

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation THE CONVERGENCE INSTITUTE		A Employer identification number 74-2871700	
Number and street (or P O box number if mail is not delivered to street address) 2500 McHale Ct Room 100A		B Telephone number (see instructions) (512) 891-5001	
City or town, state or province, country, and ZIP or foreign postal code AUSTIN, TX 78758		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>2,504,427</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	45,759	45,759		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	41,375			
	b Gross sales price for all assets on line 6a	261,013			
	7 Capital gain net income (from Part IV, line 2)		41,375		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	337,431	337,431			
12 Total. Add lines 1 through 11	424,565	424,565			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	5,905			
	c Other professional fees (attach schedule)	8,309	7,589		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	328			
	23 Other expenses (attach schedule)	363	363		
	24 Total operating and administrative expenses. Add lines 13 through 23	14,905	7,952		
	25 Contributions, gifts, grants paid	0			
26 Total expenses and disbursements. Add lines 24 and 25	14,905	7,952			
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	409,660				
b Net investment income (if negative, enter -0-)		416,613			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	138,749	187,873	187,873
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ <u>1,096,959</u> Less allowance for doubtful accounts ▶ _____	859,204	1,096,959	1,096,959
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	185,002		
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,149,925	1,269,595	1,219,595
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,332,880	2,554,427	2,504,427	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	2,332,880	2,554,427	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	2,332,880	2,554,427		
31 Total liabilities and net assets/fund balances (see instructions) .	2,332,880	2,554,427		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,332,880
2 Enter amount from Part I, line 27a	2	409,660
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	2,742,540
5 Decreases not included in line 2 (itemize) ▶ _____	5	188,113
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,554,427

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	41,375
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	3	586
{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017		465,739	
2016	90,000	592,260	000 151960
2015		2,028,509	
2014	137,756	1,554,880	000 088596
2013	230,505	1,567,996	000 147006

2 Total of line 1, column (d)	2	000 387562
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	000 077512
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	403,335
5 Multiply line 4 by line 3	5	31,263
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,166
7 Add lines 5 and 6	7	35,429
8 Enter qualifying distributions from Part XII, line 4	8	7,589

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Includes sub-table for 2018 estimated tax payments (6a-6d).

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting requirements. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distribution to donor advised funds, public inspection requirements, and books in care of MICHAEL J KIVLIN CPA LLC.

Located at 2615 N 120TH ST WAUWATOSA WI ZIP+4 53226

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in or authority over a bank, securities, or other financial account in a foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements for 2018.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	19,138
b	Average of monthly cash balances.	1b	199,615
c	Fair market value of all other assets (see instructions).	1c	190,724
d	Total (add lines 1a, b, and c).	1d	409,477
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	409,477
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	6,142
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	403,335
6	Minimum investment return. Enter 5% of line 5.	6	20,167

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	20,167
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	8,332
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	8,332
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	11,835
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	11,835
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	11,835

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	0
b	Program-related investments—total from Part IX-B.	1b	7,589
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	7,589
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	7,589

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				11,835
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2018				
a From 2013.	153,135			
b From 2014.				
c From 2015.				
d From 2016.	60,387			
e From 2017.				
f Total of lines 3a through e.	213,522			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 7,589				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				7,589
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	4,246			4,246
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	209,276			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	148,889			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	60,387			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.	60,387			
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Total ▶ 3a				
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 25H DIGITAL RLTY	P	2018-01-10	2018-02-05
1 44SH EXACT SCIENCES CORP	P	2018-02-05	2018-02-26
58SH VANGUARD EXTD MKT	P	2017-07-13	2018-02-26
76SH ISHARES 1-3	P	2018-01-10	2018-02-26
25H SPDR US DVDND ARIST	P	2018-01-10	2018-02-26
35H SPDR DJ WLSHR MID CAP	P	2018-01-10	2018-02-18
65H SPDR PORTFOLIO SP 500	P	2018-01-10	2018-02-26
55H INTUITIVE SURGICAL	P	2018-02-05	2018-02-26
35H ALPS SECTOR DIVIDND	P	2018-01-10	2018-02-05
205SH VANGUARD 500 INDEX	P	2017-07-13	2018-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
216		219	-3
1,848		2,190	-342
6,591		6,095	496
6,345		6,388	-43
187		189	-2
293		312	-19
185		188	-3
2,152		2,064	88
139		141	-2
6,351		5,743	608

