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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶Do not enter social security numbers on this form as it may be made public.
▶Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , and ending

Name of foundation: POWELL CHARITABLE FOUNDATION

A Employer identification number: 65-1175747

Number and street (or P O box number if mail is not delivered to street address): C/O 6629 FAIRWAY VIEW TRAIL

Room/suite

B Telephone number (see instructions): 540-772-4820

City or town, state or province, country, and ZIP or foreign postal code: ROANOKE VA 24018

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 8,558,191

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	808,980			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	14,082	14,082		
	4	Dividends and interest from securities	157,795	157,795		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	252,286			
	b	Gross sales price for all assets on line 6a 3,758,533				
	7	Capital gain net income (from Part IV, line 2)		73,064		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) Stmt 2	3,904	3,904		
	12	**Total.** Add lines 1 through 11	1,237,047	248,845	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	262,000	196,500		65,500
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 3	3,200	2,400		800
	c	Other professional fees (attach schedule) Stmt 4	105,166	78,875		26,291
	17	Interest				
	18	Taxes (attach schedule) (see instructions) Stmt 5	4,248	4,248		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) Stmt 6	673	505		168
	24	**Total operating and administrative expenses.** Add lines 13 through 23	375,287	282,528	0	92,759
	25	Contributions, gifts, grants paid	411,125			411,125
	26	**Total expenses and disbursements.** Add lines 24 and 25	786,412	282,528	0	503,884
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	450,635			
	b	**Net investment income** (if negative, enter -0-)		0		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash – non-interest-bearing			
2	Savings and temporary cash investments	448,975	643,311	643,311
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	2,480		
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (att schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments – U S and state government obligations (attach schedule)			
b	Investments – corporate stock (attach schedule) See Stmt 7	8,698,232	7,914,880	7,914,880
c	Investments – corporate bonds (attach schedule)			
11	Investments – land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach sch) ▶			
12	Investments – mortgage loans			
13	Investments – other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach sch) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	9,149,687	8,558,191	8,558,191
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	9,149,687	8,558,191	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	9,149,687	8,558,191	
31	**Total liabilities and net assets/fund balances** (see instructions)	9,149,687	8,558,191	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,149,687
2	Enter amount from Part I, line 27a	2	450,635
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	9,600,322
5	Decreases not included in line 2 (itemize) ▶ See Statement 8	5	1,042,131
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	8,558,191

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	73,064			73,064
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				73,064
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	73,064
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	460,308	8,532,527	0.053947
2016	422,486	7,870,575	0.053679
2015	517,358	7,867,920	0.065755
2014	487,641	7,543,121	0.064647
2013	411,938	5,385,983	0.076483

2 Total of line 1, column (d)	2	0.314511
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.062902
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	8,429,817
5 Multiply line 4 by line 3	5	530,252
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	530,252
8 Enter qualifying distributions from Part XII, line 4	8	503,884

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	0
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by *General Instruction T*			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ None			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation N/A	8b		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 9	10	X	

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PAUL A POWELL, JR. Telephone no ▶ 540-772-4820
6629 FAIRWAY VIEW TRAIL
Located at ▶ ROANOKE VA ZIP+4 ▶ 24018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year, did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions N/A	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL A POWELL, JR. ROANOKE 6629 FAIRWAY VIEW TRAIL VA 24018	TRUSTEE 18.00	172,500	0	0
WAID B. POWELL ROANOKE 4925 BUCKHORN ROAD VA 24018	TRUSTEE 15.00	89,500	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes.		
a	Average monthly fair market value of securities	1a	7,914,879
b	Average of monthly cash balances	1b	643,311
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	8,558,190
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	8,558,190
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	128,373
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,429,817
6	**Minimum investment return.** Enter 5% of line 5	6	421,491

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	421,491
2a	Tax on investment income for 2018 from Part VI, line 5 — 2a		
b	Income tax for 2018 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	421,491
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	421,491
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	421,491

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	503,884
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	503,884
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	503,884

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					421,491
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only					
b Total for prior years 20 ____ , 20 ____ , 20 ____					
3 Excess distributions carryover, if any, to 2018					
a From 2013	346,512				
b From 2014	110,485				
c From 2015	123,962				
d From 2016	28,957				
e From 2017	33,682				
f **Total** of lines 3a through e		643,598			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 503,884					
a Applied to 2017, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2018 distributable amount					421,491
e Remaining amount distributed out of corpus		82,393			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		725,991			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)		346,512			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		379,479			
10 Analysis of line 9					
a Excess from 2014	110,485				
b Excess from 2015	123,962				
c Excess from 2016	28,957				
d Excess from 2017	33,682				
e Excess from 2018	82,393				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2018	Prior 3 years (b) 2017	(c) 2016	(d) 2015	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
APPLE RIDGE FARM 541 LUCK AVENUE ROANOKE VA 24016			YOUTH DEVELOPMENT	2,500
BLUE RIDGE MOUNTIAN COUNCIL 2131 VALLEY VIEW BLVD ROANOKE VA 24012			YOUTH DEVELOPMENT	5,000
BLUE RIDGE WOMEN'S CENTER 5034 WILLIAMSON AVENUE ROANOKE VA 24012			ADVOCACY	8,500
GW CARVER ELEMENTARY SCHOOL 6 E. 4TH STREET SALEM VA 24153			EDUCATION	10,000
EAST SALEM ELEMENTARY SCHOOL 1765 ROANOKE BLVD SALEM VA 24153			EDUCATIONAL	10,000
FAITH CHRISTIAN SCHOOL 3585 BUCK MOUNTAIN ROAD ROANOKE VA 24018			EDUCATION	35,000
FEEDING AMERICA SWVA 1025 ELECTRIC ROAD SALEM VA 24153			PHILANTHROPIC	1,125
FELLOWSHIP COMMUNITY CHURCH 1226 RED LANE EXT SALEM VA 24153			RELIGIOUS	13,400
FIRST UNITED METHODIST CHURCH 125 WEST MAIN STREET SALEM VA 24153			RELIGIOUS	25,000
FISHBURNE MILITARY SCHOOL 255 S. WAYNE AVENUE WAYNESBORO VA 22980			EDUCATIONAL	2,000
Total			▶ 3a	411,125
b *Approved for future payment* N/A				
Total			▶ 3b	

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SALEM FOOD PANTRY 620 CHAPMAN STREET SALEM VA 24153			PHILANTHROPIC	9,500
LEAGUE ANIMAL PROTECTION 603 POOR FARM ROAD FINCASTLE VA 24090			WILDLIFE	5,500
ROANOKE AREA MINISTRIES 824 CAMPBELL AVENUE, SW ROANOKE VA 24016			RELGIOUS	4,000
RESCUE MISSION MINISTRIES 402 4TH STREET, SE ROANOKE VA 24013			RELIGIOUS	4,000
ROANOKE SYMPHONY 128 CAMPBELL AVENUE SE ROANOKE VA 24011			ARTS	5,000
SALEM MUSEUM 801 EAST MAIN STREET SALEM VA 24153			HISTORICAL	7,000
NGA NEEDLEWORK GUILD OF AMERICA PO BOX 206 SALEM VA 24153			ARTS	10,000
SALVATION ARMY 724 DALE AVENUE SE ROANOKE VA 24013			PHILANTHROPIC	4,000
SEARCH MINISTRIES 5038 DORSEY HALL DRIVE ELLICOT CITY MD 21042			RELIGIOUS	13,500
SOUTH SALEM ELEMENTARY SCHOOL 1600 CAROLYN ROAD SALEM VA 24153			EDUCATIONAL	10,000
Total			▶ 3a	
b *Approved for future payment* N/A				
Total			▶ 3b	

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST PAULS EPISCOPAL 42 E MAIN STREET SALEM VA 24153			RELIGIOUS	35,500
STAR COMMUNITY 13757 BROADFORDING CHURCH HAGERSTOWN MD 21740			ADULT CARE	30,000
VIRGINIA WESTERN COMMUNITY COLLEGE 3095 COLONIAL AVENUE SW ROANOKE VA 24015			EDUCATIONAL	18,000
WEST SALEM ELEMENTARY SCHOOL 520 BRUFFEY STREET SALEM VA 24153			EDUCATIONAL	10,000
YOUNG LIFE 5401 FALLOWATER LANE ROANOKE VA 24018			RELIGIOUS	8,000
ST JUDES CHILDREN'S RESEARCH HOSPIT 262 DANNY THOMAS PLACE MEMPHIS TN 38105			CHILDREN'S WELFARE	3,100
RAFIKI FOUNDATION PO BOX 1988 EUSTIS FL 32727			HUMANITARIAN	3,150
5 POINTS MUSIC SANCTUARY 1217 MAPLE AVENUE SW RONOKE VA 24016			ADVOCACY	7,000
ANGELS OF ASSISSI 415 CAMPBELL AVENUE SW ROANOKE VA 24016			HUMANITARIAN	500
BLUE RIDGE LEGAL SERVICES 132 CAMPBELL AVENUE SW ROANOKE VA 24011			PHILANTHROPIC	5,000
Total			▶ 3a	
b *Approved for future payment* N/A				
Total			▶ 3b	

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Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BRADLEY FREE CLINIC 1240 3RD STREET ROANOKE VA 24016			HEALTH	5,000
CAMPUS CRUSADERS FOR CHRIST 100 LAKE HART DRIVE ORLANDO FL 32832			RELIGIOUS	6,000
CHIP OF ROANOKE VALLEY 1201 3RD STREET SW ROANOKE VA 24016			PHILANTHROPIC	5,500
DUANE PERDUE MEMORIAL FOUNDATION 6390 QUINCE MOUNTAIN ROAD SALEM VA 24153			ADVOCACY	1,000
EMORY & HENRY COLLEGE 30461 GARNAND DRIVE EMORY VA 24327			EDUCATIONAL	50,000
TANDEM FRIENDS SCHOOL 279 TANDEM LANE CHARLOTTESVILLE VA 22902			EDUCATIONAL	200
HEALING STRIDES OF VIRGINIA 672 NAFF ROAD BOONES MILL VA 24065			HEALTH	7,500
RESTORATION HOUSING 1116 MAIN STREET SW ROANOKE VA 24015			HISTORICAL	2,500
STRAIGHT STREET 333 LUCK AVENUE ROANOKE VA 24016			PHILANTHROPIC	3,150
STREET RANSOM 333 LUCK AVENUE ROANOKE VA 24016			PHILANTHROPIC	10,000
Total			▶ 3a	
b Approved for future payment N/A				
Total			▶ 3b	

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TURN THE PAGE PO BOX 8190 ROANOKE VA 24014			PHILANTHROPIC	5,000
ROANOKE CULTURAL ENDOWMENT 21 KIRK AVENUE SW ROANOKE VA 24011			ARTS	10,000
Total			▶ 3a	
b *Approved for future payment* N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	14,082	
4 Dividends and interest from securities			14	157,795	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	3,904	
8 Gain or (loss) from sales of assets other than inventory			14	73,064	179,222
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		248,845	179,222

13 **Total.** Add line 12, columns (b), (d), and (e) 13 428,067

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paul Powell, Jr. — Signature of officer or trustee | 11/14/19 — Date | TRUSTEE — Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
MARK K. MOSDELL	Mark Mosdell	11/13/19	
Firm's name ▶ Spencer Hager & Mosdell, P.C.		PTIN	P00687283
Firm's address ▶ P.O. Box 1165 Roanoke, VA 24006-1165		Firm's EIN ▶	54-1467814
		Phone no	540-985-0308

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No 1545-0047

2018

Name of the organization	Employer identification number
POWELL CHARITABLE FOUNDATION	65-1175747

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33^1/_3$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I (entering) "N/A" in column (b) instead of the contributor name and address), II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
POWELL CHARITABLE FOUNDATION

Employer identification number
65-1175747

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN POWELL TCLAT 303 APPERSON DRIVE SALEM VA 24153	$ 161,796	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	PEGGY DANIELS TCLAT 303 APPERSON DRIVE SALEM VA 24153	$ 161,796	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
3	WILLIAM POWELL TCLAT 303 APPERSON DRIVE SALEM VA 24153	$ 161,796	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
4	PAUL POWELL TCLAT 303 APPERSON DRIVE SALEM VA 24153	$ 161,796	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
5	MARY ROBERTS TCLAT 303 APPERSON DRIVE SALEM VA 24153	$ 161,796	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
Conduent Inc			Purchase				
	3/07/17	3/01/18	$ 2,523	$ 2,198	$	$	$ 325
!Shares Global (DU) Industrial ETF			Purchase				
	1/03/18	2/02/18	33,231	31,616			1,615
(Shares Global Consumer Discret. ETF			Purchase				
	2/02/18	3/29/18	31,277	33,112			-1,835
Whales Global Consumer Disci*. ETF			Purchase				
	2/22/18	3/29/18	11,729	12,036			-307
IShares MSCI EAFE Small Cap ETF			Purchase				
	10/03/17	3/29/18	61,306	58,292			3,014
Shares MSCI EAFE Small Cap ETF			Purchase				
	2/22/18	3/29/18	25,109	25,273			-164
(Shares Global Technology ETF			Purchase				
	1/12/17	5/02/18	30,727	29,842			885
Shales Global Technology ETF			Purchase				
	2/22/18	5/02/18	12,606	12,909			-303
IShares MSCI Emerg. Micts Index ETF			Purchase				
	4/03/18	5/02/18	42,677	43,984			-1,307
(Shares Edge =Cie% Vol Emergi			Purchase				
	4/03/18	5/02/18	83,513	85,121			-1,608
(Shares Global Consumer Discret ETF			Purchase				
	5/21/18	5/31/18	42,900	42,858			42
(Shares Global Technology ETF			Purchase				
	5/31/18	6/29/18	41,953	42,576			-623
IShares MSCI EAFE SmaN Cap ETF			Purchase				
	5/02/18	6/29/18	80,227	84,029			-3,802
(Shares Edge MSC! Min Vol Global			Purchase				
	6/29/18	7/23/18	39,071	38,215			856
Vanguard ETF			Purchase				
	7/23/18	7/26/18	39,891	39,969			-78
(shares Short Treasury Bond ETF			Purchase				
	7/26/18	7/31/18	39,741	39,765			-24
(Shares Edge MSCI Min Vol Global			Purchase				
	6/29/18	8/16/18	43,290	41,953			1,337

