

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Go to www.irs.gov/Form990PF for instructions and the latest information.

2018

Open to Public
Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation Carrera-Joffe Foundation		A Employer identification number 68-0481048							
Number and street (or P O box number if mail is not delivered to street address) PO Box K		B Telephone number (see instructions) (530) 823-2409							
City or town, state or province, country, and ZIP or foreign postal code Rancho Santa Fe, CA 92067		C If exemption application is pending, check here ► <input type="checkbox"/>							
G Check all that apply <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>	
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<input checked="" type="checkbox"/> Cash	<input type="checkbox"/> Accrual								
<input type="checkbox"/> Other (specify) _____									
		(a) Revenue and expenses per books	(b) Net investment income						
		(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc, received (attach schedule)				
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	3,624	3,624	3,624	
4	Dividends and interest from securities	82,494	82,494	82,494	
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	26,097			
b	Gross sales price for all assets on line 6a 3,435,187				
7	Capital gain net income (from Part IV, line 2)		26,097		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	112,215	112,215	86,118	
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	695	695	695	
c	Other professional fees (attach schedule)	18,543	18,543	18,543	
17	Interest				
18	Taxes (attach schedule) (see instructions)	4,174	4,174	730	
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses.	23,412	23,412	19,968	0
25	Contributions, gifts, grants paid	226,408			226,408
26	Total expenses and disbursements. Add lines 24 and 25	249,820	23,412	19,968	226,408
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-137,605			
b	Net investment income (if negative, enter -0-)		88,803		
c	Adjusted net income (if negative, enter -0-)			66,150	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets					
1	Cash—non-interest-bearing				
2	Savings and temporary cash investments		26,763	523,644	523,644
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7	Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments—U S and state government obligations (attach schedule)				
b	Investments—corporate stock (attach schedule)				
c	Investments—corporate bonds (attach schedule)		50,328		
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)		3,165,349	2,578,825	2,528,981
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		3,242,440	3,102,469	3,052,625
Liabilities					
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ►)				
23	Total liabilities (add lines 17 through 22)		0		
Net Assets or Fund Balances					
	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
24	Unrestricted		3,242,440	3,102,469	
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds				
28	Paid-in or capital surplus, or land, bldg , and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)		3,242,440	3,102,469	
31	Total liabilities and net assets/fund balances (see instructions)		3,242,440	3,102,469	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,242,440
2	Enter amount from Part I, line 27a	2	-137,605
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	3,104,835
5	Decreases not included in line 2 (itemize) ►	5	2,366
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,102,469

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a See Additional Data Table				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a See Additional Data Table				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	26,097
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	-84,835

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

 Yes No**1 Enter the appropriate amount in each column for each year, see instructions before making any entries**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	213,948	3,286,329	0 06510
2016	204,080	3,214,225	0 06349
2015	212,500	3,299,635	0 06440
2014	154,520	3,533,278	0 04373
2013	147,677	3,611,289	0 04089

2 Total of line 1, column (d)	2	0 277622
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 055524
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	3,392,832
5 Multiply line 4 by line 3	5	188,384
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	888
7 Add lines 5 and 6	7	189,272
8 Enter qualifying distributions from Part XIII, line 4	8	226,408

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	888
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	888
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	888
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	30
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	918
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ►	Refunded	11

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b	Yes	No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>				
c	Did the foundation file Form 1120-POL for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►Margaret Carrera	Telephone no ►(530) 823-2409	
Located at ►PO Box K Rancho Santa Fe CA			ZIP+4 ►920670559
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.	15	<input type="checkbox"/>
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►	16	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.	1b	No <input type="checkbox"/>
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	No <input type="checkbox"/>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b	No <input type="checkbox"/>
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b	No <input type="checkbox"/>
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No <input type="checkbox"/>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	No <input type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/>	<input checked="" type="checkbox"/> Yes

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Margaret Carrera PO Box K Rancho Santa Fe, CA 920670559	President 1 00	0		
Philip M Joffe PO Box K Rancho Santa Fe, CA 920670559	CFO 1 00	0		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the year, see instructions		Amount
1		
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		►

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,421,565
b	Average of monthly cash balances.	1b	22,934
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,444,499
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	3,444,499
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	51,667
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,392,832
6	Minimum investment return. Enter 5% of line 5.	6	169,642

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	169,642
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	888
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	888
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	168,754
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	168,754
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	168,754

