENT HOLDINGS LTD | | 2018-11-06 | 2018-11-08 |
| | 50 SH TENCENT HOLDINGS LTD | | 2018-11-06 | 2018-11-19 |
| | 354 SH TENCENT HOLDINGS LTD | | 2018-11-20 | 2018-11-21 |
| | 246 SH TENCENT HOLDINGS LTD | | 2018-12-02 | 2018-12-03 |
| | 22 SH TENCENT HOLDINGS LTD | | 2018-12-05 | 2018-12-10 |
| | 4 SH TENCENT HOLDINGS LTD | | 2018-12-05 | 2018-12-11 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 48 | | 48 | 0 |
| 37,033 | | 37,221 | -188 |
| 6,493 | | 5,901 | 592 |
| 689 | | 673 | 16 |
| 1,176 | | 1,158 | 18 |
| 1,868 | | 1,868 | 0 |
| 13,016 | | 12,798 | 218 |
| 10,189 | | 10,155 | 34 |
| 861 | | 861 | 0 |
| 159 | | 159 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -188 |
| | | | 592 |
| | | | 16 |
| | | | 18 |
| | | | 0 |
| | | | 218 |
| | | | 34 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------|---|---|-------------------------------------|
| 1 SH TENCENT HOLDINGS LTD | | | 2018-12-05 | 2018-12-13 |
| 1 | 245 SH TENCENT HOLDINGS LTD | | 2018-12-14 | 2018-12-14 |
| 208 SH TENCENT HOLDINGS LTD | | | | 2018-11-19 |
| 190 SH TENCENT HOLDINGS LTD | | | | 2018-12-06 |
| 77 SH TESLA INC | | | 2018-09-11 | 2018-09-11 |
| 26 SH TESLA INC | | | 2018-09-12 | 2018-09-12 |
| 41 SH TESLA INC | | | 2018-09-13 | 2018-09-13 |
| 137 SH TESLA INC | | | 2018-09-14 | 2018-09-14 |
| 115 SH TESLA INC | | | 2018-09-17 | 2018-09-17 |
| 39 SH TESLA INC | | | 2018-09-18 | 2018-09-18 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 41 | | 41 | 0 |
| 9,669 | | 9,678 | -9 |
| 7,737 | | 7,699 | 38 |
| 7,413 | | 7,413 | 0 |
| 21,517 | | 21,263 | 254 |
| 7,554 | | 7,507 | 47 |
| 11,875 | | 11,855 | 20 |
| 40,211 | | 39,749 | 462 |
| 33,765 | | 33,520 | 245 |
| 11,109 | | 11,036 | 73 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -9 |
| | | | 38 |
| | | | 0 |
| | | | 254 |
| | | | 47 |
| | | | 20 |
| | | | 462 |
| | | | 245 |
| | | | 73 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------|---|---|-------------------------------------|
| 99 SH TESLA INC | | | 2018-09-19 | 2018-09-19 |
| 1 | 139 SH TESLA INC | | 2018-09-20 | 2018-09-20 |
| 108 SH TESLA INC | | | 2018-09-21 | 2018-09-21 |
| 14 SH TESLA INC | | | 2018-09-24 | 2018-09-24 |
| 63 SH TESLA INC | | | 2018-09-24 | 2018-09-25 |
| 3 SH TESLA INC | | | 2018-09-27 | 2018-10-01 |
| 156 SH TESLA INC | | | 2018-10-01 | 2018-10-01 |
| 87 SH TESLA INC | | | 2018-10-02 | 2018-10-05 |
| 63 SH TESLA INC | | | 2018-10-08 | 2018-10-09 |
| 87 SH TESLA INC | | | 2018-10-09 | 2018-10-09 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 28,497 | | 28,199 | 298 |
| 41,524 | | 41,281 | 243 |
| 32,302 | | 32,166 | 136 |
| 4,196 | | 4,166 | 30 |
| 18,958 | | 18,740 | 218 |
| 909 | | 909 | 0 |
| 48,354 | | 48,112 | 242 |
| 22,793 | | 22,793 | 0 |
| 16,610 | | 16,610 | 0 |
| 22,971 | | 22,971 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 298 |
| | | | 243 |
| | | | 136 |
| | | | 30 |
| | | | 218 |
| | | | 0 |
| | | | 242 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|------------------|---|---|-------------------------------------|
| 68 SH TESLA INC | | | 2018-10-09 | 2018-10-10 |
| 1 | 134 SH TESLA INC | | 2018-10-10 | 2018-10-16 |
| 36 SH TESLA INC | | | 2018-10-24 | 2018-10-25 |
| 35 SH TESLA INC | | | 2018-10-24 | 2018-10-29 |
| 106 SH TESLA INC | | | 2018-10-30 | 2018-10-30 |
| 84 SH TESLA INC | | | 2018-10-31 | 2018-10-31 |
| 28 SH TESLA INC | | | 2018-11-01 | 2018-11-02 |
| 10 SH TESLA INC | | | 2018-11-05 | 2018-11-05 |
