

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation C & R SCHAUF FOUNDATION %UMB BANKna		A Employer identification number 75-3047191
Number and street (or P O box number if mail is not delivered to street address) 1010 GRAND BOULEVARD	Room/suite	B Telephone number (see instructions) (816) 860-7711
City or town, state or province, country, and ZIP or foreign postal code KANSAS CITY, MO 64106		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>2,313,298</u>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	59,560	59,560		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	89,853			
	b Gross sales price for all assets on line 6a <u>868,841</u>				
	7 Capital gain net income (from Part IV, line 2)		89,853		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	149,413	149,413			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	30,096	15,048		15,048
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,850	0		1,850
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,371	1,371		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	33,317	16,419		16,898
	25 Contributions, gifts, grants paid	108,004			108,004
26 Total expenses and disbursements. Add lines 24 and 25	141,321	16,419		124,902	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	8,092				
b Net investment income (if negative, enter -0-)		132,994			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	2		
	2 Savings and temporary cash investments	64,559	137,884	137,884
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	324,127	298,445	289,173
	b Investments—corporate stock (attach schedule)	403,251	384,507	444,118
	c Investments—corporate bonds (attach schedule)	814,398	699,652	676,752
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	719,233	813,174	765,371
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,325,570	2,333,662	2,313,298	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,464,957	2,464,957	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
29 Retained earnings, accumulated income, endowment, or other funds	-139,387	-131,295		
30 Total net assets or fund balances (see instructions)	2,325,570	2,333,662		
31 Total liabilities and net assets/fund balances (see instructions) .	2,325,570	2,333,662		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,325,570
2 Enter amount from Part I, line 27a	2	8,092
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	2,333,662
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,333,662

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	89,853
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	119,137	2,513,549	0.047398
2016	123,127	2,398,036	0.051345
2015	67,623	2,461,956	0.027467
2014	37,807	1,444,615	0.026171
2013	25,830	547,584	0.047171

2 Total of line 1, column (d)	2	0.199552
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.039910
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	2,499,056
5 Multiply line 4 by line 3	5	99,737
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,330
7 Add lines 5 and 6	7	101,067
8 Enter qualifying distributions from Part XII, line 4	8	124,902

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and credits/payments. Total tax due is 430.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of UMB BANKna Telephone no (816) 860-7711
Located at 1010 GRAND BLVD KANSAS CITY MO ZIP+4 64106
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions).
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,472,732
b	Average of monthly cash balances.	1b	64,381
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,537,113
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,537,113
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	38,057
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,499,056
6	Minimum investment return. Enter 5% of line 5.	6	124,953

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	124,953
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	1,330
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,330
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	123,623
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	123,623
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	123,623

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	124,902
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	124,902
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	1,330
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	123,572

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				123,623
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			108,004	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>124,902</u>				
a Applied to 2017, but not more than line 2a			108,004	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				16,898
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				106,725
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> BARTON CO COMMUNITY COLLEGE 245 NE 30 RD GREAT BEND, KS 67530		PC	SCHOLARSHIPS	15,000
FORT HAYS STATE UNIVERSITY FOUNDATION 600 PARK STREET HAYS, KS 67601		PC	SCHOLARSHIPS	15,000
KANSAS WESLEYAN UNIVERSITY 100 E CLAFLIN AVENUE SUITE A SALINA, KS 67401		PC	SCHOLARSHIPS	15,000
SMOKY HILL PUBLIC TELEVISION PO BOX 9 BUNKER HILL, KS 67626		PC	CHARITABLE CONTRIBUTION	63,004
Total			▶ 3a	
<i>b Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 MANNING & NAPIER FUNDS	P	2018-03-26	2018-08-24
1 ABBOTT LABORATORIES	P	2018-01-11	2018-10-11
ABBVIE INC	P	2017-10-12	2018-08-08
ACCENTURE PLC	P	2018-09-13	2018-10-11
BECTON DICKINSON & CO	P	2018-01-02	2018-01-02
BROWN FORMAN CORPORATION	P	2018-04-12	2018-10-11
CARNIVAL CORP	P	2017-07-13	2018-04-12
CELGENE CORP	P	2017-03-09	2018-01-11
CHIPOTLE MEXICAN GRILL	P	2018-03-15	2018-10-11
CITIGROUP	P	2017-10-12	2018-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,307		18,307	0
2,051		1,767	284
12,180		11,965	215
773		861	-88
1,223		1,121	102
1,142		1,389	-247
12,474		13,061	-587
10,342		13,135	-2,793
2,166		1,594	572
4,359		5,494	-1,135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			284
			215
			-88
			102
			-247
			-587
			-2,793
			572
			-1,135