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	226,408
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	226,408
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	888
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	225,520
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				168,754
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.	36,681			
d From 2016.	45,199			
e From 2017.	56,432			
f Total of lines 3a through e.	138,312			
4 Qualifying distributions for 2018 from Part XII, line 4 ► \$ 226,408				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				168,754
e Remaining amount distributed out of corpus	57,654			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	195,966			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a.	195,966			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.	36,681			
c Excess from 2016.	45,199			
d Excess from 2017.	56,432			
e Excess from 2018.	57,654			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ►				
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.				
b	85% of line 2a.				
c	Qualifying distributions from Part XII, line 4 for each year listed.				
d	Amounts included in line 2c not used directly for active conduct of exempt activities.				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
(1)	Value of all assets.				
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c	"Support" alternative test—enter				
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3)	Largest amount of support from an exempt organization				
(4)	Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a The name, address, and telephone number or email address of the person to whom applications should be addressed
- b The form in which applications should be submitted and information and materials they should include
- c Any submission deadlines
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> See Additional Data Table				
Total				► 3a
<i>b Approved for future payment</i>				
Total				► 3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments				3,624	
4 Dividends and interest from securities.			14	82,494	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory				26,097	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).				86,118	26,097
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)					112,215
			13		

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 5430 256 AB HIGH INCOME ADV		P	2016-03-17	2018-11-14
1 3534 572 AB HIGH INCOME ADV		P	2016-12-13	2018-11-14
657 359 AB HIGH INCOME ADV		P	2016-02-25	2018-03-09
438 467 AB HIGH INCOME ADV		P	2016-03-11	2018-03-09
331 68 AB HIGH INCOME ADV		P	2016-03-17	2018-03-09
64 839 AB HIGH INCOME ADV		P	2016-03-31	2018-11-14
88 804 AB HIGH INCOME ADV		P	2016-04-29	2018-11-14
76 41 AB HIGH INCOME ADV		P	2016-05-31	2018-11-14
83 912 AB HIGH INCOME ADV		P	2016-06-30	2018-11-14
76 064 AB HIGH INCOME ADV		P	2016-07-29	2018-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
43,985		44,094	-109
28,630		30,503	-1,873
5,693		5,095	598
3,797		3,547	250
2,872		2,693	179
525		529	-4
719		744	-25
619		637	-18
680		707	-27
616		653	-37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-109
			-1,873
			598
			250
			179
			-4
			-25
			-18
			-27
			-37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
55 653 AB HIGH INCOME ADV		P	2016-08-31	2018-11-14
1 50 637 AB HIGH INCOME ADV		P	2016-09-30	2018-11-14
48 182 AB HIGH INCOME ADV		P	2016-10-31	2018-11-14
54 102 AB HIGH INCOME ADV		P	2016-11-30	2018-11-14
49 61 AB HIGH INCOME ADV		P	2016-12-07	2018-11-14
63 631 AB HIGH INCOME ADV		P	2016-12-30	2018-11-14
71 561 AB HIGH INCOME ADV		P	2017-01-31	2018-11-14
63 218 AB HIGH INCOME ADV		P	2017-02-28	2018-11-14
62 174 AB HIGH INCOME ADV		P	2017-03-31	2018-11-14
54 861 AB HIGH INCOME ADV		P	2017-04-28	2018-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
451		481	-30
410		439	-29
390		417	-27
438		463	-25
402		426	-24
515		552	-37
580		628	-48
512		561	-49
504		551	-47
444		490	-46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-30
			-29
			-27
			-25
			-24
			-37
			-48
			-49
			-47
			-46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
51 753 AB HIGH INCOME ADV		P	2017-05-31	2018-11-14
1 55 556 AB HIGH INCOME ADV		P	2017-06-30	2018-11-14
50 367 AB HIGH INCOME ADV		P	2017-07-31	2018-11-14
58 166 AB HIGH INCOME ADV		P	2017-08-31	2018-11-14
60 249 AB HIGH INCOME ADV		P	2017-09-29	2018-11-14
57 879 AB HIGH INCOME ADV		P	2017-10-31	2018-11-14
60 132 AB HIGH INCOME ADV		P	2017-11-30	2018-11-14
25 122 AB HIGH INCOME ADV		P	2017-12-06	2018-11-14
61 264 AB HIGH INCOME ADV		P	2017-12-29	2018-11-14
62 977 AB HIGH INCOME ADV		P	2018-01-31	2018-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
419		463	-44
450		494	-44
408		451	-43
471		522	-51
488		540	-52
469		516	-47
487		532	-45
203		222	-19
496		541	-45
510		559	-49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (I) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-44
			-44
			-43
			-51
			-52
			-47
			-45
			-19
			-45
			-49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
65 805 AB HIGH INCOME ADV		P	2018-02-28	2018-11-14
1 67 022 AB HIGH INCOME ADV		P	2018-03-29	2018-11-14
56 558 AB HIGH INCOME ADV		P	2018-04-30	2018-11-14
57 536 AB HIGH INCOME ADV		P	2018-05-31	2018-11-14
60 993 AB HIGH INCOME ADV		P	2018-06-29	2018-11-14
59 243 AB HIGH INCOME ADV		P	2018-07-31	2018-11-14
63 922 AB HIGH INCOME ADV		P	2018-08-31	2018-11-14
53 247 AB HIGH INCOME ADV		P	2018-09-28	2018-11-14
60 022 AB HIGH INCOME ADV		P	2018-10-31	2018-11-14
3631 46 BLACKROCK MULTI-ASSET INC INST		P	2016-02-25	2018-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
533		571	-38
543		578	-35
458		484	-26
466		486	-20
494		511	-17
480		500	-20
518		532	-14
431		445	-14
486		489	-3
39,583		36,932	2,651

