

Form **990-PF****Return of Private Foundation**

29491334119069

OMB No. 1545-0052

Department of the Treasury  
Internal Revenue Service

- or Section 4947(a)(1) Trust Treated as Private Foundation
- ▶ Do not enter social security numbers on this form as it may be made public.
- ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2018**

Open to Public Inspection

For calendar year 2018 or tax year beginning

, 2018, and ending

, 20

Name of foundation

CASTEX FOUNDATION

A Employer identification number

76-0699169

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

333 CLAY, SUITE 4050

(281) 878-0015

City or town, state or province, country, and ZIP or foreign postal code

HOUSTON, TX 77002

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

J Accounting method ☒ Cash ☐ AccrualC If exemption application is pending, check here . . . . . ☐D 1 Foreign organizations check here . . . . . ☐2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ☐E If private foundation status was terminated under section 507(b)(1)(A) check here . . . . . ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here . . . . . ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

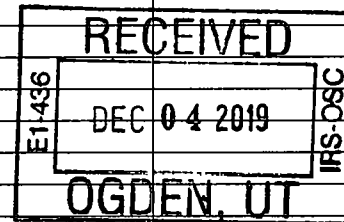
(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

Operating and Administrative Expenses

1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B . . . . .				
3	Interest on savings and temporary cash investments . . . . .				
4	Dividends and interest from securities . . . . .	330,645.	330,645.		
5a	Gross rents . . . . .				
b	Net rental income or (loss) . . . . .				
6a	Net gain or (loss) from sale of assets not on line 10 . . . . .	-764,869.			
b	Gross sales price for all assets on line 6a . . . . .	2,795,267.			
7	Capital gain net income (from Part IV, line 2) . . . . .		0.		
8	Net short-term capital gain . . . . .				
9	Income modifications . . . . .				
10a	Gross sales less returns and allowances . . . . .				
b	Less: Cost of goods sold . . . . .				
c	Gross profit or (loss) (attach schedule) . . . . .				
11	Other income (attach schedule) . . . . .				
12	Total. Add lines 1 through 11 . . . . .	-434,224.	330,645.		
13	Compensation of officers, directors, trustees, etc. . . . .	0.			
14	Other employee salaries and wages . . . . .				
15	Pension plans, employee benefits . . . . .				
16a	Legal fees (attach schedule) . . . . .				
b	Accounting fees (attach schedule) ATCH. 1 . . . . .	6,620.	6,620.		
c	Other professional fees (attach schedule) [2] . . . . .	29,685.	29,685.		
17	Interest . . . . .				
18	Taxes (attach schedule) (see instructions) [3] . . . . .	6,522.	6,522.		
19	Depreciation (attach schedule) and depletion . . . . .				
20	Occupancy . . . . .				
21	Travel, conferences, and meetings . . . . .				
22	Printing and publications . . . . .				
23	Other expenses (attach schedule) ATCH. 4 . . . . .	6,900.			
24	Total operating and administrative expenses. Add lines 13 through 23. . . . .	49,727.	42,827.		
25	Contributions, gifts, grants paid . . . . .	383,100.			383,100.
26	Total expenses and disbursements. Add lines 24 and 25 . . . . .	432,827.	42,827.	0.	383,100.
27	Subtract line 26 from line 12 . . . . .	-867,051.			
a	Excess of revenue over expenses and disbursements . . . . .		287,818.		
b	Net investment income (if negative, enter -0-) . . . . .				
c	Adjusted net income (if negative, enter -0-) . . . . .				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .		248,599.	485,720.	485,720.
	2	Savings and temporary cash investments . . . . .				
	3	Accounts receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less allowance for doubtful accounts ▶ . . . . .				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments - U S and state government obligations (attach schedule) [ 5 ] . . . . .	352,805.	489,046.	580,350.	
	b	Investments - corporate stock (attach schedule) ATCH 6 . . . . .	1,331,710.	1,082,020.	1,062,639.	
	c	Investments - corporate bonds (attach schedule) ATCH 7 . . . . .	8,645,867.	7,664,942.	7,310,186.	
	Liabilities	11	Investments - land, buildings, and equipment basis ▶ . . . . .			
		Less accumulated depreciation (attach schedule) ▶ . . . . .				
12		Investments - mortgage loans . . . . .				
13		Investments - other (attach schedule) . . . . .				
14		Land, buildings, and equipment basis ▶ . . . . .				
		Less accumulated depreciation (attach schedule) ▶ . . . . .				
15		Other assets (describe ▶ . . . . . )				
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	10,578,981.	9,721,728.	9,438,895.	
17		Accounts payable and accrued expenses . . . . .				
18		Grants payable . . . . .				
Net Assets or Fund Balances	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ . . . . . ATCH 8 )	202.			
	23	Total liabilities (add lines 17 through 22) . . . . .	202.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here . . . . . <input type="checkbox"/>					
	and complete lines 24 through 26, and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .	10,578,779.	9,711,728.		
30	Total net assets or fund balances (see instructions) . . . . .	10,578,779.	9,711,728.			
31	Total liabilities and net assets/fund balances (see instructions) . . . . .	10,578,981.	9,711,728.			

