

Form **990-PF**

OMB No 1545 0052

Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending

RUTH NELSON FAMILY FOUNDATION
1350 S. BOULDER AVE, STE 400
TULSA, OK 74119

A Employer identification number
73-1210115

B Telephone number (see instructions)
(918) 582-8083

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 29,548,299

J Accounting method. ☐ Cash ☒ Accrual
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments			N/A	
	4 Dividends and interest from securities	583,061.	583,061.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,666,857.			
	b Gross sales price for all assets on line 6a	16,174,018.			
	7 Capital gain net income (from Part IV, line 2)		3,666,857.		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	SEE STATEMENT 1	841.	841.		
	12 Total Add lines 1 through 11	4,250,759.	4,250,759.		
	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)	SEE ST 2	1,348.		850.
	c Other professional fees (attach sch)	SEE ST 3	253,002.	253,002.	
	17 Interest		3.	3.	
	18 Taxes (attach schedule) (see instrs)	SEE STM 4	26,605.	6,605.	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
SEE STATEMENT 5	81.				
24 Total operating and administrative expenses Add lines 13 through 23	281,039.	259,610.		850.	
25 Contributions, gifts, grants paid	PART XV	5,020,666.		5,020,666.	
26 Total expenses and disbursements Add lines 24 and 25	5,301,705.	259,610.		5,021,516.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-1,050,946.				
b Net investment income (if negative, enter -0-)		3,991,149.			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash — non-interest-bearing	745,067.	1,344,486.	1,344,486.
	2 Savings and temporary cash investments	552,668.	417,949.	417,949.
	3 Accounts receivable 4,210.			
	Less allowance for doubtful accounts	354.	4,210.	4,210.
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	22,294,088.	20,775,084.	27,781,654.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Liabilities	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)	23,592,177.	22,541,729.	29,548,299.
	17 Accounts payable and accrued expenses	850.	1,348.	
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	850.	1,348.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds	26,033,975.	23,591,327.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	-2,442,648.	-1,050,946.	
	30 Total net assets or fund balances (see instructions)	23,591,327.	22,540,381.	
	31 Total liabilities and net assets/fund balances (see instructions)	23,592,177.	22,541,729.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,591,327.
2	Enter amount from Part I, line 27a	2	-1,050,946.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	22,540,381.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	22,540,381.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a	SEE STATEMENT 6			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,666,857.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	4,335,865.	36,459,787.	0.118922
2016	4,240,029.	31,664,257.	0.133906
2015	2,307,861.	38,355,108.	0.060171
2014	6,719,720.	43,761,648.	0.153553
2013	2,259,441.	39,668,635.	0.056958

2	Total of line 1, column (d)	2	0.523510
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.104702
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	36,622,773.
5	Multiply line 4 by line 3	5	3,834,478.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	39,911.
7	Add lines 5 and 6	7	3,874,389.
8	Enter qualifying distributions from Part XII, line 4	8	5,021,516.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	39,911.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3	Add lines 1 and 2	3	39,911.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	39,911.
6	Credits/Payments		
a	2018 estimated tax pmts and 2017 overpayment credited to 2018	6 a	82,367.
b	Exempt foreign organizations – tax withheld at source	6 b	
c	Tax paid with application for extension of time to file (Form 8868)	6 c	
d	Backup withholding erroneously withheld	6 d	
7	Total credits and payments. Add lines 6a through 6d	7	82,367.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	178.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	42,278.
11	Enter the amount of line 10 to be Credited to 2019 estimated tax 42,278. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ <u>0.</u> (2) On foundation managers \$ <u>0.</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ <u>0.</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 1941 through 1945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col. (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered. See instructions <u>OK</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction C</i> ? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

☐ Yes ☒ No

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors <i>(continued)</i>
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3 Five highest paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE ----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
----- ----- -----		
Total number of others receiving over \$50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program related investments made by the foundation during the tax year on lines 1 and 2

1	N/A	
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	31,767,966.
b	Average of monthly cash balances	1 b	2,756,118.
c	Fair market value of all other assets (see instructions)	1 c	2,656,396.
d	Total (add lines 1a, b, and c)	1 d	37,180,480.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	37,180,480.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	557,707.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,622,773.
6	Minimum investment return. Enter 5% of line 5	6	1,831,139.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,831,139.
2a	Tax on investment income for 2018 from Part VI, line 5	2 a	39,911.
b	Income tax for 2018 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	39,911.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,791,228.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,791,228.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,791,228.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	5,021,516.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	5,021,516.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	39,911.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,981,605.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,791,228.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014	3,896,478.			
c From 2015	438,043.			
d From 2016	2,698,266.			
e From 2017	2,551,108.			
f Total of lines 3a through e	9,583,895.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 5,021,516.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2018 distributable amount				1,791,228.
e Remaining amount distributed out of corpus	3,230,288.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,814,183.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	12,814,183.			
10 Analysis of line 9				
a Excess from 2014	3,896,478.			
b Excess from 2015	438,043.			
c Excess from 2016	2,698,266.			
d Excess from 2017	2,551,108.			
e Excess from 2018	3,230,288.			

N/A

- (4) Gross investment income

[illegible]

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 3	NONE	501 (C) (SEE ATTACHMENT 3 3)	SEE ATTACHMENT 3	5,020,666.
Total			3 a	5,020,666.
b Approved for future payment				
Total			3 b	

2018

FEDERAL STATEMENTS

PAGE 1

CLIENT RUTHFND

RUTH NELSON FAMILY FOUNDATION

73-1210115

10/29/19

11 27AM

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	\$ 798.	\$ 798.	
SECURITIES LITIGATION	43.	43.	
TOTAL	<u>\$ 841.</u>	<u>\$ 841.</u>	<u>\$ 0.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	\$ 1,348.			\$ 850.
TOTAL	<u>\$ 1,348.</u>	<u>\$ 0.</u>		<u>\$ 850.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	\$ 253,002.	\$ 253,002.		
TOTAL	<u>\$ 253,002.</u>	<u>\$ 253,002.</u>		<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	\$ 20,000.			
FOREIGN INCOME TAX	6,605.	\$ 6,605.		
TOTAL	<u>\$ 26,605.</u>	<u>\$ 6,605.</u>		<u>\$ 0.</u>

2018

FEDERAL STATEMENTS

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CLIENT RUTHFND

RUTH NELSON FAMILY FOUNDATION

73-1210115

10/29/19

11 27AM

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER DEDUCTIONS - N/D	\$ 81.			
TOTAL	\$ 81.	\$ 0.		\$ 0.