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-38
			-35
			-26
			-20
			-17
			-20
			-14
			-14
			-3
			2,651

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1341 452 BLACKROCK MULTI-ASSET INC INST	P	2016-03-11	2018-03-07
1 3622 433 BLACKROCK MULTI-ASSET INC INST	P	2016-03-17	2018-03-07
31 533 BLACKROCK MULTI-ASSET INC INST	P	2016-03-31	2018-03-07
56 63 BLACKROCK MULTI-ASSET INC INST	P	2016-04-29	2018-03-07
56 17 BLACKROCK MULTI-ASSET INC INST	P	2016-05-31	2018-03-07
56 872 BLACKROCK MULTI-ASSET INC INST	P	2016-06-30	2018-03-07
45 638 BLACKROCK MULTI-ASSET INC INST	P	2016-07-29	2018-03-07
47 787 BLACKROCK MULTI-ASSET INC INST	P	2016-08-31	2018-03-07
43 379 BLACKROCK MULTI-ASSET INC INST	P	2016-09-30	2018-03-07
41 124 BLACKROCK MULTI-ASSET INC INST	P	2016-10-31	2018-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
14,622		13,924	698
39,484		37,854	1,630
344		330	14
617		597	20
612		594	18
620		601	19
497		491	6
521		515	6
473		467	6
448		439	9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			698
			1,630
			14
			20
			18
			19
			6
			6
			6
			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
43 966 BLACKROCK MULTI-ASSET INC INST		P	2016-11-30	2018-03-07
1 32 856 BLACKROCK MULTI-ASSET INC INST		P	2016-12-22	2018-03-07
47 368 BLACKROCK MULTI-ASSET INC INST		P	2016-12-30	2018-03-07
42 863 BLACKROCK MULTI-ASSET INC INST		P	2017-01-31	2018-03-07
41 262 BLACKROCK MULTI-ASSET INC INST		P	2017-02-28	2018-03-07
40 285 BLACKROCK MULTI-ASSET INC INST		P	2017-03-31	2018-03-07
38 933 BLACKROCK MULTI-ASSET INC INST		P	2017-04-28	2018-03-07
38 238 BLACKROCK MULTI-ASSET INC INST		P	2017-05-31	2018-03-07
36 075 BLACKROCK MULTI-ASSET INC INST		P	2017-06-30	2018-03-07
34 065 BLACKROCK MULTI-ASSET INC INST		P	2017-07-31	2018-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
479		466	13
358		350	8
516		505	11
467		462	5
450		448	2
439		438	1
424		426	-2
417		420	-3
393		396	-3
371		377	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			13
			8
			11
			5
			2
			1
			-2
			-3
			-3
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
36 028 BLACKROCK MULTI-ASSET INC INST		P	2017-08-31	2018-03-07
1 36 703 BLACKROCK MULTI-ASSET INC INST		P	2017-09-29	2018-03-07
31 819 BLACKROCK MULTI-ASSET INC INST		P	2017-10-31	2018-03-07
37 113 BLACKROCK MULTI-ASSET INC INST		P	2017-11-30	2018-03-07
26 519 BLACKROCK MULTI-ASSET INC INST		P	2017-12-22	2018-03-07
36 42 BLACKROCK MULTI-ASSET INC INST		P	2017-12-29	2018-03-07
33 413 BLACKROCK MULTI-ASSET INC INST		P	2018-01-31	2018-03-07
41 266 BLACKROCK MULTI-ASSET INC INST		P	2018-02-28	2018-03-07
58 ENERGY SEL SECT SPDR FD		P	2018-04-10	2018-12-14
759 ENERGY SEL SECT SPDR FD		P	2017-10-13	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
393		397	-4
400		406	-6
347		353	-6
405		411	-6
289		294	-5
397		404	-7
364		373	-9
450		451	-1
3,596		4,057	-461
47,057		51,748	-4,691