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	10,578,779.
2	Enter amount from Part I, line 27a . . . . .	2	-867,051.
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	9,711,728.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	9,711,728.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a SEE PART IV SCHEDULE</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b>			<b>2</b>	-764,869.	
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px; margin-right: 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> </div>					
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b>			<b>3</b>	0.	
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px; margin-right: 5px;">           If gain, also enter in Part I, line 8, column (c) See instructions            If (loss), enter -0- in Part I, line 8         </div> </div>					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	759,944.	9,680,946.	0.078499
2016	1,356,244.	10,409,024.	0.130295
2015	1,480,185.	11,664,922.	0.126892
2014	1,574,580.	13,600,492.	0.115774
2013	1,607,969.	14,386,168.	0.111772
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0.563232
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> 0.112646
<b>4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5</b>			<b>4</b> 9,546,494.
<b>5 Multiply line 4 by line 3.</b>			<b>5</b> 1,075,374.
<b>6 Enter 1% of net investment income (1% of Part I, line 27b).</b>			<b>6</b> 2,878.
<b>7 Add lines 5 and 6.</b>			<b>7</b> 1,078,252.
<b>8 Enter qualifying distributions from Part XII, line 4.</b>			<b>8</b> 383,100.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	2,878.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	2,878.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	2,878.
6 Credits/Payments			
a 2018 estimated tax payments and 2017 overpayment credited to 2018 . . . . .	6a	6,046.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	5,000.	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments Add lines 6a through 6d . . . . .	7		11,046.
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		8,168.
11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input checked="" type="checkbox"/> 8,168. Refunded <input type="checkbox"/> <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition . . . . .		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions <input checked="" type="checkbox"/> TX,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions . . . . .		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions . . . . .		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ JOHN R. STOIKA Telephone no ▶ 281-878-0015 Located at ▶ 333 CLAY, SUITE 4050 HOUSTON, TX ZIP+4 ▶ 77002		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here . . . . . ▶ 15		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .	1b	
Organizations relying on a current notice regarding disaster assistance, check here . . . . . ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

		Yes	No
<b>5a</b>	During the year, did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>	<b>5b</b>	
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	<b>6b</b>	
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>7b</b>	
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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3 Five-highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services . . . . .		▶
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## Expenses

<b>Part IX-B</b>	<b>Summary of Program-Related Investments (see instructions)</b>
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<b>Total. Add lines 1 through 3 . . . . .</b>		
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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	9,494,592.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	197,280.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	9,691,872.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	9,691,872.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	145,378.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	9,546,494.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	477,325.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	477,325.
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5 . . . . .	<b>2a</b>	2,878.
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	2,878.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	474,447.
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	474,447.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	474,447.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26. . . . .	<b>1a</b>	383,100.
<b>b</b>	Program-related investments - total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	383,100.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	2,878.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	380,222.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7 . . . . .				474,447.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only. . . . .				
b Total for prior years 20 16, 20 15, 20 14 . . . . .				
3 Excess distributions carryover, if any, to 2018				
a From 2013 . . . . .	902,813.			
b From 2014 . . . . .	905,705.			
c From 2015 . . . . .	908,539.			
d From 2016 . . . . .	845,305.			
e From 2017 . . . . .	284,409.			
f Total of lines 3a through e . . . . .	3,846,771.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 383,100.				
a Applied to 2017, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2018 distributable amount. . . . .				383,100.
e Remaining amount distributed out of corpus. . . . .				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .	91,347.			91,347.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	3,755,424.			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . . . .	811,466.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a . . . . .	2,943,958.			
10 Analysis of line 9				
a Excess from 2014 . . . . .	905,705.			
b Excess from 2015 . . . . .	908,539.			
c Excess from 2016 . . . . .	845,305.			
d Excess from 2017 . . . . .	284,409.			
e Excess from 2018 . . . . .				