STATEMENT 6
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SEE ATTACHMENT 2	PURCHASED	VARIOUS	VARIOUS
2	FROM BBH WEALTH STRATEGIES ALTAROCK K-1	PURCHASED	VARIOUS	VARIOUS
3	FROM BBH WEALTH STRATEGIES BARES K-1	PURCHASED	VARIOUS	VARIOUS
4	FROM BBH WEALTH STRATEGIES BURGUNDY K-1	PURCHASED	VARIOUS	VARIOUS
5	FROM BBH WEALTH STRATEGIES CLARKSTON K-1	PURCHASED	VARIOUS	VARIOUS
6	FROM BBH WEALTH STRATEGIES REMS K-1	PURCHASED	VARIOUS	VARIOUS
7	FROM BBH WEALTH STRATEGIES SELECT K-1	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	16008970.		12502865.	3506105.				\$ 3506105.
2	7,270.		0.	7,270.				7,270.
3	54,184.		0.	54,184.				54,184.
4	3,814.		0.	3,814.				3,814.
5	16,769.		0.	16,769.				16,769.
6	0.		4,296.	-4,296.				-4,296.
7	83,011.		0.	83,011.				83,011.
							TOTAL	\$ 3666857.

STATEMENT 7
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
RUTH K. NELSON 1350 S. BOULDER AVE, STE 400 TULSA, OK 74119	TRUSTEE 1.00	\$ 0.	\$ 0.	\$ 0.
MICHAEL S. NELSON 1350 S. BOULDER AVE, STE 400 TULSA, OK 74119	TRUSTEE 1.00	0.	0.	0.

2018

FEDERAL STATEMENTS

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RUTH NELSON FAMILY FOUNDATION

73-1210115

10/29/19

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STATEMENT 7 (CONTINUED)
 FORM 990-PF, PART VIII, LINE 1
 LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
PAMELA B. NELSON 1350 S. BOULDER AVE, STE 400 TULSA, OK 74119	TRUSTEE 1.00	\$ 0.	\$ 0.	\$ 0.
RANDOLPH M. NELSON 1350 S. BOULDER AVE, STE 400 TULSA, OK 74119	TRUSTEE 1.00	0.	0.	0.
TIMOTHY B. NELSON 1350 S BOULDER AVE., SUITE 400 TULSA, OK 74119	TRUSTEE 0	0.	0.	0.
THOMAS W. MURPHY 1350 S BOULDER AVE., SUITE 400 TULSA, OK 74119	TRUSTEE 0	0.	0.	0.
TOTAL		\$ 0.	\$ 0.	\$ 0.

STATEMENT 8
 FORM 990-PF, PART XV, LINE 2A-D
 APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:
 NAME: 918-582-8083
 CARE OF:
 STREET ADDRESS: 1350 S BOULDER AVE, SUITE 400
 CITY, STATE, ZIP CODE: TULSA, OK 74119
 TELEPHONE:
 E-MAIL ADDRESS:
 FORM AND CONTENT: NO STANDARD FORM
 SUBMISSION DEADLINES:
 RESTRICTIONS ON AWARDS:

RUTH NELSON FAMILY FOUNDATION
MARKETABLE SECURITIES SUMMARY - COST vs FMV
FYE DECEMBER 31, 2018

DESCRIPTION	NUMBER OF SHARES	COST	FMV
BROWN BROTHERS	375,911	2,879,432	3,542,286
NEUBERGER BERMAN	236,843	15,389,647	21,582,972
GRAND TOTAL	612,754	18,269,079	25,125,258
TOTAL BROWN BROTHERS PARTNERSHIPS	2,205,000	2,506,005	2,656,396

RUTH NELSON FAMILY FOUNDATION
MARKETABLE SECURITIES SUMMARY - BROWN BROTHERS
FYE DECEMBER 31, 2018

DESCRIPTION	Number of Shares	COST	FMV
ALLEGION PLC-COM STK	475	39,282	37,862
ALPHABET INC CL C	113	32,809	117,024
BBH HITCHWOOD CAP PARTNERS	230,000	230,000	240,565
BBH LIMITED DURATION FUND CL I	90,425	912,703	915,106
BBH INTERNATIONAL EQUITY FUND CL I	11,916	179,195	155,869
BERKSHIRE HATHAWAY INC-CL B	1,100	84,330	224,598
TOTAL BROWN BROTHERS PARTNERSHIPS	425	19,521	20,222
CELANESE CORP	475	19,145	42,736
COMCAST CORPORATION CL A	3,350	29,581	114,068
COPART INC COM	1,025	52,168	48,975
DIAGEO PLC SPONSORED ADR	450	25,537	63,810
DISCOVERY INC-C	1,925	50,112	44,429
DOLLAR GENERAL CORP COM	321	32,776	34,694
FLEETCOR TECHNOLOGIES INC COM	519	72,916	96,389
HENRY SCHEIN INC COM	800	41,438	62,816
KLA-TENCOR CORP	300	29,697	26,847
KROGER CO COM	2,217	48,478	60,968
LIBERTY GLOBAL PLC SERIES C	1,669	51,382	34,448
LINDE PLC COM	380	62,113	59,295
LONGLEAF PARTNERS SMALL CAP FD	7,576	200,030	167,424
NESTLE S A SPONSORED ADR	485	20,616	39,266
NOVARTIS AG SPON ADR	1,550	78,411	133,006
ORACLE CORP	3,148	123,629	142,132
PAYPAL HOLDINGS INC	520	3,693	43,727
PERRIGO CO PLC COM	812	80,174	31,465
QUALCOMM INC	1,373	79,439	78,137
QURATE RETAIL GROUP INC COM STK	2,776	30,081	54,188
SABRE CORP COM	2,047	43,399	44,297
UNILEVER N V-NY	750	28,658	40,350
US BANCORP	4,325	100,161	197,653
WASTE MANAGEMENT INC	358	9,583	31,858
WELLS FARGO	1,500	44,888	69,120
ZOETIS INC COM	806	23,489	68,945
TOTAL BROWN BROTHERS	375,911	2,879,432	3,542,286