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-4
			-6
			-6
			-6
			-5
			-7
			-9
			-1
			-461
			-4,691

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
725 ENERGY SEL SECT SPDR FD	P	2017-12-01	2018-12-14
1 6649 45 FIDELITY ADV REAL ESTATE INC I	P	2017-09-07	2018-02-05
25 051 FIDELITY ADV REAL ESTATE INC I	P	2017-09-08	2018-02-05
10 892 FIDELITY ADV REAL ESTATE INC I	P	2017-09-08	2018-02-05
70 252 FIDELITY ADV REAL ESTATE INC I	P	2017-09-08	2018-02-05
37 187 FIDELITY ADV REAL ESTATE INC I	P	2017-12-15	2018-02-05
128 465 FIDELITY ADV REAL ESTATE INC I	P	2017-12-15	2018-02-05
2645 FIDELITY MSCI FINANCIALS INDEX	P	2018-04-10	2018-11-13
1919 FT NASDAQ CYBERSECUR ETF	P	2018-08-31	2018-10-26
2 FT NASDAQ CYBERSECUR ETF	P	2018-09-10	2018-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
44,949		50,525	-5,576
76,269		82,520	-6,251
287		306	-19
125		133	-8
806		858	-52
427		446	-19
1,474		1,540	-66
102,414		107,652	-5,238
46,458		54,903	-8,445
48		57	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-5,576
			-6,251
			-19
			-8
			-52
			-19
			-66
			-5,238
			-8,445
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4743 FT TR ETF MULTI-ASSET DVRS INC		P	2016-08-05	2018-06-01
1 190 FT TR ETF MULTI-ASSET DVRS INC		P	2016-12-13	2018-06-01
2159 GLOBAL X RBTCS & ARTIFICI ETF		P	2018-03-07	2018-04-06
784 GLOBAL X RBTCS & ARTIFICI ETF		P	2018-03-09	2018-04-06
1324 577 HARTFORD CORE EQUITY I		P	2016-03-14	2018-12-20
946 74 HARTFORD CORE EQUITY I		P	2016-04-28	2018-12-20
583 835 HARTFORD CORE EQUITY I		P	2016-02-25	2018-02-05
607 433 HARTFORD CORE EQUITY I		P	2016-03-11	2018-02-05
168 819 HARTFORD CORE EQUITY I		P	2016-03-14	2018-02-05
834 218 INVESCO EQUAL WGHTD S&P 500 Y		P	2016-12-13	2018-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
87,215		90,152	-2,937
3,494		3,572	-78
49,549		52,632	-3,083
17,993		19,492	-1,499
35,234		30,624	4,610
25,183		22,324	2,859
16,890		13,154	3,736
17,573		14,056	3,517
4,884		3,903	981
49,611		44,697	4,914

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2,937
			-78
			-3,083
			-1,499
			4,610
			2,859
			3,736
			3,517
			981
			4,914

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
882 293 INVESCO EQUAL WGHTD S&P 500 Y		P	2016-12-13	2018-01-31
1 8 001 INVESCO EQUAL WGHTD S&P 500 Y		P	2017-12-13	2018-02-05
16 163 INVESCO EQUAL WGHTD S&P 500 Y		P	2017-12-13	2018-02-05
27 277 INVESCO EQUAL WGHTD S&P 500 Y		P	2017-12-13	2018-02-05
357 ISHARES CORE DIVIDEND GROWTH		P	2018-11-15	2018-12-24
938 ISHARES CORE HIGH DIVIDEND ETF		P	2018-09-12	2018-10-26
257 ISHARES CORE MSCI EMERGING		P	2017-10-16	2018-10-03
334 ISHARES CORE MSCI EMERGING		P	2017-12-04	2018-10-03
807 ISHARES CORE MSCI EMERGING		P	2018-02-12	2018-10-03
437 ISHARES CORE MSCI EMERGING		P	2018-04-10	2018-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
55,752		47,273	8,479
476		480	-4
961		969	-8
1,622		1,635	-13
11,270		12,770	-1,500
80,874		85,357	-4,483
13,035		14,445	-1,410
16,941		18,647	-1,706
40,932		46,082	-5,150
22,165		25,359	-3,194

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			8,479
			-4
			-8
			-13
			-1,500
			-4,483
			-1,410
			-1,706
			-5,150
			-3,194