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
(Shares Edge NISCI Min Vol Global	7/31/18	8/16/18	$ 39,861	Purchase		$ 39,883	$	$	$ -22
(shares Edge MSCI Len Vol EAFE E	8/16/18	8/16/18	39,123	Purchase		39,754			-631
'shoes Edge MSCI Min Vol EAFE E	8/18/18	8/20/18	43,070	Purchase		43,368			-298
'Shares Core US Aggregate Bond ETF	8/16/18	9/04/18	39,365	Purchase		39,382			-17
(Shares Core US Aggregate Bond ETF	8/20/18	9/04/18	4,256	Purchase		4,268			-12
(shares Edge MSCI Mtn Vol EAFE E	9/04/18	9/06/18	41,592	Purchase		42,072			-480
(Shares Care US Aggregate Bond ETF	8/20/18	9/06/18	40,288	Purchase		40,547			-259
IShares Global (MC) Energy ETF	5/01/18	9/26/18	41,815	Purchase		42,690			-875
IShares Edge MSCI Len Vol Global	9/26/18	11/02/18	39,705	Purchase		41,061			-1,356
(Shares Global Consumer Discret. ETF	6/29/18	11/02/18	39,314	Purchase		41,935			-2,621
(Shares Global Technology ETF	9/26/18	11/02/18	38,450	Purchase		42,117			-3,667
!shares Short Treasury Bond ETF	11/02/18	11/26/18	77,704	Purchase		77,774			-70
(Shares 1-3 Yr Treas. Bond Fund	11/26/18	12/10/18	77,337	Purchase		77,367			-30
!Shares Edge MSCI hen Vol Global	9/26/18	12/31/18	38,216	Purchase		40,801			-2,585
BHP Group PLC	4/17/18	4/26/18	1,840	Purchase		1,780			60
Panasonic Corp	4/17/18	5/29/18	2,999	Purchase		3,192			-193
Tate Motors LTD	4/17/18	6/06/18	2,692	Purchase		3,166			-474

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received	Sale Price	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
Altsubistii Estate LTD	4/17/18	6/14/18	Purchase	$ 3,340		$ 3,164	$	$	$ 176
British American Tobacco	4/17/18	6/27/18	Purchase	1,090		1,249			-159
Schlumberger Ltd	4/17/18	6/27/18	Purchase	1,689		1,719			-30
Ambev S A	4/17/18	7/10/18	Purchase	2,284		3,249			-965
Contour SA	4/17/18	7/16/18	Purchase	2,441		3,208			-767
Airbus SE	4/17/18	7/23/18	Purchase	462		430			32
Baidu Inc.	4/17/18	8/10/18	Purchase	1,538		1,639			-101
Sumitomo Mitsui FINL	4/17/18	8/21/18	Purchase	1,493		1,596			-103
Bayer A G	4/17/18	8/24/18	Purchase	2,471		3,207			-736
Bayer A G	6/29/18	8/24/18	Purchase	1,402		1,623			-221
Fast Retailing Company	4/17/18	10/11/18	Purchase	3,858		3,270			588
Canadian Natural Res LTD	4/17/18	10/12/18	Purchase	2,701		3,242			-541
CK Hutchinson Holdings	4/17/18	11/15/18	Purchase	2,817		3,177			-360
Chip Com Intl LTD	7/10/18	11/23/18	Purchase	1,747		3,083			-1,336
Schlumberger Ltd	4/17/18	12/19/18	Purchase	1,727		3,093			-1,366
!Shares Floating Rate Bond ETF	4/17/18	6/28/18	Purchase	5,098		5,095			3
Automatic Data 225% 9/15/20	5/11/18	9/05/18	Purchase	24,708		24,765			-57

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Amazon.Com Inc 12/05121	4/30/18	10/11/18	$ 25,078	Purchase	$ 25,194		$	$	$ -116
IShares Floating Rate Bond ETF	4/17/18	10/19/18	9,935	Purchase	9,935				
IShares Floating Rate Bond ETF	4/17/18	10/22/18	15,288	Purchase	15,285				3
Turkiye Garanti Bankasi	4/17/18	4/30/18	1,247	Purchase	1,338				-91
Dassault Systemes	4/17/18	5/21/18	1,574	Purchase	1,634				-60
Shire PLC ADR	4/17/18	5/21/18	3,044	Purchase	2,789				255
Sysmex Corp	4/17/18	9/20/48	964	Purchase	985				-21
Dako Trust Construction	4/17/18	11/13/18	1,016	Purchase	1,385				-369
Dassauk Systemes "	4/17/18	11/13/18	1,598	Purchase	1,771				-173
WPP PLC	4/17/18	11/13/18	1,061	Purchase	1,503				-442
Air Liquide	4/17/18	12/13/18	2,300	Purchase	2,406				-106
BBA Aviation	4/17/18	12/13/18	603	Purchase	1,009				-406
CSL LTD	4/17/18	12/13/18	2,000	Purchase	1,950				50
Oracle Corp	10/25/17	6/27/18	3,760	Purchase	4,283				-523
Oracle Corp	3/14/18	6/27/18	1,327	Purchase	1,589				-262
Glencore PLC ADR	2/01/18	7/05/18	3,390	Purchase	4,500				-1,110
Glencore PLC ADR	3/07/18	7/05/18	1,201	Purchase	1,424				-223

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received	Sale Price	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
Garrett Motion	3/28/18	10/11/18	Purchase	$ 32		$ 16	$	$	$ 16
Resideo Technologies	3/28/18	11/02/18	Purchase	88		84			4
(shares India 50 ETF	9/08/17	1/24/18	Purchase	21,121		18,984			2,137
!shams MSC,' ALL Country Asia ex .	1/24/18	5/17/18	Purchase	19,526		21,127			-1,601
IShares MSCI South Korea Capped	9/08/17	7/18/18	Purchase	18,402		18,843			-441
IShares MSCI Peru Index Fund	7/18/18	10/05/18	Purchase	17,036		18,369			-1,333
!Shares MSCI Poland capped ETF	11/13/17	10/05/18	Purchase	16,226		18,542			-2,316
Calamos Convertible Fund	6/15/17	3/29/18	Purchase	41		40			1
Calamos Convertible Fund	9/14/17	3/29/18	Purchase	14		14			
Calamos Convertible Fund	12/18/17	3/29/18	Purchase	33		32			1
Wank* CoriVedible Fund	12/18/17	3/29/18	Purchase	312		303			9
Wanks Converbble Fund	3/19/18	3/29/18	Purchase	42		41			1
Eaton Vance Short Duration Strategic	3/31/17	3/29/18	Purchase	24		25			-1
Eaton Vance Short Duration Strategic	4/28/17	3/29/18	Purchase	24		25			-1
Eaton Vance Short Duration Strategic	5/31/17	3/29/18	Purchase	24		25			-1
Eaton Vance Short Duration Strategic	6/30/17	3/29/18	Purchase	24		25			-1
Eaton Vance Short Duration Strategic	7/31/17	3/29/18	Purchase	24		25			-1

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received	Sale Price	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
Eaton Vance Short Duration Strategic	8/31/17	3/29/18	Purchase	$ 25		$ 25	$	$	$
Eaton Vance Short Duration Strategic	9/29/17	3/29/18	Purchase	25		25			
Eaton Vance Short Duration Strategic	10/31/17	3/29/18	Purchase	25		25			
Eaton Vance Short Duration Strategic	11/30/17	3/29/18	Purchase	25		25			
Eaton Vance Shod Duration Strategic	12/29/17	3/29/18	Purchase	25		26			-1
Eaton Vance Short Duration Strategic	1/31/18	3/29/18	Purchase	25		25			
Eaton Vance Short Duration Strategic	2/20/18	3/29/18	Purchase	25		26			-1
Eaton Vance Short Duration Strategic	3/29/18	3/29/18	Purchase	25		26			-1
Find Eagle Global Fund-I	12/15/17	3/29/18	Purchase	282		257			25
First Eagle Global Fund-I	12/15/17	3/29/18	Purchase	38		34			4
First Eagle Global Fund-I	12/15/17	3/29/18	Purchase	922		842			80
Ivy High Income Fund A	4/27/17	3/29/18	Purchase	138		140			-2
Ivy High Income Fund A	5/26/17	3/29/18	Purchase	161		165			-4
Ivy High Income Fund A	6/27/17	3/29/18	Purchase	174		178			-4
Ivy High Income Fund A	7/27/17	3/29/18	Purchase	136		139			-3
Ivy High Income Fund A	8/25/17	3/29/18	Purchase	139		141			-2
Ivy High Income Fund A	9/27/17	3/29/18	Purchase	146		149			-3

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Ivy High Income Fund A	10/27/17	3/29/18	$ 164	Purchase	$ 166		$	$	$ -2
Ivy High Income Fund A	11/29/17	3/29/18	143	Purchase	143				
Ivy High Income Fund A	12/31/17	3/29/18	162	Purchase	163				-1
Ivy High Income Fund A	1/30/18	3/29/18	111	Purchase	113				-2
Ivy High Income Fund A	3/01/18	3/29/18	138	Purchase	139				-1
Ivy High Income Fund A	3/29/18	3/29/18	135	Purchase	135				
AMG Yacktman Fund Service Class	12/28/17	3/29/18	592	Purchase	508				84
AMG Yacktman Fund Service Class	12/28/17	3/29/18	215	Purchase	185				30
NAG Yaddman Fund Service Class	12/28/17	3/29/18	3,450	Purchase	2,960				490
Templeton Global Bond Fund Adv.	4/19/17	3/29/18	59	Purchase	63				-4
Templeton Global Bond Fund Adv.	5/17/17	3/29/18	60	Purchase	64				-4
Templeton Global Bond Fund Adv.	6/19/17	3/29/18	54	Purchase	58				-4
Templeton Global Bond Fund Adv.	7/19/17	3/29/18	62	Purchase	66				-4
Templeton Global Bond Fund Adv.	8/17/17	3/29/18	53	Purchase	57				-4
Templeton Global Bond Fund Adv.	9/19/17	3/29/18	52	Purchase	56				-4
Templeton Global Bond Fund Adv.	10/18/17	3/29/18	50	Purchase	54				-4
Templeton Global Bond Fund Adv.	12/19/17	3/29/18	173	Purchase	184				-11

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold						
	Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
Templeton Global Bond Fund Adv.	Purchase							
	1/18/18	3/29/18	$ 53	$ 57	$	$	$ -4
Templeton Global Bond Fund Adv.	Purchase							
	2/20/18	3/29/18	48	51			-3
Templeton Global Bond Fund Adv.	Purchase							
	3/19/18	3/29/18	68	73			-5
Royce Special Equity Fund I	Purchase							
	12/18/17	3/29/18	47	46			1
Royce Special Equity Fund I	Purchase							
	12/18/17	3/29/18	17	16			1
Royce Special Equity Fund I	Purchase							
	12/18/17	3/29/18	677	659			18
Templeton Global Bond Fund Advisor	Purchase							
	11/17/17	3/29/18		51			-51
FPA Crescent Portfolio	Purchase							
	7/05/17	4/02/18	112	99			13
FPA Crescent Portfolio	Purchase							
	7/05/17	4/02/18	440	390			50
FPA Crescent Portfolio	Purchase							
	12/20/17	4/02/18	362	321			41
FPA Crescent Portfolio	Purchase							
	12/20/17	4/02/18	878	778			100
Fidelity Advisor Real Estate Inc. Fu	Purchase							
	6/02/17	4/02/18	198	208			-10
Fidelity Advisor Real Estate Inc. Fu	Purchase							
	9/08/17	4/02/18	294	310			-16
Fidelity Advisor Real Estate Inc. Fu	Purchase							
	12/19/17	4/02/18	356	368			-12
Maly Advisor Real Estate Inc. Fund I	Purchase							
	12/19/17	4/02/18	103	107			-4
Fidelity Advisor Real Estate Inc. Fu	Purchase							
	3/06/18	4/02/18	10	10			
TCW Total Return Bond Fund I	Purchase							
	4/28/17	4/02/18	27	27			