| 33 SH TESLA INC | | | 2018-11-08 | 2018-11-08 |
| 59 SH TESLA INC | | | 2018-11-09 | 2018-11-09 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 17,528 | | 17,528 | 0 |
| 36,247 | | 35,655 | 592 |
| 11,258 | | 11,258 | 0 |
| 11,759 | | 11,734 | 25 |
| 35,118 | | 35,014 | 104 |
| 28,509 | | 28,484 | 25 |
| 9,711 | | 9,711 | 0 |
| 3,405 | | 3,405 | 0 |
| 11,590 | | 11,590 | 0 |
| 20,740 | | 20,725 | 15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 592 |
| | | | 0 |
| | | | 25 |
| | | | 104 |
| | | | 25 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------|---|---|-------------------------------------|
| 24 SH TESLA INC | | | 2018-11-12 | 2018-11-13 |
| 1 | 45 SH TESLA INC | | 2018-11-13 | 2018-11-13 |
| 27 SH TESLA INC | | | 2018-11-14 | 2018-11-14 |
| 53 SH TESLA INC | | | 2018-11-15 | 2018-11-15 |
| 9 SH TESLA INC | | | 2018-11-15 | 2018-11-16 |
| 40 SH TESLA INC | | | 2018-11-16 | 2018-11-16 |
| 32 SH TESLA INC | | | 2018-11-23 | 2018-11-26 |
| 35 SH TESLA INC | | | 2018-11-28 | 2018-11-28 |
| 35 SH TESLA INC | | | 2018-11-29 | 2018-11-29 |
| 22 SH TESLA INC | | | 2018-12-03 | 2018-12-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 8,212 | | 8,212 | 0 |
| 15,268 | | 15,268 | 0 |
| 9,282 | | 9,282 | 0 |
| 18,394 | | 18,394 | 0 |
| 3,176 | | 3,173 | 3 |
| 14,153 | | 14,151 | 2 |
| 10,931 | | 10,862 | 69 |
| 12,134 | | 12,131 | 3 |
| 11,964 | | 11,964 | 0 |
| 7,910 | | 7,910 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 3 |
| | | | 2 |
| | | | 69 |
| | | | 3 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------|---|---|-------------------------------------|
| 41 SH TESLA INC | | | 2018-12-12 | 2018-12-12 |
| 1 | 30 SH TESLA INC | | 2018-12-17 | 2018-12-21 |
| 50 SH TESLA INC | | | 2018-12-24 | 2018-12-26 |
| 15 SH TESLA INC | | | 2018-12-26 | 2018-12-26 |
| 49 SH TESLA INC | | | 2018-12-27 | 2018-12-27 |
| 64 SH TESLA INC | | | 2018-12-31 | 2018-12-31 |
| 73 SH TESLA INC | | | | 2018-10-01 |
| 37 SH TESLA INC | | | | 2018-10-10 |
| 61 SH TESLA INC | | | | 2018-10-23 |
| 30 SH TESLA INC | | | | 2018-11-23 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 15,076 | | 15,070 | 6 |
| 9,557 | | 9,557 | 0 |
| 15,153 | | 15,083 | 70 |
| 4,634 | | 4,634 | 0 |
| 15,308 | | 15,287 | 21 |
| 21,222 | | 21,118 | 104 |
| 22,208 | | 20,666 | 1,542 |
| 9,522 | | 9,522 | 0 |
| 16,879 | | 16,879 | 0 |
| 9,787 | | 9,787 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 6 |
| | | | 0 |
| | | | 70 |
| | | | 0 |
| | | | 21 |
| | | | 104 |
| | | | 1,542 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 7 SH TESLA INC | | | 2018-12-26 |
| 1 17 SH TOYOTA MOTOR CORP | | 2018-09-10 | 2018-09-11 |
| 48 SH TOYOTA MOTOR CORP | | 2018-09-11 | 2018-09-12 |
| 73 SH TOYOTA MOTOR CORP | | 2018-09-14 | 2018-09-18 |
| 61 SH TOYOTA MOTOR CORP | | 2018-09-19 | 2018-09-25 |
| 1 SH TOYOTA MOTOR CORP | | 2018-09-25 | 2018-10-04 |
| 10 SH TOYOTA MOTOR CORP | | 2018-11-07 | 2018-11-08 |
| 63 SH TOYOTA MOTOR CORP | | 2018-11-07 | 2018-11-12 |
| 36 SH TOYOTA MOTOR CORP | | 2018-11-07 | 2018-11-20 |
| 82 SH TOYOTA MOTOR CORP | | 2018-11-30 | 2018-12-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,154 | | 2,141 | 13 |
| 1,015 | | 1,015 | 0 |
| 2,842 | | 2,841 | 1 |
| 4,484 | | 4,429 | 55 |
| 3,792 | | 3,785 | 7 |
| 63 | | 61 | 2 |
| 588 | | 584 | 4 |
| 3,720 | | 3,710 | 10 |
| 2,134 | | 2,134 | 0 |
| 5,127 | | 5,127 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 13 |