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-342
			496
			-43
			-2
			-19
			-3
			88
			-2
			608

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
140SH PROSHARE INVESTMENT	P	2018-02-05	2018-02-26
1 2SH NUCOR	P	2018-01-10	2018-02-26
37SH PG E CORP	P	2018-01-10	2018-02-05
1SH PRICELINE GROUP	P	2018-02-05	2018-02-26
2SH REGENERAN PHARMACICLS	P	2018-01-10	2018-02-05
19SH SALESFORCE COM INC	P	2018-02-05	2018-02-26
5SH SYSCO CORP	P	2018-01-10	2018-02-26
11SH TARGET CORP	P	2018-01-10	2018-02-26
654 743SH LORD ABBETT SHORT DUR	P	2018-01-10	2018-02-26
150 274SH LORD ABBETT TOTAL RETURN FUND	P	2018-01-10	2018-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,854		11,005	-151
136		138	-2
1,537		1,650	-113
1,918		1,864	54
692		733	-41
2,209		2,110	99
300		306	-6
837		777	60
2,757		2,777	-20
1,525		1,551	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-151
			-2
			-113
			54
			-41
			99
			-6
			60
			-20
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15SH VERIZON COM	P	2018-01-10	2018-02-26
1 37SH ADOBE SYSTEMS	P	2016-10-13	2018-02-26
9SH AMAZON COM	P	2016-05-19	2018-02-26
4SH ALPHABET INC	P	2016-12-30	2018-02-26
105SH CITIZENS FINL GROUP	P	2016-12-30	2018-02-26
48SH DANAHER CORP	P	2016-11-10	2018-02-26
38SH DIGITAL RLTY	P	2016-12-30	2018-02-05
51SH DOVER CORP	P	2016-10-20	2018-02-26
34SH FACEBOOK INC	P	2016-07-07	2018-02-26
99SH VANGUARD EXTD	P	2016-11-18	2018-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
729		775	-46
7,512		3,954	3,558
13,104		6,342	6,762
4,549		3,186	1,363
4,818		3,730	1,088
4,781		3,893	888
4,098		3,716	382
5,279		3,837	1,442
6,321		4,013	2,308
10,890		9,292	1,598

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-46
			3,558
			6,762
			1,363
			1,088
			888
			382
			1,442
			2,308
			1,598

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
141SH ISHARES 1-3 YEAR T BOND	P	2016-11-10	2018-02-26
1 45SH SPDR US DIVDND ARIST	P	2016-11-01	2018-02-26
61SH SPDR DJ WLSHR MID CAP	P	2016-11-18	2018-02-26
208SH SPDR PORTFOLIO SP 500	P	2016-11-16	2018-02-26
91SH ALPS SECTOR	P	2016-08-19	2018-02-26
46SH VANGUARD 500 INDEX	P	2016-04-28	2018-02-26
33SH JOHNSON AND JOHNSON	P	2016-11-10	2018-02-26
36SH LAM RESEARCH	P	2016-11-10	2018-02-26
53SH MEDTRONIC PLC	P	2016-12-30	2018-02-26
31SH NETFLIX COM	P	2016-11-03	2018-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,772		11,975	-203
4,213		3,783	430
5,953		5,553	400
6,302		5,457	845
4,231		3,923	308
11,276		9,430	1,846
4,333		3,916	417
6,659		3,408	3,251
4,256		4,248	8
8,308		3,563	4,745

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-203
			430
			400
			845
			308
			1,846
			417
			3,251
			8
			4,745