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold / Expense	Depreciation	Net Gain / Loss
TCW Total Return Bond Fund I	5/31/17	4/02/18	$ 29	Purchase	$ 29	$	$	$
TCW Total Return Bond Fund I	6/30/17	4/02/18	29	Purchase	30			-1
TCW Total Return Bond Fund I	7/31/17	4/02/18	29	Purchase	30			-1
TCW Total Return Bond Fund I	8/31/17	4/02/18	30	Purchase	31			-1
TCW Total Return Bond Fund I	9/29/17	4/02/18	30	Purchase	31			-1
TCW Total Return Bond Fund I	11/01/17	4/02/18	31	Purchase	31			
TCW Total Return Bond Fund I	12/14/17	4/02/18	34	Purchase	35			-1
TCW Total Return Bond Fund I	12/31/17	4/02/18	65	Purchase	67			-2
TCW Total Return Bond Fund I	2/02/18	4/02/18	28	Purchase	29			-1
TCW Total Return Bond Fund I	2/02/18	4/02/18		Purchase	2			-2
TCW Total Return Bond Fund I	3/02/18	4/02/18		Purchase	31			-31
Lord Abbett Short Duration Inc. Fund	4/28/17	4/02/18	55	Purchase	59			-4
Lord Abbett Short Duration Inc. Fund	5/31/17	4/02/18	53	Purchase	56			-3
Lord Abbett Short Duration Inc. Fund	6/30/17	4/02/18	55	Purchase	58			-3
Lord Abbett Short Duration Inc. Fund	7/31/17	4/02/18	53	Purchase	56			-3
Lord Abbett Short Duration Inc. Fund	8/31/17	4/02/18	55	Purchase	58			-3
Lord Abbett Short Duration Inc. Fund	9/29/17	4/02/18	54	Purchase	58			-4

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
Lord Abbett Short Duration Inc. Fund	10/31/17	4/02/18	$ 51	Purchase		$ 55	$	$	$ -4
Lord Abbett Shod Duration Inc. Fund-	12/04/17	4/02/18	28	Purchase		30			-2
Lord Abbett Shod Duration Inc. Fund-	12/31/17	4/02/18	54	Purchase		57			-3
'Lord Abbott Short Duration Inc. Fun	2/02/18	4/02/18	55	Purchase		58			-3
Lord Abbott Shod Duration Inc. Fund-	3/02/18	4/02/18	55	Purchase		59			-4
Ishares India 50 ETF	6/09/17	1/18/18	23,210	Purchase		20,953			2,257
!shares MSCI ALL Country Asia ex	1/18/18	4/26/18	23,499	Purchase		24,946			-1,447
IShares MSCI Poland capped ETF	11/09/17	5/31/18	19,439	Purchase		22,996			-3,557
!Shares MSCI South Korea Capped	8/25/17	7/12/18	23,135	Purchase		24,259			-1,124
!Shares MSCI Peru Index·Fund	7/12/18	8/30/18	22,810	Purchase		23,954			-1,144
'Shares MSCI Chile index Fund	5/31/18	9/06/18	16,862	Purchase		19,978			-3,116
'shares India 50 E7F	9/07/18	10/15/18	15,905	Purchase		17,882			-1,977
lord Abbott Growth Leaders Cl. F	3/28/18	3/28/18	4,649	Purchase		4,538			111
lord Abbott Growth Leaders CI. F	11/24/17	3/28/18	2,412	Purchase		2,354			58
V F Corp	3/22/17	1/11/18	5,741	Purchase		3,960			1,781
AmeriSourceBergen Corp	5/31/17	2/21/18	10,702	Purchase		9,865			837
.AmeriSourceBergen Corp	2/21/18	2/21/18	2,477	Purchase		2,024			453

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
iShares MSCI Europe Financials ETF	7/26/17	7/18/18	Purchase $ 8,954	$ 10,136	$	$	$ -1,182
!Shares MSCI Europe Financials ETF	10/11/17	7/18/18	Purchase 3,256	3,743			-487
'Shares MSCI Europe Financials ETF	2/28/18	7/18/18	Purchase 3,460	4,130			-670
invesco LTD	3/07/18	11/14/18	Purchase 6,485	10,933			-4,448
Dupont De Nemours Inc	3/28/18	12/12/18	Purchase 8,935	10,531			-1,596
Dupont De Nemours Inc	7/11/18	12/12/18	Purchase 2,978	3,675			-697
Federated Instikdional High Yld Bond	6/01/17	5/16/18	Purchase 62	64			-2
Federated Institutional High Yld Bon	7/03/17	5/16/18	Purchase 62	64			-2
Federated Institutional High Yld Bon	8/01/17	5/16/18	Purchase 62	65			-3
Federated Instittdiasial High Yld Bo	9/01/17	5/16/18	Purchase 66	69			-3
Federated Institutional High Yld Bon	10/12/17	5/16/18	Purchase 64	66			-2
Federated institutional High Yld Bon	11/01/17	5/16/18	Purchase 63	66			-3
Federated Institutional High Yld Bon	12/01/17	5/16/18	Purchase 66	68			-2
Federated Institritbnal High Yld Bon	12/31/17	5/16/18	Purchase 65	67			-2
Federated Institutional High Yld Bon	2/01/18	5/16/18	Purchase 64	66			-2
Federated Institutional High Yld Bon	3/01/18	5/16/18	Purchase 67	68			-1
Federated InstitUtional Iiigh Yid Bo	4/02/18	5/18/18	Purchase 68	68			

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Federated institidienal High Yid Bon	5/01/18	5/18/18	$ 69	Purchase	$ 69		$	$	$
VOYA Real Estate Fund	2/07/18	3/14/18	42,871	Purchase	39,576				3,295
Neuberger Bernier* Long Short	2/07/18	9/21/18	30,235	Purchase	29,103				1,132
Piincipal Inv RIE SEC IS Fund	3/14/18	9/21/18	43,410	Purchase	40,278				3,132
SPDR Blackstone/GS0 Sr. Loan ETF	5/12/17	1/09/18	18,750	Purchase	18,794				-44
kwesco WO Tr ETF	9/11/17	4/10/18	6,254	Purchase	5,683				571
Powershare DB Base Metals Fund	7/12/17	7/12/18	11,154	Purchase	12,940				-1,786
Powershare DB Agricultural ETF Fd	3/16/18	7/12/18	11,410	Purchase	11,857				-447
SPDR Portfolio Emerging Market E	11/08/17	7/12/18	15,067	Purchase	15,729				-662
SPDR Technology Sector ETF	1/09/18	9/11/18	223	Purchase	200				23
SPDR Technology Sector ETF	1/09/18	12/12/18	15,401	Purchase	15,309				92
SPDR Portfolio Small Cap ETF	4/10/18	12/12/18	19,351	Purchase	20,244				-893
Micro Focus Intl PLC	8/31/15	2/28/18	979	Purchase	993				-14
Conduent Inc	5/26/15	3/01/18	2,617	Purchase					2,617
DXC Technologies Co.	8/31/15	3/01/18	2,184	Purchase	901				1,283
New York Community Bancorp	10/18/16	3/01/18	5,246	Purchase	5,275				-29
Aroonic Inc	1/21/15	6/15/18	2,920	Purchase	5,493				-2,573

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
PNC Financial Services Group	1/25/10	1/31/18	Purchase $ 9,558	$ 3,498	$	$	$ 6,060
Ally Financial Inc Sr. Note 1.7%-Oct	9/30/15	10/01/18	Purchase 50,000	50,007			-7
Weyerhaeuser Co.	6/30/16	1/10/18	Purchase 3,999	3,434			565
Disney Walt Co	10/20/14	1/31/18	Purchase 2,742	2,124			618
Marathon Petroleum Corp	3/16/16	1/31/18	Purchase 1,373	762			611
AmeriSourceBergen Corp	10/20/14	2/01/18	Purchase 4,447	3,389			1,058
AmeriSourceBergen Corp	11/09/16	2/01/18	Purchase 1,482	1,080			402
Priceline Group Inc	3/04/15	2/21/18	Purchase 3,804	2,464			1,340
Pricerme Group Inc	7/15/15	2/21/18	Purchase 1,902	1,174			728
Celgene Corp	10/20/14	2/28/18	Purchase 3,514	3,655			-141
Capital One Financial Corp	10/20/14	3/07/18	Purchase 2,526	1,987			539
Adobe Systems Inc	3/02/16	3/27/18	Purchase 1,515	616			899
Johnson Controls Int, PLC	12/21/16	3/28/18	Purchase 2,910	3,661			-751
Bacon Mobil Corp	8/05/15	4/04/18	Purchase 3,687	3,904			-217
Visa Inc A	10/20/14	5/16/18	Purchase 2,621	1,035			1,586
Amgen Inc	10/20/14	5/30/18	Purchase 3,597	2,685			912
Liberty Media Corp C	10/20/14	6/26/18	Purchase 2,045	1,403			642

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
Liberty Media Corp C	2/18/15	6/26/18	Purchase $ 3,408	$ 2,880	$	$	$ 528
Nice Inc	5/17/17	10/03/18	Purchase 1,564	999			565
Starbucks Corp	11/08/17	11/14/18	Purchase 2,705	2,299			406
Schlumberger Ltd	10/20/14	11/21/18	Purchase 950	1,880			-930
Schkanberger Ltd	11/12/14	11/21/18	Purchase 1,899	3,955			-2,056
Schiumberger Ltd	9/02/15	11/21/18	Purchase 712	1,148			-436
!Shares US Insurance ETF	11/22/16	3/12/18	Purchase 19,738	17,016			2,722
[Shares US Regional Banks ETF	11/22/16	10/05/18	Purchase 19,814	17,080			2,734
!Shares MSCI Austria ETF	5/30/17	12/18/18	Purchase 15,438	17,253			-1,815
IShares MSCI Taiwan	5/30/17	12/18/18	Purchase 15,703	17,231			-1,528
SunTrust Bank Inc Pref. 5.875%	12/14/12	3/15/18	Purchase 25,000	25,000			
Allstate Corp REPSTG 5.625% Perpti	6/05/13	3/29/18	Purchase 24,623	25,000			-377
Calamos Convertible Fund	9/20/10	3/29/18	Purchase 3,747	3,638			109
Calamos Convertible Fund	12/20/10	3/29/18	Purchase 16	16			
Calamos Convertible Fund	12/20/10	3/29/18	Purchase 117	114			3
Calarnos Convertible Fund	3/21/11	3/29/18	Purchase 5	5			
Calamos Convertible Fund	6/20/11	3/29/18	Purchase 27	26			1

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
Calamos Convertible Fund	12/27/11	3/29/18	$ 16	Purchase		$ 15	$	$	$ 1
Calamos Convertible Fund	12/27/11	3/29/18	337	Purchase		328			9
Calamos Convertible Fund	3/26/12	3/29/18	11	Purchase		10			1
Calamos Convertible Fund	6/25/12	3/29/18	8	Purchase		7			1
Calamos Convertible Fund	12/21/12	3/29/18	6	Purchase		6			
Cedamos Convertible Fund	12/21/12	3/29/18	405	Purchase		393			12
Calamos Convertible Fund	12/20/13	3/29/18	131	Purchase		127			4
Calamos Convertible Fund	12/20/13	3/29/18	228	Purchase		221			7
Calamos Convertible Fund	12/20/13	3/29/18	127	Purchase		123			4
Calamos Convertible Fund	3/21/14	3/29/18	31	Purchase		30			1
Calamos Convertible Fund	6/20/14	3/29/18	12	Purchase		12			
Calamos Convertible Fund	9/19/14	3/29/18	33	Purchase		32			1
Calamos Convertible Fund	12/19/14	3/29/18	33	Purchase		32			1
Cabanas Convertible Fund	12/19/14	3/29/18	266	Purchase		258			8
Calamos Convertible Fund	3/20/15	3/29/18	38	Purchase		37			1
Calamos Convertible Fund	6/19/15	3/29/18	48	Purchase		47			1
Cabmos Convertble Fund	9/18/15	3/29/18	64	Purchase		62			2

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold						
Date Acquired	Date Sold	Sale Price		Cost	Expense	Depreciation	Net Gain / Loss
Calamos Convertible Fund			Purchase				
12/17/15	3/29/18	$ 94		$ 91	$	$	$ 3
Calamos doiivertue Fund			Purchase				
12/17/15	3/29/18	99		96			3
Calamos Convertible Fund			Purchase				
3/11/16	3/29/18	2,108		2,046			62
Calamos Convertible Fund			Purchase				
3/17/16	3/29/18	20		19			1
Calams Convertible Fund			Purchase				
8/16/16	3/29/18	51		49			2
Calamos Convertible Fund			Purchase				
9/15/16	3/29/18	82		80			2
Calamos Convertible Fund			Purchase				
12/15/16	3/29/18	79		77			2
Calamos Convertible Fund			Purchase				
3/16/17	3/29/18	58		56			2
Eaton Vance Short Duration Strategic			Purchase				
9/20/10	3/29/18	1,809		1,854			-45
Eaton Vance Short Duration Strategic			Purchase				
10/04/10	3/29/18	4		4			
Eaton Vance Short Duration Strategic			Purchase				
11/02/10	3/29/18	16		16			
Eaton Vance Short Duration Strategic			Purchase				
12/02/10	3/29/18	15		16			-1
Eaton Vance Short Duration Strategic			Purchase				
1/04/11	3/29/18	16		16			
Eaton Vance Short Duration Strategic			Purchase				
2/02/11	3/29/18	16		16			
Eaton Vance Short Duration Strategic			Purchase				
3/02/11	3/29/18	14		15			-1
Eaton Vance Short Duration Strategic			Purchase				
4/04/11	3/29/18	16		16			
Eaton Vance Short Duration Strategic			Purchase				
5/03/11	3/29/18	16		16			