RUTH NELSON FAMILY FOUNDATION
MARKETABLE SECURITIES SUMMARY - NEUBERGER BERMAN
FYE DECEMBER 31, 2018

DESCRIPTION	Number of Shares	COST	FMV
ALPHABET INC CL C	750	529,537	776,708
AMAZON COM INC	249	371,334	373,991
ANALOG DEVICES INC COM	6,525	555,879	560,041
APPLE INC	4,798	166,369	756,837
APTIV PLC COM NEW	6,950	589,351	427,912
ASML HOLDING N V	2,825	314,727	439,627
TOTAL BROWN BROTHERS PARTNERSHIPS	42,856	226,749	3,142,630
CABOT OIL & GAS CP COM	25,250	450,991	564,338
CBOE GLOBAL MARKETS INC	2,300	221,537	225,009
CDW CORP COM	4,375	299,360	354,594
CHUBB LTD	3,775	439,624	487,655
CHURCH & DWIGHT CO INC	8,650	339,017	568,824
CIGNA CORPORATION COM	2,625	554,911	498,540
CME GROUP INC	2,025	144,515	380,943
CONCHO RES INC	3,975	547,739	408,590
CVS HEALTH CORP COM	10,250	923,619	671,580
DANAHER CORP	5,675	207,998	585,206
EDWARDS LIFESCIENCES CORP	3,200	340,578	490,144
EOG RES INC	6,575	402,264	573,406
EQUIFAX INC	5,525	654,109	514,543
FEDEX CORP	1,450	357,728	233,929
FIDELITY NATIONAL INFORMATION SERV	3,400	212,673	348,670
FISERV INC	4,565	54,770	335,482
HOME DEPOT INC COM	3,825	674,256	657,212
INTUIT INC	2,250	445,449	442,913
IPG PHOTONICS CORP	3,600	456,879	407,844
JOHNSON & JOHNSON	4,925	495,753	635,571
JPMORGAN CHASE & CO	10,000	474,266	976,200
LENNAR CORP A	6,850	224,872	268,178
MARRIOTT INTL INC CL A	5,350	651,495	580,796
MCDONALDS CORP	3,125	492,911	554,906
MOODYS	4,625	457,068	647,685
MOTOROLA SOLUTIONS INC	5,025	351,077	578,076
ROPER INDUSTRIES INC NEW	1,375	46,651	366,465
TEXAS INSTRUMENTS INC COM	4,000	331,155	378,000
TRANSUNION COM	12,975	475,771	736,980
WESTERN DIGITAL CORP	6,600	529,135	244,002
ZIMMER BIOMET HLDGS INC COM	3,750	377,533	388,950
TOTAL NEUBERGER BERMAN	236,843	15,389,647	21,582,972

RUTH NELSON FAMILY FOUNDATION
INVESTMENT OTHER - BROWN BROTHERS
FYE DECEMBER 31, 2018

DESCRIPTION	Number of Shares	COST	FMV
PARTNERSHIPS			
BBH WEALTH STRTG LLC ALTAROCK	250,000	267,670	349,443
BBH WEALTH STRTG LLC BARES	450,000	497,980	625,651
BBH WEALTH STRTG LLC BURGUNDY	295,000	316,143	309,265
BBH WEALTH STRTG LLC CEDAR ROCK	230,000	234,136	213,755
BBH WEALTH STRTG LLC CLARKSTON	200,000	229,962	247,755
BBH WEALTH STRTG LLC SELECT EQUITY	780,000	960,114	910,527
TOTAL PARTNERSHIPS	2,205,000	2,506,005	2,656,396

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) SUMMARY
FYE DECEMBER 31, 2018

DESCRIPTION	PROCEEDS	COST BASIS	GAIN(LOSS)
<u>STOCK SALES</u>			
BROWN BROTHERS	491,301	339,483	151,818
NEUBERGER BERMAN	12,361,108	9,166,111	3,194,997
ROCHDALE	3,125,507	2,997,270	128,237
<u>CAPITAL GAIN DISTRIBUTIONS</u>			
BROWN BROTHERS	28,753		28,753
ROCHDALE	2,301		2,301
GRAND TOTAL SECURITIES SALES	16,008,970	12,502,864	3,506,105

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) ON SALE OF ASSETS
FYE DECEMBER 31, 2018

# Shs	Description	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain
BROWN BROTHERS						
80	Paypal Holdings Inc-Com	01/23/09	01/05/18	6,290	568	5,721
70	Paypal Holdings Inc-Com	01/15/16	01/05/18	5,503	2,252	3,252
150	Microsoft Corp	11/07/08	01/22/18	13,655	3,212	10,443
2	Alphabet Inc Cl C	03/12/12	01/26/18	2,331	597	1,734
3	Alphabet Inc Cl C	11/23/16	01/26/18	3,497	2,278	1,219
8	Alphabet Inc Cl C	11/30/16	01/26/18	9,324	6,085	3,239
75	Microsoft Corp	11/07/08	01/31/18	7,068	1,606	5,462
121	Zoetis Inc Com Stk	02/11/14	01/31/18	9,301	3,553	5,748
54	Zoetis Inc Com Stk	02/11/14	02/01/18	4,170	1,586	2,584
19	Microsoft Corp	11/07/08	02/16/18	1,774	407	1,367
81	Microsoft Corp	11/07/08	02/21/18	7,546	1,734	5,812
130	Microsoft Corp	11/07/08	04/18/18	12,545	2,783	9,762
125	Paypal Holdings Inc Com	01/23/19	06/12/18	10,672	888	9,785
25	Celanese Corp Ser A	05/11/12	06/26/18	2,767	1,125	1,642
75	Celanese Corp Ser A	02/08/16	06/26/18	8,302	4,171	4,132
125	Unilever NV NY	11/11/16	06/26/18	6,889	4,968	1,921
350	Berkshire Hathaway Inc Cl B	09/08/08	06/26/18	65,292	27,808	37,484
25	Fleetscor Technologies Inc Com	11/29/16	06/26/18	5,278	3,755	1,523
50	Praxair Inc	11/14/16	06/26/18	7,696	5,837	1,859
75	Waste Management Inc	04/14/09	06/26/18	6,109	2,008	4,101
225	Diageo Plc Sponsored Adr	10/06/08	06/26/18	32,109	13,433	18,675
50	Diageo Plc Sponsored Adr	10/08/08	06/26/18	7,135	2,994	4,142
351	Nielsen Holdings Plc	12/21/16	08/03/18	7,808	15,137	(7,328)
274	Nielsen Holdings Plc	02/01/17	08/06/18	6,072	11,559	(5,487)
309	Nielsen Holdings Plc	12/06/17	08/07/18	6,771	12,744	(5,973)
141	Nielsen Holdings Plc	12/08/17	08/08/18	3,065	5,769	(2,705)
450	Nielsen Holdings Plc	01/22/18	08/09/18	9,872	17,189	(7,317)
178	Nielsen Holdings Plc	03/27/18	08/10/18	3,913	5,986	(2,073)
372	Nielsen Holdings Plc	05/03/18	08/14/18	9,686	11,588	(1,902)
75	Dentsply Sirona Inc Com	03/27/18	09/04/18	2,961	3,774	(813)
143	Dentsply Sirona Inc Com	04/03/18	09/04/18	5,646	7,010	(1,364)
44	Dentsply Sirona Inc Com	04/06/18	09/05/18	1,741	2,155	(414)
13	Dentsply Sirona Inc Com	05/15/18	09/05/18	514	637	(122)
50	Dentsply Sirona Inc Com	05/17/18	09/05/18	1,979	2,449	(471)
132	Dentsply Sirona Inc Com	05/17/18	09/12/18	5,116	6,462	(1,346)
118	Dentsply Sirona Inc Com	05/18/18	09/13/18	4,571	5,684	(1,114)
127	Discovery Inc-C	08/10/15	10/01/18	3,841	3,541	300
20	Discovery Inc-C	08/07/15	10/17/18	605	551	54
9	Discovery Inc-C	08/10/15	10/17/18	272	251	21
114	Discovery Inc-C	08/18/15	10/17/18	3,447	3,158	289