| | | | 0 |
| | | | 1 |
| | | | 55 |
| | | | 7 |
| | | | 2 |
| | | | 4 |
| | | | 10 |
| | | | 0 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 11 SH TOYOTA MOTOR CORP | | 2018-12-09 | 2018-12-10 |
| 1 88 SH TOYOTA MOTOR CORP | | 2018-12-10 | 2018-12-11 |
| 11 SH TOYOTA MOTOR CORP | | 2018-12-10 | 2018-12-13 |
| 18 SH TOYOTA MOTOR CORP | | | 2018-10-04 |
| 85 SH TOYOTA MOTOR CORP | | | 2018-10-15 |
| 129 SH UNILEVER PLC | | 2018-11-14 | 2018-11-14 |
| 276 SH UNILEVER PLC | | 2018-11-15 | 2018-11-15 |
| 127 SH UNILEVER PLC | | 2018-11-16 | 2018-11-16 |
| 127 SH UNILEVER PLC | | 2018-11-19 | 2018-11-19 |
| 51 SH UNILEVER PLC | | 2018-11-20 | 2018-11-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 660 | | 660 | 0 |
| 5,241 | | 5,258 | -17 |
| 671 | | 678 | -7 |
| 1,134 | | 1,104 | 30 |
| 4,910 | | 4,910 | 0 |
| 6,839 | | 6,839 | 0 |
| 14,945 | | 14,945 | 0 |
| 6,953 | | 6,953 | 0 |
| 6,943 | | 7,021 | -78 |
| 2,799 | | 2,856 | -57 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | -17 |
| | | | -7 |
| | | | 30 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -78 |
| | | | -57 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 85 SH UNITED RENTALS INC | | 2018-09-05 | 2018-09-10 |
| 1 68 SH UNITED RENTALS INC | | 2018-09-14 | 2018-09-14 |
| 73 SH UNITED RENTALS INC | | 2018-09-17 | 2018-09-17 |
| 90 SH UNITED RENTALS INC | | 2018-09-28 | 2018-10-03 |
| 75 SH UNITED RENTALS INC | | 2018-10-04 | 2018-10-04 |
| 108 SH UNITED RENTALS INC | | 2018-10-08 | 2018-10-08 |
| 75 SH UNITED RENTALS INC | | 2018-10-09 | 2018-10-17 |
| 80 SH UNITED RENTALS INC | | 2018-11-07 | 2018-12-20 |
| 147 SH UNITED RENTALS INC | | 2018-12-24 | 2018-12-24 |
| 83 SH UNITED RENTALS INC | | 2018-12-28 | 2018-12-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,609 | | 13,351 | 258 |
| 11,427 | | 11,372 | 55 |
| 12,035 | | 12,035 | 0 |
| 14,793 | | 14,793 | 0 |
| 12,202 | | 12,202 | 0 |
| 16,858 | | 16,843 | 15 |
| 10,450 | | 10,450 | 0 |
| 8,142 | | 8,142 | 0 |
| 14,171 | | 14,214 | -43 |
| 8,396 | | 13,645 | -5,249 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 258 |
| | | | 55 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 15 |
| | | | 0 |
| | | | 0 |
| | | | -43 |
| | | | -5,249 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|--|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 76 SH UNITED RENTALS INC | | | 2018-10-29 |
| 1 508 SH UNITED STATES STEEL CORP | | 2018-09-06 | 2018-09-06 |
| 8 SH UNITED STATES STEEL CORP | | 2018-09-07 | 2018-09-07 |
| 13 SH UNITED STATES STEEL CORP | | 2018-09-18 | 2018-09-18 |
| 236 SH UNITED STATES STEEL CORP | | 2018-09-19 | 2018-09-19 |
| 33 SH UNITED STATES STEEL CORP | | 2018-09-20 | 2018-09-25 |
| 13 SH UNITED STATES STEEL CORP | | 2018-09-20 | 2018-09-27 |
| 420 SH UNITED STATES STEEL CORP | | 2018-09-20 | 2018-09-28 |
| 147 SH UNITED STATES STEEL CORP | | 2018-10-01 | 2018-10-01 |
| 303 SH UNITED STATES STEEL CORP | | 2018-10-01 | 2018-10-03 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 8,292 | | 9,889 | -1,597 |
| 15,070 | | 15,019 | 51 |
| 237 | | 237 | 0 |
| 390 | | 388 | 2 |
| 7,043 | | 7,043 | 0 |
| 998 | | 998 | 0 |
| 391 | | 391 | 0 |
| 12,896 | | 12,616 | 280 |
| 4,468 | | 4,404 | 64 |
| 9,050 | | 9,050 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -1,597 |
| | | | 51 |
| | | | 0 |
| | | | 2 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 280 |