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Eaton Vance Short Duration Strategic	6/02/11	3/29/18	$ 15	Purchase	$ 15		$	$	$
Eaton Vance Short Duration Strategic	7/05/11	3/29/18	14	Purchase	15				-1
Eaton Vance Short Duration Strategic	8/02/11	3/29/18	15	Purchase	15				
Eaton Vance Short Duration Strategic	9/02/11	3/29/18	15	Purchase	15				
Eaton Vance Short Duration Strategic	10/04/11	3/29/18	15	Purchase	15				
Eaton Vance Short Duration Strategic	11/02/11	3/29/18	15	Purchase	16				-1
Eaton Vance Shod Duration Strategic	12/02/11	3/29/18	15	Purchase	15				
Eaton Vance Short Duration Strategic	12/31/11	3/29/18	16	Purchase	16				
Eaton Vance Short Duration Strategic	2/02/12	3/29/18	15	Purchase	16				-1
Eaton Vance Short Duration Strategic	3/02/12	3/29/18	14	Purchase	14				
Eaton Vance Short Duration Strategic	4/03/12	3/29/18	8	Purchase	8				
Eaton Vance Short Duration Strategic	5/02/12	3/29/18	8	Purchase	8				
Eaton Vance Short Duration Strategic	6/04/12	3/29/18	8	Purchase	9				-1
Eaton Vance Short Duration Strategic	6/08/12	3/29/18	1,881	Purchase	1,928				-47
Eaton Vance Short Duration Strategic	7/03/12	3/29/18	13	Purchase	13				
Eaton Vance Short Duration Strategic	8/01/12	3/29/18	16	Purchase	16				
Eaton Vance Short Duration Strategic	9/05/12	3/29/18	16	Purchase	16				

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received	Sale Price	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Eaton Vance Short Duration Strategic	10/01/12	3/29/18	Purchase	$ 15	$ 16		$	$	$ -1
Eaton Vance Short Duration Strategic	11/01/12	3/29/18	Purchase	16	16				
Eaton Vance Short Duration Strategic	12/03/12	3/29/18	Purchase	15	16				-1
Eaton Vance Short Duration Strategic	12/31/12	3/29/18	Purchase	16	16				
Eaton Vance Short Duration Strategic	2/01/13	3/29/18	Purchase	16	16				
Eaton Vance Short Duration Strategic	3/01/13	3/29/18	Purchase	14	15				-1
Eaton Vance Short Duration Strategic	3/28/13	3/29/18	Purchase	16	16				
Eaton Vance Short Duration Strategic	4/30/13	3/29/18	Purchase	16	16				
Eaton Vance Short Duration Strategic	5/31/13	3/29/18	Purchase	16	17				-1
Eaton Vance Short Duration Strategic	6/28/13	3/29/18	Purchase	16	17				-1
Eaton Vance Short Duration Strategic	7/31/13	3/29/18	Purchase	17	17				
Eaton Vance Short Duration Strategic	8/30/13	3/29/18	Purchase	17	18				-1
Eaton Vance Short Duration Strategic	9/30/13	3/29/18	Purchase	17	17				
Eaton Vance Short Duration Strategic	10/31/13	3/29/18	Purchase	17	18				-1
Eaton Vance Short Duration Strategic	11/29/13	3/29/18	Purchase	17	17				
Eaton Vance Short Duration Strategic	12/31/13	3/29/18	Purchase	17	18				-1
Eaton Vance Short Duration Strategic	1/31/14	3/29/18	Purchase	18	18				

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
Eaton Vance Short Duration Strategic	2/28/14	3/29/18	Purchase $ 16	$ 16	$	$	$
Eaton Vance Short Duration Strategic	3/31/14	3/29/18	Purchase 18	18			
Eaton Vance Short Duration Strategic	4/30/14	3/29/18	Purchase 17	18			-1
Eaton Vance Short Duration Strategic	5/30/14	3/29/18	Purchase 18	18			
Eaton Vance Shod Duration Strategic	6/30/14	3/29/18	Purchase 17	18			-1
Eaton Vance Short Duration Strategic	7/31/14	3/29/18	Purchase 18	18			
Eaton Vance Shod Duration Strategic	8/29/14	3/29/18	Purchase 18	18			
Eaton Vance Short Duration Strategic	9/30/14	3/29/18	Purchase 15	16			-1
Eaton Vance Shod Duration Strategic	10/31/14	3/29/18	Purchase 15	16			-1
Eaton Vance Short Duration Strategic	11/28/14	3/29/18	Purchase 15	16			-1
Eaton Vance Short Duration Strategic	12/31/14	3/29/18	Purchase 16	16			
Eaton Vance Shod Duration Strategic	12/31/14	3/29/18	Purchase 95	98			-3
Eaton Vance Short Duration Strategic	1/30/15	3/29/18	Purchase 16	16			
Eaton Vance Short Duration Strategic	2/27/15	3/29/18	Purchase 16	16			
Eaton Vance Short Duration Strategic	3/31/15	3/29/18	Purchase 16	16			
Eaton Vance Short Duration Strategic	4/30/15	3/29/18	Purchase 16	16			
Eaton Vance Short Duration Strategic	5/29/15	3/29/18	Purchase 16	16			

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
Eaton Vance Shod Duration Strategic	6/30/15	3/29/18	Purchase $ 16	$ 17	$	$	$ -1
Eaton Vance Shod Duration Strategic	7/31/15	3/29/18	Purchase 16	17			-1
Eaton Vance Short Duration Strategic	8/31/15	3/29/18	Purchase 16	17			-1
Eaton Vance Shod Duration Strategic	9/30/15	3/29/18	Purchase 16	17			-1
Eaton Vance Short Duration Strategic	10/30/15	3/29/18	Purchase 16	17			-1
Eaton Vance Short Duration Strategic	11/30/15	3/29/18	Purchase 16	17			-1
Eaton Vance Shod Duration Strategic	12/31/15	3/29/18	Purchase 16	17			-1
Eaton Vance Short Duration Strategic	12/09/16	3/29/18	Purchase 16	17			-1
Eaton Vance Shod Duration Strategic	2/29/16	3/29/18	Purchase 16	17			-1
Eaton Vance Short Duration Strategic	3/11/16	3/29/18	Purchase 2,265	2,321			-56
Eaton Vance Shod Duration Strategic	3/31/16	3/29/18	Purchase 24	25			-1
Eaton Vance Shod Duration Strategic	4/29/16	3/29/18	Purchase 25	25			
Eaton Vance Shod Duration Strategic	5/31/16	3/29/18	Purchase 25	25			
Eaton Vance Shod Duration Strategic	6/30/16	3/29/18	Purchase 25	25			
Eaton Vance Short Duration Strategic	7/28/16	3/29/18	Purchase 25	25			
Eaton Vance Shod Duration Strategic	8/30/16	3/29/18	Purchase 25	26			-1
Eaton Vance Shod Duration Strategic	9/30/16	3/29/18	Purchase 23	23			

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold				
Date Acquired / Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss	
Eaton Vance Short Dungan Strategic I	Purchase					
10/31/16 3/29/18	$ 23	$ 23	$	$	$	
Eaton Vance Short Duration Strategic	Purchase					
12/30/16 3/29/18	24	25			-1	
Eaton Vance Short Duration Strategic	Purchase					
1/31/17 3/29/18	24	25			-1	
Eaton Vance Short Duration Strategic	Purchase					
2/28/17 3/29/18	24	25			-1	
Fast Eagle Global Fund -I	Purchase					
6/08/12 3/29/18	5,977	5,458			519	
Fast Eagle Global Fund-I	Purchase					
12/17/12 3/29/18	472	431			41	
Fast Eagle Global Fund-I	Purchase					
12/17/12 3/29/18	823	751			72	
First Eagle Gloldd Fund-I	Purchase					
12/19/13 3/29/18	327	299			28	
First Eagle Global Fund-I	Purchase					
12/19/13 3/29/18	721	659			62	
First Eagle Global Fund-I	Purchase					
12/19/13 3/29/18	164	150			14	
First Eagle Global Fund-I	Purchase					
7/28/14 3/29/18	15,037	13,733			1,304	
Find Eagle Global Fund-I	Purchase					
12/18/14 3/29/18	243	222			21	
First Eagle Global Fund-I	Purchase					
12/18/14 3/29/18	1,654	1,511			143	
First Eagle Global Fund-I	Purchase					
12/18/14 3/29/18	147	134			13	
Fast Eagle Global Fund-I	Purchase					
12/18/15 3/29/18	29	26			3	
Fast Eagle Global Fund-I	Purchase					
12/18/15 3/29/18	432	394			38	
First Eagle Global Fund-I	Purchase					
12/18/15 3/29/18	59	54			5	

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
First Eagle Global fund-I	12/14/16	3/29/18	Purchase $ 167	$ 152	$	$	$ 15
Ford Eagle Global Fund-I	12/14/16	3/29/18	Purchase 43	39			4
First Eagle Global Fund-I	12/14/16	3/29/18	Purchase 1,080	986			94
OaIona* International CI I	9/27/16	3/29/18	Purchase 55,150	43,000			12,150
Ivy High Income Fund A	2/24/12	3/29/18	Purchase 7,498	8,259			-761
Ivy High Income Fund A	2/29/12	3/29/18	Purchase 2	2			
Ivy High Income Fund A	3/29/12	3/29/18	Purchase 69	77			-8
Ivy High Income Fund A	5/01/12	3/29/18	Purchase 82	92			-10
-Ivy High Income Fund A	5/30/12	3/29/18	Purchase 69	76			-7
Ivy High Income Fund A	6/08/12	3/29/18	Purchase 5,494	6,000			-506
Ivy High Income Fund A	6/29/12	3/29/18	Purchase 93	103			-10
Ivy High Income Fund A	7/31/12	3/29/18	Purchase 115	128			-13
Ivy High Income Fund A	8/29/12	3/29/18	Purchase 103	116			-13
Ivy High Income Fund A	10/11/12	3/29/18	Purchase 107	122			-15
ivy High Income Fund A	11/01/12	3/29/18	Purchase 127	145			-18
Ivy High Income Fund A	11/29/12	3/29/18	Purchase 122	139			-17
Ivy High Income Fund A	12/17/12	3/29/18	Purchase 170	194			-24

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received	Sale Price	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
Ivy High Income Fund A	12/17/12	3/29/18	Purchase	$ 51		$ 58	$	$	$ -7
Ivy High Income Fund A	12/31/12	3/29/18	Purchase	123		140			-17
Ivy High Income Fund A	1/29/13	3/29/18	Purchase	98		113			-15
Ivy High Income Fund A	3/01/13	3/29/18	Purchase	112		129			-17
Ivy High Income Fund A	4/01/13	3/29/18	Purchase	90		105			-15
Ivy High Income Fund A	4/30/13	3/29/18	Purchase	92		108			-16
Ivy High Income Fund A	5/29/13	3/29/18	Purchase	79		92			-13
Ivy High Income Fund A	7/01/13	3/29/18	Purchase	90		103			-13
Ivy High Income Fund A	7/30/13	3/29/18	Purchase	92		106			-14
Ivy High Income Fund A	8/29/13	3/29/18	Purchase	90		104			-14
Ivy High Income Fund A	10/01/13	3/29/18	Purchase	94		109			-15
Ivy High Income Fund A	10/29/13	3/29/18	Purchase	86		101			-15
Ivy Nigh Income Fund A	12/02/13	3/29/18	Purchase	92		108			-16
Ivy High Income Fund A	12/16/13	3/29/18	Purchase	101		117			-16
Ivy High Income Fund A	12/16/13	3/29/18	Purchase	133		153			-20
Ivy High Income Fund A	1/03/14	3/29/18	Purchase	88		102			-14
Ivy High Income Fund A	1/29/14	3/29/18	Purchase	68		78			-10