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) ON SALE OF ASSETS
FYE DECEMBER 31, 2018

# Shs	Description	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain
92	Discovery Inc-C	08/19/15	10/17/18	2,782	2,536	246
213	Discovery Inc-C	08/07/15	10/18/18	6,442	5,865	577
275	Praxair Inc	08/01/12	10/31/18	44,950	28,439	16,511
75	Praxair Inc	01/03/17	10/31/18	12,259	8,734	3,525
30	Praxair Inc	10/23/18	10/31/18	4,904	4,882	22
17	Dentsply Sirona Inc Com	11/21/08	11/16/18	633	423	210
25	Dentsply Sirona Inc Com	05/15/18	11/16/18	931	1,193	(262)
107	Dentsply Sirona Inc Com	11/21/08	11/21/18	3,965	2,662	1,303
76	Dentsply Sirona Inc Com	11/21/08	11/26/18	2,811	1,891	920
67	Dentsply Sirona Inc Com	11/21/08	11/27/18	2,485	1,667	818
369	Kroger Co Com	03/12/18	12/06/18	10,905	8,730	2,176
106	Kroger Co Com	03/14/18	12/06/18	3,133	2,502	631
447	Wells Fargo & Co	01/24/11	12/24/18	19,759	14,565	5,194
300	Wells Fargo & Co	02/14/13	12/24/18	13,261	10,568	2,693
703	Wells Fargo & Co	01/24/11	12/26/18	31,197	22,906	8,291
400	Wells Fargo & Co	04/11/11	12/26/18	17,751	12,631	5,120
Subtotal Brown Brothers				491,301	339,483	151,818

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) ON SALE OF ASSETS
FYE DECEMBER 31, 2018

# Shs	Description	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain
NEUBERGER BERMAN						
77	Apple Inc	04/12/10	01/02/18	13,186	2,670	10,516
73	Apple Inc	05/05/10	01/02/18	12,501	2,656	9,846
2,550	Danaher Corp Com	08/31/17	01/02/18	235,482	210,847	24,635
2,150	Lennar Corp Cl A	03/07/13	01/02/18	137,491	87,414	50,077
600	Lennar Corp Cl A	07/08/13	01/02/18	38,369	19,697	18,673
2,375	Lowes Cos Inc Com	06/22/17	01/02/18	218,874	186,963	31,911
3,500	Nike Inc Cl B	06/06/16	01/02/18	221,681	190,369	31,313
43	Lennar Corp Cl B	03/07/13	01/03/18	2,349	1,480	870
149	Lennar Corp Cl B	07/08/13	01/03/18	8,141	4,140	4,000
1,500	Delphi Technologies Plc Com	11/20/17	01/12/18	88,565	80,546	8,018
575	ASML Holding NVNY	07/16/14	01/17/18	114,052	50,741	63,311
2,650	Nike Inc Cl B	06/06/16	01/25/18	179,606	144,136	35,470
75	Alphabet Inc Cap Stk Cl C	02/26/16	01/29/18	88,398	52,954	35,445
250	Alphabet Inc Cap Stk Cl C	04/22/16	01/29/18	294,662	180,338	114,324
100	Motorola Solutions Inc Com New	05/12/16	02/02/18	10,518	7,074	3,444
1,900	Motorola Solutions Inc Com New	05/13/16	02/02/18	199,837	134,820	65,016
1,650	Fidelity National Information Svcs Inc	03/11/16	02/08/18	156,683	103,244	53,440
450	Fidelity National Information Svcs Inc	03/14/16	02/08/18	42,732	28,157	14,575
825	Edwards Lifesciences Corp	10/31/16	02/26/18	112,662	78,382	34,280
2,950	Dentsply Sirona Inc Com	10/01/15	02/28/18	166,974	150,472	16,503
900	Dentsply Sirona Inc Com	08/09/16	02/28/18	50,941	54,941	-3,999
900	Dentsply Sirona Inc Com	08/10/16	02/28/18	50,941	55,039	-4,097
2,350	Dentsply Sirona Inc Com	10/04/17	02/28/18	133,014	132,682	332
600	ASML Holding NVNY	06/16/14	03/12/18	126,723	52,947	73,776
125	ASML Holding NVNY	06/17/14	03/12/18	26,401	10,801	15,600
1,600	CDW Corp Com	02/07/18	03/15/18	119,263	109,480	9,783
475	Intuit Com	05/23/13	04/19/18	85,235	27,835	57,400
1,375	Motorola Solutions Inc Com	05/12/16	04/19/18	152,431	97,265	55,167
125	Transunion Com	01/24/17	04/19/18	7,523	4,053	3,470
2,500	Transunion Com	09/13/17	04/19/18	124,890	88,327	36,563
2,075	Transunion Com	09/14/17	04/19/18	150,470	108,968	41,502
87	Dentsply Sirona Inc Com	10/01/15	05/07/18	4,179	4,438	-259
2,475	Intuit Com	05/23/13	05/11/18	481,916	145,035	336,881
6,125	Devon Energy Corp New	11/24/17	05/14/18	252,945	234,472	18,473
362	Dentsply Sirona Inc Com	08/11/11	05/16/18	17,400	8,627	8,773
597	Dentsply Sirona Inc Com	08/23/11	05/16/18	28,696	13,603	15,092
910	Dentsply Sirona Inc Com	10/01/15	05/16/18	43,740	46,417	-2,676
762	Dentsply Sirona Inc Com	08/23/11	05/17/18	36,577	17,363	19,214
11,400	Comcast Corp New Cl A	10/23/14	05/24/18	360,309	303,294	57,016
1,100	Comcast Corp New Cl A	02/02/15	05/24/18	34,767	29,000	5,767