Form 990-PF (2018)

4942(j)(5)

**Part XV** Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ATCH 10				
<b>Total</b> . . . . .			<b>3a</b>	383,100.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			<b>3b</b>	

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990-PF (2018)

**Part XVII** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations


- |          |  | Yes          | No |
|----------|--|--------------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |              |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of  |              |    |
|          | (1) Cash . . . . .   | <b>1a(1)</b> | X  |
|          | (2) Other assets . . . . .   | <b>1a(2)</b> | X  |
| <b>b</b> | Other transactions   |              |    |
|          | (1) Sales of assets to a noncharitable exempt organization . . . . .   | <b>1b(1)</b> | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization . . . . .   | <b>1b(2)</b> | X  |
|          | (3) Rental of facilities, equipment, or other assets . . . . .   | <b>1b(3)</b> | X  |
|          | (4) Reimbursement arrangements . . . . .   | <b>1b(4)</b> | X  |
|          | (5) Loans or loan guarantees . . . . .   | <b>1b(5)</b> | X  |
|          | (6) Performance of services or membership or fundraising solicitations . . . . .   | <b>1b(6)</b> | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .   | <b>1c</b>    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship


Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here**  | 11/15/19 | **Director**  
 Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below?  
 See instructions ☒ Yes ☐ No

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

Paid Preparer Use Only	Print/type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	ABDON RANGEL		11/6/19		P01222626
Firm's name	ANDERSEN TAX LLC			Firm's EIN	
	5847 SAN FELIPE, SUITE 4400 HOUSTON, TX 77057			33-1197384	
Firm's address				Phone no	832-463-4030

Form **990-PF** (2018)

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					17,365.	
243,008.		U.S. TRUST (9913) - SEE ATTACHED STATEME PROPERTY TYPE: SECURITIES 257,730.				P	VARIOUS -14,722.	VARIOUS
179,493.		U.S. TRUST (9913) - SEE ATTACHED STATEME PROPERTY TYPE: SECURITIES 172,185.				P	VARIOUS 7,308.	VARIOUS
50,228.		AT&T INC NOTE 5.800% (50,000 PAR) PROPERTY TYPE: SECURITIES 50,813.				P	08/16/2018 -585.	12/19/2018
1,148.		ALPHA NATURAL RESOURCES HLDGS INC (CASH PROPERTY TYPE: SECURITIES 13,916.				P	VARIOUS -12,768.	VARIOUS
27,895.		AMERICAN AXLE & MFG INC NOTE 6.625% (27, PROPERTY TYPE: SECURITIES 27,921.				P	VARIOUS -26.	VARIOUS
4,473.		ANR INC COM CCL (169 SHS) PROPERTY TYPE: SECURITIES 50,718.				P	VARIOUS -46,245.	VARIOUS
1,339.		ANR INC RT RTS EXP PROPERTY TYPE: SECURITIES 1,769.				P	VARIOUS -430.	VARIOUS
463.		CONTURA ENERGY INC (18 SHS) PROPERTY TYPE: SECURITIES 7,879.				P	VARIOUS -7,416.	VARIOUS
775.		CONTURA ENERGY INC COM (12 SHS) PROPERTY TYPE: SECURITIES 18,073.				P	VARIOUS -17,298.	VARIOUS
25,000.		DEUTSCHE BK AG MTN 1.875% (25,000 PAR) PROPERTY TYPE: SECURITIES 24,771.				P	02/06/2017 229.	02/13/2018
51,146.		INTL LEASE FIN CORP 5.875% (50,000 PAR) PROPERTY TYPE: SECURITIES 48,675.				P	04/06/2012 2,471.	05/08/2018
		KEY ENERGY SVCS INC DEL COM				P	VARIOUS	VARIOUS