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
Ivy High Income Fund A	3/31/14	3/29/18	Purchase $ 85	$ 100	$	$	$ -15
Ivy High Income Fund A	3/31/14	3/29/18	Purchase 77	89			-12
Ivy High Income Fund A	4/29/14	3/29/18	Purchase 86	100			-14
Ivy frigh Income Fund A	5/29/14	3/29/18	Purchase 83	97			-14
Ivy High Income Fund A	7/01/14	3/29/18	Purchase 90	106			-16
Ivy High Income Fund A	7/29/14	3/29/18	Purchase 84	97			-13
ivy High Income Fund A	8/29/14	3/29/18	Purchase 97	112			-15
Ivy High Income Fund A	9/30/14	3/29/18	Purchase 89	101			-12
Ivy High Income Fund A	10/29/14	3/29/18	Purchase 83	94			-11
Ivy High Income. Fund A	1/21/14	3/29/18	Purchase 100	112			-12
Ivy High Income Fund A.	12/15/14	3/29/18	Purchase 94	102			-8
Ivy High Income Fund A	12/15/14	3/29/18	Purchase 196	212			-16
Ivy. High Income Fund A	1/05/15	3/29/18	Purchase 115	124			-9
Ivy High Income Fund A	12/09/15	3/29/18	Purchase 86	91			-5
Ivy High Income Fund A	3/03/15	3/29/18	Purchase 104	113			-9
Ivy.High Income Fund A	3/31/15	3/29/18	Purchase 93	100			-7
Ivy High Income Fund A	4/28/15	3/29/18	Purchase 99	107			-8

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold	Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
Ivy High Income Fund A	Purchase		5/28/15	3/29/18	$ 99	$ 108	$	$	$ -9
Ivy High Income Fund A	Purchase		6/29/15	3/29/18	108	115			-7
Ivy High Income Fund A	Purchase		7/28/15	3/29/18	103	108			-5
Ivy High Income Fund A	Purchase		8/28/15	3/29/18	114	116			-2
Ivy High Income Fund A	Purchase		9/28/15	3/29/18	118	119			-1
Ivy High Income Fund A	Purchase		10/27/15	3/29/18	115	116			-1
Ivy High Income Fund A	Purchase		11/27/15	3/29/18	128	125			3
Ivy High Income Fund A	Purchase		12/31/15	3/29/18	139	129			10
Ivy High Income Fund A	Purchase		1/27/16	3/29/18	100	90			10
Ivy High Income Fund A	Purchase		2/26/16	3/29/18	133	118			15
Ivy High hICOMII Fund A	Purchase		3/11/16	3/29/18	3,752	3,401			351
ivy High Income Fund A	Purchase		3/24/16	3/29/18	122	113			9
Ivy High Income Fund A	Purchase		4/27/16	3/29/18	148	140			8
Ivy High Income Fund A	Purchase		5/27/16	3/29/18	159	152			7
Ivy High Income Fund A	Purchase		6/27/16	3/29/18	141	133			8
Ivy High Income Fund A	Purchase		7/27/16	3/29/18	144	141			3
Ivy High Income Fund A	Purchase		8/26/16	3/29/18	154	151			3

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Ivy High Income Fund A	9/27/16	3/29/18	$ 145	Purchase	$ 143		$	$	$ 2
Ivy High Income Fund A	10/27/16	3/29/18	145	Purchase	144				1
hoc High income Fund A	11/25/16	3/29/18	160	Purchase	157				3
Ivy High Income Fund A	12/30/16	3/29/18	175	Purchase	175				
Ivy High Income Fund A	2/27/17	3/29/18	120	Purchase	123				-3
Ivy High Income Fund A	3/27/17	3/29/18	104	Purchase	105				-1
Merril Lynch Cap. Trust Pref. 7.375%	8/09/10	3/29/18	52,319	Purchase	50,229				2,090
AMG Yackbnan Fund Service Class	3/23/11	3/29/18	3,339	Purchase	2,865				474
AMG Yacktman Fund Service Class	8/31/11	3/29/18	6,586	Purchase	5,651				935
AMG Yacktman Fund Service Class	12/31/11	3/29/18	341	Purchase	292				49
AMG Yadcbnan Fund Service Class	12/31/11	3/29/18	85	Purchase	73				12
AMG Yacktman Fund Service Class	6/06/12	3/29/18	15,377	Purchase	13,194				2,183
AMG Yadcbnan Fund Service Class	6/29/12	3/29/18	285	Purchase	245				40
AMG Yadctman Fund Service Class	6/29/12	3/29/18		Purchase					
AMG Yacktman Fund Service Class	6/29/12	3/29/18	318	Purchase	273				45
NAG Yacktman Fund Service Class	12/28/12	3/29/18	336	Purchase	289				47
NAG Yadcbnan Fund Service Class	12/28/12	3/29/18	26	Purchase	23				3

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
NAG Yadcbnan Fund Service Class	12/27/13	3/29/18	Purchase $ 412	$ 353	$	$	$ 59
NAG'Yacktman Fund Service Class	12/27/13	3/29/18	Purchase 1,063	912			151
AMG Yackbnan Fund Service Class	12/27/13	3/29/18	Purchase 243	208			35
NAG Yacktmwi Fund Service Class	12/29/14	3/29/18	Purchase 497	426			71
NAG Yacktman Fund Service Class	12/29/14	3/29/18	Purchase 1,533	1,315			218
NAG Yackbnan Fund Service Class	12/29/14	3/29/18	Purchase 25	22			3
NAG Yadcbnan Fund Service Class	12/28/15	3/29/18	Purchase 53	46			7
NAG Yaddman Fund Service Class	12/28/15	3/29/18	Purchase 3,951	3,390			561
NAG Yacktman Fund Service Class	12/28/15	3/29/18	Purchase 543	466			77
AMG Yackbnan Fund Service Class	3/09/16	3/29/18	Purchase 1,510	1,296			214
NAG Yackbnan Fund Service Class	12/27/16	3/29/18	Purchase 744	639			105
NAG Yaddman Fund Service Class	12/27/16	3/29/18	Purchase 2,595	2,227			368
NAG Yacktman Fund Service Class	12/27/16	3/29/18	Purchase 20	17			3
New World CI F3	9/29/16	3/29/18	Purchase 33,732	27,000			6,732
Templeton Global Bond Fund Adv.	9/20/10	3/29/18	Purchase 7,756	8,291			-535
Templeton Global Bond Fund Adv.	10/19/10	3/29/18	Purchase 44	47			-3
Templeton Global Bond Fund Adv.	11/17/10	3/29/18	Purchase 45	48			-3

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Templeton Global Bond Fund Adv.	12/17/10	3/29/18	$ 165	Purchase	$ 177		$	$	$ -12
Templeton Global Bond Fund Adv.	1/20/11	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	2/17/11	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	3/17/11	3/29/18	47	Purchase	50				-3
Templeton Global Bond Fund Adv.	4/19/11	3/29/18	45	Purchase	48				-3
Templeton Global Bond Fund Adv.	5/18/11	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	6/17/11	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	7/19/11	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	8/17/11	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	9/19/11	3/29/18	48	Purchase	51				-3
Templeton Global Bond Fund Adv.	10/19/11	3/29/18	49	Purchase	53				-4
Templeton Global Bond Fund Adv.	11/17/11	3/29/18	50	Purchase	53				-3
Templeton Global Bond Fund Adv.	12/19/11	3/29/18	259	Purchase	277				-18
Templeton Global Bond Fund Adv.	12/19/11	3/29/18	83	Purchase	88				-5
Templeton Global Bond Fund Adv.	1/19/12	3/29/18	52	Purchase	56				-4
Templeton Global Bond Fund Adv.	2/19/12	3/29/18	50	Purchase	54				-4
Templeton Global Bond Fund Adv.	3/19/12	3/29/18	50	Purchase	54				-4

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold					
Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss	
Templeton Global Bond Fund Adv.	Purchase						
4/18/12	3/29/18	$ 52	$ 55	$	$	$ -3	
Templeton Global Bond Fund Adv.	Purchase						
5/17/12	3/29/18	53	57			-4	
Templeton Global Bond Fund Adv.	Purchase						
6/08/12	3/29/18	6,663	7,123			-460	
Templeton Global Bond Fund Adv.	Purchase						
6/19/12	3/29/18	80	86			-6	
Templeton Global Bond Fund Adv.	Purchase						
7/18/12	3/29/18	78	84			-6	
Templeton Global Bond Fund Adv.	Purchase						
8/17/12	3/29/18	77	82			-5	
Templeton Global Bond Fund Adv.	Purchase						
9/18/12	3/29/18	61	65			-4	
Templeton Global Bond Fund Adv.	Purchase						
10/16/12	3/29/18	61	65			-4	
Templeton Global Bond Fund Adv.	Purchase						
11/16/12	3/29/18	61	65			-4	
Templeton Global Bond Fund Adv.	Purchase						
12/18/12	3/29/18	397	425			-28	
Templeton Global Bond Fund Adv.	Purchase						
12/18/12	3/29/18	264	282			-18	
Templeton Global Bond Fund Adv.	Purchase						
1/16/13	3/29/18	63	67			-4	
Templeton Global Bond Fund Adv.	Purchase						
2/19/13	3/29/18	63	68			-5	
Templeton Global Bond Fund Adv.	Purchase						
3/18/13	3/29/18	63	68			-5	
Templeton Global Bond Fund Adv.	Purchase						
4/16/13	3/29/18	50	54			-4	
Templeton Global Bond Fund Adv.	Purchase						
5/16/13	3/29/18	50	53			-3	
Templeton Global Bond Fund Adv.	Purchase						
6/18/13	3/29/18	52	56			-4	

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold						
Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss		
Templeton Global Bond Fund Adv.	Purchase							
7/16/13	3/29/18	$ 53	$ 56	$	$	$ -3		
Templeton Global Bond Fund Adv.	Purchase							
8/16/13	3/29/18	54	57			-3		
Templeton Global Bond Fund Adv.	Purchase							
9/17/13	3/29/18	53	57			-4		
TeMpleton Global Bond Fund Adv.	Purchase							
10/16/13	3/29/18	53	56			-3		
Templeton Global Bond Fund Adv.	Purchase							
11/18/13	3/29/18	53	57			-4		
Templeton Global Bond Fund Adv.	Purchase							
12/17/13	3/29/18	117	125			-8		
Templeton Global Bond Fund Adv.	Purchase							
12/17/13	3/29/18	4	4					
Templeton Global Bond Fund Adv.	Purchase							
1/16/14	3/29/18	54	58			-4		
Templeton Global Bond Fund Adv.	Purchase							
2/19/14	3/29/18	55	59			-4		
Templeton Global Bond Fund Adv.	Purchase							
3/18/14	3/29/18	55	59			-4		
Templeton Global Bond Fund Adv.	Purchase							
4/16/14	3/29/18	41	44			-3		
Templeton Global Bond Fund Adv.	Purchase							
5/16/14	3/29/18	40	43			-3		
Templeton Global Bond Fund Adv.	Purchase							
6/17/14	3/29/18	40	43			-3		
Templeton Global Bond Fund Adv.	Purchase							
7/16/14	3/29/18	40	43			-3		
Templeton Global Bond Fund Adv.	Purchase							
8/18/14	3/29/18	40	43			-3		
Templeton Global Bond Fund Adv.	Purchase							
9/16/14	3/29/18	40	43			-3		
Templeton Global Bond Fund Adv.	Purchase							
10/16/14	3/29/18	41	44			-3		

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Templeton Global Bond Fund Adv.	11/18/14	3/29/18	$ 41	Purchase	$ 44		$	$	$ -3
Templeton Global Bond Fund Adv.	12/16/14	3/29/18	724	Purchase	774				-50
Templeton Global Bond Fund Adv.	12/16/14	3/29/18	41	Purchase	44				-3
Templeton Global Bond Fund Adv.	1/16/15	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	2/18/15	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	3/17/15	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	4/16/15	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	5/19/15	3/29/18	46	Purchase	49				-3
Templeton Global Bond Fund Adv.	6/16/15	3/29/18	47	Purchase	50				-3
Templeton Global Bond Fund Adv.	7/16/15	3/29/18	47	Purchase	50				-3
Templeton Global Bond Fund Adv.	8/18/15	3/29/18	49	Purchase	52				-3
Templeton Global Bond Fund Adv.	9/16/15	3/29/18	50	Purchase	53				-3
Templeton Global Bond Fund Adv.	10/16/15	3/29/18	49	Purchase	53				-4
Templeton Global Bond Fund Adv.	11/18/15	3/29/18	49	Purchase	52				-3
Templeton Global Bond Fund Adv.	12/17/15	3/29/18	50	Purchase	54				-4
Templeton Global Bond Fund Adv.	1/20/16	3/29/18	53	Purchase	56				-3
Templeton Global Bond Fund Adv.	2/18/16	3/29/18	54	Purchase	57				-3