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) ON SALE OF ASSETS
FYE DECEMBER 31, 2018

# Shs	Description	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain
2,100	Comcast Corp New Cl A	09/20/17	05/24/18	66,373	78,563	-12,190
425	Moodys Corp	08/30/13	06/11/18	75,744	26,970	48,774
2,875	Edwards Lifesciences Corp	10/31/16	06/14/18	434,645	273,148	161,497
3,325	Devon Energy Corp New	11/22/17	06/28/18	144,724	126,453	18,271
475	Devon Energy Corp New	11/24/17	06/28/18	20,675	18,184	2,491
1,175	EOG Resources Inc	12/19/11	06/28/18	145,047	56,460	88,587
4,000	EOG Resources Inc	02/25/13	06/28/18	493,776	250,927	242,849
1,052	Dentsply Sirona Inc Com	08/23/11	07/16/18	47,133	23,971	23,163
2,124	Dentsply Sirona Inc Com	08/23/11	07/16/18	95,163	48,397	46,766
2,250	Nike Inc Cl B	06/06/16	07/16/18	174,895	122,380	52,515
1,950	Nike Inc Cl B	06/16/16	07/16/18	151,576	103,824	47,751
950	Nike Inc Cl B	08/31/17	07/16/18	73,845	50,176	23,669
2,575	Facebook Inc Com Cl A	03/19/18	07/26/18	454,778	446,527	8,250
1,225	Facebook Inc Com Cl A	04/26/18	07/26/18	216,351	213,041	3,309
1,025	Moodys Corp	08/30/13	07/26/18	189,963	65,045	124,918
1,850	Devon Energy Corp New	11/22/17	08/02/18	80,997	70,357	10,639
3,975	Fiserv Inc	08/22/07	08/09/18	313,184	47,692	265,493
1,475	Lowes Cos Inc Com	06/22/17	08/22/18	157,821	116,114	41,708
725	CME Group Inc Com Cl A	01/30/14	08/27/18	124,187	53,850	70,336
225	CME Group Inc Com Cl A	02/28/14	08/27/18	38,541	16,594	21,947
1,325	FedEx Corp Com	03/12/18	09/18/18	323,908	326,889	-2,981
1,250	Lowes Cos Inc Com	06/22/17	09/21/18	146,195	98,402	47,794
1,650	Athene Holding Ltd Com	04/05/17	10/04/18	86,070	84,115	1,955
1,850	Athene Holding Ltd Com	04/13/17	10/04/18	96,503	96,043	460
1,300	Athene Holding Ltd Com	04/17/17	10/04/18	67,813	68,814	-1,001
400	Athene Holding Ltd Com	04/18/17	10/04/18	20,866	21,244	-378
50	Athene Holding Ltd Com	04/19/17	10/04/18	2,608	2,663	-55
100	Athene Holding Ltd Com	04/20/17	10/04/18	5,216	5,324	-108
150	CME Group Inc Com Cl A	02/28/14	10/04/18	27,107	11,063	16,044
100	Zimmer Biomet Hldgs Inc Com	11/23/16	10/04/18	12,856	10,089	2,768
450	Zimmer Biomet Hldgs Inc Com	01/05/17	10/04/18	57,854	47,139	10,715
200	Athene Holding Ltd Com	04/05/17	10/05/18	10,387	10,196	191
1,500	Athene Holding Ltd Com	04/05/17	10/08/18	77,906	76,468	1,437
3,525	Devon Energy Corp New	11/22/17	10/08/18	137,322	134,059	3,263
4,550	Devon Energy Corp New	02/21/18	10/08/18	177,253	143,918	33,335
2,725	Athene Holding Ltd Com	04/05/17	10/09/18	141,358	138,918	2,441
300	Athene Holding Ltd Com	05/25/17	10/09/18	15,562	14,864	698
650	Athene Holding Ltd Com	05/25/17	10/10/18	33,363	32,206	1,157
2,100	CDW Corp Com	02/07/18	10/25/18	170,183	143,693	26,491
875	CME Group Inc Com Cl A	02/28/14	10/25/18	156,082	64,532	91,550
1,500	McDonalds Corp	07/16/18	10/25/18	264,288	237,785	26,503

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) ON SALE OF ASSETS
FYE DECEMBER 31, 2018