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1244 ISHARES CORE MSCI EMERGING	P	2017-07-20	2018-10-03
1 8 ISHARES CORE MSCI EMERGING	P	2017-07-20	2018-03-23
179 ISHARES CORE S&P 500 ETF	P	2016-03-17	2018-12-24
11 ISHARES CORE S&P 500 ETF	P	2016-04-28	2018-12-24
11 ISHARES CORE S&P 500 ETF	P	2016-09-23	2018-12-24
31 ISHARES CORE S&P 500 ETF	P	2016-11-09	2018-12-24
59 ISHARES CORE S&P 500 ETF	P	2016-02-25	2018-12-20
133 ISHARES CORE S&P 500 ETF	P	2016-03-11	2018-12-20
136 ISHARES CORE S&P 500 ETF	P	2016-03-17	2018-12-20
457 ISHARES EDGE MSCI MIN VOL EMER	P	2018-02-12	2018-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
63,097		65,449	-2,352
456		421	35
42,846		36,689	6,157
2,633		2,317	316
2,633		2,404	229
7,420		6,738	682
14,608		11,531	3,077
32,930		26,934	5,996
33,673		27,875	5,798
25,318		27,810	-2,492

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2,352
			35
			6,157
			316
			229
			682
			3,077
			5,996
			5,798
			-2,492

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
112 ISHARES EDGE MSCI MIN VOL EMER		P	2018-03-09	2018-10-15
1 389 ISHARES EDGE MSCI MIN VOL EMER		P	2018-04-10	2018-10-15
43 ISHARES EDGE MSCI MIN VOL EMER		P	2016-08-18	2018-10-15
120 ISHARES EDGE MSCI MIN VOL EMER		P	2016-09-02	2018-10-15
180 ISHARES EDGE MSCI MIN VOL EMER		P	2016-10-18	2018-10-15
1539 ISHARES EDGE MSCI MIN VOL EMER		P	2016-12-13	2018-10-15
246 ISHARES EDGE MSCI US MOMENTUM		P	2018-07-02	2018-12-24
139 ISHARES EDGE MSCI US MOMENTUM		P	2018-07-09	2018-12-24
142 ISHARES EDGE MSCI US MOMENTUM		P	2018-07-12	2018-12-24
1 ISHARES EDGE MSCI US MOMENTUM		P	2018-09-10	2018-12-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,205		7,026	-821
21,550		24,433	-2,883
2,382		2,348	34
6,648		6,485	163
9,972		9,627	345
85,260		78,287	6,973
23,168		27,086	-3,918
13,091		15,605	-2,514
13,373		16,122	-2,749
94		117	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-821
			-2,883
			34
			163
			345
			6,973
			-3,918
			-2,514
			-2,749
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
68 ISHARES EDGE MSCI US MOMENTUM		P	2018-09-28	2018-12-24
1 143 ISHARES EDGE MSCI US MOMENTUM		P	2018-07-02	2018-12-20
675 ISHARES EDGE MSCI US MOMENTUM		P	2017-03-29	2018-12-20
401 ISHARES EDGE MSCI US MOMENTUM		P	2017-03-29	2018-03-23
289 ISHARES JP MORGAN EM BOND ETF		P	2016-03-21	2018-04-17
289 ISHARES JP MORGAN EM BOND ETF		P	2016-03-21	2018-03-07
480 ISHARES MSCI ACWI EX US ETF		P	2017-10-16	2018-07-09
377 ISHARES MSCI ACWI EX US ETF		P	2017-12-04	2018-07-09
565 ISHARES MSCI ACWI EX US ETF		P	2018-01-12	2018-07-09
618 ISHARES MSCI ACWI EX US ETF		P	2017-07-25	2018-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
6,404		8,091	-1,687
13,880		15,745	-1,865
65,518		55,761	9,757
42,008		33,126	8,882
32,385		31,943	442
32,375		31,943	432
23,063		23,679	-616
18,114		18,642	-528
27,146		29,481	-2,335
28,922		29,040	-118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,687
			-1,865
			9,757
			8,882
			442
			432
			-616
			-528
			-2,335
			-118

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
563 ISHARES MSCI ACWI EX US ETF	P	2017-10-16	2018-06-28
1 78 ISHARES MSCI ACWI EX US ETF	P	2017-04-27	2018-06-28
1356 ISHARES MSCI ACWI EX US ETF	P	2017-04-27	2018-06-27
262 ISHARES S&P MIDCAP 400 INDEX	P	2016-04-12	2018-12-24
27 ISHARES S&P MIDCAP 400 INDEX	P	2016-03-11	2018-12-20
19 ISHARES S&P MIDCAP 400 INDEX	P	2016-03-17	2018-12-20
160 ISHARES S&P MIDCAP 400 INDEX	P	2016-04-12	2018-12-20
30 ISHARES S&P MIDCAP 400 INDEX	P	2016-03-11	2018-03-23
3 ISHARES S&P MIDCAP 400 INDEX	P	2016-03-11	2018-01-12
58 ISHARES US AEROSPACE & DEF ETF	P	2018-03-09	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus (f) minus (g)	(h) (e) plus (f) minus (g)
26,348		27,774	-1,426
3,650		3,476	174
63,507		60,427	3,080
41,579		37,603	3,976
4,425		3,773	652
3,114		2,686	428
26,220		22,963	3,257
5,539		4,193	1,346
588		419	169
10,232		11,840	-1,608