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
Templeton Global Bond Fund Adv.	3/11/16	3/29/18	Purchase $ 1,357	$ 1,450	$	$	$ -93
Templeton Global Bond Fund Adv.	3/17/16	3/29/18	Purchase 55	59			-4
Templeton Global Bond Fund Adv.	4/19/16	3/29/18	Purchase 55	59			-4
Templeton Global Bond Fund Adv.	5/18/16	3/29/18	Purchase 37	40			-3
Templeton Global Bond Fund Adv.	6/17/16	3/29/18	Purchase 38	41			-3
Templeton Global Bond Fund Adv.	7/19/16	3/29/18	Purchase 37	39			-2
Templeton Global Bond Fund Adv.	8/17/16	3/29/18	Purchase 37	39			-2
Templeton Global Bond Fund Adv.	9/19/16	3/29/18	Purchase 38	40			-2
Templeton Global Bond Fund Adv.	10/19/16	3/29/18	Purchase 37	39			-2
Templeton Global Bond Fund Adv.	11/17/16	3/29/18	Purchase 37	40			-3
Templeton Global Bond Fund Adv.	12/19/16	3/29/18	Purchase 400	428			-28
Templeton Global Bond Fund Adv.	2/17/17	3/29/18	Purchase 45	48			-3
Templeton Global Bond Fund Adv.	3/17/17	3/29/18	Purchase 51	55			-4
Templeton Global Bond Fund Adv.	1/19/17	3/29/18	Purchase 52	55			-3
Vanguard Mid Cap ETF	7/14/14	3/29/18	Purchase 17,582	13,632			3,950
Royce Special Equity Fund I	9/20/10	3/29/18	Purchase 2,171	2,115			56
Royce Special Equity Fund I	12/13/10	3/29/18	Purchase 14	13			1

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
Royce Special Equity Fund I	12/12/11	3/29/18	Purchase $ 22	$ 21	$	$	$ 1
Royce Special Equity Fund I	12/12/11	3/29/18	Purchase 252	246			6
Royce Special Equity Fund I	6/08/12	3/29/18	Purchase 2,063	2,010			53
Royce Special Equity Fund I	12/07/12	3/29/18	Purchase 143	139			4
Royce Special Equity Fund I	12/07/12	3/29/18	Purchase 365	356			9
Royce Special Equity Fund I	12/31/12	3/29/18	Purchase 12	12			
Royce Special Equity Fund I	12/06/13	3/29/18	Purchase 9	9			
Royce Special Equity Fund I	12/08/13	3/29/18	Purchase 601	586			15
Royce Special Equity Fund I	12/06/13	3/29/18	Purchase 74	72			2
Royce Special Equity Fund I	12/18/14	3/29/18	Purchase 43	42			1
Royce Special Equity Fund I	12/18/14	3/29/18	Purchase 779	759			20
Royce Special Equity Fund I	12/18/14	3/29/18	Purchase 39	38			1
Royce Special Equity Fund I	12/17/15	3/29/18	Purchase 119	116			3
Royce Special Equity Fund I	12/17/15	3/29/18	Purchase 926	902			24
Royce Special Equity Fund I	12/15/16	3/29/18	Purchase 73	71			2
Royce Special Equity Fund I	12/15/16	3/29/18	Purchase 516	503			13
FPA Crescent Portfolio	12/01/10	4/02/18	Purchase 8,325	7,374			951

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold					
	Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
FPA Crescent Portfolio			Purchase				
	12/15/10	4/02/18	$ 143	$ 127	$	$	$ 16
FPA Crescent Portform			Purchase				
	12/15/10	4/02/18	362	321			41
FPA Crescent Portfolio			Purchase				
	7/06/11	4/02/18	171	152			19
FPA Crescent Portfolio			Purchase				
	7/06/11	4/02/18	33	29			4
FPA Crescent Portfolio			Purchase				
	12/22/11	4/02/18	87	77			10
FPA Crescent Portfolio			Purchase				
	12/22/11	4/02/18	407	361			46
FPA Crescent Portfolio			Purchase				
	6/08/12	4/02/18	11,544	10,224			1,320
EPA Crescent Portfolio			Purchase				
	7/05/12	4/02/18	191	169			22
FPA Crescent Portfolio			Purchase				
	7/05/12	4/02/18	115	101			14
FPA Crescent Portfolio			Purchase				
	12/31/12	4/02/18	62	55			7
FPA Crescent Portfolio			Purchase				
	12/31/12	4/02/18	1,373	1,216			157
EPA Crescent Portfolio			Purchase				
	7/02/13	4/02/18	154	136			18
FPA Crescent Portfolio			Purchase				
	12/19/13	4/02/18	34	30			4
FPA Crescent Portfolio			Purchase				
	12/19/13	4/02/18	1,315	1,164			151
-FP-A Crescent Portfolio			Purchase				
	12/19/13	4/02/18	23	20			3
FPA Crescent Portfolio			Purchase				
	7/02/14	4/02/18	223	197			26
FPA Crescent Portfolio			Purchase				
	7/02/14	4/02/18	100	89			11

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
FPA Crescent Portfolio	7/09/14	4/02/18	Purchase $ 15,482	$ 13,712	$	$	$ 1,770
FPA Crescent Portfolio	12/23/14	4/02/18	Purchase 172	152			20
FPA Crescent Portfolio	12/23/14	4/02/18	Purchase 1,575	1,395			180
FPA Crescent Portfolio	7/02/15	4/02/18	Purchase 159	141			18
EPA Crescent Portfolio	7/02/15	4/02/18	Purchase 48	42			6
FPA Crescent Portfolio	12/22/15	4/02/18	Purchase 366	324			42
FPA Crescent Portfolio	12/22/15	4/02/18	Purchase 2,842	2,517			325
FPA Crescent Portfolio	7/05/16	4/02/18	Purchase 344	305			39
FPA Crescent Portfolio	12/21/16	4/02/18	Purchase 1,511	1,338			173
FPA Crescent Portfolio	12/21/16	4/02/18	Purchase 14	12			2
EPA Crescent Portfolio	12/21/16	4/02/18	Purchase 402	356			46
Fidelity Advisor Emerg. Mkts Inc Fun	3/09/16	4/02/18	Purchase 14,527	13,433			1,094
Fidelity Advisor New Insights Fund I	3/09/16	4/02/18	Purchase 38,799	30,224			8,575
Fidelity Advisor Real Estate Inc. Fu	11/16/12	4/02/18	Purchase 13,595	13,395			200
Fidelity Advisor Real Estate Inc. Fu	12/17/12	4/02/18	Purchase 291	283			8
Fidelity Advisor Real Estate Inc. Fu	12/17/12	4/02/18	Purchase 74	72			2
Fidelity Advisor Real Estate Inc. Fu	12/28/12	4/02/18	Purchase 11	11			

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
Fidelity Advisor Real Estate Inc. Fu	3/01/13	4/02/18	$ 65	Purchase		$ 66	$	$	$ -1
Fidelity Advisor Real Estate Inc. Fu	6/10/13	4/02/18	184	Purchase		188			-4
Fidelity Advisor Real Estate Inc. Fu	9/09/13	4/02/18	228	Purchase		218			10
Fidelity Adviter Reid Estate Inc. Fu	9/09/13	4/02/18	143	Purchase		137			6
Fidelity Advisor Read Estate Inc. Fu	9/09/13	4/02/18	56	Purchase		53			3
Fidelity Advisor Real Estate Inc. Fu	12/16/13	4/02/18	304	Purchase		288			16
Fidelity Advisor Real Estate Inc. Fu	12/16/13	4/02/18	144	Purchase		136			8
Fidelity Advisor Real Estate Inc. Fu	3/10/14	4/02/18	61	Purchase		61			
Fidelity Advisor Real Estate Inc. Fu	6/09/14	4/02/18	177	Purchase		181			-4
fidelity Advisor Real Estate Inc. Fu	9/08/14	4/02/18	192	Purchase		195			-3
Fidelity Advisor Real Estate Inc. Fu	9/08/14	4/02/18	251	Purchase		255			-4
Fidelity Advisor Real Estate Inc. Fu	9/08/14	4/02/18	12	Purchase		12			
Fidelity Advisor Real Estate Inc. Fu	12/22/14	4/02/18	415	Purchase		415			
Fidelity Advisor Real Estate Inc. Fu	12/22/14	4/02/18	45	Purchase		45			
Fidelity Advisor Real Estate Inc. Fu	3/09/15	4/02/18	20	Purchase		20			
Fidelity Advisor Real E.state Inc. F	6/08/15	4/02/18	204	Purchase		204			
Fidelity Advisor Real Estate Inc. Fu	9/14/15	4/02/18	205	Purchase		199			6

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Fidelity Advisor Real Estate Inc. Fu	9/14/15	4/02/18	$ 165	Purchase	$ 159		$	$	$ 6
Fidelity Advisor Real Estate Inc. Fu	12/18/15	4/02/18	428	Purchase	410				18
Fidelity Advisor Real Estate Inc. Fu	3/04/16	4/02/18	71	Purchase	69				2
Fidelity Advisor Real Estate Inc. Fu	6/03/16	4/02/18	191	Purchase	193				-2
Fidelity Advisor Real Estate Inc. Fu	9/09/16	4/02/18	308	Purchase	318				-10
Fidelity Advisor Real Estate Inc. Fu	12/16/16	4/02/18	310	Purchase	311				-1
Fidelity Advisor Real Estate Inc. Fu	12/30/16	4/02/18	15	Purchase	16				-1
Fidelity Advisor Real Estate Inc. Fu	3/03/17	4/02/18	56	Purchase	58				-2
TCW Total Return Bond Fund I	8/31/11	4/02/18	2,658	Purchase	2,715				-57
TCW Total Return Bond Fund I	9/02/11	4/02/18	58	Purchase	59				-1
TCW Total Return Bond Fund I	10/04/11	4/02/18	58	Purchase	60				-2
TCW Total Return Bond Fund I	11/03/11	4/02/18	59	Purchase	60				-1
TCW Total Return Bond Fund I	12/02/11	4/02/18	60	Purchase	61				-1
TCW Total Return Bond Fund I	12/31/11	4/02/18	72	Purchase	73				-1
TCW Total Return Bond Fund I	12/31/11	4/02/18	14	Purchase	14				
TCW Total Return Bond Fund I	2/02/12	4/02/18	61	Purchase	62				-1
TCW Total Return Bond Fund I	3/02/12	4/02/18	38	Purchase	39				-1

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
TCW Total Return Bond Fund I	4/03/12	4/02/18	$ 38	Purchase	$ 39		$	$	$ -1
TCW Total Return Bond Fund I	5/02/12	4/02/18	37	Purchase	38				-1
TCW Total Return Bond Fund I	6/04/12	4/02/18	35	Purchase	36				-1
TCW Total Return Bond Fund I	6/06/12	4/02/18	3,957	Purchase	4,042				-85
TCW Total Return Bond Fund I	7/03/12	4/02/18	55	Purchase	57				-2
TCW Total Return Bond Fund I	8/02/12	4/02/18	55	Purchase	56				-1
TCW Total Return Bond Fund I	9/05/12	4/02/18	55	Purchase	56				-1
TCW Total Return Bond Fund I	10/02/12	4/02/18	54	Purchase	55				-1
TCW Total Return Bond Fund I	11/05/12	4/02/18	54	Purchase	56				-2
TCW Total Return Bond Fuld I	12/04/12	4/02/18	49	Purchase	50				-1
TCW Total Rehm Bond Fund I	12/31/12	4/02/18	49	Purchase	50				-1
TCW Total Return Bond Fund I	2/04/13	4/02/18	47	Purchase	48				-1
TCW Total Return Bond Fund I	3/04/13	4/02/18	47	Purchase	48				-1
TCW Total Return Bond Fund I	4/02/13	4/02/18	30	Purchase	30				
TCW Total Return Bond Fund I	5/02/13	4/02/18	28	Purchase	28				
TCW Total Return Bond Fund I	6/04/13	4/02/18	28	Purchase	29				-1
TCW Total Return Bond Fund I	7/02/13	4/02/18	29	Purchase	30				-1

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
TCW Total Return Bond Fund I	8/01/13	4/02/18	$ 27	Purchase		$ 28	$	$	$ -1
TCW Total Return Bond Fund I	9/03/13	4/02/18	27	Purchase		28			-1
TCW Total Return Bond Fund I	10/01/13	4/02/18	27	Purchase		28			-1
TCW Total Return Bond Fund I	11/04/18	4/02/18	27	Purchase		28			-1
TCW Total Return Bond Fund I	12/02/18	4/02/18	23	Purchase		24			-1
TCW Total Return Bond Fund I	12/31/13	4/02/18	24	Purchase		24			
TCW Total Return Bond Fuld I	2/03/14	4/02/18	21	Purchase		22			-1
TCW Total Return Bond Fund I	3/03/14	4/02/18	17	Purchase		17			
TCW Total Return Bond Fund I	4/01/14	4/02/18	17	Purchase		18			-1
TCW Total Return Bond Fund I	5/01/14	4/02/18	16	Purchase		17			-1
TCW Total Return Bond Fund I	6/02/14	4/02/18	15	Purchase		16			-1
TCW Total Return Bond Fund I	7/01/14	4/02/18	15	Purchase		15			
TCW Total Return Bond Fund I	8/01/14	4/02/18	15	Purchase		15			
TCW Total Return Bond Fund I	9/02/14	4/02/18	15	Purchase		15			
TCW Total Return Bond Fund I	10/01/14	4/02/18	15	Purchase		15			
TCW Total Return Bond Fund I	11/04/14	4/02/18	15	Purchase		15			
TCW Total Return Bond Fund I	12/01/14	4/02/18	15	Purchase		15			