| | | | 64 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---------------------------------|---|---|-------------------------------------|
| 466 SH UNITED STATES STEEL CORP | | | 2018-10-04 | 2018-10-04 |
| 1 | 270 SH UNITED STATES STEEL CORP | | 2018-10-05 | 2018-10-05 |
| | 29 SH UNITED STATES STEEL CORP | | 2018-10-08 | 2018-10-26 |
| | 210 SH UNITED STATES STEEL CORP | | 2018-10-08 | 2018-11-06 |
| | 127 SH UNITED STATES STEEL CORP | | | 2018-10-08 |
| | 175 SH UNITED STATES STEEL CORP | | | 2018-11-07 |
| | 269 SH UNITED STATES STEEL CORP | | | 2018-12-20 |
| | 79 SH UNITED TECHNOLOGIES CORP | | 2018-10-08 | 2018-10-08 |
| | 123 SH UNITED TECHNOLOGIES CORP | | 2018-10-09 | 2018-10-23 |
| | 89 SH UNITED TECHNOLOGIES CORP | | 2018-10-24 | 2018-10-24 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,935 | | 13,935 | 0 |
| 7,937 | | 7,937 | 0 |
| 739 | | 739 | 0 |
| 6,144 | | 6,130 | 14 |
| 3,749 | | 3,729 | 20 |
| 5,179 | | 5,115 | 64 |
| 5,146 | | 7,836 | -2,690 |
| 10,996 | | 10,957 | 39 |
| 16,006 | | 16,006 | 0 |
| 10,884 | | 10,884 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 14 |
| | | | 20 |
| | | | 64 |
| | | | -2,690 |
| | | | 39 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|---------------------------------|---|---|-------------------------------------|
| 125 SH UNITED TECHNOLOGIES CORP | | | 2018-10-30 | 2018-10-30 |
| 1 | 52 SH UNITED TECHNOLOGIES CORP | | 2018-11-05 | 2018-11-05 |
| | 76 SH UNITED TECHNOLOGIES CORP | | 2018-11-09 | 2018-11-09 |
| | 81 SH UNITED TECHNOLOGIES CORP | | 2018-11-12 | 2018-11-15 |
| | 87 SH UNITED TECHNOLOGIES CORP | | 2018-11-20 | 2018-11-20 |
| | 118 SH UNITED TECHNOLOGIES CORP | | 2018-11-26 | 2018-11-26 |
| | 94 SH UNITED TECHNOLOGIES CORP | | 2018-11-27 | 2018-11-27 |
| | 121 SH UNITED TECHNOLOGIES CORP | | 2018-11-29 | 2018-11-29 |
| | 131 SH UNITED TECHNOLOGIES CORP | | 2018-11-30 | 2018-11-30 |
| | 79 SH UNITED TECHNOLOGIES CORP | | 2018-12-10 | 2018-12-10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 15,309 | | 15,307 | 2 |
| 6,630 | | 6,630 | 0 |
| 9,946 | | 9,946 | 0 |
| 10,525 | | 10,525 | 0 |
| 10,964 | | 10,964 | 0 |
| 15,112 | | 15,112 | 0 |
| 11,523 | | 11,523 | 0 |
| 14,675 | | 14,675 | 0 |
| 15,985 | | 15,974 | 11 |
| 9,441 | | 9,441 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 2 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 11 |
| | | | 0 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|--|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 74 SH UNITED TECHNOLOGIES CORP | | 2018-12-11 | 2018-12-11 |
| 1 124 SH UNITED TECHNOLOGIES CORP | | 2018-12-12 | 2018-12-12 |
| 124 SH UNITED TECHNOLOGIES CORP | | 2018-12-13 | 2018-12-13 |
| 125 SH UNITED TECHNOLOGIES CORP | | 2018-12-17 | 2018-12-17 |
| 135 SH UNITED TECHNOLOGIES CORP | | 2018-12-20 | 2018-12-24 |
| 86 SH UNITED TECHNOLOGIES CORP | | 2018-12-26 | 2018-12-26 |
| 160 SH UNITED TECHNOLOGIES CORP | | 2018-12-26 | 2018-12-28 |
| 69 SH UNITED TECHNOLOGIES CORP | | | 2018-11-16 |
| 116 SH VISA INC-CLASS A SHARES | | 2018-10-08 | 2018-10-08 |
| 14 SH VISA INC-CLASS A SHARES | | 2018-10-12 | 2018-10-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 8,809 | | 8,809 | 0 |
| 14,843 | | 14,843 | 0 |
| 14,787 | | 14,787 | 0 |
| 14,378 | | 14,378 | 0 |
| 13,852 | | 13,852 | 0 |
| 8,849 | | 8,849 | 0 |
| 16,991 | | 19,347 | -2,356 |
| 8,914 | | 8,914 | 0 |
| 16,468 | | 16,468 | 0 |
| 1,956 | | 1,956 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -2,356 |