# Shs	Description	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain
1,000	CDW Corp Com	02/07/18	10/31/18	89,196	68,425	20,771
450	Church & Dwight Inc	08/31/17	11/01/18	29,104	22,474	6,630
2,600	Church & Dwight Inc	11/02/17	11/01/18	168,159	117,986	50,173
1,650	Church & Dwight Inc	11/16/17	11/01/18	106,716	74,380	32,337
1,750	Church & Dwight Inc	01/14/16	11/02/18	114,829	68,587	46,242
2,000	Church & Dwight Inc	11/06/17	11/02/18	131,233	87,110	44,123
750	Church & Dwight Inc	11/16/17	11/02/18	49,212	33,809	15,404
2,400	Universal Display Corp	05/30/18	11/07/18	236,433	241,566	-5,132
400	Lowes Cos Inc Com	06/22/17	11/15/18	37,116	31,488	5,628
1,600	Lowes Cos Inc Com	06/26/17	11/15/18	148,465	122,668	25,797
1,100	Lowes Cos Inc Com	07/24/17	11/15/18	102,069	81,621	20,448
100	CME Group Inc Com Cl A	02/28/14	11/19/18	19,546	7,375	12,171
325	CME Group Inc Com Cl A	06/19/14	11/19/18	63,526	23,194	40,332
100	CBOE Global Markets Inc	10/09/18	11/21/18	10,838	10,122	716
300	CBOE Global Markets Inc	10/10/18	11/21/18	32,513	30,625	1,888
850	Comerica Inc	01/11/18	11/21/18	67,696	78,283	-10,587
1,175	Comerica Inc	01/25/18	11/21/18	93,579	111,576	-17,997
650	Comerica Inc	01/11/18	11/23/18	50,832	59,863	-9,032
100	CBOE Global Markets Inc	10/09/18	11/26/18	10,802	10,122	679
2,200	Comerica Inc	01/11/18	11/26/18	175,682	202,614	-26,933
1,150	Comerica Inc	02/08/18	11/26/18	91,834	104,048	-12,214
500	CBOE Global Markets Inc	10/09/18	11/27/18	53,970	50,611	3,359
775	Edwards Lifesciences Corp	10/11/18	11/29/18	125,626	109,524	16,102
100	CBOE Global Markets Inc	10/09/18	11/30/18	10,800	10,122	678
600	CBOE Global Markets Inc	10/09/18	12/03/18	63,355	60,733	2,622
400	CBOE Global Markets Inc	10/08/18	12/04/18	42,491	39,420	3,071
500	CBOE Global Markets Inc	10/08/18	12/11/18	53,047	49,275	3,772
200	CBOE Global Markets Inc	10/08/18	12/12/18	21,199	19,710	1,489
Subtotal Neuberger Berman				12,361,108	9,166,111	3,194,997

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) ON SALE OF ASSETS
FYE DECEMBER 31, 2018

# Shs	Description	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain
ROCHDALE						
90	Lennox Intl Inc Com	05/06/15	02/21/18	18,508	9,596	8,912
40	Lennox Intl Inc Com	02/04/16	02/21/18	8,226	4,783	3,443
1,307	Gramercy PPTY Tr Com New	08/20/15	02/22/18	28,731	29,505	(774)
660	PPL Corp Com	01/28/15	02/22/18	19,579	21,965	(2,387)
220	PPL Corp Com	03/04/15	02/22/18	6,526	6,845	(319)
140	General Mills Inc Com	04/07/15	03/27/18	6,355	7,874	(1,519)
260	General Mills Inc Com	04/28/15	03/27/18	11,803	14,630	(2,827)
110	General Mills Inc Com	02/22/14	03/27/18	4,994	6,702	(1,708)
140	General Mills Inc Com	10/31/17	03/27/18	6,355	7,284	(928)
430	Hasbro Inc Com	01/28/15	04/20/18	35,807	23,679	12,128
280	Altria Group Inc Com	02/23/15	04/23/18	15,812	15,573	240
170	Philip Morris Intl Inc Com	01/28/15	04/23/18	14,182	13,787	395
410	LLC PPTYS Inc Com	12/17/15	04/24/18	14,350	16,944	(2,594)
170	LLC PPTYS Inc Com	01/29/18	04/24/18	5,950	6,930	(980)
160	Sysco Corp Com	02/03/15	05/30/18	10,561	6,300	4,261
130	Six Flags Entmt Corp New Com	12/17/15	06/13/18	9,283	6,943	2,340
70	Epr Pptys Com Sh Ben Int	02/26/16	07/09/18	4,593	4,756	(163)
90	Pfizer Inc Com	05/17/18	08/20/18	3,789	3,219	570
	Vector Group Ltd Com - Cash in Lieu		09/18/18	9	0	9
80	Eversource Energy Com	09/22/15	11/05/18	5,023	4,041	981
130	National Retail Pptys Inc Com	06/13/17	11/05/18	6,201	5,022	1,179
809	Vector Group Ltd Com	02/06/18	11/27/18	10,555	12,723	(2,167)
338	Vector Group Ltd Com	03/23/17	11/27/18	4,410	4,941	(531)
23,313	City National Rochdale Fixed Cl N	12/29/15	11/28/18	570,003	606,465	(36,462)
1,876	City National Rochdale Fixed Cl N	12/27/16	11/28/18	45,861	47,001	(1,140)
1,085	City National Rochdale Fixed Cl N	09/07/17	11/28/18	26,523	27,648	(1,125)
1,456	City National Rochdale Fixed Cl N	09/21/18	11/28/18	35,611	36,489	(878)
10,730	Fiera Capital Emerging Mkts Inst Cl	04/24/15	11/28/18	446,808	443,693	3,116
748	Fiera Capital Emerging Mkts Inst Cl	12/20/17	11/28/18	31,127	38,440	(7,313)
5,241	Tweedy Browne Global Value Fd	12/30/15	11/28/18	144,617	139,024	5,592
167	Tweedy Browne Global Value Fd	12/26/16	11/28/18	4,608	4,151	457
79	Tweedy Browne Global Value Fd	12/27/17	11/28/18	2,180	2,239	(59)
150	Eaton Corporation Plc	11/27/15	11/28/18	11,433	11,296	137
470	AT&T Inc Com	02/11/18	11/28/18	14,346	16,154	(1,808)
210	AT&T Inc Com	03/24/18	11/28/18	6,410	7,012	(602)
140	AT&T Inc Com	05/09/17	11/28/18	4,273	5,383	(1,110)
240	AT&T Inc Com	05/08/18	11/28/18	7,326	7,620	(294)
150	Altria Group Inc Com	02/23/15	11/28/18	8,088	8,343	(255)
150	Altria Group Inc Com	04/07/15	11/28/18	8,088	7,800	288
400	American Electric Power Co	06/26/15	11/28/18	30,435	21,194	9,241
70	American Electric Power Co	12/28/17	11/28/18	5,326	5,179	147
1,210	Ares Cap Corp Com	05/01/17	11/28/18	20,620	21,239	(619)

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) ON SALE OF ASSETS
FYE DECEMBER 31, 2018