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
8,997.		PROPERTY TYPE: SECURITIES 50,162.					-41,165.	
34,471.		PEABODY ENERGY CORP NEW COM PROPERTY TYPE: SECURITIES 356,065.				P	VARIOUS	VARIOUS
48,081.		PETROBRAS GLOBAL FIN B.V. NOTE 4.375% (5 PROPERTY TYPE: SECURITIES 45,389.				P	06/26/2013	05/08/2018
26,360.		PETROBRAS INTL FIN CO NOTE 7.875% (25,00 PROPERTY TYPE: SECURITIES 27,251.				P	07/11/2016	02/26/2018
150,000.		ROYAL BANK OF SCOTLAND GROUP 4.700% (150 PROPERTY TYPE: SECURITIES 152,213.				P	01/24/2013	07/03/2018
1,553.		SANDRIDGE ENERGY INC COMMON STOCK (112 S PROPERTY TYPE: SECURITIES 40,719.				P	04/02/2012	05/08/2018
29.		SANDRIDGE ENERGY INCX A (97 SHS) PROPERTY TYPE: SECURITIES 5,266.				P	04/02/2012	05/08/2018
6.		SANDRIDGE ENERGY INCX (41 SHS) PROPERTY TYPE: SECURITIES 2,226.				P	04/02/2012	05/08/2018
3,766.		SILVERBOW RES INC (124 SHS) PROPERTY TYPE: SECURITIES 98,860.				P	07/22/2013	05/08/2018
50,000.		US WEST CAPITAL FUNDING 6.500% (50,000 P PROPERTY TYPE: SECURITIES 49,132.				P	09/27/2011	11/15/2018
25,000.		US AIRWAYS GROUP INC 6.125% (25,000 PAR) PROPERTY TYPE: SECURITIES 26,302.				P	07/11/2016	06/01/2018
103,200.		COMCAST CORP NEW 5.150% (100,000 PAR) PROPERTY TYPE: SECURITIES 106,416.				P	12/07/2017	06/28/2018

# **FORM 990-PF - PART IV** **CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
16,698.		FORD MOTOR CREDIT CO 7.450% (15,000 PAR) PROPERTY TYPE: SECURITIES 17,639.				P	06/28/2018 -941.	08/30/2018
52,276.		GENERAL ELECTRIC CAP CORP 6.750% (50,000) PROPERTY TYPE: SECURITIES 61,479.				P	06/28/2018 -9,203.	11/09/2018
77,731.		GENL MOTORS FINL CO 5.250% (75,000 PAR) PROPERTY TYPE: SECURITIES 79,307.				P	04/12/2018 -1,576.	08/07/2018
39,811.		INTL PAPER CO 4.750% (38,000 PAR) PROPERTY TYPE: SECURITIES 39,252.				P	11/13/2018 559.	12/21/2018
107,773.		AMERICAN INTL. GROUP INC. 6.400% (100,00) PROPERTY TYPE: SECURITIES 114,264.				P	12/29/2016 -6,491.	05/07/2018
104,375.		ANHEUSER BUSCH INBEV 5.375% (100,000 PAR) PROPERTY TYPE: SECURITIES 113,112.				P	04/07/2016 -8,737.	04/23/2018
88,905.		BP CPTL MARK PLC 4.742% (85,000 PAR) PROPERTY TYPE: SECURITIES 91,400.				P	11/21/2017 -2,495.	12/14/2018
102,111.		CHEVRON CORP 4.950% (100,000 PAR) PROPERTY TYPE: SECURITIES 120,419.				P	VARIOUS -18,308.	VARIOUS
102,060.		DOW CHEMICAL CO NTS 8.550% (100,000 PAR) PROPERTY TYPE: SECURITIES 114,336.				P	02/11/2007 -12,276.	12/30/2018
76,941.		DUKE ENERGY CAROLINAS 7.000% (75,000 PAR) PROPERTY TYPE: SECURITIES 85,782.				P	04/07/2016 -8,841.	04/10/2018
100,866.		FEDEX CORP 8.000% (100,000 PAR) PROPERTY TYPE: SECURITIES 116,819.				P	03/17/2016 -15,953.	11/14/2018
104,315.		FORD MOTOR CREDIT CO 5.875% (100,000 PAR) PROPERTY TYPE: SECURITIES 115,978.				P	12/08/2014 -11,663.	09/04/2018