| | | | 0 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------|---|---|-------------------------------------|
| 105 SH VISA INC-CLASS A SHARES | | | 2018-10-15 | 2018-10-15 |
| 1 | 82 SH VISA INC-CLASS A SHARES | | 2018-10-18 | 2018-10-19 |
| 78 SH VISA INC-CLASS A SHARES | | | 2018-10-23 | 2018-10-23 |
| 79 SH VISA INC-CLASS A SHARES | | | 2018-10-24 | 2018-10-25 |
| 22 SH VISA INC-CLASS A SHARES | | | 2018-10-26 | 2018-10-26 |
| 81 SH VISA INC-CLASS A SHARES | | | 2018-10-29 | 2018-10-31 |
| 43 SH VISA INC-CLASS A SHARES | | | 2018-11-08 | 2018-11-08 |
| 107 SH VISA INC-CLASS A SHARES | | | 2018-11-09 | 2018-11-09 |
| 109 SH VISA INC-CLASS A SHARES | | | 2018-11-12 | 2018-11-12 |
| 76 SH VISA INC-CLASS A SHARES | | | 2018-11-13 | 2018-11-13 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 14,513 | | 14,513 | 0 |
| 11,629 | | 11,621 | 8 |
| 10,877 | | 10,844 | 33 |
| 10,853 | | 10,853 | 0 |
| 3,026 | | 3,026 | 0 |
| 11,188 | | 11,188 | 0 |
| 6,227 | | 6,227 | 0 |
| 15,407 | | 15,381 | 26 |
| 15,243 | | 15,243 | 0 |
| 10,626 | | 10,626 | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 0 |
| | | | 8 |
| | | | 33 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | 26 |
| | | | 0 |
| | | | 0 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-------------------------------|---|---|-------------------------------------|
| 66 SH VISA INC-CLASS A SHARES | | | 2018-11-15 | 2018-11-15 |
| 1 | 65 SH VISA INC-CLASS A SHARES | | 2018-11-16 | 2018-11-30 |
| 107 SH VISA INC-CLASS A SHARES | | | 2018-12-12 | 2018-12-12 |
| 69 SH VISA INC-CLASS A SHARES | | | 2018-12-13 | 2018-12-13 |
| 76 SH VISA INC-CLASS A SHARES | | | 2018-12-14 | 2018-12-14 |
| 111 SH VISA INC-CLASS A SHARES | | | 2018-12-17 | 2018-12-17 |
| 88 SH VISA INC-CLASS A SHARES | | | 2018-12-20 | 2018-12-24 |
| 204 SH VODAFONE GROUP PLC | | | 2018-11-14 | 2018-11-14 |
| 1,680 SH VODAFONE GROUP PLC | | | 2018-11-15 | 2018-11-15 |
| 1,523 SH VODAFONE GROUP PLC | | | 2018-11-19 | 2018-11-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 9,282 | | 9,239 | 43 |
| 9,146 | | 9,145 | 1 |
| 14,781 | | 14,781 | 0 |
| 9,466 | | 9,466 | 0 |
| 10,268 | | 10,268 | 0 |
| 14,538 | | 14,608 | -70 |
| 10,824 | | 12,177 | -1,353 |
| 412 | | 412 | 0 |
| 3,340 | | 3,340 | 0 |
| 3,030 | | 3,033 | -3 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 43 |
| | | | 1 |
| | | | 0 |
| | | | 0 |
| | | | 0 |
| | | | -70 |
| | | | -1,353 |
| | | | 0 |
| | | | 0 |
| | | | -3 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|--|-----------------------------|---|---|-------------------------------------|
| 1,512 SH VODAFONE GROUP PLC | | | 2018-11-20 | 2018-11-20 |
| 1 | 1,007 SH VODAFONE GROUP PLC | | 2018-11-21 | 2018-11-21 |
| | 1,012 SH VODAFONE GROUP PLC | | 2018-11-28 | 2018-11-28 |
| | 3,576 SH VODAFONE GROUP PLC | | | 2018-11-16 |
| | 31 SH VTECH HOLDINGS LTD | | 2018-11-08 | 2018-11-15 |
| | 21 SH VTECH HOLDINGS LTD | | 2018-11-08 | 2018-11-16 |
| | 20 SH VTECH HOLDINGS LTD | | 2018-11-18 | 2018-11-19 |
| | 340 SH WELLS FARGO & CO | | 2018-10-16 | 2018-10-16 |
| | 218 SH WELLS FARGO & CO | | 2018-10-18 | 2018-10-18 |
| | 197 SH WELLS FARGO & CO | | 2018-11-01 | 2018-11-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|-----------------------|---|---|--|
| 3,005 | | 3,119 | -114 |
| 2,002 | | 2,057 | -55 |
| 2,172 | | 2,253 | -81 |
| 7,035 | | 7,035 | 0 |
| 322 | | 335 | -13 |
| 219 | | 244 | -25 |
| 201 | | 231 | -30 |