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-1,426
			174
			3,080
			3,976
			652
			428
			3,257
			1,346
			169
			-1,608

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
71 ISHARES US AEROSPACE & DEF ETF		P	2017-12-08	2018-12-19
1 75 ISHARES US AEROSPACE & DEF ETF		P	2017-12-12	2018-12-19
332 ISHARES US AEROSPACE & DEF ETF		P	2017-12-14	2018-12-19
122 ISHARES US FINANCIALS ETF		P	2017-07-24	2018-06-06
60 ISHARES US FINANCIALS ETF		P	2017-10-26	2018-06-06
95 ISHARES US FINANCIALS ETF		P	2017-11-29	2018-06-06
104 ISHARES US FINANCIALS ETF		P	2017-12-12	2018-06-06
257 ISHARES US FINANCIALS ETF		P	2016-11-11	2018-06-06
226 ISHARES US FINANCIALS ETF		P	2016-11-11	2018-06-01
131 KRAINESHARES CSI CHINA INTERNET		P	2018-07-12	2018-12-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
12,526		13,112	-586
13,232		13,823	-591
58,572		61,592	-3,020
14,814		13,273	1,541
7,286		6,916	370
11,536		11,187	349
12,629		12,535	94
31,207		24,527	6,680
26,983		21,569	5,414
5,060		7,889	-2,829

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-586
			-591
			-3,020
			1,541
			370
			349
			94
			6,680
			5,414
			-2,829

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
267 KRANESHARES CSI CHINA INTERNET		P	2017-08-18	2018-12-24
1 537 KRANESHARES CSI CHINA INTERNET		P	2017-10-18	2018-12-24
334 KRANESHARES CSI CHINA INTERNET		P	2017-11-10	2018-12-24
615 KRANESHARES CSI CHINA INTERNET		P	2017-08-18	2018-03-23
585 MATERIALS SEL SECT SPDR FD		P	2018-03-09	2018-04-06
1120 MATERIALS SEL SECT SPDR FD		P	2017-03-08	2018-04-06
90 MATERIALS SEL SECT SPDR FD		P	2017-03-17	2018-04-06
50000 MERRILL LYNCH CO 6875 18NV15		P	2010-02-23	2018-11-15
6160 413 OPPENHEIMER INTERNATIONAL BD Y		P	2017-07-28	2018-09-11
10253 355 OPPENHEIMER INTERNATIONAL BD Y		P	2017-08-14	2018-09-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
10,314		14,784	-4,470
20,744		32,208	-11,464
12,902		19,456	-6,554
38,236		34,053	4,183
33,029		35,755	-2,726
63,235		58,474	4,761
5,081		4,717	364
50,000		50,000	
33,081		35,721	-2,640
55,061		59,659	-4,598

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (I) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-4,470
			-11,464
			-6,554
			4,183
			-2,726
			4,761
			364
			-2,640
			-4,598