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
106,718.		GENERAL ELECTRIC 5.300% (100,000 PAR) PROPERTY TYPE: SECURITIES 114,078.				P	12/08/2014	01/24/2018
							-7,360.	
78,698.		VALERO ENERGY CORP. NTS 9.375% (75,000 P PROPERTY TYPE: SECURITIES 86,366.				P	01/24/2017	06/18/2018
							-7,668.	
50,000.		UNITED STATES TREAS BILL PROPERTY TYPE: SECURITIES 49,680.				P	08/04/2017	03/01/2018
							320.	
11,323.		ARROW ELECTRONICS INC 3.250% (12,000 PAR PROPERTY TYPE: SECURITIES 11,902.				P	VARIOUS	VARIOUS
							-579.	
12,135.		DISH DBS CORP NTS 6.750% (12,000 PAR) PROPERTY TYPE: SECURITIES 12,413.				P	VARIOUS	VARIOUS
							-278.	
29,712.		FISERV INC. NTS 3.500% (29,000 PAR) PROPERTY TYPE: SECURITIES 29,932.				P	VARIOUS	VARIOUS
							-220.	
29,939.		LABORATORY CORP OF AMER 3.200% (30,000 P PROPERTY TYPE: SECURITIES 30,179.				P	VARIOUS	VARIOUS
							-240.	
29,000.		RPM INTL. INC. NTS 6.500% (29,000 PAR) PROPERTY TYPE: SECURITIES 30,149.				P	VARIOUS	VARIOUS
							-1,149.	
29,802.		VIACOM INC. 4.250% (29,000 PAR) PROPERTY TYPE: SECURITIES 30,178.				P	VARIOUS	VARIOUS
							-376.	
6,453.		VERIZON COMMUNICATIONS 5.150% (6,000 PAR PROPERTY TYPE: SECURITIES 6,629.				P	VARIOUS	VARIOUS
							-176.	
18,215.		ARROW ELECTRONICS INC 4.500% (18,000 PAR PROPERTY TYPE: SECURITIES 18,810.				P	VARIOUS	VARIOUS
							-595.	
15,169.		DISH DBS CORP NTS 6.750% (15,000 PAR) PROPERTY TYPE: SECURITIES 16,331.				P	VARIOUS	VARIOUS
							-1,162.	

# **FORM 990-PF - PART IV** **CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P r o p e r t y	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
19,333.		INTL. GAME TECHNOLOGY 5.500% (19,000 PAR) PROPERTY TYPE: SECURITIES 19,722.				P	VARIOUS	VARIOUS
							-389.	
22,347.		KOHL'S CORP 4.750% (21,000 PAR) PROPERTY TYPE: SECURITIES 21,449.				P	VARIOUS	VARIOUS
							898.	
27,886.		L-3 COMM COPRP NTS 5.200% (27,000 PAR) PROPERTY TYPE: SECURITIES 28,961.				P	VARIOUS	VARIOUS
							-1,075.	
29,566.		TESORO CORP 3.375% (29,000 PAR) PROPERTY TYPE: SECURITIES 30,160.				P	VARIOUS	VARIOUS
							-594.	
27,639.		VALMONT INDUSTRIES INC. 6.625% (26,000 P PROPERTY TYPE: SECURITIES 58,495.				P	VARIOUS	VARIOUS
							-30,856.	
23,674.		VERIZON COMMUNICATIONS 5.150% (22,000 PA PROPERTY TYPE: SECURITIES 24,613.				P	VARIOUS	VARIOUS
							-939.	
100,000.		AUTOZONE INC 7.125% (100,000 PAR) PROPERTY TYPE: SECURITIES 114,306.				P	10/22/2015	08/01/2018
							-14,306.	
100,000.		IBM 7.625% (100,000 PAR) PROPERTY TYPE: SECURITIES 121,545.				P	VARIOUS	VARIOUS
							-21,545.	
TOTAL GAIN (LOSS) .....							<u>-764,869.</u>	