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CME GROUP	P	2018-02-15	2018-10-11
1 FEDEX CORP	P	2017-11-09	2018-10-11
FISERV INC	P	2018-09-13	2018-10-11
GENUINE PARTS CO	P	2018-01-11	2018-06-14
HOME DEPOT	P	2018-05-10	2018-10-11
ILLINOIS TOOL WORKS	P	2017-12-01	2018-05-10
INTEL CORP	P	2017-11-09	2018-09-13
LAUDER ESTEE COS INC	P	2018-04-12	2018-10-11
LILLY ELI & CO	P	2018-08-08	2018-10-11
MONSTER BEVERAGE CORP	P	2017-08-10	2018-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
871		823	48
1,084		1,089	-5
753		811	-58
3,762		4,073	-311
942		936	6
5,833		6,607	-774
10,679		10,686	-7
9,781		11,725	-1,944
1,073		1,025	48
4,754		4,999	-245

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			48
			-5
			-58
			-311
			6
			-774
			-7
			-1,944
			48
			-245

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
NETFLIX COM INC	P	2017-10-12	2018-05-10
1 NIKE INC	P	2018-08-08	2018-10-11
PIONEER NATURAL RESOURCES	P	2017-09-14	2018-09-13
PRAXAIR INC	P	2017-11-09	2018-10-11
PRICE T ROWE GROUP INC	P	2017-10-12	2018-10-11
PRINCIPAL FINANCIAL GROUP	P	2017-04-13	2018-02-15
PROCTER & GABLE CO	P	2018-10-11	2018-12-13
RED HAT INC	P	2018-06-14	2018-10-11
ROYAL CARIBBEAN CRUISES LTD	P	2017-09-14	2018-05-10
SALESFORCE COM	P	2018-02-15	2018-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,606		978	628
1,487		1,615	-128
11,033		9,387	1,646
1,539		1,489	50
10,902		10,406	496
4,381		4,317	64
4,820		3,966	854
4,718		7,043	-2,325
5,891		6,826	-935
1,387		1,129	258

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			628
			-128
			1,646
			50
			496
			64
			854
			-2,325
			-935
			258

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
SCHWAB CHARLES CORP	P	2018-05-10	2018-10-11
1 SHERWIN WILLIAMS CO	P	2017-07-13	2018-05-10
SOUTHWEST AIRLINES	P	2018-05-10	2018-10-11
SYMANTEC CORP	P	2017-03-09	2018-01-11
TARGET CROP	P	2018-07-11	2018-10-11
UNDER ARMOUR INC	P	2018-05-10	2018-09-13
WALMART INC	P	2018-01-11	2018-06-14
MANNING & NAPIER FUNDS RAINIER INTERNATIONAL	P	2017-06-06	2018-08-24
BARD C R INC	P	2016-04-20	2018-01-02
GOVERNEMENT NATIONAL MORTGAGE ASSN	P	2015-01-16	2018-01-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
716		885	-169
5,711		5,332	379
2,285		2,082	203
9,257		9,624	-367
823		778	45
4,504		4,283	221
9,214		10,996	-1,782
38,583		38,583	0
6,668		4,190	2,478
553		582	-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-169
			379
			203
			-367
			45
			221
			-1,782
			0
			2,478
			-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
GOVT NATIONAL MTG ASSN	P	2015-01-16	2018-01-15
1 MOVT NATIONAL MTG ASSN II	P	2015-01-16	2018-01-20
ACTIVISION BLIZZARD INC	P	2016-10-26	2018-08-08
ADOBE INC	P	2017-02-09	2018-10-11
AFLAC INC	P	2015-03-11	2018-10-10
ALTRIA GORUP INC	P	2015-12-09	2018-10-10
AMAZON COM INC	P	2015-07-09	2018-10-11
APPLE INC	P	2016-02-11	2018-10-11
BANK OF AMERICA CORP	P	2017-04-13	2018-10-11
BB&T CORPORATION	P	2015-03-24	2018-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
255		268	-13
2,770		2,929	-159
6,309		4,015	2,294
3,604		1,748	1,856
29,655		30,031	-376
20,602		20,954	-352
3,431		1,157	2,274
3,228		1,401	1,827
1,128		898	230
24,814		25,160	-346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-13
			-159
			2,294
			1,856
			-376
			-352
			2,274
			1,827
			230
			-346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BRISTOL MYERS	P	2015-02-23	2018-05-10
1 RBROADCOM INC	P	2016-08-11	2018-01-11
CARILLON EAGLE SMALL CAP GROWTH FUND	P	2016-11-21	2018-10-09
CATERPILLAR INC	P	2016-10-13	2018-10-11
CBS CORPORATION	P	2015-11-02	2018-04-12
CELEGENE CORP	P	2017-03-09	2018-04-12
CITIGROUP	P	2017-10-12	2018-12-13
CONSTELLATION BRANDS	P	2017-07-13	2018-10-11
COSTAR GROUP	P	2017-09-14	2018-10-11
DANAHER CORP DEL	P	2015-01-09	2018-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,363		8,188	-2,825
6,569		4,312	2,257
2,378		2,035	343
10,206		8,633	1,573
19,819		19,890	-71
451		627	-176
3,610		4,716	-1,106
2,180		1,963	217
9,287		6,873	2,414
1,009		652	357