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
40 942 OPPENHEIMER INTERNATIONAL BD	Y	P	2017-08-31	2018-09-11
1 63 646 OPPENHEIMER INTERNATIONAL BD	Y	P	2017-09-29	2018-09-11
64 741 OPPENHEIMER INTERNATIONAL BD	Y	P	2017-10-31	2018-09-11
60 632 OPPENHEIMER INTERNATIONAL BD	Y	P	2017-11-30	2018-09-11
62 114 OPPENHEIMER INTERNATIONAL BD	Y	P	2017-12-29	2018-09-11
61 432 OPPENHEIMER INTERNATIONAL BD	Y	P	2018-01-31	2018-09-11
57 359 OPPENHEIMER INTERNATIONAL BD	Y	P	2018-02-28	2018-09-11
64 444 OPPENHEIMER INTERNATIONAL BD	Y	P	2018-03-29	2018-09-11
65 997 OPPENHEIMER INTERNATIONAL BD	Y	P	2018-04-30	2018-09-11
66 134 OPPENHEIMER INTERNATIONAL BD	Y	P	2018-05-31	2018-09-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
220		240	-20
342		370	-28
348		375	-27
326		355	-29
334		365	-31
330		371	-41
308		342	-34
346		386	-40
354		387	-33
355		374	-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (I) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-20
			-28
			-27
			-29
			-31
			-41
			-34
			-40
			-33
			-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
65 682 OPPENHEIMER INTERNATIONAL BD	Y	P	2018-06-29	2018-09-11
1 65 834 OPPENHEIMER INTERNATIONAL BD	Y	P	2018-07-31	2018-09-11
67 634 OPPENHEIMER INTERNATIONAL BD	Y	P	2018-08-31	2018-09-11
3626 06 OPPENHEIMER SR FLOATING RATE	Y	P	2017-02-16	2018-03-09
37 447 OPPENHEIMER SR FLOATING RATE	Y	P	2017-03-02	2018-03-09
33 211 OPPENHEIMER SR FLOATING RATE	Y	P	2017-03-02	2018-03-09
769 996 OPPENHEIMER SR FLOATING RATE	Y	P	2017-03-02	2018-03-09
2 886 OPPENHEIMER SR FLOATING RATE	Y	P	2017-02-28	2018-03-09
3021 875 PGIM JENN GLBL OPPORTUNITIES	Z	P	2017-09-11	2018-12-20
2984 POWERSHARES SENIOR LOAN PORT		P	2016-08-05	2018-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
353		364	-11
354		371	-17
363		366	-3
29,552		29,589	-37
305		307	-2
271		272	-1
6,275		6,314	-39
24		24	
61,042		61,828	-786
69,169		69,047	122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-11
			-17
			-3
			-37
			-2
			-1
			-39
			-786
			122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1286 POWERSHARES SENIOR LOAN PORT	P	2016-11-11	2018-03-09
1 2111 519 PRINCIPAL MIDCAP INSTL	P	2016-04-12	2018-11-20
2793 72 PRINCIPAL MIDCAP INSTL	P	2016-11-11	2018-11-20
0 997 PRINCIPAL MIDCAP INSTL	P	2017-01-11	2018-11-20
1360 674 PRINCIPAL MIDCAP INSTL	P	2015-11-10	2018-03-23
88 661 PRINCIPAL MIDCAP INSTL	P	2016-04-12	2018-03-23
496 736 PRUDENTIAL JENNISON GLB OPP Z	P	2017-09-11	2018-03-09
184 512 PRUDENTIAL JENNISON GLB OPP Z	P	2017-09-11	2018-02-05
16 S & P 500 INDEX FUND	P	2016-02-25	2018-03-23
2 S & P 500 INDEX FUND	P	2016-02-25	2018-01-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
29,809		29,603	206
55,765		44,237	11,528
73,782		62,536	11,246
26		23	3
36,398		30,594	5,804
2,372		1,857	515
11,887		10,163	1,724
4,124		3,775	349
4,188		3,127	1,061
560		391	169

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (I) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			206
			11,528
			11,246
			3
			5,804
			515
			1,724
			349
			1,061
			169

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
250 SPDR DJIA TRUST	P	2016-12-13	2018-12-24
1 358 SPDR DJIA TRUST	P	2016-12-13	2018-12-20
1 SPDR DJIA TRUST	P	2016-12-13	2018-03-23
6 SPDR DJIA TRUST	P	2016-12-13	2018-01-12
397 SPDR S&P BIOTECH	P	2018-08-09	2018-10-25
555 SPDR S&P BIOTECH	P	2018-03-07	2018-04-06
203 SPDR S&P BIOTECH	P	2018-03-09	2018-04-06
479 338 T ROWE PRICE BLUE CHIP GR	P	2016-02-25	2018-12-20
24 472 T ROWE PRICE BLUE CHIP GR	P	2016-03-11	2018-12-20
12 295 T ROWE PRICE FLOATING RATE INV	P	2017-12-08	2018-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
55,233		49,854	5,379
82,301		71,391	10,910
237		199	38
1,546		1,197	349
31,995		37,877	-5,882
46,052		52,651	-6,599
16,844		19,523	-2,679
44,852		31,387	13,465
2,290		1,646	644
121		121	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			5,379
			10,910
			38
			349
			-5,882
			-6,599
			-2,679
			13,465
			644