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
34SH NORFOLK SOUTHERN	P	2016-12-30	2018-02-26
1 62SH NUCOR CORP	P	2016-12-30	2018-02-26
61SH PGE CORP	P	2016-12-30	2018-02-05
25SH PROCTOR GAMBLE	P	2016-11-10	2018-02-05
26SH RAYTHEON CO	P	2016-11-03	2018-02-26
66SH ROYAL DUTCH SHELL PLC	P	2016-10-13	2018-02-26
10SH REGENERAON PHARMATCLS	P	2016-12-30	2018-02-05
40SH SOUTHWEST AIRLNS	P	2016-11-10	2018-02-26
67SH SYSCO CORP	P	2016-12-30	2018-02-26
51SH TARGET	P	2016-12-30	2018-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,908		3,666	1,242
4,202		3,720	482
2,534		3,717	-1,183
2,081		2,128	-47
5,678		3,425	2,253
4,336		3,537	799
3,457		3,724	-267
2,390		1,707	683
4,022		3,725	297
3,884		3,700	184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,242
			482
			-1,183
			-47
			2,253
			799
			-267
			683
			297
			184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
70SH VERIZON COMMUNICATIN	P	2016-12-30	2018-02-26
1 649 856SH VIRTUS KAR SMALL CAP	P	2016-12-30	2018-02-26
3539SH LORD ABBETT SHORT DURATION	P	2016-08-26	2018-02-26
928SH LARD ABBETT TOTAL RETURN	P	2016-08-26	2018-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,401		3,739	-338
19,343		13,160	6,183
14,899		15,383	-484
9,422		9,873	-451

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-338
			6,183
			-484
			-451

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

THOMAS MARTIN

MARY MARTIN

TY 2018 Accounting Fees Schedule**Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 18007340**Software Version:** 19.1.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,905			

TY 2018 Investments Corporate Stock Schedule

Name: THE CONVERGENCE INSTITUTE

EIN: 74-2871700

Software ID: 18007340

Software Version: 19.1.1.0

TY 2018 Investments - Other Schedule**Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 18007340**Software Version:** 19.1.1.0**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FRONTIER NIGHT LIGHT II LP	AT COST	27,361	27,361
HEADSTONG LLC	AT COST	50,000	
INTELLIGENT GENERATION	AT COST	4,902	4,902
HOME EC CONVERTIBLE NOTE	AT COST	1,175,000	1,175,000
REDMONT CAPITAL PARTNERS III LP	AT COST	12,332	12,332

TY 2018 Other Decreases Schedule**Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 18007340**Software Version:** 19.1.1.0

Description	Amount
PARTNERSHIP VALUATION ADJUSTMENTS	188,113

TY 2018 Other Expenses Schedule**Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 18007340**Software Version:** 19.1.1.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	363	363		

TY 2018 Other Income Schedule**Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 18007340**Software Version:** 19.1.1.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INTELLIGENT GENERATION LLC 26-4840030	-10,500	-10,500	
FRONTIER NIGHT LIGHT I LLC 26-18128414	13,254	13,254	
FRONTIER NIGHT LIGHT II LP 26-2540661	17,328	17,328	
SEATTLE PROPERTIES, LLC 27-1674818	317,349	317,349	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2018 Other
Notes/Loans Receivable
Long Schedule**

Name: THE CONVERGENCE INSTITUTE

EIN: 74-2871700

Software ID: 18007340

Software Version: 19.1.1.0

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
NEXTSCREEN LABS LLC	NONE	311,820	263,959	2009-09	2020-09	IN FULL AT MATURITY	6 0000000000 %	COMPANYS ASSETS	WORKING CAPITAL		
NEXTSCREEN CONSULTING LLC	NONE	100,000	258,000	2018-06	2022-06	IN FULL AT MATURITY	6 0000000000 %	COMPANYS ASSETS	WORKING CAPITAL		
WINDING ROAD MEDIA LLC	NONE	100,000	100,000	2015-06	2020-06	IN FULL AT MATURITY	10 0000000000 %	COMPANYS ASSETS	WORKING CAPITAL		
PETER KIVLIN LLC	NONE	475,000	475,000	2016-03	2020-03	IN FULL AT MATURITY	7 0000000000 %	COMPANYS ASSETS	WORKING CAPITAL		

TY 2018 Other Professional Fees Schedule**Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 18007340**Software Version:** 19.1.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	7,589	7,589		
WEBSITE FEES	720			

TY 2018 Taxes Schedule**Name:** THE CONVERGENCE INSTITUTE**EIN:** 74-2871700**Software ID:** 18007340**Software Version:** 19.1.1.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
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