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,825
			2,257
			343
			1,573
			-71
			-176
			-1,106
			217
			2,414
			357

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
DOUBLELINE TOTAL	P	2013-06-13	2018-10-10
1 EOG RESOURCES	P	2015-04-30	2018-10-11
FACEBOOK INC	P	2015-03-12	2018-10-11
FEDERAL HOME LOAN MORTGAGE	P	2015-02-24	2018-10-10
GARRETT MOTION INC	P	2015-10-16	2018-10-11
GOLDMAN SACHS GROUP	P	2017-01-12	2018-08-08
GOLDMAN SACHS GROUP	P	2015-01-20	2018-10-10
HONEYWELL INTERNATIONAL INC	P	2015-10-16	2018-10-11
ISHARES CORE S&P	P	2016-09-22	2018-03-26
ISHARES CORE S&P	P	2015-01-12	2018-03-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,000		5,372	-372
1,206		989	217
6,850		4,040	2,810
19,563		20,275	-712
116		84	32
7,116		7,318	-202
30,856		31,168	-312
1,538		954	584
20,753		17,124	3,629
42,103		32,560	9,543

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-372
			217
			2,810
			-712
			32
			-202
			-312
			584
			3,629
			9,543

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
ISHARES CORE S&P	P	2014-11-20	2018-03-26
1 JOHNSON & JOHNSON	P	2016-06-08	2018-10-11
JP MORGAN CHASE	P	2015-05-05	1987-05-10
LINCOLN NATIONAL CORP	P	2016-04-27	2018-04-12
MARRIOTT INTL	P	2017-02-09	2018-07-11
MASTERCARD INC	P	2015-03-12	2018-10-11
MCDONALDS CORP	P	2016-04-08	2018-03-15
MERCK & CO	P	2016-11-21	2018-01-11
MFS MID CAP GROWTH FUND CLASS	P	2016-05-18	2018-03-26
MICROSOFT CORP	P	2016-09-15	2018-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,771		34,922	13,849
663		580	83
13,385		7,740	5,645
9,358		7,032	2,326
6,487		4,342	2,145
1,951		894	1,057
5,661		4,478	1,183
6,033		6,545	-512
24,874		19,993	4,881
3,721		2,005	1,716

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13,849
			83
			5,645
			2,326
			2,145
			1,057
			1,183
			-512
			4,881
			1,716