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
TCW Total Return Bond Fund I	12/31/14	4/02/18	Purchase $ 15	$ 15	$	$	$
TCW Total Return Bond Fund I	12/31/14	4/02/18	Purchase 24	25			-1
TCW Total Return Bond Fund I	12/31/14	4/02/18	Purchase 7	7			
TCW Total Return Bond Fund I	2/02/15	4/02/18	Purchase 14	14			
TCW Total Return Bond Fund I	3/02/15	4/02/18	Purchase 14	14			
TCW Total Return Bond Fund I	4/01/15	4/02/18	Purchase 14	14			
TCW Total Return Bond Fund I	5/01/15	4/02/18	Purchase 14	14			
TCW Total Return Bond Fund I	6/01/15	4/02/18	Purchase 14	14			
TCW Total Return Bond Fund I	7/01/15	4/02/18	Purchase 14	14			
TCW Total Return Bond fund I	8/03/15	4/02/18	Purchase 15	15			
TCW Total Return Bond Fund I	9/01/15	4/02/18	Purchase 15	16			-1
TCW Total Return Mind Fund I	10/01/15	4/02/18	Purchase 16	16			
TCW Total Return Bond Fund I	10/30/15	4/02/18	Purchase 16	16			
TCW Total Return Bond Fund I	11/30/15	4/02/18	Purchase 16	16			
TCW Total Return Bond Fund I	12/30/15	4/02/18	Purchase 16	16			
TCW Total Return Bond Fund I	12/30/15	4/02/18	Purchase 22	22			
TCW Total Return Bond Fund I	12/30/15	4/02/18	Purchase 36	37			-1

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received / Sale Price	Whom Sold / Cost	Expense	Depreciation	Net Gain / Loss
TCW Total Return Bond Fund I	1/29/16	4/02/18	Purchase $ 16	$ 16	$	$	$
TCW Total Return Bond Fund I	2/29/16	4/02/18	Purchase 16	16			
TCW Total Return Bond Fund I	3/09/16	4/02/18	Purchase 4,431	4,527			-96
TCW Total Return Bond Fund I	3/31/16	4/02/18	Purchase 24	25			-1
TCW Total Return Bond Fund I	4/29/16	4/02/18	Purchase 24	25			-1
TCW Total Return Bond Fund I	5/31/16	4/02/18	Purchase 24	25			-1
TCW Total Return Bond Fund I	6/30/16	4/02/18	Purchase 24	25			-1
TCW Total Return Bond Fund I	7/29/16	4/02/18	Purchase 27	27			
TCW Total Return Bond Fund I	8/31/16	4/02/18	Purchase 29	29			
TCW Total Return Bond Fund I	9/30/16	4/02/18	Purchase 29	29			
TCW Total Return Bond Fund I	11/01/16	4/02/18	Purchase 29	29			
TCW Total Return Bond Fund I	12/01/16	4/02/18	Purchase 27	28			-1
TCW Total Return Bond Fund I	12/29/16	4/02/18	Purchase 28	28			
TCW Total Return Bond Fund I	12/29/16	4/02/18	Purchase 44	45			-1
TCW Total Return Bond Fund I	12/29/16	4/02/18	Purchase 166	170			-4
TCW Total Return Bond Fund I	1/31/17	4/02/18	Purchase 28	29			-1
TCW Total Return Bond Fund I	2/28/17	4/02/18	Purchase 28	29			-1

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received	Sale Price	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
TCW Total Return Bond Fund I	3/31/17	4/02/18	Purchase	$ 27	$ 28		$	$	$ -1
Lord Abbett Short Duration Inc. Fund	9/20/10	4/02/18	Purchase		60				-60
Lord Abbett Short Duration Inc. Fund	9/20/10	4/02/18	Purchase	2,436	2,591				-155
Lord Abbett Short Duration Inc. Fund	10/04/10	4/02/18	Purchase	9	9				
Lord Abbott Short Duration Inc. Fund	11/21/10	4/02/18	Purchase	33	35				-2
Lord Abbett Short Duration Inc. Fund	12/02/10	4/02/18	Purchase	32	34				-2
Lord Abbett Short Duration Inc. Fund	12/21/10	4/02/18	Purchase	48	51				-3
-Lord Abbett Short Duration Inc. Fun	12/21/10	4/02/18	Purchase	2	2				
Lod Abbett Short Duration Inc. Fund-	1/04/11	4/02/18	Purchase	33	35				-2
Lord Abbett Short Duration Inc. Fund	2/02/11	4/02/18	Purchase	33	35				-2
Lord Abbett Short Duration Inc. Fund	3/02/11	4/02/18	Purchase	34	36				-2
Lord Abbett Short Duration Inc. Fund	4/04/11	4/02/18	Purchase	33	35				-2
Lord Abbett Short Duration Inc. Fund	5/03/11	4/02/18	Purchase	33	35				-2
Lord Abbett Short Duration Inc. Fund	6/02/11	4/02/18	Purchase	33	35				-2
Lord Abbett Short Duration inc. Fund	7/05/11	4/02/18	Purchase	35	37				-2
Lord Abbett Short Duration Inc. Fund	8/02/11	4/02/18	Purchase	35	37				-2
Lord Abbett Short Duration Inc. Fund	9/02/11	4/02/18	Purchase	36	38				-2

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold					
Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss	
Lord Abbett Short Duration Inc. Fund	Purchase						
10/04/11	4/02/18	$ 36	$ 39	$	$	$ -3	
Lord Abbett Short Duration Inc. Fund	Purchase						
11/02/11	4/02/18	36	38			-2	
Lord Abbett Short Duration Inc. Fund	Purchase						
12/02/11	4/02/18	37	39			-2	
Lord Abbett Short Duration Inc. Fund	Purchase						
12/31/11	4/02/18	36	38			-2	
Lord Abbett Short Duration Inc. Fund	Purchase						
2/02/12	4/02/18	35	38			-3	
Lord Abbett Shod Duration Inc. Fund-	Purchase						
3/21/12	4/02/18	35	38			-3	
Lord Abbett Short Duration Inc. Fund	Purchase						
4/02/12	4/02/18	34	36			-2	
Lord Abbett Short Duration Inc. Fund	Purchase						
5/01/12	4/02/18	34	36			-2	
Lord Abbett Short Duration Inc. Fund	Purchase						
6/01/12	4/02/18	33	35			-2	
lord Abbott Short Duration Inc. Fund	Purchase						
6/08/12	4/02/18	6,454	6,864			-410	
Lord Abbett Short Duration Inc. Fund	Purchase						
7/02/12	4/02/18	49	52			-3	
lord Abbott Short Duration Inc. Fund	Purchase						
8/01/12	4/02/18	53	56			-3	
Lord Abbett Short Duration Inc. Fund	Purchase						
9/04/12	4/02/18	54	57			-3	
lord Abbott Short Duration Inc. Fund	Purchase						
10/01/12	4/02/18	53	56			-3	
Lord Abbott Short Duration Inc. Fund	Purchase						
11/01/12	4/02/18	53	56			-3	
Lord Abbott Short Duration Inc. Fund	Purchase						
12/03/12	4/02/18	51	54			-3	
Lord Abbott Short Duration Inc. Fund	Purchase						
12/19/12	4/02/18	5	5				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Lord Abbott Short Duration Inc. Fund	12/31/12	4/02/18	$ 52	Purchase	$ 55		$	$	$ -3
Lord Abbett Short Duration Inc. Fund	2/01/13	4/02/18	50	Purchase	53				-3
Lord Abbott Short Duration Inc. Fund	3/01/13	4/02/18	52	Purchase	55				-3
Lord Abbott Short Duration Inc. Fund	4/01/13	4/02/18	44	Purchase	47				-3
Lord Abbett Short Duration Inc. Fund	5/01/13	4/02/18	37	Purchase	39				-2
Lord Abbott Short Duration Inc. Fund	6/03/13	4/02/18	37	Purchase	39				-2
Lord:Abbott Short Duration Inc. Fund	7/01/13	4/02/18	39	Purchase	41				-2
Lord Abbott Short Duration Inc. Fund	8/01/13	4/02/18	39	Purchase	42				-3
Lord Abbott Short Duration Inc. Fund	9/03/13	4/02/18	40	Purchase	42				-2
Lord Abbott Short Duration Inc. Fund	10/01/13	4/02/18	38	Purchase	41				-3
Lord Abbott Short Duration Inc. Fund	11/01/13	4/02/18	37	Purchase	40				-3
Lord Abbott Short Duration Inc. Fund	12/02/13	4/02/18	37	Purchase	40				-3
Lord Abbett Shod Duration Inc. Fund-	12/19/13	4/02/18	1	Purchase	1				
Lord Abbott Short Duration Inc. Fund	12/19/13	4/02/18	15	Purchase	16				-1
Lord Abbott Short Duration Inc. Fund	1/02/14	4/02/18	37	Purchase	39				-2
Lord Abbott Short Duration Inc. Fund	2/03/14	4/02/18	38	Purchase	41				-3
Loot Abbott Short Duration Inc. Fund	3/03/14	4/02/18	38	Purchase	41				-3

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	How Received	Whom Sold					
Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss	
Lord'Abbett Short Duration Inc. Fund		Purchase					
4/01/14	4/02/18	$ 38	$ 40	$	$	$ -2	
Lord Abbott Short Duration Inc. Fund		Purchase					
5/01/14	4/02/18	39	41			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
6/02/14	4/02/18	36	39			-3	
Lord Abbott Short Duration Inc. Fund		Purchase					
7/01/14	4/02/18	37	39			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
8/01/14	4/02/18	38	40			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
9/02/14	4/02/18	39	41			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
10/01/14	4/02/18	38	40			-2	
Lord Abbott Shod Duration Inc. Fund-		Purchase					
11/03/14	4/02/18	37	39			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
12/01/14	4/02/18	38	40			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
1/02/15	4/02/18	39	42			-3	
Lord Abbott Short Duration Inc. Fund		Purchase					
2/02/15	4/02/18	39	41			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
3/02/15	4/02/18	39	42			-3	
Lord Abbott Short Duration Inc. Fund		Purchase					
4/01/15	4/02/18	40	43			-3	
Lord Abbott Short Duration Inc. Fund		Purchase					
5/01/15	4/02/18	40	43			-3	
Lord Abbott Short Duration Inc. Fund		Purchase					
6/01/15	4/02/18	38	41			-3	
Lord Abbott Short Duration Inc. Fund		Purchase					
7/01/15	4/02/18	40	43			-3	
Lord Abbott Short Duration Inc. Fund		Purchase					
8/03/15	4/02/18	37	40			-3	

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description		How Received	Whom Sold				
Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss	
Lord Abbott Short Duration Inc. Fund		Purchase					
9/01/15	4/02/18	$ 37	$ 40	$	$	$ -3	
Lord Abbott Short Duration Inc. Fund		Purchase					
10/01/15	4/02/18	37	39			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
10/30/15	4/02/18	15	16			-1	
Lord Abbott Short Duration Inc. Fund		Purchase					
11/30/15	4/02/18	37	40			-3	
Lord Abbett Short Duration Inc. Fund		Purchase					
12/31/15	4/02/18	37	39			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
1/29/16	4/02/18	39	42			-3	
bordAbbett Shod Dutation Inc. Fund-F		Purchase					
2/29/16	4/02/18	39	41			-2	
Lord Abbott Short Duration Inc. Fund		Purchase					
3/11/16	4/02/18	4,960	5,275			-315	
Lord Abbott Short Duration Inc. Fund		Purchase					
3/31/16	4/02/18	49	52			-3	
tiordAbbett Short Duration Inc. Fund		Purchase					
4/29/16	4/02/18	55	59			-4	
Lord Abbett Short Duration Inc. Fund		Purchase					
5/31/16	4/02/18	53	56			-3	
Lord Abbett Short Duration Inc. Fund		Purchase					
6/30/16	4/02/18	55	59			-4	
Lord Abbett Short Duration Inc. Fund		Purchase					
7/29/16	4/02/18	52	55			-3	
Lord Abbett Short Duration Inc. Fund		Purchase					
8/31/16	4/02/18	57	60			-3	
Lord Abbe ft Shod Duration Inc. Fund		Purchase					
9/30/16	4/02/18	56	59			-3	
Lord Abbett Short Duration Inc. Fund		Purchase					
4/02/18	4/02/18	54	57			-3	
Lord Abbett Short Duration Inc. Fund		Purchase					
4/02/18	4/02/18	55	58			-3	