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5504 848 T ROWE PRICE FLOATING RATE INV		P	2018-02-23	2018-03-09
1 0 025 T ROWE PRICE FLOATING RATE INV		P	2017-12-29	2018-03-09
0 044 T ROWE PRICE FLOATING RATE INV		P	2018-01-31	2018-03-09
0 636 T ROWE PRICE FLOATING RATE INV		P	2018-02-28	2018-03-09
2958 948 TRANSAMERICA EMERG MKTS DEBT I		P	2018-03-07	2018-06-01
24 785 TRANSAMERICA EMERG MKTS DEBT I		P	2018-03-26	2018-06-01
8883 871 VOYA GLOBAL BOND I		P	2018-04-12	2018-06-01
34 082 VOYA GLOBAL BOND I		P	2018-05-31	2018-07-09
4 7 VOYA GLOBAL BOND I		P	2018-06-29	2018-07-09
15 584 VOYA GLOBAL BOND I		P	2018-04-30	2018-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price 54,278	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale 54,278	Gain or (loss) (h) (e) plus (f) minus (g)
6		6	
30,655		32,371	-1,716
257		269	-12
86,262		89,143	-2,881
330		302	28
46		45	1
151		154	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6386 532 VOYA INTERMEDIATE BOND I	P	2017-06-27	2018-10-05
1 16 114 VOYA INTERMEDIATE BOND I	P	2017-07-31	2018-10-05
17 185 VOYA INTERMEDIATE BOND I	P	2017-08-31	2018-10-05
16 889 VOYA INTERMEDIATE BOND I	P	2017-09-29	2018-10-05
17 402 VOYA INTERMEDIATE BOND I	P	2017-10-31	2018-10-05
16 923 VOYA INTERMEDIATE BOND I	P	2017-11-30	2018-10-05
17 442 VOYA INTERMEDIATE BOND I	P	2017-12-29	2018-10-05
17 726 VOYA INTERMEDIATE BOND I	P	2018-01-31	2018-10-05
16 04 VOYA INTERMEDIATE BOND I	P	2018-02-28	2018-10-05
17 593 VOYA INTERMEDIATE BOND I	P	2018-03-29	2018-10-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
61,566		64,810	-3,244
155		164	-9
166		175	-9
163		171	-8
168		176	-8
163		171	-8
168		176	-8
171		177	-6
155		158	-3
170		174	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-3,244
			-9
			-9
			-8
			-8
			-8
			-6
			-3
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
17 234 VOYA INTERMEDIATE BOND I		P	2018-04-30	2018-10-05
1 17 678 VOYA INTERMEDIATE BOND I		P	2018-05-31	2018-10-05
17 266 VOYA INTERMEDIATE BOND I		P	2018-06-29	2018-10-05
18 617 VOYA INTERMEDIATE BOND I		P	2018-07-31	2018-10-05
18 667 VOYA INTERMEDIATE BOND I		P	2018-08-31	2018-10-05
18 248 VOYA INTERMEDIATE BOND I		P	2018-09-28	2018-10-05
1345 WISDOMTREE US MIDCAP DIV FND		P	2018-07-12	2018-12-24
212 WISDOMTREE US MIDCAP DIV FND		P	2018-07-12	2018-12-20
Morgan Stanley		P	2018-01-01	2018-01-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
166		169	-3
170		174	-4
166		169	-3
179		182	-3
180		183	-3
176		178	-2
40,852		47,929	-7,077
6,672		7,693	-1,021
5			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-3
			-4
			-3
			-3
			-3
			-2
			-7,077
			-1,021
			5

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
Christian Brothers High School 4315 Martin Luther King Jr Blvd Sacramento, CA 95820	NA	NA	Establishes Scholarship Programs for Children that Lack Financial Resources but have a high degree of aptitude	56,908
Cristo Rey School 6200 McMahon Drive Sacramento, CA 95824	NA	NA	Scholarships	50,000
Sierra College Foundation 5000 Rocklin Road Rocklin, CA 95677	NA	NA	Scholarships	12,000
Total			► 3a	226,408

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Ocean Discovery Institute 211 Pacific Beach Drive Suite A San Diego, CA 92109	NA	NA	Funds to be used to support programs used in the direct education of children interested in science	17,500
San Pasqual Academy 17701 San Pasqual Valley Road Escondido, CA 92025	NA	NC	Student Scholarships	40,000
Preuss School UC San Diego 9500 Gilman Drive La Jolla, CA 92093	NA	NC	Student Scholarships	37,500
Total			► 3a	226,408

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Feeding America 9455 Waples Street San Diego, CA 92121	NA	PC	Funds to be used to feed homeless	5,000
Sierra Nevada Journeys 1331 Garden Highway Sacramento, CA 95833		PC	Funds used to provide outdoor, service based education programs for youth	7,500
Total			► 3a	226,408

TY 2018 Accounting Fees Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting	695	695	695	0

TY 2018 Investments - Other Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 18007218

Software Version: 2018v3.1

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Mutual Funds	FMV	1,176,532	1,161,948
ETF	FMV	1,402,293	1,367,033

TY 2018 Other Decreases Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 18007218

Software Version: 2018v3.1

Description	Amount
Wash sale losses	2,366

TY 2018 Other Professional Fees Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Morgan Stanley Fees	18,543	18,543	18,543	0

TY 2018 Taxes Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Tax paid	730	730	730	
Investment excise tax	3,444	3,444		