| 18,226 | | 18,069 | 157 |
| 11,561 | | 11,561 | 0 |
| 10,531 | | 10,532 | -1 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | -114 |
| | | | -55 |
| | | | -81 |
| | | | 0 |
| | | | -13 |
| | | | -25 |
| | | | -30 |
| | | | 157 |
| | | | 0 |
| | | | -1 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|--|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
| 201 SH WELLS FARGO & CO | | 2018-11-05 | 2018-11-08 |
| 1 220 SH WELLS FARGO & CO | | 2018-12-24 | 2018-12-26 |
| 4 SH WESFARMERS LIMITED | | 2018-11-13 | 2018-11-19 |
| 126 SH WESFARMERS LIMITED | | | 2018-11-19 |
| 153 SH WESTERN DIGITAL CORP | | 2018-10-02 | 2018-10-02 |
| 270 SH WESTERN DIGITAL CORP | | 2018-10-05 | 2018-10-10 |
| 57 SH WESTPAC BANKING CORPORATION | | 2018-11-13 | 2018-11-19 |
| 14 SH WESTPAC BANKING CORPORATION | | 2018-11-13 | 2018-11-20 |
| 23 SH WHIRLPOOL CORP | | 2018-09-18 | 2018-09-18 |
| 116 SH WHIRLPOOL CORP | | 2018-10-02 | 2018-10-10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 10,677 | | 10,793 | -116 |
| 9,718 | | 9,750 | -32 |
| 127 | | 127 | 0 |
| 4,004 | | 4,087 | -83 |
| 8,833 | | 8,833 | 0 |
| 14,658 | | 15,288 | -630 |
| 1,039 | | 1,076 | -37 |
| 258 | | 264 | -6 |
| 2,803 | | 2,796 | 7 |
| 12,262 | | 13,460 | -1,198 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -116 |
| | | | -32 |
| | | | 0 |
| | | | -83 |
| | | | 0 |
| | | | -630 |
| | | | -37 |
| | | | -6 |
| | | | 7 |
| | | | -1,198 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr) | (d) Date sold (mo , day, yr) |
|---|--|--|--|--|
| 140 SH WOOLWORTHS GROUP LTD | | | 2018-12-02 | 2018-12-03 |
| 1 14 SH WOOLWORTHS GROUP LTD | | | 2018-12-02 | 2018-12-06 |
| 49 SH WOOLWORTHS GROUP LTD | | | 2018-12-02 | 2018-12-10 |
| 485 SH WUXI BIOLOGICS CAYMAN INC | | | 2018-11-25 | 2018-11-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 2,961 | | 2,954 | 7 |
| 291 | | 295 | -4 |
| 1,017 | | 1,034 | -17 |
| 4,043 | | 3,729 | 314 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) F M V as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col (i) (k) over col (j), if any | |
| | | | 7 |
| | | | -4 |
| | | | -17 |
| | | | 314 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|--------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| CATHOLIC RELIEF SERVICES 228 W LEXINGTON ST BALTIMORE, MD 21201 | NONE | PC | HUMANITARIAN | 7,000 |
| CRISTA - KINGS SCHOOL 19303 FREMONT AVE N SHORELINE, WA 98133 | NONE | PC | EDUCATION | 5,000 |
| SEATTLE CHILDREN'S HOSPITAL 4800 SAND POINT WAY SEATTLE, WA 98105 | NONE | PC | MEDICAL RESEARCH | 5,000 |
| Total ▶ 3a | | | | 57,350 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|--------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| ST CATHERINE'S SCHOOL 8524 8TH AVE NE SEATTLE, WA 98115 | NONE | PC | EDUCATION | 5,300 |
| ST JUDE'S CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105 | NONE | PC | HUMANITARIAN | 10,000 |
| STANWOOD FOOD BANK 27030 102ND AVE NW STANWOOD, WA 98292 | NONE | PC | HUMANITARIAN | 2,000 |
| Total ▶ 3a | | | | 57,350 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|--------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| WASHINGTON WOMEN IN NEED 232 5TH AVE S SUITE 201 KIRKLAND, WA 98033 | NONE | PC | HUMANITARIAN | 10,000 |
| NORTHWEST GIRLCHOIR 2150 N 122ND ST SEATTLE, WA 98133 | NONE | PC | EDUCATION | 3,050 |
| MERCY SHIPSPO BOX 1930 LINDALE, TX 75771 | NONE | PC | RELIGION | 10,000 |
| Total ▶ 3a | | | | 57,350 |