# Shs	Description	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain
250	Ares Cap Corp Com	01/18/18	11/28/18	4,260	4,019	241
440	Avangrid Inc Com	03/15/16	11/28/18	21,624	17,156	4,469
520	B&G Foods Inc New	02/11/15	11/28/18	15,740	14,745	994
160	B&G Foods Inc New	03/24/15	11/28/18	4,843	4,358	485
180	B&G Foods Inc New	05/15/18	11/28/18	5,448	4,842	606
410	BB&T Corp Com	02/22/18	11/28/18	20,735	22,593	(1,858)
300	Cincinnati Finl Corp Com	02/11/15	11/28/18	24,166	15,492	8,674
570	Coca Cola Company	02/26/16	11/28/18	28,246	24,675	3,571
160	Coca Cola Company	02/09/17	11/28/18	7,929	6,596	1,332
200	Crown Castle Intl Corp New Com	11/07/17	11/28/18	22,296	22,169	128
270	Dominion Energy Inc Com	10/01/15	11/28/18	19,662	18,686	976
70	Dominion Energy Inc Com	04/02/18	11/28/18	5,098	4,711	386
260	Duke Energy Corp New Com	03/04/15	11/28/18	22,654	20,058	2,597
200	EPR Pptys Com Sh Ben Int	05/05/15	11/28/18	14,188	10,793	3,395
140	EPR Pptys Com Sh Ben Int	03/07/18	11/28/18	9,932	8,703	1,229
350	Eversource Energy Com	06/08/18	11/28/18	23,489	17,680	5,809
120	Gallagher Arther J & Co	04/24/18	11/28/18	9,303	8,443	860
110	Gallagher Arther J & Co	09/24/18	11/28/18	8,528	8,308	220
370	Healthcare Tr Amer Inc Cl A New	04/21/15	11/28/18	10,290	9,734	556
410	Healthcare Tr Amer Inc Cl A New	05/05/15	11/28/18	11,403	10,082	1,321
570	Huntington Bancshares	04/24/18	11/28/18	8,306	8,533	(227)
560	Huntington Bancshares	05/17/18	11/28/18	8,160	8,623	(463)
270	Intel Corp Com	12/21/16	11/28/18	13,066	10,045	3,021
310	Intel Corp Com	02/10/17	11/28/18	15,002	10,971	4,030
650	Invesco Exchange Traded Fd Tr II	07/14/16	11/28/18	8,899	9,982	(1,083)
770	Invesco Exchange Traded Fd Tr II	06/28/17	11/28/18	10,542	11,679	(1,138)
1,390	Ishares Tr Core S&P Mid-Cap Eft	06/09/17	11/28/18	257,884	236,137	21,748
180	Kimberly Clark Corp	07/30/15	11/28/18	20,530	20,658	(128)
40	Kimberly Clark Corp	05/30/18	11/28/18	4,562	4,189	373
280	Lamar Advertising Co New Cl A	01/25/15	11/28/18	21,103	15,297	5,806
70	Lamar Advertising Co New Cl A	10/14/15	11/28/18	5,276	3,775	1,500
610	Liberty Pptys Tr Shs Ben Int	02/23/15	11/28/18	26,899	23,330	3,569
190	Liberty Pptys Tr Shs Ben Int	03/24/15	11/28/18	8,378	6,884	1,494
90	Lockheed Martin Corp Com	10/01/15	11/28/18	26,948	18,441	8,507
170	Merck & Co Inc New Com	12/21/16	11/28/18	13,073	10,166	2,907
240	Merck & Co Inc New Com	03/20/17	11/28/18	18,456	14,550	3,906
360	Mid-Amer Apt Cmnty Inc Com	08/20/15	11/28/18	36,673	30,107	6,566
710	National Retail Pptys Inc Com	04/25/17	11/28/18	35,109	27,479	7,630
420	Pacwest Bancorp Del Com	07/31/18	11/28/18	16,758	20,625	(3,867)
330	Paychex Inc Com	01/28/15	11/28/18	23,235	15,727	7,508
540	Pfizer Inc Com	06/20/18	11/28/18	24,153	19,552	4,600
200	Philip Morris Intl Inc Com	03/24/15	11/28/18	17,175	16,067	1,108
260	Procter & Gamble Co Com	11/27/17	11/28/18	24,072	20,790	3,283
60	Procter & Gamble Co Com	05/08/18	11/28/18	5,555	4,313	1,242

RUTH NELSON FAMILY FOUNDATION
GAIN (LOSS) ON SALE OF ASSETS
FYE DECEMBER 31, 2018

# Shs	Description	Date Acquired	Date Sold	Proceeds	Cost Basis	Gain
210	Prudential Finl Inc Com	02/21/18	11/28/18	19,634	23,052	(3,419)
480	Six Flags Intmt Corp New Com	09/07/17	11/28/18	29,371	25,500	3,872
220	Sunoco Prods Co Com	04/24/18	11/28/18	12,394	11,433	962
350	Sysco Corp Com	03/24/15	11/28/18	23,258	13,728	9,530
840	Umpqua Hldgs Corp	06/06/18	11/28/18	16,141	19,948	(3,806)
430	Unilever Plc Spon Adr New	03/27/18	11/28/18	23,501	22,845	656
710	Vanguard Index Small Cap	05/12/17	11/28/18	105,041	87,642	17,398
3,075	Vanguard Tax-Managed Intl Fd	05/15/15	11/28/18	123,057	128,426	(5,369)
750	Verizon Communications Inc	07/20/17	11/28/18	44,825	36,372	8,452
280	Welltower Inc Com Stk	05/27/15	11/28/18	19,861	19,868	(7)
70	Welltower Inc Com Stk	12/19/14	11/28/18	4,965	4,532	433
80	Welltower Inc Com Stk	03/26/18	11/28/18	5,675	4,197	1,478
520	Westrock Co Com	02/22/15	11/28/18	23,976	34,014	(10,038)
430	WP Carey Inc Com	12/17/15	11/28/18	29,086	25,789	3,297
90	WP Carey Inc Com	05/08/15	11/28/18	6,088	5,948	140
460	Xcel Energy Inc Com	09/25/17	11/28/18	23,700	21,800	1,899
Subtotal Rochdale				3,125,507	2,997,270	128,237