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
MORGAN STANLEY CO	P	2016-08-24	2018-09-13
1 MORGAN STANLEY DTD	P	2017-02-15	2018-04-12
NEXTERA ENERGY INC	P	2015-02-11	2018-10-11
NORTHROP GUMMAN CORP	P	2015-12-09	2018-10-10
NVIDIA CORP	P	2015-12-01	2018-10-11
PRINCIPL FINANCIAL GROUP	P	2016-10-13	2018-02-15
PROCTER & GABLE CO	P	2016-01-28	2018-12-13
PAYTHEON CO	P	2016-01-11	2018-10-11
RESIDEO TECHNOLOGIES	P	2015-10-16	2018-10-29
STRYKER CORP	P	2017-08-10	2018-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,957		5,270	2,687
24,894		25,130	-236
838		561	277
20,046		20,418	-372
1,173		164	1,009
7,510		6,255	1,255
7,712		6,396	1,316
10,667		7,432	3,235
218		203	15
837		720	117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,687
			-236
			277
			-372
			1,009
			1,255
			1,316
			3,235
			15
			117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
THERMO FISHER SCIENTIFIC	P	2015-02-23	2018-10-11
1 UNITED RENTALS	P	2017-03-09	2018-06-14
UNITED STATES TREASURY NOTES	P	2015-01-27	2018-12-18
UNITEDHEALTH GROUP	P	2016-06-08	2018-10-11
US BANCORP	P	2017-01-12	2018-03-15
VISA	P	2016-09-15	2018-07-11
WASTE MGMT INC	P	2016-11-21	2018-10-11
WHIRLPOOL CORP	P	2016-05-25	2018-10-04
VERIZON COMMUNICATIONS	P	2017-02-28	2018-06-21
CAPITAL GAIN DISTRIBUTIONS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,130		656	474
6,582		4,717	1,865
44,225		45,632	-1,407
1,293		702	591
8,261		7,981	280
6,874		4,104	2,770
433		348	85
20,540		21,214	-674
29,974		30,261	-287
24,377			24,377

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			474
			1,865
			-1,407
			591
			280
			2,770
			85
			-674
			-287
			24,377

TY 2018 Accounting Fees Schedule**Name:** C & R SCHAUF FOUNDATION

%UMB BANKna

EIN: 75-3047191

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,850	0		1,850

TY 2018 Applied to Prior Year Election

Name: C & R SCHAUF FOUNDATION

%UMB BANKna

EIN: 75-3047191

Election: PURSUANT TO IRC SEC. 4942(h)(2)AND REG. 53.4942(a)-3(d)
(2), the foundation hereby elects to treat current year qualifying
distributions in excess of the immediately preceding tax year's
undistributed income as being made out of undistributed income
from tax year ending December 2011,\$6,437

TY 2018 Investments Corporate Bonds Schedule**Name:** C & R SCHAUF FOUNDATION

%UMB BANKna

EIN: 75-3047191**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DOUBLELINE TOTAL RETURN BOND FUND	64,728	61,317
METWEST UNCONSTAINED BOND FUND CLASS I	30,416	29,605
FIXED INCOME SECURITIES CORPORATE BONDS	400,632	386,334
RIVERPARK STRATEGIC INCOME	34,018	33,913
ISHARES CORE US AGGREGATE	50,060	48,559
ISHARES IBOXX \$ INVESTEMENT GRADE CORP	50,031	46,595
ISHARES BARCLAYS MBS BOND FUND	69,767	70,429

TY 2018 Investments Corporate Stock Schedule**Name:** C & R SCHAUF FOUNDATION

%UMB BANKna

EIN: 75-3047191**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK	384,507	444,118

TY 2018 Investments Government Obligations Schedule**Name:** C & R SCHAUF FOUNDATION

%UMB BANKna

EIN: 75-3047191**US Government Securities - End
of Year Book Value:**

298,445

**US Government Securities - End
of Year Fair Market Value:**

289,173

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2018 Investments - Other Schedule**Name:** C & R SCHAUF FOUNDATION

%UMB BANKna

EIN: 75-3047191**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VANGUARD INSTITUTIONAL INDEX	FMV	35,751	41,330
DEVELOPED MARKETS INDEX FUND	FMV	213,384	204,564
ISHARE CORE S&P	FMV	82,998	95,064
SEAFARER OVERSEAS GROWTH & INCOME FD	FMV	58,938	52,528
IVY INTERNTL CORE	FMV	239,452	203,196
MFS MID CAP GROWTH	FMV	5,757	6,098
NUANCE MID CAP VALUE	FMV	94,555	90,898
CARILLON EAGLE SMALL CAP GROWTH FUND	FMV	25,449	20,594
MANNING & NAPIER FUNDS	FMV	56,890	51,099

TY 2018 Taxes Schedule**Name:** C & R SCHAUF FOUNDATION

%UMB BANKna

EIN: 75-3047191

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX ON INVESTMENT INCOME	1,371	1,371		0