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Lad Abbett Short Duration Inc. Fund-	1/31/17	4/02/18	$ 55	Purchase	$ 58		$	$	$ -3
Lord Abbett Shod Duration Inc. Fund-	2/28/17	4/02/18	56	Purchase	59				-3
Lord Abbett Short Duration Inc. Fund	3/31/17	4/02/18	55	Purchase	58				-3
!Shares US Insurance ETF	11/17/16	3/08/18	14,437	Purchase	12,586				1,851
IShares Expanded Tech & Software ETF	8/18/16	4/19/18	178	Purchase	111				67
*hares US Regional Banks ETF	11/17/16	10/04/18	14,999	Purchase	12,636				2,363
IShares MSCI Austria ETF	5/26/17	12/14/18	18,770	Purchase	20,884				-2,114
IShares MSCI Taiwan	4/28/17	12/14/18	20,268	Purchase	20,931				-663
kid Abbott Growth Leadert CI. F	3/13/14	3/28/18	98,142	Purchase	75,000				23,142
lord Abbett Growth Leaders CI. F	8/04/14	3/28/18	28	Purchase	21				7
lord Abbett Growth Leaders Cl. F	11/25/14	3/28/18	5,653	Purchase	4,326				1,327
lord Abbett Growth Leaders Cl. F	11/25/14	3/28/18	72	Purchase	55				17
lord Abbett Growth Leaders CL F	11/27/15	3/28/18	4,468	Purchase	3,545				923
lord Abbett Growth Leaders CI. F	11/27/15	3/28/18	519	Purchase	412				107
McDonalds Corp	1/14/15	1/03/18	3,969	Purchase	2,109				1,860
McDonalds Corp	10/04/16	1/03/18	4,141	Purchase	2,727				1,414
V F Corp	12/15/16	1/11/18	8,955	Purchase	6,312				2,643

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
General Electric Co	10/20/14	2/21/18	$ 3,499	Purchase	$ 5,981		$	$	$ -2,482
General Electric Co	10/04/16	2/21/18	3,864	Purchase	7,820				-3,956
Capital One Financial Corp	10/20/14	3/07/18	5,246	Purchase	4,126				1,120
Wells Fargo & Co New	10/20/14	3/07/18	3,957	Purchase	3,424				533
Weft Fargo & Co New	10/04/16	3/07/18	8,762	Purchase	6,779				1,983
Coca Cola Co	10/20/14	4/25/18	4,221	Purchase	4,311				-90
Coca Cola Co	5/13/15	4/25/18	6,332	Purchase	6,182				150
Coca Cola Co	10/14/16	4/25/18	1,900	Purchase	1,884				16
Watsco Inc A	10/20/14	4/25/18	3,246	Purchase	1,732				1,514
Vanguard FTSE Emerg Mitts ETF	4/26/17	5/15/18	14,498	Purchase	12,924				1,574
Diageo PLC New ADR	10/20/14	6/20/18	3,608	Purchase	2,824				784
Diageo PLC New ADR	12/08/15	6/20/18	1,587	Purchase	1,303				284
International Paper Co.	2/10/16	6/20/18	8,398	Purchase	5,518				2,880
International Paper Co.	10/04/16	6/20/18	4,335	Purchase	3,766				569
Syndirony Finandals	6/07/17	8/01/18	10,390	Purchase	10,274				116
General Motors Co	12/07/16	9/26/18	8,890	Purchase	9,454				-564
IMnois Tool Works Inc	10/20/14	10/10/18	2,425	Purchase	1,496				929

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Urinals Tool Works Inc	10/04/16	10/10/18	$ 6,736	Purchase	$ 5,951		$	$	$ 785
Nubian LTD	10/22/14	10/18/18	5,019	Purchase	7,607				-2,588
Nutrien LTD	10/14/15	10/18/18	2,400	Purchase	2,394				6
Widen LTD .	4/27/16	10/18/18	1,309	Purchase	1,091				218
Nutrien LTD	10/04/16	10/18/18	4,474	Purchase	3,281				1,193
Federated Institutional High Yld Bon	2/23/15	5/16/18	7,124	Purchase	7,322				-198
Federated Institutional High Yld Bon	3/02/15	5/16/18	8	Purchase	8				
Federated Institutional High Yld Bon	3/10/15	5/16/18	4,350	Purchase	4,489				-139
Federated Institutional High Yld Bon	4/01/15	5/16/18	53	Purchase	54				-1
Federated Institutional High Yld Bon	5/01/15	5/16/18	59	Purchase	61				-2
Federated Institutional High Yld Bon	6/01/15	5/16/18	59	Purchase	61				-2
Federated Institutional I-Ngh Yid Bo	7/01/15	5/16/18	61	Purchase	61				
Federated Institutional High Yid Bon	8/03/15	5/16/18	60	Purchase	61				-1
Federated instilutional High Yld Bon	9/01/15	5/16/18	61	Purchase	61				
Federated Institutional High Yid Bon	10/01/15	5/16/18	64	Purchase	61				3
Federated Institutional High Yld Bon	11/02/15	5/16/18	62	Purchase	61				1
Federated Institutional High Yld Bon	12/01/15	5/16/18	66	Purchase	63				3

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Federated Institutional High Yld Bon	1/04/16	5/16/18	$ 68	Purchase	$ 63		$	$	$ 5
Federated Institutional High Yld Bon	2/01/16	5/16/18	68	Purchase	62				6
Federated Institutional High Yld Bon	3/01/16	5/16/18	68	Purchase	63				5
Federated Institutional High Yld Bon	4/01/16	5/16/18	66	Purchase	63				3
Federated Instikitional High Yld Bon	5/02/16	5/16/18	65	Purchase	63				2
Federated Institutional High Yld Bon	6/01/16	5/16/18	64	Purchase	63				1
Federated Institutional High Yld Bon	7/01/16	5/16/18	64	Purchase	63				1
Federated Institutional High Yld Bon	8/01/16	5/16/18	62	Purchase	62				
Federated Institutional High Yld Bon	9/01/16	5/16/18	62	Purchase	63				-1
Federated Institutional High Yld Bon	10/03/16	5/16/18	63	Purchase	64				-1
Federated Institutional High Yld Bon	11/01/16	5/16/18	63	Purchase	63				
Federeded Institutional High Yld Bon	12/01/16	5/16/18	64	Purchase	64				
Federated Institutional High Yld Bon	1/03/17	5/16/18	63	Purchase	64				-1
Federated Institutional High Yld Bon	2/01/17	5/16/18	62	Purchase	64				-2
Federated Initildiexiid I fah Yld Bo	3/01/17	5/16/18	625	Purchase	65				560
Federated Institutional High Yld Bon	4/03/17	5/16/18	62	Purchase	64				-2
Federated Institutional High Yld Bon	5/01/17	5/16/18	63	Purchase	65				-2

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	How Received	Sale Price	Whom Sold	Cost	Expense	Depreciation	Net Gain / Loss
ASG Global Alternatives Y	6/19/13	2/07/18	Purchase	$ 24,683		$ 24,882	$	$	$ -199
ASG Global Alternatives Y	5/29/14	2/07/18	Purchase	13,940		14,053			-113
Credit Suisse Comm Ret St I	12/29/14	2/07/18	Purchase	18,218		22,246			-4,028
First Eagle Overseas Fund-I	4/18/11	2/07/18	Purchase	33,417		30,292			3,125
Harbor Intl Fund I	10/07/08	2/07/18	Purchase	43,271		34,195			9,076
Vitus SEIX Floating Rate H Inc-I	5/29/14	2/07/18	Purchase	12,477		12,919			-442
VOYA Real Estate Fund	10/07/08	3/14/18	Purchase	42,339		39,085			3,254
VOYA Real Estate Fund	9/08/15	3/14/18	Purchase	2,564		2,367			197
VOYA Real Estate Fund	1/21/16	3/14/18	Purchase	2,304		2,127			177
Lazard Emerging MIds Portf. Fund	10/07/08	5/11/18	Purchase	17,759		14,332			3,427
Lazard Emerging Mkts Portf. Fund	5/29/14	5/11/18	Purchase	21,516		17,364			4,152
Lazard Emerging Mkts Portf. Fund	9/08/15	5/11/18	Purchase	35,259		28,454			6,805
Arbitrage Fund I	6/27/13	9/21/18	Purchase	6,120		5,939			181
Boston B Lng/Shrt Res-INS	6/27/13	9/21/18	Purchase	20,043		15,402			4,641
Eaton Vance Floating Rate Fund	4/18/11	9/21/18	Purchase	3,950		3,950			
Eaton Vance Floating Rate Fund	5/29/14	9/21/18	Purchase	8,764		8,764			
Fidelity Adv Inter Real Estate	6/30/17	9/21/18	Purchase	20,942		19,811			1,131

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Harbor Capital Apprec. Fund I	7/10/15	9/21/18	$ 20,078	Purchase	$ 15,576		$	$	$ 4,502
Harbor Intl Fund I	10/07/08	9/21/18	3,972	Purchase	3,292				680
Harbor Intl Fund I	4/18/11	9/21/18	17,631	Purchase	14,612				3,019
Harbor Intl Fund I	9/18/15	9/21/18	14,691	Purchase	12,175				2,516
!Shares Core S&P Small Cap ETF	7/11/17	9/21/18	13,120	Purchase	10,426				2,694
Oa kmaik Fund- INV	4/20/17	9/21/18	20,935	Purchase	17,155				3,780
Principal MidCap Blend Fund	11/02/12	9/21/18	26,525	Purchase	14,130				12,395
Vanguard Short Term Bond ETF	4/12/10	9/21/18	90,776	Purchase	92,977				-2,201
Vanguard Short Term Bond ETF	3/06/12	9/21/18	31,947	Purchase	32,722				-775
Invesco WO Tr ETF	10/13/16	2/07/18	13,843	Purchase	9,937				3,906
VVisdomTree Bloomberg US $ Bullish E	5/12/16	2/07/18	9,605	Purchase	9,952				-347
!Shares Core S&P 500 Index Fund	9/11/13	3/16/18	15,581	Purchase	9,523				6,058
!Shares Core S&P 500 Index Fund	9/15/14	3/16/18	5,286	Purchase	3,802				1,484
First Tr Large Cap Core Alphadex ETF	5/08/14	4/10/18	6,090	Purchase	4,405				1,685
Invesco QQQ Tr ETF	10/13/16	4/10/18	7,537	Purchase	5,495				2,042
0Shares FTSE US Qual. Div. ETF	12/06/16	7/12/18	5,085	Purchase	4,353				732
SPDR Technology Sector ETF	5/12/17	9/11/18	19,498	Purchase	14,508				4,990

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description	Date Acquired	Date Sold	Sale Price	How Received	Cost	Whom Sold	Expense	Depreciation	Net Gain / Loss
Invesco DB Energy Fund	9/11/17	10/11/18	$ 2,505	Purchase	$ 1,911		$	$	$ 594
JP- Morgan Diversity RTRN InPI EQ ET	5/12/16	10/15/18	18,081	Purchase	16,603				1,478
JP Morgan Diversity RTRN IM7 EQ ETF	6/06/17	10/15/18	3,213	Purchase	3,349				-136
[Shares Core S&P Total US Stock Mkt	11/09/12	12/12/18	1,758	Purchase	916				842
Invesco DB Energy Fund	9/11/17	12/12/18	6,402	Purchase	6,151				251
TeeKay LNG Partners LP	11/27/16	3/12/18	9,932	Purchase	9,932				
Pass Through Long Term Gains			47,010	Purchase					47,010
Pass Through Short Term Gains				Purchase	8,239				-8,239
Total			$ 3,685,469		$ 3,506,247		$ 0	$ 0	$ 179,222

Statement 2 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
PASS THROUGH INCOME	$ 3,904	$ 3,904	$
Total	$ 3,904	$ 3,904	$ 0

Federal Statements

Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING FEES	$ 3,200	$ 2,400	$	$ 800
Total	$ 3,200	$ 2,400	$ 0	$ 800

Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PORTFOLIO MANAGEMENT FEES	$ 52,666	$ 39,500	$	$ 13,166
MEETING FEES	52,500	39,375		13,125
Total	$ 105,166	$ 78,875	$ 0	$ 26,291

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	$ 4,248	$ 4,248	$	$
Total	$ 4,248	$ 4,248	$ 0	$ 0

Statement 6 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
MISCELLANEOUS	673	505		168
Total	$ 673	$ 505	$ 0	$ 168

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Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
BLB&B MANAGED ACCOUNT	$ 356,702	$ 319,138	Market	$ 319,138
CATWABA/FIDELITY BROKERAGE	825,367	802,846	Market	802,846
DAVENPORT & CO LLC	2,849,641	2,548,622	Market	2,548,622
GREYSTON/METLIFE SECURITY	25,209	25,076	Market	25,076
RAYMOND JAMES FREEDOM	368,787	1,029,732	Market	1,029,732
WELLS FARGO BK AGENCY	2,658,387	1,783,299	Market	1,783,299
CLAYTOR EQUITY PARTNERS	807,613	661,968	Market	661,968
CHARLES SHWAB	124,594	156,736	Market	156,736
EWF PARTNERS	681,932	587,463	Market	587,463
Total	$ 8,698,232	$ 7,914,880		$ 7,914,880

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Statement 8 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
UNREALIZED LOSS	$ 1,042,131
Total	$ 1,042,131

Federal Statements

Statement 9 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name	Address	City, State, Zip
JOHN POWELL TCLAT	303 APPERSON DRIVE	SALEM VA 24153
PEGGY DANIELS TCLAT	303 APPERSON DRIVE	SALEM VA 24153
WILLIAM POWELL TCLAT	303 APPERSON DRIVE	SALEM VA 24153
PAUL POWELL TCLAT	303 APPERSON DRIVE	SALEM VA 24153
MARY ROBERTS TCLAT	303 APPERSON DRIVE	SALEM VA 24153