TY 2018 Accounting Fees Schedule

Name: THE JAMES AND GERALDINE
GODFREY FOUNDATION

EIN: 81-0793649

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|--------|--------------------------|------------------------|---|
| ACCOUNTING FEES | 1,590 | 1,590 | | 0 |

TY 2018 Explanation of Non-Filing with Attorney General Statement

Name: THE JAMES AND GERALDINE
GODFREY FOUNDATION

EIN: 81-0793649

Statement:

WASHINGTON STATE REQUESTS THAT NO 990-PF TO BE FILED, BUT IS AVAILABLE IF REQUESTED.

TY 2018 Investments Corporate Stock Schedule

Name: THE JAMES AND GERALDINE
GODFREY FOUNDATION

EIN: 81-0793649

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|----------------------|-------------------------------|--------------------------------------|
| AMERITRADE- FULCRUM | 439,144 | 394,605 |
| INTERACTIVE BROKERS | 72,223 | 71,841 |

TY 2018 Legal Fees Schedule

Name: THE JAMES AND GERALDINE
GODFREY FOUNDATION

EIN: 81-0793649

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------|--------|--------------------------|------------------------|---|
| LEGAL FEES | 1,365 | 1,365 | | 0 |

TY 2018 Other Assets Schedule

Name: THE JAMES AND GERALDINE
GODFREY FOUNDATION

EIN: 81-0793649

Other Assets Schedule

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|------------------|-----------------------------------|-----------------------------|------------------------------------|
| ACCRUED DIVIDEND | | 212 | 212 |