RUTH NELSON FAMILY FOUNDATION
SCHEDULE OF CASH CONTRIBUTIONS
FYE DECEMBER 31, 2018

DATE	CK #	RECIPIENT	ADDRESS	STATUS	PURPOSE	AMOUNT
12/11/18	2217	Assistance League of Tulsa	3408 E. 11th St., Tulsa, OK 74112	public	charitable	101,000
12/11/18	2219	Boy Scouts of America	4925 S. Garnett Rd., Tulsa, OK 74146	private	educational	55,000
12/11/18	2222	Child Protection Coalition	102 E. 22nd Ct., Owasso, OK 74055	private	charitable	30,000
12/11/18	2223	Day Center for the Homeless	415 W. Archer, Tulsa, OK 74103	private	charitable	696,667
12/11/18	2224	Emergency Infant Service	14 E. 7th St., Tulsa, OK 74119	private	charitable	150,000
12/11/18	2225	Fab Lab	210 S. Lewis, Tulsa, OK 74104	public	educational	2,500
12/11/18	2226	Family & Children's Service, Inc.	650 S. Peoria, Tulsa, OK 74120	private	charitable	600,000
12/11/18	2220	Friends of Catholic Education	1127 E. 33rd Pl., Tulsa, OK 74105	public	educational	20,000
12/11/18	2227	Gilcrease Museum	1400 Gilcrease Museum Rd., Tulsa, OK 74127	private	literary/educ	333,333
12/11/18	2228	Hospice of Green Country	1120 S. Boston Ave., Tulsa OK 74119	public	medical	6,500
12/11/18	2229	Indian Health Care Resource Center	550 S. Peoria, Tulsa, OK 74120	public	medical	4,000
12/11/18	2230	Iron Gate	501 S. Cincinnati Ave, Tulsa, 74103	private	charitable	2,500
12/11/18	2231	Kendall-Whittier	2839 E. 5th Place, Tulsa, OK 74104	private	charitable	15,000
12/11/18	2232	KIPP Tulsa College Preparatory	1661 E. Virgin St., Tulsa, OK 74106	private	educational	20,000
12/11/18	2233	Legal Services of Eastern Oklahoma	115 W. 3rd St., #701, Tulsa, OK 74103	private	char/legal	20,000
12/11/18	2235	Lindsey House	601 S. Elgin Ave., Tulsa, OK 74120	private	charitable	400,500
12/11/18	2236	Meals on Wheels	12650 E. 31st St., Tulsa, OK 74146	private	charitable	300,000
12/11/18	2237	Mental Health Association	1870 S. Boulder, Tulsa, OK 74119	private	health	83,333
12/11/18	2238	Nature Conservancy Oklahoma Chapter	23 W. Fourth St., Ste. 200, Tulsa, OK 74103	private	environment	1,000
12/11/18	2240	Neighbors Along the Line	5000 Charles Page Blvd., Tulsa, OK 74127	private	charitable	4,000
12/11/18	2239	Neighbor for Neighbor	505 E 36th St. N., Tulsa, OK 74106	private	charitable	30,000
12/11/18	2244	Oklahoma Caring Foundation	1215 S. Boulder, Tulsa, OK 74102	public	charitable	5,000
12/11/18	2241	Oberlin College	52 W. Lorain St. #122, Oberlin, OH 44074	private	educational	10,000
12/11/18	2242	OETA Foundation	P.O. Box 960022, OKC, OK 73196	public	educational	500
12/11/18	2243	Oklahoma Policy Institute	907 S. Detroit, #1005, Tulsa, OK 74120	public	educational	2,500
12/11/18	2245	Oklahoma Summer Arts Institute	P.O. Box 18154, OKC, OK 73154	private	arts/educ	2,500
12/11/18	2246	OSU Foundation Tulsa	400 S. Monroe, Stillwater, OK 74076	private	educational	20,000
12/11/18	2247	OSU Medical Center Foundation	744 W 9th St., Tulsa, OK 74127	public	health	40,000

RUTH NELSON FAMILY FOUNDATION
SCHEDULE OF CASH CONTRIBUTIONS
FYE DECEMBER 31, 2018

DATE	CK #	RECIPIENT	ADDRESS	STATUS	PURPOSE	AMOUNT
12/11/18	2248	Palmer Continuum of Care	P.O. Box 580700, Tulsa, OK 74158	private	char/rehab	2,500
12/11/18	2250	Planned Parenthood Great Plains	4401 West 109th St., Overland Park, KS 66211	public	charitable	15,000
12/11/18	2251	Public Radio Tulsa	600 S. College, Tulsa, OK 74104	private	educational	1,000
11/13/18	2215	Show, Inc	425 W. Wells Blvd., Sapulpa, OK 74066	private	soc services	5,000
12/11/18	2252	South Peoria Neighborhood Connect Fnd	5780 S. Peoria Ave., Tulsa, OK 74105	private	soc services	35,000
12/11/18	2254	Teach for America	1202 W. Easton St., Tulsa, OK 74127	private	educational	10,000
12/11/18	2249	The Pencil Box	P.O. Box 52631, Tulsa, OK 74152	public	charitable	16,000
12/11/18	2255	Town and Country School	1515 S. 71st E. Ave., Tulsa, OK 74112	private	educational	2,500
12/11/18	2256	TSHA, Inc	8740 E. 11th, Ste A, Tulsa, OK 74112	private	medical	1,000
12/11/18	2218	Tulsa Boys Home	P.O. Box 1101, Tulsa, OK 74101	private	charitable	2,500
12/11/18	2258	Tulsa C.A.R.E.S.	1345 E. 15th Street, Tulsa, OK 74120	private	health	3,000
12/11/18	2221	Tulsa Center for the Physically Limited	815 S. Utica, Tulsa, OK 74104	private	charitable	25,000
12/11/18	2257	Tulsa Community Aids Partnership	1430 S. Boulder, Tulsa, OK 74119	private	charitable	3,000
12/11/18	2260	Tulsa Community College Foundation	7010 S. Yale, Ste. 110, Tulsa, OK 74136	public	educational	1,333,333
12/11/18	2234	Tulsa Library Trust	400 Civic Center, Tulsa, OK 74103	Public	educational	5,000
12/11/18	2216	Twelve & Twelve Inc	6333 E. Skelly Dr., Tulsa, OK 74114	private	char/rehab	600,000
12/11/18	2259	Western Neighbors	P.O. Box 570976, Tulsa, OK 74157	private	charitable	5,000
TOTAL						5,020,666