ATTACHMENT 1FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	6,620.	6,620.		
TOTALS	<u>6,620.</u>	<u>6,620.</u>		

ATTACHMENT 2FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BROKERAGE FEES	29,685.	29,685.
TOTALS	<u>29,685.</u>	<u>29,685.</u>

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN DIVIDEND TAX	474.	474.
EXCISE TAX ON INVESTMENT INC.	6,048.	6,048.
TOTALS	<u>6,522.</u>	<u>6,522.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSESDESCRIPTION

NONDEDUCTIBLE CONTRIBUTIONS

TOTALS

REVENUE  
AND  
EXPENSES  
PER BOOKS

6,900.

6,900.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>ATTACHMENT 5</u>	
	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
UBS FINANCIAL SERVICES, INC.	489,046.	580,350.
US OBLIGATIONS TOTAL	<u>489,046.</u>	<u>580,350.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
U.S. TRUST	1,027,551.	1,039,816.
FIDELITY INVESTMENTS	54,469.	22,823.
TOTALS	<u>1,082,020.</u>	<u>1,062,639.</u>



ATTACHMENT 7

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIDELITY BROKERAGE SERVICES	3,233,984.	3,072,917.
UBS FINANCIAL SERVICES INC.	4,430,958.	4,237,269.
TOTALS	<u>7,664,942.</u>	<u>7,310,186.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER LIABILITIESDESCRIPTIONENDING  
BOOK VALUE

OTHER LIABILITIES

TOTALS

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 9

	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
--	---	---

	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION
--	---

NAME AND ADDRESS	COMPENSATION
------------------	--------------

JOHN R. STOIKA 333 CLAY, SUITE 4050 HOUSTON, TX 77002	
---	--

PRESIDENT	1.00
-----------	------

RONALD E. RINARD 333 CLAY, SUITE 4050 HOUSTON, TX 77002	
---	--

VICE PRESIDENT	1.00
----------------	------

LAURA P. HOLEMAN 333 CLAY, SUITE 4050 HOUSTON, TX 77002	
---	--

SECRETARY/TREASURER	1.00
---------------------	------

GRAND TOTALS	
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0.
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0.
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0.
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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
STAR OF HOPE MISSION 6897 ARDMORE HOUSTON, TX 77054	NONE PC		TO SUPPORT THE CHARITABLE UNDERTAKING OF THE DONEE	25,000
MAKING IT BETTER 14781 MEMORIAL DR. #25 HOUSTON, TX 77079	NONE PC		TO SUPPORT THE CHARITABLE UNDERTAKING OF THE DONEE	207,800.
TREES OF HOPE 3330 AUDLEY, SUITE 100 HOUSTON, TX 77098	NONE PC		TO SUPPORT THE CHARITABLE UNDERTAKING OF THE DONEE	23,500.
LIVING WATER INTERNATIONAL 4001 GREENBRIAR DRIVE STAFFORD, TX 77477	NONE PC		TO SUPPORT THE CHARITABLE UNDERTAKING OF THE DONEE	9,150.
LIFEHOUSE OF HOUSTON P O. BOX 27127 HOUSTON, TX 77227	NONE PC		TO SUPPORT THE CHARITABLE UNDERTAKING OF THE DONEE	12,650
CHRISTIAN COMMUNITY OUTREACH 201 INVERNESS LANE BROUSSARD, LA 70518	NONE PC		TO SUPPORT THE CHARITABLE UNDERTAKING OF THE DONEE	5,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

ST. JOHN VIANNEY CATHOLIC CHURCH  
625 NOTTINGHAM OAKS TRAIL  
HOUSTON, TX 77079

NONE  
PC

TO SUPPORT THE CHARITABLE UNDERTAKING OF THE  
DONEE

100,000.

TOTAL CONTRIBUTIONS PAID

383,100.