TY 2018 Other Expenses Schedule

Name: THE JAMES AND GERALDINE
GODFREY FOUNDATION

EIN: 81-0793649

Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|--------------------------------------|--------------------------|------------------------|---|
| UTILITES | 2,112 | 2,112 | | 0 |
| SECURITY | 112 | 112 | | 0 |
| INVESTMENT FEES | 12,119 | 12,119 | | 0 |

TY 2018 Other Liabilities Schedule

Name: THE JAMES AND GERALDINE
GODFREY FOUNDATION

EIN: 81-0793649

| Description | Beginning of Year - Book Value | End of Year - Book Value |
|--------------------------|-----------------------------------|-----------------------------|
| ACCRUED INTEREST PAYABLE | 0 | 160 |

TY 2018 Taxes Schedule

Name: THE JAMES AND GERALDINE
GODFREY FOUNDATION

EIN: 81-0793649

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------|--------|--------------------------|------------------------|---|
| PROPERTY TAXES | 1,871 | 1,871 | | 0 |
| FOREIGN TAXES PAID | 248 | 248 | | 0 |

| | | | | | |
|--|--|---|--|--|---|
| efile GRAPHIC print - DO NOT PROCESS | | As Filed Data - | | DLN: 93491318040509 | |
| <div>Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small></div> | | <div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF</div> <div>▶ Go to www.irs.gov/Form990 for the latest information</div> | | | <div>OMB No 1545-0047</div> <div>2018</div> |
| <div>Name of the organization THE JAMES AND GERALDINE GODFREY FOUNDATION</div> | | | | <div>Employer identification number 81-0793649</div> | |
| <div>Organization type (check one)</div> | | | | | |
| <div>Filers of:Section:</div> | | | | | |
| Form 990 or 990-EZ | | <div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div> | | | |
| Form 990-PF | | <div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div> | | | |
| <div>Check if your organization is covered by the General Rule or a Special Rule.</div> <div>Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions</div> | | | | | |
| <div>General Rule</div> <div><input checked="" type="checkbox"/> For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions</div> | | | | | |
| <div>Special Rules</div> <div><input type="checkbox"/> For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II</div> <div><input type="checkbox"/> For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III</div> <div><input type="checkbox"/> For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions <i>exclusively</i> for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc , purpose Don't complete any of the parts unless the General Rule applies to this organization because it received <i>nonexclusively</i> religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$</div> | | | | | |
| <div>Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)</div> | | | | | |
| For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF | | Cat No 30613X | | Schedule B (Form 990, 990-EZ, or 990-PF) (2018) | |

| | |
|--|---|
| Name of organization THE JAMES AND GERALDINE GODFREY FOUNDATION | Employer identification number 81-0793649 |
|--|---|

| Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed | | | |
|---|-----------------------------------|----------------------------|---|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| 1 | JAMES AND MARY GODFREY | \$ 650,000 | Person <input checked="" type="checkbox"/> |
| | 17720 MARINE DRIVE | | Payroll <input type="checkbox"/> |
| | STANWOOD, WA 98292 | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| . | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| . | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| . | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| . | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| . | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
| . | | \$ | Person <input type="checkbox"/> |
| | | | Payroll <input type="checkbox"/> |
| | | | Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |

| | |
|--|---|
| Name of organization THE JAMES AND GERALDINE GODFREY FOUNDATION | Employer identification number 81-0793649 |
|--|---|

| | |
|----------------|-------------------------|
| Part II | Noncash Property |
|----------------|-------------------------|

| | | | |
|------------------------|--|--|----------------------|
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | \$ | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| | | \$ | |

| | |
|--|---|
| Name of organization THE JAMES AND GERALDINE GODFREY FOUNDATION | Employer identification number 81-0793649 |
|--|---|

| | |
|-----------------|--|
| Part III | Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed |
|-----------------|--|

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|------------------------|---------------------------------------|--|-------------------------------------|
| | _____ | _____ | _____ |
| | _____ | _____ | _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | _____ | _____ | _____ |
| | _____ | _____ | _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | _____ | _____ | _____ |
| | _____ | _____ | _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | _____ | _____ | _____ |
| | _____ | _____ | _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | _____ | _____ | _____ |
| | _____ | _____ | _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | _____ | _____ | _____ |
| | _____ | _____ | _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |
| | _____ | _____ | _____ |
| | _____ | _____ | _____ |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |